

Graviton partial waves and causality in higher dimensions

Simon Caron-Huot and Yue-Zhou Li *Department of Physics, McGill University, 3600 Rue University, Montréal H3A 2T8, Quebec, Canada*Julio Parra-Martinez and David Simmons-Duffin *Walter Burke Institute for Theoretical Physics, Caltech, Pasadena, California 91125, USA*

(Received 26 July 2022; accepted 6 June 2023; published 17 July 2023)

Do gravitational interactions respect the basic principles of relativity and quantum mechanics? We show that any graviton S -matrix that satisfies these assumptions cannot significantly differ from General Relativity at low energies. We provide sharp bounds on the size of potential corrections in terms of the mass M of new higher-spin states, in spacetime dimensions $D \geq 5$ where the S -matrix does not suffer from infrared ambiguities. The key novel ingredient is the full set of $SO(D-1)$ partial waves for this process, which we show how to efficiently compute with Young tableau manipulations. We record new bounds on the central charges of holographic conformal theories.

DOI: [10.1103/PhysRevD.108.026007](https://doi.org/10.1103/PhysRevD.108.026007)

I. INTRODUCTION

Relativity and quantum mechanics lie at the heart of particle physics. Notions such as relativistic causality (“signals cannot move faster than light”) naturally lead to the concepts of waves, fields, and particles as force carriers [1]. Gravity challenges this unification; for example the precise meaning of causality in a fluctuating spacetime remains unclear. In this paper we study a situation where causality can be unambiguously stated, and is in principle experimentally testable.

Our setup is $2 \rightarrow 2$ scattering between initially well-separated objects in a flat Minkowski-like region of spacetime. A notion of causality is inherited from the flat background, and encoded in the mathematically precise axioms of scattering (S -matrix) theory. It can be used to constrain gravity itself. Consider higher-derivative corrections to Einstein’s gravity at long distances,

$$S = \int \frac{d^D x \sqrt{-g}}{16\pi G} \left(R + \frac{\alpha_2}{4} C^2 + \frac{\alpha_4}{12} C^3 + \frac{\alpha'_4}{6} C'^3 + \dots \right), \quad (1)$$

where C^2, C^3, C'^3 are higher-curvature terms defined below. Weinberg famously argued that any theory of a massless spin-two boson must reduce to GR at long distances [2]. This was significantly extended in [3], who argued that the parameters α_i must be

parametrically suppressed by the mass M of new higher-spin states. In parallel, S -matrix dispersion relations have been used to constrain signs and sizes of certain corrections [4–6].

Recently, by combining these methods we showed how to bound dimensionless ratios of the form $|\alpha_i M^i|$ in any scenario where $M \ll M_{\text{pl}}$, such that corrections are larger than Planck suppressed. However, these bounds featured the infrared logarithms that are well-known to plague massless S -matrices in four dimensions.

In this paper we present rigorous bounds in higher-dimensional gravity, where infrared issues are absent. We overcome significant technical hurdles regarding the partial wave decompositions of higher-dimensional amplitudes. The resulting bounds have interesting applications to holographic conformal field theories.

II. FOUR-POINT GRAVITY AMPLITUDES

A. Four-point S -matrices and local module

We treat the graviton as a massless particle of spin 2. The amplitude for $2 \rightarrow 2$ graviton scattering depends on the energy-momentum p_j^μ and polarization ε_j^μ of each. It can be written generally as a sum over Lorentz-invariant polynomials times scalar functions,

$$\mathcal{M} = \sum_{(i)} \text{Poly}^{(i)}(\{p_j, \varepsilon_j\}) \times \mathcal{M}^{(i)}(s, t). \quad (2)$$

We use conventions in which all momenta are outgoing and Mandelstam invariants, satisfying $s + t + u = 0$, are

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article’s title, journal citation, and DOI. Funded by SCOAP³.

$$s = -(p_1 + p_2)^2, \quad t = -(p_2 + p_3)^2, \quad u = -(p_1 + p_3)^2. \quad (3)$$

In kinematics where p_1, p_2 are incoming, s and $-t$ are, respectively, the squares of the center-of-mass energy and momentum transfer.

The allowed polynomials in (2) are restricted by the fact that graviton polarizations are transverse traceless and subject to gauge redundancies [7],

$$p_j \cdot p_j = p_j \cdot \varepsilon_j = \varepsilon_j \cdot \varepsilon_j = 0, \quad \varepsilon_j \simeq \varepsilon_j + \#p_j. \quad (4)$$

Depending on the choice of spanning polynomials, the functions $\mathcal{M}^{(i)}(s, t)$ may develop spurious singularities which would complicate their use. As explained in [8], there exist special generators of the ‘‘local module’’ such that any amplitude that is polynomial in polarizations and momenta leads to $\mathcal{M}^{(i)}$'s that are polynomial in s and t . These can be simply presented using gauge- and Lorentz-invariant building blocks:

$$\begin{aligned} H_{12} &= F_{1\nu}^\mu F_{2\mu}^\nu, & H_{123} &= F_{1\nu}^\mu F_{2\sigma}^\nu F_{3\mu}^\sigma, \\ H_{1234} &= F_{1\nu}^\mu F_{2\sigma}^\nu F_{3\rho}^\sigma F_{4\mu}^\rho, & V_1 &= p_{4\mu} F_{1\nu}^\mu p_2^\nu, \end{aligned} \quad (5)$$

where $F_{i\nu}^\mu = p_i^\mu \varepsilon_{i\nu} - \varepsilon_i^\mu p_{i\nu}$ is proportional to the field strength. We define H 's with other indices by permutation, and V_i by cyclic permutations.

In this notation, any S -matrix involving four photons (thus homogeneous of degree 1 in each of the vectors ε_j^μ) can be written as a sum of seven terms, involving three basic functions [8],

$$\begin{aligned} \mathcal{M}_{4\gamma} &= [H_{14}H_{23}\mathcal{M}_{4\gamma}^{(1)}(s, u) + X_{1243}\mathcal{M}_{4\gamma}^{(2)}(s, u) + \text{cyclic}] \\ &+ S\mathcal{M}_{4\gamma}^{(3)}(s, t). \end{aligned} \quad (6)$$

Here, we introduced the shorthands X and S ,

$$\begin{aligned} X_{1234} &= H_{1234} - \frac{1}{4}H_{12}H_{34} - \frac{1}{4}H_{13}H_{24} - \frac{1}{4}H_{14}H_{23}, \\ S &= V_1H_{234} + V_2H_{341} + V_3H_{412} + V_4H_{123}. \end{aligned} \quad (7)$$

Thanks to Bose symmetry, all basic functions $\mathcal{M}_{4\gamma}^{(i)}(a, b)$ are symmetrical in their two arguments, while the third one is further invariant under all permutations of s, t, u , since S is fully permutation symmetric. The combination X enjoys improved Regge behavior (discussed below).

The general four-graviton amplitude \mathcal{M} can now be written using all products of the photon structures, supplemented by the element \mathcal{G} equal to the determinant of all dot products between $(p_1, p_2, p_3, \varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4)$. The resulting 29 generators organize under permutations as two singlets, seven cyclic triplets, and one sextuplet [8]:

$$\begin{aligned} \text{singlets: } &\mathcal{G}\mathcal{M}^{(1)}(s, u), \quad S^2\mathcal{M}^{(10)}(s, u), \\ \text{triplets: } &H_{14}^2H_{23}^2\mathcal{M}^{(2)}(s, u), \quad H_{12}H_{13}H_{24}H_{34}\mathcal{M}^{(3)}(s, u), \\ &H_{14}H_{23}(X_{1243} - X_{1234} - X_{1324})\mathcal{M}^{(4)}(s, u), \\ &X_{1243}^2\mathcal{M}^{(6)}(s, u), \quad X_{1234}X_{1324}\mathcal{M}^{(7)}(s, u), \\ &H_{14}H_{23}S\mathcal{M}^{(8)}(s, u), \quad X_{1243}S\mathcal{M}^{(9)}(s, u), \\ \text{sextuplet: } &H_{12}H_{34}X_{1243}\mathcal{M}^{(5)}(s, u). \end{aligned} \quad (8)$$

These constitute a basis in generic spacetime dimension ($D \geq 8$); lower dimensions are reviewed in Appendix A.

B. Regge limit and dispersive sum rules

At low energies, the effect of quartic self-interactions in the effective theory (1) is to add polynomials in Mandelstam invariants to the amplitudes $\mathcal{M}^{(i)}$: this is a defining property of the local module [9]. We would like to use the assumption that graviton scattering remains sensible at all energies to constrain the size of these interactions.

Our axioms are best stated using smeared amplitudes,

$$\mathcal{M}_\Psi(s) \equiv \int_0^M dp \Psi(p) \mathcal{M}(s, -p^2). \quad (9)$$

The traditional statement of causality in S -matrix theory, alluded to in the introduction, is that amplitudes are analytic in the upper-half s -plane. We will specifically assume that the smeared amplitude is analytic in the upper-half-plane for s large, $|s| \gtrsim M^2$. As argued in [10–13], combined with unitarity on the real axis, this implies boundedness along any complex direction for suitable wavefunctions Ψ :

$$|\mathcal{M}_\Psi(s)|_{s \rightarrow \infty} \leq s \times \text{constant}. \quad (10)$$

The essential conditions on $\Psi(p)$ are: finite support in p (required for analyticity of \mathcal{M}_Ψ), and normalizability at large impact parameters (ensuring boundedness).

The bound (10) is assumed for polarizations that do not grow with energy. The behavior of the scalar functions $\mathcal{M}^{(i)}$ can be deduced from the Regge scaling of the polarization structures they multiply; leading growth rates are recorded in Table I. An important observation is that the leading terms are not all linearly independent, for example while both $X_{1234}, X_{1324} \sim s^2$, their difference grows more slowly. The coefficients of these structures inherit the opposite behavior. For example, the (smeared) photon amplitudes $\mathcal{M}_{4\gamma}^{(2)}(s, t) \pm \mathcal{M}_{4\gamma}^{(2)}(u, t)$ are bounded by constants times s^{-1} and s^0 , respectively.

We say that a dispersive sum rule has Regge spin k if it converges assuming that $\mathcal{M}/s^k \rightarrow 0$; our axioms above state that sum rules with $k > 1$ converge. As can be seen from (8) and Table I, $\mathcal{M} \sim s^k$ implies $\mathcal{M}^{(3)} \sim s^{k-4}$, ensuring convergence of the following integral at fixed $t = -p^2$ (with $u = p^2 - s$):

TABLE I. Behavior in the fixed- t Regge limit of polarization structures, omitting some simple permutations, i.e. $H_{34} \sim H_{12}$.

H_{12}	H_{13}	H_{14}	X_{1234}	X_{1324}	X_{1243}	$X_{1234} - X_{1324}$	S	\mathcal{G}
s^1	s^1	s^0	s^2	s^2	s^1	s^1	s^2	s^2

$$B_k^{[1]}(p^2) = \oint_{\infty} \frac{ds}{4\pi i} \left[\frac{(s-u)\mathcal{M}^{(3)}(s,u)}{(-su)^{\frac{k-2}{2}}} \right] \equiv 0 \quad (k \geq 2 \text{ even}). \quad (11)$$

This identity yields a Kramers-Kronig type relation between scattering at low and high energies, by a standard contour deformation argument. Namely, one finds a low-energy contribution at the scale $M \ll M_{\text{pl}}$ which is effective field theory (EFT) computable by assumption, plus a discontinuity at high energies $s \geq M^2$ (see Ref. [12] for more detail). See Appendix D for the low-energy amplitudes.

A salient feature of graviton scattering is that many sum rules, like $B_2^{[1]}$ above, have no denominator: only the poles of \mathcal{M} contribute at low energies. Acting on the low-energy amplitude [see (D4)], it yields,

$$8\pi G \left[\frac{1}{2p^2} + \frac{\alpha_2^2 - 2\alpha_4}{16} p^2 \right] = \int_{M^2}^{\infty} \frac{ds}{\pi} (s-u) \text{Im} \mathcal{M}^{(3)}(s,u). \quad (12)$$

The dependence on p is exact up to EFT-computable contributions from other light poles (such as light Kaluza-Klein modes), which we account for in our analysis below, and Planck-suppressed loop corrections, which we neglect since $M \ll M_{\text{pl}}$. Thus (12) constitutes an infinite number of sum rules involving two EFT parameters α_i . This ‘‘super-convergence’’ phenomenon is related to the graviton’s spin and gauge invariance, which led to the energy growth of structures in (8). For other sum rules we construct improved combinations $B_k^{\text{imp}}(p^2)$ which are designed to probe finite sets of EFT couplings. Our complete set of sum rules is detailed in Appendix A 1.

III. CONSTRUCTION OF PARTIAL WAVES

Our assumptions about the right-hand-side of (12) and similar relations are minimal; Lorentz symmetry and unitarity with respect to the asymptotic states. The intermediate states that can appear in a scattering process in $D = d + 1$ dimensions form representations ρ under $\text{SO}(d)$ rotations in the center-of-mass frame. Thus, the S -matrix can be written as a sum over projectors onto each representation. As far as the $2 \rightarrow 2$ S -matrix is concerned, unitarity is simply the statement that $|S_{\rho}| \leq 1$ for the coefficient of each projector.

The main technical complication in $D > 4$ is that many intermediate representations can appear. Furthermore, multiple index contractions can exist for a given representation. Listing them is equivalent to enumerating on shell three-point vertices between two massless and one massive particle. We introduce here an efficient method to construct structures and projectors in arbitrary D .

A. Partial wave expansion

Concretely, the partial wave expansion for a $2 \rightarrow 2$ graviton scattering amplitude takes the form

$$\mathcal{M} = s^{\frac{4-D}{2}} \sum_{\rho} n_{\rho}^{(D)} \sum_{ij} (a_{\rho}(s))_{ji} \pi_{\rho}^{ij}, \quad (13)$$

where ρ runs over finite-dimensional irreps of $\text{SO}(d)$, and the normalization $n_{\rho}^{(D)}$ is in (C10). For completeness, a derivation of this formula is presented in Appendix C.

The partial waves π_{ρ}^{ij} are functions of polarizations and momenta that transform in the representation ρ under the little group $\text{SO}(d)$ preserving $P^{\mu} = p_1^{\mu} + p_2^{\mu}$. We build them by gluing vertices $v^{i,a}(n, e_1, e_2)$, where a is an $\text{SO}(d)$ -index for ρ , i labels linearly-independent vertices, and

$$n^{\mu} \equiv \frac{p_2^{\mu} - p_1^{\mu}}{\sqrt{(p_1 - p_2)^2}}, \quad e_i^{\mu} \equiv \varepsilon_i^{\mu} - p_i^{\mu} \frac{\varepsilon_i \cdot P}{p_i \cdot P}, \quad (14)$$

are natural vectors orthogonal to P . Note that $n^2 = 1$, and the e_i are gauge invariant, null, and orthogonal to n ,

$$n \cdot e_i = e_i^2 = 0. \quad (15)$$

In the center-of-mass frame, n and e_i are simply the orientation and polarizations of incoming particles. Defining an outgoing orientation similarly, $n^{\mu} \propto (p_4 - p_3)^{\mu}$, partial waves are defined by summing over intermediate indices,

$$\pi_{\rho}^{ij} \equiv (\overline{v^i}, v^j) \equiv \overline{v^{i,a}}(n', e_3, e_4) g_{ab} v^{j,b}(n, e_1, e_2), \quad (16)$$

where g_{ab} is an $\text{SO}(d)$ -invariant metric on ρ , and \bar{f} denotes Schwarz reflection $\bar{f}(x) = (f(x^*))^*$.

Unitarity of S implies that the matrix $S_{\rho}(s) \equiv 1 + i a_{\rho}(s)$ satisfies $|S_{\rho}(s)| \leq 1$, which implies $0 \leq \text{Im} a_{\rho} \leq 2$ (where an inequality of matrices is interpreted as positive-semidefiniteness of the difference). We illustrate these concepts in some examples in Appendix C.

Our method uses the two sides of the unitarity inequality in different ways: the non-perturbative upper bound ensures convergence of sum rules through (10), whereas positivity ($0 \leq \text{Im} a_{\rho}$) constrains the sign of otherwise unknown heavy state contributions to these sum rules.

B. Review of orthogonal representations

A finite-dimensional irrep of $SO(d)$ is specified by a highest weight $\rho = (m_1, \dots, m_n)$, where $n = \lfloor d/2 \rfloor$, see e.g., [14,15]. The m 's are integers for bosonic representations and half-integers for fermionic representations, satisfying

$$m_1 \geq \dots \geq m_{n-1} \geq |m_n|. \tag{17}$$

For tensor representations, $|m_i|$ are the row lengths of the Young diagram for ρ . Note that m_n must be positive in odd- d , but can be negative in even- d —the sign of m_n indicates the chirality of the representation. We omit vanishing m 's from the end of the list, for instance denoting a spin- J traceless symmetric tensor by (J) .

To manipulate tensors, we represent them as index-free polynomials in polarization vectors $w_1, \dots, w_n \in \mathbb{C}^d$, one for each row. The traceless and symmetry properties of a given irrep are captured by taking these to be orthogonal and defined modulo gauge redundancies [16]:

$$w_i^2 = w_i \cdot w_j = 0, \quad w_j \sim w_j + \#w_i \quad \text{for } j > i. \tag{18}$$

The latter means that allowed functions of w must be annihilated by $w_1 \cdot \partial_{w_2}$, etc. Three-point vertices are then simply $SO(d)$ -invariant polynomials $v^i(w_1, \dots, w_n; n, e_1, e_2)$ where the w 's play the same role for a massive particle that the e 's play for gravitons.

Polynomials satisfying the gauge condition can be easily constructed by inscribing vectors in the boxes of a Young tableau, where each column represents an antisymmetrized product with w 's. For example, given vectors $a^\mu, \dots, e^\mu \in \mathbb{C}^d$, we can define a tensor in the $(3,2)$ representation via

$$\begin{array}{|c|c|c|} \hline a & c & e \\ \hline b & d & \\ \hline \end{array} \equiv [w_1 \cdot a \ w_2 \cdot b - (a \leftrightarrow b)] [w_1 \cdot c \ w_2 \cdot d - (c \leftrightarrow d)] w_1 \cdot e. \tag{19}$$

Any tableau defines a valid tensor. Tableaux are not unique, since we can permute columns. Also, antisymmetrizing all the boxes in one column with another box (of not higher height) yields a vanishing polynomial, e.g.,

$$\begin{array}{|c|} \hline a \\ \hline b \\ \hline \end{array} + \begin{array}{|c|} \hline b \\ \hline c \\ \hline \end{array} + \begin{array}{|c|} \hline c \\ \hline a \\ \hline \end{array} = 0. \tag{20}$$

C. Vertices with two massless and one heavy state

With this technology, we can straightforwardly write all three-point vertices between two gravitons and an arbitrary massive state. Here we focus on generic dimensions $D \geq 8$, relegating special cases in lower dimensions to Appendix B. All we can write are the dot product $e_1 \cdot e_2$ and Young tableaux in which each box contains either n, e_1 or e_2 . Evidently, no tableau can have more than three rows, by antisymmetry.

As a warmup, consider two nonidentical massless scalars. Two-particle states form traceless symmetric tensors of rank J , i.e., single-row tableaux. The only possible $SO(d)$ -invariant vertex involving n is then

$$(n \cdot w_1)^J = \begin{array}{|c|} \hline n \dots n \\ \hline \end{array} \quad (J \text{ boxes}). \tag{21}$$

Denoting by \square an arbitrary (possibly zero) number of boxes containing n , the most general coupling between two scalars and a heavy particle is thus simply \square .

Moving on to two spin-1 particles, one must add one power of each of e_1, e_2 . These can appear either as $e_1 \cdot e_2$ or inside a tableau, giving the exhaustive list:

$$\begin{array}{|c|} \hline \bullet \\ \hline \end{array} e_1 \cdot e_2, \quad \begin{array}{|c|} \hline e_1 e_2 \bullet \\ \hline \end{array}, \quad \begin{array}{|c|} \hline e_1 \bullet \\ \hline e_2 \\ \hline \end{array}, \quad \begin{array}{|c|} \hline e_1 e_2 \bullet \\ \hline n \\ \hline \end{array}, \quad \begin{array}{|c|} \hline e_1 \bullet \\ \hline e_2 \\ \hline n \\ \hline \end{array}, \quad \begin{array}{|c|} \hline e_1 e_2 \bullet \\ \hline n \ n \\ \hline \end{array}. \tag{22}$$

A potential tableau $\begin{array}{|c|} \hline e_2 e_1 \bullet \\ \hline n \\ \hline \end{array}$ was removed since it is redundant thanks to (20). Thus, there are six possible vertices. If the two particles are identical, e.g., photons, we get additional restrictions on the parity in n —for example the number of boxes in the first two structures must be even.

The analogous basis of couplings for gravitons in generic dimension $D \geq 8$ are shown in Table II. This basis agrees with [17]. Changes in lower dimensions are listed in Appendix B.

TABLE II. The 20 graviton-graviton-massive couplings in generic dimension ($D \geq 8$). Cells collect structures that can be in the same representation. \bullet stands for an arbitrary (possibly zero) even number of n boxes; S flips n and swaps e_1 and e_2 .

$\begin{array}{ c c } \hline \bullet & \bullet \\ \hline \end{array} (e_1 \cdot e_2)^2$	$\begin{array}{ c } \hline e_1 \ n \ \bullet \\ \hline e_2 \\ \hline \end{array} e_1 \cdot e_2$	$(1+S) \begin{array}{ c } \hline e_1 e_2 \ n \ \bullet \\ \hline n \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c } \hline e_1 \ \bullet \\ \hline e_2 \\ \hline n \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c } \hline e_1 e_1 \ \bullet \\ \hline e_2 e_2 \\ \hline n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 e_2 \ \bullet \\ \hline e_2 \ n \ n \\ \hline n \\ \hline \end{array}$
$\begin{array}{ c c } \hline e_1 e_2 \ \bullet \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c } \hline e_1 e_1 e_2 \ n \ \bullet \\ \hline e_2 \\ \hline \end{array}$	$(1+S) \begin{array}{ c } \hline e_1 e_2 e_1 e_2 \ n \ \bullet \\ \hline n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 e_2 \ \bullet \\ \hline e_2 \\ \hline n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_2 \ \bullet \\ \hline n \ n \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c } \hline e_1 e_1 e_2 e_2 \ \bullet \\ \hline n \ n \ n \ n \\ \hline \end{array}$
$\begin{array}{ c c c } \hline e_1 e_1 e_2 e_2 \ \bullet \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 e_2 \ n \ \bullet \\ \hline e_2 \ n \ n \\ \hline \end{array}$	$(1+S) \begin{array}{ c } \hline e_1 e_1 e_2 e_2 \ n \ \bullet \\ \hline n \ n \ n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 \ n \ \bullet \\ \hline e_2 e_2 \\ \hline n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_2 e_1 e_2 \ \bullet \\ \hline n \ n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 e_2 e_2 \ \bullet \\ \hline n \ n \ n \ n \\ \hline \end{array}$
$(1+S) \begin{array}{ c } \hline e_1 e_1 e_2 \ \bullet \\ \hline e_2 \ n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 e_2 \ n \ \bullet \\ \hline e_2 \ n \ n \\ \hline \end{array}$	$(1+S) \begin{array}{ c } \hline e_1 e_1 e_2 e_2 \ n \ \bullet \\ \hline n \ n \ n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 \ n \ \bullet \\ \hline e_2 e_2 \\ \hline n \\ \hline \end{array}$	$(1+S) \begin{array}{ c } \hline e_1 e_1 e_2 \ n \ \bullet \\ \hline e_2 \ n \\ \hline \end{array}$	$\begin{array}{ c } \hline e_1 e_1 \ \bullet \\ \hline e_2 e_2 \\ \hline n \ n \\ \hline \end{array}$

D. Gluing vertices using weight-shifting operators

To glue vertices into partial waves we need to sum over intermediate spin states. This can be achieved efficiently using weight-shifting operators [18]. A general weight-shifting operator \mathcal{D}^a is an $\text{SO}(d)$ -covariant differential operator that carries an index a for some finite-dimensional representation of $\text{SO}(d)$, such that acting on a tensor in the representation ρ it gives a tensor in the representation with shifted weights $\rho + \delta$. We will be particularly interested in the operator $\mathcal{D}^{(h)\mu}$ that removes one box at height h from a Young diagram with height h ,

$$\mathcal{D}^{(h)\mu}: \rho = (m_1, \dots, m_h) \rightarrow (m_1, \dots, m_h - 1) \equiv \rho'. \quad (23)$$

Conceptually, $\mathcal{D}^{(h)\mu}$ is a Clebsch-Gordon coefficient for $\rho' \subset \square \otimes \rho$: this ensures its existence and uniqueness up to normalization. Explicitly, $\mathcal{D}^{(h)\mu}$ is given by [19]

$$\begin{aligned} \mathcal{D}^{(h)\mu_0} &= \left(\delta_{\mu_1}^{\mu_0} - \frac{w_1^{\mu_0}}{N_1^{(h)}} \frac{\partial}{\partial w_1^{\mu_1}} \right) \left(\delta_{\mu_2}^{\mu_1} - \frac{w_2^{\mu_1}}{N_2^{(h)}} \frac{\partial}{\partial w_2^{\mu_2}} \right) \cdots \\ &\times \left(\delta_{\mu_h}^{\mu_{h-1}} - \frac{w_h^{\mu_{h-1}}}{N_h^{(h)} - 1} \frac{\partial}{\partial w_h^{\mu_h}} \right) \frac{\partial}{\partial w_{h\mu_h}}, \end{aligned} \quad (24)$$

where $N_i^{(h)} = d - 1 + m_i + m_h - i - h$. Notice the shift by 1 in the last parenthesis: $1/(N_h^{(h)} - 1)$. The $h = 1$ case of (24) is the familiar Todorov/Thomas operator that acts on traceless symmetric tensors [21].

For the definition (24) to be consistent, the following properties must hold:

- (i) $\mathcal{D}^{(h)\mu}$ preserves the gauge constraints: for all $i < j$, $w_i \cdot \partial_{w_j} \mathcal{D}^{(h)\mu} X = 0$ if X satisfies the same.
- (ii) $\mathcal{D}^{(h)\mu}$ sends traces to traces. By ‘‘traces’’ we mean index contractions in strictly gauge-invariant polynomials (not just products $w_2 \cdot w_3$)—for example, the following expression where μ denotes a unit vector in the μ direction:

$$\sum_{\mu=1}^d \frac{\begin{array}{|c|c|} \hline a & c \\ \hline b & \mu \\ \hline \end{array}}{\begin{array}{|c|} \hline \mu \\ \hline \end{array}}. \quad (25)$$

These properties are nontrivial and determine $\mathcal{D}^{(h)\mu}$ up to an overall constant, which can be fixed by considering traces on height- h columns. For example, consider adjacent gauge transformations $w_i \cdot \partial_{w_{i+1}}$. Commuting across the i th and $(i + 1)$ th parentheses one finds an unwanted term proportional to $(N_i^{(h)} - m_i) - (N_{i+1}^{(h)} - m_{i+1} + 1)$, whose vanishing recursively determines all N 's in terms of $N_h^{(h)}$ as stated below (24).

Effectively, $\mathcal{D}^{(h)}$ recovers indices from index-free polynomials and enables one to evaluate the pairing (16) recursively in terms of simpler pairings, for example

$$\begin{aligned} \left(\begin{array}{|c|} \hline a \\ \hline b \\ \hline c \\ \hline \end{array} \cdots, \begin{array}{|c|} \hline \\ \hline \\ \hline \end{array} \cdots \right) &= \frac{1}{m_3} \left(\begin{array}{|c|} \hline a \\ \hline b \\ \hline c \\ \hline \end{array} \cdots, c \mathcal{D}^{(3)} \left[\begin{array}{|c|} \hline \\ \hline \\ \hline \end{array} \cdots \right] \right) \\ &+ 2 \text{ cyclic rotations of } a, b, c. \end{aligned} \quad (26)$$

Such a formula holds for any choice of a column of maximal height h on the left factor, giving $1/m_h$ times a sum with alternating sign over the boxes it contains, see (F1). In practice, since $\mathcal{D}^{(h)}$ sends tableaux to tableaux, it can be elegantly implemented as a combinatorial operation, as discussed in Appendix F.

By repeatedly applying (26) and its generalization (F1), any pairing can be reduced to a pairing between single-row tableaux of length $m_1 = J$:

$$\left(\begin{array}{|c|c|c|} \hline a & b & c \\ \hline n & \cdots & n \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline e & f & g \\ \hline n' & \cdots & n' \\ \hline \end{array} \right). \quad (27)$$

This can be computed by taking derivatives with respect to n and n' of the scalar partial wave (see also [22]):

$$\begin{aligned} \left(\begin{array}{|c|} \hline n \\ \hline \end{array}, \begin{array}{|c|} \hline n' \\ \hline \end{array} \right) &= (n^{\mu_1} \cdots n^{\mu_J} - \text{traces})(n'_{\mu_1} \cdots n'_{\mu_J}) \\ &= \frac{(d-2)_J}{2^J \binom{d-2}{2}_J} \mathcal{P}_J(n \cdot n'), \end{aligned} \quad (28)$$

where $\mathcal{P}_J(x)$ is a Gegenbauer polynomial [see (C14)] and $(a)_n$ is the Pochhammer symbol. Thus, (26) and (28) allow us to glue the vertices from Table II into partial wave expressions which hold for arbitrary $J = m_1$, involving derivatives of $\mathcal{P}_J(x)$ times dot products between graviton polarizations e_j and directions n, n' . This procedure can be straightforwardly and efficiently automated on a computer.

To limit the size of final expressions, we use the Gegenbauer equation $(x^2 - 1)\partial_x^2 \mathcal{P}_J(x) + \dots = 0$ to remove any monomial of the form $x^a \mathcal{P}_J^{(b)}(x)$ with $a, b \geq 2$. We then insert a set of linearly independent polarizations to project onto the generators (8) of the local module and extract $\mathcal{M}^{(i)}$'s that are polynomials in x . Finally, we use the Gram-Schmidt method to find orthonormal combinations of vertices according to (C15). As a consistency check on our results, we verified that our partial waves are eigenvectors of the $\text{SO}(d)$ quadratic Casimir.

IV. RESULTS AND INTERPRETATION

Dispersive sum rules like (12) express low-energy EFT parameters as sums of high-energy partial waves, times unknown positive couplings [through (13) with $0 \leq \text{Im} a_\rho$]. The ‘‘bootstrap’’ game consists in finding linear combinations such that all unknowns contribute with the same sign. Such combinations yield rigorous inequalities that EFT parameters must satisfy if a causal and unitary UV completion exists.

To obtain optimal inequalities in a gravitational setting, we follow the numerical search strategy of [10,12].

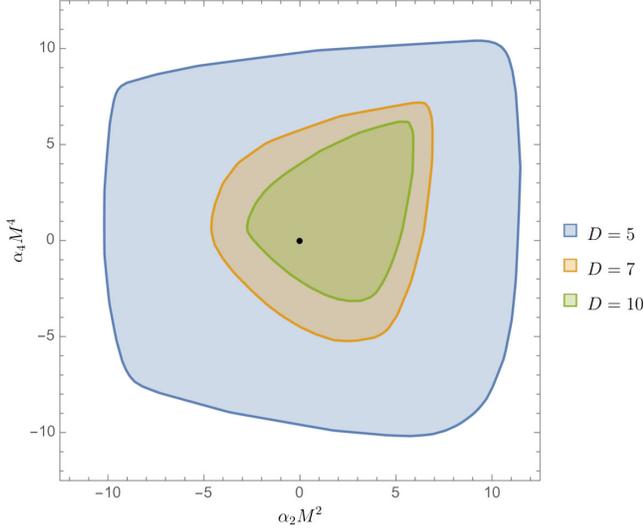


FIG. 1. Allowed region for couplings α_2 and α_4 in $D = 5, 7$ and 10 spacetime dimensions, in units of the mass M of higher-spin states.

Because of the graviton pole, it is not legitimate to expand around the forward limit; rather our trial basis consists of the improved sum rules $B_k^{\text{imp}}(p^2)$ integrated against wave packets $\psi_i(p)$ with $|p| \leq M$. We ask for a positive action on every state of mass $m \geq M$ and arbitrary $\text{SO}(d)$ irrep, as well as on light exchanges of spin $J \leq 2$ and any mass. Full details of our implementation are given in Appendix E.

Figure 1 displays our main result; the allowed region for the dimensionless parameters $(\alpha_2 M^2, \alpha_4 M^4)$ which control the leading corrections to the action (1), in terms of the mass M of higher-spin states. For the purposes of illustration, we show the results for $D = 5, 7, 10$; other dimensions D lead to qualitatively similar plots. The parameters are defined more precisely in (D1), and enter the on shell three-graviton vertex (D3). It would be interesting to compare these bounds with the explicit values of Wilson coefficients in “theory islands” arising from known UV completions [23].

The M scaling of the bounds is significant: it implies that higher-derivative corrections can never parametrically compete with the Einstein-Hilbert term, within the regime of validity of a gravitational EFT. As soon as corrections become significant, new particles must be around the corner. Since we assume $M \ll M_{\text{pl}}$, graviton scattering is still weak at the cutoff. In gravity, unlike in other low-energy theories, the leading (Einstein-Hilbert) interactions cannot be tuned to zero without setting all other interactions to zero.

What happens at the scale M ? Since we allowed for exchanges of arbitrary light states of low spins, M is associated with the mass of $J \geq 3$ states. The importance of higher-spin states was anticipated in [3]. In general, higher-spin states must come in towers that include all spins [24]. For instance, M could signal the beginning of a tower of higher-spin particles (as in weakly coupled string theory),

that each couple to two gravitons with strength $\sim M^2 \sqrt{G}$. Alternatively, M could be the energy at which loops representing a large number $N \sim M^{2-D}/G$ of two-particle states that couple with weaker strength $M^{\frac{D+2}{2}} G$ to two gravitons, become non-negligible [25,26]. Either way, graviton scattering must be profoundly modified at the scale M and above, while remaining weak.

Our flat-space bounds have implications in curved spacetimes. As explained in [11], since the scattering processes under consideration take place in a region of small size $\sim 1/M$, flat-space dispersive bounds uplift in anti-de Sitter (AdS) to rigorous bounds on holographic CFTs, up to corrections suppressed by $1/(MR_{\text{AdS}}) = 1/\Delta_{\text{gap}}$.

Focusing on $D = 5$ (the $\text{AdS}_5/\text{CFT}_4$ correspondence), stress-tensor two- and three-point functions are characterized by three parameters, including the central charges a and c that enter the conformal anomaly [27]. Their relation to higher-derivative couplings is particularly simple when the EFT action is expressed in terms of Weyl tensors, so that renormalization of the AdS radius is avoided. Using the field redefinition invariant formulas from [28] we find

$$a = \pi^2 \frac{R_{\text{AdS}}^3}{8\pi G}, \quad \frac{a-c}{a} = \frac{2\alpha_2}{R_{\text{AdS}}^2}. \quad (29)$$

Figure 1 thus implies a sharp central charge bound,

$$\left| \frac{a-c}{c} \right| \leq \frac{23}{\Delta_{\text{gap}}^2} + \mathcal{O}(1/\Delta_{\text{gap}}^4) \quad (\text{AdS}_5/\text{CFT}_4), \quad (30)$$

which could potentially be improved at the $\sim 5\%$ level. In holographic theories, this result is stronger than the conformal collider bound $\frac{1}{3} \leq \frac{a}{c} \leq \frac{31}{18}$ [29] and establishes the parametric scaling anticipated in [3,30,31]. We stress that since Δ_{gap} is the dimension of the lightest higher-spin (nondouble-trace) operator, the bound holds even in the presence of light Kaluza-Klein modes (as in $\text{AdS}_5 \times S_5$) and is generally independent of the geometry of the internal manifold. The sign of $(a-c)$ is significant [32]; our results do not exclude either sign.

The leading contact interaction in $D \geq 7$ is the 6-derivative “third Lovelock term”, which is related to α'_4 in (1). Our bounds for this coefficient depend only weakly on its sign and on α_2, α_4 , and yield the absolute limits in e.g., $D = 7, 10$:

$$|\alpha'_4 M^4| \leq 56(D=7), \quad |\alpha'_4 M^4| \leq 25(D=10). \quad (31)$$

In analogy with scalar EFTs [24,33–37] and four-dimensional gravitons and photons [12,38–40], we expect this method to yield two-sided bounds on all higher-derivative interactions that can be probed by four-graviton scattering, and on many derivative couplings involving matter fields.

ACKNOWLEDGMENTS

We thank Cyuan-Han Chang, Clifford Cheung, Yanky Landau, Petr Kravchuk, and Sasha Zhiboedov for discussions. D. S. D., S. C. H., and Y. Z. L. are supported by the Simons Foundation through the Simons Collaboration on the Nonperturbative Bootstrap. DSD is also supported by a DOE Early Career Award under Grant No. DE-SC0019085. S. C. H. is also supported by the Canada Research Chair program, reference number CRC-2021-0042 and the Sloan Foundation. J. P. M. is supported by the DOE under Grant No. DE-SC0011632. The computations presented here were conducted in the Resnick High Performance Computing Center, a facility supported by Resnick Sustainability

Institute at the California Institute of Technology. This research was enabled in part by support provided by Calcul Québec and Compute Canada (Narval and Graham clusters).

APPENDIX A: LOCAL MODULE AND SUM RULES IN VARIOUS DIMENSIONS

1. Sum rules in $D \geq 8$

In $D \geq 8$, there are 19 independent sum rules with even spin $k \geq 2$ that can be constructed from applying dispersion relations to coefficients of the local basis with independent Regge limits (8),

$$B_k(p^2) = \oint_{\infty} \frac{ds}{4\pi i} \left\{ \frac{(s-u)\mathcal{M}^{(3,10)}(s,u)}{(-su)^{\frac{k-2}{2}}}, \frac{(s-u)\mathcal{M}^{(2,5,8,9)+}(s,t)}{(-su)^{\frac{k-2}{2}}}, \frac{(s-u)(\mathcal{M}^{(6)+}(s,t) + \mathcal{M}^{(7)}(s,u))}{(-su)^{\frac{k-2}{2}}}, \frac{\mathcal{M}^{(4,6,9)-}(s,t)}{(-su)^{\frac{k-2}{2}}}, \right. \\ \times \frac{\mathcal{M}^{(5)-}(s,u)}{(-su)^{\frac{k-2}{2}}}, \frac{(s-u)\mathcal{M}^{(1,6,7,8)}(s,u)}{(-su)^{\frac{k}{2}}}, \frac{(s-u)\mathcal{M}^{(3)+}(s,t)}{(-su)^{\frac{k}{2}}}, \frac{(s-u)(\mathcal{M}^{(5)+}(t,s) - 2\mathcal{M}^{(4)}(s,u))}{(-su)^{\frac{k}{2}}}, \frac{\mathcal{M}^{(5)-}(t,u)}{(-su)^{\frac{k}{2}}}, \\ \left. \times \frac{(s-u)\mathcal{M}^{(2)}(s,u)}{(-su)^{\frac{k+2}{2}}} \right\} = 0, \quad (\text{A1})$$

where $\mathcal{M}^{\pm} \equiv \mathcal{M} \pm (s \leftrightarrow u)$ and $t = -p^2 = -s - u$ is held fixed. We use multiple superscripts $\mathcal{M}^{(i_1, \dots, i_k)}$ to indicate a sequence of similar expressions involving the amplitudes $\mathcal{M}^{(i_1)}, \dots, \mathcal{M}^{(i_k)}$. For odd $k > 1$, there are 10 independent sum rules,

$$B_k(p^2) = \oint_{\infty} \frac{ds}{4\pi i} \left\{ \frac{\mathcal{M}^{(2,5,8)-}(s,t)}{(-su)^{\frac{k-3}{2}}}, \frac{\mathcal{M}^{(3,7)-}(s,t)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(4,7)+}(s,t)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(5)+}(s,u)}{(-su)^{\frac{k-1}{2}}}, \right. \\ \left. \times \frac{(s-u)\mathcal{M}^{(9)}(s,u)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(4)}(s,u)}{(-su)^{\frac{k+1}{2}}} \right\} = 0. \quad (\text{A2})$$

The Regge bound (10) implies that these sum rules converge for $k > 1$.

2. Sum rules in lower dimensions

In lower dimensions $D \leq 7$, there are two novelties for local modules as noted in [8]. First, new identities can reduce the number of parity-even generators of the local module. This does not occur in $D = 7$. However, in $D = 6$ the generator \mathcal{G} does not exist, thus we must remove the parity-even sum rules involving $\mathcal{M}^{(1)}(s, u)$. Similarly in $D = 5$, we simply remove the parity-even sum rules involving $\mathcal{M}^{(1,6,7)}(s, u)$.

The second novelty in lower dimensions is that new parity-odd structures appear. Following [8], we organize them into multiplets under permutations. In $D = 7$, there is one parity-odd singlet and two parity-odd triplets:

$$\begin{aligned} \text{singlets: } & iS\epsilon(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, p_1, p_2, p_4)\mathcal{M}^{(13)}(s, u), \\ \text{triplets: } & iH_{14}H_{23}\epsilon(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, p_1, p_2, p_4)\mathcal{M}^{(11)}(s, u), \quad (D = 7) \\ & iX_{1243}\epsilon(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, p_1, p_2, p_4)\mathcal{M}^{(12)}(s, u). \end{aligned} \quad (\text{A3})$$

Correspondingly, we can construct more sum rules

$$B_k(p^2) = \oint \frac{ds}{4\pi i} \left\{ \frac{\mathcal{M}^{(11)-}(s,t)}{(-su)^{\frac{k-2}{2}}}, \frac{(s-u)\mathcal{M}^{(12)}(s,u)}{(-su)^{\frac{k}{2}}} \right\} = 0 \quad (\text{even } k, D = 7), \\ B_k(p^2) = \oint \frac{ds}{4\pi i} \left\{ \frac{\mathcal{M}^{(12)-}(s,t)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(11,12)+}(s,t)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(13)}(s,u)}{(-su)^{\frac{k-1}{2}}} \right\} = 0 \quad (\text{odd } k, D = 7). \quad (\text{A4})$$

In $D = 6$, there are three parity-odd triplets:

$$\begin{aligned}
& H_{14}H_{23}\sigma_{1234} \cdot (V_1\epsilon(\epsilon_2, \epsilon_3, \epsilon_4, p_2, p_3, p_4))\mathcal{M}^{(10)}(s, u), \\
& \sigma_{23}^{14} \cdot (\sigma_{12}^{34} \cdot (H_{24}H_{34}V_1\epsilon(\epsilon_1, \epsilon_2, \epsilon_3, p_1, p_2, p_3)))\mathcal{M}^{(11)}(s, u), \\
& \sigma_{12}^{34} \cdot ((H_{234}V_1 - H_{123}V_4)(-p_2 \cdot p_3\epsilon(\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, p_1, p_4) + (p_3 \leftrightarrow \epsilon_3) - (p_2 \leftrightarrow \epsilon_2) + (p_{2,3} \leftrightarrow \epsilon_{2,3})))\mathcal{M}^{(12)}(s, u). \quad (\text{A5})
\end{aligned}$$

Here, we have introduced permutation operators σ to simplify the expressions:

$$\begin{aligned}
\sigma_{1234} \cdot A_{1234} &\equiv A_{1234} - A_{2341} + A_{3412} - A_{4123}, \\
\sigma_{ij}^{kl} \cdot A_{1234} &\equiv A_{1234} + (i \leftrightarrow j, k \leftrightarrow l). \quad (\text{A6})
\end{aligned}$$

The corresponding parity-odd sum rules in $D = 6$ are given by

$$\begin{aligned}
B_k(p^2) &= \oint \frac{ds}{4\pi i} \left\{ \frac{(s-u)\mathcal{M}^{(10)+}(s, t)}{(-su)^{\frac{k-2}{2}}}, \frac{\mathcal{M}^{(11)-}(s, u)}{(-su)^{\frac{k-2}{2}}}, \frac{\mathcal{M}^{(12)}(s, u)}{(-su)^{\frac{k-2}{2}}} \right\} = 0 \quad (\text{even } k, D = 6), \\
B_k(p^2) &= \oint_{\infty} \frac{ds}{4\pi i} \left\{ \frac{\mathcal{M}^{(11)}(s, u)}{(-su)^{\frac{k-3}{2}}}, \frac{\mathcal{M}^{(10,12)-}(t, s)}{(-su)^{\frac{k-3}{2}}}, \frac{\mathcal{M}^{(8)}(s, u)}{(-su)^{\frac{k-1}{2}}}, \frac{(s-u)\mathcal{M}^{(10,12)+}(t, s)}{(-su)^{\frac{k-1}{2}}} \right\} = 0 \quad (\text{odd } k, D = 6). \quad (\text{A7})
\end{aligned}$$

Finally, in $D = 5$ there is one parity-odd triplet

$$-i\sigma_{12}^{34} \cdot (\sigma_{14} \cdot (H_{23}H_{234}V_1\epsilon(\epsilon_1, \epsilon_4, p_1, p_2, p_4)))\mathcal{M}^{(8)}(s, u), \quad (D = 5) \quad (\text{A8})$$

which gives rise to three independent sum rules,

$$\begin{aligned}
B_k(p^2) &= \oint_{\infty} \frac{ds}{4\pi i} \left\{ \frac{(s-u)\mathcal{M}^{(8)-}(t, s)}{(-su)^{\frac{k-2}{2}}}, \frac{\mathcal{M}^{(8)}(s, u)}{(-su)^{\frac{k-2}{2}}} \right\} = 0 \quad (\text{even } k, D = 5), \\
B_k(p^2) &= \oint_{\infty} \frac{ds}{4\pi i} \left\{ \frac{\mathcal{M}^{(8)-}(t, s)}{(-su)^{\frac{k-3}{2}}} \right\} = 0 \quad (\text{odd } k, D = 5). \quad (\text{A9})
\end{aligned}$$

3. Improved sum rules

Equations (A1)–(A9) provide complete sets of dispersive sum rules in the considered dimensions. By “complete” we mean that any sum rule with spin- k convergence can be expressed as finite sum of the $B_{\leq k}$ up to corrections that vanish faster than spin- k at high energies. Generically, the action of $B_k(p^2)$ on the low-energy amplitude (D4) yields an infinite series of contact interactions. Following the method in [10], all but a finite number of contacts can be removed by adding an infinite series of higher-spin sum rules $B_{>k}^{(n)}(0)$ expanded around the forward limit. As further discussed in [12], while it is not allowed to expand $k = 2$ sum rules in the forward limit (due to the graviton pole), there are no analogous problems for $k > 2$. Explicit formulas for the resulting $B_k^{\text{imp}}(p^2)$ sum rules are recorded in the Supplemental Material [41].

APPENDIX B: VERTICES IN LOWER DIMENSIONS

In the main text, we described three-point vertices for two gravitons and a massive state in dimensions $D \geq 8$. In lower spacetime dimensions, the counting of three-point

structures is modified, and we must take into account additional ingredients in the representation theory of the little group $\text{SO}(d)$ (where $d = D - 1$). In this section, we describe these ingredients, and then discuss the individual cases $D = 7, 6, 5$ in turn. Detailed expressions can be found in the Supplemental Material [41].

1. Representation theory ingredients

a. Self-duality and ϵ -symbols

When $d = 2n$ is even, representations with full-height Young diagrams split into self-dual or anti-self-dual cases, according to whether m_n is positive or negative. Let us explain how to account for this in our index-free formalism. Recall that the polarization vectors w_i satisfy the orthogonality conditions and gauge redundancies (18). When d is even, the variety defined by these conditions (called a “flag variety”) splits into two irreducible components V_{\pm} , distinguished by whether $w_1 \wedge \dots \wedge w_n$ is self-dual or anti-self-dual. Specifically, we have

$$\frac{i^n}{n!} \epsilon_{\nu_1 \dots \nu_n}^{\mu_1 \dots \mu_n} w_1^{\nu_1} \dots w_n^{\nu_n} = \pm w_1^{[\mu_1} \dots w_n^{\mu_n]} \quad \text{on } V_{\pm}. \quad (\text{B1})$$

To see why there are two components V_{\pm} , we can recursively solve the orthogonality conditions $w_i \cdot w_j = 0$. First, we use $\text{SO}(d)$ -invariance and rescaling to set $w_1 = (1, i, 0, \dots, 0)$. Using gauge-redundancies and $w_1 \cdot w_i = 0$, the remaining w_i must have the form $w_i = (0, 0, w_i^{\perp})$, where $w_i^{\perp} \in \mathbb{C}^{d-2}$ are null vectors. The w_i^{\perp} satisfy precisely the conditions and gauge redundancies for the flag variety of $\text{SO}(d-2)$. Repeating this process for the w_i^{\perp} 's, we eventually arrive at the flag variety for $\text{SO}(2)$, parametrized by a single null vector $w_n^{\perp \dots \perp} \in \mathbb{C}^2$. Up to $\text{SO}(2)$ transformations and rescaling, there are two possible null vectors $w_n^{\perp \dots \perp} = (1, \pm i)$, corresponding to the two components.

The following combinations thus project the polynomial (19) associated with a tableau onto its self-dual (antiself-dual) part:

$$\begin{array}{|c|} \hline a_1 \\ \hline \vdots \\ \hline a_n \\ \hline \end{array} \pm = \begin{array}{|c|} \hline a_1 \\ \hline \vdots \\ \hline a_n \\ \hline \end{array} \pm i^n \epsilon(w_1, \dots, w_n, a_1, \dots, a_n). \quad (\text{B2})$$

Furthermore, the product of (B2) with any polynomial in the w_i 's is also self-dual (antiself-dual), since it vanishes on V_- (V_+). In general, we define a tableau with chirality \pm by adding an ϵ term to any full-height column, for example,

$$\begin{array}{|c|c|c|} \hline a & d & g \\ \hline b & e & \\ \hline c & f & \\ \hline \end{array} \pm = \left(\begin{array}{|c|} \hline a \\ \hline b \\ \hline c \\ \hline \end{array} \pm \right) \begin{array}{|c|c|} \hline d & g \\ \hline e & \\ \hline f & \\ \hline \end{array}. \quad (\text{B3})$$

Note that it doesn't matter which full-height column we choose—the resulting polynomial is the same since it agrees on both components V_+ and V_- ; this can be verified explicitly with Gram determinant identities.

b. Counting three-point structures

Using the methods of [17,42], one can show that possible three-point vertices for the representation ρ are classified by the following formula:

$$\begin{aligned} \text{odd } D \text{ or } D \geq 8 : & \begin{cases} (\text{S}^2 \square_{d-1} \otimes \rho)^{\bullet} & \text{if } |\rho| \text{ is even} \\ (\wedge^2 \square_{d-1} \otimes \rho)^{\bullet} & \text{if } |\rho| \text{ is odd} \end{cases} \\ \text{even } D : & (\text{S}^2 \square_{d-1} \otimes \rho)_{(-1)^{|\rho|}}^{\bullet} \oplus (\wedge^2 \square_{d-1} \otimes \rho)_{(-1)^{|\rho|+1}}^{\bullet}. \end{aligned} \quad (\text{B4})$$

Here, \square_{d-1} denotes the spin-2 representation of $\text{SO}(d-1)$. When we tensor an $\text{SO}(d-1)$ representation with ρ , we implicitly dimensionally reduce ρ to an $\text{SO}(d-1)$ representation. The notation $(\lambda)^{\bullet}$ denotes the $\text{SO}(d-1)$ -singlet subspace of λ , and $(\lambda)_{\pm}^{\bullet}$ denotes the $\text{SO}(d-1)$ singlet subspace with parity \pm . Finally, $|\rho|$ is the number of boxes in the Young diagram of ρ . The formula (B4) is useful for detecting linear dependencies between Young tableau in various spacetime dimensions.

c. Implications of CRT

CRT symmetry relates the $\text{SO}(d)$ representation ρ to the dual reflected representation $(\rho^R)^*$. When $d \equiv 1, 2$, or $3 \pmod{4}$, we have simply $(\rho^R)^* = \rho$. In this case, we can choose conventions where three-point couplings for graviton-graviton- ρ vertices are real, simply by making the couplings invariant under $p_j^{\mu} \mapsto -p_j^{\mu}, i \mapsto -i$. In particular, when computing positivity bounds, we impose that the contribution of each type of partial wave to a sum rule is a positive-definite real symmetric matrix.

Meanwhile, when $d \equiv 0 \pmod{4}$, dual reflection changes the sign of the weight m_n , and hence exchanges self-dual and antiself-dual representations $\rho_+ \leftrightarrow \rho_-$. In this case, CRT implies that three-point coefficients of ρ_+ and ρ_- are complex conjugates of each other. We discuss the implications of this for positivity bounds in $D = 5$ below.

2. Vertices in $D = 7$ ($d = 6$)

Because $d = 6$ is even, representations with height-3 Young diagrams split into self-dual and antiself-dual cases. The only effect is to double the number of height-3 tableaux in Table II by adding a \pm chirality to each.

Let us denote a self-dual (antiself-dual) representation by ρ_+ (ρ_-). In the absence of parity symmetry, the three-point amplitudes $g_{gg\rho_{\pm}}$ between two gravitons and states in ρ_+ or ρ_- need not be related. Consequently, we must sum over partial waves for each type of representation ρ_+ and ρ_- independently. In bootstrap calculations, this requires including separate positivity conditions for ρ_+ -exchange and ρ_- -exchange.

However, the contributions of ρ_+ -exchange and ρ_- -exchange to parity-even sum rules are identical. Thus, when computing bounds using parity-even sum rules (such as our bounds on α_2 and α_4), positivity conditions associated to ρ_+ and ρ_- are redundant, and it suffices to include only one of them (say ρ_+).

3. Vertices in $D = 6$ ($d = 5$)

In spacetime dimension $D = 6$, $\text{SO}(5)$ Young tableaux can have at most two rows. Since vertices are functions of five vectors (w_1, w_2, e_1, e_2, n) , there is a unique way to use the Levi-Civita tensor. It is convenient to write it as a height-3 column,

$$\begin{array}{|c|} \hline e_1 \\ \hline e_2 \\ \hline n \\ \hline \end{array} \equiv \epsilon(w_1, w_2, e_1, e_2, n) \quad \text{for } \text{SO}(5). \quad (\text{B5})$$

At most one column can have height 3, due to a Gram determinant identity. With this convention, the only change to Table II is to remove the tableau for $(J, 2, 2)$, and to reinterpret the tableaux for $(J, 1, 1)$, $(J, 2, 1)$, and $(J, 3, 1)$ as parity-odd vertices for $(J, 1)$, $(J, 2)$, and $(J, 3)$, respectively.

TABLE III. The graviton-graviton-massive couplings in $D = 5$, as Young tableau for $\text{SO}(4)$. We use the same notation as in Table II. The meaning of the height-3 column is given in (B6).

$\begin{array}{ c } \hline \bullet \bullet \\ \hline \end{array} (e_1 \cdot e_2)^2$	$\begin{array}{ c c } \hline e_1 n \bullet \bullet \\ e_2 \\ \hline \end{array} \pm e_1 \cdot e_2$	$(1+S) \begin{array}{ c c c } \hline e_1 e_2 n \bullet \bullet \\ n \\ \hline \end{array} \pm e_1 \cdot e_2$	$\begin{array}{ c c } \hline e_1 \bullet \bullet \\ e_2 \\ n \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c c c } \hline e_1 e_2 \bullet \bullet \\ n n \\ \hline \end{array} \pm e_1 \cdot e_2$
$\begin{array}{ c c c } \hline e_1 e_2 \bullet \bullet \\ \hline \end{array} e_1 \cdot e_2$	$\begin{array}{ c c c c } \hline e_1 e_1 e_2 n \bullet \bullet \\ e_2 \\ \hline \end{array} \pm$	$(1+S) \begin{array}{ c c c c } \hline e_1 e_2 e_1 e_2 n \bullet \bullet \\ n \\ \hline \end{array} \pm$	$\begin{array}{ c c c c } \hline e_1 e_1 e_2 \bullet \bullet \\ e_2 \\ n \\ \hline \end{array}$	$\begin{array}{ c c c c c } \hline e_1 e_2 e_1 e_2 \bullet \bullet \\ n n \\ \hline \end{array} \pm$
$(1+S) \begin{array}{ c c c c } \hline e_1 e_1 e_2 \bullet \bullet \\ e_2 n \\ \hline \end{array} \pm$	$\begin{array}{ c c c c } \hline e_1 e_1 e_2 n \bullet \bullet \\ e_2 n \\ \hline \end{array} \pm$	$(1+S) \begin{array}{ c c c c } \hline e_1 e_1 e_2 e_2 n \bullet \bullet \\ n n \\ \hline \end{array} \pm$	$\begin{array}{ c c c c c } \hline e_1 e_1 e_2 e_2 \bullet \bullet \\ n n n \\ \hline \end{array} \pm$	

4. Vertices in $D = 5$ ($d = 4$)

In spacetime dimension $D = 5$, $\text{SO}(4)$ tableaux with two rows can have chirality \pm . In addition, we can use the Levi-Civita tensor in the form $\epsilon(w_1, a, b, c)$. Due to Gram determinant identities, this term can never be used if two-row columns are present, and it cannot be used twice. It is again convenient to draw it as a 3-row column,

$$\begin{array}{|c|} \hline e_1 \\ e_2 \\ n \\ \hline \end{array} \equiv \epsilon(w_1, e_1, e_2, n) \quad \text{for } \text{SO}(4). \quad (\text{B6})$$

With this convention, the tableau with row lengths $(J, 1, 1)$ get reinterpreted as a parity-odd coupling for the representation $\rho = (J)$. Note also that the counting formula (B4) implies that there are only two linearly independent vertices for the representations $(J, \pm 2)$ with even J . Overall, the possible vertices in $D = 5$ are given in Table III.

As discussed in section 1 c, when $d = 4$, CRT implies that three-point coefficients of ρ_+ and ρ_- are complex conjugates of each other. Given a pair of representations ρ_+ , ρ_- with opposite chirality, let us denote the corresponding partial waves by π_+ , π_- . The π_{\pm} are Hermitian matrices indexed by vertex labels i, j . Exploiting fact that generators of the local module are invariant under the $Z_2 \times Z_2$ symmetry which includes the interchange between initial and final states, we can choose conventions where

$$\pi_+ = \pi_-^* = \pi_-^T. \quad (\text{B7})$$

By choosing generators of the local module to be invariant under $p_j \mapsto -p_j, i \mapsto -i$, these relations automatically hold for all the coefficients of the projector on that basis. A contribution from ρ_+ -exchange to the discontinuity of the amplitude takes the form

$$\text{Tr}(M\pi_+), \quad (\text{B8})$$

where $M = g_+ g_+^\dagger$ is a Hermitian matrix built from a vector of three-point couplings g_+ . The three-point couplings for ρ_- are complex-conjugate to g_+ and can be grouped into the matrix $g_- g_-^\dagger = g_+^* g_+^T = M^* = M^T$. Together, ρ_+ and ρ_- -exchange thus contribute

$$\text{Tr}(M\pi_+) + \text{Tr}(M^T\pi_-) = \text{Tr}(M(\pi_+ + \pi_-^T)) = 2\text{Tr}(M\pi_+). \quad (\text{B9})$$

So, summing the two opposite-chirality irreps simply gives a factor of 2. In parity-even sum rules, only the real-symmetric part of M and π contributes, while for parity-odd sum rules, only the imaginary part of both contributes. Thus, when computing bounds using parity-even sum rules (as we do in this paper), we can essentially pretend that the three-point couplings are real and symmetrical. Furthermore, we need only include positivity conditions for one chirality (say ρ_+), since the contributions from ρ_- are redundant.

APPENDIX C: DETAILS ON THE PARTIAL WAVE DECOMPOSITION

In this appendix, we derive the properly normalized partial wave decomposition (13) and illustrate it for scalars and gravitons.

1. Normalized partial wave expansion

It is helpful to view the two-particle Hilbert space as a direct integral over total momentum $P = p_1 + p_2$ of Hilbert spaces \mathcal{H}_P with fixed P . Because the S -matrix preserves momentum, it acts within each \mathcal{H}_P . When $P = (E, \vec{0})$, \mathcal{H}_P is spanned by states $|n\rangle$ such that $p_1 = \frac{E}{2}(1, n)$ and $p_2 = \frac{E}{2}(1, -n)$, where n is a unit vector. Let us momentarily suppress the spin of the external particles, i.e., consider scalars. The inner product on \mathcal{H}_P is a ratio of the two-particle inner product and a momentum-conserving δ -function,

$$\begin{aligned} \langle n'|n\rangle &= \frac{\langle p_3|p_1\rangle\langle p_4|p_2\rangle + \langle p_3|p_2\rangle\langle p_4|p_3\rangle}{(2\pi)^D \delta^D(p_1 + p_2 - p_3 - p_4)} \\ &= \frac{2^d (2\pi)^{d-1}}{s^{\frac{D-4}{2}}} (\delta(n, n') + \delta(n, -n')), \end{aligned} \quad (\text{C1})$$

where $D = d + 1$ and we have used the standard single-particle inner product

$$\langle p_3|p_1\rangle = 2E_1 (2\pi)^{D-1} \delta^{D-1}(\vec{p}_1 - \vec{p}_3). \quad (\text{C2})$$

In (C1), $\delta(n, n')$ is a δ -function on the sphere S^{d-1} , and $s = E^2$. The inner product (C1) yields a corresponding completeness relation in \mathcal{H}_ρ :

$$1 = \frac{s^{\frac{D-4}{2}}}{2^d(2\pi)^{d-1}} \frac{1}{2} \int_{S^{d-1}} dn |n\rangle \langle n|, \quad (\text{C3})$$

where the factor of $\frac{1}{2}$ reflects Bose symmetry $|n\rangle = | -n\rangle$. Using this relation it will be straightforward to correctly normalize the partial wave amplitudes.

For scalar scattering, \mathcal{H}_ρ decomposes into a direct sum of irreducible representations ρ of $\text{SO}(d)$, where only even-spin traceless symmetric tensors $\rho = (J)$ appear, each with multiplicity one. In the case of graviton scattering, the states \mathcal{H}_ρ acquire extra polarization labels $|n, e_1, e_2\rangle$, where e_1, e_2 are defined by (14), which adds corresponding Kronecker deltas added to the above. More general irreps ρ can appear in the decomposition of \mathcal{H}_ρ , and furthermore they can have nontrivial multiplicity.

For each ρ , we can choose basis vectors $|i, a\rangle$ where a is an $\text{SO}(d)$ -index for ρ and i is a multiplicity label. The vertices $v^{i,a}(n, e_1, e_2)$ are proportional to the overlap of $|i, a\rangle$ with $|n, e_1, e_2\rangle$:

$$\langle i, a | n, e_1, e_2 \rangle \equiv (s^{\frac{4-D}{2}} n_\rho^{(D)})^{\frac{1}{2}} v^{i,a}(n, e_1, e_2), \quad (\text{C4})$$

where the constants out front have been introduced for later convenience. We can choose the basis to be orthonormal, $\langle i, a | j, b \rangle = \delta^{ij} g^{ab}$ where g^{ab} is an $\text{SO}(d)$ -invariant metric. Projectors on ρ are then

$$\Pi_\rho^{ij} \equiv |i, a\rangle g_{ab} \langle j, b|, \quad (\text{C5})$$

where g_{ab} is the inverse to g^{ab} . As an operator on \mathcal{H}_ρ , the $2 \rightarrow 2$ S -matrix can be expanded as a sum of projectors,

$$S|_{2 \rightarrow 2} = \sum_\rho \sum_{ij} (S_\rho(s))_{ji} \Pi_\rho^{ij}. \quad (\text{C6})$$

Unitarity of S implies that each $S_\rho(s)$ is separately a unitary matrix $S_\rho(s) S_\rho(s)^\dagger = 1$. Taking a matrix element of $\mathcal{M} = -i(S - 1)$ in the basis states $|n, e_1, e_2\rangle$, we obtain the partial wave decomposition of the gravity amplitudes (13),

$$\begin{aligned} \mathcal{M} &= \langle n', e_3^*, e_4^* | -i(S - 1) | n, e_1, e_2 \rangle \\ &= \sum_\rho \sum_{ij} (a_\rho(s))_{ji} \langle n', e_3^*, e_4^* | \Pi_\rho^{ij} | n, e_1, e_2 \rangle \\ &= s^{\frac{4-D}{2}} \sum_\rho n_\rho^{(D)} \sum_{ij} (a_\rho(s))_{ji} \pi_\rho^{ij}, \end{aligned} \quad (\text{C7})$$

where $\pi_\rho^{ij} = \bar{v}^{i,b} g_{ba} v^{j,a} \equiv (\bar{v}^i, v^j)$ and $S_\rho(s) = 1 + ia_\rho(s)$.

From this derivation, the normalization can be fixed simply by taking the trace of (C5) and using the completeness relation (C3),

$$\begin{aligned} \delta^{ij} \dim \rho &= \frac{n_\rho^{(D)}}{2^{d+1} (2\pi)^{d-1}} \int_{S^{d-1}} dn \text{Tr}(\bar{v}^i(n), v^j(n)) \\ &= \frac{n_\rho^{(D)} \text{vol} S^{d-1}}{2^{d+1} (2\pi)^{d-1}} \text{Tr}(\bar{v}^i(n), v^j(n)), \end{aligned} \quad (\text{C8})$$

where we have used rotational invariance to perform the integral over n , and Tr indicates a sum over polarization states. [We detail the precise meaning of Tr for gravitons below in (C15).] We choose to normalize the vertices so that

$$\text{Tr}(\bar{v}^i(n), v^j(n)) = \delta^{ij}. \quad (\text{C9})$$

The normalization coefficient $n_\rho^{(D)}$ is thus fixed to be $\dim \rho$ divided by essentially the phase-space volume,

$$n_\rho^{(D)} = \frac{2^{d+1} (2\pi)^{d-1} \dim \rho}{\text{vol} S^{d-1}}. \quad (\text{C10})$$

The dimension $\dim \rho$ can be computed from standard formulas, see e.g., [15,20]. For spin- J traceless symmetric tensors, we have simply

$$\dim(J) = \frac{(2J + d - 2) \Gamma(d + J - 2)}{\Gamma(d - 1) \Gamma(J + 1)}. \quad (\text{C11})$$

2. Scalar scattering

Let us determine the precise expression for π_ρ in the case of scalar scattering. Since each $\rho = (J)$ appears with multiplicity 1, there is a unique vertex function

$$v(n) = k_J \square = k_J (n \cdot w_1)^J, \quad (\text{C12})$$

up to a constant k_J that we determine shortly. The partial waves are given by

$$\begin{aligned} \pi_J(n', n) &= k_J^2 (n'_{\mu_1} \cdots n'_{\mu_J} - \text{traces})(n^{\mu_1} \cdots n^{\mu_J} - \text{traces}) \\ &= k_J^2 \frac{(d-2)_J}{2^J \left(\frac{d-2}{2}\right)_J} \mathcal{P}_J(x), \end{aligned} \quad (\text{C13})$$

where $x = n \cdot n' = 1 + \frac{2t}{s}$, $(a)_n$ is the Pochhammer symbol, and $\mathcal{P}_J(x)$ is a Gegenbauer polynomial given by

$$\mathcal{P}_J(x) = {}_2F_1\left(-J, J+d-2, \frac{d-1}{2}, \frac{1-x}{2}\right). \quad (\text{C14})$$

Our normalization condition on vertices is equivalent to $\pi_J(n, n) = 1$, which fixes $k_J = \left(\frac{(d-2)_J}{2^J \binom{d-2}{2}_J}\right)^{-1/2}$ since $\mathcal{P}_J(1) = 1$. We finally obtain $\pi_J(n', n) = \mathcal{P}_J(x)$, and (C7) recovers the familiar partial wave expansion for scalars, see e.g., [43].

3. Graviton scattering

In the case of graviton scattering, the orthonormality condition used in (C10) can be expanded as

$$\delta^{ij} = \text{Tr}(\bar{v}^i, v^j) = \sum_{e_1, e_2} (v^i(n, e_1, e_2)^*, v^j(n, e_1, e_2)), \quad (\text{C15})$$

where \sum_{e_1, e_2} denotes a sum over an orthonormal basis of polarization states, and $(u, v) = u^b g_{ba} v^a$ as before.

Concretely, the sum over polarizations can be performed by replacing

$$e_1^{*\mu} e_1^{*\nu} e_1^\rho e_1^\sigma \rightarrow \frac{1}{2} (\hat{g}^{\mu\rho} \hat{g}^{\nu\sigma} + \hat{g}^{\nu\rho} \hat{g}^{\mu\sigma}) - \frac{1}{D-2} \hat{g}^{\mu\nu} \hat{g}^{\rho\sigma} \\ \hat{g}^{\mu\nu} \equiv \delta^{\mu\nu} - n^\mu n^\nu, \quad (\text{C16})$$

where μ, ν , etc. are $\text{SO}(d)$ indices, and making a similar replacement for e_2 . In practice, to obtain the vertices in the Supplemental Material [41], we began with the basis of vertices in Table II (and the analogous bases in $D \leq 7$), and applied the Gram-Schmidt procedure using the pairing (C15).

Let us illustrate some examples of graviton partial waves for the representation $\rho = (J, 1, 1)$ in spacetime dimension $D \geq 8$. As shown in Table II, there are two linearly-independent vertices for $(J, 1, 1)$. An orthonormal basis with respect to the pairing (C15) is given by

$$v_1 = \frac{iJ}{\sqrt{D(J+2)}} \begin{array}{c} \boxed{e_1 \bullet \bullet} \\ \boxed{e_2} \\ \boxed{n} \end{array} e_{1 \cdot e_2}, \quad v_2 = \frac{iJ}{J+2} \sqrt{\frac{(J)_2 D}{(D-1)(J+D-2)_2}} \left(\frac{1}{D} \begin{array}{c} \boxed{e_1 \bullet \bullet} \\ \boxed{e_2} \\ \boxed{n} \end{array} e_{1 \cdot e_2} + \begin{array}{c} \boxed{e_1 e_1 e_2 \bullet \bullet} \\ \boxed{e_2} \\ \boxed{n} \end{array} \right). \quad (\text{C17})$$

Gluing these vertices, we can construct partial waves, which are 2-by-2 matrices indexed by the vertex labels. For brevity, we record here only the top-left corner of this matrix π_ρ^{11} , obtained by gluing v_1 to itself. We furthermore write the result in terms of contributions $\pi_\rho^{11(i)}(s, u)$ to each of the 29 scalar amplitudes defined in (8) through the 10 generators $\mathcal{M}^{(i)}(s, u)$ and their permutations. We find that s -channel exchange of $(J, 1, 1)$ produces

$$\pi_{(J,1,1)}^{11,(2)}(t, u) = \frac{2(D-4)\mathcal{P}'_J(x)}{D(J+2)(J+D-5)m^8}, \quad \pi_{(J,1,1)}^{11,(4)}(u, t) = \frac{8((D-4)\mathcal{P}'_J(x) + x\mathcal{P}''_J(x))}{D(J+2)(J+D-5)m^8}, \\ \pi_{(J,1,1)}^{11,(5)}(s, u) = \frac{8((D-4)\mathcal{P}'_J(x) + (x+1)\mathcal{P}''_J(x))}{D(J+2)(J+D-5)m^8}, \quad \pi_{(J,1,1)}^{11,(5)}(s, t) = \frac{8((D-4)\mathcal{P}'_J(x) + (x-1)\mathcal{P}''_J(x))}{D(J+2)(J+D-5)m^8}, \quad (\text{C18})$$

and all other $\pi_{(J,1,1)}^{11(i)}$ vanish. As before, $x = 1 + \frac{2t}{s}$. For additional expressions for partial waves, we refer the reader to the Supplemental Material [41].

APPENDIX D: LOW-ENERGY AMPLITUDES

1. Tree-level graviton amplitudes

The higher-derivative interactions entering the action (1) are defined as

$$C^2 \equiv C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}, \\ C^3 \equiv 3C_{\mu\nu\rho\sigma} C^{\rho\sigma}{}_{\alpha\beta} C^{\alpha\beta\mu\nu} - 4C_{\mu\nu\rho\sigma} C^{\nu\alpha\sigma\beta} C_{\alpha}{}^{\mu}{}_{\beta}{}^{\rho}, \\ C'^3 \equiv -C_{\mu\nu\rho\sigma} C^{\rho\sigma}{}_{\alpha\beta} C^{\alpha\beta\mu\nu} + 2C_{\mu\nu\rho\sigma} C^{\nu\alpha\sigma\beta} C_{\alpha}{}^{\mu}{}_{\beta}{}^{\rho}, \quad (\text{D1})$$

where $C_{\mu\nu\rho\sigma}$ is the Weyl tensor (traceless part of the curvature tensor $R_{\mu\nu\rho\sigma}$). The Weyl tensor is convenient for writing low-energy effective actions since, as mentioned

in the text, the Ricci tensor and scalar can be removed using equations of motion and do not affect our bounds (see also [44]). Thus C^2 is equivalent to the Gauss-Bonnet density (whose coefficient is sometimes called $\alpha_2 = \lambda_{\text{GB}}$), and C'^3 is effectively proportional to the third Lovelock density. The normalizations in (1) have been chosen so that the on shell three-graviton vertex agrees with [3]

$$\mathcal{M}(123) = \sqrt{32\pi G} (\mathcal{A}_1^2 + \alpha_2 \mathcal{A}_1 \mathcal{A}_2 + \alpha_4 \mathcal{A}_2^2), \quad (\text{D2})$$

where

$$\mathcal{A}_1 \equiv p_1 \cdot \varepsilon_3 \varepsilon_1 \cdot \varepsilon_2 + p_3 \cdot \varepsilon_2 \varepsilon_1 \cdot \varepsilon_3 + p_2 \cdot \varepsilon_1 \varepsilon_2 \cdot \varepsilon_3, \\ \mathcal{A}_2 \equiv p_1 \cdot \varepsilon_3 p_2 \cdot \varepsilon_1 p_3 \cdot \varepsilon_2. \quad (\text{D3})$$

To illustrate scattering amplitudes in the local module, we now give explicit expressions for the ten generating

amplitudes $\mathcal{M}^{(i)}$ entering (8) for tree-level gravity in generic dimension $D \geq 8$. We include here higher-derivative couplings α_2, α_4 to linear order, and the unique 6-derivative interaction α'_4 which yields a contact term:

$$\begin{aligned}
\mathcal{M}^{(1)}(s, u) &= 8\pi G \alpha'_4 + \dots, & \mathcal{M}^{(2)}(s, u) &= \frac{8\pi G}{stu} + \dots, \\
\mathcal{M}^{(3)}(s, u) &= \frac{8\pi G}{stu} \left(2 - \frac{t^2 \alpha_4}{2} \right) + \dots, & \mathcal{M}^{(4)}(s, u) &= \frac{8\pi G}{stu} (4 - 2t\alpha_2 - 4su\alpha_4) + \dots, \\
\mathcal{M}^{(5)}(s, u) &= \frac{8\pi G}{stu} (8 + 2\alpha_2 u) + \dots, & \mathcal{M}^{(6)}(s, u) &= \frac{8\pi G}{stu} (4 - 4\alpha_2 t) + \dots, \\
\mathcal{M}^{(7)}(s, u) &= \frac{8\pi G}{stu} (8 + 4\alpha_2 t) + \dots, & \mathcal{M}^{(8)}(s, u) &= \frac{8\pi G}{stu} (-2\alpha_2) + \dots, \\
\mathcal{M}^{(9)}(s, u) &= \frac{8\pi G}{stu} (-4\alpha_2 + 8\alpha_4 t) + \dots, & \mathcal{M}^{(10)}(s, u) &= \frac{8\pi G}{stu} (4\alpha_4) + \dots
\end{aligned} \tag{D4}$$

All omitted terms are either quadratic in the α_2, α_4 or involve higher derivative contacts, which are simply polynomials in Mandelstam invariants subject to the symmetries of the corresponding $\mathcal{M}^{(i)}$. Complete expressions, including for lower dimensions, are recorded in the Supplemental Material [41].

2. Kaluza-Klein and other light exchanges

In our bounds, we allow for tree-level exchanges of massive particles that are part of the low-energy EFT—i.e., whose masses are below the cutoff scale M . We refer to such particles as light; they could arise, for example, from Kaluza-Klein reduction. However, we do not actually assume anything about the existence of extra dimensions. We do however, make a choice about which types of light states to consider, and we include all representations with $J = m_1 \leq 2$. These include symmetric tensors with spin ≤ 2 , and k -forms of any degree, which are the possible massless string modes in string theory. It would be interesting to consider other possible EFT matter content; we leave this problem for future work.

Given the partial waves, it is straightforward to determine the amplitudes for light exchanges. We look for meromorphic functions $\mathcal{M}^{(i)}(s, u)$ with the appropriate symmetry properties under crossing, and possessing simple poles in Mandelstam variables whose residues match the partial waves. As an example, consider the possible KK-mode representation $\rho = (1, 1, 1)$ (a 3-form). The partial waves expressions (C18) predict that only the following amplitudes have s -channel poles,

$$\begin{aligned}
4\mathcal{M}_{(1,1,1)}^{(2)}(t, u) &= \mathcal{M}_{(1,1,1)}^{(4)}(t, u) = \mathcal{M}_{(1,1,1)}^{(5)}(s, u) \\
&= \mathcal{M}_{(1,1,1)}^{(5)}(s, t) = \frac{8}{3Dm^8(m^2 - s)} \\
&\quad + \text{no } s\text{-poles.}
\end{aligned} \tag{D5}$$

We then fill in the t - and u -channel poles using symmetries. Since $\mathcal{M}^{(2,4)}$ are symmetric in their two arguments, and

$\mathcal{M}^{(5)}$ has no symmetry, there is in fact nothing to add. That is, 3-form exchanges in all channels are accounted for by setting the function $\mathcal{M}_{(1,1,1)}^{(4)}(s, u) \equiv \frac{8}{3Dm^8(m^2+s+u)}$, etc.

The light amplitudes constructed via this procedure naturally have polynomial ambiguities, which represent four-point contact interactions. Following [12], we fix these ambiguities by demanding that light states contribute to sum rules with the minimal possible spin k . The contribution of light exchanges to various sum rules is then obtained by performing the appropriate contour integrals [e.g., (A1)] on these amplitudes. Our full expressions for light exchange amplitudes, and their contributions to various sum rules, can be found in the Supplemental Material [41].

When computing bounds, we demand that the contribution of each possible light exchange is sign-definite, so that the resulting bounds are true independently of the light content of the EFT.

APPENDIX E: DETAILS OF NUMERICAL IMPLEMENTATION AND SUPPLEMENTAL MATERIAL

Figure 1 was produced by numerically searching for combinations of the $B_k^{\text{imp}}(p^2)$ sum rules whose action on every unknown state is positive, following the strategy detailed in [12]. The sum rules are integrated against wave packets that are polynomials in p over $p \in [0, M]$, where we typically use 5 or 6 different exponents of p for each sum rule and reach up to Regge spin $k = 5$ or $k = 7$. Our search space thus contains between 200 and 400 trial sum rules.

To test positivity, we sample the action of these sum rules on a large number of heavy states with $m \geq M$ (and light states with $J \leq 2$), which are distributed in spin up to $J = 400$. We typically sample their action on between 10,000 and 200,000 states that have spin up to $J = 400$. We also include constraints from the $m \rightarrow \infty$ scaling limit with various $b = \frac{2J}{m}$. For the $k = 2$ sum rules, which dominate at

$m \rightarrow \infty$, it is important that the wave packets include an overall factor $p^\alpha(M-p)$ so the sum rules decay at large impact parameters (like $\sim 1/b^3$ in $D=5$). We use the SDPB solver [45,46] to search for linear combinations of the trial sum rules which are positive on all states and establish optimal bounds on the radial distance from the origin along various rays in the (α_2, α_4) plane. Since the functionals depend quadratically on the α_j , we converge toward the boundary by optimizing a sequence of linearized quantities.

In practice, we fix the set of functionals and increase the number of states until the bounds do not change, keeping only those sets of functionals for which such convergence could be achieved. In going from 5 to 6 exponents, the bounds improved by no more than a few percent. We thus expect that the recorded bounds are conservatively correct, and likely within 5% of being optimal.

We anticipate that the partial waves computed in this paper will serve in many other studies. We have thus prepared “process files” which contain the complete information used to bootstrap each of the graviton scattering process studied in this paper: GGGG5.txt, GGGG6.txt, GGGG7.txt, GGGGd.txt, for $D=5, 6, 7$ and $D \geq 8$ respectively, as well as a file GGGG4.txt, which characterizes the $D=4$ case studied in our earlier paper [12]. Each file contains, in a native Mathematica notation:

- (i) The basis `localbasis[GGGG[d]]` of polarization structures used throughout the file, i.e., the L elements generated from (8) where $L=29$ for $D \geq 8$, written in terms of the H, V, X, S and \mathcal{G} structures defined in section II (the latter two are denoted `HS` and `HGram` in the files).
- (ii) A list `vertices[GG[d]]` of three-point couplings v_i between two gravitons and a massive state, written in the Young tableau notation of Sec. III and Appendix B and divided by the scalar factor k_j of (C13) (and e_i denoted `ep[i]`).
- (iii) On shell three-graviton vertices `ampLow[GGG[d]]`, which define higher-derivative corrections like α_2, α_4 .
- (iv) Low-energy amplitudes `ampLow[GGGG[d]]`, which including tree-level graviton exchanges keeping the α_k , as well as contact interactions `g[p,...]` that contribute up to relatively high power p in Mandelstam invariants. The coefficient $8\pi G\alpha'_4$ in the main text is given by `g[3,0,{GGGG[d],1}]` in the process files.
- (v) Partial waves `partialwaves[GG[d],GG[d]]` which list, for each possible $\text{SO}(d)$ irrep, an entry `exchange[irrep,{amplitude,channel,x},normalizations,matrix]` with typically `channel = s` and `x = 1 + \frac{2t}{s}`. If an irrep allows n independent vertices, `normalizations` is an $n \times n$ matrix and `matrix` is $n \times n \times L$, such that

their entry-wise product express the projector π^{ij} in `localbasis[amplitude]`. The the a th derivative $\mathcal{P}_J^{(a)}(x)$ with respect to x of the Gegenbauer polynomial (C14) is denoted as `pj[J,x,D,a]`. Irreps are denoted from the row lengths of the Young tableau with a formal integer $m \geq 0$; for example $\{2m+3,1\}$ denotes the family of representations $(J,1)$ where $J \geq 3$ is odd. Non-generic irreps with low spin, for which some vertex structures disappear and the matrix becomes smaller, are explicitly separated.

- (vi) Light exchanges `ampKK[GG[d],GG[d]]`, similarly written as lists of `exchange[irrep,matrix]` for each irrep, where the $n \times n \times L$ matrix gives explicit functions of Mandelstam invariants.
- (vii) Improved sum rules `sumrules[bkimp[GGGG[d],k]]`, which give B_k^{imp} derived from (A1), in terms of amplitude labels `M[...][s,-t]` entering `localbasis[GGGG[d]]`, with arguments `[s,-t]` that indicate which Mandelstam invariants get mapped to the independent variables m^2, p^2 (sum rules are then m^2 integrals at fixed p^2).
- (viii) The actions `sumrulesLow[bkimp[GGGG[d],k]]` and `sumrulesKK[bkimp[GGGG[d],k]]` of sum rules on the `ampLow` and `ampKK` low-energy data.

This constitutes the full information from which the bootstrap problem can be implemented in an automated way.

APPENDIX F: WEIGHT-SHIFTING AS A COMBINATORIAL OPERATION

In general, the weight-shifting operator $\mathcal{D}^{(h)\mu}$ lets one “integrate-by-parts” inside an $\text{SO}(d)$ -invariant pairing to remove a box from the left factor and replace it with $\mathcal{D}^{(h)\mu}$ acting on the right factor. Specifically, we have

$$(w_1^{\mu_1} \cdots w_h^{\mu_h} g, f) = \frac{1}{m_h} (w_1^{\mu_1} \cdots w_{h-1}^{\mu_{h-1}} g, \mathcal{D}^{(h)\mu_h} f), \quad (\text{F1})$$

where the Young diagram for f has height h . This is the generalization of (26) in the main text. In practice, this lets us remove a box from any of the tallest columns in a pairing of Young tableau.

Given (F1), we should look for an efficient way to apply $\mathcal{D}^{(h)\mu}$ to a Young tableau. This can be accomplished with the help of the following observation:

- (i) When acting on a polynomial defined via a tableau, the derivative in the i th parenthesis in (24) acts *only* on columns with height exactly i .

This leads to a simple formula for applying $\mathcal{D}^{(h)\mu}$ to a Young tableau. To state it, we first define some simple operations on columns of height k :

$$\begin{aligned}
S[k]^{\mu\nu} \begin{array}{|c|} \hline a_1 \\ \hline a_2 \\ \hline \vdots \\ \hline a_k \\ \hline \end{array} &= a_1^\nu \begin{array}{|c|} \hline \mu \\ \hline \end{array} + a_2^\nu \begin{array}{|c|} \hline \mu \\ \hline \end{array} + \cdots + a_k^\nu \begin{array}{|c|} \hline \mu \\ \hline \end{array}, \\
T[k]^\mu \begin{array}{|c|} \hline a_1 \\ \hline a_2 \\ \hline \vdots \\ \hline a_k \\ \hline \end{array} &= (-1)^{k-1} a_1^\mu \begin{array}{|c|} \hline a_2 \\ \hline \vdots \\ \hline a_k \\ \hline \end{array} + (-1)^{k-2} a_2^\mu \begin{array}{|c|} \hline a_1 \\ \hline \vdots \\ \hline a_k \\ \hline \end{array} + \cdots + a_k^\mu \begin{array}{|c|} \hline a_1 \\ \hline \vdots \\ \hline a_2 \\ \hline \end{array}.
\end{aligned} \tag{F2}$$

We define $S[k]$ and $T[k]$ to give zero when acting on columns with height $k' \neq k$. We furthermore extend them to derivations on the algebra generated by columns, so that they are linear and satisfy Leibniz rules,

$$\begin{aligned}
S[k]^{\mu\nu}(xy) &= (S[k]^{\mu\nu}x)y + x(S[k]^{\mu\nu}y), \\
T[k]^\mu(xy) &= (T[k]^\mu x)y + x(T[k]^\mu y).
\end{aligned} \tag{F3}$$

Finally, given a tableau Y , let $Y^{[k]}$ denote the product of all columns of Y with height k . In particular, Y can be decomposed as $Y = \prod_{k=1}^h Y^{[k]}$, where h is the height of Y . We claim that the action of $\mathcal{D}^{(h)}$ on Y is given by

$$\begin{aligned}
\mathcal{D}^{(h)\mu_0} Y &= \left(\left(\delta_{\mu_1}^{\mu_0} - \frac{S[1]^{\mu_0 \mu_1}}{N_1^{(h)}} \right) Y^{[1]} \right) \left(\left(\delta_{\mu_2}^{\mu_1} - \frac{S[2]^{\mu_1 \mu_2}}{N_2^{(h)}} \right) Y^{[2]} \right) \\
&\quad \cdots \left(\left(\delta_{\mu_h}^{\mu_{h-1}} - \frac{S[h]^{\mu_{h-1} \mu_h}}{N_h^{(h)} - 1} \right) T[h]^{\mu_h} Y^{[h]} \right).
\end{aligned} \tag{F4}$$

The virtue of (F4) is that it works symbolically within the algebra generated by Young tableaux. For example, we have

$$\begin{aligned}
v \cdot \mathcal{D}^{(3)} \begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline c & f \\ \hline \end{array} &= \left(v \cdot T[3] - \frac{1}{N_3^{(3)} - 1} v \cdot S[3] \cdot T[3] \right) \begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline c & f \\ \hline \end{array} \\
&= v \cdot f \begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline c & \\ \hline \end{array} - v \cdot e \begin{array}{|c|c|} \hline a & d \\ \hline & f \\ \hline b & \\ \hline \end{array} + v \cdot d \begin{array}{|c|c|} \hline & e \\ \hline b & f \\ \hline a & \\ \hline \end{array} + v \cdot c \begin{array}{|c|c|} \hline d & a \\ \hline e & b \\ \hline f & \\ \hline \end{array} - v \cdot b \begin{array}{|c|c|} \hline d & a \\ \hline & e \\ \hline c & f \\ \hline \end{array} \\
&\quad + v \cdot a \begin{array}{|c|c|} \hline d & b \\ \hline e & c \\ \hline f & \\ \hline \end{array} \frac{1}{d-4} \left[c \cdot f \left(\begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline & \\ \hline \end{array} + \begin{array}{|c|c|} \hline d & a \\ \hline e & b \\ \hline & \\ \hline \end{array} \right) \pm \text{permutations} \right].
\end{aligned} \tag{F5}$$

The first line comes from applying $v \cdot T[3]$ and simply sums all the ways of erasing one box, while the second line comes from applying $v \cdot S[3] \cdot T[3]$. After including permutations, it contains 9 pairs of terms similar to the shown pair (with c replaced by a or b , or f replaced by d or e). If we add boxes with a vector n to the first row, then (F4) implies

$$\begin{aligned}
v \cdot \mathcal{D}^{(3)} \begin{array}{|c|c|c|c|} \hline a & d & n & \cdots & n \\ \hline b & e & & & \\ \hline c & f & & & \\ \hline \end{array} &= \left[v \cdot \mathcal{D}^{(3)} \begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline c & f \\ \hline \end{array} \right] \begin{array}{|c|c|c|c|} \hline n & \cdots & n & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \\
&\quad - \frac{m_1 - 2}{d - 3 + m_1} \left[n \cdot \mathcal{D}^{(3)} \begin{array}{|c|c|} \hline a & d \\ \hline b & e \\ \hline c & f \\ \hline \end{array} \right] \begin{array}{|c|c|c|c|} \hline v & \cdots & n & \\ \hline & & & \\ \hline & & & \\ \hline \end{array},
\end{aligned} \tag{F6}$$

where each square bracket is given by Eq. (F5).

-
- [1] S. Weinberg, *The Quantum theory of fields. Vol. 1: Foundations* (Cambridge University Press, Cambridge, England, 2005).
- [2] S. Weinberg, *Phys. Rev.* **138**, B988 (1965).
- [3] X. O. Camanho, J. D. Edelstein, J. Maldacena, and A. Zhiboedov, *J. High Energy Phys.* **02** (2016) 020.
- [4] A. Adams, N. Arkani-Hamed, S. Dubovsky, A. Nicolis, and R. Rattazzi, *J. High Energy Phys.* **10** (2006) 014.
- [5] C. de Rham, S. Melville, A. J. Tolley, and S.-Y. Zhou, *Phys. Rev. D* **96**, 081702 (2017).
- [6] B. Bellazzini, C. Cheung, and G. N. Remmen, *Phys. Rev. D* **93**, 064076 (2016).
- [7] H. Elvang and Y.-t. Huang, [arXiv:1308.1697](https://arxiv.org/abs/1308.1697).
- [8] S. D. Chowdhury, A. Gadde, T. Gopalka, I. Halder, L. Janagal, and S. Minwalla, *J. High Energy Phys.* **02** (2020) 114.
- [9] We omit terms with Riemann scalar and Ricci tensors from the action, since they are proportional to Einstein's equation of motion hence removable order-by-order

- in the low-energy expansion. The structure which multiplies \mathcal{C}^2 in (1) is thus equivalent to the Gauss-Bonnet coupling.
- [10] S. Caron-Huot, D. Mazac, L. Rastelli, and D. Simmons-Duffin, *J. High Energy Phys.* **07** (2021) 110.
- [11] S. Caron-Huot, D. Mazac, L. Rastelli, and D. Simmons-Duffin, *J. High Energy Phys.* **11** (2021) 164.
- [12] S. Caron-Huot, Y.-Z. Li, J. Parra-Martinez, and D. Simmons-Duffin, *J. High Energy Phys.* **05** (2023) 122.
- [13] K. Häring and A. Zhiboedov, [arXiv:2202.08280](https://arxiv.org/abs/2202.08280).
- [14] V. K. Dobrev, G. Mack, V. B. Petkova, S. G. Petrova, and I. T. Todorov, *Harmonic Analysis on the n-Dimensional Lorentz Group and Its Application to Conformal Quantum Field Theory* (Springer-Verlag, Berlin Heidelberg GmbH, 1977), Vol. 63, pp. 1–280.
- [15] P. Kravchuk, *J. High Energy Phys.* **02** (2018) 011.
- [16] M. S. Costa, T. Hansen, J. a. Penedones, and E. Trevisani, *J. High Energy Phys.* **07** (2016) 018.

- [17] S. Chakraborty, S. D. Chowdhury, T. Gopalka, S. Kundu, S. Minwalla, and A. Mishra, *J. High Energy Phys.* **04** (2020) 110.
- [18] D. Karateev, P. Kravchuk, and D. Simmons-Duffin, *J. High Energy Phys.* **02** (2018) 081.
- [19] This weight-shifting operator was written in a different formalism in [20]. To our knowledge, the expression (todorov) in embedding coordinates w_i for general h is new.
- [20] D. Karateev, P. Kravchuk, and D. Simmons-Duffin, *J. High Energy Phys.* **10** (2019) 217.
- [21] V. K. Dobrev, V. B. Petkova, S. G. Petrova, and I. T. Todorov, *Phys. Rev. D* **13**, 887 (1976).
- [22] M. K. N. Balasubramanian, R. Patil, and A. Rudra, *J. High Energy Phys.* **11** (2021) 151.
- [23] Z. Bern, E. Herrmann, D. Kosmopoulos, and R. Roiban, *J. High Energy Phys.* **01** (2023) 113.
- [24] L.-Y. Chiang, Y.-t. Huang, W. Li, L. Rodina, and H.-C. Weng, *J. High Energy Phys.* **03** (2022) 063.
- [25] G. Dvali, *Fortschr. Phys.* **58**, 528 (2010).
- [26] In a Kaluza-Klein reduction from a higher dimension, M can coincide with the higher-dimensional Planck mass. Even though gravity becomes strongly interacting at that scale, the scattering between D -dimensional gravitons remains weak, consistent with our bounds, since their wavefunctions are dilute in the extra dimensions.
- [27] M. J. Duff, *Nucl. Phys.* **B125**, 334 (1977).
- [28] Y.-Z. Li, H. Lu, and L. Ma, *J. High Energy Phys.* **11** (2021) 135.
- [29] D. M. Hofman and J. Maldacena, *J. High Energy Phys.* **05** (2008) 012.
- [30] I. Heemskerk, J. Penedones, J. Polchinski, and J. Sully, *J. High Energy Phys.* **10** (2009) 079.
- [31] N. Afkhami-Jeddi, T. Hartman, S. Kundu, and A. Tajdini, *J. High Energy Phys.* **12** (2017) 049.
- [32] A. Buchel, R. C. Myers, and A. Sinha, *J. High Energy Phys.* **03** (2009) 084.
- [33] A. J. Tolley, Z.-Y. Wang, and S.-Y. Zhou, *J. High Energy Phys.* **05** (2021) 255.
- [34] S. Caron-Huot and V. Van Duong, *J. High Energy Phys.* **05** (2021) 280.
- [35] N. Arkani-Hamed, T.-C. Huang, and Y.-T. Huang, *J. High Energy Phys.* **05** (2021) 259.
- [36] J. Albert and L. Rastelli, *J. High Energy Phys.* **08** (2022) 151.
- [37] L.-Y. Chiang, Y.-t. Huang, L. Rodina, and H.-C. Weng, [arXiv:2204.07140](https://arxiv.org/abs/2204.07140).
- [38] Z. Bern, D. Kosmopoulos, and A. Zhiboedov, *J. Phys. A* **54**, 344002 (2021).
- [39] J. Henriksson, B. McPeak, F. Russo, and A. Vichi, *J. High Energy Phys.* **08** (2022) 184.
- [40] L.-Y. Chiang, Y.-t. Huang, W. Li, L. Rodina, and H.-C. Weng, [arXiv:2201.07177](https://arxiv.org/abs/2201.07177).
- [41] See Supplemental Material at <http://link.aps.org/supplemental/10.1103/PhysRevD.108.026007> for more details.
- [42] P. Kravchuk and D. Simmons-Duffin, *J. High Energy Phys.* **02** (2018) 096.
- [43] M. Correia, A. Sever, and A. Zhiboedov, *J. High Energy Phys.* **03** (2021) 013.
- [44] J. D. Edelstein, R. Ghosh, A. Laddha, and S. Sarkar, *J. High Energy Phys.* **09** (2021) 150.
- [45] D. Simmons-Duffin, *J. High Energy Phys.* **06** (2015) 174.
- [46] W. Landry and D. Simmons-Duffin, [arXiv:1909.09745](https://arxiv.org/abs/1909.09745).