## Toward neutrino mass from cosmology without optical depth information

Byeonghee Yu<sup>®</sup>,<sup>1</sup> Robert Z. Knight<sup>®</sup>,<sup>2</sup> Blake D. Sherwin,<sup>3,4</sup> Simone Ferraro<sup>®</sup>,<sup>1,5</sup> Lloyd Knox<sup>®</sup>,<sup>2</sup> and Marcel Schmittfull<sup>®</sup>

<sup>1</sup>Berkeley Center for Cosmological Physics, Department of Physics,

University of California, Berkeley, California 94720, USA

<sup>2</sup>Physics Department, University of California, Davis, California 95616, USA

<sup>3</sup>Department of Applied Mathematics and Theoretical Physics, University of Cambridge,

Wilberforce Road, Cambridge CB3 OWA, United Kingdom

<sup>4</sup>Kavli Institute for Cosmology Cambridge, Madingley Road, Cambridge CB3 0HA, United Kingdom

<sup>5</sup>*Miller Institute for Basic Research in Science, University of California, Berkeley, California 94720, USA* 

<sup>6</sup>Institute for Advanced Study, Einstein Drive, Princeton, New Jersey 08540, USA

(Received 20 February 2020; accepted 2 June 2023; published 16 June 2023)

With low-redshift probes reaching unprecedented precision, uncertainty of the cosmic microwave background (CMB) optical depth is expected to be the limiting factor for future cosmological neutrino mass constraints. In this paper, we discuss to what extent combinations of CMB lensing and galaxy survey measurements at low redshifts  $z \sim 0.5-5$  will be able to make competitive neutrino mass measurements without relying on any optical depth constraints. We find that the combination of LSST galaxies and CMB-S4 lensing should be able to achieve constraints on the neutrino mass sum of 25 meV without optical depth information, an independent measurement that is competitive with or slightly better than the constraint of 30 meV possible with CMB-S4 and present-day optical depth measurements. These constraints originate in both structure growth probed by cross-correlation tomography over a wide redshift range as well as, most importantly, the shape of the galaxy power spectrum measured over a large volume. We caution that possible complications such as higherorder biasing and systematic errors in the analysis of high-redshift galaxy clustering are only briefly discussed and may be non-negligible. Nevertheless, our results show that new kinds of high-precision neutrino mass measurements at and beyond the present-day optical depth limit may be possible.

DOI: 10.1103/PhysRevD.107.123522

#### I. INTRODUCTION

An important goal in both particle physics and cosmology is to understand the physics underlying the neutrino mass [1]. The fact that neutrinos have a nonzero mass has been known since the discovery of neutrino oscillations; however, the absolute scale of this mass is uncertain, with oscillation experiments giving only a lower bound of  $\approx 60$  meV for the normal hierarchy and  $\approx 100$  meV for the inverted hierarchy. A measurement of the neutrino mass would not just reveal a new energy scale, it would set targets for terrestrial double beta decay experiments (thus, potentially contributing to a determination of whether neutrinos are Dirac or Majorana particles) and might even give insight into mass ordering. Perhaps the most exciting possibility is that the combination of cosmological and laboratory measurements reveals inconsistencies requiring new physics. A cosmological neutrino mass measurement would significantly contribute to efforts to understand physics in the neutrino sector.

The neutrino mass can be probed precisely in cosmology, because properties of the cosmic neutrino background affect the growth of cosmic structure and the expansion history of the Universe [2,3]. A primary effect targeted by future experiments is the suppression of growth of small-scale structure caused by a nonzero neutrino mass. The rest mass of the neutrinos, as they become nonrelativistic, increases the neutrino contribution to the total mean energy density beyond what it would be in the massless case, thereby increasing the expansion rate and, thus, suppressing growth. A secondary effect is the scale dependence of this suppression: Above the free-streaming scale, the neutrinos act just like cold dark matter (CDM) and, therefore, contribute to gravitational instability, with the net effect of canceling out the suppressive effect of the increased expansion rate [4–8]. This results in a fairly broad "step"-like feature in the matter power spectrum, where the size of the step is time dependent and grows approximately linearly with every *e*-fold of expansion.<sup>1</sup>

To measure neutrino mass using this time-dependent suppression, the amplitude of structure at low redshift (probed by gravitational lensing, clusters, or redshiftspace distortions) is typically compared with the initial, high-redshift amplitude probed by the cosmic microwave background (CMB). In particular, the small-scale

<sup>&</sup>lt;sup>1</sup>In linear theory, it can be shown that the size of the step feature in the power spectrum grows by  $\frac{6}{5}f_{\nu}$  per *e*-fold of expansion, where  $f_{\nu} = \Omega_{\nu}/\Omega_m$  is the fraction of mass in neutrinos.

suppression is about 4% between the redshift of recombination and today for the minimal mass of 60 meV.

With the design of increasingly powerful CMB surveys, such as the CMB stage-4 experiment (CMB-S4 [9]) and Simons Observatory [10], it has become clear that the limiting factor for upcoming neutrino mass constraints will not be the precision of the measurement of CMB lensing or other low-redshift probes but instead the precision of the high-redshift amplitude of structure at the CMB redshift  $z \approx 1100$  [11]. This high-z amplitude  $A_s$ , in turn, is limited by how well we know the optical depth  $\tau$  to the CMB, because the combination  $A_s e^{-2\tau}$  (describing the amplitude of the CMB power spectrum) is what is measured by CMB surveys [11,12]. Since it is unclear whether substantially improved  $\tau$  constraints will be forthcoming, it is well motivated to seek methods by which the neutrino mass can be probed without relying on knowledge of the CMB optical depth.

In this paper, we examine to what extent the combination of CMB lensing from future experiments with galaxy surveys such as LSST can be used to obtain competitive neutrino mass constraints *without optical depth information*. We further consider what future surveys are required to improve on optical-depth-limited neutrino mass constraints.

Our investigation is motivated by two effects that may allow neutrino mass constraints without optical depth information. First, the time dependence of the neutrino mass suppression of structure growth can not only be seen by comparing the amplitude of fluctuations of the CMB and today, it can also be seen at low redshift alone using crosscorrelations to probe the growth of structure over a sufficiently long-redshift lever arm, given sufficiently precise measurements. Extremely high-precision constraints on the amplitude of structure as a function of redshift were indeed forecast by Ref. [13], and we build on these results in our analysis (for similar recent forecasts also see, for example, Refs. [14,15]). Second, there are other physical effects, such as the step feature in the shape of the matter power spectrum described previously, through which neutrino mass can be constrained with low-redshift probes alone; we will consider these as well.

Our work follows a long list of papers that have forecasted constraints on neutrino mass to come from cosmological surveys [14,16–20]. But it is the first to explore how the combination of CMB lensing and galaxy counts can be used to exploit the effects described in the previous paragraph to evade the impact of uncertainty about the optical depth to Thomson scattering.

We will begin by introducing our forecasting assumptions, before presenting and discussing our results.

### II. FORECASTING METHOD AND SURVEY SYSTEMATICS

#### A. Angular power spectra

We use the observed galaxy density field in *i*th tomographic redshift bin  $g_i$  and the CMB lensing convergence  $\kappa$  to construct the two-point angular power spectra:  $C_l^{\kappa\kappa}$ ,  $C_l^{\kappa g_i}$ , and  $C_l^{g_i g_i}$ . In the Limber approximation [21], we model all angular power spectra

$$C_l^{\alpha\beta} = \int \frac{dzH(z)}{\chi^2(z)} W^{\alpha}(z) W^{\beta}(z) P_{\delta_{\alpha}\delta_{\beta}}\left(k = \frac{l}{\chi(z)}, z\right), \quad (1)$$

where  $\alpha, \beta \in (\kappa, g_1, ..., g_N)$ , H(z) is the Hubble parameter,  $\chi(z)$  is the comoving angular-diameter distance to redshift z, P(k, z) is the matter power spectrum at wave number kand redshift z, and N is the number of bins.  $\delta_g$  is the CDMbaryon density contrast  $\delta_{cb}$ , and  $\delta_{\kappa}$  is the total matter density contrast  $\delta_{cb\nu}$  including neutrinos. For the CMB lensing convergence, the redshift kernel  $W^{\kappa}(z)$  is

$$W^{\kappa}(z) = \frac{3}{2H(z)} \Omega_m H_0^2 (1+z) \chi(z) \left( \frac{\chi_* - \chi(z)}{\chi_*} \right), \quad (2)$$

where  $\chi_*$  is the comoving distance to the last scattering surface and  $\Omega_m$  and  $H_0$  are the matter density and the Hubble parameter today, respectively. For the *i*th bin galaxy density field  $g_i$ , the kernel is

$$W^{g_i}(z) = \frac{b_i(z)dn_i/dz}{\int dz'(dn_i/dz')},\tag{3}$$

where  $dn_i/dz$  is the redshift distribution of the galaxies in the *i*th bin. We assume the linear galaxy bias is given by  $b_i(z) = B_i(1 + z)$  within each bin, where  $B_i$  is the overall bias amplitude in the *i*th bin [22] (we assume a fiducial value of  $B_i = 1$ ). Figure 1 compares the CMB lensing kernel with the redshift distribution of two different LSST samples, as further described in Sec. II B. We use the publicly available CAMB Boltzmann code to calculate the power spectrum  $P_{\delta_a \delta_\beta}(k, z)$  [23,24].



FIG. 1. The redshift distribution of the CMB lensing convergence (red curve, normalized to a unit maximum) and LSST galaxy samples, both optimistic (light gray) and gold (dark gray). We assume 16 tomographic redshift bins in the range 0 < z < 7, cross-correlation bin widths indicated with vertical dotted lines.



FIG. 2. Forecasted  $1\sigma$  constraints on the sum of the neutrino masses without optical depth information, for different experiment configurations: CMB-S4 lensing and LSST clustering (black line) + primordial CMB data (green dotted line for Planck and green solid line for S4) + DESI BAO measurements (red solid line for LSST optimistic and red dotted line for LSST gold). S4 primary CMB (with Planck coadded) + DESI BAO gives  $\sigma(\sum m_{\nu}) = 42 \text{ meV}$ , which further tightens to 37 meV with the reconstructed CMB lensing potential included. Including the LSST galaxies at higher redshift extends the redshift lever arm and increases the volume probed, which results in a significant improvement in the constraints.

#### **B. LSST specifications**

We assume two LSST number densities, as shown in Fig. 1. The first sample is the i < 25 gold sample (henceforth referred to as "gold"), corresponding to  $\bar{n} =$ 40 arcmin<sup>-2</sup> and  $n(z) \propto 1/(2z_0)(z/z_0)^2 e^{-z/z_0}$  following Ref. [22] with  $z_0 = 0.3$ . As a second sample, we use a more optimistic i < 27 magnitude cut with S/N > 5 in the *i* band assuming three years of observations following Ref. [25] ("optimistic") and add Lyman break galaxies from redshift dropouts, whose number density we estimate by scaling recent Hyper Suprime-Cam observations [26,27] following [13]. This yields  $\bar{n} \approx 66 \text{ arcmin}^{-2}$  galaxies at z = 0-7. We decompose the LSST kernel into 16 tomographic bins, with redshift edges of z = [0,0.2, 0.4, 0.6, 0.8, 1, 1.2, 1.4, 1.6, 1.8, 2, 2.3, 2.6, 3, 3.5, 4, 7assuming that neighboring bins do not overlap. To reduce the sensitivity of our forecasts to uncertainties in nonlinear modeling, including bias modeling, we keep the density perturbations in the near-linear regime by setting a  $k_{\text{max}}$ limit (0.3 hMpc<sup>-1</sup> is assumed in Figs. 2–6, with lower  $k_{max}$ shown in Table I). For each bin, we convert this to  $l_{\max} = k_{\max} \chi(\bar{z}_i)$ , where  $\bar{z}_i$  is the mean redshift of the *i*th bin. Imposing  $k_{\text{max}} = 0.3 \ h\text{Mpc}^{-1}$ , we find that including nonlinear corrections from Halofit [28,29] has a negligible effect on our forecasts when all external datasets, such as primordial CMB and Dark Energy Spectroscopic Instrument (DESI) information, are included. Hence, we use the linear matter power spectrum in all forecasts. We assume the survey area of 18 000 deg<sup>2</sup>, which corresponds TABLE I. Forecasts of the neutrino mass constraints without optical depth information, for different LSST number densities and redshift distributions,  $k_{max}$  limits, and lensing reconstruction noise levels. For different combinations of data, constraints provided on the left assume the LSST gold sample, and those on the right assume the LSST optimistic sample.

	$\sigma(\sum m)$	$\sigma(\sum m_{\nu})$ [meV] (gold/optimistic)		
k <sub>max</sub>	Lens + LSST	+Planck/S4 T&P	+DESI	
0.05	307/243	94/68	32/29	
0.1	176/129	68/53	31/27	
0.2	107/71	47/38	28/25	
0.3	84/55	40/33	27/24	
0.4	79/49	38/31	26/23	

to  $f_{sky} \approx 0.4$ . Finally, we neglect any redshift space distortion effects in the LSST power spectra.

#### C. CMB-S4 specifications

For CMB lensing, we use a CMB-S4 experiment with the following configurations: beam FWHM = 1',  $\Delta_T = 1 \ \mu$ K', and  $\Delta_{E,B} = 1.4 \ \mu$ K'. We assume  $f_{sky} =$ 0.4, with CMB-S4 fully overlapping with the LSST [9]. White noise is assumed, as we expect the impact of nonwhite noise to be small for lensing reconstruction from polarization-dominated experiments. With QUICKLENS [30,31], we compute the minimum variance quadratic estimator lensing reconstruction on the full sky with  $l_{min}^{T,E,B} =$ 50,  $l_{max}^T = 3000$ , and  $l_{max}^{E,B} = 5000$ . We take into account the improvement from iterative lens reconstruction by rescaling the *EB* noise [32,33]. In Tables I and II, we show forecasts assuming the resulting CMB-S4 lensing reconstruction noise. For the CMB lensing convergence  $\kappa$ , we set  $l_{min} = 30$ and  $l_{max} = 2000$ .

TABLE II. Forecasts of the neutrino mass constraints with different flat priors on the optical depth assumed. Top: combining CMB-S4 lensing, S4 primary CMB (with Planck coadded), and DESI BAO information. Bottom: LSST clustering added. As in Table I, numbers on the left assume the gold sample, and those on the right assume the optimistic sample.

	$\sigma(\sum m_{\nu})$ [meV]			
	Lens + Planck/S4 T&P +DESI			
k <sub>max</sub>	$\sigma( au) = 0.01$	0.005	0.002	
0.3	25	17	12	
	$\sigma(\sum m_{\nu})$ [meV] (gold/optimistic)			
	Lens + LSST + Planck/S4 T&P +DESI			
k <sub>max</sub>	$\sigma( au) = 0.01$	0.005	0.002	
0.3	22/20	16/16	11/10	
-				

Additionally, with the CMB-S4 specifications as described above, we compute the CMB-S4 Fisher matrix, using temperature and polarization power spectra from S4, to break the parameter degeneracies. We also consider Planck primary CMB data for l > 30 in the region not overlapping with the CMB-S4 ( $f_{sky} = 0.25$  accordingly) [9]. Since we aim here to investigate neutrino mass constraints without  $\tau$  information, no prior on the optical depth to reionization  $\tau$  is included, unless we explicitly note otherwise. Here, we use the unlensed CMB power spectra, because the lensing autopower spectrum  $C_l^{\kappa\kappa}$  already provides nearly all the CMB lensing information [34] and because then the source of lensing information is entirely clear.

#### **D. DESI specifications**

We include the forecasted galaxy baryon acoustic oscillation (BAO) information from DESI [35], which measures the distance-redshift relation at low redshift. (We neglect redshift-space distortion and other broadband sources of information in the DESI galaxy power spectrum but assume BAO reconstruction.) Including DESI measurements significantly improves neutrino mass forecasts by better constraining  $\Omega_m$  and further breaking parameter degeneracies. We use the expected uncertainties on the distance ratio from 18 bins in the range 0.15 < z < 1.85 with  $\Delta z = 0.1$ , given in Refs. [11,35].

#### E. Fisher matrix analysis

If we have N tomographic galaxy redshift bins, our observables are 1 + N (lensing-lensing and galaxy-galaxy) autopower spectra and N + N(N-1)/2 (lensing-galaxy and galaxy-galaxy) cross-spectra. For the CMB lensing convergence autospectra, we consider the lensing reconstruction noise  $N_l^{\kappa\kappa}$ , and for the galaxy-galaxy autospectra, we take into account the shot noise  $N_l^{gg} = 1/\bar{n}$ .

The Gaussian covariance matrix for the auto- (e.g.,  $\kappa\kappa$  and  $g_ig_i$ ) and cross- (e.g.,  $\kappa g$  and  $g_ig_j$ ) power spectra is given by

$$Cov_{l_{a},l_{b}}^{\alpha_{1}\beta_{1},\alpha_{2},\beta_{2}} = \frac{\delta_{l_{a},l_{b}}}{f_{sky}(2l_{a}+1)} \Big\{ (C_{l_{a}}^{\alpha_{1}\alpha_{2}} + N_{l_{a}}^{\alpha_{1}\alpha_{2}}) \\ \times (C_{l_{a}}^{\beta_{1}\beta_{2}} + N_{l_{a}}^{\beta_{1}\beta_{2}}) + (C_{l_{b}}^{\alpha_{1}\beta_{2}} + N_{l_{b}}^{\alpha_{1}\beta_{2}}) \\ \times (C_{l_{b}}^{\beta_{1}\alpha_{2}} + N_{l_{b}}^{\beta_{1}\alpha_{2}}) \Big\},$$
(4)

where  $\alpha_{1,2}, \beta_{1,2} \in (\kappa, g_1, ..., g_N)$ .

We then construct the Fisher matrix

$$F_{ij} = \sum_{\substack{\alpha_1 \beta_1, \\ \alpha_2, \beta_2}} \sum_l \frac{\partial C_l^{\alpha_1 \beta_1}}{\partial \theta_i} [\operatorname{Cov}_l^{\alpha_1 \beta_1, \alpha_2, \beta_2}]^{-1} \frac{\partial C_l^{\alpha_2 \beta_2}}{\partial \theta_j}, \quad (5)$$

where  $\vec{\theta} = \{B_i, H_0, \Omega_b h^2, \Omega_c h^2, n_s, A_s, \sum m_{\nu}, \tau\}$ .  $B_i$  is the bias amplitude parameter of the *i*th bin. We take the fiducial

values for  $\tau$  and  $\sum m_{\nu}$  to be 0.06 and a minimum value of 60 meV, respectively. Unless stated otherwise, we fix w = -1. We note that increasing the fiducial value of  $\tau$  and  $\sum m_{\nu}$  tightens our constraints, but with the DESI BAO included, we find such effects are relatively insignificant.

Finally, we combine the above Fisher matrix with the primordial CMB and BAO Fisher matrices and compute the marginalized constraints as  $Cov(\theta_i, \theta_i) = (F^{-1})_{ii}$ .

### **III. RESULTS AND INTERPRETATION**

With the Fisher matrix formalism described above, Fig. 2 presents forecasts of  $1\sigma$  constraints on the sum of neutrino masses, marginalized over ACDM parameters and linear galaxy biases in all redshift bins, for  $k_{\text{max}} = 0.3 \ h\text{Mpc}^{-1}$ . No prior on the optical depth to reionization is included. With the LSST optimistic sample split into 16 bins in the range z = 0-7, combining LSST clustering and CMB lensing from S4 gives  $\sigma(\sum m_{\nu}) = 55$  meV. Adding the primordial CMB information (without any prior on  $\tau$ ), we can achieve a constraint of 33 meV, corresponding to an  $\approx 1.8\sigma$  detection on the minimum value of  $\sum m_{\nu}$  for the normal hierarchy. Using the parameter constraints from S4, we gain  $\approx 7\%$  improvement in forecasts relative to the Planck primary Fisher matrix. Hereafter in this analysis, we use S4 primary CMB information with Planck coadded. Finally, with the DESI BAO measurements added, we can achieve  $\sigma(\sum m_{\nu}) = 24$  meV, reaching an  $\approx 2.5\sigma$  measurement of the minimal sum of the neutrino mass, without any optical depth information.

In Fig. 2, we find that adding clustering information at higher redshift results in significantly better  $\sum m_{\nu}$  constraints. A more pessimistic galaxy sample, LSST gold, includes significantly less structures in high redshift and, therefore, yields only a minimal improvement in the constraints for z > 3. However, relative to the LSST optimistic, the  $\sigma(\sum m_{\nu})$  gold sample constraints are not significantly worse when primary CMB and DESI information is included. We also consider the effect of having a broader redshift binning; with six bins in the same redshift range,  $\sigma(\sum m_{\nu})$  degrades by  $\approx 15\%$ .

Table I provides the  $1\sigma$  constraints on the neutrino mass with different  $k_{\text{max}}$  limits, for both LSST gold and optimistic samples. Having just CMB lensing and LSST clustering, we find significant improvements as we assume a higher  $k_{\text{max}}$ . However, with all external datasets included, we find only moderate dependence on  $k_{\text{max}}$ , with a degradation of only 10%–15% when using  $k_{\text{max}} =$  $0.1 h \text{Mpc}^{-1}$  instead of  $k_{\text{max}} = 0.3 h \text{Mpc}^{-1}$ . The dependence on CMB sensitivity is similar: Improved CMB sensitivity improves constraints from CMB lensing and LSST clustering alone significantly but only mildly when including all other probes. We note that such modest improvements of the neutrino mass constraints with the S4 lensing reconstruction noise have been recognized previously [14].



FIG. 3.  $1\sigma$  confidence ellipses in the  $\tau - \sum m_{\nu}$  plane, with different combinations of datasets. The solid curves assume no prior on the optical depth, whereas the dotted curves include a flat prior on  $\tau$ . We find that the combination of LSST clustering and CMB-S4 lensing without any  $\tau$  information (red solid line) can achieve constraints competitive with or slightly better than the  $\tau$ -limited constraints possible with CMB-S4 (blue dotted line).

We emphasize that the forecasts shown in Fig. 2 and Table I assume no prior information on the optical depth. We therefore conclude that the  $\tau$ -less cross-correlation tomography combining LSST clustering and CMB-S4 lensing provides a different and competitive way to measure the sum of the neutrino masses. This is better illustrated in Fig. 3. We obtain slightly tighter bounds on  $\sum m_{\nu}$  and  $\tau$  (red solid curve) compared to the  $\tau$ -limited bounds possible with CMB-S4 (blue dotted curve). Still, including a tight prior on  $\tau$  constrains  $\sum m_{\nu}$  better. Table II summarizes the effects of the optical depth measurements on the neutrino mass constraints in our forecasts. Assuming  $k_{\rm max} = 0.3 \ h {\rm Mpc^{-1}}$ , adding a flat prior  $\sigma(\tau) = 0.01$ improves our constraints by 15%-20%. A better determination of  $\tau$  reduces the uncertainty on the  $\sum m_{\nu}$  detection;  $\sigma(\tau) = 0.005$  tightens our  $1\sigma$  constraint to 16 meV, and imposing the cosmic variance limit on the  $\tau$  measurements brings  $\sigma(\sum m_{\nu})$  down to 10 meV,  $\approx 6\sigma$  detection on the minimal sum of the neutrino masses (LSST optimistic sample with S4 lensing noise assumed).

What is the physical origin of these neutrino mass constraints without optical depth information? We consider two possible mechanisms by which the constraints could arise.

First, they could originate by probing the neutrinos' effect on the growth of structure over a wider range of low redshifts. (We will henceforth refer to this as the "growth effect.") To illustrate this, we forecast the constraints on the amplitude of matter fluctuations  $\sigma_8$  as a function of redshift, by defining a parameter  $A_i$  which quantifies how the



FIG. 4.  $1\sigma$  constraints on the matter amplitude  $\sigma_8$  in six tomographic redshift bins, z = 0-0.5, 0.5–1, 1–2, 2–3, 3–4, and 4–7, from the combination of LSST galaxies and CMB-S4 lensing.  $k_{\text{max}} = 0.3 \ h\text{Mpc}^{-1}$  is assumed.  $\sigma_8/\sigma_{8,\text{fiducial}} = 1$  corresponds to  $\sum m_{\nu} = 0$ . Massive neutrinos suppress the growth of density fluctuations, which can be shown by how the matter density contrast scales with the scale factor:  $\delta_m \propto a^{1-\frac{3}{5}f_{\nu}}$  [9]. Assuming the minimal mass sum 60 meV, the black dotted curve plots such suppression. We either (i) marginalize over  $\Lambda$ CDM parameters and linear biases in each bin (light blue blocks) or (ii) fix  $\Lambda$ CDM parameters (dark blue). In both scenarios, subpercent-level constraints on  $\sigma_8$  can be achieved, leading to a significant improvement in the  $\sum m_{\nu}$  detection.

measured power spectra deviate from the standard growth of structure:  $P_{mm}(k, z_i) = A_i^2 P_{mm}^{\text{fiducial}}(k, z_i)$ , with  $A_i = 1$  for the fiducial cosmology. Following Ref. [13], we consider broader redshift bins, z = 0–0.5, 0.5–1, 1–2, 2–3, 3–4, and 4–7, and treat  $A_i$  in all six bins as a free parameter. Marginalizing over six  $\Lambda$ CDM parameters ( $H_0$ ,  $\Omega_b h^2$ ,  $\Omega_c h^2$ ,  $n_s$ ,  $A_s$ , and  $\tau$ ) and linear biases in each bin and adding external datasets, such as primary CMB and DESI, we can convert  $A_i$  constraints to subpercent-level constraints on  $\sigma_8$  at each redshift, as shown in Fig. 4. This enables us to measure (to some extent) the tiny difference between high- and low-redshift amplitudes of structure, thereby leading to a better constraint on  $\sum m_{\nu}$ .

Since the precision to which the growth suppression alone can be measured appears moderate, we also consider other physical effects that can contribute to the constraints on neutrino mass. In particular, we consider constraints from the step feature in the power spectrum induced by neutrino free streaming (i.e., the characteristic spectrum shape caused by growth suppression only below the freestreaming scale); this should also improve with larger volume and a larger number of low-k modes, as surveys extend to higher redshift. We will label this effect the "spectrum shape effect."

In Fig. 5, we investigate the relative contribution of the growth and spectrum shape effects to the constraints on neutrino mass without optical depth information. We begin



FIG. 5. The relative contribution of the growth and spectrum shape effects to the  $\sum m_{\nu}$  constraint without optical depth information.  $k_{\text{max}} = 0.3 \ h \text{Mpc}^{-1}$  assumed. From the full information combining both galaxies and CMB lensing (red curve), we remove either the growth effect by excluding all CMB lensing information (blue curve) or the spectrum shape effect by artificially removing the neutrino step feature (green curve). The removal of either effect substantially weakens our constraints, and removing both growth and shape effects (yellow curve) eliminates the majority of the constraining power of our data.

from an analysis including the full information arising from both galaxies and CMB lensing, in which we obtain constraints shown by the red line. To understand the relative contributions, we now remove either the growth effect or the spectrum shape effect. To remove the growth effect, we simply exclude all CMB lensing information  $(C_{l}^{\kappa\kappa}$  and  $C_{l}^{\kappa g}$  removed); this gives the constraints shown by the blue line. To remove the spectrum shape effect, we artificially remove the neutrino step feature by matching an  $\sum m_{\nu} = 0$  power spectrum to the amplitude of the smallscale power spectrum at k > 0.1 h/Mpc. This way, the whole "featureless" power spectrum growth is suppressed in a redshift-dependent way that mimics that caused by neutrinos. This gives the constraints shown by the green line. It can be seen that, in both cases, constraints are weakened substantially; the effect sizes appear comparable, though the removal of the spectrum shape effect has slightly more impact.<sup>2</sup> Removing both the spectrum shape effect and the CMB lensing data eliminates the majority of the constraining power of our data; we, thus, conclude that both the shape of the galaxy power spectrum and the growth of cosmic structure, probed by high-redshift galaxy



FIG. 6. Forecasted  $1\sigma$  constraints on  $\sum m_{\nu}$  with different survey configurations. The solid curves include the LSST shot noise, and the dotted curves assume zero shot noise. Having more galaxies observed in higher redshift, LSST optimistic (red, blue, and black curves) yields tighter  $\sum m_{\nu}$  constraints relative to LSST gold (green curve). Assuming N = 22 in the same redshift range, we can reach up to  $\sigma(\sum m_{\nu}) = 16$  meV. Consequently, we conclude that having more bins in high redshift tightens our constraints considerably.

and CMB lensing surveys, are responsible for the majority of our constraints on neutrino mass without optical depth information.

Since we find that our forecasts for neutrino mass errors do not degrade dramatically with the complete removal of CMB-S4 lensing information, we have also looked at constraints to come from a nearer-term survey with 7 times larger map noise at  $\Delta_T = \Delta_{E,B}/2 = 7\mu K'$ . This is similar to (though not necessarily equal to) the white noise level expected from the Simons Observatory, also to be situated on the Atacama Plateau, with a survey coverage of  $f_{sky} \simeq$ 0.4 [10]. For  $k_{max} = 0.3 \ h/Mpc$ , our forecast for  $\sigma(m_{\nu})/meV$  from CMB temperature, polarization, and lensing combined with DESI BAO and the LSST gold (optimistic) sample degrades from 27 to 29 (24 to 26) when S4 is replaced with this nearer-term, noisier survey.

Though we believe we have explained the origin of most of the combined probes' constraining power, other effects may contribute to some degree as well, such as improved constraints on cosmological parameters such as the matter density, which may break degeneracies with neutrino mass, or constraints on the geometric factors probed by the relevant power spectra. We defer a detailed analysis attempting to quantify the impact of these other effects to future work.

The analyses described in this paper might provide the best prospects for improved constraints in future experiments, since improving optical depth constraints further may be difficult. Figure 6 explores possible improvements to our constraints and shows that our forecasts are moderately limited by the CMB lensing reconstruction noise

<sup>&</sup>lt;sup>2</sup>We note that when we reduce our default  $k_{\text{max}} = 0.3 \ h/\text{Mpc}$  to  $k_{\text{max}} = 0.1 \ h/\text{Mpc}$ , the spectrum shape effect, which mainly arises from low k, becomes much more important than the growth effect, which requires many modes to get precise measurements of  $\sigma_8(z)$ .

and the galaxy shot noise. Even though our LSST galaxy samples extend to z = 7, we consider one broad redshift bin for z = 4-7, and only modest improvements can be achieved by including this broad bin. The black dotted curve in Fig. 6 assumes N = 22, with finer bins in high redshift:  $\Delta z = 1$  in the range z = 4-10 (flat dn/dzassumed for z > 7). Then, assuming zero lensing noise and shot noise, we can achieve  $\sigma(\sum m_{\nu}) = 16$  meV from the combination of LSST galaxies and CMB-S4 lensing (all external datasets also added). This suggests that our forecasts are primarily limited by the redshift extent of the galaxy surveys. Neutrino mass constraints, thus, provide some motivation for extending galaxy surveys to higher redshift, though the improvements are fairly slow and the analyses will be very challenging.

# **IV. CONCLUSIONS AND OUTLOOK**

We have forecast that the combination of LSST clustering and CMB-S4 lensing provides competitive neutrino mass constraints without optical depth information. Following Ref. [13], we use CMB lensing–galaxy survey cross-correlations, together with autopower spectrum information, to cancel sample variance in part and thereby break parameter degeneracies.

For  $k_{\text{max}} = 0.3 \ h\text{Mpc}^{-1}$ , the combination of CMB-S4 lensing with LSST galaxies, with external datasets such as Planck and S4 primordial CMB information and DESI BAO measurements included, can achieve  $\sigma(\sum m_{\mu}) =$ 24 meV, corresponding to an  $\approx 2.5\sigma$  detection on the minimal mass 60 meV assuming the normal hierarchy. This suggests that the  $\tau$ -less CMB lensing cross-correlation tomography provides an (at least partially) independent and competitive way to constrain the sum of the neutrino masses. Such improvements partially originate from subpercent-level constraints on the amplitude of structure at a number of different redshifts, which allow the measurement of the tiny difference between high- and low-redshift amplitudes of structure caused by neutrinos affecting structure growth; they also, in part, originate in constraints on the shape of the galaxy power spectrum, which benefit from the large volumes probed by high-redshift surveys.

We demonstrate that including LSST galaxies at higher redshift leads to tighter constraints by extending the redshift lever arm. Comparing two LSST galaxy samples, we conclude that for a more pessimistic sample that includes less galaxies at high redshift the improvements in the constraints are only minimal for z > 3. We also assume zero lensing reconstruction noise and galaxy shot noise and find that the redshift lever arm and tomographic binning of the galaxy surveys (and the corresponding overlap with the lensing kernel) primarily limit our forecasts. In addition, we show that better measurements of the optical depth, if attainable and added to the analyses we describe, can improve the neutrino mass constraints further; in particular, including a cosmic-variance-limited optical depth measurement tightens  $\sigma(\sum m_{\nu})$  to 10 meV.

We caution that, for our forecasts to hold, we need to be able to model the observed power spectrum in the presence of massive neutrinos to better than  $\sim 1\%$  level, which corresponds to the size of the suppression due to neutrinos in the range probed by LSST galaxies (see Fig. 4). For comparison, the size of the quadratic  $b_2$  bias [15,36], neglected in this analysis, can be a few percent correction to the galaxy power spectrum at  $k = 0.1 \ h \text{Mpc}^{-1}$  and an ~20% correction at  $k = 0.3 \ h \text{Mpc}^{-1}$ , depending on redshift and on the mass of the host halos. We therefore anticipate needing to model and constrain scale-dependent corrections to the  $\kappa g$  and gg power spectra from nonlinear bias terms to better than  $\sim 10\%$  in order to achieve the required accuracy. Moreover, in this work we have neglected supersample variance and systematic errors in the analysis of high-redshift galaxy clustering (such as photometric redshift uncertainties), which may limit how well we constrain the growth of structure. A full analysis of nonlinear biasing, photometric redshift errors, and other systematic limitations is therefore well motivated.

Nevertheless, if these systematic limitations can be controlled sufficiently well, our results show that novel high-precision neutrino mass measurements at and beyond the optical depth limit will be achievable with upcoming surveys.

### ACKNOWLEDGMENTS

We thank Anthony Challinor, Emmanuel Schaan, Uroš Seljak, Martin White, and Michael Wilson for useful discussions. We acknowledge the use of the PYFISHER [37] code by Mathew Madhavacheril. B. D. S. was supported by an Isaac Newton Trust early career grant and an STFC Ernest Rutherford Fellowship. S. F. was supported by the Miller Fellowship at the University of California, Berkeley. M. S. was supported by the Jeff Bezos Fellowship at the Institute for Advanced Study.

- K. N. Abazajian *et al.* (Topical Conveners: K. N. Abazajian, J. E. Carlstrom, A. T. Lee Collaborations), Astropart. Phys. 63, 66 (2015).
- [2] J. Lesgourgues and S. Pastor, Phys. Rep. 429, 307 (2006).
- [3] M. Gerbino, arXiv:1803.11545.
- [4] J. R. Bond and A. S. Szalay, Astrophys. J. 274, 443 (1983).
- [5] C. P. Ma, Astrophys. J. 471, 13 (1996).
- [6] W. Hu and D. J. Eisenstein, Astrophys. J. 498, 497 (1998).
- [7] M. Kaplinghat, L. Knox, and Y. S. Song, Phys. Rev. Lett. 91, 241301 (2003).
- [8] Z. Pan and L. Knox, Mon. Not. R. Astron. Soc. 454, 3200 (2015).
- [9] K. N. Abazajian *et al.* (CMB-S4 Collaboration), arXiv: 1610.02743.
- [10] P. Ade *et al.* (Simons Observatory Collaboration), J. Cosmol. Astropart. Phys. 02 (2019) 056.
- [11] R. Allison, P. Caucal, E. Calabrese, J. Dunkley, and T. Louis, Phys. Rev. D 92, 123535 (2015).
- [12] S. Mishra-Sharma, D. Alonso, and J. Dunkley, Phys. Rev. D 97, 123544 (2018).
- [13] M. Schmittfull and U. Seljak, Phys. Rev. D 97, 123540 (2018).
- [14] A. Banerjee, B. Jain, N. Dalal, and J. Shelton, J. Cosmol. Astropart. Phys. 01 (2018) 022.
- [15] C. Modi, M. White, and Z. Vlah, J. Cosmol. Astropart. Phys. 08 (2017) 009.
- [16] T. Brinckmann, D. C. Hooper, M.Archidiacono, J. Lesgourgues, and T. Sprenger, J. Cosmol. Astropart. Phys. 01 (2019) 059.
- [17] Y. Takeuchi and K. Kadota, J. Cosmol. Astropart. Phys. 01 (2014) 046.
- [18] M. LoVerde, Phys. Rev. D 93, 103526 (2016).
- [19] M. S. Madhavacheril, N. Battaglia, and H. Miyatake, Phys. Rev. D 96, 103525 (2017).

- [20] A. Boyle and E. Komatsu, J. Cosmol. Astropart. Phys. 03 (2018) 035.
- [21] D. N. Limber, Astrophys. J. 119, 655 (1954).
- [22] P. A. Abell *et al.* (LSST Science and LSST Project Collaborations), arXiv:0912.0201.
- [23] https://camb.info/ (2018).
- [24] A. Lewis, A. Challinor, and A. Lasenby, Astrophys. J. 538, 473 (2000).
- [25] A. Gorecki, A. Abate, R. Ansari, A. Barrau, S. Baumont, M. Moniez, and J. S. Ricol, Astron. Astrophys. 561, A128 (2014).
- [26] Y. Ono et al., Publ. Astron. Soc. Jpn. 70, S10 (2018).
- [27] Y. Harikane *et al.*, Publ. Astron. Soc. Jpn. **70**, S11 (2018).
- [28] R. E. Smith, J. A. Peacock, A. Jenkins, S. D. M. White, C. S. Frenk, F. R. Pearce, P. A. Thomas, G. Efstathiou, and H. M. P. Couchman (VIRGO Consortium), Mon. Not. R. Astron. Soc. 341, 1311 (2003).
- [29] R. Takahashi, M. Sato, T. Nishimichi, A. Taruya, and M. Oguri, Astrophys. J. 761, 152 (2012).
- [30] https://github.com/dhanson/quicklens (2018).
- [31] P.A.R. Ade *et al.* (Planck Collaboration), Astron. Astrophys. **594**, A15 (2016).
- [32] C. M. Hirata and U. Seljak, Phys. Rev. D 68, 083002 (2003).
- [33] K. M. Smith, D. Hanson, M. LoVerde, C. M. Hirata, and O. Zahn, J. Cosmol. Astropart. Phys. 06 (2012) 014.
- [34] K. M. Smith, W. Hu, and M. Kaplinghat, Phys. Rev. D 74, 123002 (2006).
- [35] A. Aghamousa *et al.* (DESI Collaboration), http://desi.lbl .gov/, arXiv:1611.00036.
- [36] T. Baldauf, M. Mirbabayi, M. Simonović, and M. Zaldarriaga, arXiv:1602.00674.
- [37] https://github.com/msyriac/pyfisher.