

Backreaction issue for the black hole in de Sitter spacetimeEmil T. Akhmedov[✉] and Kirill V. Bazarov^{✉*}*Moscow Institute of Physics and Technology, Institutskii per. 9, 141700, Dolgoprudny, Russia
and National Research Centre “Kurchatov Institute”, 123182 Moscow, Russia* (Received 19 December 2022; accepted 3 May 2023; published 17 May 2023)

We consider a quantum real massive scalar field in the de Sitter–Schwarzschild spacetime background. To have an analytic headway we study in detail the two-dimensional case, assuming that the situation in four dimensions will not be much different conceptually. It is assumed that the quantum field is in a thermal state, i.e., described by the Planckian distribution for the exact modes in the geometry under consideration. We calculate approximately the expectation value of the stress-energy tensor near the cosmological and black hole horizons. It is shown that for a generic temperature backreaction from quantum fields, the geometry cannot be neglected. Thus, de Sitter–Schwarzschild spacetime geometry inevitably is strongly modified by the quantum fluctuations of the matter fields.

DOI: [10.1103/PhysRevD.107.105012](https://doi.org/10.1103/PhysRevD.107.105012)**I. INTRODUCTION**

There is an indirect experimental evidence that our Universe has undergone a stage of rapid inflationary expansion [1–8]. It is believed that the curvature of the Universe at that stage was of the order of the grand unified theory scale. Although formation of black holes at that stage is believed to be highly unlikely, due to the rapid expansion, we think that the possibility of such a formation strongly depends on the initial quantum state of the fields at the beginning of the inflation, which is not known to us so far. Furthermore, there are suggestions about the hypothetical type of black holes that formed in the early Universe [9,10]; these so-called primordial black holes, their stability and evaporation are of interest because they are candidates for the components of the dark matter [11,12].

So, we would like to consider the situation when there is a black hole present during that expansion stage. This situation is modeled by the following the two-dimensional part of the four-dimensional metric [13,14] (see also [15–18]):

$$ds^2 = f(r)dt^2 - \frac{dr^2}{f(r)}, \quad f(r) = 1 - \frac{2M}{r} - H^2 r^2. \quad (1)$$

Here M is the mass of the black hole, H is the Hubble constant, and $d\Omega^2$ is the line element of the unit sphere.

*bazarov.kv@phystech.edu

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP³.

The main feature of this metric, which is relevant for further discussion, is the presence of two horizons simultaneously—there is the cosmological and black hole horizon. In this article we consider two-dimensional spacetime since it allows us to find the key properties of the QFT on the metric (1) without unnecessary technical complications. However, the cost of this simplification is the absence of the dynamical gravity in two dimensions. But, we expect that the main conclusions remain true in higher dimensions, as it was in other spacetimes (see [19,20] to compare results in two and four dimensions).

In [21] it was shown that quantum field theory in such a background has certain peculiarities, which are due to the presence of two horizons. Indeed, the function $f(r)$ in (1) has two separated first order roots, which correspond to the presence of two horizons. But, after the Wick rotation to the Euclidian signature one encounters two different conical singularities [18,22–28]. Therefore, it is believed that this system cannot be in thermodynamic equilibrium and spacetime (1) is unstable [29–34]. See also [19,20,35–37] for the discussion of the physical consequences of the presence of such singularities. See also the discussion of the multihorizon thermodynamics in, e.g., Ref. [38], where the massless field was studied with an alternative approach to the problem. In the latter paper analytic continuation of the metric to the Euclidean signature was used and it was claimed that conical singularity can be avoided for specific relations between deficit angles of the two horizons. However, we work in the Lorentzian signature and study the backreaction due to the quantum expectation value of the stress energy tensor. In general, the two approaches are not the same and the connection between them should be carefully examined in each given case.

We find it hard to judge the destiny of quantum field theory on such a background as (1) without addressing the

backreaction issue based on the explicit calculation of the expectation value of the stress-energy tensor (SET) and its effect on the background metric in the Lorentzian signature. The goal of the present paper is to calculate the SET. We will do the calculation for a class of states that are similar to the Hartle-Hawking state, which are believed to be stationary.

In Secs. II and III we discuss the key ideas of the technical part of the work and take a closer look at the geometry of the space (1) correspondingly. In Sec. IV we quantize the field, and in Sec. V we find the approximate value of the SET near the horizons and discuss its properties. In Sec. VI we propose a state, which may nullify the SET on both horizons. However such a state does not obey the fluctuation-dissipation theorem.

II. SETUP OF THE PROBLEM

We consider the situation when a quantum field is existing between two horizons, r_c and $r_b < r_c$, in two-dimensional spacetime. Namely, in the spacetime (1) we consider the free real massive scalar field theory:

$$S = \frac{1}{2} \int d^2x \sqrt{g} (\partial_\mu \varphi \partial^\mu \varphi - m^2 \varphi^2). \quad (2)$$

This two-dimensional model can be considered as usual as the radial part of the four dimensional theory. To some limited extent this two-dimensional theory allows us to judge the situation in the four-dimensional case. In a similar situation in four dimensions the presence of the quantum scalar field leads to the appearance of the expectation value of the corresponding SET on the right-hand side of the Einstein equations:

$$G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G \langle : \hat{T}_{\mu\nu} : \rangle, \quad (3)$$

where the expectation value, $\langle : \hat{T}_{\mu\nu} : \rangle$, is taken with respect to a state of the scalar field theory. Of course, in two-dimensional spacetime, there is not any dynamics of gravity, which can be described by anything like Einstein equations. However, the situation in higher dimensions is expected to be qualitatively similar. So, the two-dimensional case allows us to demonstrate the key features of the theory without unnecessary complicated calculations. The main goal of our paper is to show that independently of the choice of the state (within the class of stationary thermal states) this expectation value of the SET diverges (infinitely grows) either on cosmological or on the black hole horizon, or simultaneously on both of them. Thus, the quantum field changes the background metric, which signals that there is a strong backreaction.

The metric (1) has the timelike killing vector. Then, among the simplest possible states there is the class defined by the ‘‘thermal’’ density matrix:

$$\hat{\rho} = e^{-\beta \hat{H}}. \quad (4)$$

Here, \hat{H} is the Hamiltonian of the scalar field theory under consideration. Then, the expectation value of an operator \hat{O} is defined as follows:

$$\langle \hat{O} \rangle = \frac{\text{Tr} \hat{O} \hat{\rho}}{\text{Tr} \hat{\rho}}. \quad (5)$$

To calculate the expectation value of the SET we express it via the Wightman two-point function:

$$T_{\mu\nu}(x)_\beta = \left(\frac{\partial}{\partial x_1^\mu} \frac{\partial}{\partial x_2^\nu} - \frac{1}{2} g_{\mu\nu} \left[g^{\alpha\beta} \frac{\partial}{\partial x_1^\alpha} \frac{\partial}{\partial x_2^\beta} - m^2 \right] \right) \times W_\beta(x_1|x_2) \Big|_{x_1=x_2=x}, \quad (6)$$

here the Wightman function is defined as follows:

$$W_\beta(x_1|x_2) = \langle \hat{\varphi}(x_1) \hat{\varphi}(x_2) \rangle. \quad (7)$$

This expectation value has the standard UV divergence that has to be regularized.

There are different regularization methods. One of the standard methods is to use covariant point splitting [39–42] (see also [19,35,43]). In fact, the key feature of the two-dimensional case is the following: the behavior of the modes at horizon can be expressed in terms of in-going and out-going plane waves, which are multiplied by the transition and the reflection coefficients calculated in the corresponding effective potential due to the background metric. We show this explicitly below.

Moreover, for the class of the states of the field under consideration the leading contribution to the SET near the horizon does not depend on the transition and the reflection coefficients separately. Terms which depend on these coefficients contribute in the special combination, as we will see in (24). It turns out that this combination is nothing but the sum of the probability of the transition and reflection, which always is equal to 1. Hence, in two dimensions one can calculate the behavior of the SET on the horizons without knowing expressions of the reflection and transition coefficients. As a result, in two dimensions for the states that we consider the expectation value contains two terms. The first term depends only on the temperature and does not depend on the geometry. While the second term, which comes from regularization does depend only on geometry, but does not depend on the state of the field.

Also we want to stress here that in such a background as we consider here the expectation value depends on the spatial coordinate r and cannot be found exactly for any r . However, we can calculate the approximate expectation value of the SET near the horizons, where its behaviour is the most interesting for the backreaction problem.

III. THE GEOMETRY

In this section we discuss the geometry of the spacetime with the metric (1). The function $f(r)$ has two zeros for $r \geq 0$: one, r_c , is corresponding to the cosmological horizon, while the other, $r_b \leq r_c$, to the black hole horizon. In (1) the range of r is as follows: $r_b \leq r \leq r_c$. In the situation under consideration $f(r)$ can be written as

$$f(r) = H^2 \frac{(r - r_b)(r_c - r)(r + r_c + r_b)}{r}. \quad (8)$$

If we were considering the 4D case the positions of the horizons, r_c and r_b , could be related to the Hubble constant and black hole mass as follows:

$$H^2 = \frac{1}{r_c^2 + r_b r_c + r_b^2},$$

$$M = \frac{r_b r_c (r_b + r_c)}{2(r_c^2 + r_b r_c + r_b^2)} = H^2 \frac{r_b r_c (r_b + r_c)}{2}.$$

The condition $r_b \leq r_c$ requires the following restriction on the black hole mass:

$$M \leq \frac{1}{3\sqrt{3}H},$$

where the equality corresponds to the extremal case $r_b = r_c$.

Note that the case $r_c \rightarrow \infty$ corresponds to the asymptotically flat Schwarzschild solution. At the same time $r_b = 0$ corresponds to the empty de Sitter spacetime $r_c = H^{-1}$. However, one needs to be careful in taking such limits. In fact, for example, the spacetime with nonzero M (but even very small) is topologically different from the space with $M = 0$.

To quantize a field theory in such a spacetime, it is necessary to impose boundary conditions on the horizons. For these purposes it is more convenient to use a conformal variant of the metric (1). Namely, one can define [44]

$$ds^2 = f(r^*)(dt^2 - dr^{*2}), \quad \text{where } r^* = \int \frac{dr}{f(r)}. \quad (9)$$

As usual to express r via r^* one has to solve the following transcendental equation:

$$r^* = -\frac{r_b \log(r - r_b)}{H^2(r_b - r_c)(2r_b + r_c)} + \frac{r_c \log(r_c - r)}{H^2(r_b - r_c)(r_b + 2r_c)} + \frac{(r_b + r_c) \log(r + r_b + r_c)}{H^2(2r_b + r_c)(r_b + 2r_c)}. \quad (10)$$

It is curious that for a specific values of $r_{b,c}$,

$$r_b = \frac{\sqrt{3} - 1}{2}, \quad r_c = 1, \quad (11)$$

one can solve the transcendental equation (10) explicitly

$$r = r_b \frac{(1 + \sqrt{3})(2e^{2r^*} + 1) - (3 + \sqrt{3})\sqrt{2e^{2r^*} + 1}}{2(e^{2r^*} - 1)}. \quad (12)$$

For generic values of $r_{b,c}$ the equation can be solved only approximately in the near horizon limits:

$$r \approx r_b + e^{\frac{H^2 r^* (r_c - r_b)(r_b + r_c)}{r_b}} \quad \text{as } r \rightarrow r_b, \quad r^* \rightarrow -\infty,$$

and

$$r \approx r_c - e^{\frac{H^2 r^* (r_c - r_b)(r_b + 2r_c)}{r_c}} \quad \text{as } r \rightarrow r_c, \quad r^* \rightarrow +\infty.$$

Correspondingly,

$$f(r^*) \approx \frac{H^2(2r_b + r_c)(r_c - r_b)}{r_b} e^{\frac{H^2 r^* (r_c - r_b)(2r_b + r_c)}{r_b}} \quad \text{as } r^* \rightarrow -\infty, \quad (13)$$

and

$$f(r^*) \approx \frac{H^2(r_b + 2r_c)(r_c - r_b)}{r_c} e^{\frac{H^2 r^* (r_c - r_b)(r_b + 2r_c)}{r_c}} \quad \text{as } r^* \rightarrow +\infty. \quad (14)$$

Thus, one can define the canonical (inverse) temperatures due to the black hole and cosmological horizons:

$$\beta_b = \frac{4\pi r_b}{H^2(r_c - r_b)(2r_b + r_c)} = \frac{2\pi}{\kappa_b},$$

$$\beta_c = \frac{4\pi r_c}{H^2(r_c - r_b)(r_b + 2r_c)} = \frac{2\pi}{\kappa_c}. \quad (15)$$

Here κ_b and κ_c are the surface gravities on the event and cosmological horizons, correspondingly. One of the important properties of the geometry under consideration is that these temperatures are not equal for any values of r_b, r_c :

$$\beta_c - \beta_b = \frac{2\pi(r_b + r_c)}{H^2(2r_b + r_c)(r_c + 2r_b)} > 0. \quad (16)$$

Furthermore, note that if $r_b \rightarrow r_c$, then both $\beta_{b,c} \rightarrow \infty$, but their difference remains finite.

Below we will show that in the two-dimensional case, to calculate the SET in the vicinity of the horizons we need to know only the behavior of $f(r)$ and of the modes near the horizons.

IV. QUANTIZATION

The equations of motion for the scalar field with the action equation (2) in such a background gravitational field as (9) are as follows:

$$[-\partial_{r^*}^2 + m^2 f(r^*)]\varphi_\omega(r^*) = \omega^2 \varphi_\omega(r^*),$$

$$\text{where } \varphi(t, r^*) = \frac{1}{\sqrt{2\omega}} e^{i\omega t} \varphi_\omega(r^*). \quad (17)$$

Thus, in the radial direction one obtains a quantum mechanical scattering problem. As a result, the full basis of solutions consists of out-going $\vec{\varphi}_\omega(r^*)$ and in-going $\bar{\varphi}_\omega(r^*)$ modes with the following behaviour near horizons [45]:

	$r^* \rightarrow -\infty$	$r^* \rightarrow +\infty$
$\vec{\varphi}_\omega(r^*)$	$e^{i\omega r^*} + R_\omega e^{-i\omega r^*}$	$T_\omega e^{i\omega r^*}$
$\bar{\varphi}_\omega(r^*)$	$T_\omega e^{-i\omega r^*}$	$e^{-i\omega r^*} + R_\omega e^{i\omega r^*}$

There are the following obvious conditions for the reflection and transition coefficients:

$$|T_\omega|^2 + |R_\omega|^2 = 1, \quad (18)$$

which follows from the normalization conditions that can be related to the canonical commutation relations between the field operator and its conjugate momentum and between creation and annihilation operators. The field operator has the following form:

$$\hat{\varphi}(t, r^*) = \int_0^\infty \frac{d\omega}{\sqrt{2\pi}} \frac{1}{\sqrt{2\omega}} \times [e^{i\omega t} (\hat{a}_\omega^\dagger \vec{\varphi}_\omega(r^*) + \hat{b}_\omega^\dagger \bar{\varphi}_\omega(r^*)) + \text{H.c.}], \quad (19)$$

where the creation and annihilation operators obey the standard commutation relations:

$$[\hat{b}_\omega, \hat{b}_{\omega'}^\dagger] = \delta(\omega - \omega'), \quad [\hat{a}_\omega, \hat{a}_{\omega'}^\dagger] = \delta(\omega - \omega'), \quad [\hat{a}_\omega, \hat{b}_{\omega'}^\dagger] = 0. \quad (20)$$

Then the thermal state corresponds to the following expectation values:

$$\hat{\rho} = e^{-\beta \hat{H}}, \quad \text{then } \langle \hat{a}_\omega^\dagger, \hat{a}_{\omega'} \rangle = \frac{1}{e^{\beta\omega} - 1} \delta(\omega - \omega'),$$

$$\langle \hat{b}_\omega^\dagger, \hat{b}_{\omega'} \rangle = \frac{1}{e^{\beta\omega} - 1} \delta(\omega - \omega'). \quad (21)$$

So one obtains the Wightman function as follows:

$$W(t_2, r_2^* | t_1, r_1^*) = \int_{-\infty}^\infty \frac{d\omega}{2\pi} \frac{e^{i\omega(t_2-t_1)}}{e^{\beta\omega} - 1} \times \frac{1}{2\omega} [\vec{\varphi}_\omega(r_1^*) \vec{\varphi}_\omega(r_2^*) + \bar{\varphi}_\omega(r_1^*) \bar{\varphi}_\omega(r_2^*)], \quad (22)$$

which we will use to calculate the SET expectation value. Please note the integration limits in (22) and in (19).

The same quantization procedure was discussed, for example, in [46–49].

V. STRESS-ENERGY TENSOR

Let us start with the calculation of the energy density near say the black hole horizon (see also [50]). The relevant behavior of the Wightman function near the corresponding horizon is

$$W(t_2, r_2^* | t_1, r_1^*) \approx \int_{-\infty}^\infty \frac{d\omega}{2\pi} \frac{e^{i\omega(t_2-t_1)}}{e^{\beta\omega} - 1} \times \frac{1}{2\omega} [e^{i\omega(r_2^*-r_1^*)} + |R_\omega|^2 e^{-i\omega(r_2^*-r_1^*)} + R_\omega e^{i\omega(r_1^*+r_2^*)} + R_\omega^* e^{-i\omega(r_1^*+r_2^*)} + |T_\omega|^2 e^{-i\omega(r_2^*-r_1^*)}]. \quad (23)$$

The approximate form of the Wightman function near the cosmological horizon is very similar. Note, that near the horizon the Wightman function contains two types of terms under the integral on the rhs of (23). The first type depends on $r_2^* - r_1^*$ while the second type depends on $r_1^* + r_2^*$. By definition (6) the energy density can be expressed via the Wightman function as

$$\langle T_{00} \rangle = \frac{1}{2} \left[\frac{\partial}{\partial t_1} \frac{\partial}{\partial t_2} + \frac{\partial}{\partial r_1^*} \frac{\partial}{\partial r_2^*} \right] W(t_2, r_2^* | t_1, r_1^*) \Big|_{1 \rightarrow 2}. \quad (24)$$

Due to the structure of derivatives in Eq. (24) the terms, which depend on $r_1^* + r_2^*$ in (23), do not contribute to the T_{00} near the horizon, and we obtain that

$$\langle T_{00} \rangle \approx \int_{-\infty}^\infty \frac{\omega d\omega}{4\pi} \frac{1}{e^{\beta\omega} - 1} [1 + |R_\omega|^2 + |T_\omega|^2]$$

$$= \int_{-\infty}^\infty \frac{\omega d\omega}{2\pi} \frac{1}{e^{\beta\omega} - 1}, \quad (25)$$

where we have used the properties of the reflection and transition coefficients (18). In (25) there is the standard UV divergence due to the zero-point fluctuations. As we agreed above we use the covariant point splitting method to obtain that (see the similar calculations in [19,35,43])

$$\langle :T_{00}: \rangle \approx \frac{\pi}{6} \frac{1}{\beta^2} - \frac{1}{6\pi} f(r^*)^{1/2} \frac{\partial^2}{\partial r^{*2}} f(r^*)^{-1/2}. \quad (26)$$

Here we presented only the T_{00} component and omitted details of regularization. For more details and all other components of SET see Appendix A. Concerning $f(r^*)$, note that it has different approximate behavior near the two horizons: Eqs. (13) and (14) correspondingly. Hence, the energy density near the black hole horizon $r^* \rightarrow -\infty$ and

near the cosmological horizon $r^* \rightarrow \infty$ has different asymptotics, respectively,

$$\begin{aligned} \text{Black hole horizon } \langle :T_{00}: \rangle &\approx \frac{\pi}{6} \left[\frac{1}{\beta^2} - \frac{1}{\beta_b^2} \right], \\ \text{Cosmological horizon } \langle :T_{00}: \rangle &\approx \frac{\pi}{6} \left[\frac{1}{\beta^2} - \frac{1}{\beta_c^2} \right]. \end{aligned} \quad (27)$$

Absolutely similarly one can obtain $\langle :T_{11}: \rangle$ near both horizons. Recall that $\beta_c \neq \beta_b$ according to (15). For this reason, we cannot choose any thermal state that nullifies the SET near the both horizons simultaneously. The finite expectation value of the SET at least on one of the horizons signals the presence of strong backreaction. Since the metric tensor degenerates near the horizon it is very sensitive even to small perturbations. For more details see Appendix B.

On the one hand, this reasoning does not make much sense in two dimensions, because the gravitational field is not dynamical. On the other hand, in two dimensions, we obtained the SET by a much simpler calculations than in four dimensions. In the last section we conclude with the physical predictions for the backreaction problem in four dimensions.

VI. POSSIBLE CANDIDATES FOR THE STATE WITH THE ZERO SET EXPECTATION VALUE NEAR THE HORIZONS

In the previous section, we have considered only thermal states. It was shown that for any β the expectation value of the SET is not zero at least on one of the two horizons. However, if we go beyond the class of thermal states, we can find the state which corresponds to the zero SET near both horizons. In fact, consider the following state:

$$\begin{aligned} \langle \hat{a}_\omega^\dagger, \hat{a}_{\omega'} \rangle &= n_{\text{out}}(\omega) \delta(\omega - \omega'), \\ \langle \hat{b}_\omega^\dagger, \hat{b}_{\omega'} \rangle &= n_{\text{in}}(\omega) \delta(\omega - \omega'), \\ \langle \hat{b}_\omega^\dagger, \hat{a}_{\omega'} \rangle &= 0. \end{aligned} \quad (28)$$

Here $n_{\text{out}}(\omega)$ and $n_{\text{in}}(\omega)$ are the distributions of the out-going and in-going modes, which are generic functions of ω , not necessarily equal to the Planckian distribution. Let us take them in the following form:

$$\begin{aligned} n_{\text{out}}(\omega) &= \frac{1}{e^{\beta_0 \omega} - 1} + \delta n(\omega), \\ n_{\text{in}}(\omega) &= \frac{1}{e^{\beta_0 \omega} - 1} - \delta n(\omega), \quad \text{where } \frac{2}{\beta_0^2} = \frac{1}{\beta_b^2} + \frac{1}{\beta_c^2}, \end{aligned} \quad (29)$$

where δn satisfies the following condition $\delta n(-\omega) = -\delta n(\omega)$. Note also that β_0 does not coincide with the effective temperature of the Schwarzschild–de Sitter space [18]. We propose to consider a class of states that are close to the

thermal one, and δn plays the role of the difference in the number of the out-going and the in-going particles, $n_{\text{out}}(\omega) - n_{\text{in}}(\omega) = 2\delta n(\omega)$.

Because, $n_{\text{out}}(\omega) \neq n_{\text{in}}(\omega)$, in general there should be present a nonzero energy flux T_{01} . To avoid such apparent nonstationary situation, we obtain two restricting equations on $\delta n(\omega)$. The first one comes from the conditions that $\langle :T_{00}: \rangle = 0$ and $\langle :T_{11}: \rangle = 0$, while the second one comes from the condition $\langle :T_{01}: \rangle = 0$. Using expressions similar to (25), but with $n_{\text{out}}(\omega) \neq n_{\text{in}}(\omega)$, one can find the following restrictions on $\delta n(\omega)$:

$$\begin{aligned} \int_0^\infty d\omega \omega \delta n(\omega) |R_\omega|^2 &= \frac{\pi^2}{12} \left[\frac{1}{\beta_b^2} - \frac{1}{\beta_c^2} \right], \\ \int_0^\infty d\omega \omega \delta n(\omega) |T_\omega|^2 &= 0. \end{aligned} \quad (30)$$

The question is whether there is such a solution of these equations which corresponds to a stationary state—which obeys the fluctuation-dissipation theorem. In two dimensions, due to the specifics of the scattering processes there, it is quite plausible to find such a state. But in four dimensions we do not think that any of the states is stationary.

VII. CONCLUSIONS

It is stated in many papers [29,30,33,34] that it is impossible to achieve the thermal equilibrium in Schwarzschild–de Sitter spacetime. To understand the latter statement deeper, we study quantum field theory on such a background. Our conclusions are opposite—we think there is an equilibrium state, but it strongly affects the background.

We show that there is no thermal state for which the expectation value of the SET vanishes on both horizons. On the contrary, the expectation value either blows up on one of the horizons or on both of them simultaneously, depending on the choice of temperature.

Let us present here a few more comments. Despite the fact that $T_{\mu\nu}$ is finite on the horizon in the coordinate system under consideration, the T_μ^ν tensor blows up there. Essentially in this article we consider the two-dimensional situation as the radial part of the four-dimensional one (see [20], e.g., for a similar discussion). Hence, in the four-dimensional case with such a SET one would encounter the situation that the left-hand side of the Einstein equations (3) will be finite, while the right-hand side will diverge. This signals the strong backreaction on the gravitational background under consideration. The situation is similar to the quantum field theory in black hole background in the Boulware state. We adopt here the point of view that quantum field theory can exist in any background in any Hadamard state. Just in the Boulware state the backreaction is so strong that it eliminates the black hole horizon [51–53]. Meanwhile in the black hole de Sitter background for any state, at least within the class of thermal

ones, we see that the backreaction is strong on either the black hole or cosmological horizon. For example, if we consider the state with the temperature of the cosmological horizon, then the expectation value of the SET on the black hole horizon blows up and eliminates it; i.e., for such a state one will obtain a geometry without a black hole horizon, which is similar to [51–53].

Furthermore, we expect that a collapse of matter into the black hole in the de Sitter space may follow a completely different scenario than in the case of the absence of the cosmological horizon, if one takes into account quantum fluctuations.

ACKNOWLEDGMENTS

We would like to acknowledge valuable discussions with D. V. Diakonov and P. A. Anempodistov. This work was supported by a grant from the Foundation for the Advancement of Theoretical Physics and Mathematics “BASIS” and by the Russian Ministry of Education and Science.

APPENDIX A: REGULARIZATION

In the calculation of the expectation value of the SET one has to regularize it. In our work we used the point splitting method [40,54]. More recently similar calculations were performed, e.g., in [19,35,43]. To make the paper self-contained and to set up the notations, in this Appendix we summarize the standard point splitting regularization procedure of the expectation value of the stress-energy tensor in curved spacetime with the metric of the form

$$ds^2 = C(u, v)dudv. \quad (\text{A1})$$

The SET is given by the following expression:

$$\langle \hat{T}_{\mu\nu}(x) \rangle = D_{\mu\nu} \langle \hat{\phi}(x^+) \hat{\phi}(x^-) \rangle |_{x^+ = x^- = x}.$$

Here $D_{\mu\nu}$ is a differential operator; x^\pm are points which are separated from x along a geodesic with tangent vector t^μ . A point close enough to x^μ can be represented as follows:

$$x^\mu(\tau) = x^\mu + \tau t^\mu + \frac{1}{2} \tau^2 a^\mu + \frac{1}{6} \tau^3 b^\mu + \dots, \quad (\text{A2})$$

where τ is the proper length, and a^μ and b^μ can be found from the geodesic equation. Straightforward calculation gives for the thermal state the following result:

$$\langle T_{\mu\nu} \rangle = - \left[\frac{1}{4\pi\epsilon^2 (t_\alpha t^\alpha)} + \frac{R}{24\pi} \right] \left[\frac{t_\mu t_\nu}{t_\alpha t^\alpha} - \frac{1}{2} g_{\mu\nu} \right] + \Theta_{\mu\nu}. \quad (\text{A3})$$

Thus the regularized SET reads

$$\langle :T_{\mu\nu}: \rangle = \Theta_{\mu\nu} + \frac{R}{48\pi} g_{\mu\nu}, \quad (\text{A4})$$

with

$$\Theta_{uu} = -\frac{1}{12\pi} C^{1/2} \partial_u^2 C^{-1/2} + \text{state dependent terms}, \quad (\text{A5})$$

$$\Theta_{vv} = -\frac{1}{12\pi} C^{1/2} \partial_v^2 C^{-1/2} + \text{state dependent terms}, \quad (\text{A6})$$

$$\Theta_{uv} = \Theta_{vu} = 0. \quad (\text{A7})$$

The second term in (A4) is the same as in the conformal anomaly. We do not include this term in our results because it can be absorbed into the renormalization of the cosmological constant. We are interested in the first term in (A4). It has two contributions: the first comes from the geometry and is independent of the state and mass of the field (and therefore does not depend on the reflection and transmission coefficients). The second one depends on the state and, in general, can depend on the reflection and transmission coefficients. But as we show by the explicit calculation, these coefficients are included into the SET within a special combination in such a way that the final result for SET does not depend on them separately.

APPENDIX B: FREE FALLING REFERENCE SYSTEM

In this Appendix we show that the SET which is found in the main body of the paper leads to the singularity in the free falling reference frame.

To begin with, let us define the free falling reference system, which is similar to the Lemaître coordinates in the Schwarzschild black hole spacetime. Let us define the timelike τ and spacelike ρ coordinates as follows:

$$\begin{aligned} d\tau &= dt + [1 - f(r^*)]^{1/2} dr^*, \\ d\rho &= dt + [1 - f(r^*)]^{-1/2} dr^*. \end{aligned} \quad (\text{B1})$$

In these coordinates the 2D Schwarzschild–de Sitter metric takes the following form:

$$ds^2 = f(r^*)(d\tau^2 - d\rho^2) = d\tau^2 - [1 - f(r^*)] d\rho^2. \quad (\text{B2})$$

The coordinate transformation from the coordinates $x^\mu = (t, r^*)$ to the coordinates $\tilde{x}^\mu = (\tau, \rho)$ can be described by the following matrix:

$$\frac{\partial \tilde{x}^{\tilde{\mu}}}{\partial x^\nu} = \Lambda_{\nu}^{\tilde{\mu}} = \begin{pmatrix} 1 & [1 - f(r^*)]^{1/2} \\ 1 & [1 - f(r^*)]^{-1/2} \end{pmatrix}. \quad (\text{B3})$$

From Eq. (26), the stress-energy tensor near the horizons in (t, r^*) coordinates is given by

$$T^{\mu\nu} \approx \frac{A}{f(r^*)^2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad (\text{B4})$$

where

$$\begin{aligned} \text{Near black hole horizon} \quad A &= \frac{\pi}{6} \left[\frac{1}{\beta^2} - \frac{1}{\beta_b^2} \right], \\ \text{near cosmological horizon} \quad A &= \frac{\pi}{6} \left[\frac{1}{\beta^2} - \frac{1}{\beta_c^2} \right]. \end{aligned} \quad (\text{B5})$$

The most important point here is that A is not zero at least on one of the horizons. It cannot be made zero on both of

them simultaneously. Hence, (B4) has the following form in the (τ, ρ) coordinates:

$$\begin{aligned} T_{\bar{\mu}\bar{\nu}} &= g_{\bar{\mu}\bar{i}} g_{\bar{\nu}\bar{j}} T^{\bar{i}\bar{j}} = g_{\bar{\mu}\bar{i}} g_{\bar{\nu}\bar{j}} \Lambda^{\bar{i}}_{\bar{i}'} \Lambda^{\bar{j}}_{\bar{j}'} T^{\bar{i}'\bar{j}'} \\ &= \frac{A}{f(r^*)^2} \begin{pmatrix} 2-f(r^*) & 2(f(r^*)-1) \\ 2(f(r^*)-1) & (2-f(r^*))(1-f(r^*)) \end{pmatrix}. \end{aligned} \quad (\text{B6})$$

Near the horizons $f(r^*)$ tends to zero, so $T_{\bar{\mu}\bar{\nu}}$ is singular. The four-dimensional counterpart of this situation would lead to the case that the geometrical part of the Einstein equations is constant while the stress-energy tensor part is divergent. Now we see this is true at least for the radial part of the problem, i.e., if the angles can be ignored.

-
- [1] N. Aghanim *et al.*, Planck 2018 results. VI. Cosmological parameters, *Astron. Astrophys.* **641**, A6 (2020); **652**, C4(E) (2021).
- [2] Alexei A. Starobinsky, A new type of isotropic cosmological models without singularity, *Phys. Lett.* **91B**, 99 (1980).
- [3] Alexei A. Starobinsky, Dynamics of phase transition in the new inflationary universe scenario and generation of perturbations, *Phys. Lett.* **117B**, 175 (1982).
- [4] Andrei D. Linde, A new inflationary universe scenario: A possible solution of the horizon, flatness, homogeneity, isotropy and primordial monopole problems, *Phys. Lett.* **108B**, 389 (1982).
- [5] Andrei D. Linde, Chaotic inflation, *Phys. Lett.* **129B**, 177 (1983).
- [6] Alan H. Guth, The inflationary universe: A possible solution to the horizon and flatness problems, *Phys. Rev. D* **23**, 347 (1981).
- [7] Alan H. Guth and S. Y. Pi, Fluctuations in the New Inflationary Universe, *Phys. Rev. Lett.* **49**, 1110 (1982).
- [8] Andreas Albrecht and Paul J. Steinhardt, Cosmology for Grand Unified Theories with Radiatively Induced Symmetry Breaking, *Phys. Rev. Lett.* **48**, 1220 (1982).
- [9] Ya. B. Zel'dovich and I. D. Novikov, The hypothesis of cores retarded during expansion and the hot cosmological model, *Sov. Astron. AJ (Engl. Transl.)* **43**, 758 (1966).
- [10] Stephen Hawking, Gravitationally collapsed objects of very low mass, *Mon. Not. R. Astron. Soc.* **152**, 75 (1971).
- [11] George F. Chapline, Cosmological effects of primordial black holes, *Nature (London)* **253**, 251 (1975).
- [12] P. Meszaros, Primeval black holes and galaxy formation, *Astron. Astrophys.* **38**, 5 (1975).
- [13] Hidekazu Nariai, On some static solutions of Einstein's gravitational field equations in a spherically symmetric case, *Sci. Rep. Tohoku Univ. Eighth Ser.* **34**, 160 (1950).
- [14] Hidekazu Nariai, On a new cosmological solution of einstein's field equations of gravitation, *Gen. Relativ. Gravit.* **31**, 963 (1951).
- [15] Paul H. Ginsparg and Malcolm J. Perry, Semiclassical perdurance of de Sitter space, *Nucl. Phys.* **B222**, 245 (1983).
- [16] Marcus Spradlin, Andrew Strominger, and Anastasia Volovich, Les Houches lectures on de Sitter space, in *Les Houches Summer School: Session 76: Euro Summer School on Unity of Fundamental Physics: Gravity, Gauge Theory and Strings* (2001), pp. 423–453, [arXiv:hep-th/0110007](https://arxiv.org/abs/hep-th/0110007).
- [17] Kyung-Seok Cha, Bum-Hoon Lee, and Chanyong Park, dS / CFT correspondence from the brick wall method, *J. Korean Phys. Soc.* **42**, 735 (2003).
- [18] S. Shankaranarayanan, Temperature and entropy of Schwarzschild-de Sitter space-time, *Phys. Rev. D* **67**, 084026 (2003).
- [19] E. T. Akhmedov, P. A. Anempodistov, K. V. Bazarov, D. V. Diakonov, and U. Moschella, Heating up an environment around black holes and inside de Sitter space, *Phys. Rev. D* **103**, 025023 (2021).
- [20] K. V. Bazarov, Notes on peculiarities of quantum fields in space-times with horizons, *Classical Quantum Gravity* **39**, 217001 (2022).
- [21] Bernard S. Kay and Robert M. Wald, Theorems on the uniqueness and thermal properties of stationary, nonsingular, quasifree states on space-times with a bifurcate killing horizon, *Phys. Rep.* **207**, 49 (1991).
- [22] S. W. Hawking, Black holes and thermodynamics, *Phys. Rev. D* **13**, 191 (1976).
- [23] G. W. Gibbons and S. W. Hawking, Cosmological event horizons, thermodynamics, and particle creation, *Phys. Rev. D* **15**, 2738 (1977).
- [24] G. W. Gibbons and S. W. Hawking, Action integrals and partition functions in quantum gravity, *Phys. Rev. D* **15**, 2752 (1977).
- [25] Alejandro Corichi and Andres Gomberoff, Black holes in de Sitter space: Masses, energies, and entropy bounds, *Phys. Rev. D* **69**, 064016 (2004).
- [26] Claudio Teitelboim, Gravitational thermodynamics of Schwarzschild-de Sitter space, in *Meeting on Strings and*

- Gravity: Tying the Forces Together* (De Boeck, Bruxelles / Montreal, 2003), pp. 291–299, [arXiv:hep-th/0203258](#).
- [27] Feng-Li Lin and Chopin Soo, Black hole in de Sitter space, in *6th International Symposium on Particles, Strings and Cosmology* (World Scientific, Singapore, 1998), pp. 89–91, [arXiv:hep-th/9807084](#).
- [28] Feng-Li Lin and Chopin Soo, Quantum field theory with and without conical singularities: Black holes with a cosmological constant and the multi-horizon scenario, *Classical Quantum Gravity* **16**, 551 (1999).
- [29] Raphael Bousso and Stephen W. Hawking, Pair creation of black holes during inflation, *Phys. Rev. D* **54**, 6312 (1996).
- [30] Raphael Bousso and Stephen W. Hawking, (Anti)evaporation of Schwarzschild-de Sitter black holes, *Phys. Rev. D* **57**, 2436 (1998).
- [31] Shin'ichi Nojiri and Sergei D. Odintsov, Effective action for conformal scalars and anti-evaporation of black holes, *Int. J. Mod. Phys. A* **14**, 1293 (1999).
- [32] Shin'ichi Nojiri and Sergei D. Odintsov, Quantum evolution of Schwarzschild-de Sitter (Nariai) black holes, *Phys. Rev. D* **59**, 044026 (1999).
- [33] A. J. M. Medved, Radiation via tunneling from a de Sitter cosmological horizon, *Phys. Rev. D* **66**, 124009 (2002).
- [34] Rong-Gen Cai and Ru-Keng Su, Black holes in de Sitter space and the stability conjecture of cauchy horizons, *Phys. Rev. D* **52**, 666 (1995).
- [35] E. T. Akhmedov, K. V. Bazarov, and D. V. Diakonov, Quantum fields in the future Rindler wedge, *Phys. Rev. D* **104**, 085008 (2021).
- [36] E. T. Akhmedov, K. V. Bazarov, D. V. Diakonov, and U. Moschella, Quantum fields in the static de Sitter universe, *Phys. Rev. D* **102**, 085003 (2020).
- [37] E. T. Akhmedov and D. V. Diakonov, Free energy and entropy in Rindler and de Sitter space-times, *Phys. Rev. D* **105**, 105003 (2022).
- [38] T. Roy Choudhury and T. Padmanabhan, Concept of temperature in multi-horizon spacetimes: Analysis of Schwarzschild-de Sitter metric, *Gen. Relativ. Gravit.* **39**, 1789 (2007).
- [39] P. C. W. Davies, S. A. Fulling, and W. G. Unruh, Energy-momentum tensor near an evaporating black hole, *Phys. Rev. D* **13**, 2720 (1976).
- [40] T. S. Bunch and P. C. W. Davies, Quantum field theory in de Sitter space: Renormalization by point splitting, *Proc. R. Soc. A* **360**, 117 (1978).
- [41] P. C. W. Davies and S. A. Fulling, Quantum vacuum energy in two dimensional space-times, *Proc. R. Soc. A* **354**, 59 (1977).
- [42] Paul R. Anderson, William A. Hiscock, and David A. Samuel, Stress-energy tensor of quantized scalar fields in static spherically symmetric spacetimes, *Phys. Rev. D* **51**, 4337 (1995).
- [43] O. Diatlyk, Hawking radiation of massive fields in 2D, *Phys. Rev. D* **104**, 065011 (2021).
- [44] David Finkelstein, Past-future asymmetry of the gravitational field of a point particle, *Phys. Rev.* **110**, 965 (1958).
- [45] Note that the coefficients R_ω depend on the scattering direction and are related to each other as follows: $\vec{R}_\omega = -\vec{R}_\omega^* \frac{T_\omega}{T_\omega^*}$, while $T_\omega \equiv \vec{T}_\omega = \vec{T}_\omega^*$. However below we will need only absolute values of these coefficients, which obey the following condition: $|R_\omega| \equiv |\vec{R}_\omega| = |\vec{R}_\omega^*|$. That is the reason why we do not distinguish between the coefficients \vec{R}_ω and \vec{R}_ω^* in eq. (17) and below.
- [46] Paul R. Anderson, Shohreh Gholizadeh Siahmazgi, and Zachary P. Scofield, Infrared effects and the Unruh state, [arXiv:2210.16397](#).
- [47] Paul R. Anderson, Zachary P. Scofield, and Jennie Traschen, Linear growth of the two-point function for the Unruh state in 1 + 1 dimensional black holes, in *16th Marcel Grossmann Meeting on Recent Developments in Theoretical and Experimental General Relativity, Astrophysics and Relativistic Field Theories* (World Scientific, Singapore, 2023), [arXiv:2205.00264](#).
- [48] Hassan Firouzjahi, Cosmological constant and vacuum zero point energy in black hole backgrounds, *Phys. Rev. D* **106**, 045015 (2022).
- [49] Chiranjeeb Singha and Subhashish Banerjee, Thermal radiation in curved spacetime using influence functional formalism, *Phys. Rev. D* **105**, 045020 (2022).
- [50] Shin-ichi Tadaki and Shin Takagi, Quantum field theory in two-dimensional Schwarzschild-de Sitter spacetime. I: Empty space, *Prog. Theor. Phys.* **83**, 941 (1990).
- [51] A. Fabbri, S. Farese, J. Navarro-Salas, Gonzalo J. Olmo, and H. Sanchis-Alepuz, Semiclassical zero-temperature corrections to Schwarzschild spacetime and holography, *Phys. Rev. D* **73**, 104023 (2006).
- [52] Pei-Ming Ho, Hikaru Kawai, Yoshinori Matsuo, and Yuki Yokokura, Back reaction of 4D conformal fields on static geometry, *J. High Energy Phys.* **11** (2018) 056.
- [53] Pei-Ming Ho and Yoshinori Matsuo, On the near-horizon geometry of an evaporating black hole, *J. High Energy Phys.* **07** (2018) 047.
- [54] S. M. Christensen, Regularization, renormalization, and covariant geodesic point separation, *Phys. Rev. D* **17**, 946 (1978).