

Understanding oscillons: Standing waves in a ball

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Oscillons are localized long-lived pulsating states in the three-dimensional ϕ^4 theory. We gain insight into the spatiotemporal structure and bifurcation of the oscillons by studying time-periodic solutions in a ball of a finite radius. A sequence of weakly localized *Bessel waves*—nonlinear standing waves with the Bessel-like r -dependence—is shown to extend from eigenfunctions of the linearized operator. The lowest-frequency Bessel wave serves as a starting point of a branch of periodic solutions with exponentially localized cores and small-amplitude tails decaying slowly toward the surface of the ball. A numerical continuation of this branch gives rise to the energy-frequency diagram featuring a series of resonant spikes. We show that the standing waves associated with the resonances are born in the period-multiplication bifurcations of the Bessel waves with higher frequencies. The energy-frequency diagram for a sufficiently large ball displays sizeable intervals of stability against spherically symmetric perturbations.

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I. INTRODUCTION

Repeated expansions and contractions of spherically symmetric vacuum domains were observed [1,2] in computer simulations of the ϕ^4 equation,

$$\Phi_{,tt} - \Delta\Phi - \Phi + \Phi^3 = 0. \quad (1)$$

More accurate numerical studies [3] revealed the formation of long-lived pulsating structures of large amplitude and nearly unchanging width.

These structures—dubbed oscillons in Ref. [4]—have turned out to be of interest in several cosmological contexts, including the dynamics of inflationary reheating, symmetry-breaking phase transitions, and false vacuum decay [5–22]. Oscillons have been discovered in the planar Abelian Higgs theory [23,24], Einstein-Klein-Gordon equations [25–30], axion models [31–35], string phenomenology [36–38] and bosonic sector of the standard model [39–42]. The oscillon’s quantum radiation was evaluated in [43,44] and the impact of fermionic corrections was considered in [45]. Oscillatory localized structures (known as \mathcal{I} -balls in that context) feature prominently in studies of

the adiabatic invariant in theories without electric or topological charge [46–49].

Considerable progress in the understanding of the oscillon properties was achieved through the state-of-the-art computer simulations [4,5,50,51] and numerical Fourier analysis [50,52]. Most importantly, the authors of Ref [52] demonstrated the existence of periodic solutions with frequencies filling the entire $(0, \omega_0)$ interval. (Here ω_0 is the frequency of spatially uniform small-amplitude oscillations about the vacuum.) The solutions in question have exponentially localized cores and oscillatory tails, with the tail amplitudes decaying in proportion to r^{-1} . The authors of Ref. [52] have interpreted the evolution of oscillons as an adiabatic motion in the parameter space of those “quasibreathers.”

At the same time, theoretical arguments produced estimates for the oscillon’s energy, radius, frequency, core amplitude, and lifetime [53,54]. These were based on a heuristic combination of linear radiation analysis and a single-mode variational model [5,53–55]. A refined perturbation expansion of the small-amplitude oscillons [56] is also worthwhile to be mentioned.

The aim of the present study is to shed further light on the structure and resonant properties of the oscillon by examining periodic standing waves in a ball of a large but finite radius.

To make it more precise, let $\Phi(r, t)$ be a spherically symmetric solution of equation (1) approaching $\Phi_0 = -1$ (one of two vacuum solutions) as $r \rightarrow \infty$. The difference

$$\phi = \Phi - \Phi_0$$

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obeys

$$\phi_{tt} - \phi_{rr} - \frac{2}{r}\phi_r + 2\phi - 3\phi^2 + \phi^3 = 0. \quad (2a)$$

Instead of searching for solutions of the equation (2a) vanishing at infinity, we consider solutions satisfying the boundary conditions

$$\phi_r(0, t) = \phi(R, t) = 0 \quad (2b)$$

with a large R . One more boundary condition stems from the requirement of periodicity with some T :

$$\phi(r, T) = \phi(r, 0). \quad (2c)$$

The periodic standing waves are characterized by their energy

$$E = 4\pi \int_0^R \left(\frac{\phi_t^2}{2} + \frac{\phi_r^2}{2} + \phi^2 - \phi^3 + \frac{\phi^4}{4} \right) r^2 dr \quad (3)$$

and frequency

$$\omega = \frac{2\pi}{T}. \quad (4)$$

If the solution with frequency ω does not change appreciably as R is increased—in particular, if the energy (3) does not change—this standing wave provides a fairly accurate approximation for the periodic solution in an infinite space.

In what follows, we present results of numerical and asymptotic analysis of the boundary-value problem (2). Numerically, we employed a predictor-corrector algorithm with a Newtonian iteration to continue solutions in ω [57]. To classify the stability of the resulting standing waves against spherically symmetric perturbations we considered the linearized equation

$$y_{tt} - y_{rr} - \frac{2}{r}y_r - y + 3(\phi - 1)^2y = 0 \quad (5)$$

with the boundary conditions $y_r(0, t) = y(R, t) = 0$. The solution $\phi(r, t)$ is deemed stable if all its Floquet multipliers lie on the unit circle $|\zeta| = 1$ and unstable if there are multipliers outside the circle [58,59]. The monotonically growing instability is associated with a pair of real multipliers, ζ and $1/\zeta$; the oscillatory instability is characterized by a complex quadruplet: $\zeta, 1/\zeta, \zeta^*, 1/\zeta^*$.

The paper is organized into five sections. In the next section we establish the existence of a sequence of standing waves with $n - 1$ nodes ($n = 1, 2, \dots$) and no clearly defined core. These Bessel-like patterns are nonlinear descendants of linear standing waves in the ball. The subsequent asymptotic analysis (Sec. III) focuses on the evolution of the $n = 1$ Bessel wave as its frequency is

decreased to below the frequency of the spatially uniform oscillations. Further frequency reduction is carried out using numerical continuation; the resulting resonant energy-frequency diagram is presented in Sec. IV. We consider the spatiotemporal structure of the resonant solutions and demonstrate that they are born in the period-doubling bifurcations of the $n > 0$ Bessel waves. Stability of the standing waves is classified in the same section. Finally, Sec. V summarizes results of this study.

II. BIRTH OF THE BESSEL WAVE

We start our analysis by considering the emergence of a standing wave from the zero solution of Eq. (2a). The small-amplitude standing wave can be constructed as a power series

$$\phi = \epsilon\phi_1 + \epsilon^2\phi_2 + \epsilon^3\phi_3 + \dots, \quad (6)$$

where the coefficients ϕ_n are functions of x and a hierarchy of timescales $\mathcal{T}_0 = t, \mathcal{T}_1 = \epsilon t, \mathcal{T}_2 = \epsilon^2 t, \dots$. In the limit $\epsilon \rightarrow 0$ the timescales become independent; hence

$$\frac{\partial^2}{\partial t^2} = \frac{\partial^2}{\partial \mathcal{T}_0^2} + 2\epsilon \frac{\partial}{\partial \mathcal{T}_0} \frac{\partial}{\partial \mathcal{T}_1} + \epsilon^2 \left(\frac{\partial^2}{\partial \mathcal{T}_1^2} + 2 \frac{\partial}{\partial \mathcal{T}_0} \frac{\partial}{\partial \mathcal{T}_2} \right) + \dots$$

Substituting the above expansions in (2a) we set to zero coefficients of like powers of ϵ .

The solution to the order- ϵ equation, satisfying the boundary conditions $\partial_r \phi_1(0, t) = \phi_1(R, t) = 0$, is

$$\phi_1 = (Ae^{i\Omega^{(n)}\mathcal{T}_0} + \text{c.c.})f_1^{(n)}(r), \quad (7)$$

where

$$\Omega^{(n)} = \sqrt{\omega_0^2 + (k^{(n)})^2}, \quad \omega_0 = \sqrt{2}, \quad (8)$$

$$f_1^{(n)} = \frac{\sin(k^{(n)}r)}{r}, \quad k^{(n)} = \frac{\pi}{R}n, \quad (9)$$

$n = 1, 2, \dots$, and c.c. stands for the complex conjugate of the immediately preceding term. The amplitude A is slowly changing in time: $A = A(\mathcal{T}_1, \mathcal{T}_2, \dots)$. Since the localized mode (9) has the form of the spherical Bessel function, we will be referring to solutions branching off the zero solution at $\omega = \Omega^{(n)}$ as “Bessel waves.”

In Eq. (8), ω_0 demarcates the endpoint of the continuous spectrum of frequencies in the ball of an infinite radius. This endpoint defines a natural frequency scale that will regularly occur in the following analysis.

The order- ϵ^2 solution, satisfying $\partial_r \phi_2(0, t) = \phi_2(R, t) = 0$, is given by

$$\phi_2 = (3A^2 e^{2i\Omega^{(n)}\mathcal{T}_0} + \text{c.c.})f_2^{(n)}(r) + 6|A|^2 g_2^{(n)}(r), \quad (10)$$

where

$$\begin{aligned} f_2^{(n)} &= \frac{1}{\kappa^{(n)} r} \left(p^{(n)}(r) - \frac{\sin(\kappa^{(n)} r)}{\sin(\kappa^{(n)} R)} p^{(n)}(R) \right), \\ g_2^{(n)} &= \frac{1}{\sqrt{2} r} \left(q^{(n)}(r) - \frac{\sinh(\sqrt{2} r)}{\sinh(\sqrt{2} R)} q^{(n)}(R) \right), \\ p^{(n)}(r) &= \int_0^r \sin[\kappa^{(n)}(r' - r)] \frac{\sin^2(k^{(n)} r')}{r'} dr', \\ q^{(n)}(r) &= \int_0^r \sinh[\sqrt{2}(r' - r)] \frac{\sin^2(k^{(n)} r')}{r'} dr' \end{aligned} \quad (11)$$

and

$$\kappa^{(n)} = \sqrt{6 + 4(k^{(n)})^2}.$$

The solution (10) exists provided the amplitude satisfies the nonsecularity constraint $\partial A / \partial T_1 = 0$. We are also assuming that $\kappa^{(n)} \neq k^{(m)}$, $m = 1, 2, \dots$

Finally, the order ϵ^3 gives an equation for ϕ_3 :

$$\left(\frac{\partial^2}{\partial T_0^2} - \nabla^2 + 2 \right) \phi_3 = -2 \frac{\partial^2 \phi_1}{\partial T_0 \partial T_2} + 6\phi_1 \phi_2 - \phi_1^3. \quad (12)$$

The solvability condition is

$$i\Omega^{(n)} R \frac{\partial A}{\partial T_2} + 3\sigma^{(n)} |A|^2 A = 0, \quad (13)$$

where

$$\sigma^{(n)} = \int_0^R [(f_1^{(n)})^2 - 12g_2^{(n)} - 6f_2^{(n)}] (f_1^{(n)} r)^2 dr, \quad (14)$$

and we have used $\partial A / \partial T_1 = 0$.

The values of the integral (14) with varied n are presented graphically in Fig. 1. The quantity $\sigma^{(n)}$ is determined to be negative for all $n \leq n_s$ and positive for $n > n_s$, where n_s is an integer dependent on R . When R is large enough, a fairly accurate approximation for $n_s(R)$ is given by the integer part of $(\sqrt{30}/\pi)R$.

The general solution of the amplitude equation (13) is

$$A = \exp \left(i \frac{3\sigma^{(n)}}{\Omega^{(n)} R} T_2 \right), \quad (15)$$

where the initial value was set equal to 1. (There is no loss of generality in setting $A(0)$ to 1 as it enters ϕ_n only in combination $\epsilon A(0)$, where ϵ is free to vary.) Thus, the fundamental frequency of the Bessel wave with amplitude ϵ , branching off the trivial solution $\phi = 0$ at the point $\omega = \Omega^{(n)}$, is

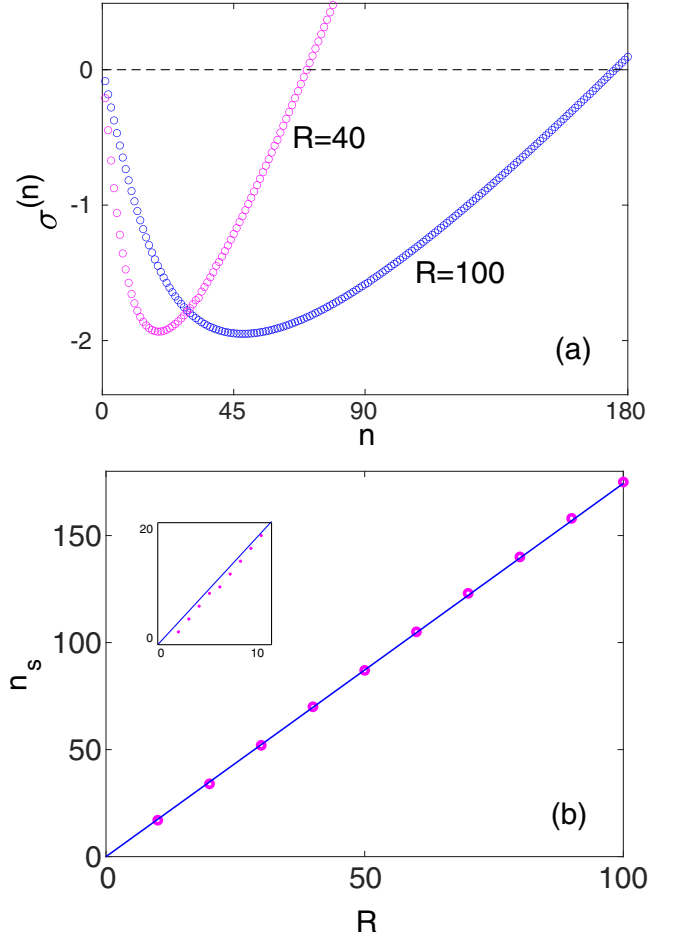


FIG. 1. (a) The integral (14) for $R = 40$ and $R = 100$. The function $\sigma^{(n)}$ is negative for all $n \leq n_s(R)$ and positive for $n > n_s(R)$. (b) The integer n_s (marked by circles) for a sequence of R values. For $R \geq 2$, the function $n_s(R)$ is well approximated by $n_s = (\sqrt{30}/\pi)R$ (shown by the straight line). The inset blows up the interval $2 \leq R < 10$.

$$\omega = \Omega^{(n)} + \frac{3\sigma^{(n)}}{\Omega^{(n)} R} \epsilon^2 + \dots \quad (16)$$

Note that the nonlinear frequency shift is negative ($\omega < \Omega^{(n)}$) for all $n \leq n_s$ and positive for $n > n_s$.

The relation (16) implies that our ϵ -expansion is, in fact, an expansion in powers of the detuning from the resonant frequency, $|\omega - \Omega^{(n)}|$.

The energy (3) of the series solution (6) is

$$E^{(n)}(\epsilon) = 4\pi R (\Omega^{(n)})^2 \epsilon^2 + O(\epsilon^4). \quad (17)$$

Eliminating ϵ^2 between (16) and (17) we can express the energy of the Bessel wave as a function of its frequency:

$$E^{(n)}(\omega) = \frac{4\pi R^2 (\Omega^{(n)})^3}{3\sigma^{(n)}} (\omega - \Omega^{(n)}) + O((\omega - \Omega^{(n)})^2). \quad (18)$$

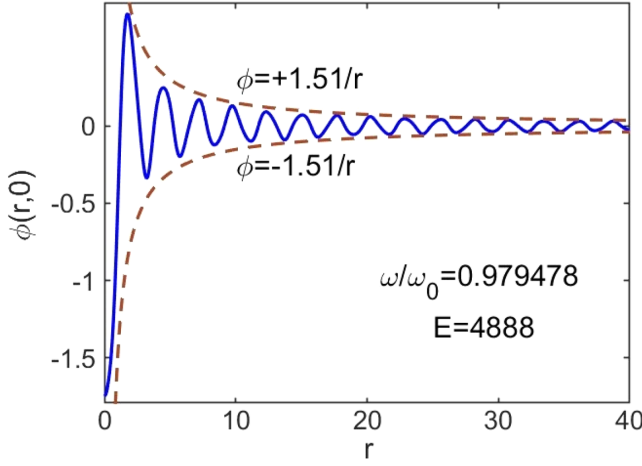


FIG. 2. A snapshot of the Bessel wave with high energy. This solution was obtained by the numerical continuation of the trivial solution from $\omega = \Omega^{(14)}$, in a ball with $R = 150$. (For the entire Bessel branch see Fig. 7.) The wave is depicted by a solid line while its dashed envelope highlights the absence of a well-defined core. Note that only a portion of the $(0, 150)$ interval is shown.

This is an equation of a ray emanating from the point $(\Omega^{(n)}, 0)$ on the (ω, E) , $E > 0$, half-plane. The slope of the ray is negative for all $n \leq n_s(R)$ and positive for $n > n_s(R)$.

All solutions of equation (13) are stable. (Trajectories form concentric circles on the $(\text{Re}A, \text{Im}A)$ phase plane.)

The asymptotic construction of the Bessel wave is corroborated by the numerical analysis of the boundary-value problem (2). A numerically continued branch starting with the trivial solution $\phi = 0$ at $\omega = \Omega^{(n)}$ consists of standing waves with $n - 1$ nodes inside the interval $(0, R)$. An important feature of these solutions is their weak localization. Even when the energy of the Bessel wave is high—that is, even when the solution is far from its linear limit (9)—the wave does not have an exponentially localized core and the amplitude of the damped sinusoid $\phi(r, t)$ remains of order R^{-1} as r approaches R . (See Fig. 2.)

Consistently with the asymptotic considerations, the numerically continued Bessel waves are stable near their inception points and only lose stability as their energies become high enough. (For details of the corresponding period-doubling bifurcation see Sec. IV A.)

The continuation starting at the lowest of the resonance values, $\omega = \Omega^{(1)}$, produces a stable branch with a steep negative slope (Fig. 3). The steep growth of the energy is due to the small absolute value of $\sigma^{(1)}$ in (18) while the negativity of $dE^{(1)}/d\omega$ is due to $n_s(100)$ being greater than 1. As the solution is continued to lower values of ω , the function $E(\omega)$ reaches a maximum and starts decreasing. Not unexpectedly, the asymptotic expansion in powers of the small detuning $|\omega - \Omega^{(1)}|$ does not capture the formation of the energy peak.

Before turning to an asymptotic expansion about a different frequency value, we make a remark on the nomenclature of numerical solutions. Assume that the computation interval $(0, T)$ includes an integer number of fundamental periods of a solution of the boundary-value problem (2): $T = mT_f$, $m > 1$. Equation (4) gives then a formal frequency $\omega = \omega_f/m$, where $\omega_f = 2\pi/T_f$ is the fundamental frequency of the wave. In this case the periodic solution $\phi(r, t)$ will be referred to as the $1/m$ undertone of the standing wave.

It is important to emphasize that the only difference between a standing wave and its undertone is the length of the interval $(0, T)$ that we use to determine the respective solution—and hence its formal frequency (4). For example, the n th Bessel wave is born with the frequency $\omega = \Omega^{(n)}$ while its $1/2$ undertone is born with $\omega = \Omega^{(n)}/2$. Basically, the $1/2$ undertone of the periodic oscillation $\phi(r, t)$ is the oscillation itself, where we skip every other beat.

III. SMALL-AMPLITUDE WAVE IN A LARGE BALL

A. Inverse radius as a small parameter

In order to account for the energy peak in Fig. 3 and track the $E(\omega)$ curve over the point of maximum analytically, we need an asymptotic expansion of a different kind. Instead of assuming the proximity to the resonant frequency $\omega = \Omega^{(1)}$, we will zoom in on the neighborhood of the frequency ω_0 corresponding to the uniform oscillations in an infinitely large ball. Our approach is a relative of the Lindstedt-Poincaré method utilized in the context of the infinite space

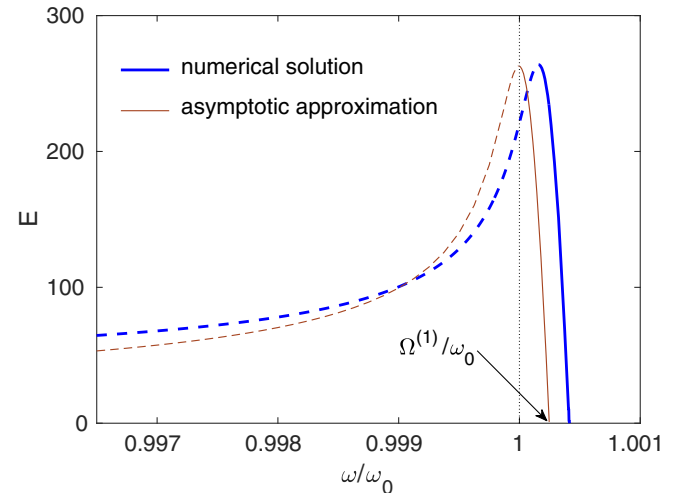


FIG. 3. The $E(\omega)$ dependence near the point of inception of the standing wave in a ball with $R = 100$. Blue (thick) curve: result of numerical continuation. Brown (thin) curve: asymptotic approximation exploiting R^{-1} as a small parameter. Stable solutions are marked by the solid and unstable ones by the dashed lines.

in Ref. [60,61] and elucidated in [56]. (The method was pioneered in the one-dimensional setting [62,63].)

We construct the small-amplitude solution in a ball of a large—yet finite—radius. Instead of the techniques used in [56,60–63], we employ a multiple scale expansion. This approach affords information on the spectrum of small perturbations of the standing wave, in addition to the standing wave itself.

The inverse radius $\epsilon = R^{-1}$ provides a natural small parameter. We expand ϕ as in (6), introduce the sequence of slow times \mathcal{T}_n and, in addition, define a hierarchy of spatial scales $\mathbf{X}_n = \epsilon^n \mathbf{x}$. Hence

$$\nabla = \nabla_0 + \epsilon \nabla_1 + \epsilon^2 \nabla_2 + \dots, \quad \nabla_n = \frac{\partial}{\partial \mathbf{X}_n}.$$

These expansions are substituted in the Eq. (2a) where, for ease of computation, we drop the requirement of spherical symmetry:

$$\phi_{tt} - \nabla^2 \phi + 2\phi - 3\phi^2 + \phi^3 = 0. \quad (19)$$

At the order ϵ^1 , we choose a spatially homogeneous solution

$$\phi_1 = A e^{i\omega_0 \mathcal{T}_0} + \text{c.c.} \quad (20)$$

In (20), the amplitude A does not depend on \mathbf{X}_0 or \mathcal{T}_0 but may depend on the “slower” variables $\mathbf{X}_1, \mathbf{X}_2, \dots$ and $\mathcal{T}_1, \mathcal{T}_2, \dots$

The order ϵ^2 gives

$$\phi_2 = 3|A|^2 - \frac{1}{2}A^2 e^{2i\omega_0 \mathcal{T}_0} + \text{c.c.}, \quad (21)$$

and we had to impose the constraint $\partial A / \partial \mathcal{T}_1 = 0$. Proceeding to the cubic order in ϵ we obtain

$$\begin{aligned} & \left(\frac{\partial^2}{\partial \mathcal{T}_0^2} - \nabla_0^2 + 2 \right) \phi_3 \\ &= -4A^3 e^{3i\omega_0 \mathcal{T}_0} + \text{c.c.} \\ &+ \left(\nabla_1^2 A - 2i\omega_0 \frac{\partial A}{\partial \mathcal{T}_2} + 12|A|^2 A \right) e^{i\omega_0 \mathcal{T}_0} + \text{c.c.} \end{aligned} \quad (22)$$

Setting to zero the secular term in the third line of (22), we arrive at the amplitude equation

$$-2i\omega_0 \frac{\partial A}{\partial \mathcal{T}_2} + \nabla_1^2 A + 12|A|^2 A = 0. \quad (23a)$$

The boundary condition $\phi(R, t) = 0$ translates into

$$A(\mathbf{X}_1, \mathcal{T}_2)|_{|\mathbf{x}_1|=1} = 0. \quad (23b)$$

B. Schrödinger equation in a finite ball

A family of spherically symmetric solutions of (23) is given by

$$A = e^{i\omega_2 \mathcal{T}_2} \mathcal{R}_\mu(r_1), \quad (24)$$

where $r_1 = \sqrt{\mathbf{X}_1^2}$ and $\mathcal{R}_\mu(\rho)$ solves the boundary-value problem

$$\mathcal{R}'' + \frac{2}{\rho} \mathcal{R}' + \mu \mathcal{R} + 12\mathcal{R}^3 = 0, \quad (25a)$$

$$\mathcal{R}'(0) = \mathcal{R}(1) = 0, \quad (25b)$$

with $\mu = 2\omega_0 \omega_2$. (In (25), the prime stands for the derivative with respect to ρ .) In what follows we confine our attention to the nodeless (everywhere positive) solution $\mathcal{R}_\mu(\rho)$ (Fig. 4). Of particular importance will be its norm squared,

$$N(\mu) = \int_0^1 \mathcal{R}_\mu^2(\rho) \rho^2 d\rho. \quad (26)$$

The nodeless solution $\mathcal{R}_\mu(\rho)$ exists for all μ with $-\infty < \mu < \pi^2$. As $\mu \rightarrow \pi^2$, a perturbation argument gives

$$\mathcal{R}_\mu(\rho) = \alpha \sqrt{\pi^2 - \mu} \frac{\sin(\pi\rho)}{\rho} + O((\pi^2 - \mu)^{\frac{3}{2}}), \quad (27a)$$

$$\alpha^2 = \frac{1}{12\pi} \frac{1}{2\text{Si}(2\pi) - \text{Si}(4\pi)} = 1.973 \times 10^{-2}, \quad (27b)$$

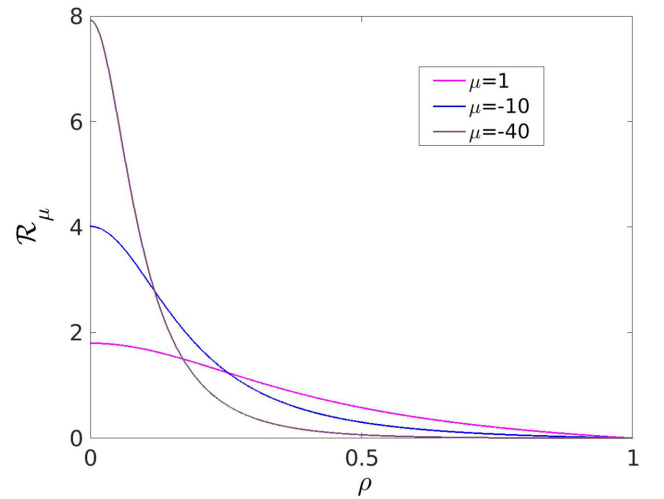


FIG. 4. $\mathcal{R}_\mu(\rho)$: the nodeless solution of the boundary-value problem (25). As μ changes from negative to positive values, the exponentially localized solution gives way to a function without a clearly defined core.

so that the norm decays to zero:

$$N(\mu) = \frac{\alpha^2}{2}(\pi^2 - \mu) + O((\pi^2 - \mu)^2).$$

As $\mu \rightarrow -\infty$, we have

$$\mathcal{R}_\mu(\rho) \rightarrow \sqrt{-\mu}S(\sqrt{-\mu}\rho), \quad (28)$$

where $S(\rho)$ is the nodeless solution of the boundary value problem

$$S'' + \frac{2}{\rho}S' - S + 12S^3 = 0, \quad (29a)$$

$$S'(0) = S(\infty) = 0. \quad (29b)$$

Accordingly, the norm (26) decays to zero in the latter limit as well:

$$N(\mu) \rightarrow \frac{1}{\sqrt{-\mu}} \int_0^\infty S^2(\rho)\rho^2 d\rho \quad \text{as } \mu \rightarrow -\infty.$$

The numerical analysis of the problem (25) verifies that $N(\mu)$ has a single maximum, at $\mu_c = -0.225$.

Thus we have constructed an asymptotic standing-wave solution of equation (2), parametrized by its frequency ω :

$$\phi = \frac{2}{R} \cos(\omega t) \mathcal{R}_\mu\left(\frac{r}{R}\right) + O(R^{-2}), \quad (30a)$$

where

$$\mu = 2\omega_0 R^2(\omega - \omega_0). \quad (30b)$$

Substituting (30a) in (3) we obtain the corresponding energy:

$$E(\omega) = 16\pi R N(\mu) + O(R^{-1}). \quad (31)$$

The dependence (31) is shown by the thin line in Fig. 3. Unlike the expansion in powers of the frequency detuning (Sec. II), the expansion in powers of R^{-1} is seen to reproduce the energy peak. The peak of the curve $E(\omega)$ is a scaled version of the peak of $N(\mu)$.

Finally, we note that the function $\mathcal{R}_\mu(\rho)$ with negative μ has an exponentially localized core, with the width of the order $\frac{1}{\sqrt{-\mu}}$. By contrast, solutions with $\mu > 0$ approach zero at a nearly uniform rate (Fig. 4).

C. Stability of small-amplitude standing wave

By deriving the amplitude equation (23) the analysis of stability of the time-periodic standing wave has been reduced to the stability problem for the stationary solution of the 3D nonlinear Schrödinger equation. The leading

order of a linear perturbation to the solution (30) is given by

$$\delta\phi = \left\{ e^{i\omega_0(1+\frac{\mu}{4R^2})t} \left[\mathcal{F}\left(\frac{r}{R}\right) + i\mathcal{G}\left(\frac{r}{R}\right) \right] + \text{c.c.} \right\} \times \exp\left(\frac{\lambda}{2\omega_0 R^2} t\right), \quad (32)$$

where $\mathcal{F} = \mathcal{F}(\rho)$ and $\mathcal{G} = \mathcal{G}(\rho)$ are two components of an eigenvector of the symplectic eigenvalue problem

$$L_0 \mathcal{G} = -\lambda \mathcal{F}, \quad L_1 \mathcal{F} = \lambda \mathcal{G}. \quad (33)$$

In (33), L_0 and L_1 are a pair of radial operators

$$L_0 = -\frac{d^2}{d\rho^2} - \frac{2}{\rho} \frac{d}{d\rho} - \mu - 12\mathcal{R}_\mu^2(\rho),$$

$$L_1 = -\frac{d^2}{d\rho^2} - \frac{2}{\rho} \frac{d}{d\rho} - \mu - 36\mathcal{R}_\mu^2(\rho), \quad (34)$$

with the boundary conditions

$$\mathcal{F}'(0) = \mathcal{G}'(0) = \mathcal{F}(1) = \mathcal{G}(1) = 0. \quad (35)$$

The lowest eigenvalue of the Schrödinger operator L_0 is zero, with the associated eigenfunction given by $\mathcal{R}_\mu(\rho)$. Numerical analysis reveals that the operator L_1 has a single negative eigenvalue. This is the case of applicability of the Vakhitov-Kolokolov criterion [64–66]. The criterion guarantees the stability of the solution (24) if $dN/d\mu < 0$ and instability otherwise.

Numerical methods confirm that in the region $\mu_c < \mu < \pi^2$, the eigenvalue problem (33)–(35) does not have any real eigenvalues apart from a pair of zeros resulting from the U(1) invariance of (23a). (We remind the reader that μ_c is the point of maximum of the curve $N(\mu)$; $\mu_c = -0.225$.) As μ is decreased through μ_c , a pair of opposite pure-imaginary eigenvalues $\pm\lambda_0(\mu)$ converges at the origin and diverges along the positive and negative real axis. As $\mu \rightarrow -\infty$, the scaling (28) gives $\lambda_0(\mu) \rightarrow -5.50\mu$, where 5.50 is the symplectic eigenvalue associated with the solution of the infinite domain problem (29).

The upshot of our asymptotic analysis is that there is a continuous family of standing-wave solutions in the ball of a large radius R , with frequencies ω extending down from $\Omega^{(1)}$. The function $E(\omega)$ features a sharp peak at $\omega_c = \omega_0 + \mu_c(2\omega_0 R^2)^{-1}$, with the standing waves to the right of the peak (where $dE/d\omega < 0$) being stable and those to the left (where $dE/d\omega > 0$) unstable. (See the thin curve in Fig. 3.)

D. Continuation over the energy peak

The large- R perturbation expansion with ω close to ω_0 was validated by the numerical study of the boundary-value

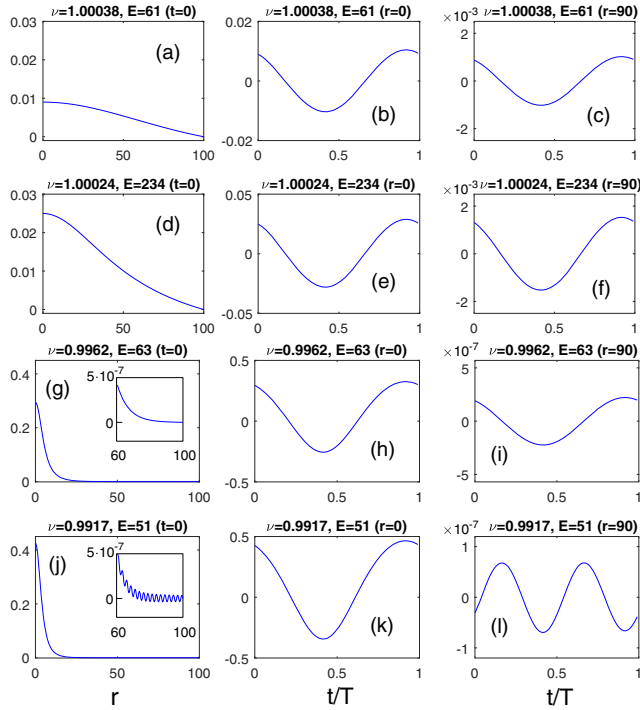


FIG. 5. Top to bottom row: standing wave as it is continued from $\Omega^{(1)}$ to lower ω in Fig. 3. (The ball radius $R = 100$.) Left column: spatial profile at a particular time, $\phi(r, 0)$. Middle and right column: temporal behavior at the central and a peripheral point, $\phi(0, t)$ and $\phi(90, t)$. In the panel legends, ν is the normalized frequency: $\nu = \omega/\omega_0$. The same notation is used in Figs. 8, 10, and 11.

problem (2). We continued the periodic solution $\phi(r, t)$ to lower ω and used the linearized equation (5) to evaluate the associated monodromy matrix. In agreement with the asymptotic considerations, a pair of real Floquet multipliers (ζ and ζ^{-1}) was seen to leave the unit circle as ω passed through the point of maximum energy. Consequently, the left slope of the energy peak in Fig. 3 does indeed correspond to unstable standing waves.

Fig. 5 documents the solution as it is continued from $\Omega^{(1)}$ over the energy peak. Consistently with the asymptotic expression (30), the peripheral field values $\phi(r_p, t)$, where $r_p \sim R$, oscillate at the same frequency ω as the amplitude at the origin, $\phi(0, t)$. This agreement is recorded on either side of the energy peak; see panel pairs (b) and (c), (e) and (f), (h) and (i).

As ω is reduced below the point of maximum energy, the Bessel-function profile (30), (27) gives way to an exponentially localized shape. This metamorphosis agrees with the evolution of the asymptotic profile $\mathcal{R}_\mu(\rho)$ as μ is taken from positive to negative values. The difference in the type of decay is clearly visible in panels (a), (d), and (g) of Fig. 5. Lowering ω even further sees the formation of a small-amplitude undulating tail [Fig. 5(j)]. At the same time, the oscillation frequency in the peripheral region switches from the frequency of the core of the

standing wave to its second harmonic [compare panel (l) to (k)].

It may seem that the presence of the second-harmonic tail is at variance with the uniformly first harmonic pattern (30). There is no contradiction, in fact. As we take ω far enough from ω_0 , the assumption $\phi = O(R^{-1})$ becomes invalid and the expression (30) stops providing any accurate approximation to the solution $\phi(r, t)$.

Why does the formation of the second-harmonic tail require taking ω far from ω_0 ? The reason is that when ω is close to ω_0 , the core of the exponentially localized standing wave is much wider than the wavelength of the second-harmonic radiation:

$$\frac{1}{\sqrt{2\omega_0(\omega_0 - \omega)}} \gg \frac{2\pi}{\sqrt{3\omega_0^2}}.$$

(Here we took advantage of the fact the characteristic width of the bell-shaped function $\mathcal{R}_\mu(\rho)$ is $1/\sqrt{-\mu}$ and used (30b) to express μ .) As a result, the radiation coupling to the core is weak and its amplitude is exponentially small. Thus when ω is close to ω_0 , we can simply not discern the amplitude of the second harmonic against the first-harmonic oscillation.

E. Small-amplitude wave in the infinite space

It is instructive to comment on the $R \rightarrow \infty$ limit for which the small-amplitude solution is available in the earlier literature [56,60,61].

In the case of the infinitely large ball our asymptotic expansion remains in place but ϵ becomes a formal expansion parameter, not tied to R . Without loss of generality, we can let $\mu = -1$ in Eq. (25a) while the boundary condition $\mathcal{R}(1) = 0$ should be replaced with $\mathcal{R}(\infty) = 0$. In agreement with [56,60,61], the asymptotic solution (6) acquires the form

$$\phi = 2\epsilon \cos \left[\omega_0 \left(1 - \frac{\epsilon^2}{4} \right) t \right] S(\epsilon r) + O(\epsilon^2), \quad (36)$$

where $\mathcal{S}(\rho)$ is a nodeless solution of the boundary value problem (29). (For solutions of (29) see [56,67].) As $\omega \rightarrow \omega_0$ (i.e. as $\epsilon \rightarrow 0$), the energy of the asymptotic solution (36) tends to infinity:

$$E = \frac{16\pi}{\epsilon} \int_0^\infty S^2(\rho) \rho^2 d\rho = \frac{16\pi}{\epsilon} \times 0.1253. \quad (37)$$

Stability or instability of the infinite-space solution is decided by eigenvalues of the symplectic eigenvalue problem (33)–(34) with μ set to -1 , $\mathcal{R}_\mu(\rho)$ replaced with $S(\rho)$, and the boundary conditions (35) substituted with $\mathcal{F}(\infty) = \mathcal{G}(\infty) = 0$. The numerical analysis verifies that the resulting symplectic problem has a (single) pair of opposite real eigenvalues $\lambda = \pm 5.50$. Hence the solution (36) is unstable for any sufficiently small ϵ .

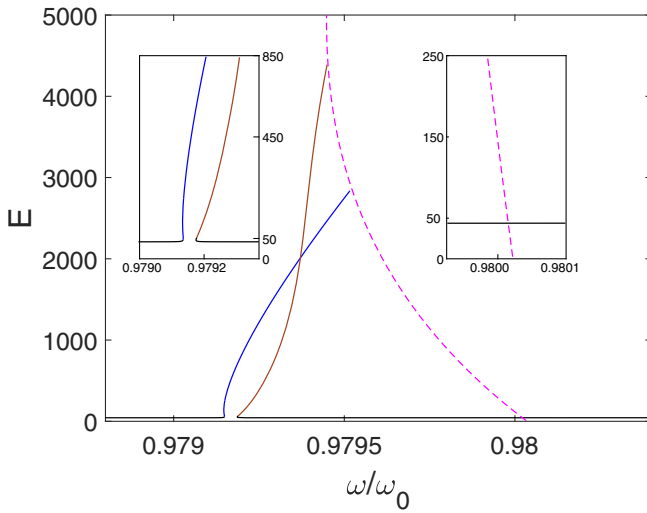


FIG. 7. A fragment of the $E(\omega)$ diagram in the vicinity of a 1:2 resonance in the ball with $R = 150$. The blue and brown curves are two slopes of the “spike.” The dashed magenta arc emerging from $E = 0$ at $\omega = \Omega^{(114)}/2$ is the 1/2 undertone of the $n = 114$ th Bessel wave. (That is, a point (ω, E) on this branch represents the Bessel wave with frequency 2ω .) The insets zooming in on the lower sections of the spike and Bessel branch aim to emphasize the difference in the origins of the two branches.

Bessel wave. As we observed in Sec. II, the n th Bessel wave ($n = 1, 2, \dots$) is stable when its frequency is close enough to $\Omega^{(n)}$, its inception point. Our numerical analysis indicates that the Bessel wave loses its stability once its energy E has grown above the period-doubling bifurcation

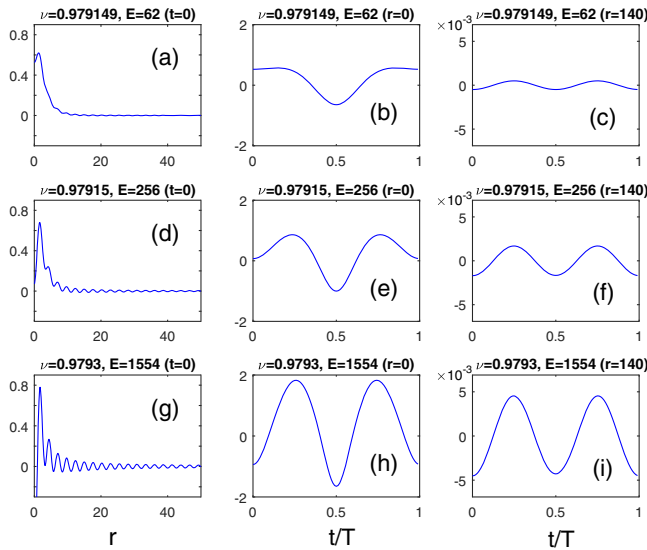


FIG. 8. Top to bottom row: spatial and temporal behaviour of the solution to the problem (2) as it is continued along the blue slope of the spike in Fig. 7, from the underlying U-arc toward the Bessel curve. Left column: snapshot of $\phi(r, t)$ at a particular moment of time ($t = 0$); central column: behavior of the central value $\phi(0, t)$; right column: evolution of an asymptotic value $\phi(r_p, t)$ with $r_p = 140$. All solutions satisfy the boundary condition $\phi(150, t) = 0$.

value. A quadruplet of complex Floquet multipliers leaves the unit circle at this point signifying the onset of instability against an oscillatory mode with an additional frequency.

While most of the clearly distinguishable, nonoverlapping, spikes result from the 1:2 resonances with the Bessel waves, some correspond to the 1:3, 1:4 or 1:6 resonances. Similar to the 1:2 spikes, an exponentially localized solution at the base of a 1:3, 1:4 or 1:6 projection has a core oscillating at the frequency $\omega = 2\pi/T$ and its second-harmonic tail. As this solution is continued up the slope of its spike, the contribution of higher harmonics to the oscillation of the core and tail increases. Eventually the standing wave switches to the uniform regime where its core and tail oscillate at the same frequency— 3ω , 4ω , or 6ω . The change of the temporal pattern is accompanied by the transformation of the spatial profile of the wave, from the “core-and-tail” composition to a slowly decaying structure with no clearly defined core.

It would be natural to expect this weakly localized solution to merge with the 1/3, 1/4, or 1/6 undertone of a Bessel wave, implying the period multiplication of the latter. Numerically, we do observe the bifurcations with $m = 4$ and 6 while the period-tripling of a Bessel wave is yet to be discovered.

C. Higher resonances

Figure 9 zooms in on the neighborhood of $\omega = \Omega^{(64)}/2$ in the ball of radius $R = 100$. Besides the primary spike pattern recognizable from our earlier Fig. 7, the diagram features several thinner vertical projections. These secondary, or “baby,” spikes result from resonances with higher harmonics.

The magenta curve in Fig. 9 comprises the 1/2 undertones of the Bessel wave. This branch and two needlelike secondary projections sprouting up from it represent standing waves

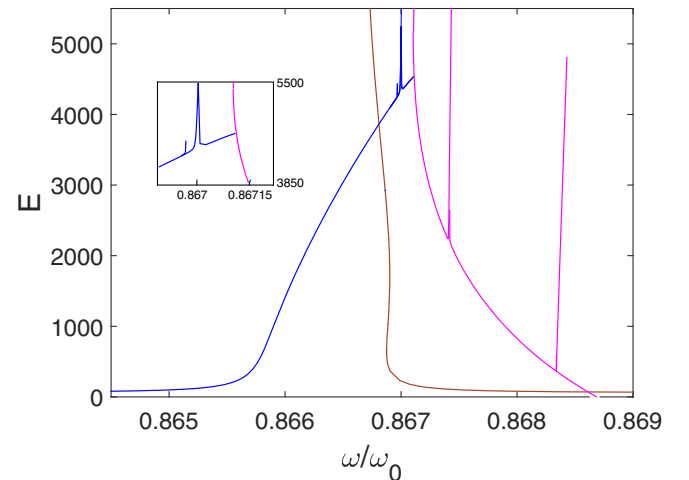


FIG. 9. The bifurcation diagram in the neighborhood of $\omega = \Omega^{(64)}/2$ in the ball of radius $R = 100$. The inset zooms in on a tiny segment of the left slope of the primary peak (blue curve) that hosts two baby spikes and merges with the Bessel branch (shown in magenta).

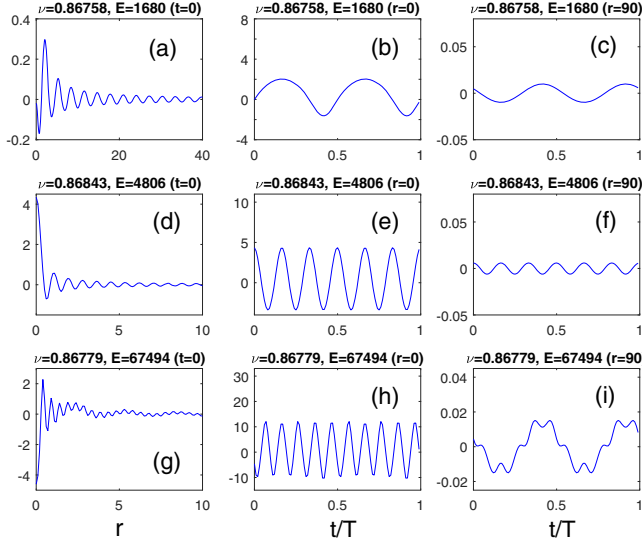


FIG. 10. Solutions on the Bessel branch and its two offshoots in Fig. 9. Top row: the wave of frequency 2ω found on the Bessel curve between the two baby spikes. Middle row: solution of frequency 6ω represented by the right-hand offshoot. Bottom row: solution of frequency 10ω corresponding to the left baby spike.

without clearly defined cores; see Fig. 10. The top row in Fig. 10 corresponds to a solution occurring between the two baby spikes; it consists of a pair of identical cycles on the interval $(0, 2\pi/\omega)$. The middle row of Fig. 10 exemplifies standing waves found on either slope of the “lower” baby spike (spike centred on $\omega/\omega_0 = 0.86834$). These include six repeated cycles. As E grows, both slopes of the “lower” spike merge with the branch of the $1/6$ undertones of another Bessel branch extending from $E = 0$ (not shown in Fig. 9). Finally, in the bottom row of Fig. 10 we display a solution that belongs to the secondary projection appearing higher on the Bessel curve (spike centred on $\omega = 0.86741$). This coreless standing wave oscillates at the frequency 10ω .

We note that solutions on both slopes of each of the two baby spikes emerging from the Bessel branch are stable.

Figure 11 documents standing waves found on the left slope of the primary spike (the blue curve in Fig. 9) and secondary spikes emerging from it. The top row illustrates the solution at a point of the primary curve near its merger with the Bessel branch. The structure of this solution is similar to that in the bottom row of Fig. 8. The wave does not have a clearly defined core while its central value $\phi|_{r=0}$ and a slowly decaying tail oscillate at the same frequency 2ω . The middle and bottom rows in Fig. 11 describe solutions on the left and right baby spikes jutting out from the primary curve. These have a large-amplitude 12ω - and 9ω -component, respectively.

D. Stability of standing waves

With the stability of the Bessel waves classified earlier in this paper, we turn to the exponentially localized solutions comprising the $E(\omega)$ curve in Fig. 6.

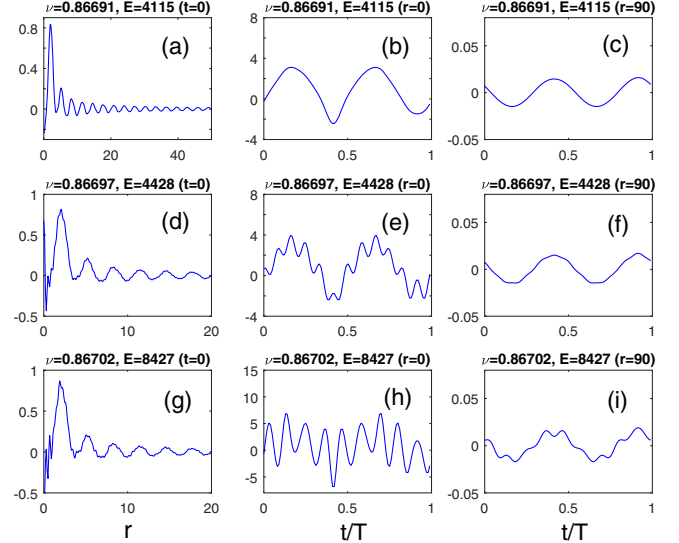


FIG. 11. Top row: solution of frequency 2ω on the blue side of the primary spike shown in Fig. 9. Middle respectively bottom row: solutions of frequency 12ω respectively 9ω found on the left respectively right baby spike stemming out from the primary curve. (The two spikes are clearly visible in the inset to Fig. 9). All three standing waves are coreless due to the proximity to the Bessel branch.

As we demonstrated in Secs. III C and III D, the monodromy matrix acquires a pair of real eigenvalues ($\zeta_1 > 1$ and $\zeta_2 = 1/\zeta_1$) as the solution is continued over the peak at $\omega_c = \omega_0 + \mu_c(2\omega_0 R^2)^{-1}$ (the rightmost spike in Fig. 6) in the direction of lower frequencies. The numerical analysis indicates that another real pair ($\zeta_3 > 1$ and $\zeta_4 = 1/\zeta_3$) leaves the unit circle as ω is reduced past the local energy minimum between the peak at ω_c and the next spike on its left.

Regardless of the choice of R , real or complex unstable Floquet multipliers persist over the entire interval $\omega_{\min} < \omega < \omega_c$, where ω_{\min} is the point of minimum of the U-shaped envelope of the family of spikes. For low energies, the instability is due to the real multipliers, ζ_1 and ζ_3 . As the solution “climbs” up the energy slope, the real multipliers $\zeta_1, \zeta_3, 1/\zeta_1, 1/\zeta_3$ merge, pairwise, and form a complex quadruplet. The quadruplet dissociates as the solution descends along the other slope of the same spike.

Stability properties in the region $\omega < \omega_{\min}$ prove to be sensitive to the choice of R . The case of a small radius is exemplified by the ball of $R = 40$. Figure 6(b) depicts the corresponding $E(\omega)$ diagram in an interval of frequencies adjacent to ω_0 . (Note that the frequency ω_{\min} is close to the position of the second spike from the right in Fig. 6(b).)

All frequencies between each pair of spikes in Fig. 6(b) correspond to unstable solutions, with one or two pairs of real Floquet multipliers off the unit circle. The second spike from the right (spike centred on $\omega \approx 0.97$) is also

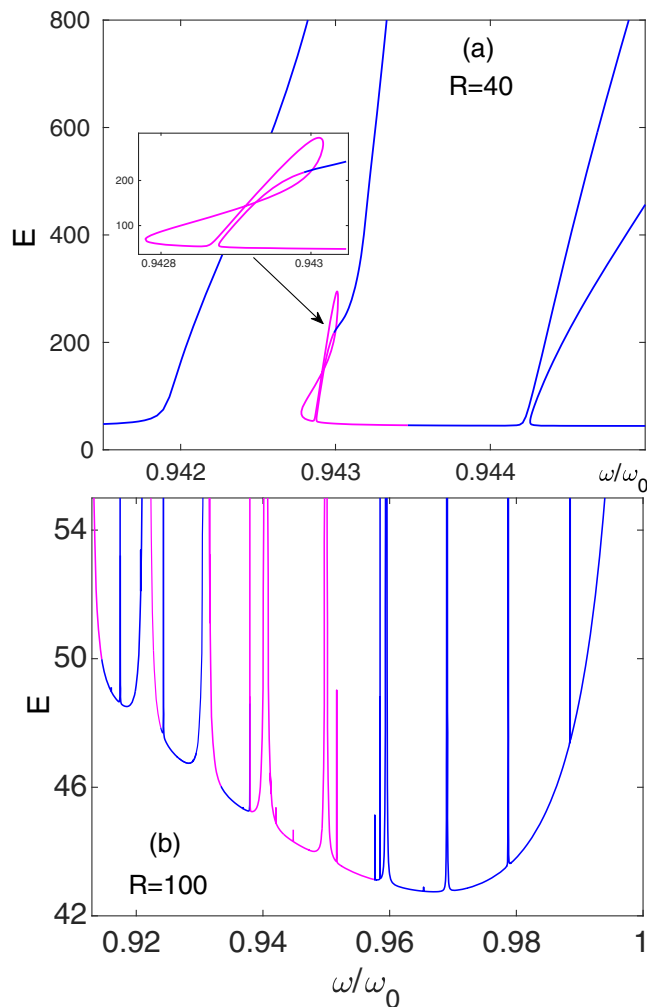


FIG. 12. (a) The fine structure of the third spike from the right in Fig. 6(b). (Here $R = 40$.) The spike is, in fact, a doublet; it consists of two separate projections. The inset zooms in on a figure-eight shaped isola occurring at the bottom of the left “subspike.” (b) Stability of standing waves in the ball of $R = 100$, near the right end of its energy-frequency diagram [Fig. 6(a)]. In (a) and (b) the magenta respectively blue lines demarcate stable respectively unstable standing waves.

entirely unstable. The only intervals of stability in Fig. 6(b) are found at the base of the third and fourth spike (centred on $\omega \approx 0.94$ and $\omega \approx 0.92$, respectively). Figure 12(a) illustrates stability of several branches associated with the third spike.

Turning to a larger ball radius ($R = 100$), the stability domain expands considerably. As ω is reduced below ω_{\min} in that case, two pairs of real multipliers form a complex quadruplet which, on further reduction, converges to two points on the unit circle. The value of ω at which the multipliers join the circle marks the beginning of a sizeable interval of stable frequencies [Fig. 12(b)]. A continued reduction of ω sees an intermittent appearance and disappearance of one or several complex quadruplets separating stability from instability intervals.

V. CONCLUDING REMARKS

A linear standing wave in a ball results from the interference of an expanding spherical wavetrain of infinitesimal amplitude and the wavetrain reflected from the ball’s surface. When continued to finite amplitudes, the resulting nonlinear solution does not have a well-defined core and retains the r -dependence similar to the spherical Bessel function $j_0(r) = \frac{\sin r}{r}$. The total energy associated with this configuration in a ball of radius R is a multiple of R^2 .

A different type of nonlinear standing wave in a ball is characterized by an exponentially localized pulsating core. The core is a fundamentally nonlinear feature; the nonlinearity shifts its frequency below the linear spectrum and this frequency shift ensures the core’s exponential localization. The core pulsating at the frequency ω radiates spherical waves with higher-harmonic frequencies $m\omega$, $m = 2, 3, \dots$. The standing pattern arises as a result of the interference of the expanding and reflected radiation wavetrains.

As the radiation frequency $m\omega$ comes near one of the linear eigenfrequencies, the solution approaches the corresponding Bessel-like pattern. The amplitude of the radiation increases and the total energy in the ball of radius R shoots up to values $O(R^2)$. By contrast, when ω is not near a resonant value, the radiation from the core is weak. The standing wave in that case may serve as an approximation to an *oscillon*—a long-lived localized pulsating structure in the infinite space—at the nearly periodic stage of its evolution. Nonlinear standing waves provide information on the *oscillon*’s energy-frequency relation and stability as well as topology of the nearby regions of the phase space.

We examined the energy-frequency diagram of the standing wave and scrutinized the associated spatiotemporal transformation of the periodic solution. Results of this study can be summarized as follows.

- (1) We have demonstrated the existence of a countable set of standing waves (“Bessel waves”) in a ball of a finite radius. The n th ($n = 1, 2, \dots$) Bessel wave is a solution of the boundary-value problem (2) with $n - 1$ internal nodes in the interval $(0, R)$ and the envelope decaying in proportion to r^{-1} as $r \rightarrow R$. The Bessel wave branches off the zero solution at $\omega = \Omega^{(n)}$; we have constructed it as an expansion in powers of the frequency detuning $\omega - \Omega^{(n)}$. The Bessel wave remains stable in an interval of frequencies adjacent to $\Omega^{(n)}$.
- (2) The nodeless ($n = 1$) Bessel wave is amenable to asymptotic analysis in a wider frequency range. The pertinent asymptotic expansion is in powers of R^{-1} and the resulting solution is valid in a neighborhood of ω_0 , the frequency of spatially uniform oscillations. This neighborhood is found to be wide enough

to include $\Omega^{(1)}$, the Bessel branch's inception point, and ω_c ($\omega_c < \Omega^{(1)}$)—the frequency at which the energy curve $E(\omega)$ has a maximum. The $n = 1$ Bessel wave remains stable in the entire interval $\omega_c \leq \omega < \Omega^{(1)}$ but loses its stability as ω is reduced below ω_c .

- (3) The numerical continuation of the $n = 1$ Bessel wave to values of ω below ω_c produces an $E(\omega)$ curve with a sequence of spikes near the undertone points $\omega = \Omega^{(n)}/2$ with some large n . The left and right slope of the spike adjacent to $\frac{1}{2}\Omega^{(n)}$ result from a period-doubling bifurcation of the n th Bessel wave. In addition to the primary sequence $\frac{1}{2}\Omega^{(n)}$, there are also thinner spikes near the $\frac{1}{3}\Omega^{(n)}$, $\frac{1}{4}\Omega^{(n)}$ and other undertones. Slopes of the spikes in the primary sequence host secondary projections corresponding to higher resonances.

Away from the neighborhoods of the spikes, the $E(\omega)$ curve follows a U-shaped arc with a single minimum at $\omega_{\min} = 0.967\omega_0$; the arc bounds all spikes from below. The arc is unaffected by the ball radius variations, as long as R remains large enough. This envelope curve describes the energy-frequency dependence of the nearly periodic oscillons in the infinite space.

- (4) Standing waves with energies lying on the envelope curve and at the base of the spikes have an exponentially localized core and a small-amplitude slowly decaying second-harmonic tail. We have classified stability of these solutions against spherically symmetric perturbations. Specifically, we focused on the interval $0.91\omega_0 < \omega < \Omega^{(1)}$ and

considered two values of R : $R = 40$ and $R = 100$. The ball of radius $R = 40$ has only short stability intervals, located at the base of two spikes in its $E(\omega)$ diagram. By contrast, the standing waves in the ball of $R = 100$ have long stretches of stable frequencies.

Finally, it is appropriate to draw parallels with resonance patterns observed in other systems.

The authors of Ref. [68] carried out numerical continuations of breather solutions in a one-dimensional necklace of Morse oscillators. Their $E(\omega)$ diagram features resonances similar to those reported in Sec. IV of the present paper. Standing waves residing on the slopes of the spikes in our Figs. 6, 7, 9, and 12(a) are akin to the phonobreaters of Ref. [68] while solutions represented by the U-arc in our Figs. 6 correspond to their “phantom breathers.”

A more recent Ref. [69] is a numerical study of the circular-symmetric breathers in the sine-Gordon equation posed in a disc of a finite radius. The $E(\omega)$ diagram produced in that publication displays projections due to the odd-harmonic resonances.

We note that neither Ref. [68] nor [69] observe a period-doubling transmutation of phonon waves into breathers.

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