# Spin-foams as semiclassical vertices: Gluing constraints and a hybrid algorithm

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Numerical methods in spin-foam models have significantly advanced in the last few years, yet challenges remain in efficiently extracting results for amplitudes with many quantum degrees of freedom. In this paper we sketch a proposal for a "hybrid algorithm" that would use both the full quantum amplitude and its asymptotic approximation in the relevant regimes. As a first step toward the algorithm, we derive a new representation of the partition function where each spin-foam vertex possesses its own coherent data, such that it can be individually asymptotically approximated. We do this through the implementation of gluing constraints between vertices, which we study numerically. We further derive an asymptotic expression for the constraints for arbitrary boundary data, including data for which there are no critical points. From this new representation we conjecture an intermediate quasigeometric spin-foam regime describing a superposition of semiclassical vertices glued in a nonmatching way via the gluing constraints.

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## I. INTRODUCTION

Among the multitude of existing approaches to the problem that is constructing a quantum theory of gravity, spin-foam models [1,2] stand out as a nonperturbative and background independent path integral formulation closely related to canonical loop quantum gravity [3,4]. Over recent years the field has seen a resurgence in interest particularly directed toward the numerical aspects of its models [5–13], having as main motivation the need to elevate the abstract theory to a computationally viable framework capable of making consistent and physically meaningful predictions. This objective is substantially more challenging than one might expect at first sight, and the difficulty is rooted in the intrinsic computational complexity of the spin-foam statesum. The present work intends to contribute to the effort of making spin-foams computationally more viable in different regimes of the models.

As previously mentioned, spin-foam models constitute a class of theories for quantum gravity based on a pathintegral approach. While the different theories differ in a number of key technical aspects [1], they all share a number of common features: spin-foams are formulated over a 2-dimensional cell-complex (thought of as a dual object to discrete space-time), to which one assigns group-theoretic data, usually related to unitary and irreducible representations of the symmetry group of the underlying classical gravity theory. The cell complex is commonly taken to be the Poincaré dual of a triangulation of the classical spacetime manifold, but generalizations to other types of cell-complexes exist [14]. One can then assign a state-sum to this combinatorial and group-theoretic construction, and that state-sum defines the spin-foam amplitude characterizing the system.

Currently, one of the most studied and best understood 4d spin-foam model is perhaps the Engle-Pereira-Rovelli-Livine/Freidel-Krasnov (EPRL/FK) simplicial model [15–18], both in Euclidean and Lorentzian signatures. Its particularity resides in the type of group-theoretic data assigned to the cell-complex, which forms a subset of unitary irreducible representations of either Spin(4) or SL(2,  $\mathbb{C}$ ), respectively, obtained via the so-called "simplicity constraints." Heuristically, in the Lorentzian case these constraints are imposed by choosing a timelike normal for each tetrahedron, rendering it spacelike, which singles out a subgroup isomorphic to SU(2). This construction has the additional advantage of recovering, at the boundary of the spin-foam, similar states to the ones characterizing the kinematical Hilbert space of loop quantum gravity.

The fundamental amplitudes of the EPRL/FK model, and in particular the vertex amplitude assigned to a dual 4-simplex, is well understood in different regimes. In the case of small representation labels, which we frequently refer to as the deep quantum regime, this amplitude is generically defined as a contraction of invariant tensors, i.e., intertwiners, which can only be efficiently computed numerically. There has recently been a concentrated effort

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to systematically improve and optimize such numerical algorithms [5], with a main focus on the Lorentzian  $SL(2, \mathbb{C})$  EPRL model [6,7,19]. These developments culminated in the creation of the efficient sl2cfoam-next package [7], which, e.g., unlocked GPUs as computational resources, allowed for a comparison of the full vertex amplitude with its asymptotic formula [5–7] and facilitated numerical studies of 2-complexes with multiple simplices, further clarifying the so-called "flatness problem" [20].

In addition to these numerical studies, our understanding of the spin-foam vertex amplitude is complemented analytically through an asymptotic approximation for sufficiently large spins. It was shown for a multitude of different spin-foam models and space-time signatures that the vertex amplitude possesses critical points, expressed in terms of coherent boundary data, which dominate in the limit of large representations [21-30]. Among other cases, these critical points correspond to geometric 4-simplices, for which the amplitude oscillates with a Regge-type action—a discrete action of general relativity [31]. This robust result is a strong indication that (discrete) gravity might be recovered from spin-foams in an appropriate limit, and it crucially provides a much more tractable analytical expression for the vertex amplitude. These results were used in different contexts, e.g., 2-complexes with multiple simplices, where all variables of the spin-foam-including bulk representationswere treated using asymptotic expansions [32,33]. This regime is considered to be semiclassical as it is dominated by the solutions to the critical point equations of all variables, up to small deviations away from these points.<sup>1</sup>

At this stage it is not clear how wide the gap is between the quantum regime and the semiclassical one beyond a single vertex amplitude, i.e., when it is that the semiclassical regime becomes a valid approximation for large 2-complexes. A few years ago, effective spin-foam models [8,34–37] were proposed in the hope that they could serve as a tool that would help bridge that gap. The key idea is to consider each vertex as semiclassical, taking the shape of a 4-simplex. Since spin-foams are defined with area degrees of freedom and not edge lengths, neighboring 4-simplices might not glue properly along tetrahedra, which is understood as a consequence of metric discontinuities or torsion [37,38]. Shape matching is enforced weakly by socalled gluing constraints, assumed to be Gaussians peaked on equal shape of tetrahedra formulated in terms of dihedral angles, ultimately deriving from to the weak implementation of simplicity constraints. The authors of such models studied triangulations of several simplices and identified regimes in which they recover the classical solution of (length) Regge calculus [34].

This paper concerns itself with probing the intermediate regime between the full spin-foam quantum amplitude and the semiclassical one, with a special focus on SU(2) BF theory and on the Lorentzian EPRL/FK model. We term this interpolating regime *quasigeometric* (in contrast with the quantum pregeometric and the semiclassical geometric regimes), since it is dominated not only by critical points, but also by neighborhoods of those points—where the usual geometric interpretation does not strictly apply. We shall provide throughout the paper numerical evidence supporting the existence and importance of this domain.

Having argued for the relevance of the quasigeometric regime, the problem arises of how to correctly take it into account when performing spin-foam calculations. To this end we sketch a proposal for a *hybrid algorithm* which, when evaluating spin-foam amplitudes, would use the full quantum amplitude at sufficiently small spins, and transition to an asymptotic approximation at larger spins whenever possible. In order to make use of the usual single-vertex asymptotic amplitude in the context of a general 2-complex, we moreover restructure the spin-foam partition function via the inclusion of *gluing constraints*, analogous objects to their aforementioned namesakes from effective spin-foams; such constraints benefit the analysis by disentangling the boundary data of each individual vertex.

The main focus of this article, as a first step toward the eventual construction of the hybrid algorithm, is the study of the gluing constraints we introduce. Our analysis is both numerical and analytical: we provide a numerical characterization of the gluing constraints for both the Lorentzian EPRL/FK and SU(2) BF models, and, in order to understand the structure of the constraints, we extend the usual methods of asymptotic analysis of spin-foams to provide also an asymptotic expression of the gluing constraints away from the critical points—thus obtaining a function of general boundary data. We conjecture that such an analysis can perhaps be extended to the vertex amplitude itself, and we leave the study of this possibility for the future.

The text is organized as follows: in Sec. II we begin with a brief introduction of spin-foam models and discuss the coherent state representation of their partition function. From its structure we argue that the amplitude is not immediately suitable for efficient numerical methods and we propose to slightly rearrange it, explaining the notion of gluing constraints and sketching the idea of the hybrid algorithm. We define the gluing constraints for SU(2) BF theory and for the Euclidean and Lorentzian EPRL models in Sec. III. In Sec. IV we compute their asymptotic expansion on and away from critical points, which we compare to the full numerical results in Sec. V. We close with a general discussion in Sec. VI. Technical details and conventions, like the parametrization of Euclidean tetrahedra in terms of areas and angles, are relegated to the appendix.

<sup>&</sup>lt;sup>1</sup>Such deviations have been called complex critical points in some of the literature [10].

## II. SPIN-FOAMS IN A NUTSHELL: COHERENT STATE REPRESENTATION AND A HYBRID ALGORITHM

In the following we give a broad and rather conceptual overview of spin-foam models [1] in a model-agnostic manner, as we will consider different theories throughout this paper. Spin-foam models define a transition amplitude for gravity using representation labels of a gauge group  $\mathcal{G}$ , which color a 2-dimensional cell-complex  $\Delta^*$  dual to a triangulation  $\Delta$  of the underlying space-time manifold  $\mathcal{M}$ . See Table I for a dictionary between the 2-complex and its dual triangulation. In four dimensions one assigns to every face dual to a triangle a label  $\chi_t$  of the unitary irreducible representation  $D^{\chi_t}$  of  $\mathcal{G}$ . One also considers holonomies from one vertex to another via assignments of group elements  $g_{\tau} \in \mathcal{G}$  to edges dual to tetrahedra. Each of these edges is shared by four faces, corresponding to the four triangles of a tetrahedron in  $\Delta$ . Therefore each edge in  $\Delta^*$  is associated with a tensor product of four representation spaces on which the single holonomy  $g_{\tau}$  acts. Since we are considering a path integral, we integrate over all possible holonomies per edge, such that to each edge the following object is assigned:

$$\mathcal{P}^{\{\chi_t\}} = \int_{\mathcal{G}} \mathrm{d}\mu(g_\tau) \bigotimes_{t=1}^4 D^{\chi_t}(g_\tau).$$
(2.1)

Here  $d\mu(g_{\tau})$  denotes the Haar measure of  $\mathcal{G}$ . The map  $\mathcal{P}$  constitutes a projector onto the gauge-invariant subspace of the tensor product of representation spaces. We represent this object pictorially by four wires and a box over the wires: the wires stand for group representations, while the box denotes the group integration, as in the diagram  $\blacksquare$ . The explicit form of these projectors differs depending on the model in question.

At the vertices of the spin-foam, which are dual to 4-simplices, five edges meet. When represented pictorially as wires, we connect up the wires at the vertex according to the same combinatorial structure of tetrahedra at the boundary of a 4-simplex; wires corresponding to the same triangle get connected. Here connecting means identification and summation over indices of representation spaces. The group integrations associated to edges of  $\Delta^*$  can be explicitly performed, giving rise to a sum over orthonormal invariant tensors and their duals, the intertwiners  $\iota_{\tau}$ , which are then split and associated to the vertices. Hence, for a

TABLE I. Cells of a 2-complex  $\Delta^*$  dual to a triangulation  $\Delta$ .

2-complex $\Delta^*$	Triangulation $\Delta$
Vertex v	Simplex $\sigma$
Edge e	Tetrahedron $\tau$
Face f	Triangle $t$

given choice of representations and intertwiner labels, we assign a particular contraction of intertwiners to a vertex, resulting in a vertex amplitude. In the intertwiner basis, frequently called the spin-network basis, the partition function is then given by

$$\mathcal{Z} = \sum_{\{\chi_t\}, \{\iota_\tau\}} \prod_t d_{\chi_t} \prod_{\sigma} \mathcal{A}_{\sigma}, \qquad (2.2)$$

where  $\mathcal{A}_{\sigma}$  denotes the vertex amplitude dual to the simplex  $\sigma$  and  $d_{\chi_t}$  denotes the dimension of the representation  $\chi_t$  (or some appropriate notion of dimension in case the representation space is infinite-dimensional).

### A. Coherent state representation

Instead of performing the integration over group elements explicitly and using the thus obtained intertwiners to split the partition function into local vertex amplitudes, one may also parametrize the amplitude in a different manner by inserting resolutions of identity in the middle of wires connecting different vertices. A specially useful basis for these identities is the coherent state one, which, for the class of spin-foam models considered here, is constructed via SU(2) states. In the vector space  $\mathcal{H}^j$  associated to the unitary and irreducible representation of SU(2), one has the relation

$$\mathbb{1}_{j} = d_{j} \int_{\mathrm{SU}(2)/\mathrm{U}(1)} \mathrm{d}h \, |j,h\rangle \langle j,h| \equiv -\bullet - {}^{j}, \quad (2.3)$$

where  $d_j = 2j + 1$  is the dimension of  $\mathcal{H}^j$  and  $|j, h\rangle$  are coherent states, i.e., states of the form

$$|j,h\rangle = D^{j}(h) |\mathrm{ref}_{j}\rangle \equiv - \bullet^{j,h}, \quad h \in \mathrm{SU}(2)/\mathrm{U}(1).$$
  
(2.4)

It is common to take the reference state  $|\text{ref}_j\rangle$  to be either a maximal weight state  $|j, j\rangle$  or a lowest one  $|j, -j\rangle$ , though the identity holds generally. Although such coherent states form a basis only of the representation spaces of SU(2), they turn out to induce bases for all spaces relevant for the spin-foam models studied in this article, where the gauge group is either SL(2,  $\mathbb{C}$ ) or SU(2). The construction for the special linear group is reviewed in Sec. III C.

Choosing to expand the spin-foam amplitudes in terms of SU(2) coherent states, the partition function generally reads

$$\mathcal{Z} = \sum_{\{\chi_t\}} \int \prod_t \prod_{t,\tau} d_{\chi_t} \mathrm{d}h_{t,\tau} \prod_{\sigma} A_{\sigma}, \qquad (2.5)$$

where there is a coherent state label per pair of triangle *t* and tetrahedron  $\tau$ . The coherent vertex amplitude  $A_{\sigma}$  is in turn given by

On the right-hand side stands the standard graphical representation (ignoring orientations) of the coherent vertex amplitude. The lines with dots at the ends represent inner products of coherent states connecting different faces. Solid rectangles represent group integrations over the group representations, as mentioned previously. We remind the reader that the above expression is only schematic, as a number of technical details must be observed depending on the model at hand (e.g., one needs to regulate the SL(2,  $\mathbb{C}$ ) model by removing one of the group integrations).

The coherent state parametrization is useful for studying the asymptotics of vertex amplitudes [23-25,27-29,39], often also referred to as the semiclassical limit or "large j/large representation limit". There one considers the partition function (2.5) for a single vertex and fixed boundary and computes the stationary phase approximation of the integral over (several copies of) the group  $\mathcal{G}$ . To this end the inner product of coherent states is exponentiated to an action, whose critical and stationary points dominate if all representations  $\chi_t$  are large. It is a robust and frequently derived result that these critical points correspond to the boundary data of geometric 4-simplices (among other solutions called vector geometries [5,24]), where the associated vertex amplitude oscillates with the Regge action of this 4-simplex [31]. This is an encouraging finding and an indication that continuum gravity might be recovered from spin-foams in a suitable limit.

We now comment on the practical matter of evaluating the coherent amplitude for multiple vertices. Each integration over the coherent state variables  $dh_t$  in the partition function (2.5) is performed over a pair of vertex amplitudes, since for two vertices  $\sigma$ ,  $\sigma'$  sharing a common edge  $\tau$ both vertex amplitudes  $A_{\sigma}$  and  $A_{\sigma'}$  carry the same label  $h_{t,\tau}$ . Computing these integrals is challenging for two reasons: the primary reason are the integrals themselves, which are multidimensional and highly oscillatory, in particular for large representations; for SU(2) the labels  $h_{\tau}$  are normalized vectors  $\vec{h} \in S^2$  [40], and hence there is an eightdimensional integral per bulk edge. Clearly this gets quickly overwhelming. The second reason has to do with the nonlocality of coherent data integrations, which makes it difficult to study the asymptotic regime of the full spinfoam amplitude for more than one vertex. As an example, consider an equilateral vertex amplitude, i.e., all the spins are equal. One dominant contribution is an Euclidean equilateral 4-simplex [5], whose five tetrahedra are also equilateral. Consider gluing this vertex to another vertex, whose remaining representations are not the same, potentially giving rise to a critical point in which the shared tetrahedron is not equilateral. In the coherent state representation the coherent data of glued vertex amplitudes must be equal, such that the dominant contribution from the integral over coherent data is determined by the overlap of both vertex amplitudes. This contribution might well come from a configuration which agrees with neither critical point of the vertex amplitudes. While accounting for this is not impossible, it requires one to compute the overlap of glued coherent vertex amplitudes, which in turn must be known sufficiently away from their critical points, and to repeat this procedure for all remaining neighboring vertex amplitudes-but, as far as we are aware, a general asymptotic formula for the coherent vertex amplitude away from its critical points is currently not known. One could alternatively study the asymptotic amplitude of the full 2-complex, but this is only possible in a case-by-case basis. On the other hand, the insights from the asymptotic analysis of single vertex amplitudes, i.e., the dominance of critical points, suggest that it should be possible to optimize these integrations, e.g., identify regions of coherent data that more significantly contribute. In this article we present a proposal for such a method, which might eventually lead to an efficient numerical hybrid algorithm. We discuss its idea in the following.

#### **B.** Idea of a hybrid algorithm

To disentangle the coherent data of neighboring vertices, we adopt the concept of *gluing constraints* from effective spin-foam models [8,34–36], and rewrite the partition function of the model in terms of such constraints. Starting from the coherent state representation, we again insert a resolution of identity on each edge. This procedure doubles the coherent data (and integrations) per edge, such that each vertex amplitude carries its own set of data  $n_{\tau}$ . In this manner every pair of vertices in the bulk is connected by an object which encodes how well their respective boundary data fit together: the gluing constraints. Such a pair of vertices would then schematically take the form



with the middle diagram representing the constraints. In Sec. III we will clarify the diagram above by explicitly defining different variants of these constraints, specifically gauge-variant and gauge-invariant types (equivalent at the level of the partition function), for different symmetry groups and spin-foam models. The doubling of coherent data per edge (together with the associated integrals) might appear counterproductive at first, as it seemingly complicates the structure of the partition function. Yet, having each vertex amplitude equipped with its own set of coherent data, one can apply the well-studied asymptotic analysis per vertex: for sufficiently large spins—a condition the precise meaning of which must be carefully studied-the integral over coherent data should only receive contributions from (small regions around) the critical points of the vertex amplitudes, which can furthermore be approximated by the semiclassical formula on the critical points.

We propose an algorithm to aid in the computation of spin-foam amplitudes of 2-complexes made up of multiple vertices. If all spins associated to a given 2-complex are sufficiently small, then the spin-foam amplitude can be computed directly, for example using the sl2cfoamnext package. On the other hand, if the 2-complex contains vertices with large spins, then a direct computation of the amplitude will be very expensive. We therefore propose to switch to the coherent representation of the amplitude and apply the asymptotic formula to the coherent vertices with sufficiently large spins. In general, the critical points of vertices will not match, i.e., the tetrahedra shared by two spin foam vertices will not be geometrically equivalent; the gluing constraints account for this mismatch.<sup>2</sup> Hence we conjecture the existence of an additional regime in spin-foams models, where the vertices exhibit semiclassical, geometric features, but the overall geometry still fluctuates and shape matching of glued vertices is not strongly enforced. We thus expect that the landscape of spin-foam configurations can be split roughly into three domains:

(i) *Pregeometric regime*—superposition of quantum geometric building blocks;

For the smallest representations, spin-foam amplitudes describe genuine quantum geometric objects best understood in the orthonormal spin network basis. The vertex amplitudes must be numerically computed for all representation and intertwiner labels and summed over. This process gets more costly with increasing representation labels. (ii) *Quasigeometric regime*—superposition of semiclassical geometries;

For large enough spins, the vertex amplitudes can be approximated by their asymptotic expansion and only small regions around critical points contribute. Therefore, we have a superposition of local critical point configurations, corresponding to degenerate, vector and Regge geometries, glued in a nonmatching way. For an appropriate domain of representation labels, nonmatching vertices corresponding to small neighborhoods around critical points are nonnegligible in the amplitude.

(iii) *Geometric regime*—globally semiclassical geometries;

Increasing representation labels further eventually results in only shape-matching configurations contributing to the path integral substantially. The amplitude of the full 2-complex is dominated by the global critical points, i.e., those of the entire 2-complex. As before, for finite representations small regions around these global critical points might still be non-negligible.

Partial evidence for the existence of a quasigeometric regime already exist in the literature. The convergence of the semiclassical approximation of the vertex amplitude to the full coherent amplitude was shown in recent years [5,7] for different models, as was numerically proven the exponential suppression of amplitudes without a critical point. In Sec. II C we expand on this by considering configurations around critical points, finding they are progressively less suppressed the closer they are to criticality. While more evidence is required to determine when quasigeometry arises, we hope to use this regime to help bridge the gap between quantum and semiclassical physics and augment existing numerical algorithms. Our proposal is to develop a "hybrid algorithm," following the idea of the "Chimera" algorithm in loop quantum cosmology [41], which uses the full quantum dynamics when necessary and switches over to semiclassical, less costly, algorithms as soon as those provide a good approximation. In this regime, the hybrid algorithm would compute the semiclassical amplitudes vertex-wise, and then pair-wise match them using the gluing constraints.

## C. Numerical studies of coherent vertex amplitude

The purpose of this subsection is twofold: first, we compare the full coherent amplitude of SU(2) BF to its semiclassical approximation, in order to recover existing results and emphasize our argument<sup>3</sup>; for the Lorentzian EPRL model, convergence with the asymptotic approximation was studied in great detail in [6,7]. Second, for both types of models, we parametrize slight deviations of

<sup>&</sup>lt;sup>2</sup>Note that the main purpose of the gluing constraints is to enable straightforward application of the asymptotic formula. Without the constraints, tetrahedra shared by two simplices match by definition, yet it is difficult to determine for which shape the product of vertex amplitudes contributes the most. If their critical points do not agree, we must evaluate the amplitudes away from their critical points.

<sup>&</sup>lt;sup>3</sup>First comparisons for higher-valent building blocks were also recently presented in [13].

boundary data away from the critical points and demonstrate the exponential suppression of the vertex amplitude as representations are scaled up uniformly. All numerical results for the SU(2) BF model were obtained from a numerical code written in the Julia programming language, by contracting a SU(2)  $\{15j\}$ -symbol against the overlap of coherent and spin network intertwiners. The simulations were performed on the Ara Cluster at FSU Jena. For the Lorentzian EPRL model, we used the package sl2cfoam-next [7] and performed the calculations on a consumer level laptop.

## 1. Brief recap of numerical tests of semiclassical formula

Before we explore the exponential suppression away from critical points, let us begin with a consistency check of our new algorithm to compute the coherent SU(2) vertex amplitude. We compare the (rescaled) coherent amplitude to its semiclassical approximation for equilateral boundary data with spins up to j = 40 in Fig. 1, where we have multiplied both amplitudes by  $j^6$  to compensate the polynomial suppression of the vertex amplitude. The agreement rapidly improves as we increase all spins, and both amplitudes are only distinguishable at the maxima of oscillations. These results are in agreement with the findings in [5]. We have additionally studied isosceles 4-simplices as boundary data, the results for which we omit since they also agree with previous reports. Again, for the case of the Lorentzian EPRL model we refer to [7].

# 2. Examples of suppression

At the level of a single vertex amplitude, little is known about an analytical formula *away* from the critical points. Interestingly, such an analysis was done for bulk representations in spin-foams consisting of multiple vertices in the context of the so-called "flatness problem" [42–48]. In [10] the authors show in case of the  $\Delta_3$ -triangulation (where three 4-simplices share one bulk triangle and all edges are



FIG. 1. Comparison of the full coherent amplitude and its semiclassical approximation for equilateral boundary data up to j = 40.

part of the boundary) that for large but finite representations there are non-negligible contributions from configurations away from critical points, interpreted as complex critical points. In contrast to real critical points, which enforce a vanishing deficit angle in the associated triangulation, the complex ones allow for small but nonvanishing angles and contribute significantly to the path integral in an appropriate regime. This is in line with numerical results in [7,20], where exponential suppression was shown for boundary data with a nonvanishing deficit angle in the bulk. We stress however that these complex critical points are subleading relative to the actual critical configurations, and they must eventually become negligible as the representations are further increased.

In order to explore the amplitudes around critical points, deviations from criticality are understood as follows: starting from a critical configuration, e.g., an equilateral Euclidean 4-simplex or an isosceles Lorentzian 4-simplex, we modify the boundary data in two different ways. The first variant introduces a violation of the closure condition in the normal vectors associated to a tetrahedron. These violations get more and more severe, leading to a stronger exponential suppression. In the second case we demand closure for all tetrahedra, but change their dihedral angles away from the critical point.<sup>4</sup> Thus the shapes of triangles of glued tetrahedra do not match, resulting in an exponential suppression. Such geometries are also known as a twisted geometries [5,49]. Again, we expect a more severe violation to result in a stronger exponential suppression compared to the critical point. The parameters used for nonclosing data are collected in Table II, and the ones used for nonmatching data can be found in Table III.

In SU(2) BF theory.-As a first example, consider an equilateral Euclidean 4-simplex, in which all tetrahedra deviate from equilateral tetrahedra, either by nonclosure or nonmatching. While the deviations are rather small, we expect a significant decline of the amplitude, since all five tetrahedra deviate. The results for nonclosing tetrahedra are shown in Fig. 2, and those for nonmatching ones (i.e., twisted geometries) can be found in Fig. 3. As expected, the exponential suppression is clear for nonclosing data: the two larger deviations suffer a very strong suppression, such that the amplitudes are roughly an order of magnitude smaller compared to the equilateral case already at i = 10. Moreover, the oscillations cease in these cases. For the smallest nonclosing deviation studied, however, we see a much weaker exponential suppression compared to the rescaled critical amplitude and persisting oscillations, which will probably only seize at even larger spins.

<sup>&</sup>lt;sup>4</sup>To be precise, nonclosing data also violate nonmatching; thus we expect nonclosing data to show more suppression, but both cases cannot be readily compared.

Case	$ec{h}_1$	$ec{h}_2$	$ec{h}_3$	$ec{h}_4$
Equilateral	(0, 0, 1)	(0.0, 0.9428, -0.3333)	(0.8165, -0.4714, -0.3333)	(-0.8165, -0.4714, -0.3333)
Nonclosing 1	(0, 0.1411, 0.99)	(0.0, 0.9428, -0.3333)	(0.8165, -0.4714, -0.3333)	(-0.8165, -0.4714, -0.3333)
Nonclosing 2	(0, 0.4359, 0.9)	(0.0, 0.9428, -0.3333)	(0.8165, -0.4714, -0.3333)	(-0.8165, -0.4714, -0.3333)
Nonclosing 3	(0, 0.6, 0.8)	(0.0, 0.9428, -0.3333)	(0.8165, -0.4714, -0.3333)	(-0.8165, -0.4714, -0.3333)

TABLE II. Parametrization of nonclosing boundary data with respect to an equilateral tetrahedron.

TABLE III. Parametrization of nonmatching boundary data with respect to an equilateral tetrahedron. A coherent tetrahedron is parametrized by the areas of its four triangles, here all equilateral, and two dihedral angles, as defined in the Appendix.

Case	$\Phi_1$	$\Phi_2$
Equilateral	$-\frac{1}{3}$	$-\frac{1}{3}$
Nonmatching 1	$-\frac{1}{3}$	$-\frac{1}{3}+0.01$
nonmatching 2	$-\frac{1}{3}$	$-\frac{1}{3}+0.1$
Nonmatching 3	$-\frac{1}{3}+0.1$	$-\frac{1}{3}+0.1$

For nonmatching data we observe a similar behavior, but overall with less exponential suppression. Indeed, for the smallest deviation studied, barely any decay is visible even though we deviate all tetrahedra and study the amplitude up to spins j > 30. These numerical results thus suggest that for a single vertex amplitude and large but finite representations we can always find a (sufficiently) small region around the critical points in which the exponential suppression is (still) negligible, which is in line with the results found in [10] for the bulk deficit angle of the  $\Delta_3$ triangulation. As we increase the spins the region around the critical point shrinks, since stronger deviations get more and more suppressed. Note that in particular the cases we studied in Fig. 2 are still close to equilateral tetrahedra, and the exponential suppression for strongly violating data is more severe.

The final case we consider is an unusual one. In [6], the authors study the  $SL(2, \mathbb{C})$  EPRL coherent vertex amplitude for a Lorentzian isosceles 4-simplex, whose tetrahedra are all spacelike. The boundary data corresponding to this 4-simplex is a critical point for Lorentzian EPRL, and below we will recover some of the authors' results. Before doing so, we consider the same boundary data in the context of SU(2) BF theory, where it does not correspond to a critical point and is exponentially suppressed. Still, this data is interesting for a different reason: a key ingredient in efficiently computing the  $SL(2, \mathbb{C})$  EPRL vertex amplitude is to express it as a sum of SU(2)  $\{15i\}$  symbols for auxiliary labels contracted with matrices encoding the action of boosts [50]. The infinite sum over the auxiliary labels starts at the label *j* of the boundary spins. Therefore, a SU(2)  $\{15i\}$  symbol evaluated for Lorentzian boundary data is related to the first term appearing in the expansion the full  $SL(2, \mathbb{C})$  vertex amplitude.

In Fig. 4 we plot the coherent SU(2) vertex amplitude evaluated for the isosceles Lorentzian 4-simplex, labeled by a set of ten spins  $\{5, 5, 5, 5, 2, 2, 2, 2, 2, 2, 2\}$ . The first four spins correspond to an equilateral tetrahedron, while the remaining spins label four isosceles tetrahedra with spins  $\{5, 2, 2, 2\}$ . We have additionally considered two configurations, in which we replace the equilateral tetrahedron either by the largest nonclosing or nonmatching configurations used before. Since none of these configurations correspond to a critical point of SU(2) BF theory, none of them oscillate and they all decay exponentially. Still this



FIG. 2. Comparison of coherent SU(2) BF theory vertex amplitudes for equilateral and nonclosing boundary data (close to equilateral).



FIG. 3. Comparison of coherent SU(2) BF theory vertex amplitudes for equilateral and nonmatching boundary data / twisted geometry (close to equilateral). Left: rescaled vertex amplitude. Right: logarithmic plot of absolute value of rescaled amplitude.



FIG. 4. Comparison of SU2 BF theory vertex amplitudes for boundary data corresponding to an isosceles Lorentzian 4-simplex and nonclosing/nonmatching deviations away from it on a single tetrahedron. Left: rescaled vertex amplitude. Right: logarithmic plot of rescaled vertex amplitude.

Lorentzian 4-simplex is singled out even in SU(2) BF theory; deviating away from it results in an even stronger exponential decay, in particular for the nonclosing configuration. From this we can draw several conclusions. The first term in the infinite sum over auxiliary SU(2) spins of the  $SL(2, \mathbb{C})$  EPRL vertex amplitudes contributes significantly. While it decays exponentially, its suppression is less than one might have naively expected. However, it is also clear that this single term is not sufficient to recover the oscillatory nature of Lorentzian EPRL vertex amplitudes, as observed in [6], and additional terms are necessary to get the correct polynomial scaling behavior. It would be interesting to better understand how the full  $SL(2, \mathbb{C})$ amplitude emerges as a sum over SU(2)  $\{15j\}$  symbols, e.g., to learn whether the infinite sum can be truncated with little error.

*In Lorentzian EPRL.*—We study the coherent vertex amplitudes for both an equilateral Euclidean 4-simplex and the aforementioned isosceles Lorentzian 4-simplex in

the SL(2, C) EPRL model using the sl2cfoam-next package. We ran the code on a consumer laptop, such that we were not able to probe large values of  $\lambda$  or shells *s* (encoding the truncation of the infinite sum over virtual spins), but we believe the domain we considered is sufficient to clearly show the exponential suppression away from the critical points of the vertex amplitude. For both sets of boundary data we modify only a single tetrahedron by the same deviations as above. Both simulations were run for a Barbero-Immirzi parameter of  $\gamma = 0.5$ . Regarding the shell number, we chose s = 1 for the Euclidean simplex and s = 4 for the Lorentzian one. Due to the similarity of results, we only plot the nonclosing deviations; qualitatively, the nonmatching cases are similar to the previous SU(2) ones and simply show less exponential decay.

In Fig. 5 we plot the coherent vertex amplitude for an equilateral Euclidean 4-simplex, where we can recognize an exponential decay away from the critical point at already fairly small representations. For the smallest nonclosing deviation, increasing  $\lambda$  further would be necessary to



FIG. 5. Comparison of SL(2,  $\mathbb{C}$ ) vertex amplitudes for boundary data corresponding to an equilateral Euclidean 4-simplex and nonclosing deviations of a single tetrahedron away from those data. The Immirzi parameter is chosen to be  $\gamma = 0.5$ , the number of shells is s = 1.



FIG. 6. Comparison of SL(2,  $\mathbb{C}$ ) vertex amplitudes for boundary data corresponding to Lorentzian isosceles 4-simplex and nonclosing deviations of one tetrahedron away from this critical point. The Immirzi parameter is chosen to be  $\gamma = 0.5$ , the number of shells is s = 4.

capture more half periods and clearly see the exponential suppression. Note that, since we only deviate a single tetrahedron rather than all five of them, the suppression is less accentuated than in the SU(2) case.

In Fig. 6 we plot the amplitude for boundary data corresponding to an isosceles Lorentzian 4-simplex, now also for nonclosing boundary data for a single tetrahedron. We find a far stronger suppression compared to the Euclidean case, yet this is due to the different boundary data. At  $\lambda = 10$  we are computing the vertex amplitude for representations ( $\rho$ , n) = (50, 20) (see Sec. III C for the necessary representation theory), which is significantly larger than 10 for the same  $\lambda$  in the equilateral case. Again, for the smallest nonclosing deviation, this small  $\lambda$  is not sufficient to observe an exponential decay. Still, these results confirm again our expectation that for increasing spins all vertex amplitudes that significantly contribute to the path integral are located in a small but nonsingular region around the critical points.

All the above results support the heuristic expectation that spin-foam models should possess a regime in which only small regions around the critical points of each vertex amplitude contribute to the path integral. At the same time, it is clear that more thorough investigations are necessary to make qualitative statements more quantitatively precise, e.g., by identifying for which representations this statement is valid. We leave this for future research.

## **III. GLUING CONSTRAINTS**

In this section we shall formally introduce and define the *gluing constraints* for the SU(2) BF and Lorentzian EPRL-FK spin-foam models. As mentioned in Sec. II B, the gluing constraints appear through the process of fully disentangling the coherent vertex amplitudes assigned to vertices of a 2-complex via insertions of identities expressed in terms of the coherent states. We shall consider the case where the 2-complex is dual to a triangulation by simplices; the vertex amplitudes are thus associated to 4-simplices of the dual triangulation.

In the coherent state representation, the partition function associated to a 2-complex can be expressed as a product of the vertex amplitudes after doubling the gauge group integration and inserting resolutions of the identity on the representation spaces of that group, expressed in terms of coherent states. The partition function would then take the schematic form

As one may see, the resulting vertex amplitudes are not all independent, as the same coherent data associated to a common edge is shared between a pair of vertices. There is however a straightforward way to gain better control over the mutual dependence of the vertices. We can isolate the vertex amplitudes by inserting at the common edge an extra identity (2.3) in terms of coherent states,

such that now every vertex amplitude carries its own coherent data at the boundary. In this manner we get for every (bulk) edge shared by a pair of vertices a term which is represented by the four lines in-between the amplitudes in (3.2). It is a tensor product of inner products between coherent states associated to the edges belonging to the pair of vertices. We refer to the extra terms as gluing constraints as they specify how pairs of vertices glue or fit together.

As it stands, the gluing constraints do not share any of the symmetry properties of the vertex amplitude. Since these are ultimately derived from the symmetries of the Haar measure, we may remedy this by proposing a definition of the gluing constraints which involves an integration over the gauge group of the theory. We chose to do so, and define the gluing constraints to generally be given by

$$G_{\tau} = \int_{\mathcal{G}} \mathrm{d}\mu(g) \bigotimes_{t=1}^{4} \langle J \triangleright \chi_{t}, h_{t} | D^{\chi_{t}}(g_{\tau}) | \chi_{t}, k_{t} \rangle = h_{t} \qquad (3.3)$$

We would like to remark that the particular symmetry properties of  $G_{\tau}$  depend on what one considers to be its domain of definition. Indeed, if one takes the boundary data of the constraints to be general group elements  $h_t, k_t \in SU(2)$ , then the constraints are *invariant* under the action of  $g, g' \in SU(2)$  by virtue of the bi-invariance of the Haar measure, i.e.,  $G_{\tau}(gh_t, g'k_t) = G_{\tau}(h_t, k_t)$ . On the other hand, if one considers the boundary data to be given by coherent states [which, we remind the reader, are constructed from a reference state as  $|\chi, h\rangle = h |\text{ref}_{\chi}\rangle$ ,  $D^{\chi}(h) \in SU(2)/U(1)$ , then the gluing constraints are only invariant up to a phase factor (a property which extends to the coherent vertex amplitude), and this has been termed covariance in the literature [5] for the case of the vertex amplitude. The reason is that the group action on a coherent state results in a second coherent state up to a phase, as SU(2)/U(1) is not closed under multiplication. Thus it holds only that  $|G_{\tau}(g \triangleright \vec{h}_t, g' \triangleright \vec{k}_t)| = |G_{\tau}(\vec{h}_t, \vec{k}_t)|$ . In order to avoid having to deal with multiplicative phase factors we will, for the rest of the paper, take  $G_{\tau} = G_{\tau}(h_t, k_t)$ .

Although including a group integration in the definition of the constraints can be argued to increase its computational complexity, it has the advantage of allowing the constraints to more closely resemble the structure of a vertex amplitude. The gluing constraints may then serve as a testing ground for properties of the actual vertex (we shall see in Sec. IV that these objects share the same qualitative behavior in the asymptotic limit), and we further speculate that the gauge invariance afforded by the group integration may be potentially useful in the construction of the hybrid algorithm.

Before moving on toward the explicit definition of the gluing constraints for different classes of models, we would like to point out that such objects have already made an appearance in the literature to some extent. The gluing constraint of Eq. (3.3) involves two coherent intertwiners (not necessarily the same) associated to every bulk edge. In the special case when the two coherent intertwiners are the same, the gluing constraint becomes the norm of that coherent intertwiner. The asymptotics of the norm of

coherent intertwiners has been studied in [40] for  $\mathcal{G} = SU(2)$ . The gluing constraints appear also in [51] as a special case of general invariants of  $SL(2, \mathbb{C})$ , and the authors have studied some of its asymptotic properties. In the remainder of this paper we complement these previous results by studying the constraints in detail for different spin-foam models.

## A. Gluing constraints for SU(2) BF theory

We shall first consider the gluing constraints for the SU(2) BF spin-foam model with compact SU(2) as its gauge group. The boundary data associated to the gluing constraint induces SU(2) coherent states, which in turn serve as a basis for the construction of several other coherent states appearing in spin-foam models.

As usual, we label the unitary and irreducible representations of SU(2) by spins  $j \in \frac{\mathbb{N}}{2}$ . The canonical basis states are taken to be  $|j, m\rangle$ , for integers m = -j, ..., j. An SU(2) coherent state is defined by a group action on the highest or lowest weight states, i.e.,

$$|j,h\rangle = D^{j}(h)|j,j\rangle, \qquad |j,h] \coloneqq D^{j}(h)|j,-j\rangle, \quad (3.4)$$

where  $D^j$  denotes a Wigner representation matrix. Although it is usual to define such coherent states by restricting to the quotient space  $k \in SU(2)/U(1)$ , we will allow for any  $k \in SU(2)$  according to the discussion of the previous section. We further introduce a complex structure on  $\mathbb{R}^4$ , inducing the antilinear map

$$J: \mathbb{C}^2 \to \mathbb{C}^2$$
$$v \mapsto -i\sigma_2 \bar{v}, \tag{3.5}$$

which can be used to invert and conjugate-transpose any  $GL(2, \mathbb{C})$  matrix g by  $JgJ^{-1} = \det g(g^{\dagger})^{-1}$ , and which can be canonically extended to  $\mathbb{C}^{2n}$ . Due to the standard Clebsh-Gordan isomorphism  $|j, j\rangle \simeq |\frac{1}{2}, \frac{1}{2}\rangle^{2j}$ , it moreover holds that  $J^2 = (-1)^{2j}$ . Finally, note that the complex structure J has an important geometric meaning, which justifies its use in the spin-foam models: while a coherent state  $|j, h\rangle$  is associated to the vector  $\vec{h}$ , the state  $J|j, h\rangle$  is associated to its symmetric vector  $-\vec{h}$ .

Having reviewed the necessary representation theory, the gluing constraint for SU(2) associated to an edge dual to a tetrahedron  $\tau$  with four faces is defined by

$$G_{\tau}^{\mathrm{SU}(2)} := \int_{\mathrm{SU}(2)} \mathrm{d}\mu(g) \bigotimes_{i=1}^{4} \langle J \triangleright j_i, h_i | D^{j_i}(g) | j_i, k_i \rangle = h_t \quad \blacksquare \quad k_t .$$
(3.6)

 $D^{j_i}(g)$  is the Wigner matrix associated to g and  $d\mu(g)$  is the SU(2) bi-invariant Haar measure.<sup>5</sup> Recalling the isomorphism  $|j, j\rangle \simeq |\frac{1}{2}, \frac{1}{2}\rangle^{2j}$ , the constraint may also be formulated as

$$G_{\tau}^{SU(2)} = \int_{SU(2)} d\mu(g) \prod_{i=1}^{4} \langle Jh_i | g | k_i \rangle^{2j_i}, \qquad (3.7)$$

where g is now in its defining representation. This gives an integral expression for the gluing constraint.<sup>6</sup> The function  $G_{\tau}^{SU(2)}$  can also be expressed as a sum through the Peter-Weyl theorem for compact groups. The SU(2) integrals can be decomposed in terms of an intertwiner basis, which for a four-valent node is given by

$$\int \mathrm{d}\mu(g) \bigotimes_{i=1}^{4} D^{j_i}(g) = \sum_{\iota} d_{\iota} |j_i, \iota\rangle \langle j_i, \iota|, \qquad (3.8)$$

where  $d_i = 2i + 1$  are dimension factors and the orthogonal basis states

$$|j_{i},\iota\rangle = \sum_{m_{i}} \begin{pmatrix} j_{1} & j_{2} & j_{3} & j_{4} \\ m_{1} & m_{2} & m_{3} & m_{4} \end{pmatrix}^{(\iota)} |j_{1},m_{1}\rangle \cdots |j_{4},m_{4}\rangle \quad (3.9)$$

are expressed in terms of the Wigner 4jm symbols. Another formulation of the constraint is thus given by a sum over intertwiner labels

$$G_{\tau}^{\mathrm{SU}(2)} = \sum_{\iota} d_{\iota} \, \bar{c}_{\iota}(j_i, h_i) \, c_{\iota}(j_i, k_i) = \sum_{\iota} d_{\iota} \, h_i \, \textcircled{}^{\iota} \, \underbrace{\overset{\bullet}{\bigoplus}}^{\iota} \, \underbrace{\overset{\bullet}{\bigoplus}}^{\iota} \, k_i \,, \qquad (3.10)$$

<sup>&</sup>lt;sup>5</sup>The definition of the gluing constraint can be easily generalized to any *n*-valent node associated to a polygon with *n* faces. <sup>6</sup>One can also consider using the coherent states  $|h_i|$ .

where we have defined  $c_i(j_i, k_i) \coloneqq \langle j_i, i | j_i, k_i \rangle$  as the coherent 4j symbol (the coherent states expressed in the basis of the invariant subspace). The integral expression (3.7) is usually useful for performing critical point analysis while the summation expression (3.10) is useful for numerical computations, e.g., when computing the vertex amplitude for coherent boundary data [5] as we did in Sec. II.

### B. Gluing constraints for Euclidean EPRL/FK models

Next, we consider the gluing constraints for the Euclidean EPRL and FK spin-foam models, for which the associated group is Spin(4). The Spin(4) group is well known as the spin covering of SO(4), and under the classification of simple Lie groups via Dynkin diagrams it is isomorphic to SU(2) × SU(2). The group isomorphism leads to an isomorphism between the irreducible representations  $D^{(j_+,j_-)} \simeq D^{j_+} \otimes D^{j_-}$  labelled by spins. For the definition

of the EPRL and FK spin-foam models one also requires an additional constant  $\gamma$ , known as the Barbero-Immirzi parameter. In these models the intertwiners of Spin(4) are derived from those of SU(2) using an injection (see [24] for more details) constructed from a Clebsch-Gordan intertwining map  $C_j^{j_+,j_-}$ , which maps the SU(2) representation  $D^j$  into the highest spin  $j = j_+ + j_-$  and lowest spin  $j = j_+ - j_-$  subspace of  $D^{(j_+,j_-)}$  for  $\gamma < 1$  and  $\gamma > 1$ , respectively. The spins  $j_{\pm}$  are related to  $\gamma$  by

$$j_{\pm} = \frac{j}{2} |1 \pm \gamma|.$$
 (3.11)

Starting from SU(2) coherent states  $|j_i, k_i\rangle$  one can construct coherent states for Spin(4) using  $C_j^{j_+,j_-}$ , and we define the gluing constraints associated to a four-valent node to be

$$G_{\tau}^{\text{Spin}(4)} \coloneqq \int_{\text{Spin}(4)} d\mu(g) \bigotimes_{i=1}^{4} \langle J \succ j_i, h_i \circ C_{j_i}^{j_i^+ j_i^-} | D^{j_+}(g^+) D^{j_-}(g^-) | C_{j_i}^{j_i^+ j_i^-} \circ j_i, k_i \rangle,$$
(3.12)

having denoted  $d\mu(g) = d\mu(g^+)d\mu(g^-)$ . The cases for both models are considered separately.

(i) Euclidean EPRL model

For  $\gamma < 1$ , the Clebsch-Gordan map injects into the highest spin subspace  $j_i = j_i^+ + j_i^-$ . The symmetrizers of  $j_i^+$  and  $j_i^-$  can be absorbed into the symmetrizer of highest spin  $j_i$ , so that the tensor product property allows the gluing constraint to be split into a product as

$$G_{\gamma<1}^{\text{EPRL}} = \int_{\text{Spin}(4)} d\mu(g) \prod_{i=1}^{4} \langle J \triangleright j_i^+, h_i | g^+ | j_i^+, k_i \rangle^{2j_i^+} \langle J \triangleright j_i^-, h_i | g^- | j_i^-, k_i \rangle^{2j_i^-}.$$
(3.13)

Hence we simply get that for  $\gamma < 1$  the gluing constraint satisfies  $G_{\gamma < 1}^{\text{EPRL}} = G_{\tau+}^{\text{SU}(2)} \times G_{\tau-}^{\text{SU}(2)}$ .

In the case for  $\gamma > 1$ , the Clebsch-Gordan map now injects into the lowest spin subspace  $j_i = j_i^+ - j_i^-$ . The symmetrizers of  $j_i$  and  $j_i^-$  can be absorbed into that of  $j_i^+$ . Following the construction in [24], the gluing constraints can be defined by insertions of identity over SU(2) coherent states.

(ii) FK model

For the FK spin-foam model [18], SO(4) coherent states are given in terms of SU(2) coherent states through  $|j^+, h^+\rangle \otimes |j^-, h^-\rangle$  and  $|j^+, h^+\rangle \otimes \overline{|j^-, h^-\rangle}$  for  $\gamma < 1$  and  $\gamma > 1$ , respectively, where  $h^+ = h^-$ . For  $\gamma < 1$ , this leads to the same gluing constraints (3.13) as for the  $\gamma < 1$  EPRL model. If one takes  $\gamma > 1$ , the FK gluing constraints take the form

$$G_{\gamma>1}^{\text{FK}} = \int_{\text{Spin}(4)} d\mu(g) \prod_{i=1}^{4} \langle h_i J | g^+ | k_i \rangle^{2j_i^+} \overline{\langle h_i J | g^- | k_i \rangle^{2j_i^-}}.$$
(3.14)

### C. Gluing constraints for Lorentzian EPRL, FK models

The underlying gauge group for the Lorentzian EPRL, FK spin-foam models is SL(2,  $\mathbb{C}$ ), the double covering of the Lorentz group SO(3,1). The representations of SL(2,  $\mathbb{C}$ ) are constructed on the space  $\mathcal{D}_{(n_1,n_2)}$  of homogeneous functions of two complex variables [52,53], i.e., functions  $F:\mathbb{C}^2 \to \mathbb{C}$  satisfying

$$F(\lambda z_1, \lambda z_2) = \lambda^{n_1 - 1} \overline{\lambda}^{\overline{n}_2 - 1} F(z_1, z_2), \qquad \lambda \in \mathbb{C}, \quad (3.15)$$

such that the representation  $D^{(n_1,n_2)}$  acts on functions through the usual transposed matrix multiplication in  $\mathbb{C}^2$ ,

$$D^{(n_1,n_2)}: \text{ SL}(2,\mathbb{C}) \to \text{Aut}(\mathcal{D}_{(n_1,n_2)})$$
$$D^{(n_1,n_2)}(g)F(z) = F(g^T z), \qquad z \in \mathbb{C}^2.$$
(3.16)

The relevant representations for spin-foam models are those contained in the so-called principal series, characterized by the restriction  $n_1 = \bar{n}_2$ . It is usual to redefine  $n_1 = (-n + i\rho)/2$  and  $n_2 = (n + i\rho)/2$  with  $n \in \mathbb{Z}$ ,  $\rho \in \mathbb{R}$ , and collect these variables in the label  $\chi = (n, \rho)$ . Such principal series representations are irreducible, and they are unitary under the inner product

$$\langle F_1, F_2 \rangle = \int_{\mathbb{CP}} \omega \bar{F}_1(z), F_2(z), \omega = \frac{i}{2} (z_1 dz_2 - z_2 dz_1) \wedge (\bar{z}_1 d\bar{z}_2 - \bar{z}_2 d\bar{z}_1),$$
(3.17)

where  $\mathbb{CP}$  denotes that the integral is to be computed over a section of the bundle  $\mathbb{C}^{2*} \to \mathbb{CP}$ , and the result is independent of the choice of section. We further remark that there exists an intertwining isomorphism (defined up to normalization, possibly depending on  $\chi$ ),

$$\mathcal{A}: \mathcal{D}_{\chi} \to \mathcal{D}_{-\chi}$$
$$\mathcal{A}D^{-\chi}(g) = D^{\chi}(g)\mathcal{A}, \qquad (3.18)$$

such that we may restrict our attention to  $n, \rho \ge 0$ . This intertwiner can be used to construct a bilinear form  $(\cdot, \cdot)$  on  $\mathcal{D}_{\chi}$ ,

$$(F_2, F_2) \coloneqq \langle \mathcal{J}F_1, F_2 \rangle, \tag{3.19}$$

having defined  $\mathcal{J}F = \overline{\mathcal{A}F}$ . This map plays a similar geometrical role as the complex structure  $J: \mathbb{C}^2 \to \mathbb{C}^2$  of the previous section. Finally, there is a canonical orthonormal basis for  $\mathcal{D}^{\chi}$  given by the functions

$$F_{j,m}^{\chi}(z) = \sqrt{\frac{2j+1}{\pi}} \|z\|^{2(i\rho/2-1-j)} D_{n/2,m}^{j}(g(z)), \quad (3.20)$$

for  $D_{ab}^{j}(g)$  a unitary irreducible representation of SU(2), and

$$g(z) = \begin{pmatrix} z_1 & -\bar{z}_2 \\ z_2 & \bar{z}_1 \end{pmatrix} \in \mathrm{SU}(2), \qquad |z_1|^2 + |z_2|^2 = 1. \quad (3.21)$$

As before, coherent states are constructed from the action of SU(2) on a reference state. Restricting the representation labels to the ones relevant to the model,  $\chi = (2\gamma j, 2j)$ , a general coherent state  $|g, j\rangle := D^{\chi}(g)F_{j,j}^{\chi}$  thus reads

$$|g,j\rangle = \sqrt{2j+1}||z||^{2j(i\gamma-1)-2}\langle z,\bar{g}+\rangle^{2j}, \qquad g \in \mathrm{SU}(2),$$
(3.22)

$$\mathcal{J}|g,j\rangle = \mathcal{N}\sqrt{2j+1}||z||^{2j(i\gamma-1)-2}\langle z,\bar{g}-\rangle^{2j}, \qquad g \in \mathrm{SU}(2),$$
(3.23)

where  $|\pm\rangle$  form the canonical basis of  $\mathbb{C}^2$ , and  $\mathcal{N}$  is a multiplicative factor depending on the choice of normalization of  $\mathcal{A}$ . The usual choice is to take

 $\mathcal{N} = (-1)^{2j} e^{-i \arctan \gamma}, \gamma > 0$ , a convention adopted in [25]. With these objects in place, the gluing constraints for the Lorentzian EPRL model read<sup>7</sup>

$$G_{\tau}^{\mathrm{SL}(2,\mathbb{C})}(\bar{h}_{i},\bar{k}_{i}) = \int \mathrm{d}\mu(g) \prod_{i=1}^{4} \int_{\mathbb{C}P} \omega'(z_{i}) \frac{\langle gz_{i}, gz_{i} \rangle^{j_{i}(i\gamma-1)-1}}{\langle z_{i}, z_{i} \rangle^{j_{i}(i\gamma+1)+1}} \\ \times \langle gz_{i}, k_{i} \rangle^{2j_{i}} \langle Jh_{i}, z_{i} \rangle^{2j_{i}}, \qquad (3.24)$$

with  $d\mu(g)$  the Haar measure on SL(2, C); since the Haar measure is only defined up to a constant for locally compact groups, its normalization will later be discussed in Sec. IV C. We have also included a number of numerical factors in the measure  $\omega'$ , defined as

$$\omega'(z) = \overline{\mathcal{N}} \frac{2j+1}{\pi} \omega(z). \tag{3.25}$$

# IV. ASYMPTOTIC GLUING CONSTRAINTS AWAY FROM CRITICAL POINTS

As discussed before, the gluing constraints allow one to study the asymptotics of a given spin-foam model by *a priori* decoupling the critical configurations at each vertex, so that the well-known results concerning 1-vertex asymptotics may be applied individually. The role of the gluing constraints would then be to restrict the dominant configurations at each neighboring vertex so as to enforce consistent gluing among simplices. Heuristically [8] this is expected to be implemented via a localized (presumably Gaussian-like) distribution over the boundary data. We dedicate this section to the asymptotic study of the gluing constraints for the most ubiquitous spin-foam models, and we shall show that they indeed reduce to Gaussian functions, peaked at gluing tetrahedra.

## A. Hörmander's asymptotic theorem

Since we are interested in studying how the constraints enforce gluing in the asymptotic regime, we must approximate their defining integral not only at its critical point, but also in a surrounding neighborhood. To this end we shall make thorough use of Hörmander's theorem [54] (Theorem 7.7.12) on the asymptotic evaluation of integrals subject to free parameters, which we reproduce here for the reader's convenience.

**Theorem IV.1.** (Hörmander). Let S(x, y) be smooth and complex-valued in a neighborhood K of  $(0,0) \in \mathbb{R}^{n+m}$ , such that  $\Im S \ge 0$ ,  $\Im S(0,0) = 0$ ,  $S'_x(0,0) =$ 0 and det  $S''_{xx}(0,0) \ne 0$ . Consider furthermore  $u \in C_0^{\infty}(K)$ . Then

<sup>&</sup>lt;sup>7</sup>Note that the Haar measure satisfies  $d\mu(q) = d\mu(q^T)$ .

$$\int \mathrm{d}x \, u(x, y) e^{i\lambda S(x, y)} = \left(\frac{2\pi i}{\lambda}\right)^{n/2} \frac{u^0(y) e^{i\lambda S^0(y)}}{\sqrt{(\det S''_{xx})^0(y)}} + \mathcal{O}(\lambda^{-n/2-1}), \tag{4.1}$$

where the superscript  $f^0(y)$  denotes an *x*-independent residue in the residue class of  $f(x, y) \mod \mathcal{I}$ , for  $\mathcal{I}$  the ideal generated by the partial derivatives  $\partial_{x^i} S$ .

To be clear, one says that r is in the residue class  $[a]_b$  if  $a = r \mod b$ . Thus the possible  $f^0(y)$  are defined by expansions of the type

$$f(x,y) = f^0(y) + \frac{\partial S}{\partial x^i}(x,y)q^i(x,y), \qquad (4.2)$$

for *n* smooth functions  $q^i(x, y)$ .  $f^0$  is not unique, but another choice of representative will induce a correction of the same order as the error term in Eq. (4.1). That f(x, y)can be brought into this form near the origin is a consequence of the Malgrange preparation theorem [54], which can be thought of as a division theorem with remainder  $f^0(y)$ . As it stands, however, the explicit form of the coefficients in Eq. (4.2) are difficult to obtain. We remedy this by resorting to a second theorem of [54].

**Theorem IV.2.** Let  $b_j(x, y), j + 1, ..., n$ , be smooth and complex-valued in a neighborhood K of  $(0, 0) \in \mathbb{R}^{n+m}$ , such that  $b_j(0, 0) = 0$  and det  $\partial_{x^i} b_j \neq 0$ . Then

$$\mathcal{I}(b_1, ..., b_n) = \mathcal{I}(x_1 - X_1(y), ..., x_n - X_n(y))$$
(4.3)

for some  $X_i \in \mathcal{C}^{\infty}$  vanishing at the origin.

Making use of the Malgrange preparation theorem (4.2) *N* times, and then applying theorem IV.2, Eq. (4.2) may be written as

$$f(x,y) = \sum_{|\alpha| < N} f^{\alpha}(y) (x - X(y))^{\alpha} \mod \mathcal{I}^{N}, \quad (4.4)$$

for some smooth  $f^{\alpha}(y)$  near the origin and  $\mathcal{I}^{N} = \{\sum_{|\alpha|=N} s^{\alpha}(x - X(y))^{\alpha} | s^{\alpha} \in \mathcal{C}^{\infty}(K)\}$ . Note that  $\alpha = (\alpha_{1}, ..., \alpha_{n})$  stands for a multi-index set, and  $|\alpha| = \sum_{j} \alpha_{j}$ . Note furthermore that the  $|\alpha| = 1$  term is an element of  $\mathcal{I}^{N}$ , and thus it can be omitted from Eq. (4.4). This *x*-polynomial representation of f(x, y) will be useful in what follows, as it allows a direct comparison with the function's Taylor series.

## B. Asymptotics of SU(2) gluing constraints

The SU(2) case is the simplest among the ones we will study, so we shall start by deriving its asymptotic behavior first. As a function of the boundary data for a certain choice of spins, the gluing constraint of Eq. (3.6) may be brought into the form,

$$G_{j_i}(h_i, k_i) = \int_{\mathrm{SU}(2)} \mathrm{d}\mu(g) \\ \times \exp\left\{\sum_{i=1}^4 \ln\langle J \triangleright h_i, j_i | D^{j_i}(g) | k_i, j_i \rangle\right\}, \quad (4.5)$$

where  $|k, j\rangle$  stands for a coherent state  $D^{j}(k)|j, j\rangle$  in the Hilbert space  $\mathcal{H}^{j}$  associated to the unitary and irreducible SU(2) representation of spin *j*.

## 1. Malgrange expansion

In order to identify the residue in Eq. (4.5), we first approximate the exponent (which we will refer to as the action) by a second order Taylor series using coordinates  $g^I$ , I = 1, 2, 3,

$$S(g, y) = S(g_c, y) + \partial_I S(g_c, y)(g - g_c)^I + \frac{1}{2} \partial_{IJ}^2 S(g_c, y)(g - g_c)^I (g - g_c)^J + \mathcal{O}(g^3), \quad (4.6)$$

where we let y stand collectively for the boundary data and  $g_c$  is a critical point of S(g, y) at some particular configuration  $y_c$  of the boundary, i.e.,  $\partial_I S(g_c, y_c) = 0$ . The N = 3 Malgrange expansion (4.4) of the same function reads

$$S(g, y) = S^{0}(y) + S^{2}_{IJ}(y)(g - X(y))^{I}(g - X(y))^{J} \mod \mathcal{I}^{3},$$
(4.7)

and, after matching monomials in g and disregarding elements in  $\mathcal{I}^3$ , one finds

$$\begin{cases} S_{IJ}^{2}(y) = \frac{1}{2}H_{IJ}, \\ S_{IJ}^{2}(y)X^{I}(y) = -\frac{1}{2}(\partial_{J}S(g_{c}, y) - H_{IJ}g_{c}^{I}), \\ S^{0}(y) = S(g_{c}, y) - \frac{1}{2}\partial^{I}S(g_{c}, y)\partial^{J}S(g_{c}, y)H_{IJ}^{-1}, \end{cases}$$
(4.8)

where  $H_{IJ} = \partial_{IJ}^2 S(g_c, y)$  stands for the Hessian matrix. These equations uniquely specify a representative  $S^0$  in the residue class  $[S]_{\mathcal{T}}$ .

#### 2. Haar measure and coordinates in SU(2)

Performing the Haar integral in Eq. (4.5), as well as computing the derivatives appearing in (4.8), requires one to choose coordinates  $\phi_i: U_i \in G \to \mathbb{R}^3$  on the group manifold G = SU(2). However, since we are interested in making use of theorem IV.1 rather than analytically evaluating the integral, we can substantially simplify the discussion by implicitly picking useful coordinates and explicitly specifying only the values of the derivatives in those coordinates.

With a slight abuse of notation (we omit the dependence on  $\phi_i$ ), let dg be the exterior derivative of the preimage of a chart,

$$\mathrm{d}g\colon \mathbb{R}^3 \to T_q G. \tag{4.9}$$

The differential and right-multiplication  $R_g$  for matrix groups satisfies  $dR_g^{-1}X = Xg^{-1}$  for  $X \in TG, g \in G$ , and hence the map  $dgg^{-1}$  must take values in the Lie algebra  $\mathfrak{g} \simeq T_e G$ . One may think of this object as a 1-form<sup>8</sup> in  $\mathbb{R}^3$ with values in  $\mathfrak{g}$ , such that it admits an expansion in terms of  $\sigma_I$  (Pauli matrices) generators

$$\mathrm{d}gg^{-1} = \frac{i}{2}\sigma_J\Omega^J, \qquad \Omega^J \in T^*\mathbb{R}^3 \simeq \mathbb{R}^3. \tag{4.10}$$

Generally, then one sees that coordinate derivatives of  $g \in G$  may always be written as  $\partial_I g = \frac{i}{2} \Omega^J_I \sigma_J g$ , for  $\Omega^J_I$  a matrix of coefficients dependant on the choice of charts  $\phi_i$ . A particular simple choice of coordinates is that in which the matrix of coefficients reduces to the identity,

$$\partial_I g = \frac{i}{2} \sigma_I g, \qquad (4.11)$$

and this is the choice we make for coordinates  $g^I$  on SU(2) throughout our analysis.

Besides derivative terms, the only object in theorem IV.1 which depends on the choice of charts is the Haar measure. But this too can be identified without explicitly defining the map  $g = g(g^I)$ . Indeed, note that the 1-form of Eq. (4.10) is right-invariant. We may thus construct a measure on G by taking the trace of its third exterior power, which is biinvariant by virtue of the cyclicity property of the trace operator,

$$d\mu(g) = N tr[(dgg^{-1})^{\wedge 3}] = N \frac{3}{2} \Omega^1 \wedge \Omega^2 \wedge \Omega^3. \quad (4.12)$$

Given that for compact groups the normalized bi-invariant Haar measure is unique, one can determine *N* by computing (4.12) in both the  $g^I$  coordinates and some other standard coordinates for which the measure is known, e.g., Euler angle coordinates [52]. Doing so fixes  $N = \frac{2}{3}(4\pi)^{-2}$ , and the normalized measure for SU(2) in adapted coordinates reads

$$\mathrm{d}\mu(g) = (4\pi)^{-2}\mathrm{d}g^1 \wedge \mathrm{d}g^2 \wedge \mathrm{d}g^3. \tag{4.13}$$

#### 3. Asymptotic formula

We may now proceed with the derivation of the asymptotic expansion. Note that, under the usual Clebsch-Gordan isomorphism, one has the identification  $|j, j\rangle = |\frac{1}{2}, \frac{1}{2}\rangle^{2j}$ . The action of Eq. (4.5) may be rewritten as

$$S(g, y) = \sum_{i=1}^{4} 2j_i \ln \langle Jh_i | g | k_i \rangle, \qquad (4.14)$$

where  $|k\rangle = k |\frac{1}{2}, \frac{1}{2}\rangle$  and  $|Jh\rangle = h |\frac{1}{2}, -\frac{1}{2}\rangle$ . A critical point is characterized by a vanishing  $\partial_I S$  derivative, which reads

$$\partial_I S(g, y) = i \sum_{i=1}^{4} j_i \frac{\langle J h_i | \sigma_I g | k_i \rangle}{\langle J h_i | g | k_i \rangle}$$
  
=  $i \sum_{i=1}^{4} j_i \frac{[\pi(g) \vec{k}_i - \vec{h}_i - i\pi(g) \vec{k}_i \times \vec{h}_i]}{1 - \pi(g) \vec{k}_i \cdot \vec{h}_i}^{(I)}$ , (4.15)

where we repeatedly used the spin homomorphism restricted to  $SU(2) \rightarrow SO(3)$ ,

$$\pi: \operatorname{SL}(2, \mathbb{C}) \to \operatorname{SO}^{+}(3, 1)$$
$$g \mapsto \pi(g) \operatorname{s.t.} g\sigma_{\mu} g^{\dagger} = \pi(g)^{\nu}{}_{\mu} \sigma_{\nu}, \qquad (4.16)$$

and all vectors  $\vec{k}_i, \vec{h}_i$  are defined as  $\vec{k} = \pi(k)\hat{e}_3$ . On the other hand, the real part of the action satisfies

$$\operatorname{Max}\Re S \le \sum_{i=1}^{4} 2j_i \ln \operatorname{Max} |\langle Jh_i | g | k_i \rangle| = \sum_{i=1}^{4} 2j_i \ln 1, \quad (4.17)$$

so that  $\Re S \leq 0$  (as required by theorem (4.1) and  $\Re S = 0$  is attained at

$$g|k_i\rangle = e^{i\phi_i}|Jh_i\rangle, \qquad \phi_i \in [0, 2\pi).$$
 (4.18)

Under this condition, Eq. (4.15) reduces to the expected closure relation for both sets  $\{h_i\}, \{k_i\}$  of boundary data. By making use of the complex structure map J, we may characterize g through the eigensystem

$$\begin{cases} g|k_i\rangle = e^{i\phi_i}|Jh_i\rangle\\ g|Jk_i\rangle = -e^{-i\phi_i}|h_i\rangle \end{cases} \Rightarrow g = e^{-i\phi_i\vec{\sigma}\cdot\vec{h}_i}h_i(-i\sigma_2)k_i^{\dagger}, \quad \forall i, \end{cases}$$

$$(4.19)$$

and, using the spin homomorphism map, one can show

$$\pi(g)\vec{k}_i = -\vec{h}_i,\tag{4.20}$$

i.e., there are critical points if the boundary vectors can be rotated into each other.

Suppose now that Eq. (4.19) admits at least one solution, labeled by  $\{\hat{g}, \hat{\phi}_i\}$ . Then it must be the case that, for any other solution  $\{g, \phi_i\}$ ,

$$e^{-i(\phi_i - \hat{\phi}_i)\vec{\sigma} \cdot \vec{h}_i} = e^{-i(\phi_j - \hat{\phi}_j)\vec{\sigma} \cdot \vec{h}_j}, \qquad i \neq j.$$
(4.21)

Making the simplifying assumption that the boundary vectors are not all collinear, the previous set of equations

<sup>&</sup>lt;sup>8</sup>This amounts to a coordinate representation of the usual Maurer-Cartan 1-form.

implies  $\phi_i = \hat{\phi}_i \lor \phi_i = \hat{\phi}_i + \pi \mod 2\pi$ . For noncollinear boundary data, then, the two critical point configurations are given by

$$g_c = \pm e^{-i\hat{\phi}_i \vec{\sigma} \cdot \vec{h}_i} h_i (-i\sigma_2) k_i^{\dagger}, \qquad (4.22)$$

and this holds for any i = 1, ..., 4.

Although the critical configurations  $g_c$  were determined for boundary data  $h_i$ ,  $k_i$ , the gluing constraints are only defined up to a global SU(2) gauge afforded by the biinvariance of the Haar measure. The element  $g_c$  in Eq. (4.22) is thus actually gauge-dependent, and one is free to make the simplifying choice  $g_c = \pm 1$  by appropriately rotating the boundary. A straightforward computation then fixes the coefficients of the Malgrange expansion for noncollinear arbitrary data as follows:

$$\begin{cases}
H_{IJ}(y) = -\frac{1}{2}j_i(\delta_{IJ} - V_I^i(y)V_J^i(y)), \\
\partial_I S(y) = ij_iV_I^i(y), \\
S^0(y) = S(1, y) + \frac{1}{2}j_kj_lV_I^k(y)(H^{-1})^{IJ}(y)V_J^l(y),
\end{cases}$$
(4.23)

having further defined

$$\vec{V}_{i}(y) = \frac{\vec{k}_{i} - \vec{h}_{i} - i\vec{k}_{i} \times \vec{h}_{i}}{1 - \vec{k}_{i} \cdot \vec{h}_{i}}.$$
(4.24)

Finally, we remark that, since we considered a series expansion of S(g, y) to second order in Eq. (4.6), the argument of the square root in theorem (4.1) approximates to  $(\det H)^0(y) = (\det H)(1, y)$ . The resulting asymptotic expansion thus reads

$$G_{j_i}(h_i, k_i) \simeq \frac{(1 + (-1)^2 \sum_{i}^{j_i}) \prod_i \langle Jh_i | k_i \rangle^{2j_i}}{\sqrt{32\pi} \sqrt{-\det H}} \exp\left\{\frac{1}{2} j_k j_l V_l^k (H^{-1})^{IJ} V_J^l\right\},\tag{4.25}$$

where the prefactor  $(-32\pi)^{-1/2} = (4\pi)^{-2} \cdot (-2\pi)^{3/2}$  is obtained from the normalization of the Haar measure and from the numerical factor of theorem (4.1), respectively.

### C. Asymtpotics of Lorentzian EPRL gluing constraints

For the Lorentzian EPRL model, recall the gluing constraints from Eq. (3.24),

$$G_{j_i}(\overline{h}_i, \overline{k}_i) = \int d\mu(g) \prod_{i=1}^4 \int_{\mathbb{C}P} \omega'(z_i) \frac{\langle gz_i, gz_i \rangle^{j_i(i\gamma-1)-1}}{\langle z_i, z_i \rangle^{j_i(i\gamma+1)+1}} \langle gz_i, k_i \rangle^{2j_i} \langle Jh_i, z_i \rangle^{2j_i}.$$
(4.26)

Having defined our object of interest, the arguments laid down in Sec. IV B apply with little modification. We start by rewriting the constraints in a form adapted to asymptotic analysis,

$$S(g, z, y) = \sum_{i=1}^{4} 2j_i \left( \ln \langle gz_i, k_i \rangle \langle Jh_i, z_i \rangle + \ln \frac{\langle gz_i, gz_i \rangle^{\frac{i\gamma-1}{2}}}{\langle z_i, z_i \rangle^{\frac{i\gamma+1}{2}}} \right),$$

$$(4.27)$$

$$u(g,z) = \prod_{i=1}^{4} \frac{\mathrm{d}\mu(g)\omega'(z_i)}{\langle z_i, z_i \rangle \langle g z_i, g z_i \rangle}, \qquad (4.28)$$

$$G_{j_i}(h_i, k_i) = \int_{SL(2,\mathbb{C})} \int_{\mathbb{C}P} u(g, z) e^{S(g, z, y)}, \qquad (4.29)$$

with a slight abuse of notation in the definition of u(g, z); we still refer by y to the collection of external parameters  $h_i$ ,  $k_i$ . Once more we see from (4.27) that  $\Re S \leq 0$ , and the maximum is attained at

$$|gz_i\rangle = \lambda_i |k_i\rangle, \qquad |z_i\rangle = \chi_i |Jh_i\rangle, \qquad \lambda_i, \chi_i \in \mathbb{C}, \quad (4.30)$$

moreover implying

$$|Jh_i\rangle = \frac{\lambda_i}{\chi_i} g^{-1} |k_i\rangle. \tag{4.31}$$

We may straightforwardly characterize the critical points of S(g, z, y) through the first derivatives in the spinor and group variables; as before, we pick local coordinates  $g^I, I = 1, ..., 6$  for the special linear group such that  $\partial_I g = \frac{i}{2} \Sigma_I g$ , having denoted the generators of the algebra by  $\Sigma = (\vec{\sigma}, i\vec{\sigma})$ . One then finds

$$\partial_I S = \sum_{i=1}^4 i j_i \left[ \frac{i\gamma - 1}{2} \frac{\langle g z_i, (\Sigma_I - \Sigma_I^{\dagger}) g z_i \rangle}{\langle g z_i, g z_i \rangle} - \frac{\langle g z_i, \Sigma_I^{\dagger} k_i \rangle}{\langle g z_i, k_i \rangle} \right],$$
(4.32)

$$\partial_{z_i^a} S = 2j_i \left[ \frac{\langle Jh_i, a \rangle}{\langle Jh_i, z_i \rangle} + \frac{i\gamma - 1}{2} \frac{\langle gz_i, ga \rangle}{\langle gz_i, gz_i \rangle} - \frac{i\gamma + 1}{2} \frac{\langle z_i, a \rangle}{\langle z_i, z_i \rangle} \right],$$
(4.33)

for  $z_i^a$  the *a*th component  $\langle a, z_i \rangle$ . We remark that, due to the conjugation property of Wirtinger derivatives, if  $\Re S = 0$  then  $\partial_{z_i^a} S = 0 \Leftrightarrow \partial_{\bar{z}_i^a} S = 0$ . Under Eq. (4.30), a vanishing gradient  $\partial S = 0$  reduces to

$$\sum_{i=1}^{4} j_i \vec{k}_i = 0, \qquad |Jh_i\rangle = \frac{\bar{\chi}_i}{\bar{\lambda}_i} g^{\dagger} |k_i\rangle, \qquad (4.34)$$

and, as expected, one identifies a closure condition in the first equation above.

### 1. Rotation of the boundary data

Equations (4.31) and (4.34) admit a similar treatment as that of the previous section. A general element  $g \in$ SL(2,  $\mathbb{C}$ ) can be polar-decomposed in terms of a pure boost  $b = e^{\vec{p}\cdot\vec{\sigma}}$  and a unitary  $a \in$  SU(2) as g = ba. One may then combine those equations into the eigenvalue condition

$$b|k_i\rangle = \left|\frac{\lambda_i}{\chi_i}\right||k_i\rangle,$$
 (4.35)

from where it follows

$$\begin{cases} b|k_i\rangle = \left|\frac{\lambda_i}{\chi_i}\right||k_i\rangle\\ b|Jk_i\rangle = \left|\frac{\chi_i}{\lambda_i}\right||Jk_i\rangle \end{cases} \Rightarrow b = e^{\ln\left|\frac{\lambda_i}{\chi_i}\right|\vec{k}_i \cdot \vec{\sigma}}, \quad \forall i. \quad (4.36)$$

If one assumes that the boundary data corresponds to noncollinear vectors, then, through (4.36), Eqs. (4.31) and (4.34) imply

$$g|Jh_i\rangle = \frac{\lambda_i}{\chi_i}|k_i\rangle \quad g \in \mathrm{SU}(2), \qquad \left|\frac{\lambda_i}{\chi_i}\right| = 1, \quad (4.37)$$

a result entirely analogous to the critical point condition (4.18) of the SU(2) model, and thus the discussion there can be immediately carried over. Given that SU(2) is a subgroup of SL(2,  $\mathbb{C}$ ), and the Haar measure induces an SL(2,  $\mathbb{C}$ ) symmetry at the level of the boundary data, we may again pick a convenient gauge such that  $g_c = \pm 1$ .

#### 2. Haar measure normalization

The Haar measure for locally compact groups is only unique up to a multiplicative constant. While this constant is entirely conventional, it is still useful to derive an explicit expression for the Haar measure in adapted coordinates for a given choice of convention. Noting that  $\mathfrak{SI}(2, \mathbb{C})$  is a complex Lie algebra, one may generally write locally

$$\mathrm{d}gg^{-1} = \frac{i}{2}\Omega_I{}^J\sigma_J\mathrm{d}x^I, \qquad \Omega_I{}^J \in \mathrm{GL}(3,\mathbb{C}), \qquad (4.38)$$

for some complex coordinate chart  $x^{I}$ . The Haar measure for SL(2,  $\mathbb{C}$ ), up to a factor *N*, thus reads

$$d\mu(g) = N \operatorname{tr}[(dgg^{-1})^{\wedge 3} \wedge (dgg^{-1})^{\dagger \wedge 3}]$$
  
=  $N \frac{2 \cdot 3!^2 \cdot 6^2}{2^6} |\det \Omega|^2 dx^1 \wedge d\bar{x}^1 \wedge \ldots \wedge dx^3 \wedge d\bar{x}^3.$   
(4.39)

An often-used choice of measure in the spin-foam literature [6,7] is the one of Rühl, which can be e.g., formulated in the parametrization (Appendix of [52])

$$g = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}, \qquad d\mu(g)_R = \frac{(2\pi)^{-4}}{|a_{22}|^2} \left(\frac{i}{2}\right)^3 \\ \times da_{12} \wedge d\bar{a}_{12} \wedge da_{21} \wedge d\bar{a}_{21} \wedge da_{22} \wedge d\bar{a}_{22}. \quad (4.40)$$

The normalization factor of Eq. (4.39) can be made to agree with the convention of Rühl by direct comparison. Letting  $x^{I}$  now stand for the parametrization of Eq. (4.40) one finds  $|\det \Omega|^{2} = 2^{4}|a_{22}|^{-2}$ , and hence, requiring  $d\mu(g) = d\mu(g)_{R}$ ,

$$N_R = \frac{-i \cdot (2\pi)^{-4}}{3!^2 \cdot 6^2 \cdot 2^2}.$$
 (4.41)

Having fixed  $N_R$ , the Haar measure in the real adapted coordinates  $g^I$  mentioned above, i.e., coordinates such that  $\partial_I g = \frac{i}{2} \Sigma_I g$ , takes the simple form

$$\mathrm{d}\mu(g) = \frac{1}{(4\pi)^4} \mathrm{d}g^1 \wedge \ldots \wedge \mathrm{d}g^6. \tag{4.42}$$

## 3. Hessian matrix

As was previously mentioned, the *z*-integral of Eq. (3.24) requires a choice of section in  $\mathbb{C}^{2*}$ , which has up to now remained unspecified. To simplify calculations, we shall fix that section before taking derivatives. Since the set  $\{k_i, Jk_i\}$  spans  $\mathbb{C}^2$ , we are free to pick

$$|z_i\rangle = |k_i\rangle + \beta |Jk_i\rangle, \qquad (4.43)$$

where  $\beta \in \mathbb{C}$ ; this choice considerably simplifies the discussion. We remark that this is indeed a global section of the bundle  $\mathbb{C}^{2*} \to \mathbb{C}P$ , since—under a judicious choice of the range of  $\beta$ —it crosses every line through the origin only once. Such a choice further restricts the complex parameters to  $\lambda_i = 1$ , and Eq. (4.30) determines  $\beta_c = 0$ . We now list below all relevant derivatives to the asymptotic analysis (note that we include only the symmetric part of all second derivatives), denoting by  $S_c$  an evaluation at the critical point  $S(g_c, \beta_c, y)$ :

$$\partial_{\bar{\beta}_i} S_c = 0, \qquad \partial_{\beta_i} S_c = -2\Theta_i, \qquad \partial_I S_c^R = -i\Gamma_I, \qquad \partial_I S_c^B = -i\gamma\Gamma_I, \tag{4.44}$$

$$\partial_{\bar{\beta}_i}^2 S_c = 0, \qquad \partial_{\beta_i}^2 S_c = -2 \frac{\Theta_i^2}{j_i}, \qquad \partial_{\beta_i \bar{\beta}_i}^2 S_c = -2j_i, \qquad (4.45)$$

$$\partial_{I\beta_i}^2 S_c^R = 0, \qquad \partial_{I\beta_i}^2 S_c^B = j_i (1 - i\gamma) \kappa_{iI}, \qquad \partial_{I\bar{\beta}_i}^2 S_c^R = -i j_i \bar{\kappa}_{iI}, \qquad \partial_{I\bar{\beta}_i}^2 S_c^B = -i\gamma j_i \bar{\kappa}_{iI}, \qquad (4.46)$$

$$\partial_{IJ}^2 S_c^{RR} = -\frac{1}{2} \sum_i j_i (\delta_{IJ} - k_{iI} k_{iJ}), \qquad \partial_{IJ}^2 S_c^{BB} = \frac{2i\gamma - 1}{2} \sum_i j_i (\delta_{IJ} - k_{iI} k_{iJ}), \qquad (4.47)$$

$$\partial_{IJ}^{2} S_{c}^{RB} = \frac{i}{2} \sum_{i} j_{i} (\delta_{IJ} - k_{iI} k_{iJ}), \qquad (4.48)$$

where we defined  $\kappa_{iI} = \langle k_i, \sigma_I J k_i \rangle$  and  $\Gamma_I = \sum_i j_i k_{iI}$ ,  $\Theta_i = j_i \frac{1+\vec{h}_i \cdot \vec{k}_i}{\vec{h}_i \cdot \vec{k}_i}$  (note that  $k_{iI}$  denotes the *I*th component of  $k_i$ ). We have also split the capital indices *I* into a rotation and boost part (such that in the equations above I = 1, ..., 3). The Hessian, which is a 14 × 14 symmetric matrix, has thus the schematic structure

 $\partial_{IJ}^2 S_c^{\mathrm{BR}} = \frac{i}{2} \sum_i j_i (\delta_{IJ} - k_{iI} k_{iJ}),$ 

$$H(g_{c}, z_{c}, y) = \begin{pmatrix} H_{gg}^{RR} & H_{gg}^{RB} & H_{g\beta}^{R} & H_{g\overline{\beta}}^{R} \\ H_{gg}^{BB} & H_{g\beta}^{B} & H_{g\overline{\beta}}^{B} \\ & H_{\beta\beta} & H_{\beta\overline{\beta}} \\ & & H_{\beta\beta} & H_{\beta\overline{\beta}} \\ & & & H_{\beta\beta} & H_{\beta\overline{\beta}} \\ & & & & H_{\overline{\beta\beta}} \end{pmatrix} \} 4$$

$$(4.49)$$

## 4. Asymptotic formula

Equations (4.8) immediately generalize to the present case by including derivatives with respect to both sets of integration variables. The prefactor term u(g, z) can be shown to be constant for our choice of section at the critical points. Hence the final expression for the gluing constraints in the asymptotic regime reads

$$G_{j_i}(\bar{h}_i, \bar{k}_i) \simeq \overline{\mathcal{N}}_{j_i}^4 \frac{(1 + (-1)^2 \sum_{i} j_i) \prod_i (2j_i + 1) \langle Jh_i | k_i \rangle^{2j_i}}{32\pi \sqrt{-\det H}} \times \exp\{V_\alpha (H^{-1})^{\alpha\beta} V_\beta\},$$
(4.50)

where  $V_{\alpha}$  is a 14-component vector formally defined as

$$V = (-i\vec{\Gamma}, -i\gamma\vec{\Gamma}, -2\vec{\Theta}, \vec{0}_4), \qquad (4.51)$$

and  $\overline{\mathcal{N}}_{j_i}^4$  is to be understood as a product of the phase  $\mathcal{N}$  for every spin  $j_i$ . The coefficient  $(32\pi)^{-1} = (4\pi)^{-4} \cdot (2\pi)^{-4} \cdot (2\pi)^{-4} \cdot (2\pi)^{-4}$  is obtained from the normalization of the Haar measure, from u(g, z) and from the numerical factor of theorem (4.1), respectively. We remind the reader that  $\mathcal{N}$  is a phase, as defined in Sec. III C.

#### V. NUMERICAL ANALYSIS

The gluing constraints defined in (3.3) are of a simple form compared to the actual vertex amplitude (2.6), and in the previous section we have derived their semiclassical approximation for general boundary data. Below we provide a numerical study of the gluing constraints and their properties, arguing they are well captured by their asymptotic formulas. We consider only the SU(2) BF and Lorentzian EPRL constraints, given in (3.6) and (3.24) respectively. Note that the Lorentzian EPRL gluing constraint depends additionally on the Immirzi parameter  $\gamma$ .

Our choice of parametrization for SU(2) is as follows:

$$g \equiv g(\phi, \theta, \psi) = e^{-i\phi\frac{\sigma^3}{2}}e^{-i\theta\frac{\sigma^2}{2}}e^{-i\psi\frac{\sigma^3}{2}}, \qquad -\pi \le \phi \le \pi, -\frac{\pi}{2} \le \theta \le \frac{\pi}{2}, \qquad -2\pi \le \psi \le 2\pi,$$
(5.1)

Haar measure with a corresponding  $d\mu(q) =$  $(4\pi)^{-2}\sin\theta d\phi d\theta d\psi$ . A coherent state  $|j,k\rangle$  can be parametrized by a spin j and two Euler angles, such that  $k \equiv k(\phi, \theta) := k(\phi, \theta, -\phi)$ . The group element k maps to a unit vector  $\vec{k} \in S^2$  directly through the Euler angles, and generally via the inner product  $\vec{k} = \langle k | \vec{\sigma} | k \rangle$ , as in Sec. IV. A coherent intertwiner of a four-valent node can be described by the spins and angles associated to each leg. Coherent intertwiners associated to a classical tetrahedron satisfy the closure constraint  $C \coloneqq \sum_{i=1}^{4} j_{i} \vec{k}_{i} = 0$ , and hence not all the parameters are independent. We refer the reader to the appendix for the choice of parametrization of coherent intertwiners used here. We term coherent intertwiners satisfying the closure condition *closing intertwiners*, and otherwise we call them nonclosing.

We consider as a first example a closing coherent intertwiner with all spins equal, i.e.,  $j_i = \lambda, i = 1, ..., 4$ . Besides four spins, it is characterized by two dihedral

angles  $\{\Phi_1, \Phi_2\}$  which parametrize the shape of the associated tetrahedron.<sup>9</sup> The choice  $\Phi_1 = \Phi_2 = \arccos(-\frac{1}{3})$ yields an equilateral tetrahedron. The unit-norm vectors orthogonal to the faces of such a tetrahedron can be determined from the spins and angles (see the Appendix) up to a global rotation. Here we pick them to be

$$\vec{k}_1 = (0, 0, 1), \qquad \vec{k}_2 = \left(0, 2\frac{\sqrt{2}}{3}, -\frac{1}{3}\right),$$
$$\vec{k}_3 = \left(\sqrt{\frac{2}{3}}, -\frac{\sqrt{2}}{3}, -\frac{1}{3}\right), \qquad \vec{k}_4 = \left(-\sqrt{\frac{2}{3}}, -\frac{\sqrt{2}}{3}, -\frac{1}{3}\right).$$
(5.2)

A second interesting case is the one of a closing intertwiner corresponding to a nonregular tetrahedron with equal areas, which can be obtained from the angles  $\Phi_1 = \arccos(-\frac{1}{3}), \Phi_2 = \frac{\pi}{2}$ . Again, the corresponding normal vectors can be computed using the formula (A4) in the Appendix. The third and last example is that of a nonclosing intertwiner with equal spins, obtained from the first example by changing one of the normal vectors. We rotate the polar angle  $\theta_1$  of the first normal vector in (5.2) by an angle  $\delta\theta_1$ . The new orthogonal vectors are thus

$$\vec{k}'_1 = (\sin(\delta\theta_1), 0, \cos(\delta\theta_1))$$
  $\vec{k}'_i = \vec{k}_i, \quad i = 2, 3, 4, \quad (5.3)$ 

for which the closure constraint evaluates to

$$C = j_1(\vec{k}_1' - \vec{k}_1) = j_1(\sin(\delta\theta_1), 0, \cos(\delta\theta_1) - 1). \quad (5.4)$$

These three examples of boundary data, which we will use in the subsequent numerical analysis, are summarized in Table IV. In the next subsection, we shall study the gluing constraint between different pairings of these data.

### A. SU(2) gluing constraints

Both the integral form (3.7) and the summation form (3.10) of the constraints can be used efficiently for numerical analysis. We chose to use the integral representation,

$$G_{\tau}^{\mathrm{SU}(2)} = \int_{\mathrm{SU}(2)} \mathrm{d}\mu(g) \prod_{i=1}^{4} \langle h_i | g | k_i \rangle^{2\lambda}, \qquad (5.5)$$

repeated here for the reader's convenience. While the computational time for the above integration is independent of the spins  $\lambda$ , the integrand in the case of nonmatching configurations with very large spins ( $\lambda \sim 150$ ) becomes

exceptionally small, such that the numerical integration turns less precise.

## 1. Scaling behavior

Figure 7 shows the absolute value of the gluing constraint as a function of spins, which generally decreases for higher spins  $\lambda$ . The log-scaled plot suggests a power-law decay in  $\lambda$  for matching data, while nonmatching and nonclosing data both appear to exponentially decay. As mentioned previously, when two boundary intertwiners coincide the gluing constraint amounts to the norm of the intertwiner. It has been shown in [40] that the norm is exponentially suppressed unless the closure condition is satisfied. Here we find such a suppression also for the overlap between a closing intertwiner  $\kappa_A$  and a nonclosing intertwiner  $\kappa_C$ . Moreover, if the two coherent intertwiners are both closing but not equal (not shape-matching), as for the pair  $\kappa_A$ ,  $\kappa_B$ , the overlap is also suppressed. The suppression is slower compared to the example with a nonclosing configuration.

According to the analysis of Sec. IV B, the gluing constraints are supposed to have a power-law scaling  $\sim \lambda^{-3/2}$  for noncollinear coherent states.<sup>10</sup> Accounting for this as in Fig. 8, one again sees an exponential suppression for nonclosing configurations and a slight suppression for nonshape matching configurations. We moreover find the asymptotic formula (4.25) matches the actual gluing constraint extremely well even for small spins.

#### 2. Closing but nonmatching configurations

Now consider the closing intertwiners  $\kappa_A$  and  $\kappa'_B$  as boundary states, where  $\kappa_A$  is given in Table IV and  $\kappa'_B$  is parametrized by  $\kappa'_B(\Phi_2) = \{j_i = \lambda, \arccos(-\frac{1}{3}), \Phi_2\}$ . By varying the angle  $\Phi_2$  we can probe the behavior of the constraints as a function of  $\Phi_2$  for fixed spin  $\lambda$ . Since the boundary data satisfy closure, the gluing constraints probe how the shapes of two boundary tetrahedra fit together, i.e., how well they are shape-matched. We refer to  $\Phi_2$  as a shape-matching parameter.

In Fig. 9 we plot the constraints as functions of the shapematching parameter. Notice that the constraints have broader tails than a Gaussian would, and their peaks are displaced from the center, being located at  $\Phi_2 = \arccos(-\frac{1}{3})$ . It is precisely at this value of the shape-matching parameter that the boundary data satisfies the critical point equations of the usual asymptotic analysis found in the literature, corresponding to closed and shape-matched configurations. The righthand side of Fig. 9 indicates that the refined asymptotic formula of Eq. (4.25) strongly reproduces the actual constraints even away from the critical point.

<sup>&</sup>lt;sup>9</sup>The range of values of  $\Phi_1$ ,  $\Phi_2$  are restricted by simplex inequalities or generalized triangle inequalities.

<sup>&</sup>lt;sup>10</sup>We also checked that for collinear data the gluing constraints scale as  $\sim \lambda^{-1}$ .

TABLE IV. Examples of coherent intertwiners parametrized by spins and angles with all spins equal  $(j_i = \lambda)$ .

Intertwiner	Parameters	Feature
$\kappa_A$	$j_i = \lambda, \Phi_1 = \Phi_2 = \arccos(-\frac{1}{3})$	Closing
$\kappa_B$	$j_i = \lambda, \Phi_1 = \arccos(-\frac{1}{3}), \Phi_2 = \frac{\pi}{2}$	Closing
κ <sub>C</sub>	$j_i = \lambda, \vec{k}'_1 = (\sin 1, 0, \cos 1), \vec{k}'_i = \vec{k}_i, i = 2, 3, 4.$	Nonclosing

## 3. Nonclosing and nonmatching configurations

Finally, we consider the constraints for nonclosing configurations as a function of angles. To this end we make use of the intertwiner  $\kappa_C$  described in Table IV, but allowing general variations  $\delta\theta_1$ , which we take to constitute a nonclosing parameter for  $\kappa'_C(\delta\theta_1)$ . Figure 10 contains a plot of the overlap between the closing intertwiner  $\kappa_A$  and the general one  $\kappa'_C$  as a function of the nonclosing parameter. The gluing constraints are Gaussian-shaped

and their peak is located at  $\delta\theta_1 = 0$ , corresponding to a closing and shape-matched configuration  $\kappa_A = \kappa'_C(0)$ . Again, the asymptotic formula is in very good agreement with the numerical results on and away from the critical point.

# **B.** $SL(2,\mathbb{C})$ gluing constraints

We turn now to a study of the  $SL(2, \mathbb{C})$  gluing constraints of Eq. (3.24) and its asymptotic formula (4.50). The



FIG. 7. A plot of the SU(2) gluing constraint as a function of spins. The left panel shows the absolute value |G| for different pairings of boundary coherent intertwiners in Table IV. The right panel is the same plot with a log scale on the y-axis.



FIG. 8. A plot of SU(2) gluing constraints and their asymptotic formulas rescaled by  $\lambda^{3/2}$  as function of half-integer spins. The left panel shows the absolute values of the gluing constraint for different boundary coherent data. The right panel shows the real and imaginary parts of the gluing constraint. The asymptotic data are plotted with empty circular points. The asymptotic formula closely approximates the gluing constraint already for spins  $\lambda \sim 10$ .



FIG. 9. SU(2) gluing constraints for closing boundary data as a function of the shape-matching parameter at fixed spins. The plots show skewed Gaussian-like behaviors for large spins. The peaks correspond to shape-matching configurations at  $\Phi_2 = \arccos(-\frac{1}{3})$ . The right panel shows the asymptotic formula approximates almost exactly the amplitude.



FIG. 10. SU(2) gluing constraints with boundary data  $\kappa_A$ ,  $\kappa'_C$  plotted as functions of angles (nonclosing parameter) and for fixed spins. The right panel shows the Gaussian behavior for both the actual amplitude and its asymptotic formula very well matched, peaked at  $\delta\theta_1 = 0$ .

constraints themselves are defined via fourteen real improper integrations, coming from a six-dimensional integration over  $SL(2, \mathbb{C})$  and two-dimensional real integrations over  $\mathbb{C}P^1$  for each of the four nodes. Since these integrals are known to be difficult to evaluate numerically, we chose to make use of sl2cfoam-next<sup>11</sup> developed in [7] for the Lorentzian EPRL model. To do so, we formulated the constraints in terms of SU(2) coherent intertwiners and boosted intertwiners, according to the proposal in [50]. Diagrammatically, this is done through the set of equalities

$$h_i \bigoplus k_i = h_i \bigoplus k_i = \sum_{i, i'} h_i \bigoplus^{i'} \bigoplus^{i'} k_i, \qquad (5.6)$$

where the gray boxes represent SU(2) integrations and the dotted box stands for a boost integration. Both the coherent intertwiners and the boosted intertwiner of the rightmost

term can be natively computed in sl2cfoam-next. In order to compare between the previous SU(2) case and the current one, our numerical analysis uses the same boundary

<sup>&</sup>lt;sup>11</sup>The *sl2cfoam-next* package uses a convention that fixes the phase so that it gives only real amplitudes. We therefore use it to compute only the absolute value of the gluing constraints.



FIG. 11. The left panel contains a plot of SL(2,  $\mathbb{C}$ ) gluing constraints for the data of Table IV scaled with  $\lambda'$ , for fixed  $\gamma = 0.123$ . It shows a power-law scaling for matching configurations and an exponential suppression otherwise. The right panel is a log-plot of the gluing constraints  $\lambda'|G(\kappa_A, \kappa_C)|$  and its asymptotic formula for different values of  $\delta\theta_1$ . The exponential suppression in the asymptotic formula is faster than the actual amplitude for sufficiently large deviations from the critical point.

data as before. We moreover fix the Immirzi parameter to be  $\gamma = 0.123$ . As we will show, the qualitative behavior of the constraints for both models is approximately the same.

### 1. Scaling behavior

As per Sec. IV C, the gluing constraints are expected to scale with  $\lambda^{-3}$  at critical points, due to the fourteendimensional integration (contributing a  $\lambda^{-7}$  scaling) and to the  $(2\lambda + 1)^4$  normalization factor in the inner products of coherent states (3.22). Below, when plotting scaled gluing constraints, we will take the scale to be  $\lambda' = \lambda^{-7} \times (2\lambda + 1)^4$ . The left panel of Fig. 11 shows a power-law decay and exponential suppression for matching and nonmatching boundary data, respectively. We find the qualitative behavior of both the constraints and the asymptotic formula to be very similar to the SU(2) case, although the convergence of both values seems to happen for much larger spins. The right panel in Fig. 11 is a log-plot of the gluing constraints for data of the type  $\kappa_A$ ,  $\kappa'_C$ , i.e., for data which is displaced from criticality by some fixed amount  $\delta\theta_1$ . We observe a curious phenomenon where the asymptotic formula seems to slightly overestimate the suppression of the constraint, at a rate which is increasingly higher for larger deviations. We noticed these discrepancies become worse with larger values of the Immirzi parameter  $\gamma$ . After carefully checking our code, we believe that the source of this discrepancy is rooted in a numerical instability: the inverse of the Hessian matrix, which enters in the exponential function, depends substantially on very small changes of the matrix coefficients. We are therefore forced to take our results away from the critical points with a grain of salt, until a better understanding of these instabilities is achieved.

### 2. Closing but nonmatching configurations

Similarly to the SU(2) BF case, and according to the left panel of Fig. 12, the gluing constraints as functions of the



FIG. 12. Gluing constraints as functions of dihedral angles  $\Phi_2$  show a skewed Gaussian-like behavior. The peak is at matching configurations with  $\Phi_2 = \arccos(-\frac{1}{3})$ . The asymptotic formula matches the constraints well away from the critical point.



FIG. 13. Gluing constraints as functions of the nonclosing parameter  $\delta\theta_1$ . They are Gaussian with a larger standard deviation for large  $\lambda$ , and they are peaked at the critical  $\delta\theta_1 = 0$ . The asymptotic formula is well matched away from the peak.

shape-matching parameter  $\Phi_2$  are Gaussian-like but with broader tails. One finds moreover through the right panel that the asymptotic approximation reproduces the overall behavior of the constraints, although, as previously mentioned, the convergence between both is worse at spin  $\lambda = 30$  compared to the BF model. Figure 13 reinforces the qualitative similarity of the SU(2) and SL(2,  $\mathbb{C}$ ) gluing constraints.

## 3. Nonclosing and nonmatching configurations

For our last example of nonclosing and nonmatching configurations, we once more recover the general properties of SU(2) BF gluing constraints. The asymptotic formula still matches the full amplitude reasonably well, and the highest absolute disparity is found at the peak. We have generally found this to be the case, and for the absolute error between the constraints and their asymptotic approximation to be smaller away from the critical point.

### **VI. DISCUSSION**

In this article we have presented a new representation of the spin-foam partition function in terms of coherent states. By using resolutions of the identity we equipped each edge of the spin-foam with two sets of coherent data, one for each vertex. Each vertex thus carries its own independent set of data, and vertices are glued to their neighbors via gluing constraints. These constraints enforce shape matching weakly and are named after the gluing constraints defined in effective spin-foams [8]. In this way, for large enough representations, one can apply the asymptotic formula of the vertex amplitude directly at each vertex, each of which is dominated only by its own critical points. From this we have conjectured a new quasigeometric regime of spin-foams, which describes a superposition of semiclassical vertices glued in a nonmatching way. Our future goal is to characterize this regime and use it to augment existing numerical algorithms by building a "hybrid algorithm," which switches

to less costly semiclassical methods as soon as these provide a good approximation.

For now we focused mainly on the properties of gaugeinvariant gluing constraints, which we defined for SU(2)BF theory and the Lorentzian EPRL model. We computed these constraints numerically and analytically for different sets of boundary data, e.g., corresponding to nonclosing tetrahedra and tetrahedra of different shapes. From both we found that they are (almost) Gaussian peaked on shape matching and closure of the coherent data on both ends, the critical points of the gluing constraints. Crucially, we employed Hörmander's theorem to calculate the gluing constraints beyond those critical points, confirming their Gaussian shapes and peak locations. Indeed, the asymptotic formula provides an excellent approximation for the SU(2)gluing constraints around spin  $i \sim 10$ , whereas the EPRL one is well approximated beyond spins  $i \sim 50$ . The fact that we can analytically compute the gluing constraints (far) away from their critical points is an encouraging sign for future numerical simulations, and it might be possible to use this to significantly speed up calculations. Furthermore, our analysis away from critical points may perhaps in the future be applied to more complicated objects such as the coherent vertex amplitude itself.

At this stage we would like to comment on the different types of gluing constraints derived in this article, i.e., the SU(2) type and the Lorentzian EPRL type, and on which role they might play in the Lorentzian EPRL model. From our perspective, both types can in principle be applied to split vertices in the model. Indeed, the SU(2) gluing constraints are in agreement with the EPRL construction, since the particular states entering the vertex amplitude all stem from SU(2) representations embedded into SL(2,  $\mathbb{C}$ ) ones. Vertex amplitudes in the Lorentzian EPRL model can be split at the level of SU(2) states, e.g., SU(2) intertwiners in the spin network basis [19], such that one could straightforwardly apply the SU(2) gluing constraints, which are independent of the Immirzi parameter  $\gamma$ . On the other hand, one can argue for the  $SL(2, \mathbb{C})$  gluing constraints as we defined them. Given that  $SL(2, \mathbb{C})$  is the underlying symmetry group of the theory, the  $SL(2, \mathbb{C})$  constraints would directly project onto the relevant gauge-invariant subspace. They moreover have the added benefit of structurally resembling the actual vertex amplitude, such that they can be used as a more tractable toy model. Still, opting for SU(2) gluing constraints might be numerically more efficient, and it might correctly capture the relevant physics.

There exists another reason that drove our study of the Lorentzian EPRL gluing constraints. In effective spin-foam models [8,34], the postulated gluing constraints are motivated from commutation relations of 3d dihedral angles in tetrahedra, and they are related to the weak imposition of simplicity constraints in spin-foams. This imposition should be connected to the Immirzi parameter  $\gamma$ . One of our goals was therefore to define gluing constraints for the EPRL model with an explicit  $\gamma$ -dependence, and to compare them to this previous proposal. To this end we have studied the constraints for boundary data corresponding to geometric tetrahedra that differ in their two angles that parametrize them. As mentioned above, we find the EPRL gluing constraints to be almost Gaussian shaped (with slightly too long tails), peaked on shape matching and showing little  $\gamma$ -dependence. What this implies regarding the weak imposition of constrains in the model, and whether the constraints restrict strongly the allowed geometries, are two interesting questions to investigate in the future.

With the definition of the gluing constraints and their accurate asymptotic formula on and away from critical points, an important first step toward building the hybrid algorithm is done. However, many open conceptual and technical questions must be addressed. One of the most pressing ones is the regime in which such an algorithm is valid; in this work we have presented some first evidence, but more thorough investigations are needed. Work on the coherent vertex amplitude for higher valent spin-foam vertices [13] suggests that the transition between the quantum and semiclassical vertex regimes might be more intricate, e.g., if some spins are large yet others remain small. Moreover, it is vital to generate all critical vertex configurations for a given set of ten representations, which can correspond to degenerate, vector or Regge geometries. Previous work in this direction exists as part of the sl2cfoam-next package [6,7], as does an algorithm that translates from areas to lengths configurations in the framework of effective spin-foams for different signatures [34,36]. We moreover hope that our algorithm will help shed light on the question of what role configurations other than Regge geometries play in the spin-foam path integral, e.g., whether gluing degenerate or vector geometries to Regge geometries is (strongly) suppressed. This might help us identify the most relevant geometries of multiple vertices in the path integral. Eventually, we must develop the hybrid algorithm in detail and demonstrate that we can faithfully approximate the full quantum amplitudes by nonmatching semiclassical vertices glued together via gluing constraints.

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# APPENDIX: PARAMETRIZING CLOSING AND NONCLOSING SU(2) INTERTWINERS

It is well known that the geometry of a classical tetrahedron can be determined from its six edge lengths or equivalently from its four triangle areas and two (non-opposite) dihedral angles up to SO(3) rotations. A unit normal vector  $\hat{k}_i$  associated to a face  $f_i$  of a tetrahedron is a vector in the unit two-sphere  $S^2$ . Such a unit normal vector can be parametrized by the spherical angles as

$$\hat{k}_i(\theta_i, \phi_i) = \pm (\sin \theta_i \cos \phi_i, \sin \theta_i \sin \phi_i, \cos \theta_i).$$
(A1)

The form of the normal vectors follows from the particular parametrization of SU(2) in (5.1). Let  $k_i$ ,  $i = 1, \dots 4$  be the outward pointing normal vectors (not normalized) belonging to the face of the tetrahedron  $\tau$ . Without loss of generality, we can use the freedom under SO(3) rotations to take one of the normal vectors to be along the *z*-axis, and to take a second vector to be in the *y*-*z* plane. That is, we choose the normal vectors (not normalized) to be of the form

$$\vec{k}_1 = (0, 0, c_1), \qquad \vec{k}_2 = (0, b_2, c_2),$$
  
 $\vec{k}_3 = (a_3, b_3, c_3), \qquad \vec{k}_4 = (a_4, b_4, c_4).$  (A2)

The normal vectors are chosen such that the norm of each vector gives the corresponding area of the triangle face. For a closed tetrahedron the normal vectors satisfy the closure condition

$$\mathcal{C} \coloneqq \sum_{i=1}^{4} \vec{k}_i = \vec{0},\tag{A3}$$

and hence  $\vec{k}_4 = -(\vec{k}_1 + \vec{k}_2 + \vec{k}_3)$ . These normal vectors determine the geometry of a tetrahedron up to SO(3)

rotations. Note that the squared volume of the tetrahedron in terms of the normal vectors is  $V_{\tau}^2 = -\vec{k}_1 \cdot (\vec{k}_2 \times \vec{k}_3)$ . As an example, consider four area square terms

 $p_{ii} = \vec{k}_i \cdot \vec{k}_i = A_i^2, i = 1...4$  and two inner product terms

 $p_{1i} = \vec{k}_1 \cdot \vec{k}_i$  for j = 2, 3 (nonopposite edges). We can easily solve for the variables  $a_i, b_i, c_i$  in terms of the  $p_{ii}$  and  $p_{1i}$ . This results in vectors of the form

$$\begin{split} \vec{k}_{1} &= (0, 0, \sqrt{p_{11}}), \\ \vec{k}_{2} &= \frac{1}{\sqrt{p_{11}}} (0, \sqrt{p_{11}p_{22} - p_{12}^{2}}, p_{12}), \\ \vec{k}_{3} &= \left(\frac{V_{\tau}^{2}}{\sqrt{p_{11}p_{22} - p_{12}^{2}}}, \frac{2p_{12}p_{13} + p_{11}(p_{11} + p_{22} + p_{33} - p_{44} + 2p_{12} + 2p_{13})}{2\sqrt{p_{11}(p_{11}p_{22} - p_{12}^{2})}}, \frac{p_{13}}{\sqrt{p_{11}}}\right), \\ \vec{k}_{4} &= -(\vec{k}_{1} + \vec{k}_{2} + \vec{k}_{3}), \end{split}$$
(A4)

where the squared volume is

 $\overrightarrow{1}$ 

$$V_{\tau}^{2} = \left(-p_{12}p_{13}(p_{11}+p_{22}+p_{33}-p_{44}+2p_{12}+2p_{13}) - \frac{p_{11}}{4}(p_{11}+p_{22}+p_{33}-p_{44}+2p_{12}+2p_{13})^{2} + p_{33}(p_{11}p_{22}-p_{12}^{2}) - p_{13}^{2}p_{22}\right)^{\frac{1}{2}}.$$
(A5)

Each normal vector is of the form  $\vec{k}_i = \sqrt{p_{ii}}\hat{k}_i$  for all *i*, and hence the inner products can be given in terms of Euler angles associated to the unit normal vectors [see (A1)]. For the vectors in (A4), we get the inner products

$$p_{12} = \sqrt{p_{11}}\sqrt{p_{22}}\cos\theta_2, \quad p_{13} = \sqrt{p_{11}}\sqrt{p_{33}}\cos\theta_3.$$
 (A6)

The Euler angles  $\theta_2$ ,  $\theta_3$  are exactly the dihedral angles of the associated edges  $e_{12}$ ,  $e_{13}$ . In general, the four spins  $j_i = \sqrt{p_{ii}}$  together with two (nonopposite) 3d dihedral angles  $\Phi_i$ , i = 1, 2 parametrize a closing intertwiner with normal vectors given in (A4). The variables  $\Phi_1$ ,  $\Phi_2$  are related to the Euler angles by  $\Phi_1 = \theta_2$  and  $\Phi_2 = \theta_3$ .

For a nonclosing intertwiner, the closure constraint is violated, that is

$$\mathcal{C} = \boldsymbol{\varepsilon} = (\varepsilon_1, \varepsilon_2, \varepsilon_3), \tag{A7}$$

with  $\varepsilon \neq 0$ . The four normal vectors are therefore unconstrained. Such nonclosing intertwiners can also be constructed starting from a closed intertwiner and performing SO(3) rotations of some or all of the face unit normal vectors  $\hat{k}_i$  while keeping their norms fixed. A rotation of a unit normal vector  $\hat{k}_i(\theta_i, \phi_i)$  by Euler angles  $(\delta \theta_i, \delta \phi_i)$  will result in a general vector  $\hat{k}(\theta_i + \delta \theta_i, \phi_i + \delta \phi_i)^{12}$  Thus rotating one or more normal vectors of a closing intertwiner will result in a nonclosing intertwiner as long as the closure constraint is violated.

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