## Predictions for the Sivers single-spin asymmetry from holographic QCD

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A new approach to nonperturbative QCD, *holographic light-front QCD*, provides a comprehensive model for hadron dynamics and spectroscopy, incorporating color confinement, a universal hadron mass scale, the prediction of a massless pion in the chiral limit, and connections between the spectroscopy of mesons, baryons and tetraquarks across the full hadron spectrum. In this article we present predictions for the Sivers asymmetry and related transverse momentum distributions for the proton based on the light-front wavefunctions of the baryon eigenstate predicted by holographic QCD.

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A novel approach to nonperturbative QCD dynamics, holographic light-front QCD [1,2], has led to effective semiclassical relativistic bound-state equations for hadrons of arbitrary spin; it incorporates fundamental hadronic properties which are not apparent from the QCD Lagrangian, including color confinement, the emergence of a universal hadron mass scale, the prediction of a massless pion in the chiral limit, and remarkable connections between the spectroscopy of mesons, baryons and tetraquarks across the full hadronic spectrum. The light-front (LF) holographic formalism is based on the combination of superconformal quantum mechanics [3,4], light-front quantization [5], and the holographic embedding on a higher dimensional gravity theory [6] (gauge/gravity correspondence), leading to new analytic insights into the structure of hadrons and their dynamics.

Holographic QCD also provides analytic predictions for the boost invariant light-front wave functions (LFWFs)  $\psi_H(x_i, k_{\perp i}, \lambda_i)$  of each hadronic eigenstate, the solutions of the light-front Schrödinger equations. The knowledge of the LFWFs allows one to predict dynamical properties of the hadrons such as form factors, the distribution amplitudes underlying exclusive hadronic amplitudes, the structure functions underlying deep inelastic processes, etc. [1,2,7–12].

Published by the American Physical Society under the terms of the Creative Commons Attribution 4.0 International license. Further distribution of this work must maintain attribution to the author(s) and the published article's title, journal citation, and DOI. Funded by SCOAP<sup>3</sup>. In this paper we will use the LF holographic QCD approach to predict the Sivers asymmetry as formulated in Ref. [13]. In particular, it was shown [13] that a single-spin asymmetry (SSA) in the semi-inclusive deep inelastic (SIDIS) electroproduction  $\gamma^*p \to HX$  arises from final-state interactions. We will focus on the subprocess of the lepton SIDIS process  $\ell p^{\uparrow} \to \ell' HX$  shown in Fig. 1, where the quark jet produces a leading pion, including the

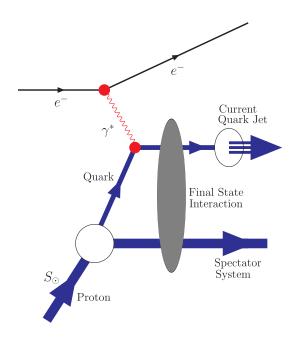


FIG. 1. Final-state interaction in the lepton SIDIS process  $\ell p^{\uparrow} \to \ell' H X$ .

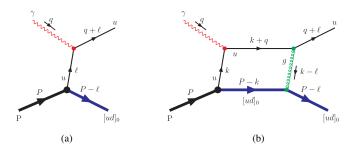


FIG. 2. Tree (a) and one-loop (b) diagrams contributing to the electroproduction process  $\gamma^* p \to u[ud]_0$ .

final-state interactions arising from gluonic exchange. In LF holography, the valence state of the proton is the bound state of struck u quark and a scalar spectator diquark  $[ud]_0$ —the quark-diquark model of nucleon. The interference of tree and one-loop graphs (see Fig. 2) contributing to the electroproduction reaction  $\gamma^* p \rightarrow u[ud]_0$  generates the single-spin asymmetry (SSA) of the proton [13].

The first experimental measurement of the Sivers effect was performed by the HERMES Collaboration at DESY in the study of the electroproduction of pion in the SIDIS on the transversally polarized hydrogen gas target [14] and was later confirmed in Ref. [15]. Then, in Refs. [16,17] the COMPASS Collaboration at SPS CERN reported results on the Collins and Sivers asymmetries for charged hadrons produced in SIDIS of high energy muons on transversely polarized proton and deuteron targets. Later, in Ref. [18] the Jefferson Lab Hall A Collaboration presented the first measurement of SSA in the SIDIS reaction  ${}^{3}H(e, e', \pi^{\pm}, X)$ using transversely polarized target. For recent results of the COMPASS Collaboration, where Sivers asymmetries were extracted in SIDIS at the hard scales, see Refs. [19,20]. Since prediction of the Sivers asymmetry in Ref. [13] big theoretical progress was achieved in further detailed understanding of this phenomena (see, e.g., Refs. [21–38]). A main advantage of the study of the Sivers asymmetry is its detailed access to the transverse momentum distribution function (TMD) and its spin-weighted moments. As has been stressed in Ref. [36], the model for the LFWFs was taken from Ref. [10]. After Ref. [10] we sufficiently improved the parametrization of the LFWFs to guarantee that the calculated parton distributions of the hadrons are consistent with model-independent scaling at large x. In particular, in Ref. [9], which studies nucleon parton distributions and form factors, we showed that the parametrization of the LFWFs in terms of combinations of unpolarized and polarized PDFs produce the correct scaling for both the PDFs (by construction) as well as the TMDs and GPDs. The resulting nucleon form factors also obey the model-independent power scalings at large  $Q^2$  discovered by Brodsky-Farrar-Matveev-Muradyan-Tavkhelidze [39]. Later in series of papers (see Refs. [7,8,11,12]), a more accurate setup for the LFWFs, consistent with modelindependent constraints in QCD, were successfully applied including gluon parton densities. Note that the model for nucleon LFWFs proposed in Ref. [10] and used in Ref. [36] is not fully consistent with QCD constraints. That is why we have carried out the further improvements. We would also like to stress that the framework in Ref. [36] for the calculation of the asymmetries in SIDIS is also not fully consistent. In particular, the authors of Ref. [36] do not calculate the fragmentation functions and use a phenomenological input, while these functions can be calculated directly in LF QCD as the other types of parton distribution. It is our main purpose that starting from the present paper, we intend to do a comprehensive analysis of the asymmetries in QCD processes at fixed targets, like Drell-Yan and SIDIS.

We start with basic notions of holographic QCD approach based on quark-scalar diquark structure of nucleon. The  $J^z = +\frac{1}{2}$  two-particle quark-scalar diquark Fock state is given by [9,10,12,13,40,41]:

$$\begin{split} |\Psi^{\uparrow}(P^{+},\mathbf{0}_{\perp})\rangle &= \int \frac{d^{2}\mathbf{k}_{\perp}dx}{16\pi^{3}\sqrt{x(1-x)}} \\ &\times \left[ \left. \varphi^{\uparrow}_{+\frac{1}{2}}(x,\mathbf{k}_{\perp}) \right| + \frac{1}{2};xP^{+},\mathbf{k}_{\perp} \right\rangle \\ &+ \left. \varphi^{\uparrow}_{-\frac{1}{2}}(x,\mathbf{k}_{\perp}) \right| - \frac{1}{2};xP^{+},\mathbf{k}_{\perp} \right\rangle \end{split} \tag{1}$$

where we use notation  $\varphi_{\lambda_u}^{\lambda_N}(x, \mathbf{k}_{\perp})$  for the light-front wave function (LFWF) at the initial scale  $\mu_0$  with specific helicities for the proton  $\lambda_N = \uparrow$  and  $\downarrow$  and for the struck quark  $\lambda_u = +\frac{1}{2}$  and  $-\frac{1}{2}$  [9,10,12]:

$$\varphi_{+\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp}) = \frac{2\pi\sqrt{2}}{\kappa} \sqrt{u_{v+}(x)} \exp\left[-\frac{\mathbf{k}_{\perp}^{2}}{2\kappa^{2}}\right],$$

$$\varphi_{-\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp}) = -\frac{2\pi\sqrt{2}}{\kappa^{2}} (k^{1} + ik^{2}) \sqrt{u_{v-}(x)} \exp\left[-\frac{\mathbf{k}_{\perp}^{2}}{2\kappa^{2}}\right],$$
(2)

where  $u_{v\pm}(x) = u_v(x) \pm \delta u_v(x)$ ,  $u_v(x)$  and  $\delta u_v(x)$  are the helicity-independent (unpolarized) and helicity-dependent (polarized) u valence quark parton distributions,  $\kappa \sim 350{-}500$  MeV is the scale dilaton parameter.

In a similar way one can construct the  $J^z = -1/2$  quark-scalar diquark Fock state components:

$$\varphi_{+\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp}) = \frac{2\pi\sqrt{2}}{\kappa^{2}} (k^{1} - ik^{2}) \sqrt{u_{v-}(x)} \exp\left[-\frac{\mathbf{k}_{\perp}^{2}}{2\kappa^{2}}\right],$$

$$\varphi_{-\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp}) = \frac{2\pi\sqrt{2}}{\kappa} \sqrt{u_{v+}(x)} \exp\left[-\frac{\mathbf{k}_{\perp}^{2}}{2\kappa^{2}}\right].$$
(3)

The wave functions  $\varphi_{\pm \frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})$  and  $\varphi_{\pm \frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})$  are normalized in a such way that their combinations produce

helicity-independent and helicity-dependent *u*-quark PDFs in the proton:

$$u_{v}(x) = \int \frac{d^{2}\mathbf{k}_{\perp}}{16\pi^{3}} [|\varphi_{+\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})|^{2} + |\varphi_{-\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})|^{2}]$$

$$= \int \frac{d^{2}\mathbf{k}_{\perp}}{16\pi^{3}} [|\varphi_{+\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})|^{2} + |\varphi_{-\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})|^{2}], \qquad (4)$$

$$\begin{split} \delta u_v(x) &= \int \frac{d^2 \mathbf{k}_{\perp}}{16\pi^3} [|\varphi_{+\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp})|^2 - |\varphi_{-\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp})|^2] \\ &= \int \frac{d^2 \mathbf{k}_{\perp}}{16\pi^3} [|\varphi_{-\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp})|^2 - |\varphi_{+\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp})|^2]. \end{split} \tag{5}$$

Note that in the original version of the quark-scalar diquark model [13,40,41] by construction the proton LFWFs  $|u[ud]_0\rangle$  has L=0 and L=1 components with equal probability. In Ref. [9] it was proposed to relate them with two combinations of valence quark PDFs. It led to not equal probabilities of the L=0 and L=1 components.

Next we define four possible spin-spin transitions between proton and struck quark:

$$\uparrow \left(J_p^z = +\frac{1}{2}\right) \to \uparrow \left(J_q^z = +\frac{1}{2}\right),$$

$$\downarrow \left(J_p^z = -\frac{1}{2}\right) \to \uparrow \left(J_q^z = +\frac{1}{2}\right),$$

$$\uparrow \left(J_p^z = +\frac{1}{2}\right) \to \downarrow \left(J_q^z = -\frac{1}{2}\right),$$

$$\downarrow \left(J_p^z = -\frac{1}{2}\right) \to \downarrow \left(J_q^z = -\frac{1}{2}\right).$$
(6)

The corresponding amplitudes A including both tree and one-loop contributions have been calculated in Ref. [13] using diquark model. Here, we present our results for the A's using holographic QCD:

$$\mathcal{A}(\uparrow\uparrow\to\uparrow) = C^{+}(\Delta)h(\mathcal{E}_{\perp}^{2})e^{i\chi_{1}},$$

$$\mathcal{A}(\downarrow\downarrow\to\uparrow) = \frac{\ell^{1} - i\ell^{2}}{\kappa}C^{-}(\Delta)h(\mathcal{E}_{\perp}^{2})e^{i\chi_{2}},$$

$$\mathcal{A}(\uparrow\uparrow\to\downarrow) = -\frac{\ell^{1} + i\ell^{2}}{\kappa}C^{-}(\Delta)h(\mathcal{E}_{\perp}^{2})e^{i\chi_{2}},$$

$$\mathcal{A}(\downarrow\downarrow\to\downarrow) = C^{+}(\Delta)h(\mathcal{E}_{\perp}^{2})e^{i\chi_{1}}.$$
(7)

Here

$$C^{\pm}(\Delta) = 2P^{+}ge_{u}(u_{v\pm}(\Delta)\Delta(1-\Delta))^{1/2},$$
  

$$h(\mathcal{C}_{\perp}^{2}) = \frac{2\pi\sqrt{2}}{\kappa}\exp\left[-\frac{\mathcal{C}_{\perp}^{2}}{2\kappa^{2}}\right].$$
 (8)

and  $\chi_{1,2}$  are the rescattering phases taking into account one-loop contributions [13]:

$$\chi_i = \frac{\alpha_s C_F}{2} g_i, \tag{9}$$

g is the coupling of proton and  $u[ud]_0$  quark-scalar diquark state,  $\Delta=k^+/P^+$ ,  $\mathscr{C}_\perp=(\ell^x,\ell^y)$ , and  $\alpha_s=\alpha_s(\mu^2)=4\pi\beta_0/\log(\mu^2/\Lambda_{\rm QCD}^2)$  is the QCD coupling at the scale  $\mu$ ,  $C_F=4/3$ ,  $\beta_0=9$ ,  $\Lambda_{\rm QCD}=0.226$  GeV. As shown in Eq. (3) we have parametrized the LFWFs in terms of combinations of quark PDFs  $u_{v\pm}$ , instead of the simple ansatz of the original spectator model. Therefore, in Eq. (3) the combination  $u_{v+}$  appears in the case of the spin-spin transitions without flip, while  $u_{v-}$  appears in case of the spin-spin transitions with spin flip. Therefore, the  $C^+$  is proportional to  $u_{v+}$  and  $C^-$  to  $u_{v-}$ .

The loop couplings  $g_1$  and  $g_2$  have been calculated in Ref. [13]. It was shown that, while  $g_1$  and  $g_2$  depend in the infrared regulator  $\lambda_g$  (gluon mass), their difference is independent of  $\lambda_q$  and, therefore, is infrared finite:

$$\Delta g = g_1 - g_2 = \frac{\kappa^2}{\mathcal{C}_{\perp}^2} \log \frac{\mathcal{C}_{\perp}^2 + B(\Delta)}{B(\Delta)},$$

$$B(\Delta) = m^2 (1 - \Delta) + m_{lud}^2 \Delta - M_N^2 \Delta (1 - \Delta), \quad (10)$$

where m and  $m_{[ud]}$  are the constituent masses of struck u quark and spectator diquark  $[ud]_0$ ,  $M_N$  is the nucleon mass.

Note, the  $\Delta$  contributes to the azimuthal single-spin asymmetry transverse to the production plane [13]:

$$\mathcal{P}_{y} = \alpha_{s} C_{F} \frac{\ell^{x}}{\kappa} \frac{\Delta g \sqrt{1 - R^{2}(\Delta)}}{1 + R(\Delta) + \frac{\ell^{2}_{\perp}}{\kappa^{2}} (1 - R(\Delta))}, \quad (11)$$

where  $R(\Delta) = \delta u_v(\Delta)/u_v(\Delta)$ . The novel result is that we expressed the  $\mathcal{P}_y$  asymmetry through the ratio of the valence PDFs of the u quark— $\delta u_v$  and  $u_v$ .

Next, following Ref. [32] we can calculate the Sivers  $f_{1T}^{\perp}(x, \mathbf{k}_{\perp})$  and Boer-Mulders  $h_1^{\perp}(x, \mathbf{k}_{\perp})$  T-odd *u*-quark TMDs. First, we update the LFWFs including rescattering phases  $\chi_{1,2}$  due to final state interactions [32]:

$$\begin{split} &\Phi_{+\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp}) = \varphi_{+\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})e^{i\chi_{1}}, \\ &\Phi_{-\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp}) = \varphi_{-\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})e^{i\chi_{2}}, \\ &\Phi_{+\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp}) = \varphi_{+\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})e^{i\chi_{2}}, \\ &\Phi_{-\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp}) = \varphi_{-\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})e^{i\chi_{1}}. \end{split}$$
(12)

Second, we use the light-front decomposition for the Sivers and Boer-Mulders functions in terms of the LFWFs from Eq. (12):

$$\frac{k^{x}}{M_{N}} f_{1T}^{\perp u}(x, \mathbf{k}_{\perp}) \equiv -\frac{i}{32\pi^{3}} \left[ \Phi_{+\frac{1}{2}}^{\uparrow\uparrow}(x, \mathbf{k}_{\perp}) \Phi_{+\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp}) + \Phi_{-\frac{1}{2}}^{\uparrow\uparrow}(x, \mathbf{k}_{\perp}) \Phi_{-\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp}) - \Phi_{-\frac{1}{2}}^{\uparrow\downarrow}(x, \mathbf{k}_{\perp}) \Phi_{-\frac{1}{2}}^{\uparrow\downarrow}(x, \mathbf{k}_{\perp}) - \Phi_{+\frac{1}{3}}^{\uparrow\downarrow}(x, \mathbf{k}_{\perp}) \Phi_{-\frac{1}{3}}^{\uparrow\downarrow}(x, \mathbf{k}_{\perp}) \right], \tag{13}$$

$$\frac{k^{x}}{M_{N}}h_{1}^{\perp u}(x,\mathbf{k}_{\perp}) = -\frac{i}{32\pi^{3}}\left[\Phi_{-\frac{1}{2}}^{\uparrow\uparrow}(x,\mathbf{k}_{\perp})\Phi_{+\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp}) - \Phi_{+\frac{1}{2}}^{\uparrow\uparrow}(x,\mathbf{k}_{\perp})\Phi_{-\frac{1}{2}}^{\uparrow}(x,\mathbf{k}_{\perp})\right. \\
\left. + \Phi_{-\frac{1}{2}}^{\uparrow\downarrow}(x,\mathbf{k}_{\perp})\Phi_{+\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp}) - \Phi_{+\frac{1}{2}}^{\uparrow\downarrow}(x,\mathbf{k}_{\perp})\Phi_{-\frac{1}{2}}^{\downarrow}(x,\mathbf{k}_{\perp})\right]. \tag{14}$$

We get

$$f_{1T}^{\perp u}(x, \mathbf{k}_{\perp}) \equiv h_1^{\perp u}(x, \mathbf{k}_{\perp}) = -\frac{\alpha_s C_F}{2\pi\kappa^3} M_N \Delta g \sqrt{u_v^2(x) - \delta u_v^2(x)} \exp\left[-\frac{\mathbf{k}_{\perp}^2}{\kappa^2}\right]. \tag{15}$$

Using our result for the unpolarized TMD  $f_1(x, \mathbf{k}_{\perp})$  [9]:

$$f_{1}^{u}(x, \mathbf{k}_{\perp}) = \frac{1}{32\pi^{3}} \left[ |\Phi_{+\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp})|^{2} + |\Phi_{+\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp})|^{2} + |\Phi_{-\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp})|^{2} + |\Phi_{-\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp})|^{2} \right]$$

$$= \frac{1}{2\pi\kappa^{2}} \left[ u_{v}(x) + \delta u_{v}(x) + \frac{\mathbf{k}_{\perp}^{2}}{\kappa^{2}} (u_{v}(x) - \delta u_{v}(x)) \right] \exp \left[ -\frac{\mathbf{k}_{\perp}^{2}}{\kappa^{2}} \right]$$
(16)

we reproduce the well-known relation between the asymmetry  $\mathcal{P}_y$  and TMDs  $f_1^u(x, \mathbf{k}_\perp)$  and  $f_{1T}^{\perp u}(x, \mathbf{k}_\perp)$  [22,24]:

$$\mathcal{P}_{y} = -\frac{\ell^{x}}{M_{N}} \frac{f_{1T}^{\perp u}(\Delta, \boldsymbol{\ell}_{\perp})}{f_{1}^{u}(\Delta, \boldsymbol{\ell}_{\perp})}.$$
(17)

Notice that the results for the *d*-quark TMDs are obtained upon replacement  $u_v(x) \to d_v(x)$  and  $\delta u_v(x) \to \delta d_v(x)$  and changing overall sign in  $\varphi_{-\frac{1}{2}}^{\uparrow}(x, \mathbf{k}_{\perp})$  and  $\varphi_{+\frac{1}{2}}^{\downarrow}(x, \mathbf{k}_{\perp})$  [9]. One gets:

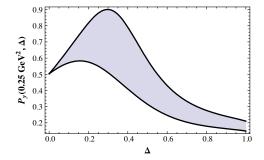
$$f_{1}^{d}(x, \mathbf{k}_{\perp}) = \frac{1}{2\pi\kappa^{2}} \left[ d_{v}(x) + \delta d_{v}(x) + \frac{\mathbf{k}_{\perp}^{2}}{\kappa^{2}} (d_{v}(x) - \delta d_{v}(x)) \right] \exp\left[ -\frac{\mathbf{k}_{\perp}^{2}}{\kappa^{2}} \right],$$

$$f_{1T}^{\perp d}(x, \mathbf{k}_{\perp}) \equiv h_{1}^{\perp d}(x, \mathbf{k}_{\perp}) = \frac{\alpha_{s} C_{F}}{2\pi\kappa^{3}} M_{N} \Delta g \sqrt{d_{v}^{2}(x) - \delta d_{v}^{2}(x)} \exp\left[ -\frac{\mathbf{k}_{\perp}^{2}}{\kappa^{2}} \right]. \tag{18}$$

Note, that in the scalar quark-diquark model, the relations between the Sivers and Boer-Mulders functions for both flavors (u and d quarks) have the same sign [30,32]. It is true that inclusion of the axial-vector diquark will distinguish the relations for u and d quark. See Refs. [30,32].

Now we present our numerical results for the Sivers asymmetry and TMDs. In our analysis, we will use the

following set of parameters:  $\mu_0^2=0.40~{\rm GeV^2}$  is the initial scale,  $M_N=0.93827~{\rm GeV}$  is the nucleon mass,  $m=0.35~{\rm GeV}$  is the constituent mass of u and d quark, and  $\kappa=0.5~{\rm GeV}$  is the dilaton scale parameter. The mass of the  $[ud]_0$  scalar diquark  $m_{[ud]}$  will be varied from 0.65 to 0.8 GeV. For the  $q_v$  and  $\delta q_v$  (q=u,d) quark PDFs and the ratio  $R(x)=\delta u_v(x)/u_v(x)$  we use the results of



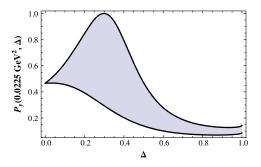


FIG. 3. 2D plots of the  $\mathcal{P}_y$  asymmetry as function of  $\Delta$  at  $|\mathcal{E}_{\perp}| = 0.5$  GeV (left panel) and  $|\mathcal{E}_{\perp}| = 0.15$  GeV (right panel).

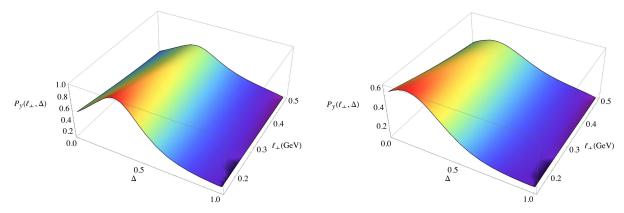


FIG. 4. 3D plots of the  $\mathcal{P}_y$  asymmetry as function of  $\Delta$  and  $|\mathcal{C}_{\perp}|$  for  $m_{[ud]} = 0.65$  GeV (left panel) and for  $m_{[ud]} = 0.8$  GeV (right panel).

the Glück-Reya-Vogt (GRV)/Glück-Reya-Stratmann-Vogelsang (GRSV) fit done in Refs. [42,43]. In particular,  $R(x) \simeq 2.043x^{0.97}(1-x)^{0.64}$ .

In Fig. 3 we show two-dimensional plots for the  $\mathcal{P}_y$  asymmetry as function of  $\Delta$  variable at  $|\mathcal{E}_{\perp}| = 0.5$  GeV  $|\mathcal{E}_{\perp}| = 0.15$  GeV. The shaded bands correspond to a variation of the  $m_{[ud]} = 0.65$ –0.8 GeV. Increasing of  $m_{[ud]}$  leads to a suppression of the asymmetry  $\mathcal{P}_y$ . In Fig. 4 we present three-dimensional plots for the  $\mathcal{P}_y$  as function of two variables  $\Delta$  and  $|\mathcal{E}_{\perp}|$  running from 0 to 1 and to 0.15 to 0.5 GeV, respectively, at two typical (low and upper) values of the scalar diquark mass  $m_{[ud]} = 0.65$  and 0.8 GeV.

In Figs. 5–10 we present our results for the u and d quark Sivers TMDs and for their first and 1/2 moments:

$$f_{1T}^{\perp(1)q}(x) = \int d^{2}\mathbf{k}_{\perp} \frac{\mathbf{k}_{\perp}^{2}}{2M_{N}^{2}} f_{1T}^{\perp q}(x, \mathbf{k}_{\perp})$$

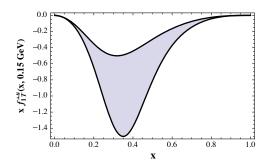
$$= -\alpha_{s} C_{F} \frac{\kappa}{4M_{N}} n_{q} \sqrt{q_{v}^{2}(x) - \delta q_{v}^{2}(x)}$$

$$\times \Gamma[0, b(x)] \exp[b(x)],$$

$$f_{1T}^{\perp(1/2)q}(x) = \int d^{2}\mathbf{k}_{\perp} \frac{|\mathbf{k}_{\perp}|}{M_{N}} f_{1T}^{\perp q}(x, \mathbf{k}_{\perp})$$

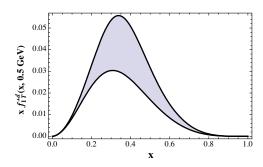
$$= -\alpha_{s} C_{F} n_{q} \sqrt{q_{v}^{2}(x) - \delta q_{v}^{2}(x)}$$

$$\times \int_{0}^{\infty} dt \exp[-t^{2}] \log \frac{t^{2} + b(x)}{b(x)}, \tag{19}$$



where  $n_u = 1$ ,  $n_d = -1$ ,  $b(x) = B(x)/\kappa^2$ ,

FIG. 5. 2D plots of the Sivers TMD  $xf_{1T}^{\perp u}(x, \mathbf{k}_{\perp})$  as function of x at  $|\mathbf{k}_{\perp}| = 0.5$  GeV (left panel) and  $|\mathbf{k}_{\perp}| = 0.15$  GeV (right panel).



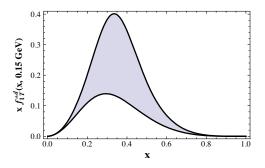


FIG. 6. 2D plots of the Sivers TMD  $xf_{1T}^{\perp d}(x, \mathbf{k}_{\perp})$  as function of x at  $|\mathbf{k}_{\perp}| = 0.5$  GeV (left panel) and  $|\mathbf{k}_{\perp}| = 0.15$  GeV (right panel).

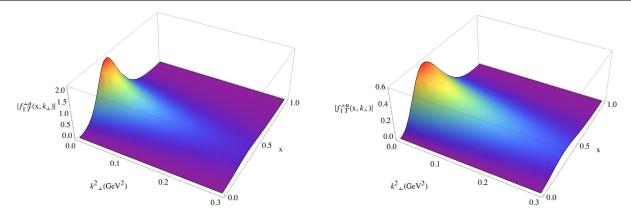


FIG. 7. 3D plots of the Sivers TMD  $|xf_{1T}^{\perp u}(x, \mathbf{k}_{\perp})|$  as function of x and  $\mathbf{k}_{\perp}^2$  for  $m_{[ud]} = 0.65$  GeV (left panel) and for  $m_{[ud]} = 0.8$  GeV (right panel).

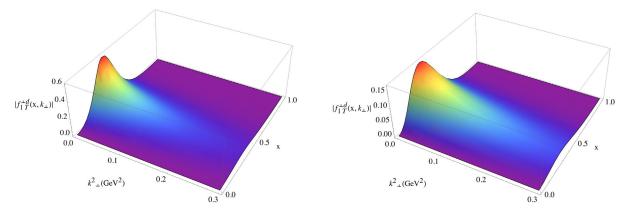


FIG. 8. 3D plots of the Sivers TMD  $|xf_{1T}^{\perp d}(x, \mathbf{k}_{\perp})|$  as function of x and  $\mathbf{k}_{\perp}^2$  for  $m_{[ud]} = 0.65$  GeV (left panel) and for  $m_{[ud]} = 0.8$  GeV (right panel).

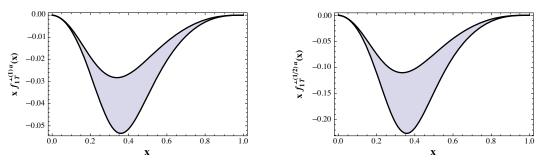


FIG. 9. 2D plots of the moments of the Sivers TMD  $xf_{1T}^{\perp(1)u}(x)$  and  $xf_{1T}^{\perp(1/2)u}(x)$ .

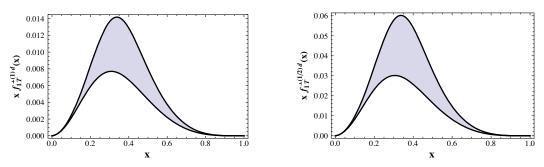


FIG. 10. 2D plots of the moments of the Sivers TMD  $xf_{1T}^{\perp(1)d}(x)$  and  $xf_{1T}^{\perp(1/2)d}(x)$ .

		$ xf_{1T}^{\perp(1)u}(x) $		$ xf_{1T}^{\perp(1)d}(x) $	
х	$Q^2$ (GeV <sup>2</sup> )	COMPASS [20]	Our results	COMPASS [20]	Our results
0.0063	1.27	$ 0.0022 \pm 0.0051 $	$0.0004 \pm 0.0001$	$ 0.001 \pm 0.021 $	$0.0002 \pm 0.00001$
0.0105	1.55	$0.0029 \pm 0.0040$	$0.0007 \pm 0.0001$	$ 0.004 \pm 0.017 $	$0.0003 \pm 0.0001$
0.0164	1.83	$0.0058 \pm 0.0037$	$0.0013 \pm 0.0002$	$0.019 \pm 0.015$	$0.0005 \pm 0.0001$
0.0257	2.17	$0.0097 \pm 0.0033$	$0.0022 \pm 0.0003$	$0.034 \pm 0.013$	$0.0008 \pm 0.0002$
0.0399	2.82	$0.0179 \pm 0.0036$	$0.004 \pm 0.005$	$0.032 \pm 0.015$	$0.0014 \pm 0.0003$
0.0629	4.34	$0.0224 \pm 0.0046$	$0.007 \pm 0.001$	$0.048 \pm 0.019$	$0.0025 \pm 0.0005$
0.101	6.76	$0.0171 \pm 0.0057$	$0.012 \pm 0.003$	$0.025 \pm 0.023$	$0.004 \pm 0.001$
0.163	10.6	$0.0295 \pm 0.0070$	$0.018 \pm 0.004$	$0.056 \pm 0.027$	$0.006 \pm 0.002$
0.288	20.7	$0.0160 \pm 0.0073$	$0.019 \pm 0.006$	$ 0.017 \pm 0.028 $	$0.005 \pm 0.002$

TABLE I. First moments of the Sivers TMDs for u and d quark.

$$\Gamma[a,z] = \int_{z}^{\infty} dt \, t^{a-1} e^{-t} \tag{20}$$

is the incomplete Gamma function. In particular, in Figs. 5 and 6 we show our predictions for the Sivers TMD  $xf_{1T}^{\perp u}(x,\mathbf{k}_{\perp})$  and  $xf_{1T}^{\perp d}(x,\mathbf{k}_{\perp})$  as functions of x at  $|\mathbf{k}_{\perp}|=0.5$  and 0.15 GeV. In Figs. 7 and 8 we display three-dimensional plots for the Sivers TMDs  $|f_{1T}^{\perp u}(x,\mathbf{k}_{\perp})|$  and  $|f_{1T}^{\perp d}(x,\mathbf{k}_{\perp})|$  as a functions of x and  $\mathbf{k}_{\perp}^2$  for  $m_{[ud]}=0.65$  and 0.8 GeV. Finally, in Figs. 9 and 10 we present our results for the first and 1/2 moments of the Sivers TMDs.

In Table I we compare our results for the magnitudes of the first moments of the Sivers TMDs for u and d quarks  $|xf_{1T}^{\perp(1)u,d}(x)|$  with data extracted by the COMPASS Collaboration [20] at different scales of resolution  $Q^2$ . Note, that our results for the moments of the Sivers quark TMDs are in good agreement with results of other

theoretical approaches (see, e.g., compilation of theoretical predictions in Ref. [26]).

In conclusion, we have presented predictions for the Sivers asymmetry and TMDs based on holographic QCD. These results will provide an important test of this novel approach to nonperturbative hadron dynamics.

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