## <span id="page-0-2"></span>Up-down asymmetries and angular distributions in  $D \to K_1(\to K\pi\pi)\ell^+\nu_\ell$

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Using the helicity amplitude technique, we derive differential decay widths and angular distributions for the decay cascade  $D \to K_1(1270, 1400)\ell^+\nu_e \to (K\pi\pi)\ell^+\nu_e(\ell^e=e,\mu)$ , in which the electron and muon mass is explicitly included. Using a set of phenomenological results for  $D \to K_1$  form factors, we calculate partial decay widths and branching fractions for  $D^0 \to K_1^- \ell^+ \nu_\ell$  and  $D^+ \to K_1^0 \ell^+ \nu_\ell$ , but find that results for  $\mathcal{B}(D \to K_1(1270)e^+\nu_e)$  are about a factor 1.5 larger than recent BESIII measurements. We further demonstrate that the measurement of up-down asymmetry in  $D \to K_1 e^+ \nu_e \to (K \pi \pi) e^+ \nu_e$  and angular distributions in  $D \to K_1 \ell^+ \nu_\ell \to (K \pi \pi) \ell^+ \nu_\ell$  can help to determine the hadronic amplitude requested in  $B \to K_1(\to K\pi\pi)\gamma$ .

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#### I. INTRODUCTION

Nowadays searching for new physics (NP) beyond the standard model (SM) is a most primary objective in particle physics. This can in principle proceed in two distinct directions. It is likely that new particles emerge directly in high energy collisions for instance at large hadron collider (LHC). On the other side, the NP particles can affect various low-energy observables by modifying the coupling strength or introducing new interaction forms and thus a high precision study of these observables is likely to indirectly access the NP. In the SM, the charged weak interaction has the V − A chirality and thereby the photon in  $b \rightarrow s\gamma$  is predominantly left-handed. The contribution with righthanded polarization is suppressed by the ratio of strange and bottom quark masses. Therefore the measurement of photon polarization in  $b \rightarrow s\gamma$  provides a unique probe for new physics [1–[3\].](#page-12-0) A representative scenario of this type is the left-right symmetric model [\[4,5\]](#page-12-1), in which the photon can acquire a significant right-handed component.

In practice, the chirality of the  $b \rightarrow s\gamma$  can be probed using the measurements of inclusive  $B \to X_s \gamma$ decay branching fractions [6–[9\]](#page-12-2), the mixing-induced CP

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asymmetries of radiative  $B^0$  and  $B_s^0$  decays [\[10](#page-12-3)–13] and the  $B \to K^* e^+ e^-$  with very low dilepton mass squared [\[14,15\]](#page-12-4). Interestingly, the photon helicity in radiative  $D$  decays was also explored [\[16,17\].](#page-12-5)

In addition to the above methods, it is pointed out that the photon helicity in  $b \rightarrow s\gamma$  is proportional to an up-down asymmetry  $A_{UD}$  in  $B \to K_1(\to K\pi\pi)\gamma$  [18–[20\]](#page-12-6) and more generally the angular distribution in  $B \to K_{res}(\to K\pi\pi)\gamma$ . Throughout this work we will use  $K_1$  to denote the axialvector meson  $K_1(1270)$  and/or  $K_1(1400)$ . However the measurement of up-down asymmetry in  $B \to K_1 \gamma$  [\[21\]](#page-12-7) was incapable to reveal the photon helicity due to the requirement of the detailed knowledge of  $K_1$  decay dynamics. Many interesting theoretical analyses have adopted nonperturbative approaches to parametrize the  $K_1 \rightarrow K \pi \pi$ decay amplitude and provided power constraints on the decay paramters [18–[20,22,23\]](#page-12-6). In a previous work [\[24\]](#page-13-0) it is proposed that one can tackle this problem by combining semileptonic  $D \to K_1 e^+ \nu_e$  decays. In particular, a ratio of up-down asymmetries in  $D \to K_1(\to K\pi\pi)e^+\nu_e$ ,  $\mathcal{A}'_{UD}$ , has been proposed to quantify the hadronic effects in  $K_1 \rightarrow K \pi \pi$  decay. More explicitly the photon helicity can be expressed as a ratio of the two observables  $\lambda_{\gamma} = 3/4 \times A_{UD}/A_{UD}$  [\[24\].](#page-13-0)

The purpose of this work is multifold. We will first provide the details in the helicity amplitude approach to derive the pertinent angular distributions and up-down asymmetries. Second, we will extend the previous analysis to the muon mode whose mass can not neglected in D decays. Using the phenomenological results for  $D \to K_1$ form factors, we calculate partial decay widths for  $D \to K_1 \ell^+ \nu_\ell$ , and show that the measurement of up-down

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asymmetry in  $D \to K_1(\to K\pi\pi)e^+\nu_e$  and the angular distribution  $D \to K_1(\to K\pi\pi)\mu^+\nu_\mu$  can help to determine the hadronic amplitude requested in  $B \to K_1(\to K\pi\pi)\gamma$ .

The rest of this paper is organized as follows. In Sec. [II](#page-1-0), we will give a detailed derivation of the angular distribu-tions. In Sec. [III](#page-6-0), we will use the  $D \to K_1$  form factors and calculate the differential decay widths. A comparison of predicted branching fractions with experimental data from BESIII measurements is made, and a MC simulation of angular distributions with the LHCb geometrical acceptance is also presented. The last section contains a brief summary.

### <span id="page-1-0"></span>II. FRAMEWORK AND ANGULAR DISTRIBUTIONS

In this section we will make use of the helicity amplitude technique and derive the angular distributions for the decay cascade  $D \to K_1 \ell^+ \nu_\ell \to (K \pi \pi) \ell^+ \nu_\ell$ . Here the D and  $K_1$  could be charged or neutral. Since a neutral  $\pi^0$  is difficult to reconstruct especially at hadron colliders, it is more plausible to explore the  $\pi^+\pi^-$ . Thus in the following, we will consider the decay chain  $D^0 \to K_1^- \ell^+ \nu_\ell \to$  $(K^-\pi^+\pi^-)\ell^+\nu_\ell$  and  $D^+\to \bar{K}^0_1\ell^+\nu_\ell \to (\bar{K}^0\pi^+\pi^-)\ell^+\nu_\ell$ , though the results are also applicable to other decay channels with neutral pions. The kinematics of this decay cascade is shown in Fig. [1](#page-1-1). In the lepton pair  $l^+ \nu_\ell$  rest frame,  $\theta_{\ell}$  is defined by the  $\ell^{+}$  flight direction and the opposite of the D meson flight direction. In  $K_1$  rest frame,  $\vec{n}$  is defined as the normal direction of the decay plane, and  $\theta_K$  is the relative angle between  $\vec{n}$  and the opposite of the D meson flight direction.

A few remarks on the kinematics are given in order.

- (i) The normal direction is not unambiguous. For instance, in  $K_1^-$  decay plane, it is likely to construct the normal direction with the momentum of  $\pi^+$ and  $\pi^-$ , while the LHCb measurement of up-down asymmetry and angular distributions in  $B \to K_1 \gamma$ makes use of the slow and fast pion momenta [\[21\]](#page-12-7),  $\vec{n} \sim \vec{p}_{\pi, \text{slow}} \times \vec{p}_{\pi, \text{fast}}$ .
- (ii) Second, since the  $\vec{n}$  is a cross product of two momenta, its direction will not be altered under parity transformation, but the flight direction of the D meson will be reversed. So the  $\theta_K$  will be changed to  $\pi - \theta_K$  under parity transformation, implying that the cos  $\theta_K$  is parity odd. The left-handed and righthanded polarization of  $K_1$  gives opposite contributions to the  $\cos \theta_K$  term.
- (iii) Third, weak interaction in  $W^* \to \ell^+ \nu_\ell$  violates parity conservation. Thus even though  $\cos \theta_e$  is parity-even, the left-handed and right-handed contributions to the  $\cos \theta_{\ell}$  term also differ in sign.
- (iv) Furthermore the definition of  $\theta_K$  depends on charge or flavor of  $K_1$ , namely the angle  $\theta_K$  defined in  $K_1^$ decay may differ with the one defined in  $K_1^+$  system.

<span id="page-1-1"></span>

FIG. 1. The kinematics for  $D \to K_1 \ell^+ \nu_\ell \to (K \pi \pi) \ell^+ \nu_\ell$ . In the lepton pair  $\ell^+ \nu_\ell$  rest frame, the angle  $\theta_\ell$  is defined by the  $\ell^+$ flight direction and the opposite of the D meson flight direction. In the  $K_1$  rest frame the  $\vec{n}$  is defined as the normal direction of the  $K_1$  decay plane, and  $\theta_K$  is the relative angle between  $\vec{n}$  and the opposite of the D flight direction. Since  $\vec{n}$  is made of the cross product of two momenta, its direction will not be altered under parity transformation. But since the D flight direction will be reversed, the  $\theta_K$  will be changed to  $\pi - \theta_K$  under parity transformation, implying that the  $\cos \theta_K$  is parity odd.

It is important to stick with the same convention on the kinematics in analyzing  $B$  and  $D$  decays.

Semileptonic decays of D into  $K_1$  are induced by effective electro-weak Hamiltonian:

$$
\mathcal{H} = \frac{G_F}{\sqrt{2}} V_{cs} \bar{s} \gamma^{\mu} (1 - \gamma_5) c \times \bar{\nu}_{\ell} \gamma_{\mu} (1 - \gamma_5) \ell, \qquad (1)
$$

where  $G_F$  is Fermi constant, and  $V_{cs}$  is CKM matrix element. With the above Hamiltonian, the partial decay width for semileptonic D decays can be generically written as

$$
d\Gamma = \frac{(2\pi)^4}{2m_D} \times d\Phi_n \times \sum_{\text{spin}} |\mathcal{M}|^2.
$$
 (2)

Here  $d\Phi_n$  denotes the *n*-body phase space. The pertinent decay amplitude  $M$  can be decomposed into three individuals:  $D \to K_1 W^*$ ,  $K_1 \to K \pi \pi$  and  $W^* \to \ell^+ \nu_\ell$ . Using the relation of  $g_{\mu\nu}$  and polarization vector,

$$
g_{\mu\nu} = -\sum_{\lambda=0,\pm 1} \epsilon^*_{\mu}(\lambda)\epsilon_{\nu}(\lambda) + \frac{q_{\mu}q_{\nu}}{q^2},\tag{3}
$$

one can disassemble decay amplitudes into a hadronic part and leptonic part

$$
\mathcal{M} = \frac{G_F}{\sqrt{2}} V_{cs} H^{\mu} L^{\nu} g_{\mu\nu} = \frac{G_F}{\sqrt{2}} V_{cs} \left[ -\sum_{\lambda} H \cdot \epsilon^*(\lambda) \times L \cdot \epsilon(\lambda) + H \cdot \epsilon^*(t) \times L \cdot \epsilon(t) \right],\tag{4}
$$

with  $\epsilon^{\mu}(t) \equiv q^{\mu}/\sqrt{q^2}$ . After this decomposition, both hadronic and leptonic parts are Lorentz invariant and thus can be calculated in convenient reference frames. Actually the hadronic part could be further resolved into two individuals, namely  $D \to K_1W^*$  and  $K_1 \to K\pi\pi$ . Each individuals will be calculated in rest frame of the decaying particle.

# A. The leptonic amplitude for  $W^* \to \ell^+ \nu_\ell$

For simplicity, we introduce the abbreviation,

$$
L(\lambda_e, \lambda_\nu, \lambda_W = 0, \pm 1) = L^\mu \epsilon_\mu(\lambda) = \bar{u}_\nu \gamma^\mu (1 - \gamma_5) v_\ell \epsilon_\mu(\lambda),
$$
  

$$
L(\lambda_e, \lambda_\nu, \lambda_W = t) = L^\mu \frac{q_\mu}{\sqrt{q^2}} = \bar{u}_\nu \gamma^\mu (1 - \gamma_5) v_\ell \frac{q_\mu}{\sqrt{q^2}},
$$
 (5)

where all spin/helicity indices are explicitly shown. Introducing  $f_l = i\sqrt{2(q^2 - m_{\ell}^2)}$  and using  $\hat{m}_{\ell} = m_{\ell}/\sqrt{q^2}$ , one can obtain the nonvanishing leptonic decay amplitude:

$$
L\left(\lambda_e = -\frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = -1\right) = f_l \hat{m}_e \sin \theta_e, \qquad L\left(\lambda_e = -\frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = 0\right) = -f_l \sqrt{2} \hat{m}_e \cos \theta_e,
$$
  
\n
$$
L\left(\lambda_e = -\frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = 1\right) = -f_l \hat{m}_e \sin \theta_e, \qquad L\left(\lambda_e = -\frac{1}{2}, \lambda_\nu = -\frac{1}{2}, t\right) = f_l \sqrt{2} \hat{m}_e
$$
  
\n
$$
L\left(\lambda_e = \frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = -1\right) = -f_l (1 + \cos \theta_e), \qquad L\left(\lambda_e = \frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = 0\right) = -f_l \sqrt{2} \sin \theta_e,
$$
  
\n
$$
L\left(\lambda_e = \frac{1}{2}, \lambda_\nu = -\frac{1}{2}, \lambda_W = 1\right) = -f_l (1 - \cos \theta_e).
$$
  
\n(6)

In the massless limit  $m_\ell \to 0$ , only the last three terms are nonzero due to the helicity conservation.

## B.  $D \to K_1 W^*$

The  $D \to K_1$  transition matrix element can be parametrized by a set of form factors:

$$
\langle K_1 | \bar{s} \gamma^{\mu} \gamma_5 c | D \rangle = -\frac{2iA(q^2)}{m_D - m_{K_1}} \epsilon^{\mu \nu \rho \sigma} (\epsilon_{K_1}^*)_{\nu} (p_D)_{\rho} (p_{K_1})_{\sigma}, \tag{7}
$$

$$
\langle K_1 | \bar{s} \gamma^{\mu} c | D \rangle = -2m_{K_1} V_0(q^2) \frac{\epsilon_{K_1}^* \cdot q}{q^2} q^{\mu} - (m_D - m_{K_1}) V_1(q^2) \left[ \epsilon_{K_1}^* - \frac{\epsilon_{K_1}^{*\mu} \cdot q}{q^2} q^{\mu} \right] + V_2(q^2) \frac{\epsilon_{K_1}^* \cdot q}{m_D - m_{K_1}} \left[ (p_D + p_{K_1})^{\mu} - \frac{m_D^2 - m_{K_1}^2}{q^2} q^{\mu} \right],
$$
\n(8)

where  $q^{\mu} = p^{\mu}_{D} - p^{\mu}_{K_1}$  is the momentum transfer and  $\epsilon^{\mu\nu\rho\sigma}$  is the antisymmetric tensor. So the matrix element  $c_{\lambda_W} \equiv \langle K_1 | \bar{s} \gamma^{\mu} (1 - \gamma_5) c | D \rangle \epsilon_{\mu}^* (\lambda_W)$  is evaluated as

$$
c_{\pm} = (m_D - m_{K_1})V_1 \mp \frac{A\sqrt{\lambda(m_D^2, m_{K_1}^2, q^2)}}{m_D - m_{K_1}},
$$
\n(9)

$$
c_0 = \frac{-1}{2m_{K_1}\sqrt{q^2}} \left[ (m_D^2 - m_{K_1}^2 - q^2)(m_D - m_{K_1})V_1 - \frac{\lambda(m_D^2, m_{K_1}^2, q^2)}{m_D - m_{K_1}}V_2 \right],
$$
(10)

#### 053003-3

$$
c_t = -\frac{\sqrt{\lambda(m_D^2, m_{K_1}^2, q^2)}}{\sqrt{q^2}} V_0.
$$
\n(11)

In the above,  $\lambda(m_D^2, m_{K_1}^2, q^2) = (m_D^2 + m_{K_1}^2 - q^2)^2 - 4m_D^2 m_{K_1}^2$ .

## C. Differential decay width for  $D \to K_1 \ell^+ \nu_\ell$

In this subsection, we will derive the differential decay width for  $D \to K_1 \ell^+ \nu_\ell$ . In the narrow width limit for  $K_1$ , this decay width gives a normalization for the angular distributions for  $D \to K_1(\to K\pi\pi)\ell^+\nu_\ell$ .

Combining the three-body phase space

$$
d\Phi_{3}(p_{K_{1}}, p_{\ell}, p_{\nu_{\ell}}) = \delta^{4}(p_{D} - p_{K_{1}} - p_{\ell^{+}} - p_{\nu_{\ell}}) \frac{d^{3}p_{K_{1}}}{(2\pi)^{3}2E_{K_{1}}} \frac{d^{3}p_{\ell^{+}}}{(2\pi)^{3}2E_{\ell^{+}}} \frac{d^{3}p_{\nu_{\ell}}}{(2\pi)^{3}2E_{\nu_{\ell}}}
$$
  
\n
$$
= \delta^{4}(p_{D} - p_{K_{1}} - q)(2\pi)^{4}\delta^{4}(q - p_{\ell^{+}} - p_{\nu_{\ell}}) \frac{d^{4}q}{(2\pi)^{4}} \frac{d^{3}p_{K_{1}}}{(2\pi)^{3}2E_{K_{1}}} \frac{d^{3}p_{\ell^{+}}}{(2\pi)^{3}2E_{\ell^{+}}} \frac{d^{3}p_{\nu_{\ell}}}{(2\pi)^{3}2E_{\nu_{\ell}}}
$$
  
\n
$$
= \frac{1}{(2\pi)^{7}} \frac{\sqrt{\lambda(m_{D}^{2}, m_{K_{1}}^{2}, q^{2})}}{32m_{D}^{2}} (1 - m_{\ell}^{2}/q^{2}) \times d \cos \theta_{\ell} dq^{2},
$$
\n(12)

one can obtain the angular distribution for  $D \to K_1 \ell^+ \nu_\ell$ 

$$
\frac{d\Gamma}{dq^2 d\cos\theta_{\ell}} = \frac{G_F^2 |V_{cs}|^2 \sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)} q^2}{512\pi^3 m_D^3} (1 - \hat{m}_{\ell}^2)^2 \times (2c_0^2 (\sin^2\theta_{\ell} + \hat{m}_{\ell}^2 \cos^2\theta_{\ell}) + c_+^2 [(1 + \cos\theta_{\ell})^2 + \hat{m}_{\ell}^2 \sin^2\theta_{\ell}] + c_-^2 [(1 - \cos\theta_{\ell})^2 + \hat{m}_{\ell}^2 \sin^2\theta_{\ell}] + c_t^2 2\hat{m}_{l}^2 - \text{Re}[c_0 c_l^*] 4\hat{m}_{l}^2 \cos\theta). \tag{13}
$$

Integrating over  $\cos \theta_e$ , one can have partial decay widths [\[25,26\]:](#page-13-1)

$$
\frac{d\Gamma_L(D \to K_1 \ell^+ \nu_\ell)}{dq^2} = \frac{G_F^2 |V_{cs}|^2 \sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)} q^2}{512\pi^3 m_D^3} (1 - \hat{m}_\ell^2)^2 \times \left(\frac{4}{3} c_0^2 (2 + \hat{m}_\ell^2) + 4\hat{m}_\ell^2 c_t^2\right)
$$
  

$$
= \frac{\sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)} G_F^2 |V_{cs}|^2}{384 m_D^3 \pi^3} (1 - \hat{m}_\ell^2)^2 \times \left\{3\hat{m}_1^2 \lambda (m_D^2, m_{K_1}^2, q^2) V_0^2 + (\hat{m}_\ell^2 + 2) \left|\frac{1}{2m_{K_1}} \left[ (m_D^2 - m_{K_1}^2 - q^2)(m_D - m_{K_1}) V_1 - \frac{\lambda (m_D^2, m_{K_1}^2, q^2)}{m_D - m_{K_1}} V_2 \right| \right|^2 \right\},
$$
(14)

$$
\frac{d\Gamma_{\pm}(D \to K_1 \ell^+ \nu_{\ell})}{dq^2} = \frac{G_F^2 |V_{cs}|^2 \sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)} q^2}{512\pi^3 m_D^3} (1 - \hat{m}_{\ell}^2)^2 \times \frac{4}{3} c_{\pm}^2 (2 + \hat{m}_{\ell}^2)
$$

$$
= \frac{\sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)} G_F^2 |V_{cs}|^2}{384 m_D^3 \pi^3} (1 - \hat{m}_{\ell}^2)^2 q^2
$$

$$
\times \left\{ (\hat{m}_{\ell}^2 + 2) \lambda (m_D^2, m_{K_1}^2, q^2) \left| \frac{A}{m_D - m_{K_1}} \mp \frac{(m_D - m_{K_1}) V_1}{\sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)}} \right|^2 \right\},
$$
(15)

where L and  $\pm$  in the subscripts denote contribution from longitudinal and transverse polarization.

## D.  $K_1 \rightarrow K \pi \pi$

The hadronic part in the decay cascade  $D \to K_1 \ell^+ \nu_\ell \to$  $(K\pi\pi)\ell^+\nu_\ell$  contains:

$$
H \cdot \epsilon^*_{W}(\lambda) \sim \langle K \pi \pi | K_1 \rangle \times \langle K_1 | (V - A)_{\mu} | D \rangle \epsilon^*_{W}(\lambda), \qquad (16)
$$

where  $\langle K\pi\pi|K_1\rangle$  is parameterized as

$$
\langle K\pi\pi|K_1\rangle = (2\pi)^4 \delta^4 (p_{K_1} - p_K - p_\pi - p_\pi) \times \epsilon_{K_1} \cdot J. \tag{17}
$$

Notice that the explicit form of  $J$  depends on the convention of the  $\vec{n}$ .

In the  $K_1$  rest frame, one can set the normal direction as the z-axis and the momenta of  $(K, \pi^+, \pi^-)$  lies in the x-y plane. Since J is a linear combination of the momenta of two pions,  $J_z = 0$  and

$$
J_{\mu} = (J_0, J_x, J_y, 0). \tag{18}
$$

To simplify the calculation, we choose  $K_1$  moving along  $(\theta_K, \phi)$  direction, and thus  $\epsilon_{K_1} \cdot J$  is evaluated as

$$
\epsilon_{K_1}(0) \cdot J = \sin \theta_K (J_x \cos \phi + J_y \sin \phi), \qquad (19)
$$

$$
\epsilon_{K_1}(1) \cdot J = -\frac{1}{\sqrt{2}} [\cos \phi (J_x \cos \theta_K + iJ_y) + \sin \phi (J_y \cos \theta_K - iJ_x)],
$$
\n(20)

$$
\epsilon_{K_1}(-1) \cdot J = \frac{1}{\sqrt{2}} [\cos \phi (J_x \cos \theta_K - iJ_y) + \sin \phi (J_y \cos \theta_K + iJ_x)]. \tag{21}
$$

## E. Angular distributions in  $D \to K_1 \ell^+ \nu_\ell \to (K\pi\pi)\ell^+ \nu_\ell$

With the above individuals, one can obtain the total decay amplitude:

$$
\mathcal{M}\left(\lambda_{e} = -\frac{1}{2}\right) = L\left(\lambda_{e} = -\frac{1}{2}, \lambda_{\nu} = -\frac{1}{2}, \lambda_{W} = -1\right) \times \epsilon_{K_{1}}(-1) \cdot J \times c_{-}
$$

$$
+ L\left(\lambda_{e} = -\frac{1}{2}, \lambda_{\nu} = -\frac{1}{2}, \lambda_{W} = 1\right) \times \epsilon_{K_{1}}(1) \cdot J \times c_{+}
$$

$$
+ L\left(\lambda_{e} = -\frac{1}{2}, \lambda_{\nu} = -\frac{1}{2}, \lambda_{W} = 0\right) \times \epsilon_{K_{1}}(0) \cdot J \times c_{0}
$$

$$
- L\left(\lambda_{e} = -\frac{1}{2}, \lambda_{\nu} = -\frac{1}{2}, \lambda_{W} = t\right) \times \epsilon_{K_{1}}(0) \cdot J \times c_{t}, \tag{22}
$$

$$
\mathcal{M}\left(\lambda_{e}=\frac{1}{2}\right)=L\left(\lambda_{e}=\frac{1}{2},\lambda_{\nu}=-\frac{1}{2},\lambda_{W}=-1\right)\times\varepsilon_{K_{1}}(-1)\cdot J\times c_{-} \n+L\left(\lambda_{e}=\frac{1}{2},\lambda_{\nu}=-\frac{1}{2},\lambda_{W}=1\right)\times\varepsilon_{K_{1}}(1)\cdot J\times c_{+} \n+L\left(\lambda_{e}=\frac{1}{2},\lambda_{\nu}=-\frac{1}{2},\lambda_{W}=0\right)\times\varepsilon_{K_{1}}(0)\cdot J\times c_{0} \n-L\left(\lambda_{e}=\frac{1}{2},\lambda_{\nu}=-\frac{1}{2},\lambda_{W}=t\right)\times\varepsilon_{K_{1}}(0)\cdot J\times c_{t}.
$$
\n(23)

Using two abbreviations:

$$
|J|^2 = |J_x|^2 + |J_y|^2, \qquad \text{Im}[n \cdot (\vec{J} \times \vec{J}^*)] = -i(J_x J_y^* - J_y J_x^*), \tag{24}
$$

and integrating over  $\phi$ , we obtain:

$$
|\mathcal{M}|^2 = \frac{3}{4\pi|J|^2} \int d\phi \left( \left| \mathcal{M} \left( \lambda_e = \frac{1}{2} \right) \right|^2 + \left| \mathcal{M} \left( \lambda_e = -\frac{1}{2} \right) \right|^2 \right)
$$
  
=  $\frac{3}{8} (d_1 + d_1' [\cos^2 \theta_K \cos^2 \theta_e] + d_2 \cos \theta_e + d_2' \cos^2 \theta_K \cos \theta_e$   
+  $d_3 \cos \theta_K + d_3' \cos \theta_K \cos^2 \theta_e + d_4 \cos \theta_K \cos \theta_e + d_5 \cos^2 \theta_K + d_5' \cos^2 \theta_e),$  (25)

where a factor  $\frac{3}{4\pi|J|^2}$  is introduced to be consistent with the three-body decay width. In the above equation, the angular coefficients are calculated as

$$
d_1 = (1 + \hat{m}_{\ell}^2)(|c_{-}|^2 + |c_{+}|^2) + 4|c_{0}|^2 + 4\hat{m}_{\ell}^2|c_{t}|^2,
$$
  
\n
$$
d'_1 = (1 - \hat{m}_{\ell}^2)(4|c_{0}|^2 + |c_{-}|^2 + |c_{+}|^2),
$$
  
\n
$$
d_2 = -2[|c_{-}|^2 - |c_{+}|^2 + 4\text{Re}[c_{0}c_{t}^*]\hat{m}_{\ell}^2],
$$
  
\n
$$
d'_2 = -2[|c_{-}|^2 - |c_{+}|^2 - 4\text{Re}[c_{0}c_{t}^*]\hat{m}_{\ell}^2],
$$
  
\n
$$
d_3 = 2\frac{\text{Im}[\vec{n} \cdot (\vec{J} \times \vec{J}^*)]}{|J|^2} [(1 + \hat{m}_{\ell}^2)(|c_{+}|^2 - |c_{-}|^2)],
$$
  
\n
$$
d'_3 = 2\frac{\text{Im}[\vec{n} \cdot (\vec{J} \times \vec{J}^*)]}{|J|^2} [(1 - \hat{m}_{\ell}^2)(|c_{+}|^2 - |c_{-}|^2)]
$$
  
\n
$$
d_4 = 4\frac{\text{Im}[\vec{n} \cdot (\vec{J} \times \vec{J}^*)]}{|J|^2} (|c_{-}|^2 + |c_{+}|^2),
$$
  
\n
$$
d_5 = -[(1 + \hat{m}_{\ell}^2)(-|c_{-}|^2 - |c_{+}|^2) + 4|c_{0}|^2 + 4\hat{m}_{\ell}^2|c_{t}|^2],
$$
  
\n
$$
d'_5 = -[(1 - \hat{m}_{\ell}^2)(4|c_{0}|^2 - |c_{-}|^2 - |c_{+}|^2)].
$$
\n(26)

Apparently the following combination can be used to extract the hadron amplitude  $H_{K_1}$ 

$$
H_{K_1} = \frac{d_3 + d'_3}{d_2 + d'_2} = \frac{\text{Im}[\vec{n} \cdot (\vec{J} \times \vec{J}^*)]}{|J|^2}.
$$
 (27)

Including the phase-space, we arrive at the angular distribution for  $D \to K_1 \ell^+ \nu_\ell \to K \pi \pi \ell^+ \nu_\ell$  as:

$$
\frac{d\Gamma}{dq^2 d\cos\theta_{\ell} d\cos\theta_{K}} = \frac{G_F^2 |V_{cs}|^2 q^2 \sqrt{\lambda (m_D^2, m_{K_1}^2, q^2)}}{512\pi^3 m_D^3} (1 - \hat{m}_{\ell}^2)^2 \times \frac{3}{8} (d_1 + d_1' [\cos^2\theta_K \cos^2\theta_{\ell}] + d_2 \cos\theta_{\ell} + d_2' \cos^2\theta_K \cos\theta_{\ell} + d_3 \cos\theta_K + d_3' \cos\theta_K \cos^2\theta_{\ell} + d_4 \cos\theta_K \cos\theta_{\ell} + d_5 \cos^2\theta_K + d_5' \cos^2\theta_{\ell}).
$$
\n(28)

<span id="page-5-0"></span>The ratio of differential up-down asymmetries [\[24\]](#page-13-0) is evaluated as:

$$
\mathcal{A}'_{\text{UD}} = \frac{\frac{d\Gamma}{dq^2} [\cos \theta_K > 0] - \frac{d\Gamma}{dq^2} [\cos \theta_K < 0]}{\frac{d\Gamma}{dq^2} [\cos \theta_\ell > 0] - \frac{d\Gamma}{dq^2} [\cos \theta_\ell < 0]} \n= \frac{3d_3 + d'_3}{3d_2 + d'_2} \n= H_{K_1} \frac{(2 + \hat{m}_\ell^2)(|c_-|^2 - |c_+|^2)}{2[(|c_-|^2 - |c_+|^2) + 2\text{Re}[c_0 c_t^*] \hat{m}_\ell^2]}.
$$
\n(29)

If the massless limit  $\hat{m}_{\ell} \to 0$ , the above ratio is reduced to the hadronic amplitude  $H_{K_1}$ , but apparently this reduction is contaminated by the lepton mass.

### F. Angular distributions in  $B \to K_1 \gamma$

In this subsection, we will derive the angular distribution in  $B \to K_1(\to K\pi\pi)\gamma$ . The effective Hamiltonian for  $b \rightarrow s\gamma$  has the general form:

$$
\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^*(C_{7L} \mathcal{O}_{7L} + C_{7R} \mathcal{O}_{7R}),
$$

$$
\mathcal{O}_{7L,R} = \frac{em_b}{16\pi^2} \bar{s}\sigma_{\mu\nu} \frac{1 \pm \gamma_5}{2} bF^{\mu\nu},
$$
(30)

where  $C_{7L,7R}$  are the corresponding Wilson coefficients for  $\mathcal{O}_{7L,R}$ . Due to the chirality structure of  $W^{\pm}$  in SM, the photon in  $b \rightarrow s\gamma$  is predominantly left-handed, while the right-handed polarization is suppressed by approximately  $m_s/m_b$ .

Using the helicity amplitude technique one can similarly calculate the angular distributions for  $B \to K_1(\to K\pi\pi)\gamma$ , and the results are easier in two aspects. First, there is no leptonic part in the decay cascade. Second, the  $B \to K_1 \gamma$ decay amplitudes only contain two polarizations. Without including higher order QCD corrections, the two polarization contributions are proportionally to  $C_{7L,7R}$ . Then differential decay rate for  $B \to K_1(\to K\pi\pi)\gamma$  can be expressed as [\[18,19,23\]](#page-12-6):

$$
\frac{d\Gamma_{K_{1}\gamma}}{d\cos\theta_K} = \frac{|A|^2|\vec{J}|^2}{4} \times \left[1 + \cos^2\theta_K + 2\lambda_\gamma \cos\theta_K \frac{\text{Im}[\vec{n}\cdot(\vec{J}\times\vec{J}^*)]}{|\vec{J}|^2}\right].
$$
 (31)

Here the  $\theta_K$  is the same angle as in Fig. [1.](#page-1-1) The nonperturbative amplitude A characterizes the  $B \to K_1 \gamma$ . The photon polarization  $\lambda_{\gamma}$  is defined as

$$
\lambda_{\gamma} = \frac{|\mathcal{A}(B \to K_{1R}\gamma_R)|^2 - |\mathcal{A}(B \to K_{1L}\gamma_L)|^2}{|\mathcal{A}(B \to K_{1R}\gamma_R)|^2 + |\mathcal{A}(B \to K_{1L}\gamma_L)|^2},\qquad(32)
$$

with  $\lambda_{\gamma} \simeq -1$  for  $b \to s\gamma$  but  $\lambda_{\gamma} \simeq +1$  for  $\bar{b} \to \bar{s}\gamma$  in SM.

### <span id="page-6-0"></span>III. NUMERICAL RESULTS AND DISCUSSIONS

### A.  $K_1$  mixing and  $D \rightarrow K_1$  form factors

If the quark model is employed, two kinds of axialvector states with a strange quark can be constructed, depending on the spin of the quark and anti-quark pair. If their total spin is 1, the resulting axial-vector state is denoted as  ${}^{3}P_1$ , also called  $K_{1A}$ . When the total spin is 0, the axial-vector state is usually denoted as  ${}^{1}P_{1}$  or  $K_{1B}$ . Since strange quark is heavier than up/down quark,  $K_1(1270)$  and  $K_1(1400)$  are not purely  $K_{1A}(^{3}P_1)$  and  $K_{1B}(^{1}P_1)$  states, and instead they will mix:

$$
|K_1(1270)\rangle = |K_{1A}\rangle \sin \Theta_K + |K_{1B}\rangle \cos \Theta_K, \quad (33)
$$

$$
|K_1(1400)\rangle = |K_{1A}\rangle \cos \Theta_K - |K_{1B}\rangle \sin \Theta_K. \quad (34)
$$

Generally, the mixing angle  $\Theta_K$  can be determined by the experimental data, such as  $\tau^- \to K^-_1 \nu_\tau$ , whose decay rate is given by

$$
\Gamma(\tau^- \to K_1^- \nu_\tau) = \frac{m_\tau^3}{16\pi} G_F^2 |V_{us}|^2 f_A^2 \left(1 - \frac{m_A^2}{m_\tau^2}\right)^2 \left(1 + \frac{2m_A^2}{m_\tau^2}\right).
$$
\n(35)

The measured branching fractions [\[27\]](#page-13-2) are given as

$$
\mathcal{B}(\tau^- \to K_1(1270)\nu_\tau) = (4.7 \pm 1.1) \times 10^{-3}, \quad (36)
$$

$$
\mathcal{B}(\tau^- \to K_1(1400)\nu_\tau) = (1.7 \pm 2.6) \times 10^{-3}, \quad (37)
$$

through which one can extract the decay constants of  $K_1$ :

$$
|f_{K_1(1270)}| = (169^{+19}_{-21}) \text{ MeV};
$$
  

$$
|f_{K_1(1400)}| = (125^{+74}_{-125}) \text{ MeV}.
$$
 (38)

One can combine the decay constants for  $K_{1A}$ ,  $K_{1B}$ evaluated for instance in QCD sum rules [\[28\]](#page-13-3) to determine the mixing angle  $\Theta_K$  [\[25\]](#page-13-1):

$$
-143^{\circ} < \Theta_K < -120^{\circ}, \quad \text{or}
$$
\n
$$
-49^{\circ} < \Theta_K < -27^{\circ}, \quad \text{or}
$$
\n
$$
37^{\circ} < \Theta_K < 60^{\circ}, \quad \text{or}
$$
\n
$$
131^{\circ} < \Theta_K < 153^{\circ}. \tag{39}
$$

The mixing angle can be further constrained with the data on, such as  $B \to K_1 \gamma$  decays, and it is found that except the third scenario, the other three scenarios are not favored by  $K_1$  masses or  $B \to K_1 \gamma$  decay widths [\[29\].](#page-13-4) With the available constraints, Ref. [\[30\]](#page-13-5) suggested the use of  $\Theta_K = 50.8^\circ$ , while  $\Theta_K = 33^\circ$  is suggested in Ref. [\[31\]](#page-13-6). In the following we will use  $\Theta_K = 45^\circ$  as the central result [which is also favored by BESIII measurements of  $\mathcal{B}(D \to K_1 e^+ \nu_e)$ , but the dependence on  $\Theta_K$  in a wider range  $30^{\circ} < \Theta_K < 60^{\circ}$  will be presented. However it should be noticed that this range is obtained in conjunction with the form factors calculated in the covariant light-front quark model (LFQM) [30–[33\].](#page-13-5) The  $D \to K_1$  form factors have also been calculated in QCD sum rules [34–[36\],](#page-13-7) but results differ significantly. The corresponding mixing angle is obtained differently:  $\Theta_K = -(34 \pm 13)^\circ$ , which we shall adopt for consistence in the following comparison.

<span id="page-6-1"></span>The results for  $D \to K_1$  form factors from Refs. [\[30,33,34\]](#page-13-5) are collected in Table [I.](#page-7-0) In these results, the  $q^2$ -distribution of form factors is parametrized as:

<span id="page-7-0"></span>TABLE I. The  $D \to K_1$  form factors calculated in the covariant LFQM [\[30,33\]](#page-13-5) and QCD sum rules [\[34\]](#page-13-7). The coefficients a and b are parameters in Eq. [\(40\)](#page-6-1) and [\(41\).](#page-9-0) The physical  $K_1$  states  $(K_1(1270)$  and  $K_1(1420)$  are mixtures of the  $K_{1A}$  ( $J^{PC} = 1^{++}$ ) and  $K_{1B}$  $(J^{PC} = 1^{+-}).$ 

F[33]	F(0)	a	b	$\boldsymbol{F}$	F(0)	$\boldsymbol{a}$	$\boldsymbol{b}$
$A^{DK_{1A}}$	0.98	0.92	0.17	$V_0^{D\overline{K}_{1A}}$	0.34	1.44	0.15
$V_1^{DK_{1A}}$	2.02	$-0.01$	0.03	$V_2^{DK_{1A}}$	0.03	$-0.18$	0.10
$A^{DK_{1B}}$	0.10	1.03	0.48	$V_0^{DK_{1B}}$	0.44	0.80	0.27
$V_1^{DK_{1B}}$	1.53	0.39	0.05	$V_2^{DK_{1B}}$	$-0.09$	$-0.16$	0.51
F[30]	F(0)	$\boldsymbol{a}$	b	$\overline{F}$	F(0)	$\boldsymbol{a}$	$\boldsymbol{b}$
$A^{DK_{1A}}$	$0.15_{+0.01-0.01}^{-0.01+0.01}$	$0.89_{+0.03-0.01}^{-0.03+0.00}$	$0.12_{+0.02+0.01}^{-0.02-0.01}$	$V_{\mathrm{Q}}^{DK_{1A}}$	$0.28_{+0.00+0.00}^{-0.00-0.00}$	$0.84^{-0.02-0.01}_{+0.01-0.01}$	$0.39_{+0.06-0.03}^{-0.05+0.04}$
$V_1^{DK_{1A}}$	$1.60^{-0.05-0.02}_{+0.05+0.01}$	$-0.22_{+0.00+0.03}^{-0.00-0.03}$	$0.07_{+0.00-0.00}^{+0.00+0.00}$	$V_2^{\tilde D K_{1A}}$	$0.01_{+0.00-0.00}^{+0.00+0.00}$	$-0.83_{+0.15-0.03}^{-0.17+0.02}$	$0.24_{-0.03+0.01}^{+0.04-0.01}$
$A^{DK_{1B}}$	$0.10^{+0.00+0.00}_{-0.00-0.00}$	$0.98_{+0.01+0.01}^{-0.01-0.01}$	$0.37_{+0.03+0.04}^{-0.03-0.03}$	$V_0^{DK_{1B}}$	$0.48_{+0.01-0.03}^{-0.01+0.02}$	$0.94_{+0.01+0.01}^{-0.02-0.02}$	$0.22^{+0.00-0.03}_{-0.00+0.03}$
$V_1^{DK_{1B}}$	$1.58^{+0.02+0.03}_{-0.03-0.05}$	$0.31_{+0.02+0.02}^{-0.02-0.01}$	$0.04_{+0.00+0.01}^{-0.00-0.00}$	$V_2^{DK_{1B}}$	$-0.13_{-0.01+0.01}^{+0.01-0.01}$	$0.57_{+0.04-0.01}^{-0.06-0.01}$	$0.32_{-0.03+0.06}^{+0.05-0.04}$
$F$ [34]	F(0)	$\mathfrak a$	b	$\boldsymbol{F}$	F(0)	$\boldsymbol{a}$	$\boldsymbol{b}$
$A^{DK_{1A}}$	0.07	0.21	$-2.14$	$V_0^{DK_{1A}}$	0.11	0.44	0.61
$V_1^{DK_{1A}}$	0.37	0.20	$-0.13$	$V_2^{DK_{1A}}$	$-0.03$	$-0.70$	1.81
$A^{DK_{1B}}$	$-0.53$	0.46	0.38	$V_0^{DK_{1B}}$	$-0.42$	$-2.33$	9.24
$V_1^{DK_{1B}}$	$-0.29$	1.17	1.72	$V_2^{DK_{1B}}$	0.31	$-0.49$	$-0.21$

<span id="page-7-1"></span>

FIG. 2. Differential decay widths for  $D \to K_1(1270)\ell^+\nu_\ell$  (in units of  $10^{-15}$  GeV<sup>-1</sup>) and  $D \to K_1(1400)\ell^+\nu_\ell$  (in units of 10<sup>−</sup><sup>15</sup> GeV<sup>−</sup><sup>1</sup>) based on the form factors from Ref. [\[33\].](#page-13-8) The dotted and dashed lines correspond to the longitudinal and transverse polarizations, while the solid line gives the total differential decay widths. The shadowed region arises from the uncertainties in the mixing angle  $\theta_K$ .

<span id="page-8-0"></span>

FIG. 3. Differential decay widths for  $D \to K_1(1270)\ell^+\nu_\ell$  (in units of  $10^{-15} \text{ GeV}^{-1}$ ) and  $D \to K_1(1400)\ell^+\nu_\ell$  (in units of 10<sup>−</sup><sup>15</sup> GeV<sup>−</sup><sup>1</sup>) based on the form factors from Ref. [\[30\].](#page-13-5) The dotted and dashed lines correspond to the longitudinal and transverse polarizations, while the solid line gives the total differential decay widths. The shadowed region arises from the uncertainties in the mixing angle  $\theta_K$ .

<span id="page-8-1"></span>

FIG. 4. Differential decay widths for  $D \to K_1(1270)\ell^+\nu_\ell$  (in units of  $10^{-15} \text{ GeV}^{-1}$ ) and  $D \to K_1(1400)\ell^+\nu_\ell$  (in units of 10<sup>−</sup><sup>15</sup> GeV<sup>−</sup><sup>1</sup>), based on the form factors from QCD sum rules [\[34\].](#page-13-7) The dotted and dashed lines correspond to the longitudinal and transverse polarizations, while the solid line gives the total differential decay widths. The shadowed region arises from the uncertainties in the mixing angle  $\theta_K$ .

$$
F(q^2) = \frac{F(0)}{1 - aq^2/m_D^2 + b(q^2/m_D^2)^2},
$$
 (40)

<span id="page-9-0"></span>but a different parametrization is used for  $V_2^{D\to K_{1B}}$  in Refs. [\[30,33\]:](#page-13-5)

$$
F(q^2) = \frac{F(0)}{(1 - q^2/m_D^2)(1 - aq^2/m_D^2 + b(q^2/m_D^2)^2)}.
$$
 (41)

The physical form factors are obtained through:

$$
F^{D \to K_1(1270)} = F^{D \to K_{1A}} \sin \Theta_K + F^{D \to K_{1B}} \cos \Theta_K, \quad (42)
$$

$$
F^{D \to K_1(1400)} = F^{D \to K_{1A}} \cos \Theta_K - F^{D \to K_{1B}} \sin \Theta_K. \tag{43}
$$

### B. Decay widths and branching fractions

To calculate decay widths, we will use the following inputs from Particle Data Group [\[27\]:](#page-13-2)

<span id="page-9-1"></span>TABLE II. Results for integrated branching ratios for the  $D \to K_1(1270)\ell^+\nu_\ell$  and  $D \to K_1(1400)\ell^+\nu_\ell$  decays (in units of  $10^{-3}$ ). The experimental results are taken from BESIII measurements [\[37,38\]](#page-13-9). For each decay channel, three sets of theoretical predictions are given, which correspond to the form factors from Ref. [\[33\]](#page-13-8), Ref. [\[30\],](#page-13-5) and Ref. [\[34\]](#page-13-7), respectively. Uncertainties from the mixing angle  $\theta_K$  are also taken into account.

$D^0 \to K^-(1270) \ell^+ \nu_{\ell}$	$\mathcal{B}_{\rm L}$	$\mathcal{B}_{\rm T}$	$\boldsymbol{F}_L$	$\mathcal{B}_{total}$	$B_{data}$
$\ell = e$	$1.3^{+0}_{-0.094}$ $1.2^{+0.0058}_{-0.16}$ $0.35\substack{+0.026 \\ -0.059}$	$1.5^{+0.29}_{-0.42}$ $0.59^{+0}_{-0.041}$ $0.31_{-0.071}^{+0.047}$	$0.47^{+0.065}_{-0.06}$ $0.66^{+0.017}_{-0.018}$ $0.53_{-0.018}^{+0.02}$	$2.7^{+0.21}_{-0.51}$ $1.8^{+0}_{-0.2}$ $0.66^{+0.074}_{-0.13}$	$1.09 \pm 0.13^{+0.09}_{-0.16} \pm 0.12$
$\ell = \mu$	$1^{+0}_{-0.075}$ $0.94\substack{+0.0058\\-0.13}$ $0.27^{+0.019}_{-0.045}$	$1.3^{+0.25}_{-0.36}$ $0.53^{+0}_{-0.036}$ $0.26_{-0.061}^{+0.04}$	$0.45_{-0.059}^{+0.064}$ $0.64^{+0.018}_{-0.019}$ $0.51_{-0.018}^{+0.02}$	$2.3^{+0.19}_{-0.44}$ $1.5^{+0}_{-0.16}$ $0.54^{+0.06}_{-0.11}$	
$D^+ \to \bar{K}^0(1270) \ell^+ \nu_{\ell}$	$\mathcal{B}_{L}$	$B_T$	$F_L$	$\mathcal{B}_{total}$	$B_{data}$
$\ell = e$	$3.3^{+0}_{-0.24}$ $3^{+0.015}_{-0.4}$ $0.9^{+0.066}_{-0.15}$	$3.7^{+0.74}_{-1.1}$ $1.5^{+0}_{-0.1}$ $0.78_{-0.18}^{+0.12}$	$0.47^{+0.065}_{-0.06}$ $0.66^{+0.017}_{-0.018}$ $0.53_{-0.018}^{+0.02}$	$7.^{+0.54}_{-1.3}$ $4.5^{+0}_{-0.5}$ $1.7^{+0.19}_{-0.33}$	$2.30\pm0.26^{+0.18}_{-0.21}\pm0.25$
$\ell = \mu$	$2.6^{+0}_{-0.19}$ $2.4^{+0.015}_{-0.33}$ $0.69^{+0.049}_{-0.11}$	$3.2^{+0.63}_{-0.92}$ $1.3^{+0}_{-0.092}$ $0.67^{+0.1}_{-0.15}$	$0.45_{-0.059}^{+0.064}$ $0.64^{+0.018}_{-0.019}$ $0.51_{-0.018}^{+0.02}$	$5.9^{+0.47}_{-1.1}$ $3.7^{+0}_{-0.41}$ $1.4^{+0.15}_{-0.27}$	
$D^0\to K^-(1400) \ell^+\nu_\ell$	$\mathcal{B}_{\mathrm{L}}$	$B_T$		$F_L$	$\mathcal{B}_{total}$
$\ell = e$	$0.00056^{+0.014}_{0}$ $0.0057^{+0.026}_{-0.004}$ $0.0083^{+0.014}_{-0.0074}$		$0.14_{-0.08}^{+0.1}$ $0.00044_0^{+0.0071}$ $0.02_{-0.014}^{+0.02}$	$0.004\substack{+0.19\\0}$ $0.93_{-0.74}^{+0}$ $0.29_{-0.16}^{+0.064}$	$0.14_{-0.067}^{+0.11}$ $0.0062_0^{+0.031}\,$ $0.028_{-0.021}^{+0.034}$
$\ell = \mu$	$0.00066_0^{+0.011}$ $0.0046_{-0.0031}^{+0.02}$ $0.0052_{-0.0047}^{+0.0094}$	$0.11_{-0.061}^{+0.079}$ $0.00034_0^{+0.0057}\,$ $0.015_{-0.01}^{+0.015}$		$0.0062_0^{+0.2}$ $0.93^{+0}_{-0.74}$ $0.25_{-0.16}^{+0.068}$	$0.11_{-0.05}^{+0.087}$ $0.0049_0^{+0.024}\,$ $0.021_{-0.015}^{+0.025}$
$D^+ \to \bar{K}^0(1400) \ell^+ \nu_{\ell}$	$\mathcal{B}_{L}$		$B_T$	$F_L$	$B_{\text{total}}$
$\ell = e$	$0.0014_0^{+0.035}\,$ $0.015_{-0.01}^{+0.065}$ $0.021_{-0.019}^{+0.035}$	$0.36\substack{+0.26 \\ -0.2}$ $0.0011_0^{+0.018}$ $0.051_{-0.035}^{+0.051}$		$0.004\substack{+0.19\\0}$ $0.93_{-0.74}^{+0}$ $0.29_{-0.16}^{+0.064}$	$0.36_{-0.17}^{+0.29}$ $0.016_0^{+0.078}\,$ $0.072_{-0.054}^{+0.087}$
$\ell = \mu$	$0.0017_0^{+0.028}$ $0.012_{-0.0079}^{+0.05}$ $0.013_{-0.012}^{+0.024}$	$0.27^{+0.2}_{-0.15}$ $0.00086_0^{+0.014}\,$ $0.039\substack{+0.039\\-0.026}$		$0.0062_0^{+0.2}$ $0.93_{-0.74}^{+0}$ $0.25_{-0.16}^{+0.068}$	$0.27^{+0.22}_{-0.13}$ $0.012_0^{+0.061}\,$ $0.052_{-0.038}^{+0.062}$

<span id="page-10-0"></span>

FIG. 5. Dependence of branching ratios  $\mathcal{B}(D^0 \to K_1^- \ell^+ \nu_\ell)$  (in units of  $10^{-3}$ ) on the mixing angle  $\Theta_K$  in the range 30° <  $\Theta_K$  < 60° based on the form factors from LFQM in Ref. [\[33\]](#page-13-8) (the two upper lines), and Ref. [\[30\]](#page-13-5) (the two lower curves). Dotted and dashed curves correspond to the electron and muon mode, respectively.

$$
\tau(D^0) = (0.4101 \pm 0.0015) \times 10^{-12} \text{ s},
$$
  
\n
$$
\tau(D^+) = (1.040 \pm 0.007) \times 10^{-12} \text{ s},
$$
  
\n
$$
m_{K_1(1270)} = 1.253 \text{ GeV},
$$
  
\n
$$
m_{K_1(1400)} = 1.403 \text{ GeV}, \qquad V_{cs} = 0.973.
$$
 (44)

Differential decay widths  $d\Gamma/dq^2$  (in units of  $10^{-15} \text{ GeV}^{-1}$  for  $D \rightarrow K_1(1270)\ell^+ \nu_\ell$  and  $D \rightarrow$  $K_1(1400)\ell^+ \nu_\ell$  $K_1(1400)\ell^+ \nu_\ell$  $K_1(1400)\ell^+ \nu_\ell$  are shown in Fig. [2](#page-7-1), Fig. [3](#page-8-0), and Fig. 4, respectively. The dotted and dashed lines correspond to the longitudinal and transverse polarizations, while the solid line gives the total differential decay widths. The shadowed region arises from the uncertainties in the mixing angle  $\theta_K$ .

Results for integrated branching ratios for the  $D \to K_1(1270)\ell^+\nu_\ell$  and  $D \to K_1(1400)\ell^+\nu_\ell$  decays (in units of  $10^{-3}$ ) are given in Tab. [II](#page-9-1). The experimental results are taken from BESIII measurements [\[37,38\]](#page-13-9). For each decay channel, three sets of theoretical predictions are given, corresponding to the form factors from Ref. [\[30,33\]](#page-13-5) and Ref. [\[34\]](#page-13-7), respectively. A few remarks are given as in order.

- (i) Through this table, one can see that the theoretical results show dramatic dependence on the form factors.
- (ii) Results obtained with the light-front quark model form factors are larger than the data by BESIII experiment, while using the QCD sum rule results, the branching fractions are more consistent with the data.
- (iii) It should be noted again that to accommodate the other data such as  $B \to K_1 \gamma$ , the mixing angle  $\theta_K$  is obtained in correlation with the form factors. In Refs. [\[30,33\]](#page-13-5) this angle is preferred as  $\theta_K \sim 45^\circ$ , while for Ref. [\[34\]](#page-13-7), the preferred region is  $-47^{\circ} < \theta_K < -21^{\circ}$ . Thus to estimate the uncertainties from the mixing angle, we have used the consistent value for  $\theta_K$  with the form factors. We have also checked that if one uses the form factors from Ref. [\[34\]](#page-13-7) and 30 $\degree$  <  $\theta_K$  < 60 $\degree$ , the resulting branching fraction for  $D^0 \rightarrow K^-(1270)e$  +  $\nu_e$  is about  $(0.21_{-0.14}^{+0.18}) \times 10^{-3}$ , which is much smaller than the data. From this table, we can see that the uncertainties are less significant compared to the ones from form factors.

<span id="page-10-1"></span>

FIG. 6. Dependence of branching ratios  $\mathcal{B}(D^0 \to K^-_1 \ell^+ \nu_\ell)$  (in units of  $10^{-3}$ ) on the mixing angle  $\Theta_K$  in the range  $-47^\circ < \Theta_K < -21^\circ$ based on the form factors from QCD sum rules [\[34\]](#page-13-7). Dotted and dashed curves correspond to the electron and muon mode, respectively.

<span id="page-11-0"></span>

FIG. 7. Results for up-down asymmetry  $A'_{UD}$  (in unit of  $H_{K_1}$ ) in  $D \to K_1(\to K\pi\pi)\ell^+\nu_\ell$ . The solid curve corresponds to the electron final state, in which the lepton mass is negligible and the result is very close to unity. The results shadowed in red (upper) and blue (lower) correspond to the muon mode with form factors from light-front quark model in Ref. [\[30,33\],](#page-13-5) respectively, while the green band (middle) is obtained using the QCD sum rules in Ref. [\[34\]](#page-13-7), respectively. The nonzero mass of  $\mu$  provides sizable corrections as shown in Eq. [\(29\)](#page-5-0).

Figure [5](#page-10-0) and Fig. [6](#page-10-1) show the dependence of branching fractions  $\mathcal{B}(D^0 \to K_1^- \ell^+ \nu_\ell)$  (in units of 10<sup>-3</sup>) on the mixing angle  $\Theta_K$ . Dotted and dashed curves correspond to the electron and muon mode, respectively.

#### C. Angular distributions

Results for up-down asymmetry  $A'_{UD}$  (in unit of  $H_{K_1}$ ) in  $D \rightarrow K_1 \ell^+ \nu_\ell$  are shown in Fig. [7](#page-11-0). The solid curve corresponds to the electron final state, in which the lepton mass is negligible and the result is very close to unity. The results shadowed in red (upper) and blue (lower) correspond to the muon mode with form factors from light-front quark model in Ref. [\[30,33\],](#page-13-5) respectively, while the green band (middle) is obtained using the QCD sum rules in Ref. [\[34\],](#page-13-7) respectively. From this figure, one can see that the nonzero mass of muon can give considerable corrections also shown in Eq. [\(29\).](#page-5-0) The results on the asymmetry are also dependent on the dynamical form factors, which can be tested in experiment.

<span id="page-11-1"></span>Integrating over the  $q^2$ , we obtain the angular distributions of  $D \to K_1(\to K\pi\pi)\ell^+\nu_\ell$  dataset as

$$
\frac{d\Gamma}{d\cos\theta_{\ell}d\cos\theta_{K}} = a_1 + a_2[\cos^2\theta_{K}\cos^2\theta_{\ell}] + a_3\cos\theta_{\ell} \n+ a_4\cos^2\theta_{K}\cos\theta_{\ell} + a_5\cos\theta_{K} \n+ a_6\cos\theta_{K}\cos^2\theta_{\ell} + a_7\cos\theta_{K}\cos\theta_{\ell} \n+ a_8\cos^2\theta_{K} + a_9\cos^2\theta_{\ell},
$$
\n(45)

with

$$
a_i = \frac{3}{8} \int dq^2 \frac{G_F^2 V_{cs}^2 q^2 \sqrt{\lambda(m_D^2, m_{K_1}^2, q^2)}}{512\pi^3 m_D^3} (1 - m_{\ell}^2/q^2)^2 \times d_i.
$$
\n(46)

As an illustration, we use a standalone fast simulation software RapidSim [\[39\]](#page-13-10) with the LHCb geometrical acceptance to generate MC samples. The  $D^0 \to K_1(1270)^-\mu^+\nu_\mu$ decays are described by EVTGEN [\[40\]](#page-13-11), and the  $K_1(1270)$ <sup>-</sup> meson is allowed to decay into all intermediate processes that result in a  $K^-\pi^+\pi^-$  final state. The BFs of  $K_1(1270)^$ meson subdecays measured by Belle [\[41\]](#page-13-12) are used as inputs in the simulation. Based on 2  $fb^{-1}$  data recorded at 8 TeV, LHCb observed close to 2000  $D^{*+} \to D^0 \pi^+, D^0 \to K^- \pi^+ \mu^+ \mu^$ signal candidates in the  $\rho/\omega$  mass region [\[42\]](#page-13-13). As  $\mathcal{B}(D^0 \rightarrow$  $K_1(1270)$ <sup>-</sup> $(\rightarrow K^-\pi^+\pi^-)\mu^+\nu_\mu)$  is expected to be about two orders of magnitude higher than the known  $\mathcal{B}(D^0 \rightarrow$  $K^-\pi^+[\mu^+\mu^-]_{\rho/\omega}$  [\[27\]](#page-13-2), it is reasonable to estimate that  $\mathcal{O}(10^5) D^{*+} \to D^0 \pi^+, D^0 \to K_1(1270)^- \mu^+ \nu_\mu$  signal candidates can be collected based on 9 fb<sup>−</sup><sup>1</sup> Runs 1–2 data from LHCb. Therefore, to have a rough estimation on the LHCb sensitivity, we have generated about  $1.0 \times 10^5 D^{*+} \rightarrow$  $D^{0}\pi^{+}$ ,  $D^{0} \to K_{1}(1270)^{-} (\to K^{-}\pi^{+}\pi^{-})\mu^{+}\nu_{\mu}$  events without considering any detector resolution effects.

A comparison of fitting these MC samples using the formula [\(45\)](#page-11-1) and the one from previous work [\[24\]](#page-13-0) is given in Fig. [8](#page-11-2). Through these figures, one can see that our simulated angular distributions can not be well described

<span id="page-11-2"></span>

FIG. 8. Fit to  $1.0 \times 10^5$  MC events with 3 components using two models:  $m_l = 0$  (Red) and  $m_l \neq 0$  (Blue)

by the angular distribution in the previous work [\[24\]](#page-13-0). With the inclusion of the muon mass, the agreement between theoretical description and angular distributions of MC events is greatly improved, and the fitted  $H_{K_1}$  is compatible with the input of 9.2% based on the SM expectation [\[24\]](#page-13-0). The statistical uncertainty for  $H_{K_1}$  is 1.1%.

### IV. SUMMARY

Weak decays of heavy quarks have played an important role in testing standard model and probing new physics beyond. Recent studies of flavor-changing neutral current process has revealed some hints for potential NP effects (see for instance Ref. [\[43\]\)](#page-13-14), but a conclusive result is far from well-established, and requests more dedicated theoretical and experimental studies in future [\[44\].](#page-13-15) At the same time, the photon helicity in  $b \rightarrow sy$  might render very competitive potentials for new physics.

Compared to the previous WYZ method [\[24\]](#page-13-0) in which a ratio of up-down asymmetries in  $D \to K_1(\to K\pi\pi)e^+\nu_e$ ,  $\mathcal{A}_{UD}$ , has been proposed to quantify the hadronic effects in  $K_1 \rightarrow K \pi \pi$  decay, we have in this work systematically derived differential decay widths and angular distributions for the decay cascade  $D \to K_1(1270, 1400)\ell^+ \nu_\ell \to$  $(K\pi\pi)\ell^+\nu_\ell(\ell = e,\mu)$ . In the derivation, the mass of electron/muon is explicitly included. Using the  $D \to K_1$ form factors from light-front quark model and QCD sum rule, we have calculated partial decay widths and branching fractions for  $D^0 \to K_1^- \ell^+ \nu_\ell$  and  $D^+ \to K_1^0 \ell^+ \nu_\ell$ , but pointed out that these theoretical results for  $\mathcal{B}(D \rightarrow$  $K_1e^+\nu_e$ ) show dramatic dependence on the form factors. With the angular coefficients, we have demonstrated that the measurement of up-down asymmetry in  $D \rightarrow$  $K_1e^+\nu_e \rightarrow (K\pi\pi)e^+\nu_e$  and the angular distribution in  $D \to K_1 \ell^+ \nu_\ell \to (K \pi \pi) \ell^+ \nu_\ell$  can help to pin down hadronic uncertainties in  $B \to K_1(\to K\pi\pi)\gamma$ . Based on Monte-Carlo simulation, we have found that after including the muon mass, the angular distributions can be well described by the theoretical framework. Performing an angular analysis using Eq. [\(45\)](#page-11-1) on a sample of  $10^5 D^0 \rightarrow$  $K_1(1270)$ <sup>-</sup> $(\rightarrow K^-\pi^+\pi^-)\mu^+\nu_\mu$  decays corresponding to the signal statistics assumed for LHCb in Runs 1–2 leads to a

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statistical uncertainty of ~1.1% on  $H_{K_1}$ .

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