Implementation of a radial disk ionization profile in the relxill nk model

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Very steep reflection emissivity profiles in the inner part of accretion disks are commonly found in the analysis of x-ray observations of black hole binaries and active galactic nuclei, but there is some debate about their exact origin. While steep reflection emissivity profiles can be naturally produced by compact coronae close to black holes, the measured radial emissivity parameter can be further increased by the radial disk ionization profile when the theoretical model assumes a disk with constant ionization. In this paper, we implement the possibility of a radial disk ionization profile in the reflection model relxill_nk, which is a package designed to calculate reflection spectra of "deformed" Kerr black holes. We analyze a NuSTAR observation of the black hole binary EXO 1846–031, which was previously found to have a very high inner emissivity index. We find that the model with a radial disk ionization profile improves the fit, but the impact on the estimate of the black hole spin parameter and on the constraint of the deformation parameter is modest. However, we show that the analysis of future observations of Athena and eXTP will necessarily require models with a radial disk ionization profile to have accurate constraints of the deformation parameters.

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I. INTRODUCTION

Relativistic reflection features are common in the x-ray spectra of accreting black holes [1–5]. They are thought to be generated by illumination of the accretion disk by a hot corona, which is some energetic plasma near the black hole [6,7]; for a pedagogical review see, e.g., Ref. [8]. More specifically, thermal photons from the accretion disk can inverse Compton scatter off free electrons in the corona. A fraction of the Comptonized photons can then illuminate the disk, generating the reflection spectrum.

In the rest frame of the gas in the disk, the reflection spectrum is characterized by a soft excess below 2 keV, narrow fluorescent emission lines in the 1–10 keV band (including the iron $K\alpha$ line at 6–7 keV, depending on the ionization of the iron ions), and a Compton hump peaked at 20–30 keV [9–12]. The spectrum of the whole disk observed far from the source is the result of relativistic effects occurring in the strong gravity region around the black hole (Doppler boosting, gravitational redshift, and light bending) [1,13]. The narrow fluorescent emission lines in the gas rest frame are thus broadened and skewed for a distant observer. Since the reflection spectrum is mainly

produced from the very inner part of the accretion disk, the analysis of relativistic reflection features in the spectra of accreting black holes can be used to study the accretion process around these compact objects, measure black hole spins, and possibly test fundamental physics [14–19].

In the past decade, there has been significant progress in the analysis of relativistic reflection spectra, thanks both to the development of more sophisticated theoretical models to calculate synthetic reflection spectra and the advent of new observational facilities more suitable for the study of these features. However, even the latest and most advanced theoretical models rely on a number of simplifications [20], so caution is necessary in the attempts to get precision measurements of the properties of accreting black holes from the analysis of their reflection features.

A number of studies have reported very steep reflection emissivity profiles in the inner part of accretion disks, both for stellar-mass black holes in x-ray binaries and supermassive black holes in active galactic nuclei (AGN); see, e.g., [21–25]. Steep emissivity profiles in the inner part of an accretion disk can be naturally produced by a compact source very close to the black hole [26,27]. In such a case, the strong light bending near the black hole can drive the radiation emitted from the corona to illuminate better the inner part of the accretion disk closer to the event horizon.

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The ionization of the disk plays an important role in the shape of the reflection spectrum. The ionization parameter, normally indicated with the letter ξ and measured in units of erg cm s⁻¹, is defined as

$$\xi = \frac{4\pi F_X(r)}{n_e(r)},\tag{1}$$

where F_X is the x-ray flux from the corona illuminating the disk, and n_e is the disk electron density. In general, ξ , F_X , and n_e are all functions of the radial coordinate r. The radial profile of F_X is determined by the coronal geometry. For n_e , the radial profile depends on the disk properties.

Theoretical models for the analysis of relativistic reflection features in the spectra of accreting black holes normally assume a constant ionization parameter over all of the disk. From an observational point of view, for most data the fit simply does not require any ionization gradient. When the inner edge of the accretion disk is very close to the black hole and the corona is compact and low, the Comptonized photons are highly focused on a small portion of the very inner part of the accretion disk, which we can expect to be approximated well by a one-ionization region. For instance, the study in Ref. [28] finds a very high inner emissivity index when the data are fit with a model with a broken power-law emissivity profile and a constant disk ionization, but when they allow for a nonconstant ionization profile the data do not require any ionization gradient. On the other hand, if the radial profile of F_X is steep, even the profile of the ionization parameter ξ should be steep for any reasonable disk density profile $n_{\rm e}$. This point was investigated in Ref. [29] and then in Ref. [30]. The conclusion of both studies is that fitting the data with a theoretical model employing a constant ionization parameter may lead to overestimate the steepness of the inner emissivity profile, which, in turn, can lead to inaccurate black hole spin measurements; see also Ref. [31].

The latest versions of the relativistic reflection models relxill [27,32], kyn [33], and reltrans [34] offer the option to have a nontrivial ionization profile in the disk. In the present paper, we implement a nontrivial ionization profile in our reflection model relxill_nk [35–37], which is an extension of the relxill package to non-Kerr spacetimes [38]. We then use this new version of relxill_nk to analyze a 2019 NuSTAR observation of the black hole binary EXO 1846–031, as previous analyses of these data had assumed a constant ionization parameter and found a very steep inner emissivity index. For this source, we find that the model with a nontrivial ionization gradient can provide a better fit, but the impact on the estimates of the black hole spin or of the deformation parameter are weak and, in the end, negligible.

Our manuscript is organized as follows. In Sec. II, we describe the implementation of a radial ionization profile in

relxill_nk. In Sec. III, we present the spectral analysis of the 2019 *NuSTAR* observation of EXO 1846–031 with constant and nonconstant disk ionization profiles. We discuss our results in Sec. IV.

II. RADIAL DISK IONIZATION PROFILES

The relxill_nk package has so far assumed a constant ionization parameter across the entire disk. Since it also employs a constant electron density, from Eq. (1) it would follow that F_X is constant too. The question is whether such an approximation can be used without introducing undesirable large systematic uncertainties in the estimate of the model parameters and, in particular, on the black hole spin and the deformation parameter of the relxill_nk model. This issue can be particularly relevant when the fit finds a very high inner emissivity profile, which is also the case in which we can get more precise measurements of the black hole spin and the deformation parameter.

Note that relxillion_nk is the new flavor of the relxill_nk package that offers the possibility of a nonconstant radial ionization profile. We employ an empirical power-law form for the radial profile of the ionization parameter

$$\xi(r) = \xi_0 \left(\frac{R_{\rm in}}{r}\right)^{\alpha_{\xi}},\tag{2}$$

where ξ_0 is the value of the ionization parameter at the inner edge of the accretion disk $R_{\rm in}$, and α_ξ is the extra parameter (ionization index) in relxillion_nk to describe the ionization gradient. The standard relxill_nk model with constant disk ionization is recovered for $\alpha_\xi=0$, while for any positive value of α_ξ the value of the ionization parameter decreases as the radial coordinate r increases.

The accretion disk is divided into 50 annuli and the value of the ionization parameter at the center of every annulus derived from Eq. (2). For every annulus, the reflection component is extracted from the xillver table according to the ionization state of that region. The reflection spectrum from each annulus is then convolved to obtain the reflection spectrum detected by the distant observer, and the spectra of all annuli are summed up together to obtain the total spectrum.

Figures 1–4 illustrate the impact of the ionization gradient of the accretion disk on the reflection spectrum of an accreting black hole. In all plots, we assume that the inner edge of the accretion disk is at the innermost stable circular orbit (ISCO), so $R_{\rm in}=R_{\rm ISCO}$ in Eq. (2). The constant ionization case ($\xi=\xi_0$, blue curves) is compared with the spectra calculated assuming the ionization index $\alpha_{\xi}=1$ (orange curves) and $\alpha_{\xi}=2$ (black curves) for various values of the black hole spin parameter a_{*} , the

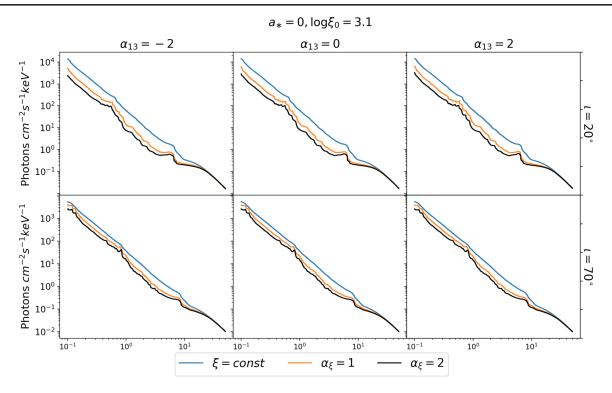


FIG. 1. Synthetic relativistic reflection spectra in the Johannsen spacetime for the spin parameter $a_*=0$, ionization parameter at the ISCO $\log \xi_0=3.1$, deformation parameter $\alpha_{13}=-2$, 0, and 2, and viewing angle $\iota=20^\circ$ and 70° . The spectra with constant ionization parameter are in blue ($\alpha_\xi=0$ and thus $\xi=\xi_0$), those with ionization index $\alpha_\xi=1$ are in orange, and the spectra with $\alpha_\xi=2$ are in black.

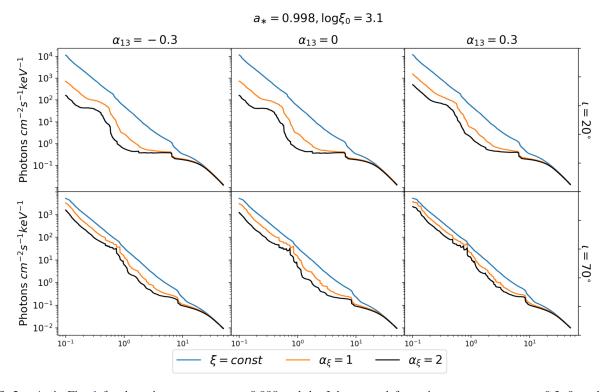


FIG. 2. As in Fig. 1 for the spin parameter $a_* = 0.998$ and the Johannsen deformation parameter $\alpha_{13} = -0.3$, 0, and 0.3.

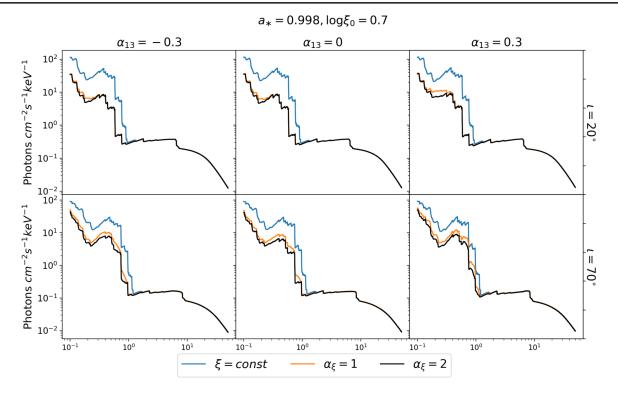


FIG. 3. As in Fig. 2 for the ionization parameter at the ISCO $\log \xi_0 = 0.7$.

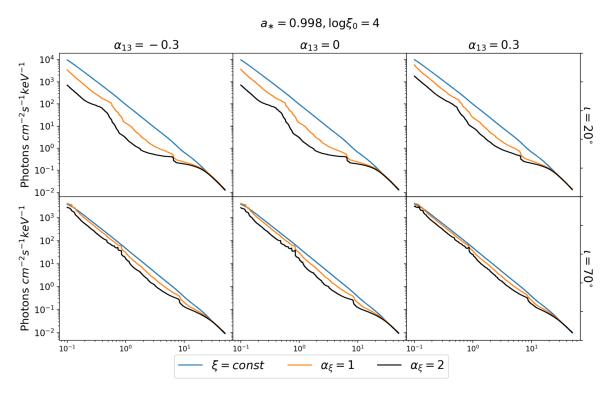


FIG. 4. As in Fig. 2 for the ionization parameter at the ISCO $\log \xi_0 = 4$.

TABLE I. Best-fit table for models 1–4. The reported uncertainties correspond to the 90% confidence level for one relevant parameter ($\Delta \chi^2 = 2.71$). Note that * means the value is frozen in the fit. When there is no lower/upper uncertainty, the parameter is stuck at the lower/upper boundary of the range in which it is allowed to vary. (P) indicates that the 90% confidence level region reaches the boundary. The ionization parameter ξ_0 is in units of erg cm s⁻¹.

	Model 1	Model 2	Model 3	Model 4
tbabs $N_{\rm H}/10^{22}~{ m cm}^{-2}$	10.1+0.6	10.1+0.6	$8.1^{+0.9}_{-0.9}$	7.0+0.8
N _H /10 CIII	$10.1^{+0.6}_{-0.4}$	$10.1_{-0.9}^{+0.6}$	8.1_0.9	$7.9_{-1.0}^{+0.8}$
diskbb	0.004	10,000	10.02	0.02
$kT_{\rm in}$ [keV]	$0.458^{+0.004}_{-0.011}$	$0.457^{+0.009}_{-0.006}$	$0.41^{+0.03}_{-0.03}$	$0.41^{+0.03}_{-0.04}$
norm [10 ⁴]	$2.1^{+0.2}_{-0.2}$	$2.1^{+0.4}_{-0.9}$	$2.6^{+1.7}_{-1.0}$	$2.3^{+1.9}_{-0.8}$
relxillion nk				
$q_{ m in}$	$10.0_{-0.5}$	10_{-3}	$9.2^{+(P)}_{-1.7}$	$6.0^{+0.4}_{-0.8}$
$q_{ m out}$	$0.00^{+0.03}$	$0.0^{+0.8}$	$0.0^{-1.7}$	$0.0^{+0.7}$
$R_{\rm br} [r_{\rm g}]$	$6.5^{+0.7}_{-0.1}$	$6.4^{+0.2}_{-1.2}$	$7.1^{+4.7}_{-1.2}$	11^{+16}_{-3}
a_*	$0.9973^{+(P)}_{-0.0009}$	$0.9974^{+(P)}_{-0.0004}$	$0.980^{+0.009}_{-0.016}$	$0.998_{-0.007}$
α_{13}	0*	$0.0^{+0.1}_{-0.4}$	0^*	$-0.3^{+0.3}_{-0.1}$
ι [deg]	$74.8^{+1.0}_{-0.1}$	$74.8^{+0.6}_{-0.1}$	70^{+5}_{-2}	$71.0_{-0.7}^{+6.5}$
Γ	$1.90^{+0.03}_{-0.04}$	$1.90^{+0.03}_{-0.02}$	$1.90^{+0.04}_{-0.07}$	$1.87^{+0.06}_{-0.10}$
$\log \xi_0$	$3.23^{+0.07}_{-0.09}$	$3.26^{+0.06}_{-0.05}$	$4.35^{+0.12}_{-0.14}$	$4.48^{+(P)}_{-0.09}$
$lpha_{\xi}$	0^*	0^*	$1.8^{+1.1}_{-0.8}$	$1.2^{+0.4}_{-0.3}$
A_{Fe}	$3.1^{+0.2}_{-0.8}$	$3.1^{+0.3}_{-0.2}$	$1.9_{-0.5}^{+0.8}$	$2.4^{+2.1}_{-0.6}$
$E_{\rm cut}$ [keV]	80^{+6}_{-7}	79^{+6}_{-8}	126_{-14}^{+17}	134_{-30}^{+15}
$R_{ m f}$	$1.5^{+0.4}_{-0.2}$	$1.6^{+0.2}_{-0.2}$	$1.4^{+0.8}_{-0.5}$	$1.7^{+1.1}_{-0.9}$
norm $[10^{-2}]$	$2.12_{-0.19}^{+0.05}$	$2.10^{+0.01}_{-0.10}$	$1.2^{+0.5}_{-0.5}$	$1.0^{+0.6}_{-0.3}$
χ^2/dof	2727.27/2601	2727.09/2600	2671.34/2600	2670.48/2599
	= 1.04855	= 1.04888	= 1.02744	= 1.02750

Johannsen deformation parameter α_{13} , the viewing angle ι , and the ionization parameter at the ISCO ξ_0 .

The value of the ionization parameter ξ determines the relative abundance of different ion species for every element and, in turn, the strength and the energy of the emission lines. For example, the iron $K\alpha$ line is at 6.4 keV in the case of neutral or weakly ionized iron and shifts up to 6.97 keV for H-like iron ions, while there is no line for fully ionized iron ions. In Fig. 3, the ionization parameter at the inner edge of the disk is low, so we do not see difference in the three spectra above 1 keV because all models have a weakly ionized disk. In Fig. 4, the ionization parameter at the inner edge of the disk is very high. In the model with constant ionization parameter, this means that the material in the whole disk is strongly ionized, and the result is that its spectra do not show features (most ions are fully ionized). In the models with an ionization gradient, the spectra have features because the value of the ionization parameter decreases at larger radii. The case $\alpha_{\xi} = 2$ has more prominent features than the case $\alpha_{\xi} = 1$ just because the profile of the ionization parameter is steeper, and we can see lines emitted from smaller radii.

In Figs. 1 and 2, the ionization parameter at the inner edge of the disk is moderately high, and therefore, we have a situation between the cases in Fig. 3 and in Fig. 4. For a low value of the black hole spin parameter (Fig. 1), the inner edge of the disk is at a large radius, so the emission of the three models $\alpha_{\xi} = 0$, 1, and 2 differs at even larger radii, where relativistic effects are weaker, and this limits the differences among the three models. For a high value of the black hole spin parameter (Fig. 2), the relativistic effects in the very strong gravity region enhance the differences of the three models. The deformation parameter α_{13} has an impact qualitatively similar to the black hole spin: for a constant value of the black hole spin, the ISCO radius increases (decreases) if we increase (decrease) the value of α_{13} , and this leads to smaller (larger) differences among the spectra with $\alpha_{\xi} = 0$, 1, and 2.

III. THE CASE OF EXO 1846-031

EXO 1846–031 is a black hole candidate discovered in 1985 by the *EXOSAT* mission [40]. After spending more the 30 years in quiescence, it went through a new outburst in 2019 [41]. *NuSTAR* [42] observed EXO 1846–031 on

¹In this paper, we use the version of relxill_nk employing the Johannsen metric with nonvanishing deformation parameter α_{13} [39] as the background metric.

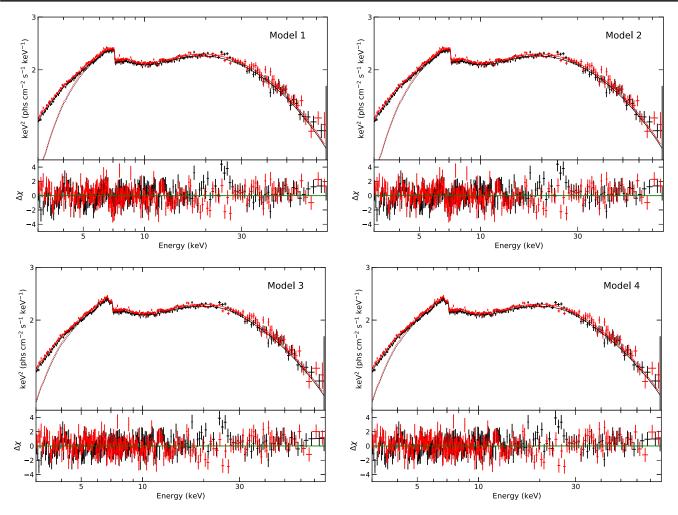


FIG. 5. Best-fit models and residuals for models 1–4. Black color for FPMA data and red color for FPMB data. In the best-fit model plots, solid curves for the total models and dotted curves for the reflection components (the thermal components are too weak and cannot be seen in the plots).

August 3, 2019 (ObsID 90501334002) with a net exposure time of 22.2 ks. By analyzing its disk reflection features in the intermediate state of this outburst, it was found that the system consists of a fast-rotating black hole and an accretion disk with a high inclination angle [43].

We reduce the same *NuSTAR* data as in [43] using the nupipeline and nuproducts routines in *NuSTAR* Data Analysis Software. The calibration database is CALDB 20200813. We extract the source spectra from circular regions with radii of 180" on the focal plane module A (FPMA) and focal plane module B (FPMB) detectors. The background regions are of the same size but at the farthest diagonal place from the source regions to avoid the influence of the source photons. We group the spectra using grppha in order that each energy bin contains at least 30 counts. Since the new CALDB corrects the calibration in the 3–7 keV band, in the fitting we exclude the table nuMLIV1.mod used in [43].

We perform the spectral fitting in XSPEC 12.10.1s [44]. We first fit the spectra with an absorbed cut-off power law,

with a floating constant matching the slight discrepancy between FPMA and FPMB. The residuals below 4 keV indicate a disk thermal component, while the residuals around 6–7 keV and 10–30 keV indicate a reflection component. We thus use diskbb [45] and relxill_nk 35,36]] to model these residuals, respectively. The final model set in XSPEC is

$$const \times tbabs \times (diskbb + relxill_nk).$$

Note that tbabs describes the Galactic absorption, and we leave the hydrogen column density $N_{\rm H}$ free in the fit [46]. Also note that diskbb describes the thermal spectrum of a Newtonian disk² and the inner temperature of the disk $T_{\rm in}$ is left free in the fit. For the reflection component, we use the flavor relxillion_nk described in the

²The component is weak, so it can already fit well with a simple Newtonian model, and we do not need a more sophisticated one.

previous section. We employ a broken power law for the description of the emissivity profile, so we have the inner emissivity index (q_{in}) , the outer emissivity index (q_{out}) , and the breaking radius $(R_{\rm br})$. We assume that the inner radius of the disk is at the ISCO and the outer radius is fixed at 400 gravitational radii (r_g) . The spacetime metric is specified by the black hole spin a_* , which is allowed to vary between -0.998 and 0.998, and the Johannsen deformation parameter α_{13} . The viewing angle i is the angle between the line of sight and the axis of the black hole spin. The ionization of the disk is specified by ξ_0 and α_{ξ} , as seen in the previous section. The iron abundance A_{Fe} is expressed in units of solar iron abundance. The model includes also the spectrum of the corona, so we have the photon index Γ , the high energy cut-off $E_{\rm cut}$, and the reflection fraction $R_{\rm f}$. Since EXO 1846–031 is a Galactic black hole, the redshift is set to 0. We fit the data with four models³:

Model 1: $\alpha_{\xi} = 0$ and $\alpha_{13} = 0$.

Model 2: $\alpha_{\xi} = 0$ and α_{13} free.

Model 3: α_{ξ} free and $\alpha_{13} = 0$.

Model 4: α_{ξ} free and α_{13} free.

Table I shows the best-fit values of the four fits. Best-fit models and ratio plots are in Fig. 5. Our results are discussed in the next section.

IV. DISCUSSION AND CONCLUSIONS

The analysis of relativistic reflection features in black hole binaries and AGN shows that steep and very steep radial emissivity profiles are common in the very inner part of the accretion disk of black holes. While steep emissivity profiles can be naturally produced by compact coronae very close to the black hole, there is some debate whether current measurements of inner emissivity indices are overestimated. In particular, from Eq. (1) we should expect that even the radial profile of the ionization parameter ξ is steep for any reasonable radial profile of the disk electron density $n_{\rm e}$, while most analyses assume a constant ionization parameter over all of the disk, and several past attempts to fit the data with a nontrivial ionization profile found that an ionization gradient is not required by the data.

In the present work, we have presented an extension of our reflection model relxill_nk to include the possibility of a nontrivial radial disk ionization profile. In the new flavor, called relxillion_nk, the disk ionization profile is described by a power law, so we have now the ionization parameter at the inner edge of the disk ξ_0 and the ionization index α_{ξ} (>0).

In the previous section, we have applied our new model to a 2019 *NuSTAR* observation of the black hole binary EXO 1846–031. First, we have fit the data, assuming a constant ionization for all of the disk, $\alpha_{\xi} = 0$ and a broken power-law

emissivity profile, both imposing the Kerr metric ($\alpha_{13}=0$) and relaxing such an assumption (α_{13} free). In both cases, we find a very steep inner emissivity index, with $q_{\rm in}$ stuck to the maximum value allowed in our fit, and an almost vanishing outer emissivity index $q_{\rm out}$. We note that such an emissivity pattern could be generated by a number of coronal geometries, see Refs. [23,47,48]. The second model with α_{13} free does not improve the fit ($\Delta\chi^2=0.19$ with one less degree of freedom), so the data are consistent with the hypothesis that the black hole in EXO 1846–031 is a Kerr black hole as predicted by general relativity.

The NuSTAR data are then fit with a free ionization index α_{ε} and still assuming a broken power-law emissivity profile. As before, first we impose the Kerr metric ($\alpha_{13} = 0$), and then we repeat the analysis with α_{13} free. The difference between the two fits is marginal ($\Delta \chi^2 = 0.86$), but the difference with the two fits with constant ionization parameter is not negligible ($\Delta \chi^2 \approx 56$). If we look at the ratio plots in Fig. 5, we see that the models with an ionization gradient can fit better the iron line. If we compare the estimates of the parameters inferred with $\alpha_{\xi} = 0$ and α_{ξ} free, Table I, we see that the ionization gradient has quite a modest impact on the measurements of the black hole spin parameter a_* and on the deformation parameter α_{13} . There is some difference in the estimate of q_{in} , which is not stuck to the boundary any longer. The estimate of the hydrogen column density in tbabs is a bit lower when α_{ξ} is free. The best-fit values of the iron abundance are lower with α_{ξ} free, but actually the uncertainties on the estimates are larger, so we cannot really say that the model with a radial disk ionization profile can find a lower iron abundance. The estimate of the high energy cut-off $E_{\rm cut}$ is higher with α_{ξ} free. In general, the uncertainties in the best-fit values are larger in the fits with α_{ξ} free than in those with $\alpha_{\xi} = 0$.

We note that in Ref. [31] the authors analyzed the 2012 NuSTAR observation of the black hole binary GRS 1915 + 105 and found that the ionization profile has an impact on the estimate of the black hole spin, in agreement with the claim in Ref. [30], where the authors only worked on simulations and limited their study to the 1-10 keV band. The conclusion of those authors is in apparent disagreement with our results, where we do not find any bias on the black hole spin measurement (and the deformation parameter). However, the shape of relativistic reflection spectra is determined by many parameters, and it is possible that in certain regions of the parameter space the ionization gradient can be ignored and in other regions it cannot, as well as that in some regions the correct ionization gradient has an impact on the estimate of some model parameters and in other regions it does not. Note also that both those papers used a lamppost model, while here we use a broken power law for the emissivity profile.

To explore the impact of a disk ionization gradient on higher quality data with future x-ray missions, we simulate a 20 ks observation of EXO 1846–031 with

³We remind the reader that the case $\alpha_{13} = 0$ corresponds to the Kerr solution, while deviations from the Kerr metric are present for a nonvanishing value of α_{13} .

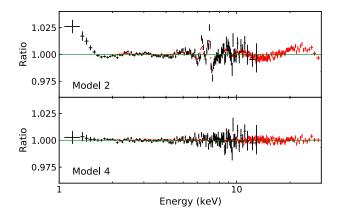


FIG. 6. Data to the best-fit model ratio for model 2 and model 4 of the simulated 20 ks observation of EXO 1846–031 with X-ray Integral Field Unit (X-IFU)/Athena and LAD/eXTP. Black data for X-IFU/Athena and red data for LAD/eXTP. See the text for more details.

X-IFU/Athena [49] and LAD/eXTP [50]. As input values, we use the best-fit values of model 3. We then fit the simulated data with model 2 and model 4. This time the model with a constant ionization parameter cannot fit the data well. The data to the best-fit model ratios of the two fits are shown in Fig. 6, where we clearly see that model 2 cannot fit well with the iron line region, the 1–2 keV band, and the Compton hump. The reduced χ^2 is 1.20 for model 2 and 1.01 for model 4. The large effective areas of

X-IFU/Athena and LAD/eXTP lead to very precise measurements of the model parameters, so we can see the impact of the ionization gradient. In particular, the estimate of the Johannsen deformation parameter is $\alpha_{13} = 0.2057^{+0.004}_{-0.0019}$ with model 2, and thus we do not recover Kerr even if the simulation assumes the Kerr spacetime, and $\alpha_{13} = 0.0025^{+0.0008}_{-0.004}$ with model 4. Our simulations thus suggest that tests of the Kerr metric with the next generation of x-ray mission require reflection models that include the ionization profile of the disk; models with a constant ionization profile may incorrectly infer nonvanishing deformation parameters even if the source is a Kerr black hole.

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