

# Can effective four-dimensional scalar theory be asymptotically free in a spacetime with extra dimensions?

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We trace what happens with asymptotically free behavior of the running coupling in  $\phi^3$  theory in six-dimensional spacetime, if to compactify two spatial dimensions on a 2D closed manifold. The result can be considered as an effective 4D theory of infinitely many KK-type scalar fields with triple interactions. The effective *dimensional* coupling constant inherits running to zero at high mass scales in a modified form depending on the size of the compact manifold. Some physical implications are discussed.

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## I. INTRODUCTION

Asymptotic freedom in QCD was discovered by Gross and Wilczek [1], and independently by Politzer [2] in 1973. The asymptotically free renormalizable field theory in four dimensions necessarily involves non-Abelian gauge fields [3,4]. However, it is not the case if a number of spacetime dimensions  $D \neq 4$ . The striking examples are the 2D Gross-Neneu model [5] and 2D nonlinear sigma model [6]. All these theories are renormalizable and asymptotically free. A special case is the 4D  $\phi^4$  theory with a negative coupling constant [7]. It is a common belief that its spectrum can be shown to be unbounded from below. Nevertheless, as was shown in [8], this theory may be consistent. Especially note the 6D scalar  $\phi^3$  theory which also exhibits the property of asymptotic freedom [9]. One may ask is there any 4D *effective* asymptotically free theory without gauge fields? By effective theory we mean a reduced theory obtained from a higher dimensional theory after “integrating out” extra spatial coordinates. To answer our question, one has to consider theories in a spacetime with extra dimensions (EDs).

Effective field theories with one or more compact EDs are of considerable interest during the last years. In particular, in [10] the total cross section of the scattering of two light particle was calculated in the  $\phi^4$  scalar model with a spherical compactification. In [11] one-loop order contributions from one compact universal ED to the

self-energy and four-point vertex functions in a  $\phi^4$  scalar theory are given. The one-loop low-energy effective action in the  $\phi^4$  scalar theory and scalar QED with spacetime topology  $\mathbb{R}^{3,1} \otimes S^1$  is calculated in [12]. The decoupling of heavy KK modes in an Abelian Higgs model with spacetime topologies  $\mathbb{R}^{3,1} \otimes S^1$  and  $\mathbb{R}^{3,1} \otimes S^1/\mathbb{Z}_2$  is examined in [13]. The photon self-energy, the fermion self-energy, and fermion vertex function in the one-loop approximation in the context of QED with one ED are presented in [14]. In [15], the  $D + 1$  dimensional  $\phi^3$  model with an arbitrary  $D$  and one compact manifold is studied. The renormalizable compactification models, when a size of compact dimensions is of the order of cutoff scale, are examined in [16]. The universal extra dimensional models defined on the six-dimensional spacetime with two spatial dimensions compactified to a two-sphere orbifold  $S^2/\mathbb{Z}_2$  were studied in [17–20]. In [21]  $T^2/\mathbb{Z}_2$ ,  $S^2/\mathbb{Z}_2$ , and other orbifolds were examined.

The goal of our study is to derive an effective four-dimensional  $\phi^3$  scalar field theory in a spacetime with two compact EDs and calculate a running coupling constant in the one-loop approximation. There are three possibilities to realize a scalar theory with a power interaction  $g\phi^n$  which has a *dimensionless* coupling constant  $g$ ; see Table I. Among them only the scalar  $g\phi^3$  theory in six dimensions is known to be asymptotically free [9] (see also [22]). That is why we will start from this theory.

The paper is organized as follows. In Sec. II we briefly review a renormalization of the  $\phi^3$  theory in *six infinite* dimensions (denoted hereafter as  $\phi_6^3$ , with the subscript 6 indicating the spacetime dimensionality). In the next section we examine an effective  $\phi^3$  theory in the spacetime with *four infinite and two compact* dimensions (referred below as  $\phi_{\text{eff}}^3$ ) and calculate a running coupling constant. In Sec. IV we examine a dependence of our results on a

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TABLE I. The dependence of an integer power  $n$  on a number of spacetime dimensions  $D$  in scalar theories with an interaction  $g\phi^n(x)$  and *dimensionless* coupling constant  $g$ .

$D$	3	4	6
$n$	6	4	3

topology of the compact dimensions. Finally, in Sec. V a scale dependence of physical observables is analyzed. Some properties of two-dimensional inhomogeneous Epstein zeta function and truncated Epstein-like zeta function are collected in Appendix.

## II. $\phi^3$ THEORY IN SIX INFINITE DIMENSIONS

The classical Lagrangian for the  $\phi_6^3$  theory in terms of bare parameters looks like

$$\mathcal{L} = \frac{1}{2}[\partial_\mu\phi(x)]^2 - \frac{1}{2}m_0^2\phi^2(x) - \frac{g_0}{3!}\phi^3(x), \quad (1)$$

where the bare coupling constant  $g_0$  has a dimensionality of mass. On a classical level a cubic potential of the  $\phi^3$  theory is not bounded below. As a consequence, there cannot be a stable ground state. However, it is not the case, if one consider the theory on a quantum level and takes into account a kinetic term in a Hamiltonian, along with the cubic and quadratic ones [23]. In terms of the renormalized ( $R$ ) field  $\phi_R$ , mass  $m$ , and coupling  $g$  the Lagrangian is given by

$$\mathcal{L} = \mathcal{L}_R + \mathcal{L}_{CT}, \quad (2)$$

where

$$\mathcal{L}_R = \frac{1}{2}[\partial_\mu\phi_R(x)]^2 - \frac{1}{2}m^2\phi_R^2(x) - \frac{g}{3!}\phi_R^3(x) \quad (3)$$

is its renormalized part, and the counterterm part of (2) is of the form

$$\begin{aligned} \mathcal{L}_{CT} = & \frac{1}{2}(Z_\phi - 1)[\partial_\mu\phi_R(x)]^2 - \frac{1}{2}\delta m^2\phi_R^2(x) \\ & - (Z_\Gamma - 1)\frac{g}{3!}\phi_R^3(x). \end{aligned} \quad (4)$$

The Feynman rules are  $i/(p^2 - m^2)$  for a scalar propagator, and  $(-ig)$  for a three-particle vertex. Let  $\Gamma^{(n)}(p_1, p_2, \dots, p_{n-1})$  be one-particle irreducible (OPI) Green's function. The inverse propagator is given by

$$S^{-1}(p^2) = -i[p^2 - m^2 + \Sigma(p^2)] = -i\Gamma^{(2)}(p^2), \quad (5)$$

where  $\Sigma(p^2)$  is a self-energy.  $\Gamma^{(3)}(p, q)$  is a three-particle vertex with ‘‘amputated’’ external legs.

The renormalized quantities  $(\phi_R, g, m)$  are related with the bare quantities  $(\phi, g_0, m_0)$  through renormalization constants (see, for instance, [22]). In particular, the scalar field is renormalized as

$$\phi_R = Z_\phi^{-1/2}\phi. \quad (6)$$

The mass renormalization looks like

$$m^2 = Z_m^{-1}m_0^2. \quad (7)$$

The renormalization of the coupling constant is given by

$$g = Z_\phi^{3/2}Z_\Gamma^{-1}g_0. \quad (8)$$

If we express in (2) all the parameters in terms of the bare quantities using Eqs. (6)–(8), we come to (1).

In our study, we use the dimensional regularization [24] for Feynman integrals, and the MOM scheme with the Euclidean normalization point  $-\mu^2$  ( $\mu^2 > 0$ ) for the renormalization procedure. Usually an on-shell condition is imposed on propagators and vertices of scalar fields. In massive theories where the zero momentum lies in the analyticity domain, a subtraction point  $p^2 = 0$  is used [22]. Nevertheless, it is more appropriate for us to normalize OPI Green's functions at some Euclidean point, as it is done in QCD [1,25], where quarks and gluons are confined, and, consequently, have no pole masses.

The beta function of the  $\phi_6^3$  theory,

$$\beta[g(\mu)] = \mu \frac{dg(\mu)}{d\mu}, \quad (9)$$

is known to be [9,22,26]

$$\beta(g) = -\beta_0 g^3 + \mathcal{O}(g^5), \quad (10)$$

where

$$\beta_0 = \frac{3}{4(4\pi)^3}. \quad (11)$$

It is calculated up to five loops [27]. All known terms in an expansion of  $\beta(g)$  are negative. Since  $\beta_0 > 0$ , there is the *asymptotic freedom* in  $\phi_6^3$  theory, and

$$\alpha(\mu) = \frac{\alpha(\mu_0)}{1 + \frac{3}{4}\alpha(\mu_0)\ln(\mu^2/\mu_0^2)}, \quad (12)$$

where

$$\alpha = \frac{g^2}{(4\pi)^3}. \quad (13)$$

Note that, instead of using Eq. (9), the  $\beta$  function can be alternatively defined as

$$\beta[g(\bar{\mu})] = -\bar{\mu} \frac{dg(\bar{\mu})}{d\bar{\mu}}, \quad (14)$$

where  $\bar{\mu}$  is a scale needed to preserve the canonical dimension of the coupling constant in the dimensional regularization. The reason is that the renormalization constants  $Z_\phi$  and  $Z_\Gamma$  depend on the ratio  $\mu/\bar{\mu}$ .

### III. $\phi^3$ THEORY IN SPACETIMES WITH TWO EXTRA COMPACT DIMENSIONS

Let us consider  $\phi^3$  theory in a spacetime with two extra coordinates  $y_1, y_2$ , and metric tensor

$$G_{MN} = (1, -1, -1, -1, \eta_{mn}) = (\gamma_{\mu\nu}, \eta_{mn}), \quad (15)$$

where  $M, N = (\mu, m)$ ,  $\mu = 0, 1, 2, 3$ ,  $m = 1, 2$ , and  $\eta_{mn}$  stands for the metric tensor of a 2D compact manifold. The scalar field  $\phi(x, y)$  is assumed to be defined on a manifold  $M_4 \otimes T^2/Z_2$  with equal compactification radii  $R_c$ . Thus, the field fulfills the periodicity and parity conditions

$$\begin{aligned} \phi(x, y) &= \phi(x, y + 2\pi R_c), \\ \phi(x, y) &= \phi(x, -y), \end{aligned} \quad (16)$$

where  $y = (y_1, y_2)$ . A manifold with another topology will be considered in Sec. IV.

The action in six dimensions with two compact dimensions is given by the following expression:

$$\begin{aligned} \mathcal{S}_{4+[2]} &= \int d^4x \int_{-\pi R_c}^{\pi R_c} dy_1 \\ &\times \int_{-\pi R_c}^{\pi R_c} dy_2 \sqrt{-G} \left[ \frac{1}{2} \partial_M \phi(x, y) \partial^M \phi(x, y) \right. \\ &\left. - \frac{1}{2} m^2 \phi^2(x, y) - \frac{g}{3!} \phi^3(x, y) \right], \end{aligned} \quad (17)$$

where  $G = \det(G_{MN})$ . The canonical dimension of  $\phi(x, y)$  is equal to 2. The coupling constant  $g$  is dimensionless. It is clear that in the limit  $R_c \rightarrow \infty$  the action (17) becomes a 6D action of a scalar field with interaction  $g\phi^3$  in six infinite spacetime dimensions (see the previous section).

We can use the following Fourier expansion of the field

$$\phi(x, y) = \frac{1}{2\pi R_c} \sum_{n_1=-\infty}^{\infty} \sum_{n_2=-\infty}^{\infty} e^{i(n_1 y_1 + n_2 y_2)/R_c} \phi_n(x), \quad (18)$$

where  $n = (n_1, n_2)$ . Correspondingly, we have

$$\phi_n(x) = \frac{1}{2\pi R_c} \int_{-\pi R_c}^{\pi R_c} dy_1 \int_{-\pi R_c}^{\pi R_c} dy_2 e^{-i(n_1 y_1 + n_2 y_2)/R_c} \phi(x, y). \quad (19)$$

Note that every KK mode has canonical dimension 1.

If we require that the Kaluza-Klein (KK) modes  $\phi_n(x)$  are normalized,

$$\int d^4x \phi_n(x) \phi_{n'}(x) = \delta_{n, n'}, \quad (20)$$

then

$$\int d^4x \int d^2y \phi_n(x, y) \phi_{n'}^*(x, y) = \delta_{n, n'}. \quad (21)$$

The masses of the KK excitations are

$$m_n^2 = m_0^2 + \frac{n^2}{R_c^2}, \quad (22)$$

where  $n^2 = n_1^2 + n_2^2$ , and  $m_0$  means zero mode mass. Thus, the *effective* 4D action is given by

$$\begin{aligned} \mathcal{S}_{4\text{eff}} &= \int d^4x \sqrt{-\gamma} \left\{ \frac{1}{2} \partial_\mu \phi_0(x) \partial^\mu \phi_0(x) - \frac{1}{2} m_0^2 \phi_0^2(x) \right. \\ &- \sum_{n \neq 0} \left[ \frac{1}{2} \partial_\mu \phi_n(x) \partial^\mu \phi_n(x) - \frac{1}{2} m_n^2 \phi_n^2(x) \right] \\ &- \frac{g_4}{3!} \left[ \phi_0^3(x) + \phi_0(x) \sum_{n \neq 0} \phi_n(x) \phi_{-n}(x) \right. \\ &\left. \left. + \sum_{n, m, k \neq 0} \phi_n(x) \phi_m(x) \phi_k(x) \delta_{n+m+k, 0} \right] \right\}, \end{aligned} \quad (23)$$

where  $\gamma = \det(\gamma_{\mu\nu})$ . Here

$$g_4 = \frac{g}{2\pi R_c} \quad (24)$$

is an *effective four-dimensional* coupling constant. Thus, it is the inverse compactification scale  $R_c^{-1}$  that makes  $g_4$  a quantity with the dimension of mass.

#### A. Effective four-dimensional propagator in one-loop approximation

One of our main goals is a calculation of a scale dependence of the coupling constant  $g_4$  (24). As one can see from (23), it is the same for zero mode interactions, interactions between zero and KK modes, and nonzero mode interactions. That is why, here and in what follows it is assumed that all external particles have zero KK numbers. From the very beginning, we put  $m_0 = 0$ .

The four-dimensional self-energy of the scalar field at order  $O(g^2)$  is given by the diagram in Fig. 1. It can be divided into two parts

$$\Sigma(p^2) = \Sigma_0(p^2) + \Sigma_{\text{KK}}(p^2), \quad (25)$$

where

$$\Sigma_0(p^2) = -\frac{i}{2} g_4^2 \bar{\mu}^{2\epsilon} \int_0^1 dx \int \frac{d^D k}{(2\pi)^D} \frac{1}{[k^2 + p^2 x(1-x)]^2} \quad (26)$$

is the contribution from zero mode, and

$$\begin{aligned} \Sigma_{\text{KK}}(p^2) &= -\frac{i}{2} g_4^2 \bar{\mu}^{2\epsilon} \sum_{n \neq 0} \int_0^1 dx \int \frac{d^D k}{(2\pi)^D} \frac{1}{[k^2 + p^2 x(1-x) - m_n^2]^2} \\ &\quad (27) \end{aligned}$$

is the contribution from KK massive modes. It is assumed that  $p^2 < 0$ . We define

$$D = 4 - 2\epsilon. \quad (28)$$

We find that

$$\begin{aligned} \Sigma_0(p^2) &= \frac{g_4^2}{2(4\pi)^{2-\epsilon}} \Gamma(\epsilon) \left( \frac{\bar{\mu}^2}{-p^2} \right)^\epsilon \int_0^1 dx [x(1-x)]^{-\epsilon} \\ &= \frac{\alpha}{2\pi} R_c^{-2} \left[ N_\epsilon - \ln \frac{-p^2}{\bar{\mu}^2} + 2 \right] + O(\epsilon), \quad (29) \end{aligned}$$

where

$$N_\epsilon = \frac{1}{\epsilon} - \gamma_E + \ln 4\pi. \quad (30)$$

Thus, the zero mode contributes to the mass renormalization only.

Now we consider the contribution from the massive modes

$$\begin{aligned} \Sigma_{\text{KK}}(p^2) &= -\frac{i}{2} g_4^2 (\bar{\mu} R_c)^{2\epsilon} R_c^{-2} \sum_{n_1, n_2 \neq 0} \int_0^1 dx \int \frac{d^D l}{(2\pi)^D} \\ &\quad \times \frac{1}{[l^2 + p^2 R_c^2 x(1-x) - n_1^2 - n_2^2]^2}, \quad (31) \end{aligned}$$

where  $l = k R_c$ . Since

$$\begin{aligned} &\int \frac{d^D l}{(2\pi)^D} \frac{1}{[l^2 + p^2 R_c^2 x(1-x) - n_1^2 - n_2^2]^2} \\ &= \frac{i}{(4\pi)^{2-\epsilon}} \Gamma(\epsilon) [-p^2 x(1-x) + n_1^2 + n_2^2]^{-\epsilon}, \quad (32) \end{aligned}$$

we obtain

$$\begin{aligned} \Sigma_{\text{KK}}(p^2) &= \frac{\alpha}{2\pi} \Gamma(\epsilon) (4\pi)^\epsilon (\bar{\mu} R_c)^{2\epsilon} R_c^{-2} \\ &\quad \times \sum_{n_1, n_2 \neq 0} \int_0^1 dx [-p^2 R_c^2 x(1-x) + n_1^2 + n_2^2]^{-\epsilon}. \quad (33) \end{aligned}$$

The series in (33) converges absolutely for  $\text{Re}\epsilon > 1$ . To define this series for other values of  $\epsilon$ , we require its analytic continuation using the two-dimensional inhomogeneous Epstein zeta function  $Z_2^a(s)$  [28]

$$Z_2^a(s) = \sum'_{n_1, n_2 \in \mathbb{Z}^2} \frac{1}{(n_1^2 + n_2^2 + a)^s}, \quad (34)$$

with  $a > 0$  [the prime in (34) means that the point  $n = 0$  is to be excluded from the sum]. The zeta function regularization method for the quantum physical systems was proposed for the first time in [29,30]. The Riemann zeta function  $\zeta(s)$  was used in fixing a critical spacetime dimension of the string theory (see, for instance, [31]). Recently, one-dimensional inhomogeneous Epstein zeta function  $Z_1^a(s)$  was applied to quantify the UV divergences induced by the KK fields [11–13]. In [14] both  $Z_1^a(s)$  and  $n$ -dimensional inhomogeneous function  $Z_n^a(s)$  were used.

In Appendix formula (A1) is presented, which gives an analytical continuation for the function  $Z_2^a(s)$ . It is defined on the complex plane of  $s$ . It has an infinite number of simple poles, but *converges* both in the  $s \rightarrow 0$  limit, and with  $a = 0$ . These results are a consequence of the analytical properties of the inhomogeneous Epstein zeta function.

Let us define

$$c = -p^2 R_c^2 x(1-x). \quad (35)$$

Note that  $c > 0$ , except for two points  $x = 0, 1$ . We obtain from (33)–(35)

$$\Sigma_{\text{KK}}(p^2) = \frac{\alpha}{2\pi} \Gamma(\epsilon) (4\pi)^\epsilon (\bar{\mu} R_c)^{2\epsilon} R_c^{-2} \int_0^1 dx Z_2^c(\epsilon), \quad (36)$$

where

$$Z_2^c(\epsilon) = -c^{-\epsilon} - \frac{\pi c^{1-\epsilon}}{1-\epsilon} + \frac{A(\epsilon; c)}{\Gamma(\epsilon)}. \quad (37)$$

Since  $Z_2^c(a)$  is finite for  $c = 0$ , see (A3), we can take  $c > 0$  for all  $x \in [0, 1]$ . An explicit expression for  $A(s; a)$  in (37) is given by Eq. (A2). The function  $A(\varepsilon; c)$  converges, as  $\varepsilon \rightarrow 0$ , and, consequently,  $Z_2^c(0) = -(1 + \pi c)$ . The KK divergence [the first term in (37)] exactly cancels the zero mode divergence (29). A similar effect was seen in the context of quantum electrodynamics with one ED [12]. Since  $A(\varepsilon; c)$  decreases exponentially as  $c \rightarrow \infty$ , we find for large  $R_c$

$$\begin{aligned} \Sigma(p^2) &= p^2 \frac{\alpha}{2} \Gamma(\varepsilon) (4\pi)^\varepsilon \left( \frac{\bar{\mu}^2}{-p^2} \right)^\varepsilon \int_0^1 dx [x(1-x)]^{1-\varepsilon} \\ &= p^2 \frac{\alpha}{12} \left( N_\varepsilon - \ln \frac{-p^2}{\bar{\mu}^2} + \frac{5}{3} \right) + \mathcal{O}(\varepsilon). \end{aligned} \quad (38)$$

As a result, for  $\mu R_c \gg 1$ , the field renormalization constant is equal to

$$Z_\phi = 1 - \frac{\alpha}{12} \left( N_\varepsilon - \ln \frac{\mu^2}{\bar{\mu}^2} + \frac{5}{3} \right). \quad (39)$$

It differs from the field renormalization in the  $\phi_6^3$  theory by a constant term only. Note, there is no dependence on  $R_c$  in (39). Since  $\Sigma(p^2) \sim p^2$ , the renormalized theory remains massless in the one-loop approximation (no mass renormalization holds).

### B. Effective four-dimensional vertex in one-loop approximation

The effective four-dimensional three-point vertex  $\Gamma^{(3)}$  is defined by the diagram presented in Fig. 2. It is a sum of two terms,

$$\Gamma^{(3)}(p, q) = \Gamma_0^{(3)}(p, q) + \Gamma_{\text{KK}}^{(3)}(p, q), \quad (40)$$

where

$$\Gamma_0^{(3)}(p, q) = 2g_4^3 \bar{\mu}^{2\varepsilon} \int_0^1 dx x \int_0^1 dy \int \frac{d^D k}{(2\pi)^D} \frac{1}{(k^2 - M^2)^3}, \quad (41)$$

and

$$\begin{aligned} \Gamma_{\text{KK}}^{(3)}(p, q) &= 2g_4^3 \bar{\mu}^{2\varepsilon} \sum_{n_1, n_2 \neq 0} \int_0^1 dx x \int_0^1 dy \int \frac{d^D k}{(2\pi)^D} \frac{1}{(k^2 - M^2 - m_n^2)^3} \\ &= 2g_4^3 \bar{\mu}^{2\varepsilon} R_c^{2+2\varepsilon} \sum_{n_1, n_2 \neq 0} \int_0^1 dx x \int_0^1 dy \int \frac{d^D l}{(2\pi)^D} \frac{1}{(l^2 - M^2 R_c^2 - n_1^2 - n_2^2)^3}. \end{aligned} \quad (42)$$

Here a notation

$$\begin{aligned} M^2 &= -x[p^2 xy(1-y) + q^2 y(1-x) \\ &\quad + (p+q)^2(1-x)(1-y)] \end{aligned} \quad (43)$$

is introduced. We assume that  $p^2, q^2, (p+q)^2 < 0$ . It means that  $M^2 > 0$ , except for two points  $(x, y) = (1, 0), (1, 1)$ . The integral in (41) converges, as  $\varepsilon \rightarrow 0$ , and we obtain

$$\begin{aligned} \Gamma_0^{(3)}(p, q) &= (-ig_4) \frac{\alpha}{\pi} \Gamma(1 + \varepsilon) (4\pi)^\varepsilon \bar{\mu}^{2\varepsilon} R_c^{-2} \\ &\quad \times \int_0^1 dx x \int_0^1 dy (M^2)^{-1-\varepsilon}. \end{aligned} \quad (44)$$

In particular, we find for  $\varepsilon = 0$

$$\Gamma_0^{(3)}(p, q)|_{p^2=q^2=(p+q)^2=-\mu^2} = (-ig_4) \frac{\alpha}{2\pi} B(\mu R_c)^{-2}, \quad (45)$$

where

$$\begin{aligned} B &= 2 \int_0^1 dx \int_0^1 dy [1 - x + xy(1-y)]^{-1} \\ &= \frac{1}{27} \left[ \psi_1\left(\frac{1}{3}\right) + \psi_1\left(\frac{1}{6}\right) - \psi_1\left(\frac{5}{6}\right) - \psi_1\left(\frac{2}{3}\right) \right], \end{aligned} \quad (46)$$

$\psi_1(z) = (d^2/dz^2) \ln \Gamma(z)$  being the trigamma function [32].

The integral on the right-hand side of Eq. (42) is equal to

$$\begin{aligned} &\int \frac{d^D l}{(2\pi)^D} \frac{1}{(l^2 - M^2 R_c^2 - n_1^2 - n_2^2)^3} \\ &= -\frac{i}{2(4\pi)^{2-\varepsilon}} \Gamma(1 + \varepsilon) (M^2 R_c^2 + n_1^2 + n_2^2)^{-1-\varepsilon}, \end{aligned} \quad (47)$$

that results in

$$\begin{aligned} \Gamma_{\text{KK}}^{(3)}(p, q) &= (-ig_4) \frac{\alpha}{\pi} \Gamma(1 + \varepsilon) (4\pi)^\varepsilon (\bar{\mu} R_c)^{2\varepsilon} \\ &\quad \times \int_0^1 dx x \int_0^1 dy Z_2^{M^2 R_c^2} (1 + \varepsilon). \end{aligned} \quad (48)$$

Thus, the infinite number of UV divergences results in the two-dimensional inhomogeneous Epstein zeta function. We find from Eqs. (A1), (A2)

$$Z_2^{M^2 R_c^2}(1 + \varepsilon) = -(M^2 R_c^2)^{-1-\varepsilon} + \frac{\pi(M^2 R_c^2)^{-\varepsilon}}{\varepsilon} + \frac{A(1 + \varepsilon; M^2 R_c^2)}{\Gamma(1 + \varepsilon)}, \quad (49)$$

with  $A(1; c)$  being a finite quantity. As one can see from (49),  $Z_2^{M^2 R_c^2}(1 + \varepsilon)$  has a simple pole at  $\varepsilon = 0$ . It can be easily shown that in the limit  $\varepsilon \rightarrow 0$  the first term in (49), after substitution in (48), cancels the zero mode contribution (44), and we obtain

$$\Gamma^{(3)}(p, q) = (-ig_4) \frac{\alpha}{\pi} \Gamma(1 + \varepsilon) (4\pi)^\varepsilon (\bar{\mu} R_c)^{2\varepsilon} \times \int_0^1 dx x \int_0^1 dy \left[ \frac{\pi(M^2 R_c^2)^{-\varepsilon}}{\varepsilon} + \frac{A(1 + \varepsilon; M^2 R_c^2)}{\Gamma(1 + \varepsilon)} \right]. \quad (50)$$

Thus, for  $\varepsilon \rightarrow 0$  the vertex renormalization constant is given by the expression

$$Z_\Gamma = 1 - \frac{\alpha}{\pi} \int_0^1 dx x \int_0^1 dy \times \left[ \Gamma(\varepsilon) \pi (4\pi)^\varepsilon \left( \frac{\bar{\mu}^2}{M_\mu^2} \right)^\varepsilon + A(1; M_\mu^2 R_c^2) \right], \quad (51)$$

where

$$M_\mu^2 = \mu^2 x [1 - x + xy(1 - y)], \quad (52)$$

$-\mu^2$  being the renormalization point. As one can see from (51), the vertex renormalization constant  $Z_\Gamma^{-1}$  depends both on the ratio  $\mu/\bar{\mu}$  and on the compactification radius via dimensionless parameter  $\mu R_c$ . The vertex has a divergence related with a summation over KK number, while Feynman integral is finite.

However, for  $\mu R_c \gg 1$  (and, consequently, for  $M_\mu^2 R_c^2 \gg 1$ ), the function  $A(1; M_\mu^2 R_c^2)$  decreases exponentially [see Eq. (A2)], and we obtain

$$Z_\Gamma^{-1} = 1 + \frac{\alpha}{2} \left[ N_\varepsilon - \ln \left( \frac{\mu^2}{\bar{\mu}^2} \right) - C \right], \quad (53)$$

where

$$C = 2 \int_0^1 x dx \int_0^1 dy \{ \ln x + \ln [1 - x + xy(1 - y)] \} = \frac{2B}{3} - 3. \quad (54)$$

As we can see, if the compactification radius exceeds the physical scale,  $R_c \gg \mu^{-1}$ , it disappears from the renormalization constants (39) and (51).

The renormalized effective four-dimensional vertex is proportional to  $g_4$  (24). The fact that the coupling of the four-dimensional fields becomes smaller at larger  $R_c$  can be easily understood. As it follows from (18), the wave function of the field  $\phi_n(x)$  in the  $y$ -space is given by

$$\psi_n(y) = \frac{1}{2\pi R_c} e^{iny/R_c}. \quad (55)$$

The coupling constant of three fields  $\phi_n(x)$ ,  $\phi_m(x)$ ,  $\phi_k(x)$  is defined by overlapping of their wave functions

$$g \int_{-\pi R_c}^{\pi R_c} dy_1 \int_{-\pi R_c}^{\pi R_c} dy_2 \psi_n(y) \psi_m(y) \psi_k(y) = \frac{g}{2\pi R_c} \delta_{n+m+k,0}. \quad (56)$$

It tends to zero as  $R_c$  grows. Thus, in the limit  $R_c \rightarrow \infty$  (all six dimensions are infinite), the  $\phi_{\text{eff}}^3$  theory becomes a theory of a *free* scalar field, whose propagator is equal to that of the  $\phi_4^3$  theory.

### C. Running coupling constant

Let us consider large values of the mass scale  $\mu$ , namely,  $\mu \gg R_c^{-1}$ . It follows from Eqs. (8), (39), and (53) that in the one-loop approximation the beta function is equal to

$$\beta(g) = -\frac{3R_c^2}{64\pi} g^3, \quad (57)$$

and, correspondingly,

$$\mu^2 \frac{\partial \alpha_4(\mu)}{\partial \mu^2} = -\frac{3R_c^2}{16} \alpha_4^2(\mu), \quad (58)$$

where

$$\alpha_4 = \frac{g_4^2}{4\pi}. \quad (59)$$

Let us note, it is the *dimensional* variable  $R_c^2 \ln(\mu^2/\mu_0^2)$ , not the dimensionless quantity  $\ln(\mu^2/\mu_0^2)$ , which should be regarded as an evolution parameter for the coupling constant  $\alpha_4(\mu)$ . It is to be expected, since the coupling  $\alpha_4$  has dimension  $-2$ . As a result, we obtain

$$\alpha_4(\mu) = \frac{\alpha_4(\mu_0)}{1 + \frac{3}{16} \alpha_4(\mu_0) R_c^2 \ln(\mu^2/\mu_0^2)} = \frac{16}{3R_c^2 \ln(\mu^2/\Lambda^2)}, \quad (60)$$

where

$$\Lambda^2 = \mu_0^2 \exp[-16/(3\alpha_4(\mu_0) R_c^2)] = \mu_0^2 \exp[-4/(3\alpha(\mu_0))]. \quad (61)$$

We remind that Eqs. (60) and (61) hold in the one-loop approximation and at  $\mu \gg \Lambda$ . Ghost pole at  $\mu = \Lambda$  is safely eliminated if to respect the causality [33].

Thus, the effective four-dimensional scalar  $\phi^3$  theory in the flat spacetime with two compact EDs exhibits *the property of asymptotic freedom*. Namely, its effective coupling constant  $\alpha_4(\mu)$  tends logarithmically to zero, as the mass scale  $\mu$  grows. One can say that four-dimensional theory does not forget its higher dimensional origin.

All this can be understood as follows. The renormalization of the coupling constant is defined by the UV divergences and renormalization scale  $\mu$ , and “it is not aware” of the scale  $R_c^{-1}$ , provided  $\mu \gg R_c^{-1}$ . In other words, the large scale  $R_c$  is irrelevant to a small-distance physics. As a result, the effective four-dimensional coupling constant  $g_4$  exhibits a large-scale behavior of the coupling constant in the  $\phi_6^3$  theory. For a detailed discussion of this phenomenon, see Sec. V.

It is interesting to compare our prediction (57) with the results obtained for an effective 4D  $\lambda\phi^4$  theory in a spacetime with one compact ED [12]. It has been found that in such a theory an effective coupling constant in one-loop approximation is renormalized by the constant

$$Z_\phi^{3/2} Z_\Gamma^{-1} = 1 + \frac{3\lambda^2}{16\pi^2} \left[ \frac{1}{\varepsilon} + \ln(\mu R_c) \right]. \quad (62)$$

Note that  $\lambda = \bar{\lambda}/(2\pi R_c)$ , where  $\bar{\lambda}$  is the coupling constant in a 5D action with dimension  $-1$ . Thus, one cannot obtain a RG-like equation for  $\lambda$  with respect to the scale  $\bar{M} = R_c^{-1}$ , as it is erroneously stated in [12] (see also [15]), except when  $\bar{\lambda} = \bar{\lambda}(R_c) = \text{constant} \times R_c$ . For instance, if we assume that this relation takes place for small  $R_c$ , then we come to the equation with respect to the intrinsic scale of the spacetime topology,

$$\frac{d\lambda}{d \ln \bar{M}} = -\frac{3\lambda^2}{16\pi^2}, \quad (63)$$

valid for large  $\bar{M}$ .

As for the case  $\mu \ll R_c^{-1}$ , it can be shown that  $\alpha_4(\mu)$  tends to a constant value, as  $\mu$  grows (while being less than  $R_c^{-1}$ ). As one see from (36), the total divergence in  $\varepsilon = (4 - D)/2$  is due to the UV divergent Feynman integral, while the sum in the KK modes gives a finite result [ $Z_2^c(\varepsilon)$  is finite, as  $\varepsilon \rightarrow 0$ ]. On the contrary, as Eq. (48) shows, the vertex divergence comes from the infinite sum over KK modes only [ $Z_2^c(1 + \varepsilon) \sim \varepsilon^{-1}$ , as  $\varepsilon \rightarrow 0$ ], while the Feynman integral is finite. If  $\mu \gg R_c^{-1}$ , infinite and  $\mu$ -dependent parts of the counterterms of the origin, six-dimensional, theory and those of the reduced theory coincide. However, our calculations have shown that  $\mu$ -dependent parts of the renormalization constants differ for  $\mu \ll R_c^{-1}$ , and a nontrivial dependence on  $R_c$  occurs. Let us note that the divergent  $\varepsilon^{-1}$  terms remain the same

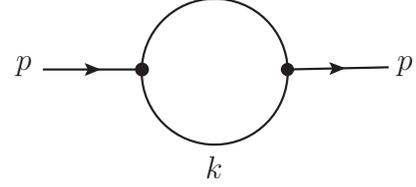


FIG. 1. The self-energy diagram for the scalar field in the  $\phi^3$  theory in the one-loop approximation.

regardless of a value of  $R_c$ , in full accordance with the results of [34]. It is to be expected, since the compactification is an infrared process which can not change the UV properties of the theory.

#### IV. COMPACTIFICATION ON ORBIFOLD $S^2/Z_2$

In Sec. III the manifold  $M_4 \otimes T^2/Z_2$  was studied. In this section we examine the case when the six-dimensional scalar field  $\phi$  is defined on a manifold  $M_4 \otimes S^2/Z_2$ , with a radius of two-dimensional sphere  $S^2$  to be  $R_c$ . It is appropriate to introduce spherical coordinates  $\theta, \phi$ , and use the following expansion:

$$\phi(x, \theta, \phi) = \frac{1}{R_c} \sum_{l=0}^{\infty} \sum_{m=-l}^l Y_l^m(\theta, \phi) \phi_{lm}(x), \quad (64)$$

where  $Y_l^m(\theta, \phi)$  ( $m = -l, -l+1, \dots, l-1, l$ ) are spherical harmonics [35]. They obey the orthogonality condition

$$\int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta Y_l^m(\theta, \phi) [Y_{l'}^{m'}(\theta, \phi)]^* = \delta_{ll'} \delta_{mm'}. \quad (65)$$

Using formula

$$\int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta Y_0^0(\theta, \phi) Y_l^m(\theta, \phi) Y_l^{-m}(\theta, \phi) = \frac{(-1)^m}{\sqrt{4\pi}}, \quad (66)$$

one can show that an effective four-dimensional coupling constant is

$$\bar{g}_4 = \frac{g}{\sqrt{4\pi} R_c}, \quad (67)$$

for zero mode interaction. For interactions between zero mode and KK modes, a coupling constant is equal to  $(-1)^m \bar{g}_4$ . The masses of the KK excitations are known to be numerated by an integer  $l = 0, 1, 2, \dots$  [17,18],

$$m_l^2 = m_0^2 + \frac{l(l+1)}{R_c^2}. \quad (68)$$

Let us consider zero-mode self-energy  $\Sigma(p^2)$  in the one-loop approximation (Fig. 1). It is given by

$$\begin{aligned}
\Sigma(p^2) &= \frac{\alpha}{2} \Gamma(\varepsilon) (4\pi)^\varepsilon (\bar{\mu} R_c)^{2\varepsilon} R_c^{-2} \\
&\times \sum_{l=0}^{\infty} \sum_{m=-l}^l \int_0^1 dx [l(l+1) + c]^{-\varepsilon} \\
&= \frac{\alpha}{2} \Gamma(\varepsilon) (4\pi)^\varepsilon (\bar{\mu} R_c)^{2\varepsilon} R_c^{-2} \int_0^1 dx \sum_{l=0}^{\infty} \frac{2l+1}{[l(l+1) + c]^\varepsilon},
\end{aligned} \tag{69}$$

where  $c$  is defined by Eq. (35). The series on the right-hand side can be represented as

$$\begin{aligned}
\zeta_l(s; c) &= \sum_{l=0}^{\infty} \frac{2l+1}{[l(l+1) + c]^s} \\
&= \frac{1}{1-s} \frac{d}{d\alpha} \sum_{l=0}^{\infty} \frac{1}{[l(l+1) + \alpha(2l+1) + c]^{s-1}} \Big|_{\alpha=0}.
\end{aligned} \tag{70}$$

We have

$$\begin{aligned}
\sum_{l=0}^{\infty} \frac{1}{[l(l+1) + \alpha(2l+1) + c]^{s-1}} &= \sum_{l=0}^{\infty} \frac{1}{[(l+a)^2 + q]^{s-1}} \\
&= \zeta_l(s; a, q),
\end{aligned} \tag{71}$$

where

$$a = \frac{1}{2} + \alpha, \quad q = c - \frac{1}{4} - \alpha^2, \tag{72}$$

and an analytic expression for  $\zeta_l(s; a, q)$  is given by Eq. (A6). Note that  $[dq/d\alpha]_{\alpha=0} = 0$ . For  $c \gg 1$ , we obtain form (70)–(72), and (A6) that

$$\zeta_l(\varepsilon; c) = -c^{1-\varepsilon} \left[ 1 - \frac{1}{12c} \right] + \mathcal{O}(c^{-2}), \tag{73}$$

as  $\varepsilon \rightarrow 0$ . As a result, we come to expression (39) (up to unimportant finite constant).

The above consideration can be also applied to a calculation of the effective four-dimensional vertex for zero mode interaction in the one-loop approximation (Fig. 2). Taking into account that

$$\zeta_l(1 + \varepsilon; c) = c^{-\varepsilon} \left[ \frac{1}{\varepsilon} + \frac{1}{12c} \right] + \mathcal{O}(c^{-2}), \tag{74}$$

as  $\varepsilon \rightarrow 0$ , we reproduce formula (53) (up to a constant factor). All said above allows us to conclude that in the large  $R_c$  region our main results do not depend on a topology of the two-dimensional compact manifold.

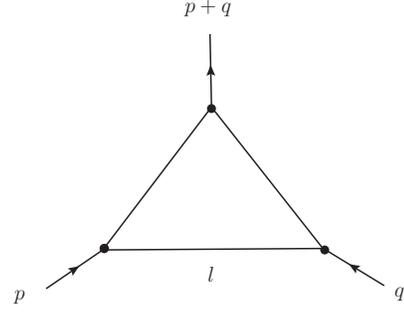


FIG. 2. Three-particle vertex in the scalar  $\phi^3$  theory in the one-loop approximation.

## V. SCALE DEPENDENCE OF PHYSICAL OBSERVABLES

As mentioned in Sec. III, a nontrivial dependence of physical quantities on the compactification radius appears when the physical scale ( $\mu^{-1}$ , in our case) becomes much larger than  $R_c$ . In the opposite case,  $\mu^{-1} \lesssim R_c$ , when a physical process goes “inside a sphere of the radius  $R_c$ ,” such a dependence disappears.

Some other physical examples can be given which illustrate these statements. In [36] a generalization of the Froissart-Martin bound for scattering in  $D$ -dimensional spacetime with one compact dimension has been derived. The upper bound for the imaginary part of the hadronic scattering amplitude  $T^D(s, t)$  was found to be

$$\text{Im} T_D(s, 0) \leq s R_0^{D-2}(s) \Phi\left(\frac{R_0}{R_c}, D\right). \tag{75}$$

In (75) the “transverse radius” is given by  $R_0(s) \sim t_0^{-1/2} \ln s$ , where  $t_0$  denotes the nearest singularity in the  $t$  channel.  $R_c$  is the compactification radius of the ED, and  $\Phi(R_0/R_c, D)$  is a known function. At  $R_c \gg R_0(s)$ , the inequality (75) reproduces the Froissart-Martin bound in a flat spacetime with arbitrary  $D$  dimensions [37]

$$\sigma_{\text{tot}}^D(s) \leq \text{const}(D) R_0^{D-2}(s), \tag{76}$$

while in the opposite limit  $R_c \ll R_0(s)$  it results in the inequality [36]

$$\text{Im} T^D(s, 0) \leq \text{const}(D) s R_0^{D-3}(s) R_c. \tag{77}$$

In [38] an analogous result has been obtained for the scattering of two SM particles on a 3D brane embedded into a flat spacetime with  $n$  compact EDs ( $D = 4 + n$ ). The inelastic cross section  $\sigma_{\text{in}}^D(s)$  was calculated in the trans-Planckian region  $\sqrt{s} \gg M_D$ ,  $|t|$ , where  $t$  is a momentum transfer squared, and  $M_D$  is a fundamental Planck scale in  $D$  dimensions. The result of the calculations is the following:

$$\sigma_{\text{inel}}^D(s) \simeq \text{const}(D) \times \begin{cases} R_0^{2+n}(s), & R_c \gg \bar{R}_0(s), \\ R_0^2(s)R_c^n, & R_c \ll \bar{R}_0(s), \end{cases} \quad (78)$$

where  $\bar{R}_0(s) = 2R_g(s)\sqrt{\ln(s/M_D^2)}$ ,  $R_g(s)$  being the ‘‘Regge gravitational radius’’ (for more details, see [38]).

To summarize, we can say that the dependence of physical observables on the compactification radius of the ED(s) arises only when the physical scale  $R_{\text{phys}}$  of the process becomes larger than (comparable with)  $R_c$ . On the contrary, if  $R_{\text{phys}} \ll R_c$ , this dependence disappears (a physical process occurs on distances  $\sim R_{\text{phys}}$ , and it does not ‘‘feel’’ the large scale  $R_c$  at all).

## VI. CONCLUSIONS

We have considered compactification of the asymptotically free  $\phi_{D=6}^3$  theory to manifolds  $M_4 \otimes T^2/Z_2$  and  $M_4 \otimes S^2/Z_2$ . The asymptotically free behavior of the dimensionless triple coupling in  $M_6$  is being inherited by dimensional triple couplings of the light modes in both cases of compactification, with details depending of the shape of compactification. We also have considered the physical implications for high-energy scattering which has the same energy dependence as in simple four-dimensional case but retaining the compactification radius as a parameter, when the interaction radius exceeds the compactification size, while the ‘‘memory’’ of the latter disappears at short-distance interactions which has now a different energy dependence.

## APPENDIX: EPSTEIN’S INHOMOGENEOUS FUNCTION

We give some useful properties of the two-dimensional inhomogeneous Epstein zeta function  $Z_2^a(s)$  (34), with  $a > 0$ . In [39] the following explicit expression was derived

$$Z_2^a(s) = -a^{-s} + \frac{\pi a^{1-s}}{s-1} + \frac{A(s; a)}{\Gamma(s)}, \quad (A1)$$

where

$$\begin{aligned} A(s; a) = & 4 \left[ a^{1/4} \left( \frac{\pi}{\sqrt{a}} \right)^s \sum_{n=1}^{\infty} n^{s-1/2} K_{s-1/2}(2\pi n \sqrt{a}) \right. \\ & + a^{1/2} \left( \frac{\pi}{\sqrt{a}} \right)^s \sum_{n=1}^{\infty} n^{s-1} K_{s-1}(2\pi n \sqrt{a}) \\ & + \sqrt{2}(2\pi)^s \sum_{n=1}^{\infty} n^{s-1/2} \sum_{d|n} d^{1-2s} \left( 2 + \frac{4a}{d^2} \right)^{1/4-s/2} \\ & \left. \times K_{s-1/2} \left( \pi n \sqrt{2 + \frac{4a}{d^2}} \right) \right]. \quad (A2) \end{aligned}$$

Here  $K_\nu(z)$  is the modified Bessel function of the second kind,  $d|n$  is the division of  $n$ . As one can see from (A2),

$A(s; a)$  decreases exponentially, as  $a \rightarrow \infty$ . In the limit  $a \rightarrow 0$  the Chowla-Selberg formula [40] takes place

$$\begin{aligned} Z_2(s) = & 2\zeta(2s) + 2\sqrt{\pi} \frac{\Gamma(s-1/2)}{\Gamma(s)} \zeta(2s-1) \\ & + \frac{8\pi^s}{\Gamma(s)} \sum_{n=1}^{\infty} n^{s-1/2} \sum_{d|n} d^{1-2s} K_{s-1/2}(2\pi n), \quad (A3) \end{aligned}$$

where

$$Z_2(s) \equiv Z_2^0(s) = \sum_{n_1, n_2 \in \mathbb{Z}^2} ' \frac{1}{(n_1^2 + n_2^2)^s} \quad (A4)$$

is the two-dimensional Epstein zeta function [41], and  $\zeta(s)$  is the Riemann zeta function [32]. Note that all (multi) series in (A2), (A3) are exponentially convergent.

The above formulas are valid over the *whole* complex plane. The inhomogeneous Epstein function (A1) exhibits an infinite number of simple poles at  $s=1, 1/2, -1/2, -3/2, \dots$ , while the homogeneous Epstein function (A3) has only two simple poles at  $s=1$  and  $s=1/2$ , with the residues  $\pi$  and  $-1/2$ , respectively [28]. Note that both functions are *regular* at  $s=0$ . The formula

$$\begin{aligned} Z_2^a(s)|_{s=0} = & -a^{-s} + \frac{\pi a^{1-s}}{s-1} \\ & + \frac{4}{\Gamma(s)} \sum_{n=1}^{\infty} \frac{1}{n} \left[ \frac{1}{2} e^{-2\pi n \sqrt{a}} + \sqrt{a} K_1(2\pi n \sqrt{a}) \right. \\ & \left. + \sum_{d|n} d e^{-\pi n \sqrt{2+4a/d^2}} \right] + O(s^2) \quad (A5) \end{aligned}$$

gives an expansion of two-dimensional inhomogeneous Epstein function around the point  $s=0$ .

The truncated Epstein-like zeta function is given by the expression [39]

$$\begin{aligned} \zeta_t(s; a, q) = & \sum_{n=0}^{\infty} \frac{1}{[(n+a)^2 + q]^s} \\ = & \left( \frac{1}{2} - a \right) q^{-s} + \frac{q^{-s}}{\Gamma(s)} \sum_{m=1}^{\infty} \frac{(-1)^m \Gamma(m+s)}{m!} \\ & \times \zeta_H(-2m; a) q^{-m} + \frac{\sqrt{\pi} \Gamma(s-1/2)}{2\Gamma(s)} q^{1/2-s} \\ & + \frac{2\pi^s}{\Gamma(s)} q^{1/4-s/2} \sum_{n=1}^{\infty} n^{s-1/2} \cos(2\pi n a) \\ & \times K_{s-1/2}(2\pi n \sqrt{q}), \quad (A6) \end{aligned}$$

with  $q > 0$ . The first series on the right-hand side is asymptotic. The last series decreases exponentially in parameter  $q$ . The quantity

$$\zeta_H(s; a) = \sum_{n=0}^{\infty} \frac{1}{(n+a)^s} \quad (\text{A7})$$

$$\zeta_H(-k; a) = -\frac{B_{k+1}(a)}{k+1}, \quad (\text{A8})$$

is a Hurwitz zeta function [32]. It is an analytic function over the entire complex  $s$  plane except the point  $s = 1$ , at which it has a simple pole. For  $k = 0, 1, 2, \dots$ , we have

where  $B_r(a)$  is a Bernoulli polynomial [32]. In particular,  $\zeta_H(0; a) = 1/2 - a$ . In (A6), apart from the pole at  $s = 1/2$ , there is a whole sequence of poles for  $s = -1/2, -3/2, \dots$

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