

Gribov-Zwanziger confinement, high energy evolution, and large impact parameter behavior of the scattering amplitude

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In this paper we derive the high energy evolution equation in the Gribov-Zwanziger approach for the confinement of quarks and gluons. We demonstrate that the new equation generates an exponential decrease of the scattering amplitude at large impact parameter and resolves the main difficulties of color glass condensate high energy effective theory. Such behavior occurs if the gluon propagator in Gribov-Zwanziger approach does not vanish at small momenta. Solving the nonlinear equation for deep inelastic scattering, we show that the suggested equation leads to a Froissart disc with radius (R_F), which increases as $R_F \propto Y = \ln(1/x)$, and with a finite width for the distribution over $|b - R_F|$.

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I. INTRODUCTION

It is well known that the Balitsky-Kovchegov (BK) equation [1]

$$\begin{aligned} & \frac{\partial}{\partial Y} N(\mathbf{r}, \mathbf{b}, Y) \\ &= \bar{\alpha}_s \int \frac{d^2 \mathbf{r}'}{2\pi} K(\mathbf{r}', \mathbf{r} - \mathbf{r}'; \mathbf{r}) \left\{ N\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}'), Y\right) \right. \\ &+ N\left(\mathbf{r} - \mathbf{r}', \mathbf{b} - \frac{1}{2}\mathbf{r}', Y\right) - N(\mathbf{r}, \mathbf{b}, Y) \\ &\left. - N\left(\mathbf{r} - \mathbf{r}', \mathbf{b} - \frac{1}{2}\mathbf{r}', Y\right) N\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}'), Y\right) \right\} \quad (1) \end{aligned}$$

generates a scattering amplitude which decreases as a power of b at large impact parameter (see Ref. [2] for review).

In Eq. (1) the kernel $K(\mathbf{r}', \mathbf{r} - \mathbf{r}'; \mathbf{r})$ describes the decay of the dipole of size r into two dipoles with sizes r' and $|\mathbf{r} - \mathbf{r}'|$, respectively. It has this form:

$$K(\mathbf{r}', \mathbf{r} - \mathbf{r}'; \mathbf{r}) = \frac{r^2}{r'^2 (\mathbf{r} - \mathbf{r}')^2}. \quad (2)$$

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At large b we can neglect the nonlinear term in Eq. (1), and the linear BFKL (Balitsky, Fadin, Kuraev and Lipatov) equation [3,4] determines the large b behavior. It is known that the eigenfunction of this equation (the scattering amplitude of two dipoles with sizes r and R) has the following form [5]

$$\begin{aligned} \phi_\gamma(\mathbf{r}, \mathbf{R}, \mathbf{b}) &= \left(\frac{r^2 R^2}{(\mathbf{b} + \frac{1}{2}(\mathbf{r} - \mathbf{R}))^2 (\mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{R}))^2} \right)^\gamma \\ &\xrightarrow{b \gg r, R} \left(\frac{r^2 R^2}{b^4} \right)^\gamma \equiv e^{\gamma \xi} \quad \text{with } \xi = \ln \left(\frac{r^2 R^2}{b^4} \right). \quad (3) \end{aligned}$$

Equation (3) shows the powerlike decrease at large b , which leads to the violation of the Froissart theorem [6] as it generates a cross section, which at high energies increases as a power of energy [7,8]. The solution of this problem requires introducing a new dimensional scale. A variety of ideas to overcome this problem have been suggested in Refs. [8–28]. In this paper we intend to use the Gribov-Zwanziger approach [29–39] for the confinement of quarks and gluons. In particular, we will use the Gribov gluon propagator in a form which describes the recent lattice QCD estimates [40].

The plan of this paper is as follows. In the next section we illustrate the problem of the large impact parameter behavior of the BK equation using as an example the first iteration of this equation. From this example we can see that the large impact parameter behavior of the scattering amplitude stems from the gluon reggeization term of the BFKL equation in momentum representation, which has a general form depending only on the expression for the

gluon propagator. This observation will be essential for our generalization of the BFKL evolution to the case of the Gribov-Zwanziger confinement.

In Sec. III we discuss the model: non-Abelian gauge theories with Higgs mechanism of mass generation, which has been suggested in Ref. [24]. This model, having the same color structure as QCD, does not have the confinement of quarks and gluons. We briefly review the energy evolution in this model, which is discussed in Ref. [24], since it gives an instructive guide to introducing a new dimensional scale (Higgs mass) into the evolution equation at high energies. In Sec. IV, which is the main part of this paper, we will heavily use both the way the BFKL equation is derived in this model and what kind of solution we have for the equation. It should be stressed that this model not only leads to the exponential decrease of the scattering amplitude at large b , but it has the same spectrum of energies as the massless BFKL equation in QCD. In the original part of this section we investigate the large impact parameter behavior of the scattering amplitude in this model and find the restoration of the Froissart theorem. We also discuss the structure of the scattering amplitude at high energies. In particular, we find the size of the Froissart disc and its dependence both on the energy and on the photon virtuality for deep inelastic scattering processes.

Section IV is the key chapter of the paper. It contains a discussion of the modification of the BFKL evolution equation in accord with the Gribov-Zwanziger approach to the confinement problem. The advantage of the Gribov-Zwanziger confinement from the point of view of high energy evolution is the fact that in this approach we can introduce the dimensional parameter, which describes the confinement of quarks and gluons, directly to the propagator of gluon. Using two ingredients: the observation from Sec. II that the large impact parameter behavior stems from the gluon reggeization in the momentum representation and the general expression for the gluon reggeization through the gluon propagators [3–5], we find the behavior of the kernel for the BFKL evolution in the case of Gribov-Zwanziger confinement. We show that this mechanism of confinement introduces a new dimensional parameter, and it leads to the exponential decrease of the scattering amplitude at large b , but only if the gluon propagator does not vanish at zero momentum.

We are aware that the behavior of the gluon propagator in the infra red region (at small momenta) in the Gribov-Zwanziger (GZ) or refined Gribov-Zwanziger (RGZ) approach, as well as generally in nonperturbative QCD, is a subject of intensive discussions (see Refs. [41–57]). As far as we understood, the fact that the gluon propagator ($G(q)$) is not equal to zero at small momenta, does not contradict and even follows from nonperturbative QCD estimates. However, in our estimates we use the simple form of Ref. [40], which we consider as a good first

approximation to introduce the dimensional scale in RGZ keeping $G(q) \neq 0$ at $q \rightarrow 0$.

Using the approach, which we have discussed in Sec. III, we derive the modified BFKL equation for the energy evolution of the scattering amplitude in the momentum representation and discuss the main features of the solution. Finally, we suggest the evolution equation in the coordinate representation and show that the new equation satisfies the Froissart theorem.

In Sec. V we discuss the nonlinear equation with a generalized kernel, which we have derived in the previous section, and show that this equation generates the Froissart-type behavior of the scattering amplitude with a radius which increases as $Y = \ln(1/x)$. Finally, in Sec. VI we discuss our results and future prospects.

II. ITERATIONS OF BK EQUATION

We start illustrating the problem of large b behavior with the first iteration of Eq. (1). At large b , we can neglect the nonlinear term and concentrate our efforts on the solution of the linear BFKL [3,4] equation. The general initial condition $N^{(0)}$ generates the Green's function in the impact parameter representation, which has the following form:

$$N^{(0)} = r^2 \delta^{(2)}(\mathbf{b}). \quad (4)$$

Plugging this initial condition in Eq. (1), one can see that we obtain the first iteration in the form:

$$N^{(1)}(\mathbf{r}, \mathbf{b}, Y) = \bar{\alpha}_S Y \left\{ \frac{1}{\pi} \frac{r^2}{(2\mathbf{b})^2} - \ln r^2 N^{(0)}(\mathbf{r}, \mathbf{b}) \right\}, \quad (5)$$

where $\ln r^2 = \int \frac{d^2 r'}{2\pi} K(\mathbf{r}', \mathbf{r} - \mathbf{r}'; \mathbf{r})$ [see Eq. (1)].

Therefore, one can see that the initial conditions, which have a sharp decrease in b , generate the powerlike dependence of the solution to the BFKL equation. The next iteration leads to

$$N^{(2)}(\mathbf{r}, \mathbf{b}, Y) = \frac{1}{2} (\bar{\alpha}_S Y)^2 \int d^2 r' 2 \frac{r^2}{(\mathbf{r} - \mathbf{r}')^2} \frac{1}{(2\mathbf{b})^2} \\ \xrightarrow{b \gg r' \gg r} \frac{1}{2} (\bar{\alpha}_S Y)^2 \int_{r^2}^{b^2} \frac{dr'^2}{r'^2} \frac{r^2}{b^2}. \quad (6)$$

Therefore, the powerlike decrease of the first iteration persists in the next ones, since in the following iterations the typical r' turns out to be much smaller than b .

It is instructive to recall that the powerlike decrease, which comes from the integration over r' in Eq. (5), corresponds to the gluon reggeization term in the momentum representation. Indeed, using that the scattering amplitude in the momentum representation can be determined from the following equation (see Ref. [2]):

$$N(Y; \mathbf{b}, \mathbf{r}) = r^2 \int d^2 r d^2 b e^{i\mathbf{r} \cdot \mathbf{q} + i\mathbf{b} \cdot \mathbf{Q}_T} N(Y; \mathbf{q}, \mathbf{Q}_T). \quad (7)$$

We obtain that in the momentum representation the BFKL equation takes the form [3,4]:

$$\begin{aligned} \frac{\partial N(Y; \mathbf{q}, \mathbf{Q}_T)}{\partial Y} &= \bar{\alpha}_S \left(\int \frac{d^2 q'}{2\pi} K_{\text{em}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}', \mathbf{Q}_T) - K_{\text{reg}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}, \mathbf{Q}_T) \right), \\ &= \bar{\alpha}_S \int \frac{d^2 q'}{2\pi} K_{\text{em}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}', \mathbf{Q}_T) - \omega_G(q) - \omega_G(\mathbf{Q}_T - \mathbf{q}'), \\ &= \bar{\alpha}_S \int \frac{d^2 q'}{2\pi} K(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}', \mathbf{Q}_T), \end{aligned} \quad (8)$$

where $\bar{\alpha}_S = (N_c/\pi)\alpha_S$. The kernel K_{em} describes the emission of a gluon, while kernel K_{reg} is responsible for the reggeization of gluons in t channel. They have these forms:

$$\begin{aligned} K_{\text{em}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) &= \frac{1}{2} \frac{1}{(\mathbf{q} - \mathbf{q}')^2} \left\{ -\frac{Q_T^2 (\mathbf{q} - \mathbf{q}')^2}{(Q_T - \mathbf{q}')^2 q'^2} + 1 + \frac{(Q_T - \mathbf{q})^2 q'^2}{(Q_T - \mathbf{q}')^2 q'^2} \right\} \xrightarrow{Q_T=0} \frac{1}{(\mathbf{q} - \mathbf{q}')^2}, \\ K_{\text{reg}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) &= \frac{1}{2} \frac{1}{(\mathbf{q} - \mathbf{q}')^2} \left\{ \frac{q^2}{(\mathbf{q} - \mathbf{q}')^2 + q^2} + \frac{(Q_T - \mathbf{q})^2}{(\mathbf{q} - \mathbf{q}')^2 + (Q_T - \mathbf{q}')^2} \right\} \xrightarrow{Q_T=0} \frac{1}{(\mathbf{q} - \mathbf{q}')^2} \frac{q^2}{(\mathbf{q} - \mathbf{q}')^2 + q^2}, \end{aligned} \quad (9)$$

$K(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T)$ is equal to

$$\begin{aligned} K(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}', \mathbf{Q}_T) \\ &= K_{\text{em}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}', \mathbf{Q}_T) \\ &\quad - K_{\text{reg}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) N(Y; \mathbf{q}, \mathbf{Q}_T). \end{aligned} \quad (10)$$

One can see from Eq. (7) that the reggeization term in Eq. (8) stems from the emission contribution to Eq. (1).

The gluon trajectory $\omega_G(q)$ is equal to

$$\begin{aligned} \omega_G(q) &= \bar{\alpha}_S \int \frac{d^2 q'}{4\pi} \frac{q^2}{q'^2 (\mathbf{q} - \mathbf{q}')^2} \\ &= \bar{\alpha}_S G^{-1}(q) \int \frac{d^2 q'}{4\pi} G(\mathbf{q} - \mathbf{q}') G(q'), \end{aligned} \quad (11)$$

where $G(q)$ is the gluon propagator.

The reggeization term of Eq. (8) leads to the powerlike behavior at a large impact parameter. Therefore, we need to understand what type of nonperturbative corrections could change this reggeization kernel to provide the exponential decrease of the scattering amplitude at large impact parameters.

III. THE MODEL: NON-ABELIAN GAUGE THEORIES WITH THE HIGGS MECHANISM FOR MASS GENERATION

A. BFKL equation

In this section we wish to answer this question: what is the large impact parameter behavior in the non-Abelian

Yang-Mills theories with a Higgs boson? In these theories, we introduce the nonperturbative scale as the mass of the Higgs, and we would like to see how this dimensional scale manifests itself in the large b behavior of the scattering amplitude. It was shown by Fadin, Lipatov and Kuraev [3], that the high energy amplitude satisfies the BFKL equation (see Fig. 1) which has been written for color $SU(N_c)$ (N_c is the number of colors) with the Higgs mechanism of mass generation, in Ref. [24].

It has the form of Eq. (8), with the kernels that have the following forms:

$$\begin{aligned} K_{\text{em}}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T) &= \frac{1}{(\mathbf{q} - \mathbf{q}')^2 + m^2} \\ &\quad \times \left(\frac{q_1^2 + m^2}{q'^2 + m^2} + \frac{(Q_T - \mathbf{q})^2 + m^2}{(Q_T - \mathbf{q}')^2 + m^2} \right) \\ &\quad - \frac{q^2 + \frac{N_c^2 + 1}{N_c} m^2}{(q^2 + m^2)((Q_T - \mathbf{q})^2 + m^2)}, \end{aligned} \quad (12a)$$

$$\begin{aligned} \omega_G(q) &= \int \frac{d^2 q'}{4\pi} \frac{(q^2 + m^2)}{(q^2 + m^2)((\mathbf{q} - \mathbf{q}')^2 + m^2)} \\ &= \frac{1}{2} \frac{q^2 + m^2}{|q| \sqrt{q^2 + 4m^2}} \ln \frac{\sqrt{q^2 + 4m^2} + |q|}{\sqrt{q^2 + 4m^2} - |q|}. \end{aligned} \quad (12b)$$

As one can see from Eq. (12b), $\omega_G(q)$ has singularities at $q^2 = -4m^2$, which will generate the exponential decrease of the scattering amplitude at large b . As we have mentioned, the reggeization terms in coordinate

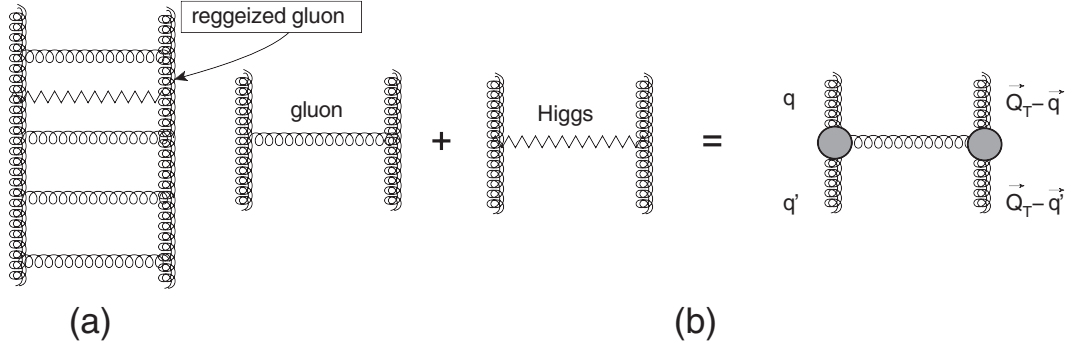


FIG. 1. The massive BFKL equation (a) and its kernel (b)

representation generate the first term in Eq. (1). Using formulas 8.411(1), 8.411(7) and 6.532(4) of Ref. [58] we obtain

$$\int \frac{d^2 q'}{2\pi} \frac{e^{i\mathbf{r}\cdot\mathbf{q}'}}{q'^2 + m^2} = \int_{-\infty}^{+\infty} \frac{q' dq' J_0(rq')}{q'^2 + m^2} = K_0(rm), \quad (13)$$

where $J_0(z)$ and $K_0(z)$ are the Bessel functions of the first and second kinds, respectively (see Ref. [58]). Bearing Eq. (13) in mind, one can see that coordinate image of the gluon trajectory can be written as follows:

$$\omega_G(r) = \frac{1}{2}(-\nabla^2 + m^2)K_0^2(rm) = -\frac{1}{2}m^2(2K_1^2(mr) + K_0^2(mr)) \quad (14a)$$

$$\xrightarrow{m \rightarrow 0} -\frac{1}{r^2}; \quad (14b)$$

$$\xrightarrow{r \rightarrow 0} -\frac{1}{r^2} + \frac{1}{2}m^2 \left(2 - \left(C + \ln\left(\frac{mr}{2}\right) \right)^2 \right); \quad (14c)$$

$$\xrightarrow{r \rightarrow \infty} e^{-2mr} \left(-\frac{3m\pi}{4r} - \frac{5\pi}{16r^2} \right); \quad (14d)$$

where $K_1(z)$ is the Bessel functions of the second kind and C is the Euler constant.

The emission term of BFKL equation in coordinate representation [see Eq. (7) and the first two terms in Eq. (1)] have the following form:

$$\frac{\partial}{\partial Y} N(\mathbf{r}, \mathbf{b}, Y) = -\bar{\alpha}_S \int \frac{d^2 \mathbf{r}'}{2\pi} \omega_G(\mathbf{r} - \mathbf{r}') N\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}'), Y\right). \quad (15)$$

We need to add the contribution of $K_{em}(\mathbf{q} - \mathbf{q}', \mathbf{Q}_T)$ in the coordinate representation, which leads to the term

proportional to $N(\mathbf{r}, \mathbf{b}, Y)$. Finally, the BFKL equation in the coordinate representation has this form:

$$\begin{aligned} \frac{\partial}{\partial Y} N(\mathbf{r}, \mathbf{b}, Y) &= -\bar{\alpha}_S \int \frac{d^2 \mathbf{r}'}{2\pi} \omega_G(\mathbf{r} - \mathbf{r}') \\ &\times \left\{ N\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}'), Y\right) - \frac{1}{2} N(\mathbf{r}, \mathbf{b}, Y) \right\}. \quad (16) \end{aligned}$$

B. First iterations

Using the initial conditions of Eq. (4), one can see that the first iteration of Eq. (15) leads to the following expression for large b :

$$\begin{aligned} N^{(1)}(\mathbf{r}, \mathbf{b}, Y) &= -\bar{\alpha}_S Y \frac{1}{\pi} \int d^2 r' \omega_G(\mathbf{r} - \mathbf{r}') N^{(0)}\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}')\right), \\ &= -\bar{\alpha}_S Y \frac{1}{\pi} \omega_G(2\mathbf{b}) 4b^2 = 6\bar{\alpha}_S Y m b e^{-4mb}. \quad (17) \end{aligned}$$

The second iteration gives

$$\begin{aligned} N^{(2)}(\mathbf{r}, \mathbf{b}, Y) &= -\bar{\alpha}_S Y \frac{1}{\pi} \int d^2 r' \omega_G(\mathbf{r} - \mathbf{r}') N^{(1)}\left(\mathbf{r}', \mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}')\right) \\ &\xrightarrow{b \rightarrow \frac{1}{2}(\mathbf{r} - \mathbf{r}')} -\frac{1}{2} (\bar{\alpha}_S Y)^2 \frac{1}{\pi} \omega_G(2\mathbf{b}) 4b^2 \\ &+ \xrightarrow{|\mathbf{r} - \mathbf{r}'| \approx 1/m} \frac{1}{2} (\bar{\alpha}_S Y)^2 \frac{1}{\pi} \omega_G(|\mathbf{r} - \mathbf{r}'|) \underbrace{6\bar{\alpha}_S Y m b e^{-4mb}}_{N^{(1)}(\mathbf{r}, \mathbf{b} \gg r, Y)}. \quad (18) \end{aligned}$$

Hence, the modified BFKL equation leads to the exponential decrease of the scattering amplitude $N(\mathbf{r}, \mathbf{b}, Y)$ at large b ($mb \gg 1$).

C. Solution at a large impact parameter

We solve Eq. (16) at large b , assuming that the amplitude N has this form:

$$N(\mathbf{r}, \mathbf{b}, Y) = \tilde{N}(r, Y)B(b). \quad (19)$$

We have seen that first two iterations reproduce this form, as well as the eigenfunction of the BFKL equation [see Eq. (3)]. From our experience with the first iteration, we infer that there are two regions of integration that contribute to the asymptotic behavior at large b : $|\mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}')| \rightarrow 0$ and $|\mathbf{r} - \mathbf{r}'| \propto 1/m$.

Plugging Eq. (19) into Eq. (16) we obtain the following equation:

$$\begin{aligned} & \frac{\partial \tilde{N}(r, Y)}{\alpha_S \partial Y} B(b) \\ &= \underbrace{-\omega_G(2b) \int \frac{d^2 \mathbf{r}'}{2\pi} N(\mathbf{r}', b=0, Y)}_{|\mathbf{b} - \frac{1}{2}(\mathbf{r} - \mathbf{r}')| \rightarrow 0} \\ & \quad - \underbrace{\int \frac{d^2 \mathbf{r}'}{2\pi} \omega_G(\mathbf{r} - \mathbf{r}') \left\{ \tilde{N}(\mathbf{r}', Y) - \frac{1}{2} \tilde{N}(r, Y) \right\}}_{|\mathbf{r} - \mathbf{r}'| \propto 1/m} B(b). \quad (20) \end{aligned}$$

First, we need to solve the homogeneous equation:

$$\frac{\partial \tilde{N}(r, Y)}{\alpha_S \partial Y} = - \int \frac{d^2 \mathbf{r}'}{2\pi} \omega_G(\mathbf{r} - \mathbf{r}') \left\{ \tilde{N}(\mathbf{r}', Y) - \frac{1}{2} \tilde{N}(r, Y) \right\}. \quad (21)$$

In ω representation,

$$\tilde{N}(r, Y) = \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} e^{\omega Y} \tilde{n}(\omega, r), \quad (22)$$

this equation has this form:

$$\omega \tilde{n}(\omega, r) = - \int \frac{d^2 \mathbf{r}'}{2\pi} \omega_G(\mathbf{r} - \mathbf{r}') \left\{ \tilde{n}(\omega, r') - \frac{1}{2} \tilde{n}(\omega, r) \right\}. \quad (23)$$

This equation has been solved in Ref. [24]. The main features of the solution can be summarized as follows:

- (i) The eigenvalues of Eq. (23) are the same as for the BFKL equation with $m = 0$ for $\omega(\gamma) \geq -\frac{1}{2}\alpha_S$ and can be parametrized in the following way:

$$\begin{aligned} \omega(\gamma) &= \bar{\alpha}_S \chi(\gamma) = \bar{\alpha}_S (2\psi(1) - \psi(\gamma) - \psi(1 - \gamma)) \\ &\xrightarrow{\gamma \rightarrow \frac{1}{2}} \omega_0 + D \left(\gamma - \frac{1}{2} \right)^2 + \mathcal{O} \left(\left(\gamma - \frac{1}{2} \right)^3 \right) \\ &= \bar{\alpha}_S 4 \ln 2 + \bar{\alpha}_S 14 \zeta(3) \left(\gamma - \frac{1}{2} \right)^2 \\ &\quad + \mathcal{O} \left(\left(\gamma - \frac{1}{2} \right)^3 \right), \quad (24) \end{aligned}$$

where $\psi(z)$ is the Euler psi function (see formula 8.36 in Ref. [58]).

- (ii) The eigenfunctions $\phi_\gamma(a; r)$ have the following behavior:

$$\phi_\gamma(a; r) \rightarrow \begin{cases} r \rightarrow \infty & \propto e^{-a|r|} \\ r \rightarrow 0 & \propto r^{-2+2\gamma}. \end{cases} \quad (25)$$

- (iii) In the momentum representation for $\gamma \rightarrow \frac{1}{2}$ the eigenfunctions $\phi(\gamma, a; r)$ can be written as

$$\phi_\gamma(q, m) = \frac{1}{\sqrt{q^2 + 4m^2}} \left(\frac{\sqrt{q^2 + 4m^2} + \sqrt{q^2}}{\sqrt{q^2 + 4m^2} - \sqrt{q^2}} \right)^{\gamma - \frac{1}{2}}. \quad (26)$$

- (iv) Equation (26) means that the maximal intercepts $\omega(\gamma)$ reaches the value $4 \ln 2 \bar{\alpha}_S$ at $\gamma = \frac{1}{2}$, as for massless BFKL, and $a = 2m$.

Expanding $\tilde{n}(\omega, r)$ in a series of the eigenfunctions $\phi_\gamma(a; r)$: viz.

$$\tilde{n}(\omega, r) = \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} \phi_\gamma(a; r) n_{\text{in}}(\gamma), \quad (27)$$

where $n_{\text{in}}(\gamma)$ is determined by the initial conditions, we obtain the solution to Eq. (23) in this form:

$$\begin{aligned} \tilde{N}(r, Y) &= \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} e^{\omega Y} \\ &\quad \times \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} \phi_\gamma(a; r) \frac{1}{\omega - \omega(\gamma)} n_{\text{in}}(\gamma), \quad (28) \end{aligned}$$

where $\omega(\gamma)$ is given by Eq. (24).

The general solution for the inhomogeneous equation [see Eq. (20)] has the form

$$\begin{aligned} N(r, b; Y) &= -\bar{\alpha}_S \omega_G(2b) \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} e^{\omega Y} \\ &\quad \times \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} \phi_\gamma(a; r) \frac{1}{(\omega - \omega(\gamma))^2} n_{\text{in}}^0(\gamma) \\ &\quad + \tilde{N}(r, Y)B(b). \quad (29) \end{aligned}$$

In Eq. (29), we used that $N(r', b=0, Y)$ is equal to

$$\begin{aligned} N(r, b=0, Y) &= \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} e^{\omega Y} \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} \phi_\gamma(a; r) \frac{1}{\omega - \omega(\gamma)} n_{\text{in}}^0(\gamma), \quad (30) \end{aligned}$$

where $n_{\text{in}}^0(\gamma)$ is determined by the initial condition: $N(r, b=0, Y=0) = \mathcal{N}(r)$.

The last term in Eq. (30) is the solution to the homogeneous equation, in which the function $B(b)$ is given by the initial condition.

Equation (29) leads to a scattering amplitude that decreases as e^{-4mb} . Certainly such behavior at large b , restores the Froissart theorem.

D. The size of the Froissart disc

In the color glass condensate (CGC) approach, the scattering amplitude reaches the black disc limit $N(r, b; Y) \rightarrow 1$ in the kinematic region: $r^2 Q_s^2(Y, b) \geq 1$. Hence, we can find the size of the Froissart disc R from this equation:

$$r^2 Q_s^2(Y, R) = 1. \quad (31)$$

It is well known [2, 59–63], that we do not need to know the exact structure of the nonlinear corrections to find the

saturation scale. We only need to solve the linear BFKL equation and determine the line on which the scattering amplitude is constant.

The saturation momentum Q_s increases with energy and, therefore, small $r \sim 1/Q_s \leq 1/m$ contribute to Eq. (31). In this kinematic region we can use the eigenfunction $\phi_\gamma(a; r) \propto r^{-2+2\gamma}$ and Eq. (29) takes the form

$$\begin{aligned} N(r, b; Y) &= e^{-4m.b.Y} \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} e^{\omega(\gamma)Y + (\gamma-1)\xi} n_{\text{in}}^0(\gamma) \\ &\equiv Y \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\omega}{2\pi i} \int_{\epsilon-i\infty}^{\epsilon+i\infty} \frac{d\gamma}{2\pi i} e^{\Psi(Y; \xi, b; \gamma)} n_{\text{in}}^0(\gamma), \end{aligned} \quad (32)$$

with $\xi = \ln(r^2 \Lambda_{\text{QCD}}^2)$.

Using the method of steepest descent we can find the value of γ_{cr} from the following two equations:

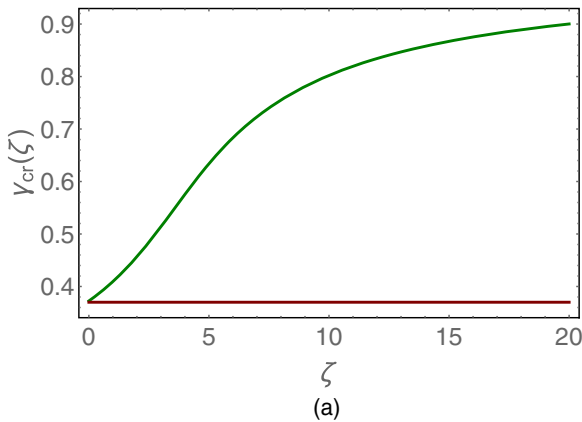
$$\text{Equation for saddle point } \gamma_{\text{SP}}: \frac{\partial \Psi(Y; \xi, b; \gamma)}{\partial \gamma} = 0; \quad \frac{d\omega(\gamma_{\text{SP}})}{d\gamma} Y + \xi = 0; \quad (33a)$$

$$\text{Equation for constant amplitude: } \Psi(Y; \xi, b; \gamma) = 0; \quad \omega(\gamma_{\text{SP}})Y + (\gamma_{\text{SP}} - 1)\xi - 4mb = 0; \quad (33b)$$

Solving Eqs. (33a) and (33b) we obtain an equation for $\gamma_{\text{SP}} = \gamma_{cr}$, which has the form:

$$\chi(\gamma_{cr}) + (1 - \gamma_{cr}) \frac{d\chi(\gamma_{cr})}{d\gamma} = \zeta \quad \text{where } \zeta = \frac{4mb}{\bar{\alpha}_S Y}. \quad (34)$$

The solution to Eq. (34) is shown in Fig. 2(a). One can see that the value of γ_{cr} depends on the value of ζ .



From Eq. (33a) we can calculate ξ_s , which is equal to

$$\begin{aligned} \xi_s &= \ln(Q_s^2(Y, \zeta)/Q_s^2(Y=0, \zeta=0)) \\ &= - \left. \frac{d\chi(\gamma_{\text{SP}})}{d\gamma} \right|_{\gamma_{\text{SP}}=\gamma_{cr}(\zeta)} \bar{\alpha}_S Y. \end{aligned} \quad (35)$$

In Fig. 2(b) we plot the value $\xi_s/\bar{\alpha}_S Y$ as a function of ζ . For $\zeta > 2.8$, the saturation momentum starts to decrease as function of Y . In the vicinity of the saturation scale the scattering amplitude has the following form [63]:

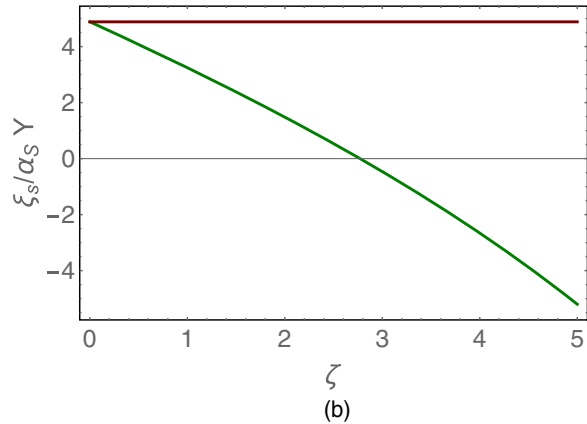


FIG. 2. (a) γ_{cr} versus ζ from Eq. (34). The red line corresponds to $\gamma_{cr} = 0.37$, which is the solution of Eq. (34) at $b = 0$. (b) $\xi_s/\bar{\alpha}_S Y$ versus ζ , where $\xi_s = \ln(Q_s^2(Y, \zeta)/Q_s^2(Y=0, \zeta=0))$. The red line shows $\xi_s/\bar{\alpha}_S Y$ at $\zeta = 0$.

$$N(r, b; Y) = N_0(r^2 Q_s^2(Y, \zeta))^{1-\gamma_{cr}(\zeta)}, \quad (36)$$

where N_0 is a constant smaller than 1.

The radius of the Froissart disc (R) can be found from this condition:

$$N(r, R; Y) = f, \quad (37)$$

where f is a constant ($f < 1$). Introducing a new variable $z = \ln(r^2 Q_s^2(Y, \zeta))$, Eq. (38) can be rewritten as

$$\begin{aligned} (1 - \gamma_{cr}(\zeta_R))z &= (1 - \gamma_{cr})(\xi + \xi_s) \\ &= (1 - \gamma_{cr}) \left(\xi - \frac{d\chi(\gamma_{SP})}{d\gamma} \Big|_{\gamma_{SP}=\gamma_{cr}(\zeta_R), \zeta=\zeta_R} \bar{\alpha}_S Y \right) \\ &= \tilde{f}, \end{aligned} \quad (38)$$

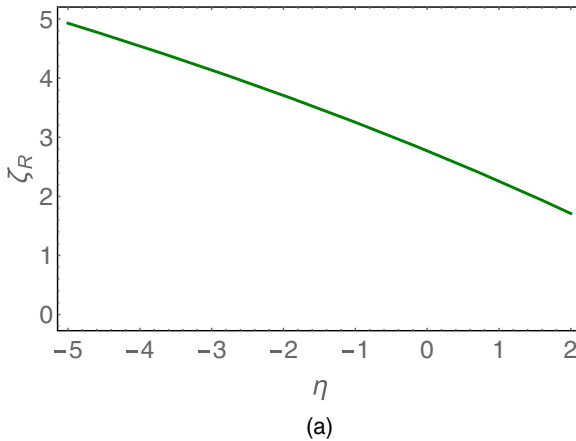
where $\tilde{f} = \ln(\frac{f}{N_0})$. We rewrite Eq. (38) as follows:

$$\eta - \frac{d\chi(\gamma_{SP})}{d\gamma} \Big|_{\gamma_{SP}=\gamma_{cr}(\zeta_R), \zeta=\zeta_R} = \frac{\tilde{f}}{\bar{\alpha}_S Y} \xrightarrow{\bar{\alpha}_S Y \gg 1} 0; \quad \eta = \frac{\xi}{\bar{\alpha}_S Y}. \quad (39)$$

In Fig. 3 we plot the solution to Eq. (39) as a function of η , while in Fig. 3(b) we see the dependence of the radius of the Froissart disc on Y . Note that the radius increases as $\text{Const}Y$, but the value of Const depends on $\xi(\eta)$.

E. Discussion

Hence, we can conclude that in non-Abelian gauge theories with the Higgs mechanism for mass generation, in the CGC approach, we obtain a Froissart disc with the radius $R \propto Y$, with a coefficient of proportionality, which depends on the size of colliding dipole.



I. Restoration of the Froissart theorem

It is easy to demonstrate the restoration of the Froissart theorem [6] for this approach. Using the unitarity constraints that $N(r, b; Y) \leq 1$, we can find the bound for the total cross section (see for example Appendix 2.2 of Ref. [2]):

$$\begin{aligned} \sigma_{\text{tot}} &= 2 \int N(r, b; Y) d^2b \\ &< \underbrace{2 \int_{b_0}^{b_0} d^2b}_{\text{unitarity constraints}} + \int_{b_0} d^2b N(r, b; Y). \end{aligned} \quad (40)$$

We estimate the value of b_0 , using the following equation:

$$N(r, b_0; Y) = f < 1. \quad (41)$$

Plugging in Eq. (41) the solution of the BFKL equation in the form: $\tilde{N}(r; Y) \exp(-4mb)$ [see Eq. (29)] we obtain

$$\begin{aligned} \tilde{N}(r; Y) e^{-4mb_0} &= \underbrace{N_0(r^2 Q_s^2(Y))^{1-\gamma_{cr}}}_{\text{vicinity of the saturation momentum}} e^{-4mb_0} \\ &= f < 1, \end{aligned} \quad (42)$$

where $\gamma_{cr} \approx 0.37$ is the solution to Eqs. (33a) and (33b) at $m = 0$. From Eq. (42) one can see that

$$b_0 = \frac{1}{4m} \left((1 - \gamma_{cr})z - \ln \frac{f}{N_0} \right), \quad (43)$$

where $z = \ln(r^2 Q_s^2(Y)) = \xi - \bar{\alpha}_S \frac{d\chi(\gamma)}{d\gamma} \Big|_{\gamma=\gamma_{cr}} Y$. The dependence of the radius of the Froissart disc given by Eq. (43), is shown in Fig. 3(b) by red lines. One can see that, in spite of the same proportionality to Y , the value of the coefficients are quite different.

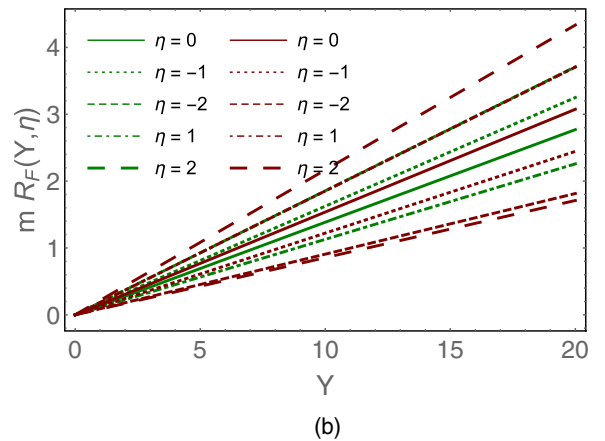


FIG. 3. (a) ζ_R versus η from Eq. (39). (b) The radius of the Froissart disc versus Y at different values of η . The red lines show the estimates from Eq. (43) for the radius of the Froissart disc. The value of $\bar{\alpha}_S$ is taken $\bar{\alpha}_S = 0.2$.

Plugging Eq. (43) into Eq. (40) one can see that

$$\sigma_{\text{tot}} \leq 2\pi b_0^2 = 2\pi \left(\frac{1}{4m} \left(\frac{1}{1-\gamma_{cr}} z - \ln \frac{f}{N_0} \right) \right)^2 \quad (44)$$

For $\xi = 0$ Eq. (44) gives $\sigma_{\text{tot}} \leq 2\pi(\bar{\alpha}_S \chi(\gamma_{cr} Y))^2$ and, therefore, leads to the Froissart theorem.

2. More about eigenfunctions—a recap

To learn more about the behavior of the eigenfunction at large distances we follow Ref. [24] and consider the BFKL equation [see Eqs. (12a) and (12b)] at $Q_T = 0$. It has the form:

$$E\phi(\kappa) = \underbrace{\frac{\kappa+1}{\sqrt{\kappa}\sqrt{\kappa+4}} \ln \frac{\sqrt{\kappa+4} + \sqrt{\kappa}}{\sqrt{\kappa+4} - \sqrt{\kappa}} \phi(\kappa)}_{\text{kinetic energy term}} - \underbrace{\int_0^\infty \frac{d\kappa' \phi(\kappa')}{\sqrt{(\kappa-\kappa')^2 + 2(\kappa+\kappa') + 1}}}_{\text{potential energy term}} + \underbrace{\frac{N_c^2 + 1}{2N_c^2} \frac{1}{\kappa+1} \int_0^\infty \frac{\phi(\kappa') d\kappa'}{\kappa'+1}}_{\text{contact term}}. \quad (45)$$

In Eq. (45) we introduce the following notations:

$$\kappa = \frac{q^2}{m^2}; \quad \kappa' = \frac{q'^2}{m^2}; \quad E = -\frac{\omega}{\bar{\alpha}_S}; \quad \bar{\alpha}_S = \frac{\alpha_S N_c}{\pi}. \quad (46)$$

Rewriting Eq. (45) in the coordinate representation, we can see that it takes this form:

$$E\phi(r) = \mathcal{H}\phi(r) \quad (47)$$

with

$$\begin{aligned} \mathcal{H} &= \frac{\kappa^2 + 1}{|\kappa|\sqrt{\kappa^2 + 4}} \ln \frac{\sqrt{\kappa^2 + 4} + |\kappa|}{\sqrt{\kappa^2 + 4} - |\kappa|} - 2K_0(|r|m) + \frac{N_c^2 + 1}{2N_c^2} \hat{P} \\ &= T(\hat{\kappa}) + V(r) + \frac{N_c^2 + 1}{2N_c^2} \hat{P}, \end{aligned} \quad (48)$$

where \hat{P} is a shorthand notation for the projector onto the state $\sim m^2/(p^2 + m^2)$

$$\hat{P}\phi(\kappa) = \frac{1}{\kappa^2 + 1} \int \frac{d^2\kappa'}{\pi} \frac{\phi(\kappa')}{\kappa'^2 + 1}, \quad (49)$$

where $\hat{\kappa} = -i\nabla_\perp$.

At large distances ($r \rightarrow \infty$) the potential energy in the Hamiltonian ($V(r) = -2K_0(rm)$) is exponentially small, the contribution from the projector \hat{P} in Eq. (47) is proportional to $1/(\kappa^2 + 1)$ and is also exponentially

suppressed, so the only relevant term in the Hamiltonian is the kinetic energy

$$E(\hat{\kappa}) = T(\hat{\kappa}) = \frac{\hat{\kappa}^2 + 1}{|\hat{\kappa}|\sqrt{\hat{\kappa}^2 + 4}} \ln \frac{\sqrt{\hat{\kappa}^2 + 4} + |\hat{\kappa}|}{\sqrt{\hat{\kappa}^2 + 4} - |\hat{\kappa}|}, \quad (50)$$

for which the eigenfunctions have a form

$$\phi(\mathbf{r}) \sim e^{i\sqrt{\kappa^2}r}, \quad \kappa^2 > 0; \quad \phi(\mathbf{r}) \sim e^{-\sqrt{-\kappa^2}r}, \quad \kappa^2 < 0. \quad (51)$$

The point $\kappa = 0$ is special, since it separates two different behaviors at large r . This point corresponds to the energy $E = \frac{1}{2}$ or $\omega = \omega_0 \equiv -\frac{1}{2}\bar{\alpha}_S$ (see Fig. 4). As is shown in Ref. [24], there are qualitative changes in the shape of the wave functions near this point. From the structure of the kinetic energy term (50) we can see that the energy E is positive ($\omega < 0$) for $\kappa^2 > 0$; however for $-4 < \kappa^2 < 0$, the energy may have any value from $-\infty$ up to $\frac{1}{2}$. This means that for $\kappa^2 < 0$ we have a discrete spectrum with two conditions shown in Eq. (25). Hence, the exponential decrease of the eigenfunction is intimately related to the behavior of the reggeization term in the BFKL equation, and it stems from the region, where $\omega(q)$ is positive.

The large b dependence is determined by the singularities of this term which in turn, corresponds to the singularities of the gluon propagator. In this model it is a pole at the Higgs mass. Actually, the scattering amplitude at large b $N(r, b; Y) \xrightarrow{rm \gg 1} \exp(-4mb)$, where $q^2 = -4m^2$ is the large singularity of the gluon reggeization in the momentum space [see Eq. (12b)]. Hence, our next step will be to understand the singularities of the gluon propagator in QCD. Certainly, they have a nonperturbative origin, and we have to rely on a nonperturbative approach, which is in an embryonic stage at the moment. The only reliable information comes from lattice QCD [64], which we will discuss in the next section.

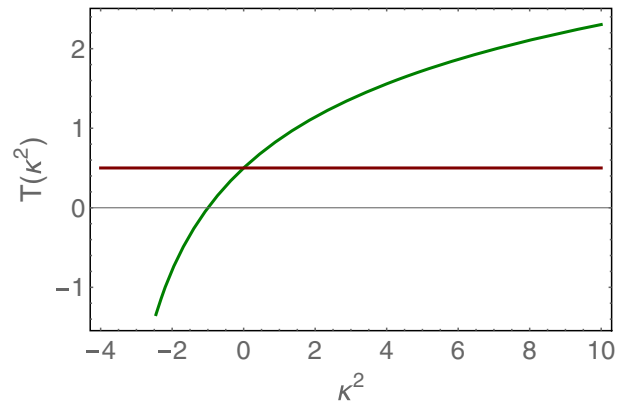


FIG. 4. The dependence of the kinetic energy [see Eq. (50)] versus κ^2 . The red line corresponds to $T(\kappa = 0)$.

IV. GRIBOV-ZWANZIGER CONFINEMENT AND THE BFKL EQUATION

Among numerous approaches to confinement, the one proposed by Gribov [29–39], has special advantages, which makes it most suitable for discussion of the BFKL equation in the framework of this hypotheses. First, it is based on the existence of Gribov copies [29]—multiple solutions of the gauge-fixing conditions, which are the principle properties of nonperturbative QCD. Second, the main ingredient is the modified gluon propagator, which can be easily included in the BFKL-type of equations. Third, in Ref. [26] (see also Ref. [65]) it is demonstrated that the Gribov gluon propagator originates naturally from the topological structure of nonperturbative QCD in this form:

$$G(q) = \frac{1}{q^2 + \frac{\chi_{\text{top}}}{q^2}} = \frac{q^2}{q^4 + \mu^4} = \frac{1}{2} \left(\frac{1}{q^2 + i\mu^2} + \frac{1}{q^2 - i\mu^2} \right), \quad (52)$$

where $\chi_{\text{top}} = \mu^4$ is the topological susceptibility of QCD, which is related to the η' mass by the Witten-Veneziano relation [66,67]. This allows us to obtain the principal nonperturbative dimensional scale, directly from the experimental data.

A. The gluon propagator

As we have discussed above, to find the large impact parameter behavior, we need to know the gluon reggeization contribution in coordinate space. However, before calculating it, we evaluate the behavior of the gluon propagator. As we can see from Fig. 1, the gluon reggeization term comes from the exchange of gluons at high energy. It is known (see Ref. [2]) that t -channel gluons in the BFKL equation depend only on transverse momenta of the gluons. Hence, we need to calculate the following integral in coordinate space:

$$G(r) = \int \frac{d^2 q_T}{(2\pi)^2} e^{ir \cdot q_T} G(q_T). \quad (53)$$

Plugging in Eq. (53) to Eq. (52) we obtain

$$G(r) = \int \frac{d^2 q_T}{(2\pi)^2} e^{ir \cdot q_T} \frac{q_T^2}{q_T^4 + \mu^2} = \frac{1}{4} G_{0,4}^{3,0} \left(\frac{r^4 \mu^4}{256} \middle| 0, 0, \frac{1}{2}, \frac{1}{2} \right), \quad (54)$$

where $G_{0,4}^{3,0}$ is the Meijer's G function (see formula 9.3 given in Ref. [58]).

$$G(r) \rightarrow \begin{cases} r \rightarrow \infty & \propto e^{-\frac{\mu r}{\sqrt{2}}} \cos\left(\frac{\mu r}{\sqrt{2}} \frac{\pi}{8}\right) \\ r \rightarrow 0 & \propto -\ln\left(\frac{\mu r}{\sqrt{2}}\right) - C \end{cases}, \quad (55)$$

where C denotes the Euler constant.

Hence, we see that at large values of r the gluon propagator decreases exponentially, giving us hope that Gribov's confinement will lead to a scattering amplitude, which will be exponentially small at long distances.

B. The gluon trajectory

The general expression for the gluon trajectory has the following form [3,5,24]:

$$\omega_G(q) = G^{-1}(q)\Sigma(q), \quad \text{where} \\ \Sigma(q) = \int \frac{d^2 q'}{4\pi} G(q')G(q - q'). \quad (56)$$

Before making estimates with the gluon propagator of Eq. (52), we need to mention that the lattice calculation of the gluon propagator leads to $G(0) \neq 0$ (see Refs. [40,44,49] and references therein) in explicit contradiction to Eq. (12b). However, in Refs. [41–57]¹ it is proven that Gribov's copies generate the gluon propagator in a more general form with $G(0) \neq 0$.

In Refs. [45,50,52,53] it is argued that in the Gribov-Zwanziger picture the gluon can be written in the simple form

$$G(q) = \frac{q^2 + M_0^2}{(q^2 + M^2)^2 + \mu^4} \quad (57)$$

by taking into account certain condensates. We are aware that this equation is a simplified approach,² but we believe that it is a good approximation to start with, which introduces two nonperturbative dimensional scales.

We consider this form as a parametrization of the sum of Gribov's propagators of Eq. (52), with different values of μ . In particular, in Ref. [68] it was demonstrated that the approach suggested in Ref. [26] leads to a gluon propagator of the following form:

$$G(q) = \frac{1}{\sqrt{\pi}} \frac{1}{q^2} \int_0^\infty d\zeta e^{-\zeta} \frac{\zeta^{-\frac{1}{2}}}{1 + \zeta z} \\ = \frac{\sqrt{\pi}}{\mu^2} e^{1/z} \text{Erfc}\left(\frac{1}{\sqrt{z}}\right) \rightarrow \begin{cases} q^2 \gg \mu^2 & \propto 1/q^2; \\ q^2 \ll \mu^2 & \propto \sqrt{\pi}/\mu^2; \end{cases} \quad (58)$$

where $z = \frac{\mu^4}{q^4}$.

As we have mentioned, at high energies q is a two-dimensional vector, which corresponds to transverse momentum carried by the gluon. Introducing

¹This list of references is not complete; you can find more details in the reviews [38,41].

²We wish to note that in our approach we do not need to consider the running QCD coupling as well as the renormalization procedure that is caused by this coupling [3,5].

$$G^\pm(q) = \frac{1}{(q^2 + M^2) \pm i\mu^2}, \quad (59)$$

we can rewrite Eq. (57) in this form:

$$\begin{aligned} G(q) &= \frac{1}{2}(G^+(q) + G^-(q)) + \frac{M_0^2 - M^2}{2\mu^2 i}(G^+(q) - G^-(q)) = \frac{1}{\mu^2}(\text{Re}G^+(\kappa) + (M_0^2 - M^2)\text{Im}G^+(\kappa)) \\ &= \frac{1}{2} \left\{ \left(1 + i\frac{M_0^2 - M^2}{\mu^2}\right)G^+(q) + \left(1 - i\frac{M_0^2 - M^2}{\mu^2}\right)G^-(q) \right\} = \frac{1}{2} \{(1 + im_0)G^+(\kappa) + (1 - im_0)G^-(\kappa)\}, \end{aligned} \quad (60)$$

where we use notation similar to Eq. (46):

$$\kappa = \frac{q^2}{\mu^2}; \quad \kappa' = \frac{q'^2}{\mu^2}; \quad E = -\frac{\omega}{\bar{\alpha}_S}; \quad \bar{\alpha}_S = \frac{\alpha_S N_c}{\pi}; \quad m = \frac{M^2}{\mu^2}; \quad m_0 = \frac{M_0^2 - M^2}{\mu^2}; \quad (61)$$

Plugging Eq. (60) into Eq. (56) one can see that

$$\Sigma(\kappa) = \int \frac{d^2\kappa'}{4\pi} \sum_{j=\pm, l=\pm} a_{jl} G^j(\kappa') G^l(\kappa - \kappa'), \quad (62)$$

where the coefficient a_{jl} can be easily calculated from the decomposition of Eq. (60). Each term of Eq. (62) can be rewritten in the form

$$\begin{aligned} \Sigma_{1,2}(\kappa) &= \int \frac{d^2\kappa'}{4\pi} G^1(\kappa') G^2(\kappa - \kappa') = \int \frac{d^2\kappa'}{4\pi} \frac{1}{(\kappa'^2 + m_1^2)((\kappa - \kappa')^2 + m_2^2)}, \\ &= \frac{1}{2} \int_0^1 d\alpha \int \frac{d\kappa'^2}{((\kappa' - \alpha\kappa)^2 + \kappa\alpha(1 - \alpha) + m_1^2 - (m_2^2 - m_1^2)\alpha)^2} = \frac{1}{2} \int_0^1 d\alpha \frac{1}{\kappa\alpha(1 - \alpha) + m_1^2 - (m_2^2 - m_1^2)\alpha}, \end{aligned} \quad (63)$$

where we have introduced the Feynman parameter α and $m_1^2 = m \pm i$ and $m_2^2 = m \pm i$.

Integrating over α we obtain

$$\Sigma_{1,2} = \frac{1}{\Delta} \left\{ \ln \left(\frac{-\kappa + m_2^2 - m_1^2 + \Delta}{\kappa + m_2^2 - m_1^2 + \Delta} \right) - \ln \left(\frac{\kappa - m_2^2 + m_1^2 + \Delta}{-\kappa - m_2^2 + m_1^2 + \Delta} \right) \right\}, \quad (64)$$

where $\Delta = \sqrt{-(\kappa + (m_1 - m_2)^2)(\kappa + (m_1 + m_2)^2)}$ and κ is defined in Eq. (46).

Cumbersome but simple calculations lead from Eq. (64) to the expression for the gluon trajectory $T(\kappa) = 2\omega_G(\kappa)$ (see Appendix A). Figure 5(a) shows the resulting $T(\kappa)$ as function of κ for different values of m and m_0 .

At first sight, the behavior of the kinetic energy (see Fig. 5) for the BFKL with Gribov's confinement is not that different from the case that we have described in Sec. III. Indeed, T is negative for negative κ [see Fig. 5(a)], and, due to this, we expect we have a bound state as in the case of the model, discussed in Sec. III. As in the model of Sec. III for negative κ , we expect the eigenfunction, which is small at large r ($\Psi \propto \exp(-\sqrt{|\kappa|r})$). Hence, we expect that the scattering amplitude will decrease at large b . For example, we see such a situation in Fig. 5(b), where the kinetic energy is plotted for the gluon propagator, which is in

agreement with lattice QCD data [40]. However, the actual setup is more interesting: for the propagator of Eq. (52), the kinetic energy is positive for all values of κ (see Fig. 6).

In Appendix A, we discuss the κ dependence of ω_G in more detail.

C. The BFKL equation in momentum representation

In the previous section we found $\omega_G(q)$, now we are going to find the kernel which is responsible for gluon emission. Using the decomposition of Eq. (52) for the Gribov propagator, we can treat the production of the gluon as the sum of two sets of the diagrams (see Fig. 7): production of the gluon with mass $\tilde{M}^2 = i\mu^2$ and with mass $\tilde{M}^2 = -i\mu^2$.

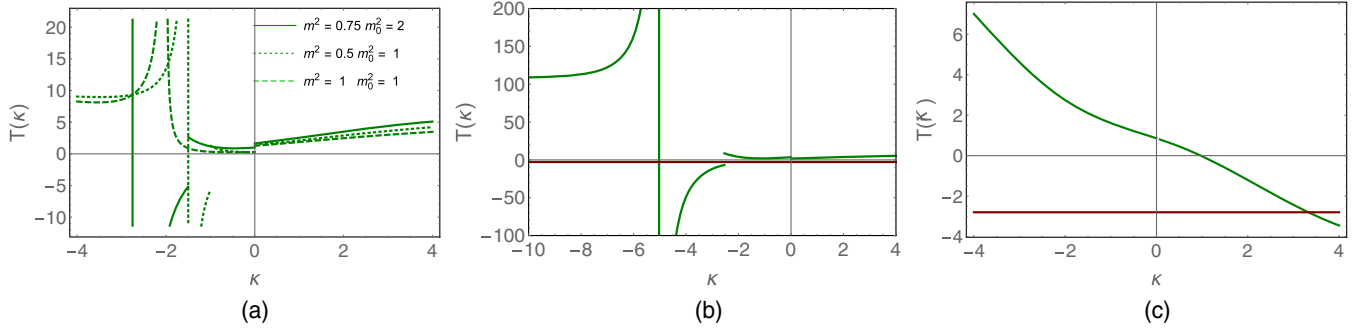


FIG. 5. (a) $T(\kappa)$ versus κ at different values of m and m_0 . (b) The same as (a) but for $m_0 = 5.03$, $m = 1.27$ and $\mu^2 = 0.459 \text{ GeV}^2$, which corresponds to the description of recent calculations in lattice QCD ([40], section II. 1). In (c) the kinetic energy $T(i\kappa)$ is plotted. The red line corresponds to $T(\kappa) = -\Delta_{\text{BFKL}} = -4 \ln 2$, where Δ_{BFKL} is the intercept of the BFKL Pomeron for QCD.

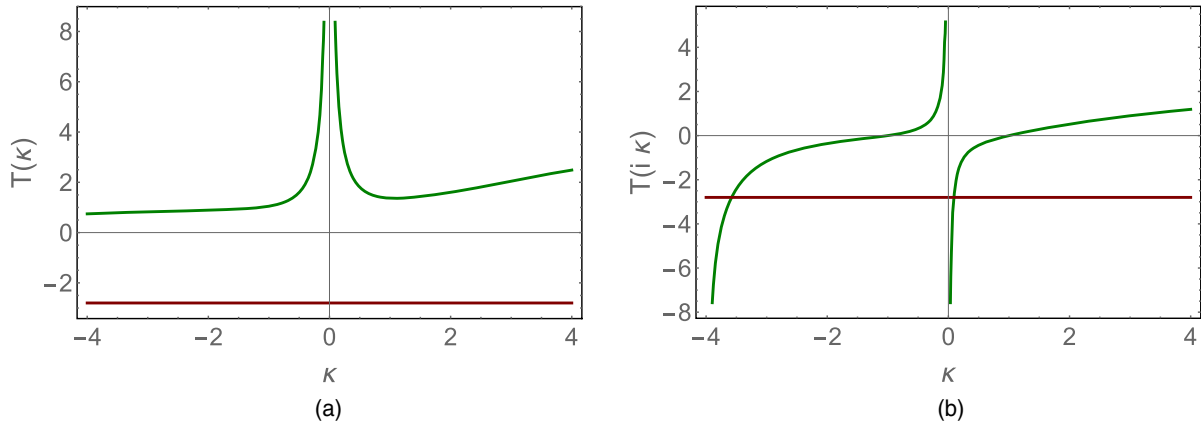


FIG. 6. $\omega_G(\kappa)$ versus κ for the Gribov propagator of Eq. (52). The red line corresponds to $T(\kappa) = -\Delta_{\text{BFKL}} = -4 \ln 2$, where Δ_{BFKL} is the intercept of the BFKL Pomeron for QCD: (a) $T(\kappa)$, (b) $T(i\kappa)$.

We sum the first diagrams of the gluon emission shown in Fig. 7 to find the vertex $\Gamma_\mu(q, q')$ for the kernel of the BFKL equation [see Fig. 1(b)]. It is easy to see that the sum shown in Fig. 7, leads to the Lipatov vertex for the production of the gluon with mass M^2 , that has the following form [3,5,24]

$$\Gamma_\mu(q, q') = -q_\mu^\perp - q_\mu'^\perp + p_{1,\mu} \left(-G^{-1}(q) \frac{1}{p_1 \cdot k} + \frac{p_2 \cdot k}{p_1 \cdot p_2} \right) - p_{2,\mu} \left(-G^{-1}(q') \frac{1}{p_2 \cdot k} + \frac{p_1 \cdot k}{p_1 \cdot p_2} \right). \quad (65)$$

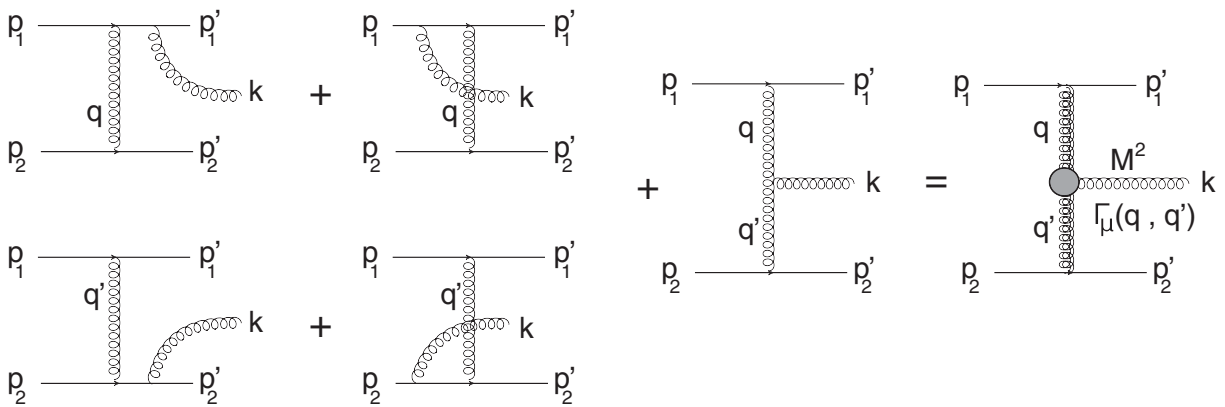


FIG. 7. The first Feynman diagrams with gluon emission, whose sum leads to $\Gamma_\nu(q, q')$ (Lipatov vertex is denoted by the gray blob).

The gluon production vertex for the gluon with the same mass in the conjugated amplitude can be written as follows

$$\begin{aligned} \tilde{\Gamma}_\mu(q_1, q'_1) = & -q_{1,\mu}^\perp - q'_{1,\mu}^\perp + p_{1,\mu} \left(-G^{-1}(q_1) \frac{1}{p_1 \cdot k} + \frac{p_2 \cdot k}{p_1 \cdot p_2} \right) \\ & - p_{2,\mu} \left(-G^{-1}(q'_1) \frac{1}{p_2 \cdot k} + \frac{p_1 \cdot k}{p_1 \cdot p_2} \right). \end{aligned} \quad (66)$$

Equations (65) and (66) allow us to calculate the contribution of the gluon production [see the first diagram in Fig. 1(b)] to the BFKL kernel. The procedure for taking into account the production of the Higgs boson is discussed in Ref. [24]. This contribution leads the contact term and we will see below that two contact terms for productions of the gluon with masses M and \tilde{M} cancels.

The BFKL kernel for one given configuration of the masses (say, $\tilde{M}^2 = +i\mu^2$) at $Q_T = 0^3$ (for forward scattering $q = q_1$, $q' = q'_1$) is given by

$$\begin{aligned} (G^{-1}(q'))^2 K(q, q') = & \Gamma_\mu(q, q') \cdot \tilde{\Gamma}_\mu(q, q') \\ = & 4 \underbrace{\left(\frac{G^{-1}(q)G^{-1}(q')}{k^2 + \tilde{M}^2} \right)}_{\text{gluon emission}} - 2M^2 \underbrace{\left(\frac{N_c^2 + 1}{N_c^2} \right)}_{\text{contact term}}, \end{aligned} \quad (67)$$

where the contact term has been discussed in Ref. [24] and $K(q, q')$ is the BFKL kernel of gluon emission. N_c in Eq. (67) denotes the number of colors.

Illustrating the derivation of Eq. (67), we calculate the diagram with the emission of one gluon in quark-antiquark scattering, to understand the structure of the BFKL equation (see Fig. 7). The contribution of this diagram is equal to

$$N(\text{Fig. 7}) = \frac{\alpha_S N_c}{2\pi^2} \int d^2 q d^2 q' G^2(q) \Gamma_\mu(q, q') \cdot \tilde{\Gamma}_\mu(q, q') G^2(q').$$

The gluon emission term can be rewritten in the simple form

$$N_{\text{gluon emission}}(\text{Fig. 7}) = \frac{\alpha_S N_c}{2\pi^2} \int d^2 q d^2 q' G(q) G(k) G(q') \quad (68)$$

Collecting all terms, including the gluon reggeization, which has been discussed in the previous section, and summing the contributions with M^2 and \tilde{M}^2 , we obtain the BFKL equation in this form:

$$\begin{aligned} \omega \phi(\omega, q) = & -2\omega_G(q) \phi(\omega, q) \\ & + \bar{\alpha}_S \int \frac{d^2 q'}{\pi} G(\mathbf{q} - \mathbf{q}') \phi(\omega, q'). \end{aligned} \quad (69)$$

³ Q_T is the momentum transferred by the BFKL Pomeron, a conjugate variable to the impact parameter.

It should be stressed that the contact terms, which are originated by the Higgs contribution, disappear when we sum the production of gluons with masses M and \tilde{M} . The vanishing of the Higgs production terms is a direct consequence of the confinement nature of Gribov's propagator.

Assuming that $\phi(q)$ depends only on $|q|$, we can integrate the emission kernel over the angle and in terms of the variable of Eq. (61), Eq. (69) takes this form:

$$E\phi(\kappa) = T(\kappa) - \int d\kappa' K(\kappa, \kappa') \phi(\kappa'), \quad (70)$$

where

$$K(\kappa, \kappa') = \text{Re} \left\{ \frac{1 + im_0}{\sqrt{2(m+i)(\kappa + \kappa') + (m+i)^2 + (\kappa - \kappa')^2}} \right\}, \quad (71)$$

and

$$G(\kappa) = \frac{\kappa + m + m_0}{(\kappa + m)^2 + 1}; \quad \kappa = q^2/\mu^2. \quad (72)$$

In Eqs. (70)–(72) we introduce m and m_0 , which are equal to m^2/μ^2 and m_0^2/μ^2 , respectively [see Eq. (61)].

This equation appears to be similar to the BFKL equation for a massive gluon (see Ref. [24], and Sec. III) in the non-Abelian Yang-Mills theories with a Higgs particle, which is responsible for generation of mass. However, we do not have a contact term in Eq. (69), which stems in such an approach from the mass of the gluon and from addition Higgs production. It is instructive to note, that for the Gribov's propagator the contact term does not appear, even if we assume the existence of a Higgs meson, with mass squared $\pm i\mu^2$. A more general form of the gluon propagator, which is given in Eq. (57) and which we view as a sum of Gribov's propagators, also does not generate a contact term. Therefore, the absence of a contact term in our equation, is a direct indication that Gribov-Zwanziger confinement does not lead to a massive gluon.

D. The Pomeron intercept

1. General features of the equation's spectrum

Following the general pattern of Ref. [24] we can rewrite Eq. (70) in the form of Eqs. (45)–(49) (see Sec. III E 2):

$$E\Psi(r) = \mathcal{H}\Psi(r), \quad (73)$$

with

$$\mathcal{H} = T(\hat{\kappa}) - G(r), \quad (74)$$

where $\sqrt{\hat{\kappa}} = -i\nabla_\perp$. For large r , $G(r)$ exponentially decreases [see Eq. (55)]. Hence, at large r Eq. (73) takes the following form:

$$E\Psi(r) = T(\hat{\kappa})\Psi(r), \quad (75)$$

with the eigenfunctions of Eq. (51). Denoting the large asymptotic behavior of the eigenfunction as $\Psi(r) \xrightarrow{r \gg 1/\mu} \exp(-\sqrt{a}r)$, we see that the energy is equal to

$$E = T(-a). \quad (76)$$

On the other hand, it is shown in Ref. [24] (see Sec. III D⁴) that in the region of small r Eq. (74) reduces to the massless QCD BFKL equation [3–5]:

$$E\Psi(r) = \mathcal{H}_0\Psi(r), \quad (77)$$

where [5]

$$\mathcal{H}_0 = \ln p^2 + \ln |r|^2 - 2\psi(1). \quad (78)$$

The eigenfunctions of Eq. (77) are $\Psi(r) = r^{2(1-\gamma)}$, and the eigenvalues of Eq. (77) can be parametrized as a function of γ [see Eq. (24)]. Therefore, for $r \rightarrow 0$ we have the eigenvalue which is equal to

$$E = \chi(\gamma). \quad (79)$$

From Eq. (76) and Eq. (79) we can conclude that the value of a and γ are correlated, since

$$E = \chi(\gamma) = T(-a). \quad (80)$$

Based on Eq. (80) we expect that the minimum eigenvalue is equal to $\chi(\frac{1}{2}) = -4 \ln 2$. For the simplest Gribov's propagator of Eq. (52) we see from Fig. 6 that $T(\kappa) > 0$ for all values of $-\infty < \kappa < +\infty$ which means that γ in Eq. (80), should be such that $\chi(\gamma) > 0$. Consequently, we infer that Eq. (80) contradicts Eq. (79).

A possible way out of this contradiction could be that both equations are correct for specific values of γ . In Fig. 8 we plot the eigenvalues of the massless BFKL equation for $\gamma = \frac{1}{2} + i\nu$. One can see that for $\nu \geq 0.6$ the energy is positive. Hence, this value of ν could correspond to the Pomeron with the intercept which is equal to zero. The fact that the so-called soft Pomeron has a small intercept is one of the reliable results of the high energy phenomenological attempt to describe the soft data at the LHC.

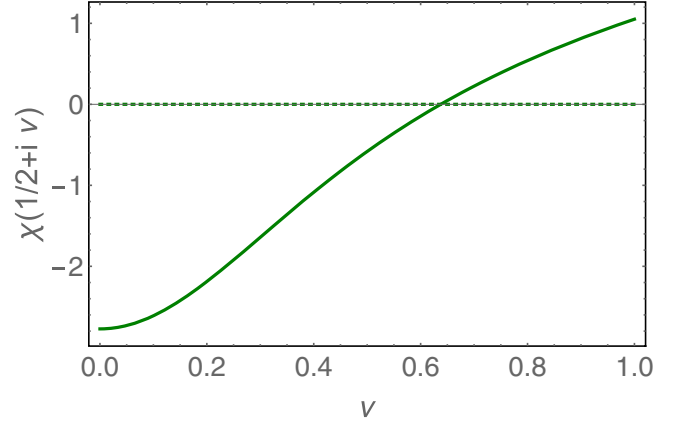


FIG. 8. The eigenvalues of the BFKL equation for QCD $E = \chi(\gamma)$ versus $\gamma = \frac{1}{2} + i\nu$. The solid line shows the real part of E , while the dotted line shows the imaginary part.

However, for $m \neq 0$ and $m_0 \neq 0$ the kinetic energy could be negative, and Eq. (80) holds for $\gamma = \frac{1}{2}$, leading to the intercept of the Pomeron which coincides with the intercept of the massless BFKL Pomeron. In particular, this is the case for the gluon propagator which describes the lattice QCD data [see Ref. [40] and Fig. 5(b)].

2. Estimates from the variational method

As we have discussed above, we expect that (1) the energy of the ground state will be close to zero for $m = 0$ and $m_0 = 0$; and (2) it will be the same as for the massless BFKL equation, for $m^2 \neq 0$ and $m_0^2 \neq 0$. In this section we check this using the variational approach. In this approach, the upper bound for the ground state energy E_0 of the Hamiltonian \mathcal{H} may be found by minimizing the functional

$$E_{\text{ground}} \equiv E_0 \leq F[\{\phi\}] = \frac{\langle \phi^*(r) | \mathcal{H} | \phi(r) \rangle}{\langle \phi^*(r) | \phi(r) \rangle}. \quad (81)$$

Equation (81) means that the functional $F[\{\phi\}]$ has a minimum for the function $\phi_0(r)$, which is the eigenfunction of the ground state with energy E_0 .

For our Hamiltonian in momentum space, Eq. (81) can be rewritten in the form

$$E_0 = \min_{\phi} \left\{ \frac{\int_0^\infty d\kappa T(\kappa) |\phi(\kappa)|^2 - \int_0^\infty d\kappa \int_0^\infty d\kappa' K(\kappa, \kappa') \phi(\kappa) \phi^*(\kappa')}{\int_0^\infty d\kappa |\phi(\kappa)|^2} \right\}.$$

The success of finding the value of E_0 depends on the choice of the trial functions in Eq. (82). We choose it in the form

$$\phi_{\text{trial}}(\kappa) = \left(\frac{\kappa}{\kappa^2 + a^2} \right)^\gamma. \quad (82)$$

⁴In Ref. [24] it is demonstrated that for a rather general form of the wave function, the typical κ' in the integral $\int d\kappa' K(\kappa, \kappa') \phi(\kappa')$ is $\kappa' \approx \kappa$ for $\kappa \gg 1$. Note, that in Eq. 51 of Ref. [24] there is a misprint: β should be replaced by x in the denominator.

In the coordinate representation Eq. (82) corresponds to

$$\begin{aligned} \Psi_{\text{trial}}(r) = & 2^{-2(\gamma+2)} \left(\frac{1}{a^4}\right)^{-\gamma} (a^4)^{-\gamma} \left(\frac{\sqrt{\pi} 2^{\gamma+3} \left(\frac{1}{a^4}\right)^{\frac{\gamma-1}{2}} \Gamma\left(\frac{\gamma-1}{2}\right) {}_1F_4\left(\frac{\gamma}{2} + \frac{1}{2}; \frac{1}{2}, \frac{1}{2}, 1, \frac{3}{2} - \frac{\gamma}{2}; -\frac{1}{256} a^4 r^4\right)}{\Gamma\left(\frac{\gamma}{2}\right)} \right. \\ & + \frac{32\Gamma(1-\gamma) r^{2\gamma-2} {}_1F_4\left(\gamma; \frac{\gamma}{2} + \frac{1}{2}, \frac{\gamma}{2} + \frac{1}{2}, \frac{\gamma}{2}, \frac{\gamma}{2}; -\frac{1}{256} a^4 r^4\right)}{\Gamma(\gamma)} \\ & \left. - \frac{\sqrt{\pi} 2^\gamma \gamma r^2 \left(\frac{1}{a^4}\right)^{\frac{\gamma}{2}-1} \Gamma\left(\frac{\gamma}{2}-1\right) {}_1F_4\left(\frac{\gamma}{2} + 1; 1, \frac{3}{2}, \frac{3}{2}, 2 - \frac{\gamma}{2}; -\frac{1}{256} a^4 r^4\right)}{\Gamma\left(\frac{\gamma+1}{2}\right)} \right) \end{aligned} \quad (83)$$

$$\rightarrow \begin{cases} r \rightarrow \infty & \propto r^{-2(\gamma+1)} \\ r \rightarrow 0 & \propto r^{-2+2\gamma} \end{cases} \quad (84)$$

The form of the trial function was suggested by the form of Gribov's propagator. One can see that our trial function has the expected behavior for the case of $m = 0$, $m_0 = 0$, if $a > 0$ and $b = 2\gamma - 1 > 0$, leading to a powerlike decrease at large r . Such a function cannot be an eigenfunction of $\mathcal{H} = T(\kappa)$, indicating possible difficulties with Eq. (80).

In Fig. 9(a) and 9(b) we calculate E_{ground} from Eq. (81), for the case of Gribov's propagator of Eq. (52) ($m = 0$, $m_0 = 0$). In Appendix B we describe the details of the numerical estimates.

We obtain the minimal energy corresponds to $\gamma = \frac{1}{2}$ in accord with our expectation. However, $E_{\text{ground}} \rightarrow -4 \ln 2$ instead of $E_{\text{ground}} = 0$, in contradiction to our expectations. Note that the singularities of the trial function corresponds to $\kappa = ia$. In Fig. 6(c) we plot the kinetic energy at pure imaginary κ , and we see that $T(i\kappa)$ can be negative and equal to $-4 \ln 2$.

For $m^2 \neq 0$ and $m_0^2 \neq 0$ we face a different problem: γ turns out to be larger than expected $\gamma = \frac{1}{2}$, and the energy level is far away from the ground state energy $E = -4 \ln 2$ for the massless BFKL equation [see Fig. 9(c)]. Perhaps,

this result is due to our choice of the trial function not being satisfactory. We believe that both observations show that we need to solve Eq. (70) numerically in the same way as it has been done in Ref. [24]. We intend to do this in the near future, and we will publish the results elsewhere.

E. The BFKL kernel in the coordinate representation

Using Eq. (13), and the decomposition of Eq. (60), we obtain the gluon propagator of Eq. (57) in the coordinate representation in this form:

$$\begin{aligned} G(r) = & \frac{1}{2} \left(\left(1 - \frac{m_0^2}{i} \right) K_0\left(r\sqrt{m^2 - i}\right) \right. \\ & \left. + \left(1 + \frac{m_0^2}{i} \right) K_0\left(r\sqrt{m^2 + i}\right) \right). \end{aligned} \quad (85)$$

Note that we now return to using the notation $m = M/\mu$ and $m_0 = \sqrt{M_0^2 - M^2}/\mu$.

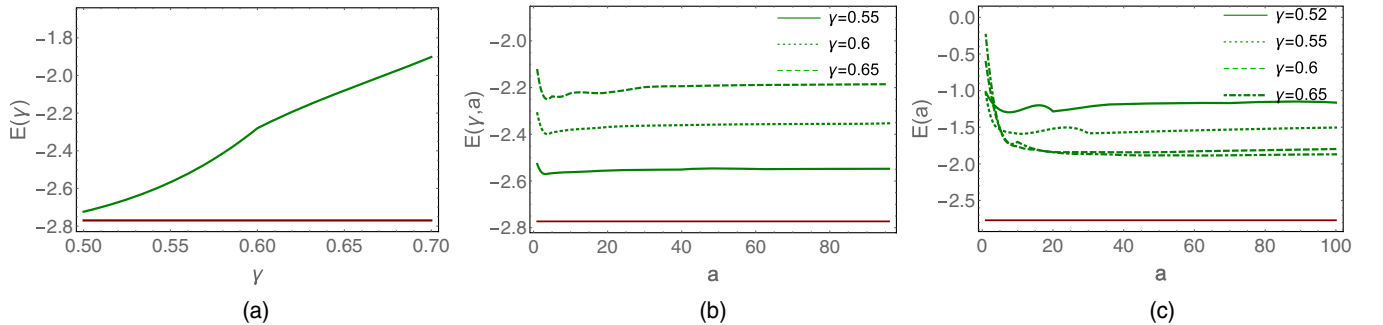


FIG. 9. (a) $E \equiv -\text{Pomeron intercept of the BFKL Pomeron}$ versus γ at different values of γ for $a = 100$ and $m = 0$ and $m_0 = 0$. These values of m and m_0 correspond to the Gribov's propagator of Eq. (52), which stems from Refs. [29–33]. (b) The same as in (a) but at fixed values of γ as function of a . In (c) we plot the energy as function of a for the case of $m = 1.27\mu^2$ and $m_0 = 3.76\mu^2$, which describes the lattice QCD evaluation of the gluon propagator [40]. The estimates for E were performed in the framework of the variational method with the trial function ϕ_{trial} of Eq. (82) (see Appendix B for details).

At large r it tends to

$$\begin{aligned} G(r) &\xrightarrow{\mu r \gg 1} \frac{1}{2} \sqrt{\frac{\pi}{2r}} \operatorname{Re} \left(\frac{(1 - im_0^2) e^{-r\sqrt{m^2+i}}}{\sqrt{m^2+i}} \right) \\ &= \frac{1}{2} \sqrt{\frac{\pi}{2r}} e^{-r\sqrt{m^2+1} \cos \theta} \left(\cos \left(-r\sqrt{m^2+1} \sin \theta + \frac{1}{2} \theta \right) \right. \\ &\quad \left. - m_0^2 \sin \left(-r\sqrt{m^2+1} \sin \theta + \frac{1}{2} \theta \right) \right), \end{aligned} \quad (86)$$

where

$$\cos \theta = \sqrt{\frac{1}{2} \left(1 + \frac{m}{\sqrt{m^2+1}} \right)}; \quad \sin \theta = \sqrt{\frac{1}{2} \left(1 - \frac{m}{\sqrt{m^2+1}} \right)}.$$

Hence, one can see that the gluon propagator decreases exponentially at large r , even at $m = 0$. From Eq. (86) we can conclude that

$$\begin{aligned} \Sigma(r) &= G^2(r) \xrightarrow{\mu r \gg 1} \left(\frac{1}{2} \sqrt{\frac{\pi}{2r}} \operatorname{Re} \left(\frac{(1 - im_0^2) e^{-\sqrt{m^2+ir}}}{\sqrt{4m^2+i}} \right) \right)^2 \\ &\propto e^{-r2\sqrt{m^2+1} \cos \theta}. \end{aligned} \quad (87)$$

From Eq. (57) and $\mathbf{q} = -i\nabla$ we conclude that $\omega_G(r)$ is equal to

$$\omega_G(r) = \int d^2 r' K_0(M_0 |\mathbf{r} - \mathbf{r}'|) ((-\nabla_r^2 + m^2)^2 + 1) \Sigma(r'). \quad (88)$$

In Eq. (88) the behavior of $\omega_G(r)$ at large r stems from the integration in two regions: $M_0 |\mathbf{r} - \mathbf{r}'| \leq 1$ and $r' 2\sqrt{m^2+1} \cos \theta \leq 1$. The first region leads to the asymptotic behavior of Eq. (88), while the second region gives $\omega_G(r) \propto \exp(-M_0 r)$. Hence for $M_0 < 2\sqrt{m^2+1} \cos \theta$ $\omega_G(r) \propto \exp(-M_0 r)$. Lattice QCD leads to such behavior of ω_g , as it can be seen from Fig. 6. It should be stressed that the exponential decrease depends on the value of the gluon propagator at $q = 0$ $G(q = 0) \propto M_0^2/\mu^4$. In other words, the original Gribov propagator of Eq. (52) does not give the BFKL kernel which decreases exponentially at large b . Indeed, at $M_0 \rightarrow 0$ instead of $\exp(-M_0 r)$ decrease, we have $\ln r$ behavior from the region $\sqrt{m^2+1} r' \leq 1$. However, even for $m_0 \neq 0$ one can see from Eq. (52), that ω_G is a decreasing function with oscillations. These oscillations do not contradict the unitarity constraints, they also do not violate the exponential decrease of the scattering amplitude at large b .

V. NONLINEAR EQUATION AND THE SIZE OF FROISSART DISC

The eigenfunctions of the master equation [see Eq. (70)] at short distances are proportional to $(r^2)^{1-\gamma}$ and, therefore, for deep inelastic scattering, which occurs at short distances, the solution has the form of Eq. (32). Hence, repeating the procedure that has been discussed for Eqs. (33a)–(34), we obtain the same equations for the radius of the Froissart disc [see Eqs. (38) and (39)]. The variable ζ takes the form $\zeta = 4(m + m_0)b/\bar{\alpha}_s Y$. Actually, as we have discussed in Sec. IV E, the asymptotic exponential decrease at $r \geq 1/\mu$ is determined by the smaller of the two masses: $m + m_0$ and $2\sqrt{m^2 + \mu^2}$. For the realistic case of $m^2 = 1.27\mu^2$ and $m_0^2 = 3.76\mu$ [40] $m + m_0 < 2\sqrt{m^2 + \mu^2}$.

The nonlinear equation has the same form as Eq. (1) with the kernel

$$\begin{aligned} K(\mathbf{r}', \mathbf{r} - \mathbf{r}'; \mathbf{r}) &= \frac{r^2}{r'^2} \int d^2 r'' K_0(M_0 |\mathbf{r} - \mathbf{r}' - \mathbf{r}''|) \\ &\quad \times ((-\nabla_{r'}^2 + m^2)^2 + 1) \Sigma(r''). \end{aligned} \quad (89)$$

It should be noted that this kernel approaches the kernel of Eq. (1) at short distances. Generally speaking, at $r \geq 1/\mu$ [see Eq. (52)] we need to take into account the full kernel of Eq. (89). However, for DIS processes the typical $r \propto 1/Q \ll 1/\mu$, where Q is the photon virtuality, and we can safely use the kernel of Eq. (1), even in the saturation region, where $r^2 Q_s^2(Y, b) > 1$. Restricting ourselves to the DIS process, we wish to consider the following r

$$\frac{1}{\mu^2} > r^2 > \frac{1}{Q_s^2(Y, b)}. \quad (90)$$

However, even in this region the general nonlinear evolution of Eq. (1) is difficult to analyze analytically for the full BFKL kernel of Eq. (2). This kernel includes the summation over all twist contributions. We start with a simplified version of the kernel in which we restrict ourselves to the leading twist term only [61]. For the leading twist term we only sum logs terms, and actually we have two types of logs $(\bar{\alpha}_s \ln(r\Lambda_{\text{QCD}}))^n$ in the perturbative QCD kinematic region where $rQ_s(Y, b) \equiv \tau \ll 1$; and $(\bar{\alpha}_s \ln(rQ_s(Y, b)))^n$ inside the saturation domain ($\tau \gg 1$), where $Q_s(Y, b)$ denotes the saturation scale. To sum these logs it is necessary to modify the BFKL kernel in different ways in the two kinematic regions, which takes the form

$$\chi(\gamma) = \begin{cases} \frac{1}{\gamma} & \text{for } \tau = rQ_s < 1 \text{ summing } (\ln(1/(r\Lambda_{\text{QCD}})))^n; \\ \frac{1}{1-\gamma} & \text{for } \tau = rQ_s > 1 \text{ summing } (\ln(rQ_s))^n; \end{cases} \quad (91)$$

instead of the full expression of Eq. (2). Recall that the solution has the form of Eq. (32).

Inside the saturation region where $\tau = r^2 Q_s^2(Y, b) > 1$, the logs originate from the decay of a large size dipole into one small size dipole and one large size dipole. However, the size of the small dipole is still larger than $1/Q_s$. This observation can be translated in the following form of the kernel:

$$\int K(\mathbf{x}_{01}; \mathbf{x}_{02}, \mathbf{x}_{12}) d^2 x_{02} \rightarrow \pi \int_{1/Q_s^2(Y, b)}^{x_{01}^2} \frac{dx_{02}^2}{x_{02}^2} + \pi \int_{1/Q_s^2(Y, b)}^{x_{01}^2} \frac{d|\mathbf{x}_{01} - \mathbf{x}_{02}|^2}{|\mathbf{x}_{01} - \mathbf{x}_{02}|^2}. \quad (92)$$

Inside the saturation region Eq. (1) has the form

$$\frac{\partial^2 \tilde{N}(Y; \xi, \mathbf{b})}{\partial Y \partial \xi} = \bar{\alpha}_S \left\{ \left(1 - \frac{\partial \tilde{N}(Y; \mathbf{r}, \mathbf{b})}{\partial \xi} \right) \tilde{N}(Y; \xi, \mathbf{b}) \right\}, \quad (93)$$

where $\tilde{N}(Y; \xi, \mathbf{b}) = \int^{r^2} dr'^2 N(Y; \mathbf{r}', \mathbf{b}) / r^2 = \int^\xi d\xi' N(Y, \xi', \mathbf{b})$.
Rewriting $\frac{\partial}{\partial Y}$ in terms of

$$z = - \frac{d\chi(\gamma_{SP})}{d\gamma_{SP}} \Big|_{\gamma_{SP}=\gamma_{cr}(\zeta)} \bar{\alpha}_S Y + \xi = \lambda(\zeta) \bar{\alpha}_S Y + \xi; \quad \text{where} \\ \xi = \ln(r^2 Q_s^2(Y = Y_0, b = 0)), \quad (94)$$

we obtain

$$\frac{\partial}{\partial Y} = \frac{\partial}{\partial z} \frac{\partial z}{\partial Y} = \bar{\alpha}_S \left(\lambda(\zeta) - \zeta \frac{d\lambda(\zeta)}{d\zeta} \right) \frac{d}{dz} \equiv \bar{\alpha}_S \kappa(\zeta) \frac{d}{dz}. \quad (95)$$

Introducing

$$\frac{\partial \tilde{N}(Y; \xi, \mathbf{b})}{\partial \xi} = 1 - e^{-\phi(Y, \xi, \mathbf{b})} \quad (96)$$

and searching for the solution that depends on z , we can rewrite Eq. (93) in this form:

$$\frac{d^2 \phi(z, \zeta)}{dz^2} = \frac{1}{\kappa(\zeta)} (1 - e^{-\phi(z, \zeta)}). \quad (97)$$

Introducing $\frac{d\phi(\zeta)}{d\zeta} = F(\phi)$ we can rewrite Eq. (97) in the form

$$\frac{1}{2} \frac{dF^2(\phi)}{d\phi} = \frac{1}{\kappa(\zeta)} (1 - e^{-\phi}); \\ F^2(\phi) = \int d\phi \frac{2}{\kappa(\zeta)} (1 - e^{-\phi}) \\ = \frac{2}{\kappa(\zeta)} (-1 + \phi + e^{-\phi} + C(Y, b)). \quad (98)$$

Finally,

$$\sqrt{\frac{1}{2} \kappa(\zeta)} \int_{\phi_0}^{\phi} \frac{d\phi'}{\sqrt{-1 + \phi' + e^{-\phi'} + C(\zeta)}} = z + \text{Const.} \quad (99)$$

The equations of Eq. (99) type are discussed in Ref. [69] (see formula 4.1.1.). ϕ_0 denotes the value of ϕ at $\zeta = 0$. From Eq. (99) we see that Const is equal to 0. We need to find $\phi_z(z, \zeta)|_{z=0}$ for matching with the linear evolution, which is given by Eqs. (33a)–(33b). These equations for the kernel of Eq. (91) can be rewritten in this form:

$$-\frac{\bar{\alpha}_S}{\gamma_{cr}^2} Y + \xi = 0, \quad (100a)$$

$$\frac{\bar{\alpha}_S}{\gamma_{cr}} Y - (1 - \gamma_{cr}) \xi = 4(m + m_0) b, \quad (100b)$$

which leads to the solution for γ and the expression for the saturation momentum:

$$\gamma_{cr} = \frac{1}{2 - \zeta}, \quad \xi_s = (2 - \zeta)^2 \bar{\alpha}_S Y \equiv \lambda(\zeta) \bar{\alpha}_S Y, \quad \kappa(\zeta) = 4 - \zeta^2. \quad (101)$$

Therefore, for $\zeta > 1$, Eq. (100b) does not have a solution resulting in the scattering amplitude which is smaller than unity, $N(\xi', Y, b) < 1$. Hence, $\zeta = 1$ gives the radius of the Froissart disc (R_F) in this case: $R_F = \bar{\alpha}_S Y / (4(m + m_0))$.

One can see that for $\phi_0 < 1$:

$$\sqrt{\kappa(\zeta)} \frac{1}{\sqrt{\phi_0^2 + 2C(\zeta)}} \frac{\partial \phi(z, \zeta)}{\partial z} \Big|_{z=0+\epsilon} = 1. \quad (102)$$

For linear evolution at $z < 0$ we have

$$\frac{\partial \phi(z, \zeta)}{\partial z} \Big|_{z=0-\epsilon} = (1 - \gamma_{cr}) \phi_0; \quad \phi(z=0-\epsilon, \zeta) = \phi_0. \quad (103)$$

Hence the matching condition has the following form:

$$\frac{\partial \phi(z, \zeta)}{\partial z} \Big|_{z=0+\epsilon} = \frac{\partial \phi(z, \zeta)}{\partial z} \Big|_{z=0-\epsilon} = (1 - \gamma_{cr}) \phi_0; \\ \phi(z=0+\epsilon, \zeta) = \phi(z=0-\epsilon, \zeta) = \phi_0; \quad \text{at } \epsilon \rightarrow 0. \quad (104)$$

Plugging this equation in Eq. (102) we obtain

$$C(\zeta) = \frac{1}{2} \phi_0^2 (\kappa(\zeta) (1 - \gamma_{cr})^2 - 1) \\ = \frac{1}{2} \phi_0^2 \left(\left(1 + \frac{\zeta}{\sqrt{1 - \zeta} (1 + \sqrt{1 - \zeta})} \right) (1 - \zeta) - 1 \right). \quad (105)$$

The explicit form of the solution at $\phi \rightarrow \phi_0$ takes this form:

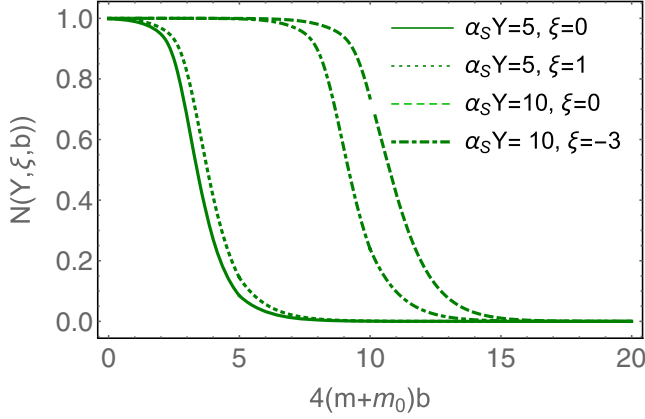


FIG. 10. The solution to Eq. (99) with the boundary conditions given by Eq. (101). ϕ_0 is taken to be 0.01.

$$\begin{aligned} \phi(z, \zeta) = \frac{1}{2} \phi_0 & \left((1 + \sqrt{\kappa(\zeta)}(1 - \gamma_{cr}(\zeta))) \exp\left(\frac{z}{\sqrt{\kappa(\zeta)}}\right) \right. \\ & \left. + (1 - \sqrt{\kappa(\zeta)}(1 - \gamma_{cr}(\zeta))) \exp\left(-\frac{z}{\sqrt{\kappa(\zeta)}}\right) \right). \end{aligned} \quad (106)$$

For large ϕ the denominator in Eq. (99) takes the form $\sqrt{\phi'}$, leading to $\phi = \text{Const} \exp(-z^2/(2\kappa(\zeta)))$, which is the scattering amplitude in the approach of Ref. [61] for our simplified BFKL kernel. In Fig. 10 we present the numerical solution to Eq. (99) which shows that a nonlinear equation generates the impact parameter dependence which is typical for the Froissart disc with radius (R_F) proportional to Y and $|b - R| \propto 1/(m + m_0)$.

VI. CONCLUSIONS

In the paper we derived the generalization of the BFKL equation in Gribov-Zwanziger approach [29–39] to the confinement of quarks and gluons. We found the solution to this modified BFKL equation at large impact parameters. This solution shows that, generally speaking, this equation includes a dimensional scale, which provides the exponential decrease of the scattering amplitude at large impact parameters. Such behavior of the scattering amplitude leads to the radius of interaction which at high energies increases as $\ln(1/x) = Y$. Solving the nonlinear evolution equation for deep inelastic scattering we calculated the x and r dependence of this radius.

However, it turns out that for the Gribov propagator [see Eq. (52)] of the gluon, which tends to zero at small momenta ($G(q) \xrightarrow{q \ll \mu} q^2/\mu^4$), the solution to the modified BFKL equation does not show an exponential decrease, leading to the scattering amplitude that decreases as a power of the impact parameter. Fortunately, for the general form of the gluon propagator in the Gribov-Zwanziger approach, in which the gluon propagator is

finite at small momenta ($G(q) \xrightarrow{q \ll \mu} (m^2 + m_0^2)/(m^4 + \mu^4)$), we have indeed an exponential decrease. It should be emphasized that only such a gluon propagator of this type can be in accord with the lattice QCD estimates [40].

We discuss the solution to a new equation, and single out the problem that the behavior of the intercept of the BFKL Pomeron, estimated in the variational approximation, does not follow our expectations that we obtain on general grounds from Eq. (80). Indeed, the general discussion in the spirit of Ref. [24] leads to a small value of the intercept in the case of the Gribov gluon propagator, and to the same intercept as for the massless BFKL Pomeron, in the case that describes the lattice QCD results [40]. The variation approximation, developed in the paper, leads to the intercept of the massless BFKL Pomeron for the Gribov's gluon propagator and a sufficiently smaller intercept for the realistic case. We consider as the next topic for us is to find the numerical solution for the spectrum of the suggested equation.

In the paper we have investigated the impact parameter dependence of the solutions to the master equation in the entire kinematic region of impact parameters without the additional assumption that the variable $\zeta \ll 1$ [see Eq. (34)].

The shortcoming of the paper is that we considered the simplified form of Eq. (57) of the gluon propagator in RGZ approach. We consider this form of the gluon propagator as the first approximation, which allows us to introduce two-dimensional confinement scales. We intend to take into account a more complicated form, which follows from the theoretical consideration [41–57] in the nearest future.

We hope that this paper demonstrates why and how the suggested modified nonlinear equation resolves the main difficulty of the CGC approach, powerlike decrease of the solution at large values of the impact parameter; and clarifies the physical meaning of the nonperturbative dimensional scale, which was introduced in addition to the saturation scale.

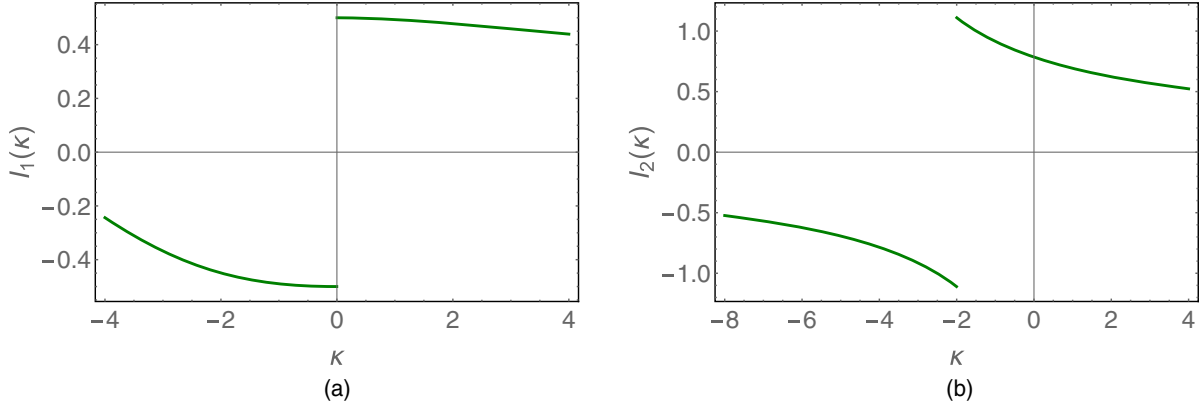
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APPENDIX A: $\Sigma(\kappa)$

Using Eq. (60) we can rewrite Eq. (62) in this form:

$$\begin{aligned} \Sigma(\kappa) = \frac{1}{2} \text{Re} & \left(\int d\kappa' \{ (1 + m_0 i)^2 G^+(\kappa - \kappa') G^+(\kappa') \right. \\ & \left. + (1 + m_0^2) G^+(\kappa - \kappa') G^-(\kappa') \} \right). \end{aligned} \quad (A1)$$

FIG. 11. $I_1(m, \kappa)$ (a) and $I_2(m, \kappa)$ (b) versus κ at $m^2 = 1$.

Using Eq. (64) and plugging in this equation $m_1 = m_2 = \sqrt{m+i} = \sqrt{m+1}e^{\frac{1}{2}i \tan^{-1}(\frac{1}{m})}$ we obtain this:

$$I_1(m, \kappa) = \int d\kappa' G^+(\kappa - \kappa') G^+(\kappa') \\ = \frac{2}{\sqrt{\kappa(\kappa + 4(m+i))}} \ln \left(\frac{\sqrt{\kappa} + \sqrt{\kappa + 4(m+i)}}{-\sqrt{\kappa} + \sqrt{\kappa + 4(m+i)}} \right). \quad (\text{A2})$$

For $\int d\kappa' G^+(\kappa - \kappa') G^-(\kappa')$ we have

$$I_2(m, \kappa) = \int d\kappa' G^+(\kappa - \kappa') G^-(\kappa') = \quad (\text{A3}) \\ - \frac{1}{\sqrt{4m\kappa + \kappa^2 - 4}} \ln \left(\frac{\kappa + 2m - \sqrt{4m\kappa + \kappa^2 - 4}}{\kappa + 2m + \sqrt{4m\kappa + \kappa^2 - 4}} \right). \quad (\text{A4})$$

In Fig. 11 we plot the $\text{Re}I_1$ and I_2 as a function of κ at $m^2 = 1$. The singularities of I_1 are easy to see from the explicit expression in Eq. (A2): $\kappa = 0$ and $\kappa = 4(m+i)$. However, the second singularities for the κ dependence of $\text{Re}I_1$ are not obvious. The possible singularities of I_2 stem from the solution of this equation:

$$4m\kappa + \kappa^2 - 4 = 0; \quad \kappa_{\pm} = 2(-m \pm \sqrt{m^2 + 1}). \quad (\text{A5})$$

However, it is easy to see that, both κ_+ and κ_- do not correspond to the singularity of I_2 [see Fig. 11(b)]. Plugging Eqs. (A2) and (A3) into Eq. (A1) we obtain

$$\Sigma(\kappa) = \frac{1}{4} \text{Re}(\{(1+m_0 i)^2 I_1 + (1+m_0^2) I_2\}), \quad (\text{A6})$$

where m and m_0 are defined as in Eqs. (70)–(72).

APPENDIX B: THE NUMERICAL ESTIMATES IN THE VARIATIONAL APPROACH

As it has been pointed out in Ref. [24]⁵ in the integrals in Eq. (82) we have two problems: (i) the very large values of κ and κ' are essential; and (ii) the region of $\kappa \rightarrow \kappa'$ is very sensitive to the numerical calculation procedure. Recall that this region in the case of massless BFKL equation leads to a divergency. To heal all these problems we rewrite Eqs. (70) and (82) in the following form:

$$E\phi(\kappa) = -T(\kappa)\phi(\kappa) \\ - \bar{\alpha}_S \int d\kappa' K(\kappa, \kappa') \left\{ \phi(\kappa') - \frac{G(\kappa')}{G(\kappa)} \phi(\kappa) \right\}, \quad (\text{B1})$$

where $G(\kappa)$ is given by Eq. (57). One can see that at $\kappa' \rightarrow \kappa$ the term in curly brackets vanishes, providing the smooth integration in this dangerous region. For a better control of the integration at large values of κ in Eq. (82) we replace

$$T(\kappa) \rightarrow (T(\kappa) - \ln \kappa) + \ln \kappa. \quad (\text{B2})$$

The term in parentheses vanishes at large κ , leading to a converged integral at large κ , while the integral for the trial function of Eq. (82) can be taken, leading to the following expression:

$$\int d\kappa (\ln \kappa) \phi_{\text{trial}}^2(\kappa) \\ = - \frac{\sqrt{\pi} 4^{-\gamma} (\frac{1}{a^2})^{\frac{1}{2}-\gamma} (a^2)^{1-2\gamma} \Gamma(\gamma + \frac{1}{2}) ((2\gamma - 1) \log(\frac{1}{a^2}) - 2)}{(1-2\gamma)^2 \Gamma(\gamma)}. \quad (\text{B3})$$

All numerical integrations were take replacing $\kappa = e^l$ and $\kappa' = e^{l'}$ and $l(l')$ runs from -20 to 250 .

⁵We thank Marat Siddikov for the instructions for obtaining numerical estimates that he provided us in private communications.

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