

# Implications of gravitational-wave production from dark photon resonance to pulsar-timing observations and effective number of relativistic species

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The coherent oscillation of axionic fields naturally drives copious production of dark photon particles in the early Universe, due to resonance and tachyonic enhancement. During the process, energy is abruptly transferred from the former to the latter, sourcing gravitational-wave generation. The resulting gravitational waves are eventually observed today as stochastic background. We report analytical results of this production and connect them to the recent pulsar-timing results from the NANOGrav Collaboration. We show an available parameter space for our mechanism to account for the signal around the mass  $m_\phi \sim 10^{-13}$  eV and the decay constant  $f_\phi \sim 10^{16}$  GeV, with a dimensionless coupling of  $\mathcal{O}(1)$ . A mechanism to keep the axion from dominating the Universe is a necessary ingredient of this model, and we discuss a possibility to recover a symmetry and render the axion massless after the production. We also comment on potential implications of the required effective number of relativistic species for the determination of the present Hubble constant.

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## I. INTRODUCTION

Pseudo-Nambu-Goldstone bosons arise from spontaneous breaking of global symmetries and are ubiquitous in UV-complete theories beyond the Standard Model (SM). They may serve as a solution to the strong  $CP$  problem via the Peccei-Quinn mechanism (QCD axion) [1–4] and/or act as dark matter [5–8]. In this sense, they connect the fundamental theories beyond SM and low-energy observables. Hereafter, we refer to them as axion-like fields (ALFs).

An intriguing nature of ALFs  $\phi$  is their unique coupling to other field content. In particular, their coupling to a  $U(1)$  gauge field

$$\mathcal{L}_{\text{int}} = -\frac{\alpha}{4f_\phi} \phi F_{\mu\nu} \tilde{F}^{\mu\nu} \quad (1)$$

is generically allowed. Here  $F$  and  $\tilde{F}$  are the field-strength tensor of the gauge field  $A_\mu$  and its dual, respectively,  $f_\phi$  is a constant of mass dimension one, sometimes called the axion decay constant, and  $\alpha$  is a dimensionless constant. If  $\phi = \text{const}$ , the term (1) is topological and has no effect on the dynamics of the system, at least perturbatively. In other words, one can rewrite  $\mathcal{L}_{\text{int}} = \frac{\alpha}{2f_\phi} \partial_\mu \phi A_\nu F^{\mu\nu}$  up to total derivatives and it would be vanishing if  $\partial\phi = 0$ . This observation implies that Eq. (1) is indeed compatible with the axion's intrinsic shift symmetry, and thus should be

included in models of  $\phi$  in the language of effective field theory. In this paper we stay agnostic about the identity of  $A_\mu$  and refer to it as the “dark photon.”

The phenomenology of the coupling (1) in cosmological settings has been extensively studied in the past years, such as inflationary model building [9–15], cosmic microwave background (CMB) observables [16–33], the generation of magnetic fields [34–43], the formation of primordial black holes [44–48], the generation of baryon asymmetry [49], dark matter physics [50–55], and non-Abelian extensions [56–79]. Some of these models have been directly tested by the *Planck* mission [80–83]. The interaction (1) induces the copious production of gauge quanta in the presence of coherent motion of  $\phi$  [9], resulting in various observational signals. Our focus in this paper is the generation of gravitational waves (GWs) sourced by such produced gauge fields, or dark photons. In this context, past studies have been performed for GWs as the CMB tensor modes [84–93] as well as GW signals at terrestrial interferometers [94–101], and future observational prospects have been discussed for LiteBIRD [91] and LISA [102].

Once the axion mass overcomes the Hubble friction,  $\phi$  starts oscillating coherently at some moment in the cosmic history. This oscillation can trigger a resonant amplification of the dark photon, together with a tachyonic enhancement for a certain fraction of each oscillation in the cases of large coupling. The growth of this type from the interaction (1) has been studied in the literature for the amplification mechanism itself [103–107] and for its contribution to GW signals [108–111]. All of these works were based on numerical methods that included lattice simulation, with

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the only exception being Ref. [111], in which analytical results were given with the main focus being on a large-coupling case. Our analysis in this paper utilizes the analytical calculations we have conducted independently and cross-checked with those in Ref. [111]. The details of our calculations will be discussed in our upcoming publication [112], and the present paper is devoted to collecting the results of interest in light of the recent report of a stochastic GW signal by a pulsar-timing array (PTA) experiment.

The North American Nanohertz Observatory for Gravitational Waves (NANOGrav) [113,114] has found a significant Bayes factor in favor of the presence of a stochastic GW background in their 12.5-year PTA data [115]. Their current result shows no statistically significant evidence for the presence of quadrupolar spatial correlations and thus cannot claim a definitive detection of GW background that is consistent with general relativity. It may have been caused by spin noise, solar system effects, or other unknown systematics, and disentangling these systematics from the true signals needs to await further analyses and data from the other PTA experiments [115]. Nevertheless, other possibilities are worth exploring, assuming that the NANOGrav 12.5-year signal result from a true GW background of astrophysical or cosmological origin. Possible sources of stochastic GW signals include mergers of supermassive black hole binaries [116–120], cosmic string networks in the early Universe [121–124] (see, e.g., Refs. [125–132] for earlier works), an oscillating GW sound speed [133], fast radio burst sources [134], a blue spectrum of the inflationary tensor mode [135], and primordial black holes [136–139]. Phase transitions in the early Universe have been actively investigated as a GW source [140–154] and considered in the context of the NANOGrav result in Refs. [155,156].

In this paper, we explore the dynamics of an interacting ALF and dark photon as a source of stochastic GW signals. Once the axion starts oscillating coherently due to its own mass, the dark photon is significantly amplified due to resonance with the axion and tachyonic instability. If this occurs when the cosmic temperature is  $T \lesssim 0.1$  GeV, a GW spectrum that covers the frequency range of the NANOGrav signals can be achieved. Due to this process, the axion energy is efficiently transferred to the dark photon, and the amplitudes of the observed signals are reached as long as the coupling is strong enough to draw sufficient energy out of the axion. This requires a rather large energy content of the axion to produce a sufficient level of GW background. We discuss a possible mechanism to render the axion massless after the dark photon production and also consider the effective number of relativistic species prior to the recombination. We comment on the implication of this requirement for the determination of the present value of the Hubble parameter and on its potential alleviation of the tension in the measurements of  $H_0$  [157–159].

The rest of this paper is organized as follows. We set up the simple model of our interest in Sec. II. In Sec. III we calculate the dark photon production. We first comment on the absence of production in the small-coupling regime in a cosmological background spacetime, and then derive analytic expressions for the production in the case of large coupling strength. In Sec. IV we compute the GW spectrum induced by the produced dark photon and discuss its relevance to the NANOGrav observation. Section V is devoted to discussions and a conclusion. Throughout the paper we use the natural units  $\hbar = c = k_B = 1$ , denote the reduced Planck mass by  $M_{\text{pl}}$ , and take the flat Friedmann-Lemaître-Robertson-Walker metric as the cosmological background spacetime.

## II. MODEL SETUP

An ALF  $\phi$  emerges from spontaneous breaking of a global symmetry characterized by an energy scale  $f_\phi$ . Its shift symmetry is softly broken by nonperturbative dynamics at another energy  $\Lambda$ . Then its mass is typically of order  $m_\phi \sim \Lambda^2/f_\phi$ , whose stability against quantum corrections (necessarily proportional to  $1/f_\phi$ ) is technically natural. After being produced, at some point in the history of the Universe the axion begins coherent oscillation within a coherent length  $L_c$ . Inside this region the spatial gradient of  $\phi$  is negligible, and its oscillation in a temporal direction is well approximated by

$$\phi(t) \cong \phi_{\text{osc}} \left( \frac{a_{\text{osc}}}{a} \right)^{3/2} \cos m_\phi(t - t_{\text{osc}}), \quad (2)$$

where  $t$  is the cosmic time,  $a$  is the cosmic scale factor, and the subscript “osc” denotes values at the time of the onset of the coherent oscillation.

Another key feature of the axion is that its shift symmetry uniquely determines the lowest-order coupling to other fields. In particular, a dark photon field  $A_\mu$  that possesses a  $U(1)$  gauge symmetry interacts with the ALF through the term (1). The dark photon may acquire a mass  $m_{\gamma'}$  by a Higgs-like or Stueckelberg-type mechanism [160,161], but a large mass would disrupt the effect of the interaction (1). Hence, we are interested in the parameter range where such a disturbance is absent. This requires the mass to be smaller than the coupling strength, yielding the condition  $m_{\gamma'}^2 \ll k\alpha\dot{\phi}/f_\phi$ , where a dot denotes a derivative with respect to  $t$ , and  $k$  is the typical momentum of the dark photon. Nonperturbative effects of Eq. (1) on the dark photon can be partially captured by solving the equation of motion for  $A_\mu$ . Projecting  $A_\mu$  onto the circular polarization states  $\hat{A}_\pm$  in Fourier space, the equation of motion of the latter reads, for a negligible dark photon mass,

$$\left(\partial_\tau^2 + k^2 \mp k \frac{\alpha}{f_\phi} \partial_\tau \phi\right) \hat{A}_\pm = 0, \quad (3)$$

where  $\tau$  is the conformal time,  $d\tau = dt/a$ . Inside the region of coherent oscillation (2), the dispersion relation of  $\hat{A}_\pm$  in the coordinates of physical time, defined by  $\omega_\pm^2 \equiv k^2/a^2 \mp k\alpha\dot{\phi}/(af_\phi)$ , is approximately

$$\omega_\pm^2 \cong \frac{k^2}{a^2} \pm m_\phi \frac{k}{a} \frac{\alpha\phi_{\text{osc}}}{f_\phi} \left(\frac{a_{\text{osc}}}{a}\right)^{3/2} \sin m_\phi(t - t_{\text{osc}}). \quad (4)$$

Without the cosmic expansion  $a = \text{const}$ , Eq. (3) with Eq. (4) would yield the Mathieu equation (see, e.g., Ref. [162] for a detailed analysis). In this work we include the effect of the expansion and derive analytical expressions in an attempt to explain the recent result of NANOGrav.

### III. DARK PHOTON PRODUCTION

In Minkowski spacetime, Eq. (3) with the dispersion relation (4) would be of the form of the Mathieu equation, and the dark photon field would resonate with the oscillating axion. If the amplitude of the oscillation were small, so-called *narrow resonance* would take place, and only some limited momentum/frequency bands would be enhanced. For a large oscillation amplitude, on the other hand, a much wider range of momentum values would be resonated, which is called *broad resonance*. See Refs. [162–164] for details.

However, the structure of resonance is modified in a more realistic, expanding universe. The modification is not only quantitative, but arises already at a qualitative level [163]. In particular, would-be narrow resonance bands are no longer available if the expansion is taken into account, and thus there is no amplification of dark photons for a small ALF amplitude. The condition for this case can be quantified by an upper bound on the coupling strength,

$$\frac{\alpha\phi_{\text{osc}}}{f_\phi} < \frac{k}{a_{\text{osc}}m_\phi} \left(\frac{a}{a_{\text{osc}}}\right)^{1/2}, \quad \text{small coupling.} \quad (5)$$

The absence of narrow resonance can be understood as follows: for this type of resonance, only a limited range of modes would grow. In a flat spacetime, the primary band width of the resonance in our model (4) could be quantified by  $|k - m_\phi/2| \lesssim \alpha\phi_{\text{osc}}m_\phi/f_\phi$ . Outside of this small window, no resonance would take place. Note that, because of this narrow band  $k \approx m_\phi/2$ , we observe from Eq. (5) that  $\alpha\phi_{\text{osc}}/f_\phi < 1$  for a narrow resonance in a flat spacetime. The formal reason for the primary-band growth is that the oscillation of  $\hat{A}_\pm$  due to the matching momentum  $k$  should be canceled out by the ALF's oscillation due to its mass  $m_\phi$ , and this nonoscillatory piece would be the one that grows. However, the expansion of space changes the physical

momentum by  $k/a$  over time, which completely alters the nature of the resonance. While it is crucial for the momentum to stay in the resonance band during the time scale of the growth, the expansion only allows the cancellation between  $k/a$  and  $m_\phi$  to last for a short duration of  $k\Delta\tau \sim \mathcal{O}(1)$ , where  $\tau$  is the conformal time. Around a would-be resonating momentum  $k/a \sim m_\phi$ , this corresponds to only a few oscillations. In the regime of narrow resonance  $\alpha\phi_{\text{osc}}/f_\phi$ , this does not provide sufficient time for the mode to grow. After this duration, the cancellation ceases, and no further growth is expected. One might still suspect that, even if *each mode* did not grow sufficiently, a *collection* of small amplifications of different modes would contribute to a large value, since different  $k$  values would equate  $m_\phi$  at different times due to the expansion. This turns out not to be the case, and every mode simply experiences no amplification, and integration over  $k$  is no different from the case of no resonance from the start. In the following subsection, we therefore concentrate on studying the case of large coupling strength. The statements in this paragraph, as well as the following calculations of the productions, will be discussed in detail in our upcoming work [112].

#### A. Large coupling

The range of large coupling is the regime opposite that of Eq. (5), i.e.,

$$\frac{\alpha\phi_{\text{osc}}}{f_\phi} > \frac{k}{a_{\text{osc}}m_\phi} \left(\frac{a}{a_{\text{osc}}}\right)^{1/2}, \quad \text{large coupling.} \quad (6)$$

There are two physical mechanisms of copious particle production that are in action: growth by tachyonic instability, and violation of adiabaticity. Both of these effects occur for a given mode, but at different moments, and repeat as long as the axion oscillation continues. A necessary condition leading to the tachyonic instability is given as

$$\omega_\pm^2 < 0. \quad (7)$$

The adiabaticity of the system is characterized by the quantity  $|\dot{\omega}_\pm/\omega_\pm^2|$ , and the adiabatic condition is violated in the region of

$$\left|\frac{\dot{\omega}_\pm}{\omega_\pm^2}\right| \gtrsim 1. \quad (8)$$

We solve the field equation of motion in each region in an analytical way, and connect the solutions step by step. After a straightforward calculation, the exponential growth factor of the gauge field mode functions  $A_\pm$  is found to be

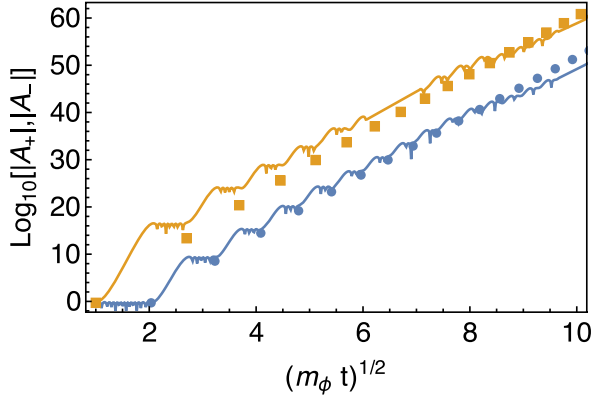


FIG. 1. Comparing the analytical and numerical calculations for  $A_{\pm}$ . The yellow square and blue circle denote the analytical results for  $A_{-}$  and  $A_{+}$ , respectively. The yellow and blue solid lines are the numerical results for  $A_{-}$  and  $A_{+}$ , respectively. Here we take the parameters as  $w = 1/3$ ,  $m_{\phi}t_{\text{osc}} = 1$ ,  $kt_{\text{osc}}/a_{\text{osc}} = 0.5$ , and  $\alpha\phi_{\text{osc}}/f_{\phi} = 1.5 \times 10^3$ . We also normalize the initial amplitude as  $A_{\pm}(t_{\text{osc}}) = 1$ .

$$\begin{aligned} \ln(|A_{\pm}|) \equiv \mu_m^{\pm} \simeq & (m-2) \log(2) \\ & + \tilde{\gamma} \left[ \left( m + \frac{m_{\phi}t_{\text{osc}}}{2\pi} - \frac{3}{4} \pm \frac{1}{4} \right)^{\frac{1+6w}{6(1+w)}} \right. \\ & \left. - \left( \frac{m_{\phi}t_{\text{osc}}}{2\pi} + \frac{1}{4} \pm \frac{1}{4} \right)^{\frac{1+6w}{6(1+w)}} \right]. \end{aligned} \quad (9)$$

Here  $w$  is the equation of state of the Universe,  $m$  is an integer  $m = 2, 3, \dots$  denoting the  $m$ th cycle of the axion oscillation,<sup>1</sup> the initial amplitude  $A_{\pm}$  at  $t = t_{\text{osc}}$  is normalized as 1, and the factor  $\tilde{\gamma}$  is given as

$$\begin{aligned} \tilde{\gamma} \equiv & \frac{2^{\frac{5(2+3w)}{6(1+w)}} 3\pi^{-\frac{8+3w}{6(1+w)}} (1+w) \Gamma(\frac{3}{4})^2}{1+6w} \\ & \times (m_{\phi}t_{\text{osc}})^{\frac{5}{6(1+w)}} \sqrt{\left( \frac{k}{m_{\phi}a_{\text{osc}}} \right) \frac{\alpha\phi_{\text{osc}}}{f_{\phi}}}, \end{aligned} \quad (10)$$

where  $a_{\text{osc}}$  is the value of the scale factor at  $t = t_{\text{osc}}$ . The first term in Eq. (9) comes from the adiabaticity violation and the second term is obtained from the tachyonic instability. Note that the premise in obtaining the expressions for  $\mu_m^{\pm}$  in Eq. (9) is that the coupling strength is large. As can be speculated from Eq. (6), this ‘‘large-coupling limit’’ is in fact the leading-order expression in the expansion in terms of the small parameter  $\frac{k/(a_{\text{osc}}m_{\phi})}{\alpha\phi_{\text{osc}}/f_{\phi}} \ll 1$ . Indeed, if one included subleading-order terms, they would be suppressed by this parameter compared to the term in Eq. (9) [111]. We discuss the validity of this approximation in the next section.

<sup>1</sup>That is, the time  $t$  within the  $m$ th cycle spans the range  $m_{\phi}t_{\text{osc}} + 2\pi(m-1) \leq m_{\phi}t < m_{\phi}t_{\text{osc}} + 2\pi m$ .

In Fig. 1 we compare our analytical results (9) to the numerical computation. We take the parameters as  $w = 1/3$  (radiation domination),  $m_{\phi}t_{\text{osc}} = 1$ ,  $kt_{\text{osc}}/a_{\text{osc}} = 0.5$ ,  $\alpha\phi_{\text{osc}}/f_{\phi} = 1.5 \times 10^3$ , and  $A_{\pm}(t_{\text{osc}}) = 1$ . The yellow squares and blue circles indicate the analytical results for  $A_{-}$  and  $A_{+}$ , respectively, evaluated at the end of the flat region of each cycle. The solid yellow and blue lines correspond to the numerically computed amplitudes of  $A_{-}$  and  $A_{+}$ , respectively. Here the growth appears to continue indefinitely only because we do not include the back-reaction effects. We confirm a nice agreement between the analytical and numerical calculations. In more detail, in the oscillating but flat amplitude regions in Fig. 1 the adiabatic condition is not violated and the tachyonic instability does not take place, and therefore no gauge field is produced. The growing regions correspond to the periods where tachyonic instability occurs. The adiabaticity condition is violated in the regions sandwiched between the former two regions. Note that the time evolutions of  $A_{\pm}$  are different because the tachyonic instability condition (7) is satisfied at different times for  $\omega_{\pm}$ . This is due to the phase difference appearing in Eq. (4) as the  $\pm$  sign, resulting from the parity-breaking interaction (1) in the presence of a nonzero  $\dot{\phi}$ . For the consideration in the following sections, we concentrate on the dark photon production during the era of radiation domination, and thus we set  $w = 1/3$  from here on.

#### IV. GRAVITATIONAL WAVES AND NANOGRAV RESULTS

We now turn to the estimation of the GW generation sourced by the produced dark photon computed in Sec. III A. GW represents the pure gravitational degrees of freedom that propagate in vacuum and can be identified with the traceless and transverse part of the metric perturbations,  $h_{ij} \equiv a^{-2}\delta g_{ij}$  with properties  $\partial_i h_{ij} = h_{ii} = h_{[ij]} = 0$ . The sourced contribution to GWs from the dark photon is computed from the traceless and transverse part of the Einstein equations. Projected onto the polarization states  $\hat{h}_{\lambda}(\tau, \mathbf{k})$  along the wave number  $\mathbf{k}$  in Fourier space, these equations read

$$\left( \partial_{\tau}^2 + k^2 - \frac{\partial_{\tau}^2 a}{a} \right) (a\hat{h}_{\lambda}) = \hat{J}_{\lambda}(\tau, \mathbf{k}), \quad (11)$$

where  $k \equiv |\mathbf{k}|$  and

$$\hat{J}_{\lambda} = \frac{2a}{M_{\text{Pl}}^2} \Pi_{\lambda}^{ij}(\hat{k}) \int \frac{d^3x}{(2\pi)^{3/2}} e^{-i\mathbf{k}\cdot\mathbf{x}} T_{ij}(\tau, \mathbf{x}), \quad (12)$$

where  $M_{\text{Pl}}$  denotes the reduced Planck mass,  $\tau$  is the conformal time, and  $\Pi_{\lambda}^{ij}(\hat{k})$  is the inverse of the GW polarization tensor. Here the traceless and transverse part of  $T_{ij}$  is projected by multiplying  $\Pi_{\lambda}^{ij}$ . Inside the

Hubble horizon the Green function for  $a\hat{h}_\lambda$  is found to be

$$G_k(\tau, \tau') = \Theta(\tau - \tau') \frac{\pi}{2} \sqrt{\tau\tau'} [Y_\nu(k\tau)J_\nu(k\tau') - J_\nu(k\tau)Y_\nu(k\tau')], \quad (13)$$

where  $\Theta(x)$  is the Heaviside step function, and  $J_\nu(x)$  and  $Y_\nu(x)$  are the Bessel functions of the first and second kinds, respectively, with the index  $\nu = 3(1-w)/2(1+3w)$  for the equation of state  $w \in (-1/3, 1)$ , and thus  $\nu = 1/2$  for radiation domination  $w = 1/3$ . For small-wavelength modes that satisfy  $k^2 \gg \partial_\tau^2 a/a$ , the Green function is approximately  $G_k(\tau, \tau') \simeq \Theta(\tau - \tau') k^{-1} \sin k(\tau - \tau')$ . Then, the particular solution of Eq. (11) sourced by  $J_\lambda$  is obtained by the Green function method as

$$\hat{h}_\lambda(\tau, \mathbf{k}) = \frac{1}{a(\tau)} \int_{-\infty}^{\infty} d\tau' G_k(\tau, \tau') \hat{J}_\lambda(\tau', \mathbf{k}). \quad (14)$$

The associated GW energy density  $\rho_{\text{GW}}$  is

$$\rho_{\text{GW}} \equiv \frac{M_{\text{Pl}}^2}{8a^2} \langle \partial_\tau h_{ij} \partial_\tau h_{ij} + \partial_k h_{ij} \partial_k h_{ij} \rangle, \quad (15)$$

where  $\langle \bullet \rangle$  denotes the spatial average, and the GW fields are assumed to vanish at spatial infinity.

To compare with the pulsar-timing data in Ref. [115], it is convenient to compute the spectrum of the fractional GW energy density, defined by

$$\Omega_{\text{GW},0} \equiv \frac{1}{3H_0^2 M_{\text{Pl}}^2} \frac{d\rho_{\text{GW}}(t_0)}{d \ln k}, \quad (16)$$

evaluated at the present time  $t = t_0$ . To connect this value  $\Omega_{\text{GW},0}$  to the value at the time of generation, denoted by  $\Omega_{\text{GW,gen}}$ , we assume entropy conservation, three neutrino species, free propagation of GWs after production ends, and that the GW value is averaged over oscillations. Then we find [165]

$$\Omega_{\text{GW},0} \approx 0.32 \left( \frac{g_{s,0}}{g_{s,\text{gen}}} \right)^{4/3} \frac{g_{*,\text{gen}}}{g_{*,0}} \Omega_{r,0} \Omega_{\text{GW,gen}}, \quad (17)$$

where  $g_{*,\text{gen}}$  and  $g_{s,\text{gen}}$  are the number of relativistic degrees of freedom for the energy density and entropy at the time of production, respectively, and  $\Omega_{r,0} h^2 \simeq 4.16 \times 10^{-5}$  where  $h \approx 0.67$  is the current value of the fractional radiation density [158]. Thus, once we find the spectrum of GWs at production using Eq. (15), the corresponding value at present is trivially obtained from Eq. (17).

Using the result for dark photon production obtained in Sec. III A, and using Eqs. (14) and (15), we find that the GW energy density spectrum at the time of generation is [111]

$$\begin{aligned} \Omega_{\text{GW},\gamma'}|_{\text{gen}} &\approx \frac{n_{\text{gen}}^2 H_{\text{osc}} k_s^9}{96\pi^3 M_{\text{Pl}}^4 m_\phi^4 H_{\text{gen}}^2 a_{\text{gen}}^4 a_{\text{osc}}^5} \left( \frac{f_\phi}{\alpha \phi_{\text{osc}}} \right)^2 \\ &\times \left( \frac{k}{2k_s} \right) \left( 1 - \frac{k^2}{4k_s^2} \right)^3 \left[ \left( 1 - \frac{k}{2k_s} \right)^4 \right. \\ &\left. + \left( 1 + \frac{k}{2k_s} \right)^4 \right], \end{aligned} \quad (18)$$

where  $\Omega_{\text{GW},\gamma'}$  denotes the fractional density of GWs sourced by the dark photon, the subscript ‘‘gen’’ indicates the generation time of GWs,  $k_s$  is the wave number of the dominant growth mode of the photon given by [55,111]

$$\frac{k_s}{a_{\text{gen}}} \approx \frac{m_\phi}{2^{5/6} 3^{1/6}} \frac{a_{\text{osc}}}{a_{\text{gen}}} \left( \frac{m_\phi \alpha \phi_{\text{osc}}}{f_\phi H_{\text{osc}}} \right)^{2/3}, \quad (19)$$

and  $n_{\text{gen}}$  is the occupation number of the dark photon for the mode  $k_s$ . The gravitational-wave spectrum in Eq. (18) is obtained by assuming the dark photon is produced during the radiation-dominated Universe, and the spectrum of the produced photon has a delta-function-like peak at  $k_s$  [111]. Furthermore, we only take into account the  $A_-$  mode which is the dominant mode, as we have seen in Fig. 1.

So far we have assumed that the resonant production of the photon continues as long as the tachyonic instability condition in Eq. (7) is satisfied. This assumption is not suitable once the backreaction effects become substantial, since they are expected to disturb the resonance. The time  $a_{\text{br}}$  when the backreaction stops the resonance is estimated by comparing the terms  $m_\phi^2 \phi$  and  $\frac{\alpha}{4f} F_{\mu\nu} \tilde{F}^{\mu\nu}$  in the equation of motion  $\phi$ , i.e.,

$$m_\phi^2 \phi_{\text{osc}} \left( \frac{a_{\text{osc}}}{a_{\text{br}}} \right)^{3/2} \sim \frac{\alpha}{f_\phi} \frac{n_{\text{gen}} k_s^4}{2\pi^2 a_{\text{br}}^4}, \quad (20)$$

where the right-hand side of the above equation is obtained by focusing on the dominant photon mode  $k_s$  and taking  $a_{\text{br}} \sim a_{\text{gen}}$ . The occupation number  $n_{\text{gen}}$  is roughly estimated as  $n_{\text{gen}} \approx |A_-(k_s)|^2$  from Eq. (9), where  $A_-$  is the value of the mode function normalized to unity at the initial time  $t = t_{\text{osc}}$ . On the other hand, the tachyonic instability condition (7) can be met until the time  $a_{\text{tac}}$ , which is obtained by using Eqs. (6) and (19),

$$a_{\text{tac}} \approx a_{\text{osc}} \left( \frac{\alpha \phi_{\text{osc}}}{f_\phi} \right)^{2/3}, \quad (21)$$

where we have also used  $m_\phi \sim H_{\text{osc}}$ . Now we can compute  $a_{\text{br}}$  by solving Eq. (20) and taking  $n_{\text{gen}} \approx \exp(2\mu_m)$ . We note from Eq. (10) that  $a_{\text{br}}$  is sensitive to the quantity  $\alpha \phi_{\text{osc}}/f_\phi$ , while the dependences of  $m_\phi$  and  $\phi_{\text{osc}}$  are logarithmic and negligible for the precision of our computation. In obtaining Eq. (18), we have implicitly assumed that production ends because of the termination of the

tachyonic instability. On the other hand, the produced GW abundance reaches a maximum when the dark photon is produced to the extent at which it starts back-reacting to the ALF motion. Therefore, the optimal scenario for the  $\Omega_{\text{GW},\gamma'}$  value within the validity range of our calculation is the case where these two moments coincide. We thus equate  $a_{\text{br}}$  given by Eq. (20) and  $a_{\text{tac}}$  by Eq. (21), yielding  $\alpha\phi_{\text{osc}}/f_\phi \approx 30$  and  $a_{\text{br}} \approx a_{\text{tac}} \approx 10a_{\text{osc}}$ . This is our main target parameter region. The above condition  $\alpha\phi_{\text{osc}}/f_\phi \approx 30$  can be satisfied, for example, by  $\phi_{\text{osc}}/f_\phi \gg 1$  in the context of the clockwork mechanism [166–169].

In fact, our analytical expression (9) is obtained in the limit of large coupling, i.e., the leading-order expression in the expansion with respect to the parameter  $(k/a_{\text{osc}}m_\phi) \times (\alpha\phi_{\text{osc}}/f_\phi)^{-1}$ , as mentioned below Eq. (10). In particular, around the peak momentum  $k/(a_{\text{osc}}m_\phi) \sim (\alpha\phi_{\text{osc}}/f_\phi)^{2/3}$ , this parameter is  $\propto (\alpha\phi_{\text{osc}}/f_\phi)^{-1/3}$ , and the expansion is not particularly accurate for our target value  $\alpha\phi_{\text{osc}}/f_\phi \approx \mathcal{O}(10)$ . This fact is potentially followed by an overestimation of  $n_{\text{gen}}$ , and in turn the actual time of the production termination,  $a_{\text{br}} \sim a_{\text{tac}}$ , may be delayed compared to the purely analytical calculation. As we will see below, this would not alter our conclusion regarding the GW spectrum in view of the NANOGrav data, but it would tighten the constraint on the effective number of relativistic degrees of freedom,  $\Delta N_{\text{eff}}$ .

We now test the prediction from our model against the results from NANOGrav [115]. This observation evaluates  $\Omega_{\text{GW},0}$  as a function of frequency  $f$  in the form [170]

$$\Omega_{\text{GW},0}(f) = \frac{2\pi^2 f_{\text{yr}}^2}{3H_0^2} \left(\frac{f}{f_{\text{yr}}}\right)^{5-\gamma} A_{\text{GWB}}^2, \quad (22)$$

where  $A_{\text{GWB}}$  is the amplitude of the gravitational wave of an assumed power-law spectrum with a spectral index  $\gamma$ ,  $f_{\text{yr}} = 1 \text{ yr}^{-1}$ , and  $H_0$  is the Hubble parameter at present. We are particularly interested in fitting the spectrum  $\Omega_{\text{GW},0}(f)$  by the power law with  $\gamma = 4$ , since our GW spectrum is proportional to  $f$  as in Eq. (18). From Ref. [115], the amplitude that explains the data within  $2\sigma$  is

$$1.8 \times 10^{-15} \lesssim A \lesssim 3.7 \times 10^{-15}. \quad (23)$$

As stated in Ref. [115], the five lowest frequency bins constitute 99.98% of the signal-to-noise contribution, among which the first bin provides the major contribution. The error bar becomes significant already at the third bin. Thus, for the fitting, two bins around

$$f_1 \approx 2.5 \times 10^{-9} \text{ Hz}, \quad f_2 \approx 4.9 \times 10^{-9} \text{ Hz} \quad (24)$$

are the most relevant, and we concentrate on the frequency range  $f \in [f_1, f_2]$  in the following discussion. Combining

Eqs. (17) and (22), we can estimate the required GW energy density at production,

$$\begin{aligned} 8.3 \times 10^{-5} \left(\frac{g_{s,\text{gen}}^{4/3}}{g_{*,\text{gen}}}\right) \left(\frac{f}{f_{\text{yr}}}\right) &\lesssim \Omega_{\text{GW},\text{gen}} \\ &\lesssim 3.5 \times 10^{-4} \left(\frac{g_{s,\text{gen}}^{4/3}}{g_{*,\text{gen}}}\right) \left(\frac{f}{f_{\text{yr}}}\right). \end{aligned} \quad (25)$$

In order for our model to account for signal amplitudes of the NANOGrav observation, we require  $\Omega_{\text{GW},\gamma'}$  to be within the range given in Eq. (25), at least at the higher frequency we are interested in, i.e.,  $f = f_2$ , giving

$$1.3 \times 10^{-5} g_{*,\text{gen}}^{1/3} \lesssim \Omega_{\text{GW},\gamma'}(c p_s) \lesssim 5.4 \times 10^{-5} g_{*,\text{gen}}^{1/3}, \quad (26)$$

where  $p_s \equiv k_s/a_{\text{gen}}$ , and we have taken  $g_{s,\text{gen}} = g_{*,\text{gen}}$  under the assumption that all of the relativistic components are in thermal equilibrium at the time of production. Here, we have introduced a parameter  $c \lesssim 1$  to parametrize the extent by which  $f_2$  is lower than the frequency of the GW peak produced by the dark photon. Focusing on the parameter space with  $a_{\text{br}} \approx a_{\text{tac}}$  and taking  $a_{\text{gen}} = a_{\text{tac}}$ , the GW spectrum in Eq. (18) is reduced to

$$\Omega_{\text{GW},\gamma'}(c p_s) \approx 3 \times 10^{-2} c \left(\frac{\phi_{\text{osc}}}{M_{\text{Pl}}}\right)^4 \left(\frac{m_\phi}{H_{\text{osc}}}\right)^{5/3}. \quad (27)$$

Using this formula, the condition in Eq. (26) is reduced to

$$1 \lesssim c \left(\frac{\phi_{\text{osc}}/M_{\text{Pl}}}{0.11}\right)^4 \left(\frac{m_\phi/H_{\text{osc}}}{3}\right)^{5/3} \lesssim 4, \quad (28)$$

where we take  $g_{*,\text{gen}} = 10.75$ . We thus gather that in order to explain the NANOGrav signal, the axion oscillation amplitude must be close to the Planck scale.

Besides the spectrum amplitude, the spectral behavior needs to be consistent with the NANOGrav observation. As seen in Eq. (18), the spectral index of our GW is 1, corresponding to  $\gamma = 4$  in Eq. (22). The present value of the physical wave number  $p_0$  can be related to the value at the time of production,  $p_{\text{gen}}$ , by  $a_{\text{gen}} p_{\text{gen}} = a_0 p_0$ . Estimating ratios of the scale factor at different times by those of energy densities, and assuming that the production occurs during the radiation-dominated era, we can relate the value of  $p_{\text{gen}}$  to the temperature at the production,  $T_{\text{gen}}$ , by

$$p_{\text{gen}} \approx 3.5 \times 10^{-19} g_{s,\text{gen}}^{1/3} \left(\frac{f}{1 \text{ yr}^{-1}}\right) T_{\text{gen}}. \quad (29)$$

To explain the signal frequency, we require that the peak frequency is higher than the observed second lowest frequency  $f_2 = 4.9 \times 10^{-9}$ . This condition is given by  $k_s/a_{\text{gen}} \gtrsim p_{\text{gen}}$ , with  $k_s/a_{\text{gen}}$  found in Eq. (19), and reduces to

$$\left(\frac{m_\phi}{2.5 \times 10^{-13} \text{ eV}}\right)^{1/2} \left(\frac{m_\phi/H_{\text{osc}}}{2}\right)^{7/6} \left(\frac{\alpha\phi_{\text{osc}}/f_\phi}{30}\right)^{2/3} \gtrsim 1. \quad (30)$$

This implies that our axion has a small mass around  $m_\phi \sim 10^{-13}$  eV and that the axion starts to oscillate at  $T_{\text{osc}} \lesssim 100$  MeV.

If the axion continues to oscillate coherently, it behaves as matter and dominates the Universe soon after the end of dark photon production, due to its large amplitude. To solve this problem, one possibility is that the axion decays into radiation before it dominates the Universe. However, the quick decay of the axion is difficult due to the shift symmetry of the axion.<sup>2</sup> Another possibility is that the axion becomes massless before it dominates the Universe. Although this is in a way opposite to a common scenario of symmetry breaking, since the axion's shift symmetry is restored at a later time, this kind of possibility was discussed in Ref. [173] in the context of the QCD axion. The basic idea is as follows: recall the case of the QCD axion, for which, if there is a massless quark, the  $\theta$  parameter becomes unphysical and thus the axion remains massless even after QCD confinement. We can apply this to, e.g., a hidden QCD sector. Let us introduce vector-like hidden quarks  $Q, \bar{Q}$  which become massive after a complex scalar field  $X$  obtains a nonzero vacuum expectation value (VEV). Then, we consider that the axion obtains a mass below the dark QCD confinement temperature. However, the axion becomes massless again if the VEV of  $X$  is changed by  $\langle X \rangle \neq 0 \rightarrow \langle X \rangle = 0$  (this inverse phase transition was already considered in Refs. [174,175]). We note that the axion does not disappear even after  $\langle X \rangle = 0$  if the axion is provided by the other hidden quarks and scalar fields. Therefore, in this paper we assume that the axion behaves as radiation soon after photon production stops. We also discuss the axion abundance studied using lattice simulations in some previous work in Sec. V.

The abundance of the axion is constrained by the observation of the extra effective neutrino number  $\Delta N_{\text{eff}}$  because the axion behaves as dark radiation after dark photon production, as discussed in the last paragraph. Assuming the dark sector energy density is dominated by the axion,<sup>3</sup> the ratio of the dark sector energy density  $\rho_{\text{DR},\phi}$  to the total energy density  $\rho_{\text{tot}}$  at the end of the tachyonic regime (= end of production) is given by

$$\frac{\rho_{\text{DR},\phi}}{\rho_{\text{tot}}} \approx \frac{\frac{1}{2} m_\phi^2 \phi_{\text{osc}}^2}{\rho_{\text{tot}}} \Big|_{a=a_{\text{osc}}} \left(\frac{a_{\text{tac}}}{a_{\text{osc}}}\right) \approx \frac{2}{3} \left(\frac{\phi_{\text{osc}}}{M_{\text{Pl}}}\right)^2 \left(\frac{a_{\text{tac}}}{a_{\text{osc}}}\right), \quad (31)$$

where we have identified the starting time of oscillation by  $H_{\text{osc}} = m_\phi/2$ . Here we have assumed that the axion behaves as radiation right after  $a_{\text{tac}}$ . On the other hand, the dark sector energy density  $\rho_{\text{DR}}$  at  $a = a_{\text{gen}}$  is in general written in terms of  $\Delta N_{\text{eff}}$  as [155]

$$\frac{\rho_{\text{DR}}}{\rho_{\text{tot}}} = 0.07 \left(\frac{\Delta N_{\text{eff}}}{0.5}\right) \left(\frac{g_{s,\text{gen}}}{g_{s,0}}\right)^{4/3} \left(\frac{g_{*,0}}{g_{*,\text{gen}}}\right). \quad (32)$$

The effective number  $\Delta N_{\text{eff}}$  is defined as

$$\rho_{\text{DR}} \equiv \frac{7}{8} \Delta N_{\text{eff}} \left(\frac{4}{11}\right)^{4/3} \frac{2\pi^2}{30} T^4 \quad (33)$$

at recombination time, and thus  $T$  is traced back to the value at the time  $a_{\text{gen}}$  to obtain Eq. (32). Using Eqs. (31) and (32), we obtain the relation

$$\left(\frac{\phi_{\text{osc}}/M_{\text{Pl}}}{0.11}\right)^2 \left(\frac{a_{\text{tac}}/a_{\text{osc}}}{10}\right) \approx \left(\frac{\Delta N_{\text{eff}}}{0.5}\right) \left(\frac{g_{*,\text{gen}}}{10.75}\right)^{1/3}. \quad (34)$$

The observational requirement is  $\Delta N_{\text{eff}} \lesssim 0.7$  from  $N_{\text{eff}} = 3.27 \pm 0.15$  (68% C.L.) [158,176]. The Hubble tension is reconciled by  $\Delta N_{\text{eff}} \sim 0.5$  [157–159], and thus the parameter values that account for the NANOGrav observation in our model may simultaneously serve as a mechanism to alleviate the tension. However, as mentioned in the paragraph below (21), we note that the true value of  $a_{\text{tac}}/a_{\text{osc}}$  might be larger than the analytically obtained one  $\approx 10$ . In such cases,  $g_{*,\text{gen}}$  would necessarily take a larger value to satisfy the bound on  $\Delta N_{\text{eff}}$ , or more preferably to account for the Hubble tension. An accurate evaluation of  $a_{\text{tac}}$  requires taking into account the effects of backreaction, which is beyond the validity range of our analytical calculation, and we would like to leave this consideration to future studies.

In summary, we obtain three conditions to explain the NANOGrav signal, and possibly the tension in the determinations of the Hubble constant. From Eqs. (28), (30), and (34), the typical parameter values are

$$m_\phi \sim 10^{-13} \text{ eV}, \quad \phi_{\text{osc}} \sim 0.1 M_{\text{Pl}}, \quad \frac{\alpha\phi_{\text{osc}}}{f_\phi} \sim 30. \quad (35)$$

Note that we focus on the parameter values with which the resonance stops at  $a_{\text{tac}} \approx a_{\text{br}}$ , where GWs are maximally produced. We also note that the constraint from the superradiance [177–179] is avoided, since we assume the axion has been massless since the end of the production until present.

<sup>2</sup>An efficient conversion from the axion to another axion may be achieved through their mass mixing, *à la* the Mikheyev-Smirnov-Wolfenstein effect in neutrino oscillations [171,172].

<sup>3</sup>If the dark sector temperature is much less than that in the SM sector, the dark sector thermal bath energy density is negligible.

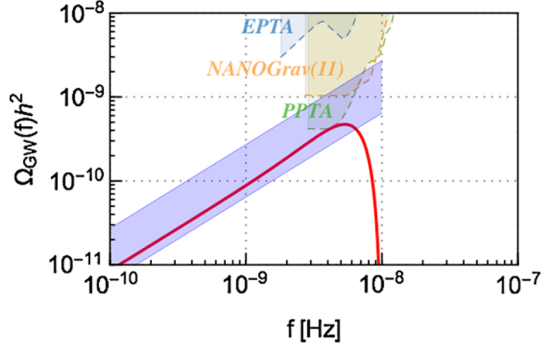


FIG. 2. Comparison of the GW spectrum originated from the produced photon and the NANOGrav power-law model. The red line denotes the GW spectrum of the photon for  $\phi_{\text{osc}} = 0.12M_{\text{PL}}$  and  $m_\phi = 10^{-12.5}$  eV. The blue shaded region corresponds to the observed NANOGrav GW amplitude modeled by a power law with  $\gamma = 4$  within  $2\sigma$ . A cutoff is placed around  $10^{-8}$  Hz, reflecting the large error bars in the NANOGrav result above this frequency range.

In Fig. 2 we show an example spectrum where the above parameter conditions are satisfied. The red line corresponds to the GW spectrum produced by axion-photon resonance for  $\phi_{\text{osc}} = 0.12M_{\text{PL}}$  and  $m_\phi = 10^{-12.5}$  eV. The blue shaded region is favored by a power-law model with  $\gamma = 4$  within  $2\sigma$ . We place a cutoff for the blue region around  $10^{-8}$  Hz, reflecting the large error bars in the NANOGrav data above this frequency range. We find a good agreement with the power-law model and the GWs produced by the axion-photon resonance.

## V. DISCUSSION AND CONCLUSION

The dynamics of axion-like fields and gauge fields in the presence of their interaction has been an active area of research. Violent production of the gauge quanta due to the resonance and tachyonic growth induced by the coherent oscillation of the axion entails rich phenomenological signatures. Such produced quanta develop large quadrupole moments and act as an efficient source of gravitational waves. In this paper, we have employed this production mechanism of a  $U(1)$  gauge field present beyond the Standard Model, which we call the dark photon, and computed the resulting spectrum of stochastic GW signals, with the recent pulsar-timing observation by NANOGrav as the main observational target.

The production is particularly efficient for a large coupling, the case we focused on in this paper. In the course of a single oscillation of the axion, each mode of the dark photon goes through four stages: damped oscillation by positive  $\omega^2$ , momentary violation of the adiabaticity condition, tachyonic behavior due to negative  $\omega^2$ , and another short period of adiabaticity violation. Solving each stage separately, and connecting the solutions at the overlapping regions, we obtained an analytical formula that

well approximates the dark photon behavior at all times during production. Using it, we then adopted the Green function method to compute the contribution to the GW spectrum. In order for this GW spectrum to account for the reported NANOGrav result [115], especially its first few frequency bins that dominate the overall signal-to-noise ratio, we found that the required parameter values should be  $m_\phi \sim 10^{-13}$  eV,  $\phi_{\text{osc}} \sim 0.1M_{\text{PL}}$ , and  $f_\phi/\alpha \sim 10^{16}$  GeV, yielding our main result in this work.

The production in our scenario necessarily occurs during the radiation-dominated Universe. If the axion continued to oscillate after dark photon production ends, its density would increase relative to the total background density and would soon dominate the Universe for the parameter values mentioned above. To avoid this problem, in Sec. IV we discussed an inverse-type phase transition that recovers a massless axion after the temperature drops below some critical value. We here admit a tuning so that such a transition in the dark sector, which contains the axion of our interest, takes place soon after the production ceases.

However, there is an alternative scenario that may suppress the axion abundance without an additional ingredient, though it is more computationally involved. In this paper, we have focused on the case in which the backreaction effect is under control. Once it becomes important, on the other hand, a significant fraction of the axion energy could be transferred to the dark photon. Reference [51] numerically solved the axion–dark photon system with the initial condition of  $\phi_{\text{osc}} = f_\phi$  for  $f_\phi = 10^{16-17}$  GeV and  $\alpha = 20-60$ . Their calculations exhibit an exponential suppression of the axion energy density even after the energy density of the dark photon becomes comparable to that of the axion. Eventually the axion energy density settles down to the value that can explain the current dark matter density. In Ref. [107], however, lattice simulations were performed that do not confirm such a significant suppression, even for similar axion parameters. The latter simulation even exhibits an enhancement of the axion density for  $\alpha \gtrsim 200$  due to a considerable friction by the produced dark photon, as compared to the case of negligible interaction  $\alpha = 0$ . While this discrepancy in the dynamics when the energy densities of the two components become comparable is yet to be understood and is beyond the scope of our current study, there appears to exist a parameter space in which the dark photon absorbs a significant fraction of the axion’s initial energy. In such a case, the axion density may sufficiently decrease to a level that is subdominant to the dark matter density, or possibly just to a level that can fully account for the whole dark-matter abundance. This is certainly an intriguing and attractive possibility, which, however, requires a consistent treatment of the backreaction from the produced dark photon to the axion dynamics, and thus we leave it to our future investigations.

The current report of a stochastic GW background signal by NANOGrav shows null evidence for quadrupolar spatial



correlations and may suffer absent and/or unknown systematics. Further analyses of the data and observations by other pulsar-timing missions, such as the Parkes Pulsar Timing Array [180,181] and European Pulsar Timing Array [182,183], are necessary to confirm true identity of the signal. Yet, if it were to be confirmed, it would certainly provide important implications about the physics of the early Universe. We have demonstrated one stimulating example, connecting the physics of axion-like fields beyond the Standard Model and the ongoing GW searches. We will extend the study of the ALF-gauge field dynamics for broader applications and show the details of our analytical calculations in our upcoming publication.

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*Note added.*—During the final stage of preparation of our paper, Ref. [184] was posted which, based on Refs. [55,100], studied GW generation of dark photon production by the motion of axion-like fields, similar to our consideration in this paper. The major difference is that, while their study is based on numerical computations, our calculations are analytical with a clear validity range, consistent with the result in Ref. [111]. Our result is essentially compatible with Ref. [184] in terms of the resultant parameter window for the considered model, albeit for different approaches. As we discussed in Sec. IV, however, the axion-like field in this model would easily dominate the Universe, unless rendered harmless. In this paper, we have explicitly discussed a possible way to avoid such a pathological scenario.

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