Semileptonic decays of $D_{(s)}$ mesons

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A symmetry-preserving continuum approach to meson bound states in quantum field theory, employed elsewhere to describe numerous π - and K-meson electroweak processes, is used to analyze leptonic and semileptonic decays of $D_{(s)}$ mesons. Each semileptonic transition is conventionally characterized by the value of the dominant form factor at $t = 0$ and the following results are obtained herein: $f_+^{D_s \to K}(0) = 0.673(40)$;
 $f_+^{D \to K}(0) = 0.618(21)$ and $f_+^{D \to K}(0) = 0.756(26)$. Working with the computed t dependence of these form $f_{+}^{D\to\pi}(0) = 0.618(31)$ and $f_{+}^{D\to\pi}(0) = 0.756(36)$. Working with the computed t-dependence of these form factors and standard averaged values for $|V_{cd}|$, $|V_{cs}|$, one arrives at the following predictions for the associated branching fractions: $\mathcal{B}_{D_s^+ \to K^0 e^+ \nu_e} = 3.31(33) \times 10^{-3}$, $\mathcal{B}_{D^0 \to \pi^- e^+ \nu_e} = 2.73(22) \times 10^{-3}$, and $\mathcal{B}_{D^0 \to K^- e^+ \nu_e} = 2.83(28)$ %. ³.83ð28Þ%: Alternatively, using the calculated ^t-dependence, agreement with contemporary empirical results for these branching fractions requires $|V_{cd}| = 0.221(9)$, $|V_{us}| = 0.953(34)$. With all $D_{(s)}$ transition form factors in hand, the nature of SU(3)-flavor symmetry breaking in this array of processes can be analysed; and just as in the π -K sector, the magnitude of such effects is found to be determined by the scales associated with emergent mass generation in the Standard Model, not those originating with the Higgs mechanism.

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I. INTRODUCTION

Working with a large sample of e^+e^- collision data that was acquired using the Beijing Electron Positron Collider (BEPC), the BES III Detector Collaboration has released precise results on the semileptonic decays of $D_{(s)}$ mesons [\[1](#page-8-0)–3]. When these results are combined with those obtained using the BABAR detector at the Stanford Linear Accelerator Center [\[4\],](#page-8-1) the Belle detector in Japan [\[5\]](#page-8-2), and the CLEO detector at Cornell University [\[6\],](#page-8-3) science is shown a new window into the Standard Model and beyond. For example, such precise information on these transition form factors can be used to provide increasingly tight constraints on the Cabbibo-Kobayashi-Maskawa (CKM) matrix elements $|V_{cd}|$, $|V_{cs}|$ [\[7\]](#page-8-4).

Another perspective is also offered. Namely, given that $D_{(s)}$ semileptonic decays proceed from a heavy + light

[*](#page-0-2) binosi@ectstar.eu [†](#page-0-2) cdroberts@nju.edu.cn meson in the initial state to a pseudo-Nambu-Goldstone mode in the final state, any sound theoretical analysis of these processes will provide fresh ways of revealing the interplay between explicit (Higgs-related) mass generation and emergent hadronic mass (EHM) in the Standard Model. Insights here have the potential to expose facets of confinement dynamics.

It is for reasons such as those described above, viz., their relevance to a diverse range of topical problems in physics, that the semileptonic decays of $D(s)$ mesons have long been the subject of theoretical interest. For instance, numerous phenomenological analyses have been completed, most recently Refs. [8–[10\]](#page-8-5).

The $D \to (\pi, K)$ transition form factors have also been computed using lattice-regularized QCD (lQCD) [\[11\]](#page-8-6). Notably, it is still necessary for lattice analyses to correct for discretization-induced symmetry violations and employ extrapolations in order to reach physical light-quark current masses [\[12\]](#page-8-7). Thus, comparison with results obtained using continuum Schwinger function methods (CSMs) can be valuable in both validating the lQCD results and enabling their insightful interpretation.

Herein, motivated by such considerations, we use the leading-order truncation of those equations required to complete a symmetry-preserving formulation of the

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continuum bound-state equations and deliver predictions for $D \to (\pi, K) \ell \nu_{\ell}, D_s \to K \ell \nu_{\ell}$ transition form factors. In addition to complementing available lQCD computations, our results should (a) prove useful in constraining $|V_{cd}|$, $|V_{cs}|$ and (b) expose the response of meson structure to the transition between the heavy-quark domain, within which the Higgs mechanism dominates quark mass generation and the light-quark sector, wherein EHM largely defines the characteristics of pseudoscalar mesons. To ensure our study's reliability, we use existing continuum calculations of π - and K-meson leptonic and semileptonic decays as benchmarks [\[13,14\]](#page-8-8). Namely, current-quark masses are varied smoothly from those associated with π and K initial states up to those characterizing $D_{(s)}$ mesons. In doing so, we complete a unified description of the leptonic and semileptonic decays of the following systems: π , K, D, D_s.

The paper is arranged as follows. Section [II](#page-1-0) describes the necessary transition matrix elements and our approximations to them. The computational framework and associated algorithms are explained in Sec. [III,](#page-2-0) augmented by a collection of detailed appendixes; and the results, their interpretation, and the insights they provide are canvassed in Sec. [IV.](#page-3-0) Section [V](#page-6-0) presents a summary and perspective.

II. TRANSITION FORM FACTORS

A. Observations on kinematics

We consider the following matrix elements:

$$
{}_{d}M_{\mu}^{D_s^+}(P,Q) = \langle K^0(p)|\bar{d}i\gamma_{\mu}c|D_s^+(k)\rangle
$$

= $P_{\mu}f_{+}^{D_s^d}(t) + Q_{\mu}f_{-}^{D_s^d}(t),$ (1a)

$$
{}_{d}M_{\mu}^{D^{0}}(P,Q) = \langle \pi^{-}(p)|\bar{d}i\gamma_{\mu}c|D^{0}(k)\rangle
$$

=
$$
[P_{\mu}f_{+}^{D_{\mu}^{d}}(t) + Q_{\mu}f_{-}^{D_{\mu}^{d}}(t)],
$$
 (1b)

$$
{}_{s}M_{\mu}^{D^{+}}(P,Q) = \langle \bar{K}^{0}(p)|\bar{s}i\gamma_{\mu}c|D^{+}(k)\rangle
$$

= $P_{\mu}f_{+}^{D_{d}^{s}}(t) + Q_{\mu}f_{-}^{D_{d}^{s}}(t),$ (1c)

$$
{}_{s}M_{\mu}^{D^{0}}(P,Q) = \langle K^{-}(p)|\bar{s}i\gamma_{\mu}c|D^{0}(k)\rangle
$$

= $P_{\mu}f_{+}^{D_{d}^{s}}(t) + Q_{\mu}f_{-}^{D_{d}^{s}}(t),$ (1d)

where the last line is true so long as isospin symmetry is assumed; $P = k + p$, $Q = p - k$, with $k^2 = -m_{D(s)}^2$ and $n^2 = -m^2$ depending on the initial and final state; and $p^2 = -m_{K,\pi}^2$, depending on the initial and final state; and the squared momentum transfer is $t = Q^2$ ¹ the squared momentum transfer is $t = -Q^2$.
Naturally the masses of the hadrons involved

Naturally, the masses of the hadrons involved limit the physically accessible range of the transition form factors:

$$
P \cdot Q = -(m_{D_{(s)}}^2 - m_{K,\pi}^2) =: -\Delta_{D_{(s)}(K,\pi)},
$$
\n(2a)

$$
P^2 = -2(m_{D_{(s)}}^2 + m_{K,\pi}^2) - Q^2 = -2\Sigma_{D_{(s)}(K,\pi)} - Q^2; \quad (2b)
$$

and $t_m^{D(s)(K,\pi)} = (m_{D(s)} - m_{K,\pi})^2 =: m_{D(s)}^2 y_m^{D(s)(K,\pi)}$ is the largest
value of the squared momentum transfer in the identified value of the squared momentum transfer in the identified physical decay process.

It is worth remarking that in the $SU(4)$ -flavor symmetry limit, $f_{+}^{D}(t)$ is the same as the elastic form factor for a charged pionlike meson constituted from a valence quark charged pionlike meson constituted from a valence quark and antiquark with equal current masses [\[15\]](#page-8-9). Moreover, $f_{\perp}^{D}(t) \equiv 0$. Hence, $f_{\perp}^{D}(t)$ should be a useful measure of SU(4)-flavor breaking. Similarly, $f_{+}^{D_s^d}/f_{+}^{D_d^s}$ and $f_{+}^{D_s^d}/f_{+}^{D_d^d}$ serve as gauges of SU(3)-flavor symmetry breaking. These features are correlated with the scalar form factor

$$
f_0^D(t) = f_+^D(t) + \frac{t}{m_{D_{(s)}}^2 - m_{K,\pi}^2} f_-(t),
$$
 (3)

which measures the divergence of the transition current, $Q \cdot M^D(P, Q)$.

We note, too, that it is common to focus on the form factors $f_{+,0}(t)$ because each is separately characterized by a different resonance structure on $t \gtrsim t_m$: $f_+(t)$ connects with the vector meson $D_{(s)}^*$ and $f_0(t)$ with the analogous scalar
mesonance. In contract, $f_1(t)$ symbols with hoth channels resonance. In contrast, $f_-(t)$ overlaps with both channels. (These properties have been exemplified in studies of $K_{\ell3}$) transitions [\[13,14\]](#page-8-8).)

B. Transition amplitudes

We compute the matrix elements in Eqs. [\(1\)](#page-1-1) at leading order in a symmetry-preserving truncation scheme for the continuum bound-state equations [\[16,17\]](#page-8-10), i.e., the rainbowladder (RL) truncation. We focus on $D_s^+ \to K^0$, because the others are obvious by analogy:

$$
dM_{\mu}^{D_s^+}(P,Q) = N_c \text{tr} \int \frac{d^4 \mathfrak{g}}{(2\pi)^4} \Gamma_{D_s}(\mathfrak{g} + p/2; p) S_c(\mathfrak{g} + p)
$$

$$
\times i \Gamma_{\mu}^{cd}(\mathfrak{g} + p, \mathfrak{g} - k) S_d(\mathfrak{g} - k)
$$

$$
\times \Gamma_K(\mathfrak{g} - k/2; -k) S_s(\mathfrak{g}), \tag{4}
$$

where the trace is over spinor indices and $N_c = 3$.

There are three distinct types of matrix-valued functions in Eq. [\(4\).](#page-1-2) The simplest are the propagators for the dressed quarks involved in the transition process: $S_f(\hat{\mathbf{s}})$, $f = d$, s, c; then there are the Bethe-Salpeter amplitudes for the mesons involved: Γ_M , $M = D_s$, K; and, finally, the dressed vector piece of the $c \to d$ weak transition vertex: Γ_{μ}^{cd} . These functions are explained in Appendix. The scalar functions characterizing the transition are obtained from Eq. [\(4\)](#page-1-2) using straightforward projections:

¹In our Euclidean metric conventions, $\{\gamma_{\mu}, \gamma_{\nu}\} = 2\delta_{\mu\nu}; \gamma_{\mu}^{\dagger} = \gamma_{\mu};$
= $\gamma_{\nu}\gamma_{\nu}\gamma_{\nu}\gamma_{\nu}\gamma_{\nu}\gamma_{\nu} = -4\epsilon$ $\gamma_5 = \gamma_4 \gamma_1 \gamma_2 \gamma_3, \quad \text{tr}[\gamma_5 \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma] = -4 \epsilon_{\mu\nu\rho\sigma}; \quad \sigma_{\mu\nu} = (i/2) [\gamma_\mu, \gamma_\nu];$ $a \cdot b = \sum_{i=1}^{4} a_i b_i$; and Q_μ timelike $\Rightarrow Q^2 < 0$.

$$
f_{+}^{D_s^d}(t) = \frac{tP_\mu - (m_{D_s}^2 - m_K^2)Q_\mu}{tP^2 + (m_{D_s}^2 - m_K^2)^2} dM_\mu^{D_s^+}(P, Q), \quad (5a)
$$

$$
f_0^{D_s^d}(t) = -\frac{Q_\mu}{m_{D_s}^2 - m_K^2} dM_\mu^{D_s^+}(P, Q), \tag{5b}
$$

with $f_{-s}^{D_s^d}(t)$ reconstructed via Eq. [\(3\)](#page-1-3).

III. COMPUTATIONAL METHOD AND RESULTS

Predictions for the transition form factors can now be obtained by combining the quark propagators, Bethe-Salpeter amplitudes, and transition vertex, computed as described in the Appendix, to form the integrand in Eq. [\(4\)](#page-1-2), computing the integral as a function of t , and projecting the results according to Eq. [\(5\).](#page-7-0) These steps can be completed in a straightforward manner so long as the difference between the current masses of the quarks involved is not too large, e.g., in the case of $K_{\ell3}$ transitions [\[13\].](#page-8-8) (We verified this explicitly by repeating the analysis in Ref. [\[13\],](#page-8-8) obtaining consistent results for all calculated quantities.) However, for both the K and π final states, owing to the analytic structure of the dressed-quark propagators and associated moving singularities in the complex- $\hat{\mathcal{B}}^2$ domain sampled by the bound-state equations [\[18,19\]](#page-8-11), the direct approach fails when the current mass of the heavier quark in the initial state exceeds 2.7 times that of the s-quark.

Reference [\[20\]](#page-8-12) solved an analogous issue with pseudoscalar meson elastic electromagnetic form factors by using perturbation theory integral representations (PTIRs) [\[21\]](#page-8-13) for each matrix-valued function in the integrand defining the associated matrix element, thereby enabling a reliable computation of the form factor to arbitrarily large Q^2 . However, constructing accurate PTIRs is time consuming, particularly so here because the complete set of integrands involves 46 distinct scalar functions, for each of which one would need to build a PTIR.

We therefore adopted a different approach. Namely, we considered a fictitious pseudoscalar meson $P = D_{Oq}$, and computed its mass m_P and leptonic decay constant f_P as a function of \hat{m}_O , the current mass of the quark partnering with the light quark q in the initial state, up to a value $\hat{m}_Q = 2.7 \hat{m}_s$. [Here \hat{m}_f is the renormalization point invariant current mass for the f-quark. Light quark values are listed in Eq. [\(A9\)](#page-7-1).] Then, using the Schlessinger point method (SPM) [\[22,23\]](#page-8-14), strengthened by the statistical sampling technique introduced in Refs. [24–[26\],](#page-8-15) we built interpolations: $m_P(\hat{m}_O)$, $f_P(\hat{m}_O)$.

In explanation, the SPM is based on interpolation via continued fractions augmented by statistical sampling and avoids any assumptions on the form of function used for the representation of input and subsequent extrapolation. It can accurately reconstruct a function in the complex plane within a radius of convergence specified by one of the function's branch points which lies nearest to the real domain from which the sample points are drawn. Additionally, owing to the procedure's discrete nature and our statistical implementation, the reconstruction can also provide a reasonable continuation on a larger domain along with an estimate of the associated error.

Although details are provided elsewhere [\[24](#page-8-15)–28], it is nonetheless worth explaining the nature of the SPM uncertainty estimates herein. We first compute the value of any given quantity, $Q(\hat{m}_Q)$, at $N = 40$ different values of current-quark mass distributed evenly on $\hat{m}_O < 2.7 \hat{m}_s$. $M = 20$ current-mass values are then chosen at random from that 40-element set, and a continued fraction interpolation is developed for $\mathcal{Q}(\hat{m}_o)$ on this 20-element subset. A very large number of interpolating functions, n_I , is subsequently obtained by scanning through the $C(N, M)$ combinatorial possibilities for the M element subset, eliminating those functions that fail to satisfy certain physical constraints. We insist that each interpolation be free of singularities on the domain of required current-quark masses. For all quantities considered, this constraint yields $n_I \approx$ 100 000 acceptable interpolations. Our prediction for Q is then obtained by extrapolating each one of the associated n_I physical SPM interpolants to the required current mass and quoting as the result that value which lies at the center of the band within which 68% of the interpolants lie. This 1σ band is identified as the uncertainty in the result.

Capitalizing on the strength of the statistical SPM, \hat{m}_c could be determined by extrapolating the interpolating function, $m_P(\hat{m}_O)$, and locating that value of the argument for which the projected meson mass matches the empirical value of the $D(s)$ meson. This exercise yielded

$$
\hat{m}_c = 1.98(13) \text{ GeV};\tag{6}
$$

hence, $\hat{m}_c/\hat{m}_s = 12.2(8)$ and one-loop evolved to $\zeta_2 =$ 2 GeV, $m_c^{\zeta_2} = 1.37(9)$ GeV. These values are commensu-
rate with those determined by other means [7] rate with those determined by other means [\[7\]](#page-8-4).

To check consistency, we evaluated $f_P(\hat{m}_c)$ and compared with experiment. The results are listed in Table [I](#page-3-1). Evidently, the SPM delivers sound results for the masses and decay constants. Confidence in the procedure is increased by noting that all values are consistent with those determined in Ref. [\[25\]](#page-8-16) by extrapolating in the other direction, viz., from heavy to light current masses.

Having determined the c-quark current mass and validated the SPM in connection with static properties of $D_{(s)}$ mesons, we computed the \hat{m}_Q -dependence of $f^P_{+,0}(t)$ via
direct calculation up to $\hat{m}_Q = 2.7\hat{m}$ and subsequently direct calculation up to $\hat{m}_Q = 2.7\hat{m}_s$ and subsequently constructed SPM interpolations of $f_{+,0}^p(t; \hat{m}_Q)$, writing

$$
f_{+}^{P}(t; \hat{m}_Q) = \alpha_1(\hat{m}_Q) + \frac{t\alpha_2(\hat{m}_Q)}{1 - t/m_V^2},
$$
\n(7a)

$$
f_0^P(t; \hat{m}_Q) = \alpha_1(\hat{m}_Q) + t\beta_2(\hat{m}_Q) + \frac{t^2\beta_3(\hat{m}_Q)}{1 - t/m_S^2}.
$$
 (7b)

TABLE I. Computed values for static properties of mesons involved in the transitions studied herein, compared with empirical values [\[7\]](#page-8-4). Current-quark masses are given in Eqs. [\(6\)](#page-2-2) and [\(A9\).](#page-7-1) The results in row 1 were obtained by direct computation. The SPM was used to compute the values in rows 3 and 5. In these cases, as detailed in Sec. [III,](#page-2-0) the uncertainty in our prediction expresses a 1σ confidence level on the SPM extrapolation, i.e., 68% of all SPM approximants give values that lie within the indicated range. (All quantities in GeV.)

| | m_π | f_π | m_K | f_K |
|-------------|-----------|-------------|--------------------|--------------------|
| Herein | 0.135 | 0.093 | 0.494 | 0.108 |
| Expt. [7] | | 0.092 | 0.494 | 0.110 |
| | m_D | f_D | m_{D_s} | f_{D_s} |
| Herein | 1.88(8) | 0.150(5) | 1.97(4) | 0.188(8) |
| Expt. [7] | 1.87 | 0.153(7) | 1.97 | 0.177(3) |
| | m_{D^*} | $m_{D_s^*}$ | $m_{S_{c\bar{d}}}$ | $m_{S_{c\bar{s}}}$ |
| Herein | 2.11(5) | 2.15(4) | 2.12(3) | 2.25(4) |
| Expt. $[7]$ | 2.01 | 2.11 | 2.30(2) | 2.32 |
| | | | | |

These expressions capitalize on the fact that $f^P_+(0) = f^P_0(0)$,
For (3) and exploit the known singularity structure of the Eq. [\(3\),](#page-1-3) and exploit the known singularity structure of the weak vector transition vertex, so that $m_{V(S)}$ is the mass of the vector (scalar) state correlated with P . These masses were calculated using Eq. [\(A11\)](#page-8-17) in tandem with the SPM, and the results are listed in Table [I.](#page-3-1) The mean absolute relative error is 5(3)%.

The coefficients $\alpha_{1,2}$, $\beta_{2,3}$ in Eq. [\(7\)](#page-2-1) evolve with increasing \hat{m}_0 . We analyzed that behavior using the SPM and obtained the coefficients in Table [II](#page-3-2). Using these values, the formulas in Eqs. [\(7\)](#page-2-1) deliver predictions for the $D_s \rightarrow K$ transition form factors. Repeating the procedure, one also obtains the form factors describing $D \to \pi$, $D \to K$ transitions.

IV. COMPARISONS AND INSIGHTS

A. Form factors

Data are now available for $D_{(s)}$ semileptonic transition form factors $[1,2]$, and in Table \overline{III} \overline{III} \overline{III} and Fig. [1](#page-3-4) we compare our predictions for $f_{+0}(t=0)$ with experiment and

TABLE II. Used in Eqs. [\(7\),](#page-2-1) these coefficients define predictions for all independent $D(s)$ semileptonic transition form factors. As explained in Sec. [III](#page-2-0), the listed uncertainty on any one of these coefficients expresses a 1σ confidence level on the associated SPM extrapolation.

| | α_1 | α_{2} | β_2/GeV | β_3/GeV^2 |
|---------------------------|------------|--------------|---------------|------------------------|
| $D_{\rm c} \rightarrow K$ | 0.673(40) | 0.315(45) | 0.163(27) | 0.034(11) |
| $D \to \pi$ | 0.618(31) | 0.233(26) | 0.129(18) | 0.022(06) |
| $D \rightarrow K$ | 0.756(36) | 0.221(22) | 0.136(08) | 0.028(04) |

TABLE III. Maximum recoil ($t = 0$) value of $D_{(s)}$ semileptonic transition form factors compared with inferences from experiment [\[1,2\]](#page-8-0) and lQCD [\[11\]](#page-8-6) (where available). In each case, we also list our predictions for $f_-(t=0)$. The listed uncertainty in each of our results reflects the 1σ confidence level for the associated SPM extrapolation. (See Sec. [III](#page-2-0).)

| $f_+^{P_1\to P_2}(0)$ | Herein | Expt. | lOCD | $-f_{-}^{P_1\to P_2}(0)$ |
|-----------------------|-----------|-----------|-------------|--------------------------|
| $D_{s} \rightarrow K$ | 0.673(40) | 0.720(85) | | 0.553(65) |
| $D \to \pi$ | 0.618(31) | 0.637(09) | 0.612(35) | 0.362(28) |
| $D \rightarrow K$ | 0.756(36) | 0.737(04) | 0.765(31) | 0.277(45) |

available lQCD results. No parameters were varied in order to obtain our results, and the agreement with both experiment and lQCD is good. This is particularly important for $f_+^{D_s^d}(0)$ because no lQCD results are yet available and our result confirms the only available experiment [2] result confirms the only available experiment [\[2\]](#page-8-18).

We draw our predictions for the $D_s \to K$ semileptonic transition form factors in Fig. [2.](#page-4-0) Apart from the $t = 0$ datum in Table [III](#page-3-3) [\[2\],](#page-8-18) there are neither empirical data nor lQCD results for any of these three form factors.

Our calculated $D \to \pi$ semileptonic transition form factors are plotted in Fig. [3.](#page-4-1) Referring to the middle panel, our result for $f_+^{D_u^d}(t)$ agrees with existing experiment [\[1\]](#page-8-0).
On the other hand, the IOCD points lie systematically On the other hand, the lQCD points lie systematically below our curves. Turning to the bottom panel, we note that no data are available for $f_0^{D_u^d}(t)$, and here, too, the IQCD points lie systematically below our results.

We plot our calculated $D \rightarrow K$ semileptonic transition form factors in Fig. [4](#page-5-0). Note that $f_+^{D_d^s}(t)$ (middle panel) agrees fairly well with experiment [\[1\];](#page-8-0) albeit comparison with the simple least-squares fit to data indicates that it may be a little too large at small t . The lQCD points typically lie at the lower edge of our range for $f_+^{D_a^s}(t)$. Regarding $f_0^{D_a^s}(t)$ (bottom panel), again the IQCD points typically lie below our result.

FIG. 1. Pictorial representation of Table [III;](#page-3-3) namely, maximum recoil ($t = 0$) value of $D_{(s)}$ semileptonic transition form factors computed herein (blue circles) compared with inferences from experiment (cyan squares) [\[1,2\]](#page-8-0) and lQCD (purple diamonds) [\[11\]](#page-8-6) (where the latter are available).

FIG. 2. $D_s \rightarrow K$ semileptonic transition form factors, defined by Eqs. [\(3\)](#page-1-3) and [\(7\)](#page-2-1) with the associated coefficients listed in Table [II](#page-3-2). Legend: $f_+^{D_s^d}$, solid blue curve; $f_0^{D_s^d}$, dashed green curve; and $f_{\perp}^{D_s^d}$, dot-dashed red curve. The shaded band around each of these curves indicates the 1σ confidence level for the associated SPM extrapolation. (See Sec. [III](#page-2-0).) Empirical datum-cyan square [\[2\].](#page-8-18)

B. Branching fractions

With computed transition form factors and available experimental data, one can place constraints on the CKM matrix elements $|V_{cd}|$ and $|V_{cs}|$. Here, the $D_s \rightarrow K$ transition is most interesting because the experimental uncertainty is largest, lQCD results for $f_{+,0,-}^{D_x^d}(t)$ are not yet
evailable and we have prodictions for these form factors available, and we have predictions for these form factors.

The partial width for the $D_s^+ \rightarrow K^0 e^+ \nu_e$ transition is given by [\[29\]](#page-8-19)

$$
\Gamma_{D_s K} = |V_{cd}|^2 \frac{G_F^2 m_{D_s}^2}{24\pi^3} \times \int_0^{y_m^{D_s K}} dy [f_+^{D_s^d}(ym_{D_s}^2)]^2 k_{D_s K}^3(y), \tag{8a}
$$

$$
k_{D_s K}^2(t) = (m_{D_s}^2(1 - y) + m_K^2)^2 / [4m_{D_s}^2] - m_K^2,
$$
 (8b)

with $G_F = 1.166 \times 10^{-5}$ GeV⁻². Using our result for $f_+^{D_s^d}(t)$, the associated branching fraction is

$$
\mathcal{B}_{D_s^+ \to K^0 e^+ \nu_e} = (0.264(13)|V_{cd}|)^2. \tag{9}
$$

Combining Eq. [\(9\)](#page-4-2) with the branching fraction reported in Ref. [\[2\]](#page-8-18), $3.25(38) \times 10^{-3}$, one finds

$$
|V_{cd}| = 0.216(17), \t(10)
$$

a result that is consistent with the average in Ref. [\[7\]](#page-8-4): $|V_{cd}| = 0.218(4)$. Alternatively, using this average value, Eqs. [\(8\)](#page-4-3) yield

$$
\mathcal{B}_{D_s^+ \to K^0 e^+ \nu_e} = 3.31(33) \times 10^{-3}.
$$
 (11)

FIG. 3. $D \rightarrow \pi$ semileptonic transition form factors, defined by Eqs. [\(3\)](#page-1-3) and [\(7\)](#page-2-1) with the associated coefficients listed in Table [II.](#page-3-2) Legend: $f_{+}^{D_u^d}$, solid blue curve; $f_0^{D_u^d}$, dashed green curve; and $f_{-}^{D_u^d}$, dat dashed red curve. The shaded band around each of these dot-dashed red curve. The shaded band around each of these curves indicates the 1σ confidence level for the associated SPM extrapolation. (See Sec. [III](#page-2-0).) Empirical data-cyan squares [\[1\];](#page-8-0) and lQCD results, purple diamonds [\[11\]](#page-8-6).

To obtain something new from $D_s \to K$ transitions, the precision of both experiment and theory must improve.

We collect our results for branching fractions in Table [IV.](#page-5-1) Analogies of Eq. [\(8\)](#page-4-3) can be used for $D^0 \rightarrow (\pi, K)$ transitions, and with our result for $f_{+}^{D_u^d}(t)$,

$$
\mathcal{B}_{D^0 \to \pi^- e^+ \nu_e} = (0.240(10)|V_{cd}|)^2. \tag{12}
$$

Combining Eq. [\(12\)](#page-4-4) with the branching fraction reported in Ref. [\[1\]](#page-8-0), $2.95(05) \times 10^{-3}$, one finds

FIG. 4. $D \rightarrow K$ semileptonic transition form factors, defined by Eqs. [\(3\)](#page-1-3) and [\(7\)](#page-2-1) with the associated coefficients listed in Table [II.](#page-3-2) Legend: $f_+^{D_d^s}$, solid blue curve; $f_0^{D_d^s}$, dashed green curve; and $f_-^{D_d^s}$, dat dechod rod grupped and ground each of these dot-dashed red curve. The shaded band around each of these curves indicates the 1σ confidence level for the associated SPM extrapolation. (See Sec. [III](#page-2-0).) Empirical data-cyan squares [\[1\];](#page-8-0) and lQCD results, purple diamonds [\[11\]](#page-8-6). Long-dashed purple curve: least-squares fit to data $f_+^{\text{fit}}(t) = (0.70 + 0.27t)/(1 - 0.089t)$.

$$
|V_{cd}| = 0.227(10),\t(13)
$$

consistent with Eq. [\(10\).](#page-4-5) On the other hand, with $|V_{cd}| = 0.218(4),$

$$
\mathcal{B}_{D^0 \to \pi^- e^+ \nu_e} = 2.73(22) \times 10^{-3}.
$$
 (14)

An average of Eqs. [\(10\)](#page-4-5) and [\(13\)](#page-4-6) yields $|V_{cd}| =$ $0.221(9)$.

Considering $D^0 \to K^- e^+ \nu_e$, our result for $f_+^{D_a^s}(t)$ produces

TABLE IV. Computed branching fractions (row 1) compared with empirical results (row 2) drawn from Refs. [\[1,2\]:](#page-8-0) in these rows, each entry should be multiplied by 10[−]³ . Row 3, value of $|V_{c(d,s)}|$ required to reproduce row 2 using our results for $f_+^{D(s)(\pi,K)}$.
Poference [1] lists $|V_-| = 0.216(10)$ $|V_-| = 0.060(25)$ and Reference [\[1\]](#page-8-0) lists $|V_{cd}| = 0.216(10)$, $|V_{cs}| = 0.960(25)$, and Ref. [\[7\]](#page-8-4) has $|V_{cd}| = 0.218(04)$, $|V_{cs}| = 0.997(17)$.

| | $\mathcal{B}_{D_s^+\to K^0e^+\nu_e}$ | $\mathcal{B}_{D^0\rightarrow \pi^-e^+\nu_e}$ | $\mathcal{B}_{D^0\to K^-e^+\nu_e}$ |
|---------------|--------------------------------------|--|------------------------------------|
| Herein | 3.31(33) | 2.73(22) | 38.34(2.82) |
| Expt. $[1,2]$ | 3.25(38) | 2.95(05) | 35.05(0.36) |
| Herein | 0.216(17) | 0.227(10) | 0.953(34) |

$$
\mathcal{B}_{D^0 \to K^- e^+ \nu_e} = (0.196(7)|V_{cs}|)^2; \tag{15}
$$

hence, with $|V_{cs}| = 0.997(17)$ [\[7\]](#page-8-4) one obtains

$$
\mathcal{B}_{D^0 \to K^- e^+ \nu_e} = 3.83(28) \times 10^{-2}.
$$
 (16)

This may be compared with the empirical value reported in Ref. [\[1\]](#page-8-0): $\mathcal{B}_{D^0 \to K^-e^+\nu_e} = 3.505(36) \times 10^{-2}$. Agreement with this fraction would require

$$
|V_{cs}| = 0.953(34). \t(17)
$$

These comparisons suggest that our result for $f_{+}^{D_a^s}(t)$ may
marginally too large on $t \approx 0$. To explore this possibility be *marginally* too large on $t \approx 0$. To explore this possibility, we repeated the analysis using the simple fit to experimental data depicted as the dashed purple curve in Fig. [4](#page-5-0) (middle panel), retaining the uncertainty of our calculated result, and obtained

$$
\mathcal{B}_{D^0 \to K^- e^+ \nu_e} = (0.194(7)|V_{cs}|)^2, \tag{18a}
$$

$$
|V_{cs}| = 0.997(17)3.73(27) \times 10^{-2}, \tag{18b}
$$

$$
\text{or } |V_{cs}|^{B_{D^0 \to K^{-\text{in Ref. [1]}}}} 0.966(35). \tag{18c}
$$

Evidently, this replacement achieves no material improvement, but the test does confirm consistency of our results with the analysis in Ref. [\[1\].](#page-8-0)

C. Flavor Symmetry Breaking

Predictions for the collection of $D(s)$ semileptonic transition form factors also enable examination of the interplay between EHM and Higgs-related mass generation in QCD's matter sector. For example, given that $m_{D_s} \approx m_D$, then windows on SU(3)-flavor symmetry breaking are provided by the ratio of associated leptonic decay constants and aspects of $D(s) \to K$ transitions.² With this in mind, consider Table [I:](#page-3-1)

²An early attempt to understand flavor-symmetry breaking in pseudoscalar meson decay constants is described in Ref. [\[30\]](#page-8-20).

$$
\frac{f_{D_s}}{f_D} = 1.25(7) \approx \frac{f_K}{f_\pi} = 1.16(1.20_{\text{expt.}}); \tag{19}
$$

and Table [III](#page-3-3):

$$
\frac{f_{+}^{D_a^s}(0)}{f_{+}^{D_a^d}(0)} = 1.12(09) \approx \frac{f_K}{f_\pi}.
$$
\n(20)

The ratio in Eq. [\(20\)](#page-6-1) simultaneously compares (i) dynamical corrections to the $c \rightarrow s$ and $c \rightarrow d$ vertices and (ii) processes with different interaction spectators: \bar{s} -quark cf. \bar{u} . A simpler quantity is

$$
\frac{f_{+}^{D_s^d}(0)}{f_{+}^{D_u^d}(0)} = 1.09(08),\tag{21}
$$

where the result follows from Table [III](#page-3-3). For this ratio, the transition vertices involved are identical; only the spectators are different. Plainly, there is little flavor sensitivity at $t = 0$; but as revealed by Fig. [5,](#page-6-2) the ratio increases as t ranges over the physical domain, undermining quantitative accuracy of the "U-spin symmetry" hypothesis in $D_{(s)}$ decays [\[31\].](#page-8-21) It is likely to work better for heavy $+$ light pseudoscalars containing a b-quark [\[32\].](#page-8-22)

Plainly, the scale of SU(3)-flavor symmetry breaking is commensurate in all these systems. Looking further, one finds that the ratios in Eqs. [\(19\)](#page-5-2) and [\(20\)](#page-6-1) are also similar in size to the skewing of the kaon's leading-twist parton distribution amplitude (PDA) with respect to the asymptotic PDA profile [\[33\]](#page-8-23) and analogous distortions of the kaon's valence u - and \bar{s} -quark distribution functions [\[34\]](#page-8-24). All these ratios are much smaller than

$$
\hat{m}_s / \hat{m}_d \approx 25. \tag{22}
$$

FIG. 5. Computed t-dependence of the ratio in Eq. [\(21\)](#page-6-3): the "U-spin symmetry" hypothesis becomes quantitatively unreliable as t increases away from the maximum recoil point. The shaded band around the curve indicates the 1σ confidence level derived from the associated SPM extrapolations. (See Sec. [III](#page-2-0).)

This fact and the confluence of results highlighted above emphasizes again that the observable magnitude of SU(3)-flavor symmetry breaking in hadron properties is determined by EHM, which is directly expressed in the infrared value for the ratio of s - and d -quark mass functions [\[18,33,34\]:](#page-8-11)

$$
M_s^E/M_d^E = 1.25(9),\t(23)
$$

where the Euclidean constituent-quark mass M_f^E is a nonperturbative analogue of the so-called pole mass [\[7\].](#page-8-4)

V. SUMMARY AND PERSPECTIVE

We studied the leptonic and semileptonic decays of $D_{(s)}$ mesons using a well-constrained symmetry-preserving continuum treatment of the meson bound-state problem in quantum field theory, thereby unifying the treatment of these features of such systems with analogous properties of π and K mesons.

Our predictions for the $D_{(s)}$ transition form factors agree with available experimental data (Sec. [IVA\)](#page-3-5). On the other hand, results obtained using lattice-regularized QCD typically lie below our results. Additionally, our computed form factors deliver values for the $D_{(s)} \to (K,\pi)e^+\nu_e$ branching fractions which match those measured experimentally (Sec. [IV B\)](#page-4-7). Subsequently, having calculated all $D(s)$ transition form factors, we analyzed the character of SU(3)-flavor symmetry breaking, finding that, as in the π – K sector, the observable magnitude of this effect is determined by the scales associated with emergent mass generation, not those originating with the Higgs mechanism (Sec. [IV C](#page-5-3)).

With the validity of our framework and computational algorithms supported by the results described herein, it is natural to extend this analysis to semileptonic $D(s) \to V$ decays, where V is a light-quark vector meson, and also to the leptonic and semileptonic decays of B , B_s , B_c mesons. Such efforts are underway. Kindred decays of baryons could also be treated on an equal footing using the Poincaré covariant Faddeev equation [35–[37\]](#page-8-25) and the same symmetry-preserving truncations.

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APPENDIX: ELEMENTS CONSTITUTING THE TRANSITION AMPLITUDE

1. Dressed-quark propagators

In RL truncation, the gap equation for the dressed propagator of a quark with bare mass $m_f^{bm}(\Lambda)$ takes the form form

$$
S^{-1}(k) = [i\gamma \cdot k + M_f(k^2)]/Z_f(k^2)
$$
 (A1a)

$$
=Z_2(i\gamma\cdot k+m_f^{bm})+\Sigma_f(k),\tag{A1b}
$$

$$
\Sigma_f(k) = \int_{d\mathfrak{s}}^{\Lambda} \mathcal{G}_{\mu\nu}(k-\mathfrak{s}) \frac{\lambda^a}{2} \gamma_{\mu} S_f(\mathfrak{s}) \frac{\lambda^a}{2} \gamma_{\nu}, \quad \text{(A1c)}
$$

where Z_2 is the quark wave-function renormalization constant, with ζ the renormalization point; and $\int_{d\beta}^{\Lambda}$ represents a Poincaré invariant regularization of the four-dimensional Euclidean integral, with Λ the regularization mass scale. (A Pauli-Villars-like scheme is usually adequate [\[38\]](#page-9-0), and renormalization is performed in the chiral limit so that Z_2 is flavor independent [\[39\].](#page-9-1)) Following Ref. [\[18\]](#page-8-11), we choose $\zeta = 19$ GeV = ζ_{19} : physical quantities do not depend on the value of ζ .

In Eq. [\(4\),](#page-1-2) \mathcal{G}_{uv} is the quark-quark interaction appropriate for RL truncation, which is explained in Refs. [\[40,41\]:](#page-9-2)

$$
\mathcal{G}_{\mu\nu}(k) = \tilde{\mathcal{G}}(k^2) T_{\mu\nu}(k), \tag{A2}
$$

with $k^2T_{\mu\nu}(k) = k^2\delta_{\mu\nu} - k_{\mu}k_{\nu}$ and $(u = k^2)$,

$$
\frac{1}{Z_2^2}\tilde{\mathcal{G}}(u) = \frac{8\pi^2 D}{\omega^4} e^{-u/\omega^2} + \frac{8\pi^2 \gamma_m \mathcal{F}(u)}{\ln[\tau + (1 + u/\Lambda_{QCD}^2)^2]},\tag{A3}
$$

where $\gamma_m = 4/\beta_0$, $\beta_0 = 11 - (2/3)n_f$, $n_f = 4$,
 $\Delta = 0.234 \text{ GeV}$, $\tau = 2^2 - 1$, (ln a - 1), and $\mathcal{F}(\mu)$ $\Lambda_{\text{QCD}} = 0.234 \text{ GeV}, \tau = e^2 - 1 \text{ (ln } e = 1), \text{ and } \mathcal{F}(u) =$ $\{1 - \exp(-u/[4m_i^2])\}/u, m_t = 0.5$ GeV. The evolution of Eqs. (A2) and (A3) is reviewed in Ref. [40] and their $t_1 = \exp(-u/[4m_t])/u$, $m_t = 0.5$ GeV. The evolution of Eqs. [\(A2\)](#page-7-2) and [\(A3\)](#page-7-3) is reviewed in Ref. [\[40\],](#page-9-2) and their relation to QCD is elaborated in Ref. [\[42\]](#page-9-3). Here we note only that the interaction is (a) deliberately consistent with that obtained in studies of QCD's gauge sector and (b) preserves QCD's one-loop renormalization group behavior.

Experience has shown $[15,36,37,40-44]$ $[15,36,37,40-44]$ that Eq. $(A3)$ is a one-parameter Ansatz because observable properties of light-quark ground-state vector- and flavor-nonsinglet pseudoscalar mesons are practically insensitive to variations of $\omega \in [0.4, 0.6]$ GeV so long as

$$
\zeta^3 := D\omega = \text{constant.} \tag{A4}
$$

The value of ς is usually chosen to reproduce the measured value of the pion's leptonic decay constant, f_{π} . In RL truncation this requires

$$
\zeta = 0.80 \text{ GeV.} \tag{A5}
$$

We employ $\omega = 0.5$ GeV, the midpoint of the domain of insensitivity.

2. Bethe-Salpeter amplitudes

The RL Bethe-Salpeter equation for a pseudoscalar meson P constituted from a valence f-quark and a valence g-antiquark is

$$
\Gamma_P^{f\bar{g}}(k;Q) = \int \frac{d^4 \mathfrak{g}}{(2\pi)^4} \mathcal{G}_{\mu\nu}(k-\mathfrak{g})
$$

$$
\times \frac{\lambda^a}{2} i\gamma_\mu S_f(\mathfrak{g}_+) \Gamma_P^{f\bar{g}}(\mathfrak{g};Q) S_g(\mathfrak{g}_-) \frac{\lambda^a}{2} i\gamma_\nu, \quad (A6)
$$

where $\hat{\mathbf{s}}_+ = \hat{\mathbf{s}} + \eta Q$, $\hat{\mathbf{s}}_- = \hat{\mathbf{s}} - (1 - \eta)Q$ and the quark propagators must be computed using Eq. [\(A1\)](#page-7-4). The solution has the form $(\bar{k} = [k_+ + k_-]/2)$

$$
\Gamma_P^{f\bar{g}}(k;Q) = i\gamma_5 [E_P^{f\bar{g}}(\bar{k};Q) + \gamma \cdot QF_P^{f\bar{g}}(\bar{k};Q) + \gamma \cdot \bar{k}G_P^{f\bar{g}}(\bar{k};Q) + \sigma_{\mu\nu}\bar{k}_{\mu}Q_{\nu}H_P^{f\bar{g}}(\bar{k};Q)].
$$
 (A7)

In a symmetry-preserving framework, no measurable quantity is sensitive to the value of $\eta \in [0, 1]$, i.e., to the definition of relative momentum within the bound state definition of relative momentum within the bound state [\[18\]](#page-8-11). The choice we make is convenient because it ensures that the scalar functions in Eq. [\(A7\)](#page-7-5) are even under $\bar{k} \cdot O \rightarrow -\bar{k} \cdot O.$

The leptonic decay constant for this pseudoscalar meson, f_P , is obtained from the following expression:

$$
f_P Q_\mu = Z_2 N_c \text{tr}_D \int_{dk}^{\Lambda} \gamma_5 \gamma_\mu \chi_P^{f\bar{g}}(k;Q), \qquad \text{(A8a)}
$$

$$
\chi_P^{f\bar{g}}(k;Q) = S_f(k_+) \Gamma_P^{f\bar{g}}(k;Q) S_g(k_-), \qquad (A8b)
$$

where the trace is over spinor indices. Naturally, the integral in Eq. [\(A8a\)](#page-7-6) must be defined in the same manner as that in Eq. [\(A1\),](#page-7-4) using the same renormalization point and regularization scale.

With the following choices for the renormalization group invariants (in GeV),

$$
\hat{m}_{u=d} = 0.0068, \qquad \hat{m}_s = 0.162, \tag{A9}
$$

which correspond to $m_{u}^{\zeta_{19}} = 0.0034 \,\text{GeV}, m_{s}^{\zeta_{19}} = 0.082 \,\text{GeV}$
and one-loop evolved values $(\zeta_{2} - 2 \,\text{GeV})$ and one-loop evolved values ($\zeta_2 = 2 \text{ GeV}$),

$$
m_u^{\zeta_2} = 0.0047, \qquad m_s^{\zeta_2} = 0.112, \qquad \text{(A10)}
$$

one obtains the masses and decay constants in Table [I](#page-3-1). These values of the light-quark current masses are commensurate with those obtained via other means [\[7\].](#page-8-4)

3. Weak vector transition vertex

The vector component of the $c \rightarrow d$ weak transition vertex is computed from the following inhomogeneous Bethe-Salpeter equation:

$$
\Gamma_{\rho}^{cd}(p,k) = Z_2 \gamma_{\rho} + \int_{d\mathfrak{s}}^{\Lambda} \mathcal{G}_{\mu\nu}(\mathfrak{s}) \frac{\lambda^a}{2} i \gamma_{\mu} S_c(\mathfrak{s} + p) \times \Gamma_{\rho}^{cd}(\mathfrak{s} + p, \mathfrak{s} - k) S_d(\mathfrak{s} - k) \frac{\lambda^a}{2} i \gamma_{\nu}.
$$
 (A11)

This vertex satisfies a Ward-Green-Takahashi identity [\[45](#page-9-4)–47]

$$
(p-k)_{\rho}i\Gamma_{\mu}^{cd}(p,k;\zeta) = S_{c}^{-1}(p;\zeta) - S_{d}^{-1}(k;\zeta)
$$

$$
- (m_{c}^{\zeta} - m_{d}^{\zeta})\Gamma_{I}^{cd}(p,k;\zeta), \quad \text{(A12)}
$$

where Γ_I^{cd} is an analogous Dirac-scalar vertex. (The axialvector piece of the weak transition vertex cannot contribute to a 0^- → 0^- transition in the Standard Model.) Here, we have made the renormalization scale explicit to mark the character of the current-quark masses.

When considering the electromagnetic current, $f \rightarrow f$, the solution of the analogous equation involves 11 independent terms, each with its own scalar coefficient function [\[48\]](#page-9-5): owing to the analogue of Eq. [\(A12\)](#page-8-26), three of these are determined by the dressed-quark propagator, leaving eight coupled equations to solve [\[49\].](#page-9-6)

In the present case, however, the active presence of Γ_I^{cd} in Eq. [\(A12\)](#page-8-26) entails that the vertex dynamics is not purely transverse; hence, the solution for Γ_ρ^{cd} involves 12 independent scalar functions to be obtained from associated, coupled integral equations. This task can readily be accomplished by separating the vertex into transverse and longitudinal components, choosing Dirac-matrix bases for both which are free of kinematic singularities [\[50\]](#page-9-7), and solving the resulting integral equations using now wellknown algorithms [\[18,51\]](#page-8-11).

It is worth reiterating that the transverse part of $\Gamma_{\rho}^{cd}(p, k)$
bibits a singularity when $(p - k)^2$ enters the neighborexhibits a singularity when $(p - k)^2$ enters the neighborhood of the mass of the D^* meson. The same is true for the longitudinal part in the neighborhood of the mass of the analogous scalar meson. In RL truncation, both singularities are simple poles.

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