

## How to include exclusive $J/\psi$ production data in global PDF analyses

C. A. Flett<sup>1,\*</sup>, S. P. Jones<sup>2</sup>, A. D. Martin<sup>3</sup>, M. G. Ryskin<sup>3,4</sup> and T. Teubner<sup>1</sup>

<sup>1</sup>*Department of Mathematical Sciences, University of Liverpool, Liverpool L69 3BX, United Kingdom*

<sup>2</sup>*Theoretical Physics Department, CERN, 1211 Geneva 23, Switzerland*

<sup>3</sup>*Institute for Particle Physics Phenomenology, Durham University, Durham DH1 3LE, United Kingdom*

<sup>4</sup>*Petersburg Nuclear Physics Institute, NRC Kurchatov Institute, Gatchina, St. Petersburg 188300, Russia*



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We compare the cross section for exclusive  $J/\psi$  photoproduction calculated at next-to-leading order (NLO) in the collinear factorization approach with HERA and LHCb data. Using the optimum scale formalism together with the subtraction of the low  $k_t$  contribution (below the input scale  $Q_0$ ) from the NLO coefficient function to avoid double counting, we show that the existing global parton distribution functions (PDFs) are consistent with the data within their uncertainties. This is the first time that  $J/\psi$  production data at HERA were successfully described within the NLO collinear factorization framework using the PDFs of the global parton analyses. However, at lower  $x$  the uncertainties of the present global PDFs are large. On the other hand, the accuracy of the LHCb data are rather good. Therefore, these data provide the possibility to directly measure the gluon PDF over the very large interval of  $x$ ,  $10^{-6} < x < 10^{-2}$ , at a fixed low scale.

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### I. INTRODUCTION

The parton distributions of the proton at next-to-leading order (NLO) are relatively well constrained at moderate to large  $x$  but plagued with large uncertainties at low  $x$ .<sup>1</sup> Nowadays, global analyses performed at next-to-next-to-leading order (NNLO) are regarded as the state of the art, yet the small  $x$  region remains largely unconstrained. In the present paper, we demonstrate how to bring the small  $x$  region under control at NLO. Our approach may be generalized and extended to NNLO as well.

We note that the present uncertainties on PDFs at very small  $x$  and also as  $x$  tends to one have a completely different nature. As  $x$  approaches unity, we have data and describe them using a reasonably justified ansatz for the input PDFs. On the contrary, at very low  $x$ , we have few data and the small  $x$  predictions of the current global fits are simply an extrapolation of these input distributions from larger  $x$ .

To be more specific, for  $x \gtrsim 10^{-3}$  the NLO (and similarly the NNLO) results of the different groups [1–3] agree with each other quite well. However, the uncertainty in the parton distributions strongly increases as we go to lower values of  $x$ , especially at low scales. This simply reflects the fact that no experimental data are used to directly probe this region.<sup>2</sup> Recall that here we consider the distributions at a rather low scale ( $\sim M_\psi^2/4$ ) where the parton densities are driven mainly by some phenomenological input [PDF( $x, Q_0^2$ )] and cannot be calculated within perturbative QCD. Here,  $Q_0$  is the PDF input scale. In particular, at such low scales, one may need to consider the effects of parton density saturation. They should reveal themselves as gluon behavior with  $xg$  constant as  $x \rightarrow 0$ .

<sup>2</sup>Besides its intrinsic value, there are at least two further reasons to be interested in the behavior of the gluon PDF at very small  $x$  and low scales  $\mu \sim 1.5$  GeV. First, recall that the distribution of gluons as  $x \rightarrow 0$  governs the high-energy asymptotics of the scattering amplitude. In particular, the gluon distribution at some relatively low scale can be used as the boundary condition for the Balitsky-Fadin-Kuraev-Lipatov (BFKL) equation. This boundary condition for BFKL is needed to account for the effects of confinement. As was shown in [4,5], such a boundary condition replaces the BFKL cut (in the complex momentum  $j$ -plane) by a series of Regge poles. At very low  $x$ , the boundary condition should indicate the presence of saturation effects that are needed to stop the power growth of the original BFKL amplitude. Another motivation for obtaining a reliable gluon PDF at small  $x$  is that it may be used to evaluate the production cross section of a possible new light particle at the LHC (if such a new particle exists) or to put a limit on the corresponding coupling.

\*c.a.flett@liverpool.ac.uk

<sup>1</sup>Global PDFs have also large uncertainties in the region  $x > 0.1$ , especially as  $x \rightarrow 1$ , caused, among other issues, by mass and higher-twist effects. However, this region is beyond our present interest since it gives a negligible contribution for exclusive  $J/\psi$  production at very high energies.

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On the other hand, the LHCb detector has the possibility of particle detection in the rapidity range  $2 < Y < 4.5$ . In particular, the collaboration has measured the differential cross sections for open charm [6] (and bottom [7]) quark pairs, and also for exclusive  $J/\psi$  (and  $\Upsilon$ ) vector mesons [8], which allow the determination of the low  $x$  gluon PDF for  $x \sim 10^{-5}$  or less at factorization scales  $\mu_F = \sqrt{m_q^2 + p_{T,q}^2}$  and  $\mu_F = m_q$ , respectively, where  $q = c, b$  and  $p_T$  is the transverse momentum of the quark.

The differential cross sections for open  $c\bar{c}$ ,  $b\bar{b}$  production are determined by LHCb [6,7] by observing  $D$  and  $B$  meson decays. These data are then studied to extract information about the gluon PDF at low  $x$  [9–14]. Here, we may say the experimental measurement is not simple while the theory is more straightforward. In fact, careful analyses, for example, [13,14] indicate that there are serious tensions and inconsistencies in the  $D$  and  $B$  data, and that no conclusion about the very low  $x$  behavior of the gluon PDF is possible. In a sense, for exclusive  $J/\psi$ , the opposite is true. The LHCb data are more straightforward to collect and the accuracy of the exclusive  $J/\psi$  differential cross sections is much better [8]. However, here the theory is more involved. In short, there are two theoretical problems to address. First, the corresponding cross section is not described by the usual PDFs but by the more complicated generalized parton distributions (GPDs); see [15] for a review. Next, the NLO corrections are large and the results strongly depend on the choice of scale.

In the present paper, we recall how these two problems can be solved within the conventional collinear approach by using the Shuvaev transform [16], which at small  $x$  allows the calculation of the GPDs from the conventional integrated PDFs. Second, the strong scale dependence can be reduced by choosing a factorization scale which effectively resums the double logarithmic  $\alpha_s \ln(\mu^2) \ln(1/x)$  terms (which are enhanced by the large values of  $\ln(1/x)$  at small  $x$ ) and transfers them into the incoming PDFs. Finally, and most importantly, to avoid double counting, we have to subtract the low transverse momentum,  $k_t$ , contributions below the input scale  $Q_0$  from the NLO coefficient functions, as these contributions are already included in the input PDFs. The subtraction is of the form of a power correction which, as expected, is large.

Previously, the LHCb data for forward ultraperipheral  $J/\psi$  production were successfully described in [17] using the  $k_t$  factorization framework. However, the  $k_t$  factorization approach does not include the complete set of NLO corrections. Thus, this approach does not allow these  $J/\psi$  data to be included in the NLO global analyses based on the collinear factorization theorems. Our formalism is based on the conventional collinear framework and includes all NLO corrections. In Sec. V, we show that three existing sets of PDFs (NNPDF3.0 [1], MMHT2014 [2], CT14 [3]) taken at

the optimal scale mentioned above, and convoluted with the NLO coefficient functions from which the low  $k_t < Q_0$  contribution has been subtracted, give a satisfactory description of the diffractive  $J/\psi$  HERA data, but vastly different predictions in the region of the LHCb  $J/\psi$  data.

The plan of the paper is as follows. In Sec. II, we give our notation. In Sec. III, we explain how our approach can be used to probe the PDFs. In Sec. IV, we demonstrate the stability of the analysis with respect to variations of the remaining scale dependence. In Sec. V, we show that the PDFs given by the existing global analyses agree with the  $J/\psi$  exclusive photoproduction data measured at HERA [18] and that they can be constrained at even smaller  $x \sim 10^{-6}$  using LHCb ultraperipheral  $J/\psi$  data. We discuss our results in Sec. VI and present our conclusions in Sec. VII.

## II. NOTATION AND COLLINEAR FACTORIZATION

The exclusive  $J/\psi$  photoproduction amplitude may be written, using collinear factorization, in the form [19]

$$A = \frac{4\pi\sqrt{4\pi\alpha}e_q(\epsilon_V^* \cdot \epsilon_\gamma)}{N_c} \left( \frac{\langle O_1 \rangle_V}{m_c^3} \right)^{1/2} \times \int_{-1}^1 \frac{dX}{X} [C_g(X, \xi)F_g(X, \xi) + C_q(X, \xi)F_q(X, \xi)], \quad (1)$$

where we have suppressed the dependence on the renormalization and factorization scales,  $\mu_R^2$ ,  $\mu_F^2$ , and on the invariant transferred momentum  $t$ . Here, the nonrelativistic QCD (NRQCD) matrix element  $\langle O_1 \rangle_V$  describes the formation of the  $J/\psi$  meson with  $m_c$  the charm quark mass. The quark singlet and gluon GPDs are denoted  $F_q$  and  $F_g$ , respectively. The quark and gluon coefficient functions  $C_q$  and  $C_g$  are known at NLO [19] and are given at tree level by

$$C_g^{(0)}(X, \xi) = \alpha_s \frac{X}{(X - \xi + i\epsilon)(X + \xi - i\epsilon)} \left( \frac{2}{d-2} \right),$$

$$C_q^{(0)}(X, \xi) = 0,$$

where  $d = 4 - 2\epsilon$  is the number of space-time dimensions.

The kinematics of the process are displayed in Fig. 1. The partons carry momentum fractions  $(X + \xi)$  and  $(X - \xi)$  of the plus component of the mean incoming/outgoing proton momenta  $P = (p + p')/2$ . The photon-proton center-of-mass energy squared is given by  $W^2 = (q + p)^2$ , where  $q$  is the photon momentum. The asymmetry between the momentum fractions carried by the partons is parametrized by the skewness parameter,

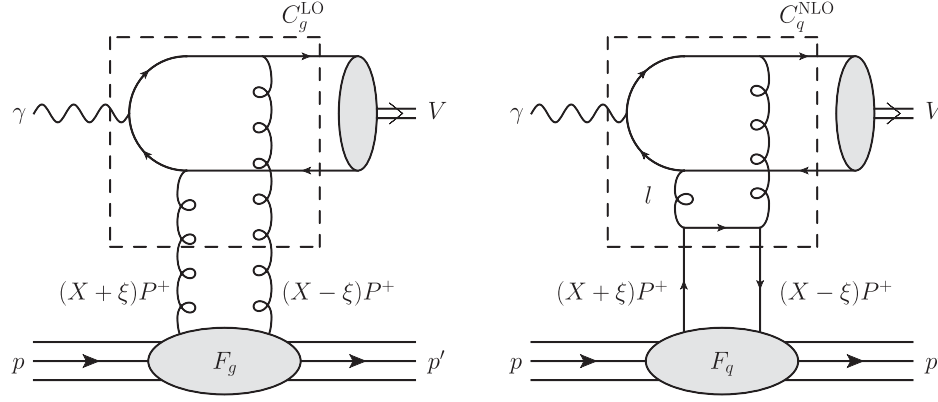


FIG. 1. (a) LO contribution to  $\gamma p \rightarrow V + p$ . (b) NLO quark contribution. For these graphs, all permutations of the parton lines and couplings of the gluon lines to the heavy-quark pair are to be understood. Here the momentum  $P \equiv (p + p')/2$  and  $l$  is the loop momentum. Note that the momentum fractions of the left and right partons are  $x = X + \xi$  and  $x' = X - \xi$ , respectively; for the upper gluons, we have  $x' \ll x$  and so  $x \simeq 2\xi$ .

$$\xi = \frac{p^+ - p'^+}{p^+ + p'^+} = \frac{M_\psi^2}{2W^2 - M_\psi^2}. \quad (2)$$

Due to the vanishing of the quark coefficient function at LO, the process is predominantly sensitive to the gluon GPD. At LO, the gluon coefficient function is strongly peaked for  $|X| \sim \xi$  and so the gluon GPD is probed close to  $F_g(\xi, \xi)$ . In fact, for the imaginary part of the amplitude, the LO gluon coefficient function acts as a Dirac delta function and the GPD is probed at exactly  $|X| = \xi$ .

### III. CONNECTING EXCLUSIVE PRODUCTION TO THE PDFS

First, let us recall the advantage of using the exclusive  $J/\psi$  LHCb data in global parton analyses in the collinear factorization scheme. It offers the possibility to probe PDFs (mainly the gluon PDF) at extremely low  $x$  in a so far unexplored kinematic regime. In particular, for forward ultraperipheral production,  $pp \rightarrow p + J/\psi + p$ , the LHCb experiment can reach<sup>3</sup>

$$x \sim (M_\psi/\sqrt{s})e^{-Y} \sim 3 \times 10^{-6} \quad (3)$$

for  $\sqrt{s} = 13$  TeV and rapidity  $Y = 4.5$ . Moreover, the cross section is proportional to the square of the parton density, so the uncertainty on the PDF is reduced.

However, as mentioned in the introduction, there appear to be two disadvantages. First, the description of the exclusive  $J/\psi$  process depends on GPDs, and, second, there is a strong dependence on the choice of scale,

<sup>3</sup>Note that this value corresponds to the lower limit of the  $x$  interval felt by the process. In practice, the main contribution to the amplitude comes from a slightly larger value of  $x$ , as discussed in Sec. VI.

indicating a large theoretical uncertainty. Immediately below, we note how the first disadvantage is overcome. Then, in the next section, we discuss the removal of the sensitivity to the scale dependence.

Though exclusive  $J/\psi$  production is described by GPDs, at very low values of  $x$  and small momentum transfer  $t$ , the GPD can be related to the conventional integrated PDF, via the Shuvaev transform, with accuracy  $\mathcal{O}(x)$  [16]. The key observation is that the Gegenbauer (conformal) moments,  $G_n$ ,<sup>4</sup> of the GPDs evolve in the same manner as the Mellin moments,  $M_n$ , of the PDFs. This fact allows one to restore the full GPD function (at a given fixed scale) through knowledge of its Gegenbauer moments. Owing to the polynomial condition, see, e.g., [21]; even for  $\xi \neq 0$  the Gegenbauer moments can be obtained from the Mellin moments of the diagonal (nonskewed) PDFs to  $\mathcal{O}(\xi)$  accuracy at NLO. We emphasize that despite the values of the Mellin (and the Gegenbauer) moments maintaining sensitivity to the  $x$  behavior throughout the whole  $x$  interval (including large  $x \sim 1$ ), the polynomiality provides the accuracy of  $G_n = M_n$ , which depends on the value of  $\xi$  only. Thus, it is possible to obtain the full GPD function at small  $\xi$  from its known moments. Based on this fact, we can obtain an expression which transforms the low  $x$  PDF to the corresponding GPD [16].

The GPD function (denoted by  $F_a(X, \xi)$  with  $a = g, q$  in Fig. 1) accounts for the fact that the momenta of the “left” and “right” partons in the diagrams of Fig. 1 are different. In particular, they carry proton momentum fractions  $X + \xi$  and  $X - \xi$ , respectively. The Shuvaev transform relates the GPD  $F_a(X, \xi)$  to the PDF( $X + \xi$ ). We systematically

<sup>4</sup>Gegenbauer moments are the analog of Mellin moments which diagonalize the  $Q^2$  evolution of PDFs. The corresponding operator diagonalizes the  $Q^2$  evolution of the GPDs [20]. As  $\xi \rightarrow 0$ , the Gegenbauer moments become equal to the Mellin moments.

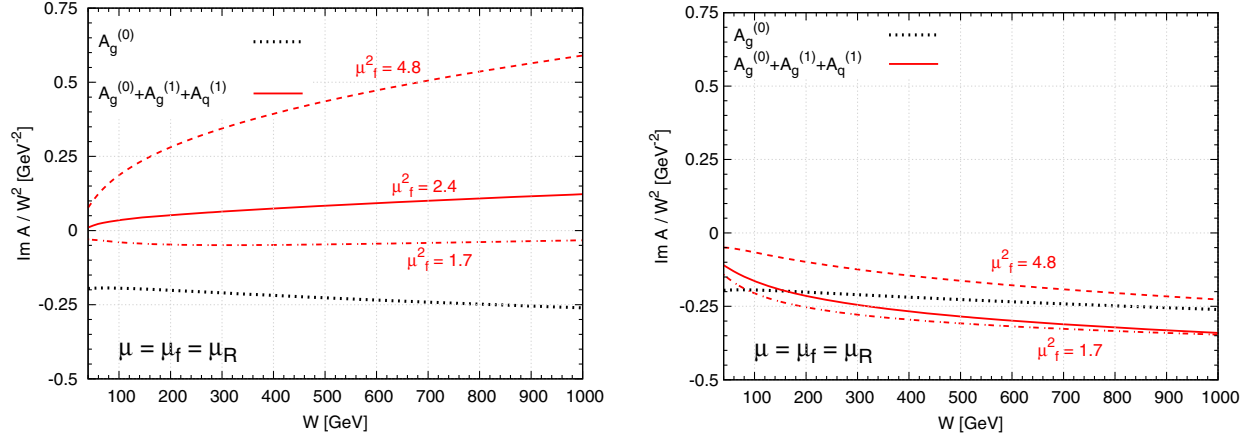


FIG. 2. LO and LO + NLO contributions to the imaginary part of the  $\gamma p \rightarrow V + p$  amplitude as a function of the  $\gamma p$  center-of-mass energy,  $W$ , with  $\mu_F = m_c$  before (left panel) and after (right panel) the double counting correction has been implemented, as explained in the text. The dashed, continuous, and dot-dashed (red) curves correspond to three choices of the factorization scale  $\mu_f$ , namely,  $\mu_f^2 = 2m_c^2, m_c^2, Q_0^2$ , respectively, where  $m_c^2 = M_\psi^2/4 = 2.4 \text{ GeV}^2$ . Here  $Q_0 = 1.3 \text{ GeV}$  is the starting scale of the input NLO PDFs from CTEQ6.6 [30] which were used. The dotted black curve is the LO contribution.

construct GPD grids from a three-dimensional parameter space in  $X \xi/X$  and  $Q^2$  with forward PDF grids taken from the LHAPDF interface [22]. It turns out that the values of  $X$  that are most relevant in the convolution of the GPD with the coefficient function are of the order of  $\xi$ . Thus, indeed, in this way, we probe the gluon PDF at values of  $x$  close to  $2\xi$ .

Strictly speaking, by using such a transform we assume that the amplitude has no additional singularities in the right half ( $j > 1$ ) of the complex angular momentum  $j$  plane. This assumption is well-motivated physically, and moreover it was shown [23] that the results agree with those obtained [24] in an independent global GPD analyses of the available data.

#### IV. OVERCOMING THE STRONG SCALE DEPENDENCE

The strong sensitivity to the choice of scale in the predictions for diffractive  $J/\psi$  photoproduction was first observed in [19,25] and recently confirmed in [26]. There are two sources for this sensitivity to the scale choice. First, there is the double logarithmic contribution which contains a large  $\ln(1/x)$  factor. For the region of interest,  $x \sim 10^{-5}$ , this means an order of magnitude enhancement. Second, there is double counting in the coefficient functions for  $Q^2 < Q_0^2$ . We discuss how these problems are overcome in turn.

##### A. Treatment of double log contributions

It was shown in Ref. [25] that it is possible to find a scale (namely  $\mu_F = M_\psi/2$ ) which effectively resums all the double logarithmic corrections enhanced by large values of  $\ln(1/\xi)$  into the gluon and quark PDFs, where  $\xi$  is the skewedness parameter of the GPDs. In terms of the usual

(unskewed) PDFs related to GPDs via the Shuvaev transform,  $x \simeq 2\xi$ . That is, it is possible to take the  $(\alpha_S \ln(1/\xi) \ln(\mu_F^2))$  term from the NLO gluon (and quark) coefficient functions and to move it to the LO GPDs. This allows a resummation of all the double logarithmic, i.e.,  $(\alpha_S \ln(1/\xi) \ln(\mu_F^2))^n$ , terms in the LO contribution by choosing the factorization scale to be  $\mu_F = M_\psi/2$ . The details are given in Ref. [25]; see also Ref. [27].

The result is that the  $\gamma p \rightarrow J/\psi p$  amplitudes, taken at factorization scale  $\mu_f$ , are schematically of the form

$$A(\mu_f) = C^{\text{LO}} \otimes \text{GPD}(\mu_F) + C_{\text{rem}}^{\text{NLO}}(\mu_f) \otimes \text{GPD}(\mu_f). \quad (4)$$

With the choice  $\mu_F = \mu_0 = M_\psi/2$ , the remaining NLO coefficient function,  $C_{\text{rem}}^{\text{NLO}}(\mu_f)$ , does not contain terms enhanced by  $\ln(1/x) \simeq \ln(1/\xi)$ . Equation (4) may in principle be extended to NNLO and iterated to higher orders.

Thus, to summarize, Eq. (4) allows us to consider different factorization scales  $\mu_f$ . However, the scale in the first term on the right-hand side is fixed to be  $\mu_F = m_c$  independent of the value of  $\mu_f$ . Since the contribution from the second term is small, we predominantly probe the gluon distribution at scale  $\mu_F = \mu_0$ .

Moreover, we find that after the scale  $\mu_F$  in (4) is fixed to  $\mu_F = \mu_0$ , the result (shown in the left panel in Fig. 2<sup>5</sup>) becomes more stable with respect to variations of

<sup>5</sup>In Fig. 2, we choose to use the old CTEQ6.6 partons to demonstrate the problem with the scale uncertainties simply to relate to the original papers [19,28,29] which long ago observed and discussed these uncertainties. The small scale variation obtained within our present approach using the modern CT14 NLO PDF is shown in Fig. 3.

the factorization scale  $\mu_f$  in comparison to the huge variations seen in [19]. However, note that the NLO correction is still comparable to the LO term and opposite in sign. As we discuss in Sec. IV B, this is due to double counting between the NLO coefficient function and the contribution coming from DGLAP evolution. Once we avoid this double counting, we will see that the perturbative treatment is brought under control and also that we have a further reduction of the scale sensitivity.

### 1. BFKL resummation

The possibility exists of resumming the  $\alpha_s \ln(1/x)$  BFKL terms in the coefficient functions. In particular in [31], instead of fixing  $\mu_F = \mu_0$ , it was proposed to resum the BFKL corrections, like  $\alpha_s \ln(1/x)$ , already in the coefficient function. It was stated that this would allow good scale stability to be obtained.

However, we do not resum the BFKL corrections for the following reasons. First, we note that we cannot use the standard LO BFKL summation. We would have to account for the effects of the  $Q_0$  subtraction. Also recall that LO BFKL gives the behavior  $xg \sim x^{-\omega_0}$  where

$$\omega_0 = (3\alpha_s/\pi)4 \ln 2 \simeq 0.6, \quad (5)$$

which is too large and inconsistent with the LHCb data. Next, a detailed study [4,5] has found that at low  $Q^2$  the higher-twist effects (i.e., gluon reggeization and absorptive corrections) strongly modify the low  $x$  behavior of the BFKL amplitude. That is why the effective Pomeron intercept, measured for example, via the vector meson diffractive photo(electro)production falls from  $\alpha(0)_P \simeq 1 + 0.3$  (at large  $Q^2$ ) down to  $1 + 0.1$  (at low  $Q^2$ ). Without the BFKL resummation, all these effects are absorbed in the behavior of the “input” phenomenological gluons.

In addition to the problems above, if the coefficient functions were to absorb the BFKL effects, then the convolution of the GPD with the coefficient function

$$\text{Im}A(\xi) \sim \int_{-1}^1 \frac{dX}{X} C_a(X, \xi) F_a(X, \xi), \quad (a = q, g) \quad (6)$$

is such that the coefficient function,  $C_a(X, \xi)$ , occupies almost the whole available  $\ln(1/X)$  interval; that is the dominant contribution comes from  $X \sim \mathcal{O}(1)$  and not  $X \sim \xi$ . Thus, we would lose the main advantage of probing the unexplored very small  $x$  regime.

### B. Treatment of double counting power corrections

Next we consider a power correction which may further reduce the NLO contribution and, moreover, may reduce the sensitivity to the choice of scale. The correction is  $\mathcal{O}(Q_0^2/M_\psi^2)$  where  $Q_0$  denotes the input scale in the parton evolution which turns out to be important for the relatively

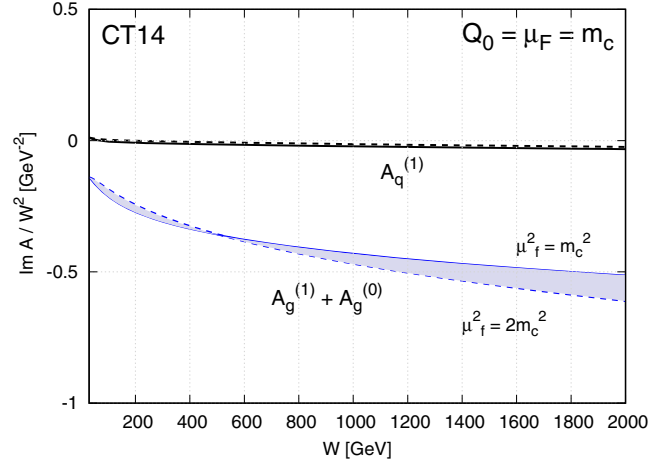


FIG. 3. The gluon LO + NLO and quark NLO contributions to the imaginary part of the  $\gamma p \rightarrow J/\psi + p$  amplitude for two different choices of the factorization scale  $\mu_f^2 = \mu_R^2 = m_c^2, 2m_c^2$  shown by the continuous and dashed curves, respectively. CT14 NLO global PDFs [3] are used and the “optimal” scale  $\mu_F = m_c$  is chosen.

light charm quark,  $m_c \simeq M_\psi/2$ . Let us explain the origin of this “ $Q_0$  correction” following Ref. [28]. We begin with the collinear factorization approach at LO. Here, we never consider parton distributions at low virtualities, that is, for  $Q^2 < Q_0^2$ . We start the PDF evolution from some phenomenological PDF input at  $Q^2 = Q_0^2$ . In other words, the contribution from  $|l^2| < Q_0^2$  of Fig. 1(b) (which can be considered as the LO diagram, Fig. 1(a), supplemented by one step of the DGLAP evolution from quark to gluon,  $P_{gq}$ ) is already included in the input gluon GPD at  $Q_0$ . That is, to avoid double counting, we must exclude from the NLO diagram the contribution coming from virtualities less than  $Q_0^2$ . At large scales,  $Q^2 \gg Q_0^2$  this double-counting correction will give small power suppressed terms of  $\mathcal{O}(Q_0^2/Q^2)$ , since there is no infrared divergence in the corresponding integrals. On the other hand, with  $Q_0 \sim 1$  GeV and  $\mu_F = m_c (\sim M_\psi/2)$ , a correction of  $\mathcal{O}(Q_0^2/m_c^2)$  may be crucial.

Beyond NLO single logarithmic terms,  $\ln(1/x)$ , may again be present in the amplitude. However, we anticipate that including the  $Q_0$  subtraction their impact will be much smaller.

In the present paper, we use the NLO correction  $C_{\text{rem}}^{\text{NLO}}$  for  $J/\psi$  photoproduction excluding the contribution coming from the low virtuality domain<sup>6</sup> ( $< Q_0^2$ ). We find that for

<sup>6</sup>Note that the value of  $Q_0$  may differ from the value  $q_0$  at which the initial PDFs were parametrized. For example, in the MMHT analysis [2],  $q_0$  is set equal to 1 GeV, but only data with  $Q^2 > 2$  GeV<sup>2</sup> are included in the fit. This means that actually the input was fitted at  $Q^2 = 2$  GeV<sup>2</sup> and all the partons below 2 GeV<sup>2</sup> are obtained by the extrapolation via the backward pure DGLAP evolution.

$J/\psi$  this procedure substantially reduces the resulting NLO contribution and, moreover, reduces the scale dependence of the predictions. It indicates the stability of the perturbative series.

Indeed, as shown in the left panel of Fig. 2, before the  $Q_0$  subtraction the NLO corrections may exceed the value of the LO contribution and, depending on the scale, even the sign of the amplitude can change. However, after the subtraction and choosing the optimal scale  $\mu_F = M_\psi/2$  in the leading order part of the amplitude [first term of (4)], we observe a rather good scale stability as shown in the right panel of Fig. 2.

In Fig. 3, we show the results for  $\text{Im}A_a$  with  $a = g, q$  for the choice  $\mu_F = M_\psi/2 = m_c$  for two values of the factorization scale:  $\mu_f^2 = m_c^2$  and  $\mu_f^2 = 2m_c^2$ . We take  $\mu_R = \mu_f$ . Here  $A_{a=g,q}$  are the gluon and quark contributions to the  $\gamma p \rightarrow J/\psi + p$  amplitude in the collinear factorization scheme at NLO. The plot shows the stability of the amplitude with respect to variations of  $\mu_f$  and also that the  $Q_0$  subtraction practically fully absorbs the quark contribution. With this setup, we can therefore say that low  $x$  exclusive  $J/\psi$  photoproduction probes predominantly only the gluon distribution.

### C. Renormalization scale

The renormalization scale is taken to be  $\mu_R = \mu_f$ . The reasons for this are as follows. First, this corresponds to the BLM prescription [32]; such a choice eliminates the contribution proportional to  $\beta_0$  (i.e., the term  $\beta_0 \ln(\mu_R^2/\mu_f^2)$  from the NLO terms in Eq. (3.95) of [19]). Second, following the discussion in [33] for the analogous QED case, we note that the new quark loop insertion into the gluon propagator appears twice in the calculation. The part with scales  $\mu < \mu_f$  is generated by the virtual component ( $\propto \delta(1-z)$ ) of the LO splitting during DGLAP evolution, while the part with scales  $\mu > \mu_R$  accounts for the running  $\alpha_s$  behavior obtained after the regularization of the ultraviolet divergence. In order not to miss some contribution and/or to avoid double counting, we take the renormalization scale equal to the factorization scale,  $\mu_R = \mu_f$ .

## V. DESCRIPTION OF $J/\psi$ PHOTOPRODUCTION DATA

All of the calculations presented so far are performed for the imaginary part of the production amplitude. The real part is obtained via a dispersion relation, which in the high energy limit (for the even signature amplitude) can be written in the simplified form [34],

$$\frac{\text{Re}A}{\text{Im}A} = \tan\left(\frac{\pi}{2} \frac{\partial(\ln \text{Im}A/W^2)}{\partial(\ln W^2)}\right). \quad (7)$$

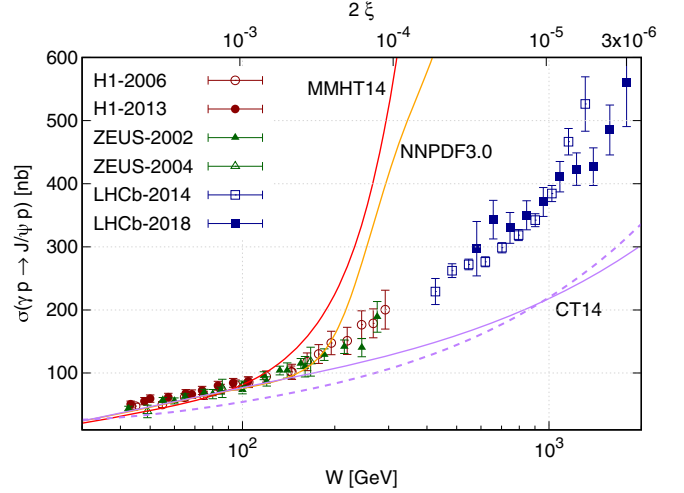


FIG. 4. The  $\gamma p \rightarrow J/\psi + p$  data obtained at HERA [18] and LHCb [8] compared with the predictions obtained using the NLO PDFs taken from three different sets of global partons [1–3] with  $\mu_f = m_c$  (solid lines). The dashed line for the CT14 prediction, corresponding to  $\mu_f^2 = 2m_c^2$ , is added to demonstrate the scale stability of our NLO predictions; but note that our optimal choice  $\mu_f^2 = m_c^2$  agrees better with the HERA data.

Next we have used NRQCD to describe the formation of the  $J/\psi$  wave function. We project the open heavy  $c\bar{c}$  quark pair onto the color singlet configuration with the corresponding transition matrix element  $\langle O_1 \rangle_V$ , which is fixed by the experimentally measured leptonic decay width of the  $J/\psi$ .<sup>7</sup> Higher order corrections within NRQCD are not included here, but have been discussed in [35]. For the total cross section, they occur at  $\mathcal{O}(v^2)$  and have to be considered together with higher Fock states. The resulting correction is of the order of a few percent [35] and beyond the accuracy we require.

Note that actually we calculate the value of  $\text{Im}A$  at  $t = 0$  and then restore the total  $\gamma p \rightarrow J/\psi + p$  cross section assuming an exponential  $t$  behavior with a slope

$$B = 4.9 + 4\alpha'_p \ln(W/W_0) \text{ GeV}^{-2},$$

with  $W_0 = 90 \text{ GeV}$  and  $\alpha'_p = 0.06 \text{ GeV}^{-2}$ . This parametrization grows more slowly with  $W$  than the formula used by H1 [36], but is still compatible with the HERA data. We have chosen the slope parameter  $\alpha'_p$  to be compatible with Model 4 of [37] which fits a wider variety of data.

### A. HERA data

As can be seen from Fig. 4, the  $J/\psi$  photoproduction data obtained at HERA [18] are described reasonably well

<sup>7</sup>The exclusive final state requires a colorless high energy scattering (modeled by the two-gluon exchange) and does not allow for an octet contribution, as this would populate the rapidity gap and destroy the exclusivity of the final state.

by all three sets of global partons [1–3] within our collinear approach. These data sample  $x$  values in the interval,<sup>8</sup>

$$x = M_\psi^2/W^2 \sim 10^{-3} - 10^{-4}. \quad (8)$$

In our approach, we are free to choose the starting scale  $Q_0$  and the  $\mu_F$  scale in the NLO correction. We work at LO in NRQCD and the description used for the results shown in Fig. 4 corresponds to the choices,

$$Q_0 = \mu_F = m_c = M_\psi/2. \quad (9)$$

Recall that the choice  $\mu_F = m_c$  provides the complete summation of the double log terms [25]. Besides giving a good description of the HERA data, the above choice of  $Q_0$  and  $\mu_F$  gives a stable theoretical prediction also when the scales  $\mu_f$  and  $\mu_R$  are varied; see Figs. 3 and 4.

### B. LHCb data

The LHC experiments do not directly measure the cross section of *photoproduction*, but instead the exclusive  $pp \rightarrow p + J/\psi + p$  reaction [8]. At small transverse momentum of the  $J/\psi$  meson, this process is described by the two diagrams shown in Fig. 5. The photon can be emitted either by the upper or by the lower beam protons. Since the photon's transverse momentum,  $q_T$ , is much smaller than that transferred through the strong interaction amplitude (shown by the double vertical lines in Fig. 5), the interference between these two diagrams is negligible. The contribution corresponding to the right graph, with a smaller photon-proton energy  $W_-$ , comes from relatively large  $x$ , and can be subtracted using the description of HERA data. Thus, the cross section for  $J/\psi$  photoproduction at the large energy,  $W_+$ , may be extracted from the LHC measurements.

The last point is that in dealing with proton-proton interactions we must account for the possibility of an additional soft interaction between the two colliding protons. This interaction will generate new secondaries which will populate the rapidity gap and destroy the exclusivity of the event. The probability to have no such additional interaction is called the gap survival probability  $S^2 < 1$ . The value of  $S^2$  depends on the  $pp$  collider energy and the partonic energy  $W$ . The values of  $S^2(W)$  as a function of  $W$  were calculated using the eikonal model [38], which well describes the data for the differential  $d\sigma(pp)/dt$  cross section and low mass diffractive dissociation. The details of the procedure to extract  $\sigma(\gamma p \rightarrow J/\psi + p)$  at large  $W_+$  energies are described in Ref. [17]. Actually, in our figures, we plot the low  $x$  LHCb data points obtained in this way and presented in [8].

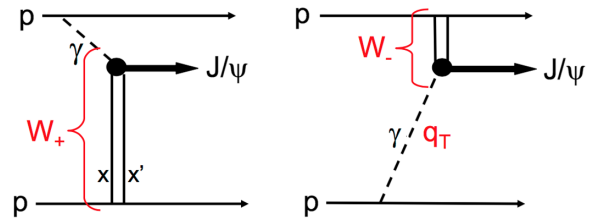


FIG. 5. The two diagrams describing exclusive  $J/\psi$  production at the LHC. The vertical lines represent two-gluon exchange. Diagram (a), the  $W_+$  component, is the major contribution to the  $pp \rightarrow p + J/\psi + p$  cross section for a  $J/\psi$  produced at large rapidity  $Y$ . Thus, such data allow a probe of very low  $x$  values,  $x \sim M_\psi \exp(-Y)/\sqrt{s}$ ; recall that for two-gluon exchange, we have  $x \gg x'$ . The  $q_T$  of the photon is very small and so the photon can be considered as a real on mass-shell particle.

## VI. DISCUSSION OF THE RESULTS

The theoretical predictions, obtained by using the approach described above, are presented in Fig. 4. There we compare our predictions for the cross section for  $J/\psi$  photoproduction obtained using three different sets of global partons [1–3] with the HERA and LHCb data. The curves correspond to using the central values of the global PDFs. At the lower energy of the HERA data, where the global gluon PDF uncertainty is not too large, the predictions agree with the experimental values reasonably well. In the kinematic region covered by the LHCb experiment, the present global PDF analyses do not sample any data, and hence they have almost no predictive power in this low  $x$  regime.

On the other hand, as is seen from Fig. 4, by exploiting the LHCb data for exclusive  $J/\psi$  production we have the possibility to greatly improve our knowledge of the gluon PDF down to  $x \sim 3 \times 10^{-6}$ . The GPD  $(X, \xi)$  obtained via the Shuvaev transform is driven dominantly by the value of  $x = X + \xi \simeq 2\xi$ , while  $x' = X - \xi \ll x$  is small. Recall that in the LO contribution [given by the first term of Eq. (4)] we sample the gluon PDF at  $x = X + \xi = 2\xi$ , while in the NLO contribution (the second term) the momentum fraction carried by the gluon may be larger. As a check, we have calculated the median value,  $\text{med}(X)$ , of the corresponding  $X$ , defined in such a way that  $X > \text{med}(X)$  gives 0.5 of the NLO contribution. In the convolution of the coefficient function with the GPD [see Eq. (6)], the  $X$  distribution is sharply peaked at  $X \simeq \xi$  for the gluon contribution while for the quark NLO contribution the value of  $\text{med}(X) \simeq 1.18\xi$ . However, as it is seen from Fig. 3, the quark term is practically negligible. Thus, we can say that the exclusive  $J/\psi$  production indeed probes the gluons at  $x = X + \xi \simeq 2\xi$ .

## VII. CONCLUSIONS

We show that the  $J/\psi$  meson photoproduction process and exclusive  $J/\psi$  production,  $pp \rightarrow p + J/\psi + p$ , at the

<sup>8</sup>We see that when  $x \lesssim \text{few} \times 10^{-4}$  the central global partons fail to describe the HERA data.

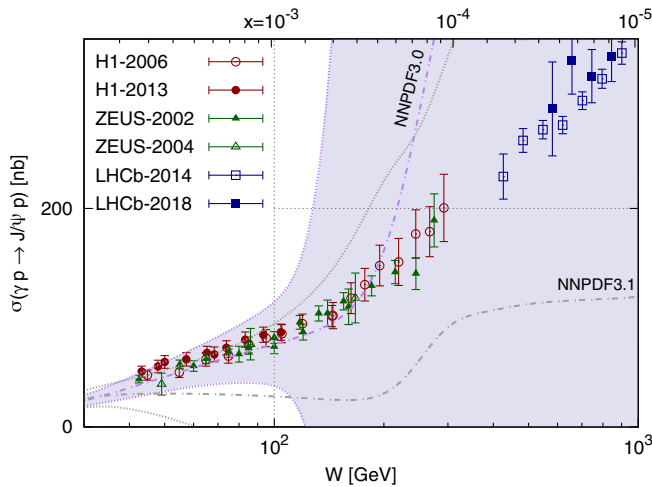


FIG. 6. The central scale prediction  $\sigma$  for a given global input set of NLO partons, here NNPDF3.0 [1], together with its  $1\sigma$  (shaded) error band show that the current PDF uncertainties are much greater than the experimental uncertainty and the scale variations of the theoretical result. For comparison, we also show the NNPDF3.1 [39] predictions, but with the error band unshaded; in this case, the  $\sigma + \delta\sigma$  upper limit follows the HERA data for  $x > 10^{-3}$ , while for smaller  $x$  it widens to encompass the data. The exclusive  $J/\psi$  data are therefore in a position to improve the global PDF analyses at low  $x$ .

LHC, can be consistently described in the collinear factorization framework at NLO. The choice of the optimal scale  $\mu_F = \mu_0 = M_\psi/2$  effectively resums the large double logarithmic terms, i.e.,  $(\alpha_s \ln \mu_F^2 \ln(1/\xi))^n$ . This, together with the  $Q_0$  subtraction (needed to avoid double counting between the NLO coefficient function and the DGLAP input PDFs), leads to a largely improved scale stability of

the theoretical prediction. In other words, this framework overcomes the extremely large scale uncertainties found in the existing NLO predictions [19,25,26] of diffractive  $J/\psi$  photoproduction in the collinear factorization approach. It is not surprising that at these low scales the power correction arising from the  $Q_0$  subtraction is crucial. Another power correction coming from absorptive effects should reveal itself as the saturation of the gluon density. At the moment this is not noticeable; for small  $x$ , the data appear to be compatible with the gluon PDF parametrization  $xg \propto x^{-\lambda}$ .

Huge uncertainties in the low  $x$  gluon PDF found in the existing global PDF analyses reflect the fact that no corresponding low  $x$  data were included in the fitting procedure. This is well illustrated in Fig. 6 which shows the prediction of, for example, the NNPDF3.0 [1] parton set together with its  $1\sigma$  error band. However, using the proposed approach, the good accuracy of the exclusive  $J/\psi$  cross section presented by LHCb will allow the determination of the NLO gluon PDF down to  $x \sim 3 \times 10^{-6}$ , and the HERA data will improve the determination of the gluon for  $10^{-4} \lesssim x \lesssim 10^{-3}$ .

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