

Borexino and general neutrino interactionsAmir N. Khan^{1,2,*}, Werner Rodejohann^{1,†} and Xun-Jie Xu^{1,‡}¹*Max-Planck-Institut für Kernphysik, Postfach 103980, D-69029 Heidelberg, Germany*²*Theoretical Physics Department, Fermi National Accelerator Laboratory,
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We derive constraints on all possible general neutrino-electron interactions (scalar, vector, pseudo-scalar, axial-vector and tensor) using the recent real time Borexino event rate measurements of pp , pep and ${}^7\text{Be}$ solar neutrinos. Some of the limits improve from TEXONO and CHARM-II for incoming electron and muon neutrinos while the rest remains weaker for Borexino and those for the tau flavor are the first ones. Future improvements by next-generation solar neutrino experiments are also studied. The limits extend the physics reach of solar neutrino measurements to TeV-scale physics. Finally, the different properties of the new interactions for Dirac and Majorana neutrinos are discussed.

DOI: [10.1103/PhysRevD.101.055047](https://doi.org/10.1103/PhysRevD.101.055047)**I. INTRODUCTION**

Precision studies in neutrino physics allow one to tighten the parameters of the standard three neutrino paradigm [1]. Indeed, the precision on the parameters of the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix approaches the one of the corresponding Cabibo-Kobayashi-Maskawa (CKM) parameters, see e.g., [2]. Moreover, effects of new physics beyond the standard paradigm can be tested.

In this paper we will analyze the possible presence of neutrino-electron interactions beyond the usual $V - A$ structure within the Standard Model. Since neutrinos are often considered a prime window to new physics, it is natural to assume such new interactions for neutrinos. Taking a general parametrization originally studied in Refs. [3–5], which considers all Lorentz-invariant possibilities (scalar, vector, pseudoscalar, axialvector and tensor)¹ in neutrino-electron scattering, we exploit the Borexino solar neutrino measurements [7–11] to set limits on the size of the new interactions. While new vector interactions are quite often studied, known as nonstandard interactions [12–15],

different Lorentz structures are largely unexplored (neutrino interactions with a structure different from vector do not lead to observable matter effects in neutrino oscillations [16]). Some existing studies can be found in [6,17–29].

We will employ the Borexino measurements of low energy pp , pep and ${}^7\text{Be}$ solar neutrinos. As the originally produced electron neutrinos oscillate to muon and tau neutrinos, this allows one to set limits on general interactions of all flavors. Previously, limits on general neutrino-electron interactions were obtained in Ref. [6] using TEXONO [30] and CHARM-II [31] data for electron and muon neutrinos, respectively. We will improve several of those limits, and set the very first ones on general tau neutrino interactions. Possible future limits by upcoming hypothetical solar neutrino measurements are also estimated. If percent-level coupling strengths are measured, and the new interactions are interpreted in terms of new exchanged bosons, then new physics of weak and TeV scales is tested by solar neutrino experiments.

The paper is structured as follows. In Sec. II we set up the formalism of neutrino-electron scattering with general interactions. Section III describes the data and fit procedure that we follow, with the results being discussed in Sec. IV. We also address the differences between Dirac and Majorana neutrinos in this framework in Sec. V, and conclusions are drawn in Sec. VI.

**II. NEUTRINO-ELECTRON SCATTERING
IN THE PRESENCE OF GENERAL
NEUTRINO INTERACTIONS**

In this section we lay out the general formalism to describe general neutrino interactions relevant for elastic neutrino-electron scattering. Starting with the Standard

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¹It is interesting to point out that since Majorana neutrinos do not have flavor-diagonal vector and tensor interactions, a study of electron-neutrino cross sections, in principle, allows one to distinguish Dirac from Majorana neutrinos [3,5,6]. We will expand more discussions on this issue in Sec. V.

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Model (SM), we have neutral current (NC) and charged current (CC) interactions between the target electrons and the three flavors of neutrinos. To be specific, in the SM, $\nu_e e$ -scattering involves the CC and NC interactions, while $\nu_{\mu/\tau} e$ -scattering depends only on NC interactions. The effective SM Lagrangian for the NC interactions is given as

$$\mathcal{L}_{\text{NC}}^{\text{SM}} = \frac{G_F}{\sqrt{2}} [\bar{\nu}\gamma^\mu(1-\gamma^5)\nu][\bar{\ell}\gamma_\mu(g_V^\ell - g_A^\ell\gamma^5)\ell], \quad (1)$$

where the vector and axial vector couplings are

$$g_V^\ell = -\frac{1}{2} + 2\sin^2\theta_W \quad \text{and} \quad g_A^\ell = -\frac{1}{2}. \quad (2)$$

For the CC interactions, after a Fierz transformation one can write (flavor indices are suppressed)

$$\mathcal{L}_{\text{CC}}^{\text{SM}} = \frac{G_F}{\sqrt{2}} [\bar{\nu}\gamma^\mu(1-\gamma^5)\nu][\bar{\ell}\gamma_\mu(1-\gamma^5)\ell]. \quad (3)$$

We are interested here in new neutrino physics that may show up in the form of general neutrino interactions. With this we denote new interactions for neutrino-electron scattering, that can be scalar, pseudoscalar, vector, axial-vector or tensor. The effective four-fermion interaction Lagrangian is

$$\Delta\mathcal{L} = \frac{G_F}{\sqrt{2}} \sum_{\substack{a=S,P, \\ V,A,T}} (\bar{\nu}_\alpha \Gamma^a \nu_\beta) [\bar{\ell} \Gamma^a (\epsilon_{\alpha\beta}^a + \tilde{\epsilon}_{\alpha\beta}^a i^a \gamma^5) \ell], \quad (4)$$

where $\Gamma^a \equiv \{I, i\gamma^5, \gamma^\mu, \gamma^\mu\gamma^5, \sigma^{\mu\nu} \equiv \frac{i}{2}[\gamma^\mu, \gamma^\nu]\}$ are the five fermion operators, corresponding to scalar (S), pseudoscalar (P), vector (V), axialvector (A) and tensor (T), respectively. Furthermore, following the convention in Ref. [6], we have $i^a = i$ for $a = (S, P, T)$ and $i^a = 1$ for $a = (V, A)$. Including the factor i for the S, P, T interactions is necessary to have $\epsilon_{\alpha\beta}$ and $\tilde{\epsilon}_{\alpha\beta}$ real. We assume that ϵ and $\tilde{\epsilon}$ are Hermitian matrices, i.e., $\epsilon_{\alpha\beta} = \epsilon_{\beta\alpha}^*$ and $\tilde{\epsilon}_{\alpha\beta} = \tilde{\epsilon}_{\beta\alpha}^*$, so that Eq. (4) is self-conjugate. Possible phases of the ϵ and $\tilde{\epsilon}$ matrices are ignored in what follows.

For Majorana neutrinos some of the interactions in Eq. (4) cannot be written in terms of Majorana spinors. More specifically, in this case, the vector and tensor interactions with $\alpha = \beta$ for each generation should vanish (i.e., $\epsilon_{\alpha\alpha}^V = \tilde{\epsilon}_{\alpha\alpha}^V = \epsilon_{\alpha\alpha}^T = \tilde{\epsilon}_{\alpha\alpha}^T = 0$), which is a known property of Majorana spinors [3,16,32]. However, considering three generations of neutrinos, such interactions can still exist for $\alpha \neq \beta$. Nevertheless, for Majorana neutrinos, the parameter space of the general Lorentz-invariant interactions is smaller than the one for Dirac neutrinos. Our analyses will be focused on Dirac neutrinos; we will address the difference to the Majorana case in Sec. V. Furthermore, we focus on flavor diagonal interactions, i.e., we constrain $\epsilon_{\alpha\alpha}^a$ and $\tilde{\epsilon}_{\alpha\alpha}^a$.

The limits on the off-diagonal terms will be very similar to the diagonal ones for scalar, pseudoscalar and tensor

interactions due to the absence of interference with the SM. For vector or axial-vector interactions, this case has been well studied in the context of the usual nonstandard interactions (NSI) in Ref. [33] for the Borexino data.

In general the new interactions of Eq. (4) are added to the SM interactions in Eqs. (1) and (3). The differential cross section of neutrino-electron scattering is found to be [6]

$$\frac{d\sigma}{dT}(\nu_\alpha + e^- \rightarrow \nu_\beta + e^-) = \frac{G_F^2 m_e}{2\pi} \left[A_{\alpha\beta} + 2B_{\alpha\beta} \left(1 - \frac{T}{E_\nu}\right) + C_{\alpha\beta} \left(1 - \frac{T}{E_\nu}\right)^2 + D_{\alpha\beta} \frac{m_e T}{4E_\nu^2} \right], \quad (5)$$

where m_e is the electron mass, E_ν is the neutrino energy and T is the electron recoil energy. The parameters $A_{\alpha\beta}$, $B_{\alpha\beta}$, $C_{\alpha\beta}$ and $D_{\alpha\beta}$ are defined as (given here for complex parameters and ignoring flavor indices for simplicity)

$$\begin{aligned} A &= \frac{1}{4} |\epsilon^A + \epsilon^V - \tilde{\epsilon}^A - \tilde{\epsilon}^V + 2g^L|^2 + \frac{1}{8} |\epsilon^S + i\tilde{\epsilon}^P|^2 \\ &\quad + \frac{1}{8} |\epsilon^P + i\tilde{\epsilon}^S|^2 + |\epsilon^T - i\tilde{\epsilon}^T|^2 \\ &\quad + \frac{1}{2} \text{Re}[(\epsilon^T - i\tilde{\epsilon}^T)^*(\epsilon^P + i\tilde{\epsilon}^S - \epsilon^S - i\tilde{\epsilon}^P)], \\ B &= -\frac{1}{8} |\epsilon^P + i\tilde{\epsilon}^S|^2 - \frac{1}{8} |\epsilon^S + i\tilde{\epsilon}^P|^2 + |\epsilon^T - i\tilde{\epsilon}^T|^2, \\ C &= \frac{1}{4} |\epsilon^A + \tilde{\epsilon}^A - \epsilon^V - \tilde{\epsilon}^V - 2g^R|^2 + \frac{1}{8} |\epsilon^S + i\tilde{\epsilon}^P|^2 \\ &\quad + \frac{1}{8} |\epsilon^P + i\tilde{\epsilon}^S|^2 + |\epsilon^T - i\tilde{\epsilon}^T|^2 \\ &\quad - \frac{1}{2} \text{Re}[(\epsilon^T - i\tilde{\epsilon}^T)^*(\epsilon^P + i\tilde{\epsilon}^S - \epsilon^S - i\tilde{\epsilon}^P)], \\ D &= \text{Re}[(\epsilon^A + \epsilon^V - \tilde{\epsilon}^A - \tilde{\epsilon}^V + 2g^L) \\ &\quad \times (\epsilon^A + \tilde{\epsilon}^A - \epsilon^V - \tilde{\epsilon}^V - 2g^R)^*] \\ &\quad - 4|\epsilon^T - i\tilde{\epsilon}^T|^2 + |\epsilon^S + i\tilde{\epsilon}^P|^2. \end{aligned} \quad (6)$$

To recover the explicit flavor indices, one only needs to add subscripts $\alpha\beta$ to all quantities in Eq. (7); in addition one has

$$(g_{\alpha\beta}^L, g_{\alpha\beta}^R) = \begin{cases} (2\sin^2\theta_W + 1, 2\sin^2\theta_W) & (\alpha = \beta = e), \\ (2\sin^2\theta_W - 1, 2\sin^2\theta_W) & (\alpha = \beta = \mu, \tau), \\ 0 & (\alpha \neq \beta). \end{cases} \quad (7)$$

Note that the SM couplings appear only in A , C and D . The term proportional to B is a pure new physics term that contains no SM contribution.

We restrict our analysis to the total event rates. In this case the total cross sections in terms of the maximum recoil energy of electrons $T^{\text{max}}(E_\nu)$ are the relevant observable. We can obtain the total cross section from Eq. (5) as

$$\sigma(\nu e) = \frac{G_F^2 m_e T_{\max}}{2\pi} \left[A + 2B \left(1 - \frac{T_{\max}}{2E_\nu} \right) + C \left(1 + \frac{T_{\max}^2}{3E_\nu^2} - \frac{T_{\max}}{E_\nu} \right) + D \frac{m_e T_{\max}}{8E_\nu^2} \right], \quad (8)$$

where $T_{\max}(E_\nu) \equiv E_\nu / (1 + m_e/2E_\nu)$. Here we have $E_\nu < 0.420$ MeV for the continuous pp spectrum, and $E_\nu = 0.862$ MeV (1.44 MeV) for neutrinos from ${}^7\text{Be}$ (pep) reactions, respectively. For each spectrum we have reproduced with good agreement the expected event numbers quoted by Borexino in [9]. More details will be discussed in Sec. III B. It is important to note that the term proportional to C in Eqs. (5) and (8) is suppressed by the kinematic factor proportional $\frac{T}{E_\nu}$ with respect to A . This naturally leads to relatively tighter constraints on the parameters related to C . For antineutrinos A and C are replaced with each other in the cross sections.

As stated earlier, the cross sections in principle contain contributions both from flavor conserving and flavor violating processes. For simplicity, we will restrict ourselves to the flavor conserving case at the neutrino vertex, i.e., $\nu_e e \rightarrow \nu_e e$ and $\nu_{\mu,\tau} e \rightarrow \nu_{\mu,\tau} e$ scattering. As a consequence there are interference terms for the SM and new physics terms in the cross sections in Eqs. (5) and (8). Regarding those interference terms, note that there is no interference of the vector/axial terms with the scalar/pseudoscalar/tensor-type interaction terms. All such terms cancel out in the cross amplitude terms due to the products of the odd number of gamma matrices for vector/axial currents with the even number of gamma matrices in the scalar/pseudoscalar/tensor current. Thus, the scalar, pseudoscalar and tensor interactions are independent of the vector and axial-vector currents and in particular do not interfere with the SM interactions. We will discuss this point in more detail in Sec. V.

III. SEARCHING FOR EXOTIC INTERACTIONS IN SOLAR NEUTRINO EXPERIMENTS

In this section we give details of the solar oscillation probabilities, event rate calculations and the statistical model used for our analysis.

A. Solar neutrino oscillation probabilities

As solar neutrinos change their flavor from production to detection, we need to consider the survival probabilities for the pp , ${}^7\text{Be}$ and pep neutrinos that we will use for our model to fit with the data. We follow the notation from [33]. If there were no matter effects, the oscillation amplitude would be $A_{\alpha\beta} = U_{\alpha i} X_i U_{i\beta}^\dagger$, where i are mass indices while α and β are the flavor indices. Summation over the mass indices is implied. Here U is the neutrino mixing matrix and X is the diagonal phase matrix $X = \text{diag}(1, \exp(-i2\pi L/L_{21}^{osc}), \exp(-i2\pi L/L_{31}^{osc}))$, where the

oscillation length is defined as $L_{ij}^{osc} = 4\pi E_\nu / (m_i^2 - m_j^2)$. Thus, the solar neutrinos oscillation probability would read

$$P_{\alpha\beta} = |A_{\alpha\beta}|^2 = |U_{\alpha i} X_i U_{i\beta}^*|^2. \quad (9)$$

Due to the very large distance between Sun and Earth we can take the averaged oscillation probability as

$$\langle P \rangle_{\alpha\beta} = U_{\alpha i} U_{\beta i}^* U_{\alpha i} U_{i\beta} = |U_{\alpha i}|^2 |U_{\beta i}|^2. \quad (10)$$

Expressed in terms of mixing angles, the averaged probability for solar neutrinos is $\langle P \rangle_{ee} = s_{13}^4 + (c_{12}c_{13})^4 + (s_{12}c_{13})^4$, where $s_{ij} \equiv \sin \theta_{ij}$ and $c_{ij} \equiv \cos \theta_{ij}$ in the commonly used notation [1].

Matter effects are important for precision studies, and depend on energy. Solar neutrinos from the low energy pp reaction, which has a continuous spectrum with energy $E_\nu \leq 0.420$ MeV, witness very little matter effects. The probability P_{ee} has less than a percent difference from the path-averaged expression in Eq. (10). However, for the somewhat higher energy discrete spectra of ${}^7\text{Be}$ and pep neutrinos ($E_\nu = 0.862$ and $E_\nu = 1.44$ MeV, respectively), the matter effects are still small, up to 4%–5%, but not entirely negligible. Therefore, we include the small modifications due to matter effects according to

$$\langle P^m \rangle_{ee} = s_{13}^4 + \frac{1}{2} c_{13}^4 (1 + \cos 2\theta_{12}^m \cos 2\theta_{12}), \quad (11)$$

where

$$\cos 2\theta_{12}^m = \frac{1 - N_e/N_e^{res}}{\sqrt{(1 - N_e/N_e^{res})^2 + \tan^2 2\theta_{12}}} \quad (12)$$

is the effective mixing angle inside the Sun, N_e is the electron number density at the center of the Sun, $N_e^{res} = \Delta m_{12}^2 \cos 2\theta_{12} / (2E_\nu \sqrt{2} G_F)$ is the electron density in the resonance region, Δm_{12}^2 is the solar mass-squared difference, θ_{12} is the solar mixing angle and G_F is the Fermi constant. For the continuous pp spectrum, we use the electron density at an average pp production point in the above expressions and assume an exponential decrease of the density outward from the core in the analytic approximations as discussed in detail in Ref. [34]. This is an excellent approximation for $r > 0.1 R_{\text{solar}}$ [35].

Taking the current best-fit values of the oscillation parameters [1], we find the vacuum value $\langle P^{vac} \rangle_{ee} = 0.558$, which is modified to $\langle P^{pp} \rangle_{ee} = 0.554$ for pp , $\langle P^{7\text{Be}} \rangle_{ee} = 0.536$ for ${}^7\text{Be}$ and $\langle P^{pep} \rangle_{ee} = 0.529$ for pep neutrinos in the case of matter effects.

B. Borexino, event rate calculations and the χ^2 model

We will consider five measurements made by the Borexino experiment since 2007 both in phase-I [7,8]

TABLE I. The measured event rates in Borexino with statistical uncertainties (1σ) and the predicted event rates from the standard solar model (SSM). Our calculated event rates are given in the last column.

Flux	Event rate (phase-I)	Event rate (phase-II)	Our prediction	% age error (theoretical)
pp	–	$134 \pm 10^{+6}_{-10}$	133.5 ± 1.4	1.1%
${}^7\text{Be}$	$46 \pm 1.5^{+1.5}_{-1.6}$	$48.3 \pm 1.1^{+0.4}_{-0.7}$	48.1 ± 2.8	5.8%
pep	$3.1 \pm 0.6 \pm 0.3$	$2.43 \pm 0.36^{+0.15}_{-0.22}$	2.9 ± 0.04	1.5%

and phase-II [9–11] runs. The pp spectrum was measured in phase-II only, ${}^7\text{Be}$ and pep spectra were measured in both phase-I and phase-II with an extensively purified scintillator in phase-II between December 2011 and May 2016 for a total of 1291.51 days. The data obtained from the experiment is given in Table I.

For all five measurements we take the number of target electrons per 100 tons, $N_e^{\text{target}} = 3.307 \times 10^{31}$, as quoted in Ref. [11], while taking the pp reaction flux from Ref. [35].² Since the ${}^7\text{Be}$ and pep fluxes have discrete spectra, we treat them as delta functions in our analysis to evaluate the rate in Eq. (13), see below. In addition, as discovered by all BOREXINO experiments that the data mostly favor the high-metallicity SSM, we use only the high-metallicity fluxes for our analysis to constrain our parameters. The high-metallicity SSM flux values we use are $\phi_{{}^7\text{Be}} = 4.48 \times 10^9 \text{ cm}^{-2} \text{ s}^{-1}$ at 0.862 MeV and $\phi_{pep} = 1.44 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ at 1.44 MeV in our calculations. Nevertheless, to investigate the discrepancy between the low-metallicity SSM and the data in the context of a specific model beyond the SM would be more interesting. In order to calculate the expected number of events in the Borexino detector, we can write down the expression for total rates as

$$R_\nu^i = N_e^{\text{target}} \int_0^{E_{\text{max}}} dE_\nu \phi^i(E_\nu) (\sigma_e(E_\nu) \langle P^i \rangle_{ee} + \sigma_{\mu,\tau}(E_\nu) [1 - \langle P^i \rangle_{ee}]), \quad (13)$$

where $\langle P^i \rangle_{ee}$ is given in Eq. (11), with the index i indicating whether pp , ${}^7\text{Be}$ or pep neutrinos are considered. The cross sections $\sigma_e(E_\nu)$ and $\sigma_{\mu,\tau}(E_\nu)$ are given in Eq. (8). Using the updated values of Higgs and the top quark masses from PDG we have used the results on the radiative corrections of Ref. [35]. We find 1.9% decreasing and 1.1% increasing quantum corrections for $\nu_e e \rightarrow \nu_e e$ and $\nu_{\mu,\tau} e \rightarrow \nu_{\mu,\tau} e$ scattering, respectively. We have normalized our SM predicted total cross sections accordingly. Note that we assume in Eq. (13) equal fluxes of muon and tau neutrinos,

²The details of the pp flux calculation have been summarized in the appendix of Ref. [33].

which corresponds to maximal θ_{23} . This will imply identical limits for the muon- and tau-flavor ϵ parameters. For nonmaximal θ_{23} there will in reality be slightly different limits. For data fitting, we use the following χ^2 -estimator to constrain the parameters $\vec{\lambda} \equiv (\epsilon_a, \tilde{\epsilon}_a)$:

$$\chi^2(\vec{\lambda}) = \sum_i \frac{(R_{\text{exp}}^i - R_{\text{pre}}^i (1 + \alpha^i))^2}{(\sigma_{\text{stat}}^i)^2} + \left(\frac{\alpha^i}{\sigma_\alpha^i} \right)^2, \quad (14)$$

where i runs over the solar neutrino sources pp , ${}^7\text{Be}$ and pep . In Eq. (14), R_{exp} are the experimental event rates observed at Borexino in phase-I and phase-II with σ_{stat} the statistical uncertainties for each of the five measurements, while R_{pre} is the predicted event rate corresponding to each experiment, calculated using Eq. (13). The predicted and measured event rates are quoted in Table I, as well as our calculated values for comparison. We take the neutrino energy window of 100–420 keV for calculating the pp -neutrino event rate. The obtained results for the SM case are given in Table I.

In Eq. (14), we also add a penalty term corresponding to each measurement to account for the theoretical uncertainties in the solar flux model for the three solar spectra and from the oscillation parameters, mostly from θ_{12} since θ_{13} and Δm_{12}^2 are known very well. In Table I we quote the percentage uncertainties for each spectrum using Borexino's predicted event rates. We use the predicted percentage uncertainties as the constraints (σ_α) on the pull parameters (α^i). Since the five measured event rate values given in Table I are already background subtracted we do not include any background terms in our χ^2 model. Additionally, since we are working with the event rates we are less affected by details of the detector energy resolutions or detector response, etc.

As stated earlier, since we are using a simple χ^2 model that is based on the total event rate analysis corresponding to each low energy component of the solar spectrum, we consider only the statistical uncertainties and do not take into account the experimental correlated or uncorrelated systematic errors. The statistical analysis we have implemented here has already been used for phenomenological new physics studies in Refs. [33,36] and others. The validity of the χ^2 model used here has been cross-checked for estimating the neutrino magnetic moments for the same data in Ref. [37]. The results of that work are in good agreement with those obtained by Borexino in Ref. [11] for phase-II data. As an explicit comparison, the analysis from Ref. [33] applied to phase-II data without carbon-nitrogen-oxygen (CNO) cycle data gives for the weak mixing angle $\sin^2 \theta_W = 0.229 \pm 0.038$, to be compared to Borexino's result [38] of $\sin^2 \theta_W = 0.229 \pm 0.026$. The limits we will present in what follows are therefore conservative.

We emphasize that full agreement between our results and Borexino's result of the vector and axial vector

parameters [38] cannot be anticipated since we are not including the CNO data in our analysis as the direct rate measurement by Borexino is not available. We rather use data from phase I and phase II while Borexino uses only phase II data. Also, since the β spectrum of ^{210}Bi and ^{85}Kr as backgrounds coincide with the ^7Be spectrum that might lead to these mild modifications. Spectral analysis of all the general parameters using the full set of the Borexino's data is beyond the scope of this work. In the analysis we use the values of masses and mixing angles from PDG [1].

IV. RESULTS

Having described our fitting procedure, we present here the results. As the produced electron neutrinos oscillate also to muon and tau neutrinos, we study two scenarios: (i) new interactions appear only for ν_e , and (ii) new interactions appear only for $\nu_{\mu/\tau}$ (recall that we do not distinguish both flavors).

Figure 1 shows the result of our χ^2 fit for the general interactions of electron neutrinos. The constraints are compared to previous constraints obtained in Ref. [6] using the TEXONO reactor antineutrino data. Borexino improves the limits on ϵ_{ee}^V , $\tilde{\epsilon}_{ee}^V$, ϵ_{ee}^A , ϵ_{ee}^T and $\tilde{\epsilon}_{ee}^T$. Figure 2 shows the fit result for new physics acting only on muon/tau neutrinos. The constraints are compared to a previous constraint obtained in Ref. [6] using CHARM-II data. Borexino improves the limit on $\tilde{\epsilon}_{\mu\mu}^V$. For $\epsilon_{\tau\tau}^a$ and $\tilde{\epsilon}_{\tau\tau}^a$ these are the very first limits. The numerical values of the constraints in Figs. 1 and 2 are given in Tables II and III.

It is also useful to give constraints on the parameters A , B , C and D that appear in the total cross section Eq. (8). The result is given in Fig. 3 and Table IV for electron and muon/tau neutrinos. We have performed here two-parameter fits setting the other two parameters to their SM values. The SM values of these parameters are given in the last two columns of Table IV, which in particular is $B = 0$. For future experiments (see Sec. IV B) we assumed the SM values of A , B , C and D .

A. Comparison with the conventional NSI and other studies

We note that recently the BOREXINO Collaboration published constraints on nonstandard interactions $\epsilon_{\alpha\alpha}^{L,R}$ using phase-II data only [38]. Using the general relation $\epsilon_{\alpha\alpha}^{V,A} = \epsilon_{\alpha\alpha}^R \pm \epsilon_{\alpha\alpha}^L$, we translate their bounds in our notation and present them in the third and fourth columns of Tables II and III for comparison. The difference between the two results can be understood as follows. We use rates of five BOREXINO experiments from both phase I and II while Ref. [38] uses only the phase II data. We use a different statistical model for parameter fitting than them. They divide the pull term in their statistical function by a factor of 2. We have assumed the maximal mixing assumption for θ_{23} while Ref. [38] has taken the opposite.

An exact comparison would be difficult; however, given the above differences in approaches and datasets, the agreement is reasonable.

We note that the comparison to previous limits from reactor antineutrinos can be partly understood from the form of the cross section in Eq. (5). The term proportional to C is suppressed by about a factor of 3 in the total cross section with respect to A in the energy regime of BOREXINO measurements considered in this work. For anti-neutrinos A and C are interchanged in the cross section, which means that the solar data is more sensitive to the left-handed neutral currents ($V - A$), that is, to parameters in the definition of A here, while the reactor data is more sensitive to the right-handed parameters ($V + A$), occurring here in the definition of C in Eq. (6). This argument is valid only for $\nu_e e$ scattering. For the $\nu_{\mu/\tau} e$ scatterings, the bounds here are comparable to those from CHARM-II which is sensitive to both left-handed and right-handed couplings. However, as we can see from Tables II and III, solar data give better constraints on tensor interactions while weaker or comparable constraints on scalar and pseudoscalar interactions.

We note that a recent work [26] introduced scalar NSI, similar to $a = S$ in our Eq. (4), to study the matter effect in neutrino oscillation. The strength considered in Ref. [26] corresponds to $e^S \sim 10^6$ to 10^9 . Such strong interactions are not compatible with most neutrino scattering data unless they are mediated by very light bosons. The scenario of light mediators has been further investigated in Ref. [42], which concludes that scalar NSI cannot cause significant effects in current experiments. In our work, we do not consider light mediators and always focus on four-fermion effective operators. Therefore, for scalar interactions not much stronger than the SM interactions [i.e., $e^S \leq \mathcal{O}(1)$], the matter effect is negligible.

B. Future prospects from solar data

There are several ideas floating around to further improve the precision on solar neutrino measurements with a precision of 1% or better. The main motivations behind these projects are the determination of the correct metallicity (low or high) solar model and photon fluxes from the Sun, a more stringent test of the LMA-MSW solution of the neutrinos propagating through the solar matter and to explore exotic properties related to the solar neutrinos. One such project is the Jinping experiment [43]. In addition, future large scale dark matter direct detection experiments can provide precise solar neutrino measurements [44–46], ideas to use future long-baseline neutrino oscillation far detectors as solar neutrino experiments are also present [47].

As for different types of potential future experiments the precision of the individual solar neutrino sources is different; we conservatively adopt for simplicity that all three low energy solar neutrino fluxes (pp , pep and ^7Be) will have

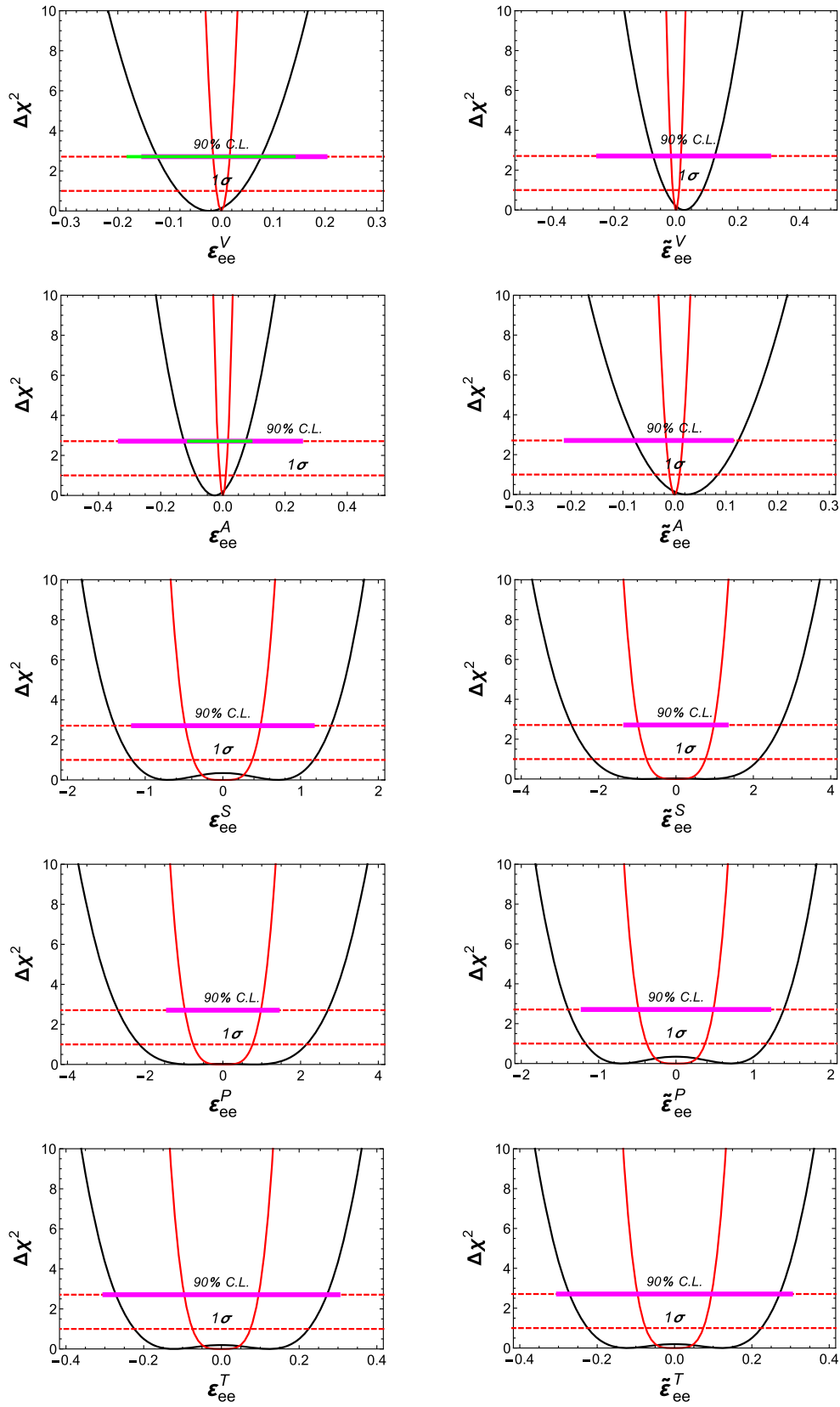


FIG. 1. Constraints on general neutrino interactions, see Eq. (4), for electron neutrinos. The black line is the limit obtained from Borexino event rates, the red line for hypothetical future measurements with event rate precision of 1%, see Sec. IV B. Indicated are the 1σ and 90% C.L. projections. The thick horizontal magenta line is the limit obtained from TEXONO data, taken from [6]. The green horizontal lines at 90% C.L. projections in the top two left figures are bounds from Ref. [38].

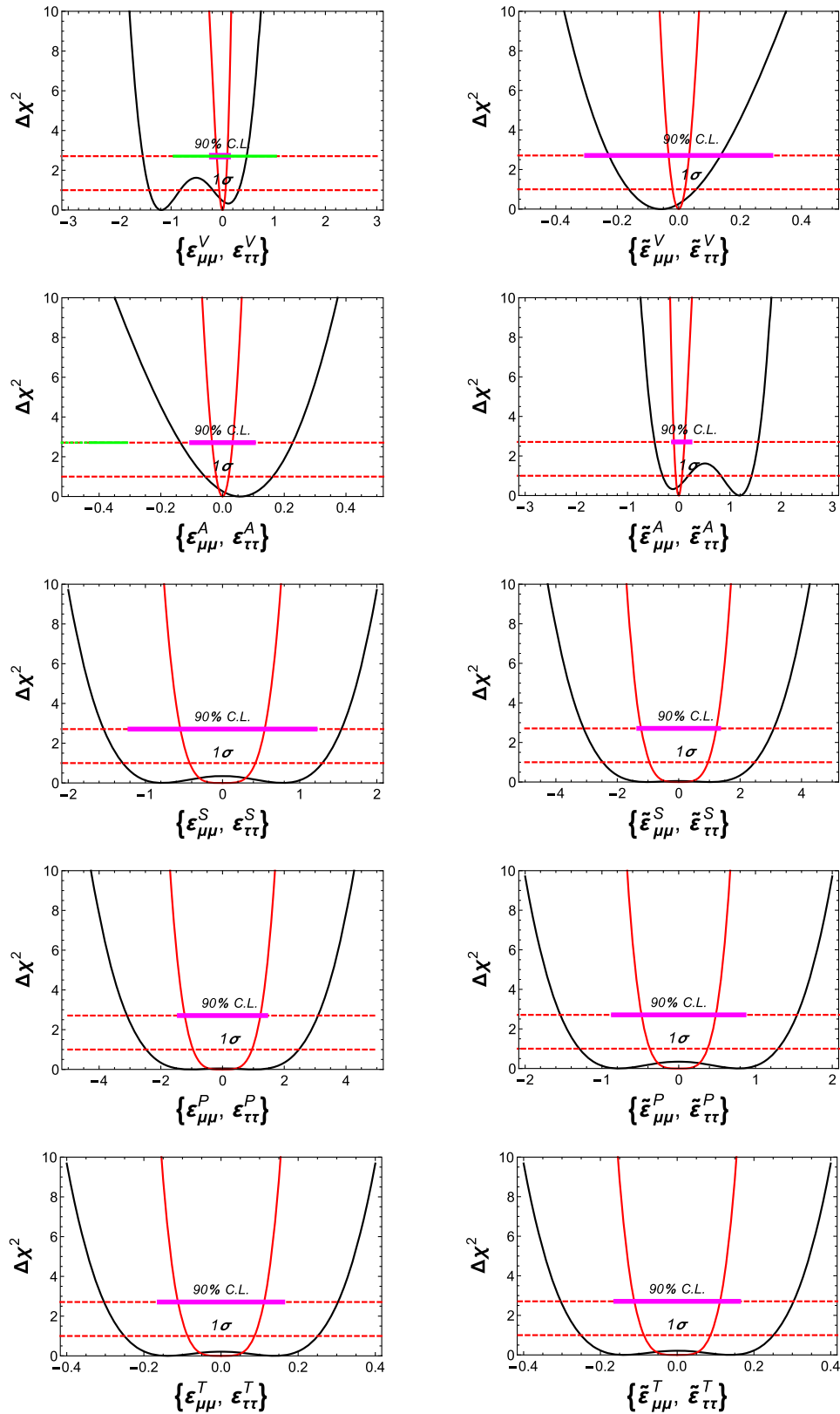


FIG. 2. Constraints on general neutrino interactions, see Eq. (4), for muon/tau neutrinos. The black line is the limit obtained from Borexino event rates, the red line for hypothetical future measurements with event rate precision of 1%, see Sec. IV B. Indicated are the 1σ and 90% C.L. projections. The thick horizontal magenta line is the limit on muon neutrino general interactions obtained from CHARM-II data, taken from [6]. The green horizontal lines at 90% C.L. projections in the top two left figures are bounds from Ref. [38]. The dots on the left of the green line in the second graph shows that the lower bound goes up to -0.72 which is beyond the chosen scale of our analysis.

TABLE II. 90% C.L. constraints on general neutrino interactions for electron neutrinos, see Eq. (4), obtained from Borexino data, displayed in Fig. 1. Given also are previous limits and hypothetical future constraints. In the fourth and fifth columns the bounds were translated from the corresponding references by the relation $\epsilon_{\alpha\alpha}^{V,A} = \epsilon_{\alpha\alpha}^R \pm \epsilon_{\alpha\alpha}^L$.

Parameter	This study (solar)	Ref. [38]	Ref. [39]	Ref. [6,40,41]	Future (solar)
ϵ_{ee}^V	[-0.12, 0.08]	[-0.18, 0.14]	[-0.31, 0.65]	[-0.13, 0.20]	[-0.016, 0.016]
ϵ_{ee}^A	[-0.13, 0.07]	[-0.11, 0.08]	[-0.23, 0.53]	[-0.32, 0.22]	[-0.016, 0.016]
ϵ_{ee}^S	[-1.4, 1.4]	[-1.1, 1.1]	[-0.49, 0.49]
ϵ_{ee}^P	[-2.7, 2.7]	[-1.3, 1.3]	[-0.98, 0.98]
ϵ_{ee}^T	[-0.27, 0.27]	[-0.31, 0.30]	[-0.09, 0.09]
$\tilde{\epsilon}_{ee}^V$	[-0.07, 0.13]	[-0.23, 0.29]	[-0.016, 0.016]
$\tilde{\epsilon}_{ee}^A$	[-0.08, 0.13]	[-0.21, 0.11]	[-0.016, 0.016]
$\tilde{\epsilon}_{ee}^S$	[-2.7, 2.7]	[-1.3, 1.3]	[-0.98, 0.98]
$\tilde{\epsilon}_{ee}^P$	[-1.4, 1.4]	[-1.1, 1.1]	[-0.48, 0.48]
$\tilde{\epsilon}_{ee}^T$	[-0.27, 0.27]	[-0.31, 0.29]	[-0.09, 0.09]

TABLE III. 90% C.L. constraints on general neutrino interactions for muon/tau neutrinos, see Eq. (4), obtained from Borexino data, displayed in Fig. 2. Given also are previous limits (on muon neutrinos) and hypothetical future constraints. In the fourth and fifth columns the bounds were translated from the corresponding references by the relation $\epsilon_{\alpha\alpha}^{V,A} = \epsilon_{\alpha\alpha}^R \pm \epsilon_{\alpha\alpha}^L$.

Parameter	This study (solar)	Ref. [38]	Ref. [39]	Ref. [6,40,41]	Future (solar)
$\epsilon_{\mu\mu}^V / \epsilon_{\tau\tau}^V$	[-1.5, 0.5]	[-0.94, 1.03]	[-1.21, 0.42]	[-0.22, 0.08]	[-0.1, 0.1]
$\epsilon_{\mu\mu}^A / \epsilon_{\tau\tau}^A$	[-0.14, 0.23]	[-0.72, -0.31]	[-0.89, 0.20]	[-0.08, 0.08]	[-0.03, 0.03]
$\epsilon_{\mu\mu}^S / \epsilon_{\tau\tau}^S$	[-1.5, 1.5]	[-0.83, 0.83]	[-0.5, 0.5]
$\epsilon_{\mu\mu}^P / \epsilon_{\tau\tau}^P$	[-3, 3]	[-0.83, 0.83]	[-1.22, 1.22]
$\epsilon_{\mu\mu}^T / \epsilon_{\tau\tau}^T$	[-0.271, 0.271]	[-0.15, 0.15]	[-0.1, 0.1]
$\tilde{\epsilon}_{\mu\mu}^V / \tilde{\epsilon}_{\tau\tau}^V$	[-0.4, 0.8]	[-0.09, 0.08]	[-0.04, 0.04]
$\tilde{\epsilon}_{\mu\mu}^A / \tilde{\epsilon}_{\tau\tau}^A$	[-1.2, 0.9]	[-0.09, 0.22]	[-0.1, 0.1]
$\tilde{\epsilon}_{\mu\mu}^S / \tilde{\epsilon}_{\tau\tau}^S$	[-3, 3]	[-0.83, 0.83]	[-1.2, 1.2]
$\tilde{\epsilon}_{\mu\mu}^P / \tilde{\epsilon}_{\tau\tau}^P$	[-1.54, 1.54]	[-0.83, 0.83]	[-0.54, 0.54]
$\tilde{\epsilon}_{\mu\mu}^T / \tilde{\epsilon}_{\tau\tau}^T$	[-0.3, 0.3]	[-0.15, 0.15]	[-0.1, 0.1]

been measured with a 1% precision and assume the SM values of the various ϵ , $\tilde{\epsilon}$ or A , B , C , D . With this projected precision we simulate our data for the same type and size of the Borexino detector and then fit all the parameters in a similar fashion as was done for the real data. The results of this analysis are displayed with red color distributions in Figs. 1 and 2 and with green color ellipses in Fig. 3.

As is clear from Figs. 1 and 2, and can be read off from Tables II and III, the future solar data with 1% precision will improve the current bounds on nonstandard vector, axial-vector and tensor interactions by more than one order of magnitude while for the scalar and pseudoscalar ones they will improve by a factor of 3 to 5, in general. The future constraints at 90% C.L. on the parameters A , B , C and D are also shown with green color ellipses overlaid on the current constraints for comparison in Fig. 3.

V. THE DIFFERENCE BETWEEN DIRAC AND MAJORANA NEUTRINOS

Throughout this paper, our analyses assumed Dirac neutrinos. As we have previously mentioned, for Majorana

neutrinos some interactions are absent because they have fewer degrees of freedom than Dirac neutrinos. More explicitly, a Dirac neutrino spinor consists of both left-handed and right-handed components (ν_L and ν_R):

$$\nu_D = \nu_L + \nu_R, \quad (15)$$

where ν_L and ν_R are two independent fermionic degrees of freedom. A Majorana neutrino spinor is conventionally defined as

$$\nu_M = \nu_L + \nu_L^c, \quad (16)$$

so that $\nu_M = \nu_M^c$. Here ν_L^c is the charge conjugate of ν_L , containing essentially the same Weyl spinor as ν_L . Expanding $\bar{\nu}\Gamma\nu$ in terms of the chiral components, one can immediately see that some interactions cannot exist for ν_M . For instance,

$$\bar{\nu}_D\gamma^\mu\nu_D = \bar{\nu}_L\gamma^\mu\nu_L + \bar{\nu}_R\gamma^\mu\nu_R, \quad (17)$$

$$\bar{\nu}_M\gamma^\mu\nu_M = \bar{\nu}_L\gamma^\mu\nu_L + \bar{\nu}_L^c\gamma^\mu\nu_L^c = \bar{\nu}_L\gamma^\mu\nu_L - \bar{\nu}_L\gamma^\mu\nu_L = 0, \quad (18)$$

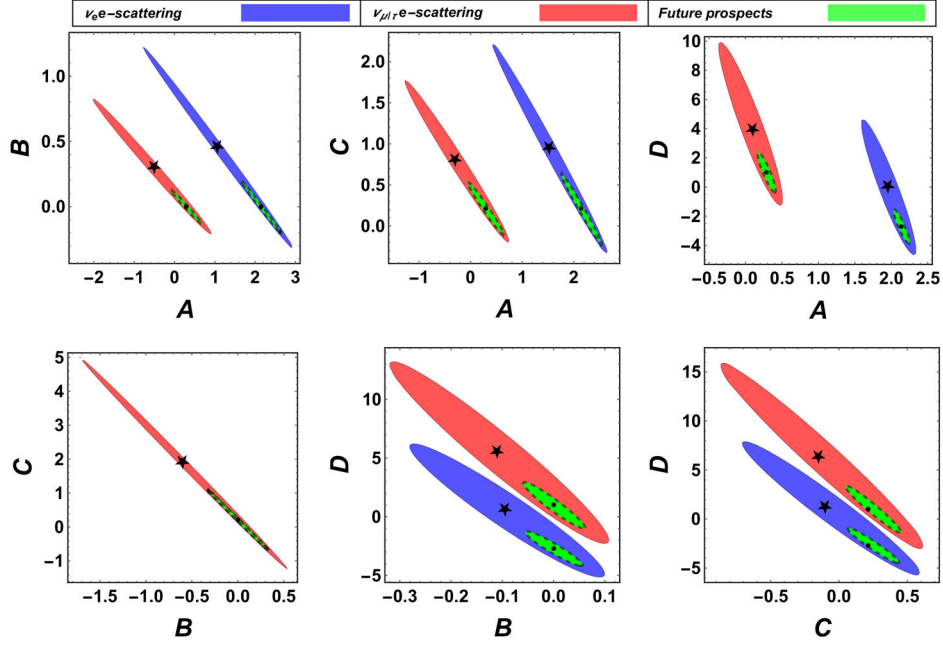


FIG. 3. 90% C.L. constraints on cross section parameters A , B , C and D that appear in the total cross section Eq. (8). The Standard Model values are indicated as black dots, our best-fit values are the black stars. The yellow region assumes a hypothetical future measurement with 1% precision, see Sec. IV B.

which implies that *flavor-diagonal* vector interactions cannot exist for Majorana neutrinos. Likewise, one can check that for the tensor interactions

$$\overline{\nu}_D \sigma^{\mu\nu} \nu_D = \overline{\nu}_R \sigma^{\mu\nu} \nu_L + \overline{\nu}_L \sigma^{\mu\nu} \nu_R, \quad (19)$$

$$\overline{\nu}_M \sigma^{\mu\nu} \nu_M = \overline{\nu}_L^c \sigma^{\mu\nu} \nu_L + \overline{\nu}_L \sigma^{\mu\nu} \nu_L^c = 0. \quad (20)$$

However, *off-diagonal* vector and tensor interactions are possible for Majorana neutrinos. This is in analogy to the well-known fact that Majorana neutrinos cannot have flavor diagonal magnetic moments (which couple photons to neutrinos via $\sigma^{\mu\nu}$) but can have flavor transition magnetic moments; see, e.g., [48]. In Table V, we list the chiral expansion for all possible products with flavor indices

TABLE IV. 90% C.L. constraints on the cross section parameters A , B , C and D that appear in the total cross section Eq. (8) corresponding to Fig. 3. Dirac neutrinos are assumed, and the Standard Model values for the parameters are also given.

Parameter	Bounds ($\nu_e e$)	Bounds ($\nu_{\mu/\tau} e$)	Best-fit ($\nu_e e$)	Best-fit ($\nu_{\mu/\tau} e$)	SM ($\nu_e e$)	SM ($\nu_{\mu/\tau} e$)
A_{ae}	[2, 2.3]	[0.15, 0.45]	2.2	0.31	2.12	0.29
B_{ae}	[-0.05, 0.07]	[-0.05, 0.07]	0.01	0.01	0	0
C_{ae}	[0.11, 0.41]	[0.11, 0.41]	0.24	0.24	0.21	0.21
D_{ae}	[-3.5, -0.2]	[-0.2, 3.5]	-2.3	1.5	-2.7	0.99

TABLE V. Neutrino spinor products ($\overline{\nu}_\alpha \Gamma \nu_\beta$) written explicitly in terms of the chiral components (second and fourth columns), or in terms of the Weyl spinors (third and fifth columns). Here $\sigma^\mu = (1, \vec{\sigma})$ and $\overline{\sigma}^\mu = (1, -\vec{\sigma})$ are the Lorentz-covariant Pauli matrices, $\sigma_{2 \times 2}^{\mu\nu}$ and $\overline{\sigma}_{2 \times 2}^{\mu\nu}$ are defined as $\sigma_{2 \times 2}^{\mu\nu} \equiv \frac{i}{2}(\sigma^\mu \overline{\sigma}^\nu - \sigma^\nu \overline{\sigma}^\mu)$ and $\overline{\sigma}_{2 \times 2}^{\mu\nu} \equiv \frac{i}{2}(\overline{\sigma}^\mu \sigma^\nu - \overline{\sigma}^\nu \sigma^\mu)$.

$\overline{\nu}_\alpha \Gamma \nu_\beta$	Dirac: $\nu_D = \nu_L + \nu_R$	Dirac: $\nu_D = \begin{pmatrix} \chi \\ \xi \end{pmatrix}$	Majorana: $\nu_M = \nu_L + \nu_L^c$	Majorana: $\nu_M = \begin{pmatrix} \chi \\ \overline{\chi} \end{pmatrix}$
$\overline{\nu}_\alpha \nu_\beta$	$\overline{\nu}_{\alpha R} \nu_{\beta L} + \overline{\nu}_{\alpha L} \nu_{\beta R}$	$\xi_\alpha \chi_\beta + \overline{\chi}_\alpha \xi_\beta$	$\overline{\nu}_{\alpha L}^c \nu_{\beta L} + \overline{\nu}_{\alpha L} \nu_{\beta L}^c$	$\chi_\alpha \chi_\beta + \overline{\chi}_\alpha \overline{\chi}_\beta$
$\overline{\nu}_\alpha i \gamma^5 \nu_\beta$	$-i \overline{\nu}_{\alpha R} \nu_{\beta L} + i \overline{\nu}_{\alpha L} \nu_{\beta R}$	$-i \xi_\alpha \chi_\beta + i \overline{\chi}_\alpha \xi_\beta$	$-i \overline{\nu}_{\alpha L}^c \nu_{\beta L} + i \overline{\nu}_{\alpha L} \nu_{\beta L}^c$	$-i \chi_\alpha \chi_\beta + i \overline{\chi}_\alpha \overline{\chi}_\beta$
$\overline{\nu}_\alpha \gamma^\mu \nu_\beta$	$\overline{\nu}_{\alpha L} \gamma^\mu \nu_{\beta L} + \overline{\nu}_{\alpha R} \gamma^\mu \nu_{\beta R}$	$\overline{\chi}_\alpha \overline{\sigma}^\mu \chi_\beta + \xi_\alpha \sigma^\mu \xi_\beta$	$\overline{\nu}_{\alpha L} \gamma^\mu \nu_{\beta L} - \overline{\nu}_{\beta L} \gamma^\mu \nu_{\alpha L}$	$\overline{\chi}_\alpha \overline{\sigma}^\mu \chi_\beta + \chi_\alpha \sigma^\mu \overline{\chi}_\beta$
$\overline{\nu}_\alpha \gamma^\mu \gamma^5 \nu_\beta$	$-\overline{\nu}_{\alpha L} \gamma^\mu \nu_{\beta L} + \overline{\nu}_{\alpha R} \gamma^\mu \nu_{\beta R}$	$-\overline{\chi}_\alpha \overline{\sigma}^\mu \chi_\beta + \xi_\alpha \sigma^\mu \xi_\beta$	$-\overline{\nu}_{\alpha L} \gamma^\mu \nu_{\beta L} - \overline{\nu}_{\beta L} \gamma^\mu \nu_{\alpha L}$	$-\overline{\chi}_\alpha \overline{\sigma}^\mu \chi_\beta + \chi_\alpha \sigma^\mu \overline{\chi}_\beta$
$\overline{\nu}_\alpha \sigma^{\mu\nu} \nu_\beta$	$\overline{\nu}_{\alpha R} \sigma^{\mu\nu} \nu_{\beta L} + \overline{\nu}_{\alpha L} \sigma^{\mu\nu} \nu_{\beta R}$	$\xi_\alpha \sigma_{2 \times 2}^{\mu\nu} \chi_\beta + \overline{\chi}_\alpha \overline{\sigma}_{2 \times 2}^{\mu\nu} \xi_\beta$	$\overline{\nu}_{\alpha L}^c \sigma^{\mu\nu} \nu_{\beta L} + \overline{\nu}_{\alpha L} \sigma^{\mu\nu} \nu_{\beta L}^c$	$\chi_\alpha \sigma_{2 \times 2}^{\mu\nu} \chi_\beta + \overline{\chi}_\alpha \overline{\sigma}_{2 \times 2}^{\mu\nu} \overline{\chi}_\beta$

included. The results for Majorana neutrinos can be simply obtained by replacing ν_R in the Dirac column with ν_L^c .

Let us inspect the symmetry of these products when the flavor indices α and β are interchanged. For any two general spinors ψ_α and ψ_β (applicable to both Dirac and Majorana), it can be verified that $\overline{\psi_\alpha^c} \Gamma \psi_\beta$ is symmetric with respect to $\alpha \leftrightarrow \beta$ for $\Gamma = (1, i\gamma^5, \gamma^\mu \gamma^5)$, and becomes antisymmetric for $\Gamma = (\gamma^\mu, \sigma^{\mu\nu}, \sigma^{\mu\nu} \gamma^5)$, e.g., $\overline{\psi_\alpha^c} \psi_\beta = \overline{\psi_\beta^c} \psi_\alpha$, $\overline{\psi_\alpha^c} \gamma^\mu \psi_\beta = -\overline{\psi_\beta^c} \gamma^\mu \psi_\alpha$ and $\overline{\psi_\alpha^c} \sigma^{\mu\nu} \psi_\beta = -\overline{\psi_\beta^c} \sigma^{\mu\nu} \psi_\alpha$. Now for Majorana neutrinos, due to their self-conjugate property ($\nu_M = \nu_M^c$), we have

$$\overline{\nu_{M\alpha}} \Gamma \nu_{M\beta} = \overline{\nu_{M\alpha}^c} \Gamma \nu_{M\beta} = -\overline{\nu_{M\beta}^c} \Gamma \nu_{M\alpha} = -\overline{\nu_{M\beta}} \Gamma \nu_{M\alpha} \quad (21)$$

for $\Gamma = (\gamma^\mu, \sigma^{\mu\nu}, \sigma^{\mu\nu} \gamma^5)$,

and likewise

$$\overline{\nu_{M\alpha}} \Gamma \nu_{M\beta} = \overline{\nu_{M\beta}} \Gamma \nu_{M\alpha} \quad \text{for } \Gamma = (1, i\gamma^5, \gamma^\mu \gamma^5). \quad (22)$$

This implies that for Majorana neutrinos, the vector and tensor interactions are flavor antisymmetric; the scalar, pseudoscalar and axialvector interactions are flavor symmetric. Therefore,

$$\text{Majorana: } \begin{cases} \epsilon_{\alpha\beta}^a = -\epsilon_{\beta\alpha}^a, \tilde{\epsilon}_{\alpha\beta}^a = -\tilde{\epsilon}_{\beta\alpha}^a, & (\text{for } a = V, T) \\ \epsilon_{\alpha\beta}^a = \epsilon_{\beta\alpha}^a, \tilde{\epsilon}_{\alpha\beta}^a = \tilde{\epsilon}_{\beta\alpha}^a & (\text{for } a = S, P, A) \end{cases} \quad (23)$$

Note that these ϵ and $\tilde{\epsilon}$ matrices should also be Hermitian, see footnote,³ so Eq. (23) is equivalent to

$$\text{Majorana: } \begin{cases} \text{Re} \epsilon_{\alpha\beta}^a = \text{Re} \tilde{\epsilon}_{\alpha\beta}^a = 0, & (\text{for } a = V, T) \\ \text{Im} \epsilon_{\alpha\beta}^a = \text{Im} \tilde{\epsilon}_{\alpha\beta}^a = 0 & (\text{for } a = S, P, A) \end{cases} \quad (24)$$

³Otherwise the Hermitian conjugate (*h.c.*) term should be added, which again leads to Hermitian ϵ and $\tilde{\epsilon}$.

which means $\epsilon_{\alpha\beta}^a$ and $\tilde{\epsilon}_{\alpha\beta}^a$ are real symmetric matrices for $a = S, P$, and A , and imaginary antisymmetric matrices for $a = V$, and T . In particular, the diagonal parts of the vector and tensor coupling matrices should vanish, $\epsilon_{\alpha\alpha}^V = \tilde{\epsilon}_{\alpha\alpha}^V = \epsilon_{\alpha\alpha}^T = \tilde{\epsilon}_{\alpha\alpha}^T = 0$.

In summary, the difference between Dirac and Majorana neutrinos in the framework of this paper is that the ϵ and $\tilde{\epsilon}$ matrices for Majorana neutrinos are further constrained by Eq. (23), or equivalently, Eq. (24). Thus our results based on Dirac neutrinos are readily applicable to Majorana neutrinos except that some of the couplings, namely flavor-diagonal ϵ_V and ϵ_T , as well as $\tilde{\epsilon}_V$ and $\tilde{\epsilon}_T$, should be absent.

VI. SUMMARY AND CONCLUSIONS

We have discussed here the sensitivity of Borexino to general neutrino interactions. Assuming the presence of additional scalar, pseudoscalar, vector, axialvector or tensor interactions we have investigated how Borexino's measurements of pp , pep and ${}^7\text{Be}$ neutrino event rates constrain the dimensionless (i.e., normalized to the Fermi constant) interaction strength of the new interactions. Several previous limits from TEXONO and CHARM-II are improved for the electron and muon sector, while first limits on the tau sector interactions were set. Our limits are summarized in Figs. 1 and 2 as well as Tables II and III. We focused on Dirac neutrinos, and detailed the difference to Majorana neutrinos. Future prospects on the limits were also considered. Interpreting the interaction strengths as due to some new exchanged boson with coupling g_X and mass M_X implies that ϵ or $\tilde{\epsilon}$ is given approximately by $(g_X^2/M_X^2)/G_F$. This means that current (future) solar neutrino experiments are sensitive to new physics of weak (TeV) scale and beyond.

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