

$\sin(2\phi - \phi_S)$ azimuthal asymmetry in the pion induced Drell-Yan process within TMD factorization

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We investigate the single transverse-spin asymmetry with a $\sin(2\phi - \phi_S)$ modulation in the pion-induced Drell-Yan process within the theoretical framework of the transverse momentum dependent (TMD) factorization. The asymmetry is contributed by the convolution of the Boer-Mulders function and the transversity. We adopt the model results for the distributions of the pion meson and the available parametrization for the distributions of the proton to numerically estimate the $\sin(2\phi - \phi_S)$ asymmetry in $\pi^- p$ Drell-Yan at the kinematics of COMPASS at CERN. To implement the TMD evolution formalism of the distribution functions, we apply two different parametrizations on the nonperturbative Sudakov form factors associated with the distribution functions of the proton and the pion. It is found that our prediction on the single transverse-spin dependent asymmetry $\sin(2\phi - \phi_S)$ as functions of x_p , x_π , x_F and q_\perp is qualitatively consistent with the recent COMPASS measurement in both sign and magnitude.

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I. INTRODUCTION

The Boer-Mulders function, denoted by h_1^\perp , is one of the eight transverse momentum dependent (TMD) parton distribution functions (PDFs) describing the partonic structure of hadrons at leading-twist level. It represents the transversely polarization asymmetry of quarks inside an unpolarized hadron [1,2] arising from the correlation between the quark spin and the quark transverse momentum, thereby it manifests the novel structure of hadrons. However, the very existence of the Boer-Mulders function was not so obvious. Similar to its chiral-even partner—the Sivers function f_{1T}^\perp [3], the Boer-Mulder function was initially thought to vanish under the constraint of (naive) time reversal invariance of QCD [4]. The situation was changed after explicit model calculations [5–7] incorporating gluon exchange between the struck quark and the spectator show that, the T-odd distributions can actually survive. The crucial ingredient in the argument is the Wilson lines (or the gauge links) appearing in the full gauge-invariant definition of TMD distributions [8,9]. The presence of the Wilson lines also indicates that the T-odd distributions, such as the Sivers function and the

Boer-Mulders function, are process dependent. That is, they change sign [6–8] between the semi-inclusive deeply inelastic scattering (SIDIS) and Drell-Yan process, a vital prediction which needs verification by future experimental measurement. In the last decades, the Boer-Mulders function of the proton as well as that of the pion has been studied intensively by models and phenomenological analysis [7,10–30].

As the Boer-Mulders function is a chiral-odd distribution function, it has to couple with another chiral-odd distribution/fragmentation function to survive in a high energy scattering process. A promising process for accessing the Boer-Mulders function is the unpolarized Drell-Yan process, which displays an azimuthal dependence of the final-state dilepton with a $\cos 2\phi$ modulation. As proposed by Boer [2], the coupling of two Boer-Mulder functions from each incident hadrons can generate such asymmetry. However, recent studies based on higher order perturbative QCD [31–33] show that gluon radiation in hard scattering can also give rise to the $\cos 2\phi$ asymmetry substantially, making the extraction of the Boer-Mulders function rather difficult. In the unpolarized SIDIS process, the combination of the Boer-Mulders function and the Collins fragmentation function H_1^\perp can lead to a similar $\cos 2\phi_h$ azimuthal asymmetry of the final state spin-0 hadron. But this asymmetry is contaminated by the so-call Cahn effect [34–36], which is a higher-twist kinematical effect due to the transverse motion of the unpolarized quarks. A cleaner process for accessing the Boer-Mulders function is the single transversely polarized Drell-Yan process. In this process, the convolution of the Boer-Mulders function and

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the transversity distribution h_1 can give rise to a $\sin(2\phi - \phi_S)$ asymmetry [2,37] with ϕ_S the azimuthal angle of target transverse spin. This makes the transversity function an ideal probe in analyzing the information of the Boer-Mulders function from single transversely polarized Drell-Yan process because of less contribution from the background. Recently, the first measurement on the $\sin(2\phi - \phi_S)$ asymmetry has been performed by the COMPASS [38], which adopted a pion beam to collide on the transversely polarized nucleon target. Although no clear tendency is observed on the $\sin(2\phi - \phi_S)$ asymmetry due to relatively large statistical uncertainties, it indeed indicates negative sign and substantial size.

In this work, we will estimate the $\sin(2\phi - \phi_S)$ asymmetry of the pion-nucleon Drell-Yan process by considering the convolution $h_1^\perp \otimes h_1$. The main purpose is to investigate the feasibility of accessing the Boer-Mulders function from single polarized Drell-Yan process. The theoretical tool we adopt in this study is the TMD factorization [39–42] which is applicable in the region the transverse momentum of the dilepton q_\perp is much smaller than the hard scale Q . The TMD factorization has been widely applied to various high energy processes, such as the SIDIS [39,41,43–48], e^+e^- annihilation [41,47,49,50], Drell-Yan [37,41,48] and W/Z production in hadron collision [32,40,41,48]. The TMD factorization can be also extended to the moderate q_\perp region where an equivalence [51,52] between the TMD factorization and the twist-3 collinear factorization is found. From the perspective of TMD factorization, the physical observables in the region $q_\perp \ll Q$ can be expressed as the convolution of the factors related to hard scattering and the well-defined TMD distributions or fragmentation functions (collectively called as TMDs). One of the main features of the TMD formalism is that it provides a systematic approach to deal with the evolution of TMDs. In this formalism, the energy evolution (or the scale dependence) of TMDs are governed by the so-called Collins-Soper equation [39–41,53]. The solution of the evolution equation shows the changes of TMDs from a initial scale to another scale may be determined by an exponential form of the Sudakov-like form factor [40,41,44,54], which can be separated to the perturbative part and nonperturbative part. The former one is perturbatively calculable, while the latter one is usually obtained by phenomenological extraction from experimental data. In this paper, we will consider the evolution of both the pion Boer-Mulders function and the proton transversity to estimate the $\sin(2\phi - \phi_S)$ asymmetry at the kinematics of COMPASS and compare the results with recent COMPASS measurement.

The rest of the paper is organized as follows. In Sec. II, we provide a detailed review on the TMD evolution formalism for the unpolarized and polarized TMDs involved in the calculation. Particularly, we will present our choice on the nonperturbative Sudakov form factors associated with

the TMDs. In Sec. III, we derive the theoretical expression of the $\sin(2\phi - \phi_S)$ asymmetry in the pion-nucleon Drell-Yan process within the framework of TMD factorization. In Sec. IV, we estimate the asymmetry at the COMPASS kinematics using the available model results of the pion Boer-Mulders function and a parametrization for proton transversity as inputs. We consider different choices of the parametrizations on the nonperturbative part of the TMD evolution as well as different model results of the pion distributions in the calculation. The dependence of results on these different choices is also discussed. We summarize the paper in Sec. V.

II. THE TMD EVOLUTION OF DISTRIBUTION FUNCTIONS

In this section, we review the evolution formalism of the unpolarized distribution function f_1 , the Boer-Mulders function h_1^\perp of the pion as well as the transversity function h_1 of the proton, within the TMD factorization.

TMD evolution is usually performed in the coordinate b_\perp -space, where b_\perp is conjugated to k_\perp in the transverse momentum space via Fourier transformation [40,41]. One of the main advantages of b_\perp -space is that the cross section can be expressed as the product of two b_\perp -dependent functions instead of the complicate convolution of functions in k_\perp -space. In the TMD factorization based on different schemes (such as the CS-81 [39], JMY [42,43], and Collins-11 schemes [41]), the TMD distribution functions $\tilde{F}(x, b; \mu, \zeta_F)$ in b_\perp space depend on two energy scales. One is the renormalization scale μ which is related to the corresponding collinear PDFs, the other is the energy scale ζ_F used as a cutoff to regularize the light-cone singularity in the operator definition of the TMD distributions. The two energy-dependences are encoded in different evolution equations. For the ζ_F dependence of the TMD distributions, it is determined by the Collins-Soper (CS) equation [39] ($b = |\mathbf{b}_\perp|$):

$$\frac{\partial \ln \tilde{F}(x, b; \mu, \zeta_F)}{\partial \sqrt{\zeta_F}} = \tilde{K}(b; \mu), \quad (1)$$

while the μ dependence is derived from the renormalization group equation as

$$\frac{d\tilde{K}}{d \ln \mu} = -\gamma_K(\alpha_s(\mu)), \quad (2)$$

$$\frac{d \ln \tilde{F}(x, b; \mu, \zeta_F)}{d \ln \mu} = \gamma_F\left(\alpha_s(\mu); \frac{\zeta_F^2}{\mu^2}\right), \quad (3)$$

with \tilde{K} the CS evolution kernel, and γ_K and γ_F the anomalous dimensions. Solving those equations, one can obtain the general solution for the energy dependence of \tilde{F} :

$$\tilde{F}(x, b, Q) = \mathcal{F} \times e^{-S(Q,b)} \times \tilde{F}(x, b, \mu_i), \quad (4)$$

where \mathcal{F} is the factor related to the hard scattering, $S(Q, b)$ is the Sudakov form factor. Hereafter, we will set $\mu = \sqrt{\zeta_F} = Q$, and express $\tilde{F}(x, b; \mu = Q, \zeta_F = Q^2)$ as $\tilde{F}(x, b; Q)$ for simplicity. Equation (4) demonstrates that the distribution \tilde{F} at an arbitrary scale Q can be determined by the same distribution at an initial scale μ_i through the evolution encoded by the exponential form $\exp(-S(Q, b))$.

Although Eq. (4) provides the general structure for the evolution of TMD distributions in b space, it is only possible to calculate the b dependence of \mathcal{F} perturbatively in the small b region. In the large b region, the b -dependence of \mathcal{F} turns to be nonperturbative. A convenient way to take into account the evolution behavior of $\tilde{F}(x, b; Q)$ in the large b region is to include a non-perturbative Sudakov-like form factor S_{NP} . The latter one is usually given in a parameterized form, which can be obtained by fitting the experimental data. To allow a smooth transition of b from perturbative region to non-perturbative region as well as to avoid the hitting on the Landau pole, one can set a parameter b_{max} to be the boundary between the two different regions. The typical value of b_{max} is chosen around 1 GeV^{-1} to guarantee that b_* is always in the perturbative region. A b -dependent function $b_*(b)$ may be also introduced to have the property $b_* \approx b$ at small b value and $b_* \approx b_{\text{max}}$ at large b value. There are several different choices on the form of $b_*(b)$ in literature [40,48,55], one of them has the following form [40,47]

$$b_* = b / \sqrt{1 + b^2/b_{\text{max}}^2}, \quad b_{\text{max}} < 1/\Lambda_{\text{QCD}} \quad (5)$$

Combining the perturbative part and the nonperturbative part, one can get the complete result for the Sudakov form factor appearing in Eq. (4):

$$S(Q, b) = S_{\text{P}}(Q, b) + S_{\text{NP}}(Q, b) \quad (6)$$

with the boundary of the two parts set by the b_{max} . The perturbative part $S_{\text{P}}(Q, b)$ has been studied [46,56–59] in detail and has the following form:

$$S_{\text{P}}(Q, b) = \int_{\mu_b^2}^{Q^2} \frac{d\bar{\mu}^2}{\bar{\mu}^2} \left[A(\alpha_s(\bar{\mu})) \ln \frac{Q^2}{\bar{\mu}^2} + B(\alpha_s(\bar{\mu})) \right], \quad (7)$$

which is the same for different kinds of distribution functions, namely, S_{P} is spin-independent. In addition, the coefficients A and B in Eq. (7) can be expanded as the series of α_s/π :

$$A = \sum_{n=1}^{\infty} A^{(n)} \left(\frac{\alpha_s}{\pi} \right)^n, \quad (8)$$

$$B = \sum_{n=1}^{\infty} B^{(n)} \left(\frac{\alpha_s}{\pi} \right)^n. \quad (9)$$

In this work, we will take $A^{(n)}$ up to $A^{(2)}$ and $B^{(n)}$ up to $B^{(1)}$ in the accuracy of next-to-leading-logarithmic (NLL) order [40,44,46,57,60,61]:

$$A^{(1)} = C_F, \quad (10)$$

$$A^{(2)} = \frac{C_F}{2} \left[C_A \left(\frac{67}{18} - \frac{\pi^2}{6} \right) - \frac{10}{9} T_R n_f \right], \quad (11)$$

$$B^{(1)} = -\frac{3}{2} C_F. \quad (12)$$

A general form of the nonperturbative part of the Sudakov form factor $S_{\text{NP}}(Q; b)$ was suggested in Ref. [40]:

$$S_{\text{NP}}(Q; b) = g_2(b) \ln Q/Q_0 + g_1(b). \quad (13)$$

Here, $g_i(b)$ are the functions of the impact parameter b . Particularly, $g_2(b)$ contains the information on the large b behavior of the evolution kernel \tilde{K} , while $g_1(b)$ contains information about the intrinsic nonperturbative transverse motion of bound partons, i.e., it depends on the type of the hadron and quark flavor. It might also depend on the momentum fraction of the partons x [62]. It is also worth pointing out that $g_2(b)$ is universal for different types of TMDs and does not depend on the particular process, which is one of the important predictions of QCD factorization theorems involving TMDs [41,44,47,56].

For S_{NP} associated with the pp collision, a parametrization that can describe the SIDIS and Drell-Yan data with Q values ranging from a few to ten GeV has been proposed in Ref. [62] as

$$S_{\text{NP}} = g_1 b^2 + g_2 \ln \frac{b}{b_*} \ln \frac{Q}{Q_0} + g_3 b^2 ((x_0/x_1)^\lambda + (x_0/x_2)^\lambda). \quad (14)$$

The form is different from the traditional parametrization [60,63,64] in which $g_2(b)$ is parametrized as $g_2(b) = g_2 b^2/2$. The parameters g_i are fitted from the nucleon-nucleon Drell-Yan process data [65–71] at the initial scale of $Q_0^2 = 2.4 \text{ GeV}^2$ yielding $g_1 = 0.212$, $g_2 = 0.84$, $g_3 = 0$ with uncertainty $g_1 = 0.212_{-0.007}^{+0.006}$, $g_2 = 0.84_{-0.035}^{+0.040}$. Since the nonperturbative form factor S_{NP} for quarks from one proton and antiquarks from another proton satisfies [72]

$$S_{\text{NP}}^q(Q, b) + S_{\text{NP}}^{\bar{q}}(Q, b) = S_{\text{NP}}(Q, b), \quad (15)$$

S_{NP} associated with a single TMD distribution function can be expressed as (SIYY parametrization)

$$S_{\text{NP}}^{f_{1,q/p}}(Q, b) = \frac{g_1}{2} b^2 + \frac{g_2}{2} \ln \frac{b}{b_*} \ln \frac{Q}{Q_0}. \quad (16)$$

In our calculation of the pion-proton Drell-Yan process, we will adopt the above form factor for the unpolarized TMD distributions of the proton.

For the nonperturbative Sudakov form factors of the pion distribution function, we adopt the parametrization proposed in Ref. [73]

$$S_{\text{NP}}^{f_{1,q/\pi}} = g_1^\pi b^2 + g_2^\pi \ln \frac{b}{b_*} \ln \frac{Q}{Q_0}, \quad (17)$$

which has the same form as that for the proton (in the case $g_3 = 0$). After fitting to the $\pi^- N$ Drell-Yan data [74], the values of the parameters g_1^π and g_2^π are obtained at the initial energy scale $Q_0^2 = 2.4 \text{ GeV}^2$ as $g_1^\pi = 0.082$ and $g_2^\pi = 0.394$ with uncertainty $g_1^\pi = 0.082 \pm 0.022$, $g_2^\pi = 0.394 \pm 0.103$. In the fit we also chose $b_{\text{max}} = 1.5 \text{ GeV}^{-1}$, in consistence with the choice in Ref. [62]. We note that a form of $S_{\text{NP}}^{f_{1,q/\pi}}$ motivated by the Nambu-Jona-Lasinio model was given in Ref. [75].

Besides the Sudakov form factor in Eq. (4), another important element in Eq. (4) is the TMD distribution function at a fixed scale $\tilde{F}(x, b, \mu)$. In the small b region $1/Q \ll b \ll 1/\Lambda$, the TMD distributions at a fixed scale μ can be expressed as the convolution of the perturbatively calculable hard coefficients C and the corresponding collinear counterparts, which could be the collinear PDFs or the multiparton correlation functions [39,76]

$$\tilde{F}_{q/H}(x, b; \mu) = \sum_i C_{q \leftarrow i} \otimes F_{i/H}(x, \mu). \quad (18)$$

The convolution \otimes regarding the momentum fraction of x is given by

$$C_{q \leftarrow i} \otimes F_{i/H}(x, \mu) \equiv \int_x^1 \frac{d\xi}{\xi} C_{q \leftarrow i}(x/\xi, b; \mu) F_{i/H}(\xi, \mu), \quad (19)$$

and $F_{i/H}(\xi, \mu)$ is the corresponding collinear counterpart of the TMD distribution of flavor i in hadron H at the energy scale μ , which could be a dynamic scale related to b_* by $\mu_b = c_0/b_*$, with $c_0 = 2e^{-\gamma_E}$ and the Euler constant $\gamma_E \approx 0.577$ [39]. \sum_i is the sum of both quark and antiquark flavors.

It is straightforward to rewrite the scale-dependent TMD distribution function \tilde{F} of the proton and the pion in b space

$$\begin{aligned} \tilde{F}_{q/H}(x, b; Q) &= e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{q/H}(Q, b)} \mathcal{F}(\alpha_s(Q)) \\ &\times \sum_i C_{q \leftarrow i}^F \otimes F_{i/H}(x, \mu_b), \end{aligned} \quad (20)$$

The factor of $\frac{1}{2}$ in front of S_{P} comes from the fact that S_{P} of quarks and antiquarks satisfies the relation [72]

$$S_{\text{P}}^q(Q, b_*) = S_{\text{P}}^{\bar{q}}(Q, b_*) = S_{\text{P}}(Q, b_*)/2. \quad (21)$$

The hard coefficients $C_{q \leftarrow i}^F$ and \mathcal{F} for f_1 and h_1 have been calculated up to next-to-leading order (NLO), while those for the Boer-Mulders function still remain in the leading order (LO). For consistency, in this work we will adopt the LO results of the C coefficients for f_1 , h_1^\perp and h_1 . That is, we take $\mathcal{F} = 1$ and $C_{q \leftarrow i}^F = \delta_{qi} \delta(1-x)$ for $F = f_1, h_1$ and h_1^\perp . We also note that a calculation in Ref. [47] shows that the NLO C -coefficient for h_1 vanishes.

With all the ingredients above, we can obtain the unpolarized distribution function of the proton and pion in b space as

$$\begin{aligned} \tilde{f}_{1,q/p}(x, b; Q) &= e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/p}}(Q, b)} f_{1,q/p}(x, \mu_b), \\ \tilde{f}_{1,q/\pi}(x, b; Q) &= e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/\pi}}(Q, b)} f_{1,q/\pi}(x, \mu_b). \end{aligned} \quad (22)$$

The distribution function in the transverse momentum space can be obtained by performing the Fourier transformation on the $\tilde{f}_{1,q/H}(x, b; Q)$

$$\begin{aligned} f_{1,q/p}(x, k_\perp; Q) &= \int_0^\infty \frac{dbb}{2\pi} J_0(k_\perp b) e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/p}}(Q, b)} \\ &\times f_{1,q/p}(x, \mu_b), \end{aligned} \quad (23)$$

$$\begin{aligned} f_{1,q/\pi}(x, k_\perp; Q) &= \int_0^\infty \frac{dbb}{2\pi} J_0(k_\perp b) e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/\pi}}(Q, b)} \\ &\times f_{1,q/\pi}(x, \mu_b), \end{aligned} \quad (24)$$

where J_0 is the Bessel function of the first kind, and $k_\perp = |\mathbf{k}_\perp|$.

Similar to the unpolarized distribution function, the transversity distribution of the proton in b -space and k_\perp space can be obtained as [47]

$$\tilde{h}_{1,q/p}(x, b; Q) = e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/p}}(Q, b)} h_{1,q/p}(x, \mu_b), \quad (25)$$

$$\begin{aligned} h_{1,q/p}(x, k_\perp; Q) &= \int_0^\infty \frac{dbb}{2\pi} J_0(k_\perp b) e^{-\frac{1}{2}S_{\text{P}}(Q, b_*) - S_{\text{NP}}^{f_{1,q/p}}(Q, b)} \\ &\times h_{1,q/p}(x, \mu_b), \end{aligned} \quad (26)$$

where the factors and coefficients related to the hard scattering are adopted at LO and the corresponding collinear distribution is the integrated transversity $h_1(x)$. The nonperturbative Sudakov form factor associated with the proton transversity distribution is also assumed to be the same as that for unpolarized distribution function [47].

According to Eq. (18), in the small b region, we can also express the Boer-Mulders function of the pion beam at a fixed energy scale μ in terms of the perturbatively calculable coefficients and the corresponding collinear correlation function

$$\tilde{h}_{1,q/\pi}^{\alpha\perp}(x, b; \mu) = \left(\frac{-ib_{\perp}^{\alpha}}{2}\right) T_{q/\pi, F}^{(\sigma)}(x, x; \mu), \quad (27)$$

where the hard coefficients are calculated up to LO, and the Boer-Mulders function in the b -space is defined as

$$\tilde{h}_{1,q/\pi}^{\perp(\text{DY})}(x, b; \mu) = \int d^2\mathbf{k}_{\perp} e^{-ik_{\perp}\cdot b_{\perp}} \frac{k_{\perp}^{\alpha}}{M_{\pi}} h_{1,q/\pi}^{\perp(\text{DY})}(x, \mathbf{k}_{\perp}^2; \mu). \quad (28)$$

The collinear function $T_{q/\pi, F}^{(\sigma)}(x, x; \mu)$ is the chiral-odd twist-3 quark-gluon-quark correlation function, which is related to the first transverse moment of the Boer-Mulders function $h_{1,q/\pi}^{\perp(1)}$ by

$$T_{q/\pi, F}^{(\sigma)}(x, x; \mu) = \int d^2\mathbf{k}_{\perp} \frac{k_{\perp}^2}{M_{\pi}} h_{1,q/\pi}^{\perp(1)}(x, \mathbf{k}_{\perp}^2; \mu) = 2M_{\pi} h_{1,q/\pi}^{\perp(1)}. \quad (29)$$

As for the nonperturbative part of the Sudakov form factor associated with the Boer-Mulders function, the information still remains unknown. In a practical calculation, we assume that it is the same as $S_{\text{NP}}^{f_{1,q/\pi}}$, i.e., $S_{\text{NP}}^{h_{1,q/\pi}^{\perp}} = S_{\text{NP}}^{f_{1,q/\pi}}$. Therefore, we can obtain the Boer-Mulders function of the pion in b -space as

$$\tilde{h}_{1,q/\pi}^{\alpha\perp}(x, b; Q) = \left(\frac{-ib_{\perp}^{\alpha}}{2}\right) e^{-\frac{1}{2}S_{\text{F}}(Q, b_{\ast}) - S_{\text{NP}}^{f_{1,q/\pi}}(Q, b)} T_{q/\pi, F}^{(\sigma)}(x, x; \mu_b). \quad (30)$$

After performing the Fourier transformation back to the transverse momentum space, one can get the Boer-Mulders function as

$$\begin{aligned} & \frac{k_{\perp}}{M_{\pi}} h_{1,q/\pi}^{\perp}(x, k_{\perp}; Q) \\ &= \int_0^{\infty} db \left(\frac{b^2}{2\pi}\right) J_1(k_{\perp} b) e^{-\frac{1}{2}S_{\text{F}}(Q, b_{\ast}) - S_{\text{NP}}^{f_{1,q/\pi}}(Q, b)} h_{1,q/\pi}^{\perp(1)}(x; \mu_b). \end{aligned} \quad (31)$$

We note that, besides the traditional parametrization [60,63,64] and the SIYY parametrization, Some alternative forms have been also proposed [48,77,78] recently. Particularly, in Ref. [48], a new evolution formalism for the TMDs was suggested (Bacchetta-Delcarro-Pisano-Radici-Signorini (BDPRS) parametrization):

$$\begin{aligned} & \tilde{f}_1^a(x, b^2; Q^2) \\ &= f_1^a(x; \mu_b^2) e^{-S_{\text{F}}(\mu_b^2, Q^2)} e^{g_K(b) \ln(Q^2/Q_0^2)} \tilde{f}_{\text{1NP}}^a(x, b^2), \end{aligned} \quad (32)$$

where $g_K = -g_2 b^2/2$, following the choice in Refs. [60,63,64], and $\tilde{f}_{\text{1NP}}(x, b^2)$ is the intrinsic

nonperturbative part of the TMDs, which is parametrized as

$$\tilde{f}_{\text{1NP}}(x, b^2) = \frac{1}{2\pi} e^{-g_1 \frac{b^2}{4}} \left(1 - \frac{\lambda g_1^2}{1 + \lambda g_1} \frac{b^2}{4}\right), \quad (33)$$

with

$$g_1(x) = N_1 \frac{(1-x)^{\alpha} x^{\sigma}}{(1-\hat{x})^{\alpha} \hat{x}^{\sigma}}, \quad (34)$$

where α , σ , and $N_1 \equiv g_1(\hat{x})$ with $\hat{x} = 0.1$, are free parameters fitted to the available data from SIDIS, Drell-Yan, and Z boson production processes yielding $\lambda = 0.86 \text{ GeV}^{-2}$, $\alpha = 2.95$, $\sigma = 0.17$, $N_1 = 0.28 \text{ GeV}^2$.

Furthermore, in Ref. [48], a b_{\ast} prescription different from Eq. (5) was used:

$$b_{\ast} = b_{\text{max}} \left(\frac{1 - e^{-b^4/b_{\text{max}}^4}}{1 - e^{-b^4/b_{\text{min}}^4}}\right)^{1/4}, \quad (35)$$

where b_{max} is again the boundary of the nonperturbative and perturbative b -space region with fixed value of $b_{\text{max}} = 2e^{-\gamma_E} \text{ GeV}^{-1} \approx 1.123 \text{ GeV}^{-1}$. Besides, the authors in Ref. [48] also chose to saturate b_{\ast} at the minimum value $b_{\text{min}} \propto 2e^{-\gamma_E}/Q$. In this work we will also use the BDPRS evolution formalism to calculate the $\sin(2\phi - \phi_s)$ asymmetry for comparison.

III. FORMALISM OF THE $\sin(2\phi - \phi_s)$ ASYMMETRY IN DRELL-YAN PROCESS

In this section, we present the formalism of the $\sin(2\phi - \phi_s)$ asymmetry in Drell-Yan process within TMD factorization following the procedure in Ref. [41]. We take into account the TMD evolution effects to obtain the theoretical expression of the $\sin(2\phi - \phi_s)$ asymmetry, which arises from the convolution of the Boer-Mulders function of the pion beam and the transversity distribution function of the proton target at leading twist.

The process we study is the pion-induced Drell-Yan process

$$\begin{aligned} \pi^{-}(P_{\pi}) + p^{\uparrow}(P_p) &\rightarrow \gamma^*(q) + X \\ &\rightarrow l^{+}(\ell) + l^{-}(\ell') + X, \end{aligned} \quad (36)$$

where P_{π} , P_p , and q stand for the four-momenta of the incoming π^{-} meson, the proton target and the virtual photon, respectively, $Q^2 = q^2$ is the invariant mass square of the lepton pair, and \uparrow denotes the transverse polarization of the target. We adopt the following kinematical variables to express the experimental observables

$$s = (P_\pi + P_p)^2, \quad x_\pi = \frac{Q^2}{2P_\pi \cdot q}, \quad x_p = \frac{Q^2}{2P_p \cdot q},$$

$$x_F = 2q_L/s = x_\pi - x_p, \quad \tau = Q^2/s = x_\pi x_p,$$

$$y = \frac{1}{2} \ln \frac{q^+}{q^-} = \frac{1}{2} \ln \frac{x_\pi}{x_p}, \quad (37)$$

where s is the total center-of-mass (c.m.) energy squared; x_π and x_p are the Bjorken variables of the pion and proton, respectively; q_L is the longitudinal momentum of the virtual photon in the c.m. frame of the incident hadrons; x_F is the Feynman x variable; and y is the rapidity of the lepton pair. Thus, x_π and x_p can be expressed as functions of x_F , τ and of y , τ

$$x_{\pi/p} = \frac{\pm x_F + \sqrt{x_F^2 + 4\tau}}{2}, \quad x_{\pi/p} = \sqrt{\tau} e^{\pm y}. \quad (38)$$

In leading twist, the differential cross section in πp Drell-Yan for a transversely polarized target has the following general form [79]

$$\frac{d\sigma}{d^4q d\Omega} = \frac{\alpha_{em}^2}{Fq^2} \hat{\sigma}_U \{ (1 + D_{[\sin^2\theta]} A_U^{\cos 2\phi} \cos 2\phi) + |S_T| [A_T^{\sin \phi_S} \sin \phi_S + D_{[\sin^2\theta]} (A_T^{\sin(2\phi+\phi_S)} \sin(2\phi + \phi_S) + A_T^{\sin(2\phi-\phi_S)} \sin(2\phi - \phi_S))] \}. \quad (39)$$

Here, ϕ_S represents the azimuthal angle of the target polarization vector S_T in the target rest frame, ϕ and θ denote the azimuthal and polar angles of the lepton momentum in the Collins-Soper frame, $\hat{\sigma}_U = F_U^1 (1 + \cos^2 \theta)$, with F_U^1 the unpolarized structure function. The symbol $D_{[f(\theta)]}$ denotes the depolarization factor that depends on θ only, and at LO it is simplified to $\sin^2 \theta / (1 + \cos^2 \theta)$. Furthermore, $A_P^{f[\phi, \phi_S]}$ denotes the azimuthal asymmetry with a modulation of $f[\phi, \phi_S]$, where $P = U$ or T denotes the polarization of the target proton (U for unpolarized, T for transversely polarized). The asymmetry $A_P^{f[\phi, \phi_S]}$ can be written as the ratio between the corresponding structure function $F_P^{f[\phi, \phi_S]}$ and the unpolarized structure function. In this work, we focus on the $\sin(2\phi - \phi_S)$ asymmetry:

$$F_T^{\sin(2\phi-\phi_S)} = -\frac{1}{N_c} \sum_q e_q^2 \int d^2\mathbf{k}_{a\perp} d^2\mathbf{k}_{b\perp} \int \frac{d^2\mathbf{b}_\perp}{(2\pi)^2} e^{-i\mathbf{b}_\perp \cdot (\mathbf{k}_{a\perp} + \mathbf{k}_{b\perp} - \mathbf{q}_\perp)} \frac{\mathbf{h} \cdot \mathbf{k}_{a\perp}}{M_\pi} h_{1,q/\pi}^\perp(x_\pi, \mathbf{k}_{a\perp}^2) h_{1,\bar{q}/p}^\perp(x_p, \mathbf{k}_{b\perp}^2) + (q \leftrightarrow \bar{q})$$

$$= -\frac{1}{N_c} \sum_q e_q^2 \int_0^\infty \frac{db}{4\pi} b^2 J_1(q_\perp b) h_{1,q/p}(x_p, \mu_b) T_{\bar{q}/\pi, F}^{(\sigma)}(x_\pi, x_\pi, \mu_b) e^{-(S_{\text{NP}}^{f_{1,q/p}} + S_{\text{NP}}^{f_{1,q/\pi}} + S_P)} + (q \leftrightarrow \bar{q}), \quad (45)$$

where we have used Eqs. (26), (28), and (29). The unpolarized structure function can be expressed in a similar way as

$$A_T^{\sin(2\phi-\phi_S)}(x_1, x_2, Q) = \frac{F_T^{\sin(2\phi-\phi_S)}(x_1, x_2, Q)}{F_U^1(x_1, x_2, Q)}. \quad (40)$$

The denominator can be expressed as the convolution of the unpolarized distribution functions from each hadron

$$F_U^1 = \mathcal{C}[f_{1,q/\pi} f_{1,\bar{q}/p}], \quad (41)$$

while the numerator ($\mathbf{h} = \hat{\mathbf{q}} \equiv \mathbf{q}_\perp / |\mathbf{q}_\perp|$) [2,37]

$$F_T^{\sin(2\phi-\phi_S)} = -\mathcal{C} \left[\frac{\mathbf{h} \cdot \mathbf{k}_{a\perp}}{M_\pi} h_{1,q/\pi}^\perp h_{1,\bar{q}/p}^\perp \right] \quad (42)$$

is the convolution of the pion Boer-Mulders distribution and the proton transversity distribution. The convolution of TMDs in the transverse momentum space is defined through the following notation

$$\mathcal{C}[\omega(\mathbf{k}_{a\perp}, \mathbf{k}_{b\perp}) f_1 \bar{f}_2] = \frac{1}{N_c} \sum_q e_q^2 \int d^2\mathbf{k}_{a\perp} d^2\mathbf{k}_{b\perp} \delta^2(\mathbf{k}_{a\perp} + \mathbf{k}_{b\perp} - \mathbf{q}_\perp) \omega(\mathbf{k}_{a\perp}, \mathbf{k}_{b\perp}) \times [f_1^q(x_a, \mathbf{k}_{a\perp}^2) f_2^{\bar{q}}(x_b, \mathbf{k}_{b\perp}^2) + f_1^{\bar{q}}(x_a, \mathbf{k}_{a\perp}^2) f_2^q(x_b, \mathbf{k}_{b\perp}^2)], \quad (43)$$

with $N_c = 3$ being the number of colors, \mathbf{q}_\perp , $\mathbf{k}_{a\perp}$, and $\mathbf{k}_{b\perp}$ denoting the transverse momenta of the lepton pair, quark and antiquark in the initial hadrons. Finally, $\omega(\mathbf{k}_{a\perp}, \mathbf{k}_{b\perp})$ is an arbitrary function of $\mathbf{k}_{a\perp}$ and $\mathbf{k}_{b\perp}$.

In general, it is more convenient to study the structure function first in the b -space, in which the convolution of the TMD distributions can be resolved to the product of b -dependent TMDs. Then, the physical observables can be obtained through a Fourier transformation from the b -space to the k_\perp -space.

Using the property of the following Fourier transformation

$$\delta^2(\mathbf{k}_{a\perp} + \mathbf{k}_{b\perp} - \mathbf{q}_\perp) = \frac{1}{(2\pi)^2} \int d^2\mathbf{b}_\perp e^{-i\mathbf{b}_\perp \cdot (\mathbf{k}_{a\perp} + \mathbf{k}_{b\perp} - \mathbf{q}_\perp)}, \quad (44)$$

One can obtain the spin-dependent structure function $F_T^{\sin(2\phi-\phi_S)}$ as

$$\begin{aligned}
 F_U^1 &= \frac{1}{N_c} \sum_q e_q^2 \int d^2\mathbf{k}_{a\perp} d^2\mathbf{k}_{b\perp} \int \frac{d^2\mathbf{b}_\perp}{(2\pi)^2} e^{-i(\mathbf{k}_{a\perp} + \mathbf{k}_{b\perp} - \mathbf{q}_\perp) \cdot \mathbf{b}_\perp} f_{1,q/\pi}(x_\pi, \mathbf{k}_{a\perp}^2) f_{1,\bar{q}/p}(x_p, \mathbf{k}_{b\perp}^2) \\
 &= \frac{1}{N_c} \sum_q e_q^2 \int_0^\infty \frac{bdb}{2\pi} J_0(q_\perp b) f_{1,q/\pi}(x_\pi, \mu_b) f_{1,\bar{q}/p}(x_p, \mu_b) e^{-(S_{\text{NP}}^{f_{1,q/p}} + S_{\text{NP}}^{f_{1,q/\pi}} + S_p)} + (q \leftrightarrow \bar{q}), \tag{46}
 \end{aligned}$$

where the expression of the unpolarized distribution function in Eq. (22) is included and the definition of the unpolarized distribution function in b -space is

$$\tilde{f}_{1,q/H}(x_H, b; \mu) = \int d^2\mathbf{k}_\perp e^{-i\mathbf{b}_\perp \cdot \mathbf{k}_\perp} f_{1,q/H}(x_H, \mathbf{k}_\perp^2; \mu). \tag{47}$$

IV. NUMERICAL CALCULATION

Using the framework set up above, in this section we present the numerical calculation of the $\sin(2\phi - \phi_s)$ azimuthal asymmetry in the pion-induced transversely polarized Drell-Yan process. We estimate the asymmetry at the kinematics of the COMPASS Drell-Yan program and compare it with the recent experimental measurement [38]. To do this we need to know the corresponding distribution functions of the pion meson, as well as those of the proton target. For the former one, as there is no extraction on the Boer-Mulders function of the pion meson, we apply two different model calculations for $h_{1\pi}^\perp$. One is the result based on the light-cone wave function of the pion meson from Ref. [30] at the model scale $\mu_0^2 = 0.25 \text{ GeV}^2$:

$$\begin{aligned}
 h_{1\pi}^\perp(x, \mathbf{k}_\perp^2) &= \frac{C_F \alpha_s}{16\pi^3} \frac{mM_\pi}{\sqrt{m^2 + \mathbf{k}_\perp^2}} \frac{A^2}{k_\perp^2} \exp\left[-\frac{1}{8\beta^2} \frac{\mathbf{k}_\perp^2 + m^2}{x(1-x)}\right] \\
 &\times \left[\Gamma\left(\frac{1}{2}, \frac{m^2}{8\beta^2 x(1-x)}\right) - \Gamma\left(\frac{1}{2}, \frac{\mathbf{k}_\perp^2 + m^2}{8\beta^2 x(1-x)}\right) \right], \tag{48}
 \end{aligned}$$

where the values of the parameters are [30,80],

$$\begin{aligned}
 \beta &= 0.41 \text{ GeV}, \quad m_u = m_d = m = 0.2 \text{ GeV}, \\
 A &= 31.303 \text{ GeV}^{-1}. \tag{49}
 \end{aligned}$$

The corresponding collinear twist-3 distribution $T_{q,F}^\sigma(x, x, \mu_0)$ at the model scale can be obtained by using Eq. (29). The other is the result from the light-cone constituent quark model in Ref. [28]. For consistency, in each calculation of the asymmetry, we apply the unpolarized distribution function of the pion meson $f_{1\pi}(x)$ from the same model.

For the collinear distributions of the proton, we resort to existing parametrizations, i.e., we adopt the NLO set of the CT10 parametrization [81] (central PDF set) for the unpolarized distribution function $f_1(x)$ of the proton,

and we choose the transversity distribution extracted from SIDIS data [47] via the TMD evolution formalism:

$$\begin{aligned}
 h_1^q(x, Q_0) &= N_q^h x^{a_q} (1-x)^{b_q} \frac{(a_q + b_q)^{a_q + b_q}}{a_q^{a_q} b_q^{b_q}} \\
 &\times \frac{1}{2} (f_1^q(x, Q_0) + g_1^q(x, Q_0)), \tag{50}
 \end{aligned}$$

where g_1^q is helicity distribution function [82].

We apply the QCDNUM package [83] to perform the evolution of $f_{1,q/\pi}$ from the model scale μ_0 to another energy. As for the energy evolution of the twist-3 collinear correlation function $T_{q,F}^{(\sigma)}$, the evolution effect has been studied in Refs. [84–88]. For simplicity, we only consider the homogenous terms in the evolution kernel

$$P_{qq}^{T_{q,F}^{(\sigma)}}(x) \approx \Delta_T P_{qq}(x) - N_c \delta(1-x), \tag{51}$$

$$\Delta_T P_{qq}(x) = C_F \left[\frac{2z}{(1-z)_+} + \frac{3}{2} \delta(1-x) \right], \tag{52}$$

with $\Delta_T P_{qq}$ being the evolution kernel for the transversity distribution function $h_1(x)$. We customize the original code of QCDNUM to include the approximate kernel in Eq. (51). Similarly, we also include the kernel in Eq. (52) to solve the Dokshitzer-Gribov-Lipatov-Altarelli-Parisi evolution equations for the transversity distribution function of proton.

The COMPASS Collaboration at CERN has reported the first measurement of the transverse-spin-dependent azimuthal asymmetries in the Drell-Yan process [38] in which a π^- beam with $P_\pi = 190 \text{ GeV}$ collides on a polarized NH_3 target [38,79] (which can serve as a transversely polarized nucleon target). The covered kinematical ranges are as follows

$$\begin{aligned}
 0.05 < x_N < 0.4, \quad 0.05 < x_\pi < 0.9, \quad 4.3 \text{ GeV} < Q < 8.5 \text{ GeV}, \\
 s = 357 \text{ GeV}^2, \quad -0.3 < x_F < 1. \tag{53}
 \end{aligned}$$

In Fig. 1, we plot our numerical results of the $\sin(2\phi - \phi_s)$ azimuthal asymmetry in the pion-induced Drell-Yan process at the kinematics of COMPASS, based on the TMD factorization formalism described in Eqs. (40), (46), and (45). In this calculation we apply the SIYY parametrization [Eqs. (16) and (17)] for the nonperturbative Sudakov form factor and the pion Boer-Mulders function

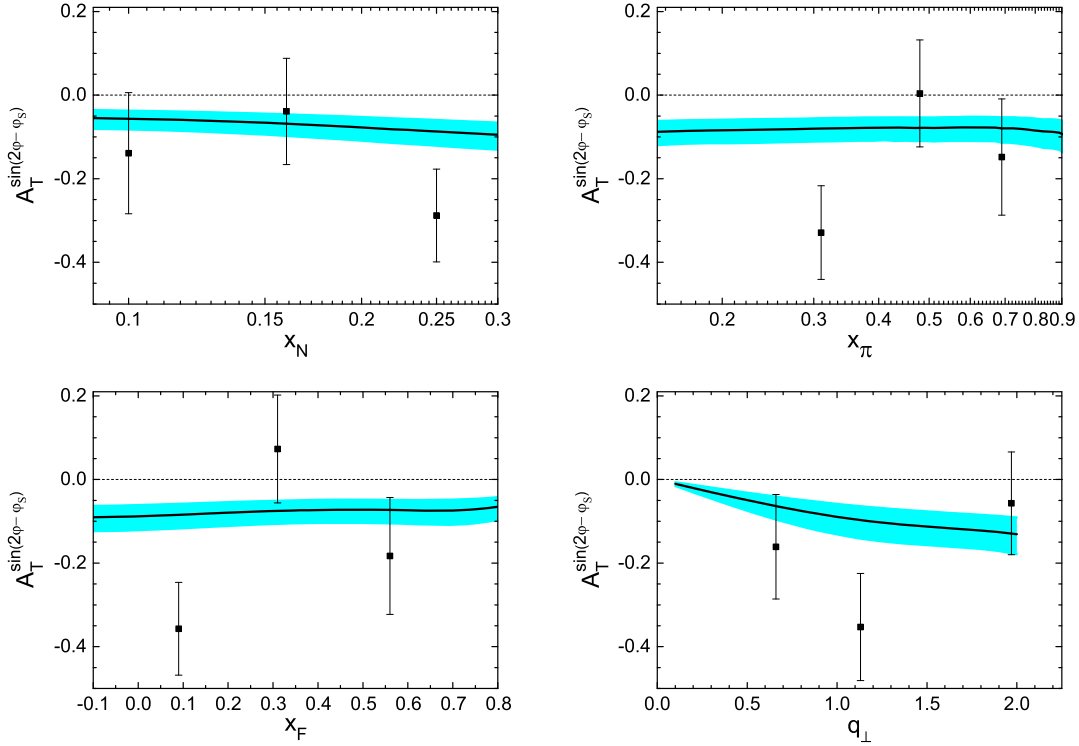


FIG. 1. The $\sin(2\phi - \phi_S)$ azimuthal asymmetry in the $\pi^- N^\dagger$ Drell-Yan process calculated from the SIYY parametrization [Eqs. (16) and (17)] on the nonperturbative form factor. The four panels plot the asymmetries as functions of x_N (upper left), x_π (upper right), x_F (lower left) and q_\perp (lower right). The solid lines correspond to the results from the central values of the parameters, while the shaded area show the uncertainty bands determined by the uncertainties of the parameters. The solid squares represent the COMPASS data for comparison.

from Ref. [30]. And we use the b_* prescription in Eq. (5). The solid curves correspond to the results calculated from the central values of the parameters, while the shaded area shows the uncertainty band determined by the uncertainties of the parameters, which include those for the transversity [47] and the nonperturbative form factor. To make the TMD factorization valid in the kinematic region $q_\perp \ll Q$, the integration over the transverse momentum q_\perp is performed in the region of $0 < q_\perp < 2$ GeV, which is the same as the cut in Ref. [89]. The upper panels of Fig. 1 show the asymmetries as functions of x_N (left panel) and x_π (right panel); and the lower panels depict the x_F -dependent and q_\perp -dependent asymmetries, respectively. In the figure the solid squares show the experimental data measured by the COMPASS Collaboration [38], with the error bars corresponding to the sum of the systematic error and the statistical error.

As shown in Fig. 1, in all the cases the $\sin(2\phi - \phi_S)$ azimuthal asymmetry in the $\pi^- p$ Drell-Yan from our calculation is negative, in agreement with most of the data from COMPASS. Our estimate also shows that the asymmetry changes slightly with the change of x_N , x_π , or x_F , and the magnitude of the x_N -, x_π -, and x_F -dependent asymmetries is around 0.05 to 0.10. For the q_\perp asymmetry, we find that its magnitude is about 0.05 to 0.15 and moderately increases with increasing q_\perp in the region $q_\perp < 2$ GeV.

To study the impact of different parametrizations of the nonperturbative part on the asymmetry, we also use the BDPRS evolution formalism [48] given in Eqs. (32) and (33) to calculate the $\sin(2\phi - \phi_S)$ asymmetry as a comparison. In the calculation we still use the pion Boer-Mulders function from Ref. [30] and the transversity distribution from Ref. [47]. Furthermore, the b_* prescription in Eq. (35) is used in this calculation. The dashed lines are the result from the central value of the parameters, the bands correspond to the uncertainties from the uncertainties of the parameters. The solid lines show the results in Fig. 1 (central results) for comparison. We find that, in the case of q_\perp -dependent asymmetry, the result from the BDPRS parametrization is qualitatively different from the result from the SIYY parametrization, particularly in the region $q_\perp \in [1.5, 2]$ GeV; while for the x_N -, x_π -, and x_F -dependent asymmetries the results from the two evolution formalisms are consistent. We also study the impact of different b_* prescriptions [Eqs. (5) and (35)] on the asymmetry and find that it only changes the q_\perp dependent asymmetry slightly.

To study the effect of different pion distribution functions on the numerical calculation of $A_{UT}^{\sin(2\phi - \phi_S)}$, we also adopt the pion Boer-Mulders function and $f_{1\pi}$ obtained from the light-front constituent quark model [28] to perform the calculation. The corresponding numerical

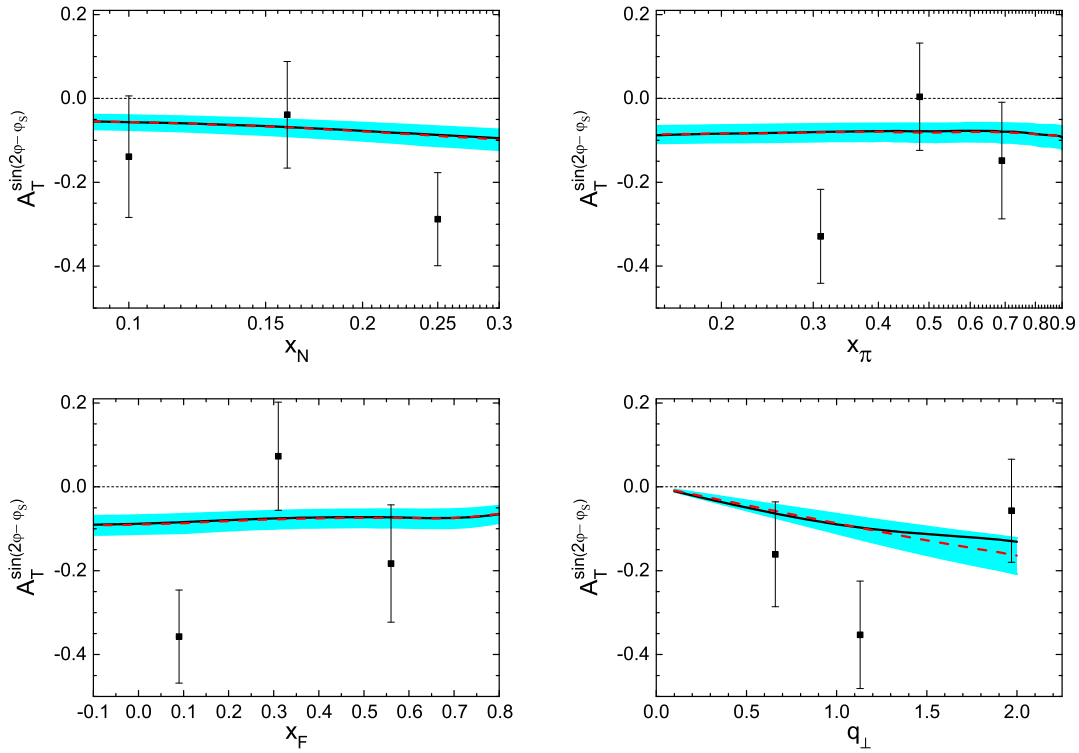


FIG. 2. Similar to Fig. 1, but the asymmetry calculated from the BDPRS parametrization [Eqs. (33) and (34)] on the nonperturbative form factor. The dashed lines plot the central results, while the solid lines are central results in Fig. 1 for comparison.

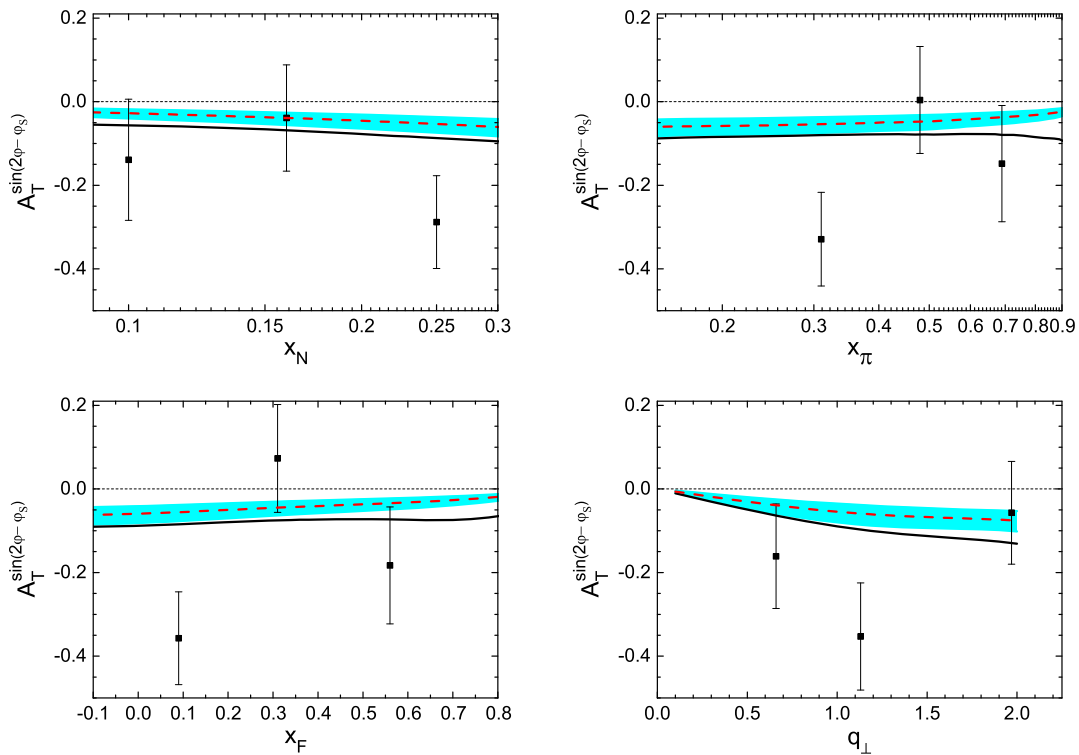


FIG. 3. Similar to Fig. 1, but the asymmetry calculation from the Boer-Mulders function of the pion in a light-cone constituent model [28]. The dashed lines plot the central results, while the solid lines are central results in Fig. 1 for comparison.

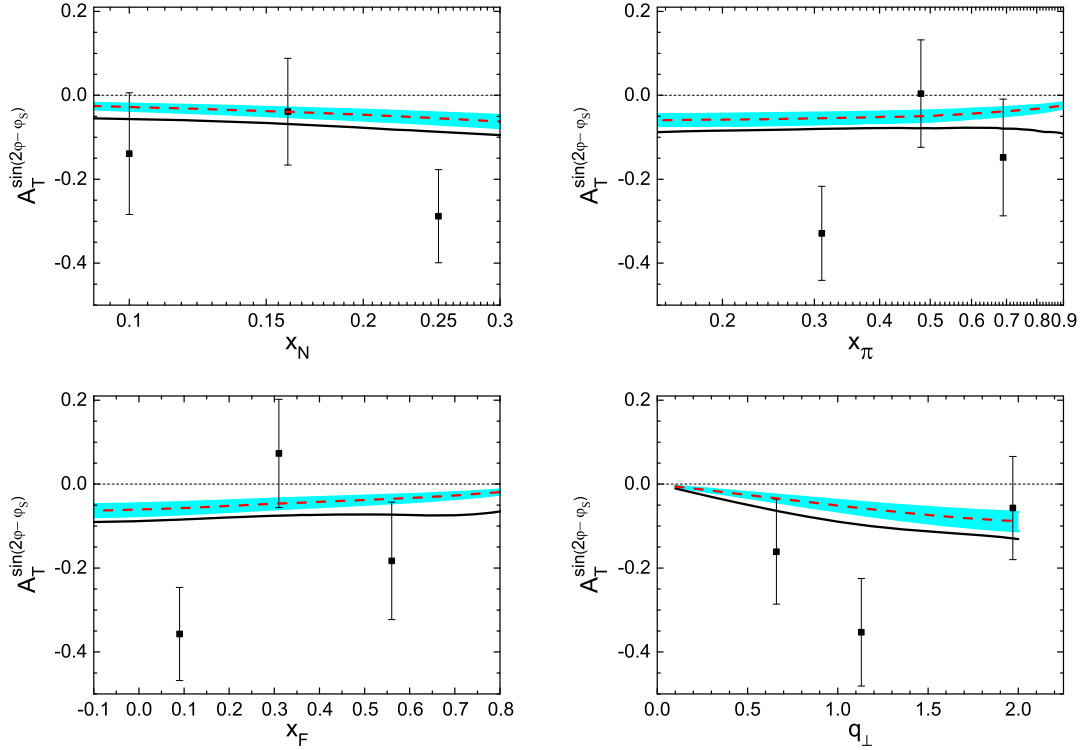


FIG. 4. Similar to Fig. 1, but the asymmetry calculation from the BDPRS parametrization [Eqs. (33) and (34)] on the nonperturbative form factor and the Boer-Mulders function of the pion in a light-cone constituent model [28]. The dashed lines plot the central results, while the solid lines are central results in Fig. 1 for comparison.

results from the SIYY parametrization and the BDPRS parametrization for the TMD evolution are depicted by the dashed lines in Figs. 3 and 4, respectively. The solid lines correspond to the results in Fig. 1 (central results) for comparison. The bands represent the uncertainty from the parametrization of the transversity distribution of proton and the nonperturbative form factors for the TMDs. We find that the sign of the asymmetries from the model results for pion distributions in Ref. [28] are still negative, while their magnitudes are generally smaller than those in Figs. 1 and 2.

As a conclusion, our numerical estimates show that the $A_{UT}^{\sin(2\phi - \phi_S)}$ is sizable at the kinematics of COMPASS and is qualitatively consistent with the COMPASS measurement after considered the uncertainties of the data. Furthermore, we find that adopting different parametrizations—the SIYY parametrization and the BDPRS parametrization—on the nonperturbative part of the TMD evolution will cause qualitatively different q_\perp shape of the asymmetry, while the x -dependence and the x_F -dependence of the asymmetries are almost unchanged. We also find that different choice of the pion distributions will lead to different asymmetry in size and shape. Our study demonstrates that, with the current knowledge on the distributions of the proton, it is promising to apply the evolution formalism of TMD distributions to study the SSA contributed by the chiral-odd distributions at the kinematics of COMPASS. Our calculation also indicates that the proton transversity

distribution may be used as a probe to access the pion Boer-Mulders function as well as the corresponding nonperturbative Sudakov form factor in the context of the current formalism on the transversely polarized $\pi^- p$ Drell-Yan process.

V. CONCLUSION

In this work, we have applied the TMD factorization to study the $\sin(2\phi - \phi_S)$ azimuthal asymmetry in the single transversely polarized $\pi^- p$ Drell-Yan process that is accessible at COMPASS. The asymmetry arises from the coupling of the Boer-Mulders function of the pion beam and the transversity distribution of the proton target. We have taken into account the TMD evolution of the asymmetry by including the Sudakov form factor for the TMD distributions of the pion and proton, and we take into account two different nonperturbative TMD evolution formalism for comparison. The hard coefficients associated with the corresponding collinear functions are kept in the leading-order accuracy. For the transversity distribution of the proton used in the study, we have employed a recent parametrization for which the TMD evolution effect is considered. For the distributions of the pion meson, we have chosen two different model results. As the nonperturbative Sudakov form factor associated with the pion Boer-Mulders function is still unknown, we assume that it

is the same as that of the unpolarized distribution function. We then calculated the $\sin(2\phi - \phi_S)$ azimuthal asymmetry in the π^-p Drell-Yan process at the kinematics of COMPASS. We find that the asymmetry is sensitive to the choice of the pion distribution function, while different choice of the TMD evolution formalism will only on the nonperturbative TMD evolution only affect the shape of the q_\perp -dependent of the asymmetry. Our analysis demonstrated that, within the framework of TMD evolution, the $\sin(2\phi - \phi_S)$ asymmetry at COMPASS can be qualitatively described (sign and magnitude) by the current analysis on the TMD distributions of the pion and the

proton. Furthermore, our study may provide a framework to access the Boer-Mulders function of the pion and the corresponding nonperturbative Sudakov form factor through transversely polarized πp data.

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