

**Rotating neutron stars with nonbarotropic thermal profile**Giovanni Camelio<sup>1</sup>,<sup>1</sup> Tim Dietrich<sup>2</sup>,<sup>2</sup> Miguel Marques<sup>2</sup>, and Stephan Rosswog<sup>1</sup><sup>1</sup>*The Oskar Klein Centre, Department of Astronomy,  
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Neutron stars provide an excellent laboratory for physics under the most extreme conditions. Up to now, models of axisymmetric, stationary, differentially rotating neutron stars were constructed under the strong assumption of barotropicity, where a one-to-one relation between all thermodynamic quantities exists. This implies that the specific angular momentum of a matter element depends only on its angular velocity. The physical conditions in the early stages of neutron stars, however, are determined by their violent birth processes, typically a supernova or in some cases the merger of two neutron stars, and detailed numerical models show that the resulting stars are by no means barotropic. Here, we construct models for stationary, differentially rotating, nonbarotropic neutron stars, where the equation of state and the specific angular momentum depend on more than one independent variable. We show that the potential formulation of the relativistic Euler equation can be extended to the nonbarotropic case, which, to the best of our knowledge, is a new result even for the Newtonian case. We implement the new method into the XNS code and construct equilibrium configurations for nonbarotropic equations of state. We scrutinize the resulting configurations by evolving them dynamically with the numerical relativity code BAM, thereby demonstrating that the new method indeed produces stationary, differentially rotating, nonbarotropic neutron star configurations.

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Black holes and neutron stars are the final stages of the evolution of massive stars, and they are typically born in supernova explosions or, less frequently, in binary neutron star mergers. Neutron stars are of particular interest since they allow for the study of matter properties under extreme density and temperature conditions that cannot be reached in any terrestrial laboratory, e.g., [1–5]. These matter properties, however, leave an imprint in the postmerger gravitational wave signal (at kHz frequencies) that will be accessible to ground-based gravitational wave detectors of the next generation, e.g., [6,7]. Moreover, these properties impact also the postmerger neutrino and electromagnetic signals [8–11].

Stationary rotating equilibrium configurations are often used as idealizations of the postmerger remnant or as initial conditions for long-term evolutions and explorations of the parameter space (e.g., [12–16]). Thermal effects are in such studies included by assuming that all thermodynamical quantities, including the temperature, are functions of only one independent variable, e.g., the pressure. This leads to “effective barotropic” or simply “barotropic” stellar models which are particularly convenient because they allow one to write the Euler equation as a potential.

The barotropic assumption is also commonly used to model Newtonian (e.g., main sequence) stars. In the context

of Newtonian stars, however, nonbarotropic stellar models (also called “baroclinic”) have been computed both perturbatively [17–20] and nonperturbatively [21–26], and even for Newtonian accretion disks with an analytic procedure [27,28]. In a nonbarotropic star, the thermodynamical quantities depend on more than one independent variable, for example, on the pressure and the temperature, and the Euler equation needs to be solved numerically. While baroclinic stationary stars are known and studied in Newtonian theory, they have not yet been addressed in a general relativity context.<sup>1</sup> This is probably due to the difficulty of solving the Euler equation in differential form and the fact that thermal effects influence the neutron star structure only for the first few tens of seconds and are negligible thereafter.

Nevertheless, since postmerger and postsupernova remnants are not barotropic (e.g., [4,5]), or, more generally, since the lack of nonbarotropic models in general relativity represents a serious gap in the theory of stellar structure, we address this topic here. We address the nonbarotropicity of relativistic neutron stars, both theoretically and with stationary and dynamical numerical codes. The novelty of our

<sup>1</sup>Bardeen [29] explicitly considers a general entropy distribution in the formulation of his variational principle, but does not compute any stellar structure.

work is twofold: on the one hand this is the first study in general relativity of stationary, differentially rotating, non-barotropic stars; on the other hand we demonstrate that also in the nonbarotropic case the Euler equation can be cast in the form of a potential. The latter result is novel even in the Newtonian context.

The paper is organized as follow. We discuss in Sec. II how thermal effects are commonly included in barotropic neutron star models. Section III describes our novel approach and its numerical implementation is explained in Sec. IV. The new approach is validated in Sec. V and Sec. VI discusses some of its implications. We finally summarize and conclude in Sec. VII. In three appendixes we describe the Newtonian limit of the (relativistic) Euler equation (Appendix A) and the nonbarotropic (Appendix B) and effective barotropic (Appendix C) equations of state adopted.

## II. ROTATING STARS IN GENERAL RELATIVITY

Unless stated otherwise, we use  $c = G = M_{\odot} = k_B = 1$ , which are also our code units. Useful conversions to this unit system are  $\text{km} \simeq 0.677$ ,  $\text{ms} \simeq 203$ , and  $\rho_n \simeq 4.34 \times 10^{-4}$ , where  $\rho_n$  is the nuclear saturation rest-mass density ( $\rho_n \simeq 2.68 \times 10^{14} \text{ g/cm}^3$ ).

In this work we are interested in solutions of stationary rotating stars in general relativity. We assume axisymmetry, since nonaxisymmetric rotating bodies radiate gravitational waves and therefore are not stationary. We further assume a circular spacetime, which implies the assumption that meridional currents and convection are negligible. Under these assumptions, the spacetime shaped by the rotating neutron star in quasi-isotropic coordinates reads [30]

$$d\tau^2 = -\alpha^2 dt^2 + A^2(dr^2 + r^2 d\theta^2) + B^2 r^2 \sin^2 \theta (d\phi - \omega dt)^2, \quad (1)$$

where  $\tau$  is the proper time,  $t, r, \theta, \phi$  are the coordinate time, radius, polar angle, and azimuth angle, respectively, and  $\alpha, A, B, \omega$  are metric fields that depend only on  $r, \theta$  due to the stationarity and axisymmetry condition.  $\alpha$  is the lapse and  $\omega$  is the angular velocity of the zero angular momentum observer (ZAMO) as measured by an observer at infinity [29]. It is useful to define the cylindrical radius (which in general relativity has no cylindrical isosurfaces),

$$R(r, \theta) = B(r, \theta) r \sin \theta. \quad (2)$$

With these assumptions, the Einstein equations reduce to four equations for the metric fields  $\alpha, A, B, \omega$ . Let us assume that the stellar matter is described by a perfect fluid, with energy-momentum tensor

$$T^{\mu\nu} = \mathcal{h} u^\mu u^\nu + p g^{\mu\nu}, \quad (3)$$

where  $u^\mu$  is the 4-velocity,  $p$  is the pressure, and  $\mathcal{h}$  is the total enthalpy per volume. The Euler equation can be derived from the vanishing of the covariant divergence of the energy-momentum tensor as

$$\frac{\partial_i p}{\mathcal{h}} + \partial_i \ln \frac{\alpha}{\gamma} + F \partial_i \Omega = 0, \quad (4)$$

where  $i = \{r, \theta\}$  [see Appendix A for the Newtonian limit of Eq. (4)].  $\gamma$  and  $\Omega$  are respectively the Lorentz factor with respect to the ZAMO and the matter angular speed seen at infinity,

$$\gamma = \frac{1}{\sqrt{1 - (Rv^\phi)^2}}, \quad (5)$$

$$\Omega = \alpha v^\phi + \omega, \quad (6)$$

where  $v^\phi$  is the contravariant matter 3-velocity with respect to the ZAMO, and  $F$  is

$$F = u^t u_\phi = \frac{R^2(\Omega - \omega)}{\alpha^2 - R^2(\Omega - \omega)^2}. \quad (7)$$

The specific (per unit energy) angular momentum of a fluid element is given by

$$\ell = -\frac{u_\phi}{u_t} = \frac{R^2(\Omega - \omega)}{\alpha^2 + R^2\omega(\Omega - \omega)}, \quad (8)$$

which is equivalent to

$$F = \frac{\ell}{1 - \Omega \ell}. \quad (9)$$

Since for axisymmetry and stationarity  $F = F(r, \theta)$ , it follows that in general  $\Omega = \Omega(r, \theta)$  and  $\ell = \ell(r, \theta)$ .

Stationary numerical solutions of the structure of relativistic rotating stars can be obtained by iteratively solving the metric and matter equations [30]. In the following sections, we discuss the equations for matter fields. This means in particular that the metric fields  $\alpha, A, B, \omega$  are known and fixed from the previous iteration.

### A. Isentropic EOS and rigid rotation

Considering an equation of state (EOS) depending on two variables with a thermal part, the first law of thermodynamics for the specific enthalpy reads

$$dh = \frac{dp}{\rho} + \frac{T}{m_n} ds, \quad (10)$$

where  $\rho$  is the rest-mass density,  $h$  the specific total enthalpy ( $h = \mathcal{h}/\rho$ ),  $T$  the temperature,  $m_n$  the nucleon

mass, and  $s$  the entropy per baryon. Since one can get  $\rho$  and  $T$  from partial differentiation of  $h$  with respect to  $p$  and  $s$ ,

$$\frac{1}{\rho} = \left. \frac{\partial h}{\partial p} \right|_s, \quad (11)$$

$$T = m_n \left. \frac{\partial h}{\partial s} \right|_p, \quad (12)$$

it is natural to use the pair  $p, s$  as independent variables for the enthalpy and its derived quantities,

$$dh(p, s) = \frac{dp}{\rho(p, s)} + \frac{T(p, s)}{m_n} ds. \quad (13)$$

If the entropy is uniform in the star, then  $ds = 0$  and<sup>2</sup>  $h = h(p)$ ; namely, the EOS is barotropic (i.e., one dimensional), and the first law of thermodynamics reads

$$d \ln h = \frac{dp}{\hbar}. \quad (14)$$

In rigid rotation  $\partial_i \Omega = 0$ , and thanks to Eq. (14), we can write Eq. (4) as

$$\partial_i \ln h + \partial_i \ln \frac{\alpha}{\gamma} = 0, \quad (15)$$

which is equivalent to

$$\ln h(p) + \ln \frac{\alpha}{\gamma} = \text{const}, \quad (16)$$

where we can determine the constant from the known central values of the enthalpy  $h_0$  and the lapse  $\alpha_0$  (on the axis  $Rv^\phi = 0$  and therefore  $\gamma = 1$ ),

$$\text{const} = \ln(h_0 \alpha_0). \quad (17)$$

From Eqs. (16) and (17) and fixing the uniform angular velocity  $\Omega = \Omega_0$  one can easily get  $h$  and from it  $p$  and the other EOS quantities.

The most common example of neutron stars studied in the literature is cold stars (i.e., uniform vanishing entropy per baryon). An example of a cold, rigidly rotating neutron star is marked as “CR” in this paper.

<sup>2</sup>For simplicity we use in this work the same symbol for functions that represent the same physical quantity but depend on different independent variables, even if mathematically they differ since they are defined on different domains. We always specify the independent variables if they are not clear from the context.

## B. Barotropic EOS and differential rotation

Under the assumption that the entropy per baryon depends only on the pressure  $s = \tilde{s}(p)$ , a hot EOS depends on pressure alone; i.e., it becomes an effective barotrope,

$$h(p) = h(p, \tilde{s}(p)). \quad (18)$$

This can be observed in Fig. 1, where we show the entropy per baryon as a function of the rest-mass density in the interior of a neutron star. The black lines correspond to the effective barotropic assumption, while the red regions are obtained by dropping this assumption as described in Sec. III. It is convenient to define the “heat function”

$$H(p) = \int_{p_0}^p \frac{dp'}{\hbar(p')}, \quad (19)$$

where  $p_0$  is the given central pressure, from which we obtain

$$\partial_i H(p) = \frac{\partial_i p}{\hbar}. \quad (20)$$

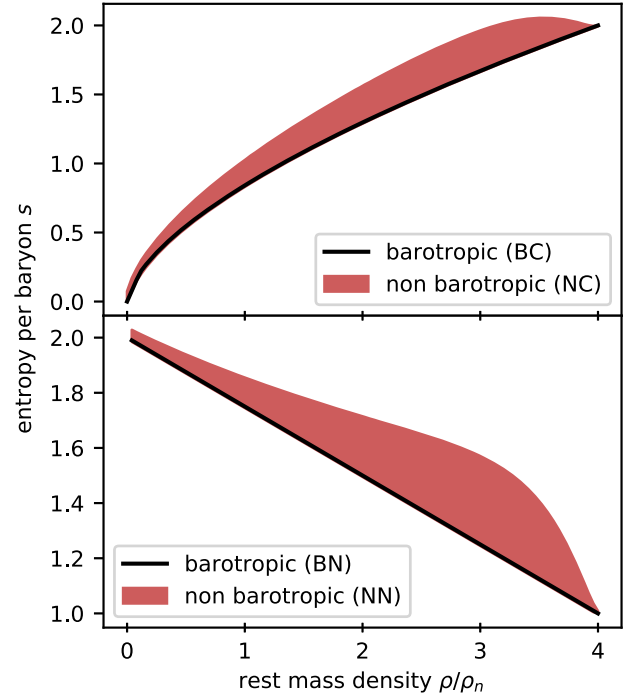


FIG. 1. Entropy per baryon  $s$  as a function of rest-mass density  $\rho$  for two barotropic (black lines) and two nonbarotropic (red regions) models considered in this paper, cf. Table I. The upper/lower edge of the red regions corresponds to the entropy along the equatorial plane/rotational axis of the non-barotropic neutron star, respectively. Similar plots obtained from dynamical simulations are e.g. Fig. 1 of Fischer *et al.* [5] and Figs. 3–8 of Perego *et al.* [4].

Additionally, if we assume that  $F$  depends only on  $\Omega$ , we have analogously

$$\mathcal{F}(\Omega) = \int_{\Omega_0}^{\Omega} F(\Omega') d\Omega', \quad (21)$$

$$\partial_i \mathcal{F}(\Omega) = F(\Omega) \partial_i \Omega, \quad (22)$$

where  $\Omega_0$  is the given angular frequency on the symmetry axis and  $\mathcal{F}(\Omega)$  is called “differential-rotation law.” Using Eqs. (19)–(22), Eq. (4) is equivalent to

$$H(p) + \ln \frac{\alpha}{\gamma} + \mathcal{F}(\Omega) = \ln \alpha_0. \quad (23)$$

One can determine the matter properties in every point  $(r, \theta)$  by determining  $\Omega$  from the relation  $\mathcal{F}'(\Omega) = F(\Omega, r, \theta)$ , where we show explicitly the dependence on the yet-to-be-determined  $\Omega$ , and then  $p$  from Eqs. (19) and (23). The other EOS quantities are easily determined because the EOS is effectively barotropic.

For an isentropic star it is  $H(p) = \ln h(p) - \ln h_0$ , and if in addition the star is in rigid rotation, one recovers Eq. (14), as expected.

One can assume an analytic form for the differential-rotation law, for example, by adopting the “j-const” law that is commonly used in the literature ([31], see also [32,33]),

$$\mathcal{F}(\Omega) = -\frac{R_0^2}{2} (\Omega - \Omega_0)^2, \quad (24)$$

where  $R_0$  has the dimension of a length and sets the scale of the differential rotation, that is,  $\Omega \simeq \Omega_0/2$  at  $R = R_0$  [34]. Rigid rotation cannot be described by a differential-rotation law because  $\Omega$  is constant, but  $F$  is not. Therefore, it can only be recovered in the limit  $R_0 \rightarrow \infty$ . To model rigid rotation, one can just fix  $\Omega = \Omega_0$  and drop the  $\mathcal{F}$  term in Eq. (23); however in Sec. VID we show how it is possible to cleanly unify the description of rigidly and differentially rotating stars.

The assumption  $F = F(\Omega)$  is equivalent to requiring that  $\ell = \ell(\Omega)$  [cf. Eq. (9)]; namely, it is equivalent to dropping any dependence on the metric and the coordinates in the relation between the specific angular momentum and the angular speed. This can be seen in Fig 2, where we show the specific angular momentum as a function of the angular velocity in the interior of a neutron star. The black line corresponds to the case discussed in this section, where the specific angular momentum is in a one-to-one correspondence with the angular velocity, while the red region is obtained by dropping this assumption as described in Sec. III.

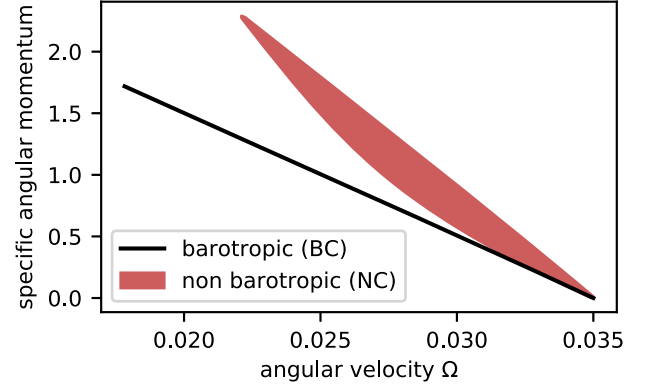


FIG. 2. Angular momentum per unit energy  $\ell$  as a function of angular velocity  $\Omega$  for a barotropic (black line) and a non-barotropic (red region) model considered in this paper, cf. Table I. The upper/lower edge of the red region corresponds to the specific angular momentum along the equatorial plane/stellar border, respectively. Nonconvective models behave similarly.

### III. NONBAROTROPIC THERMAL PROFILE

The big problem of the method described in the previous section is that one is limited to an effective barotropic EOS; i.e., the EOS is actually a function of one independent variable only, even in presence of thermal effects. Similarly, one enforces  $\ell = \ell(\Omega)$ , dropping any dependence on the metric; see black lines in Figs. 1 and 2. However, dynamical core-collapse supernova and binary neutron star merger simulations show that realistic newly born neutron stars are nonbarotropic (e.g., [4,5]).

In this section we show how it is possible to overcome these limitations in a rigorous way.

#### A. The generalization

Equation (4) can be written as

$$\frac{dp}{\hbar} + F d\Omega + d \ln \frac{\alpha}{\gamma} = 0, \quad (25)$$

to stress that when  $\hbar = \hbar(p)$  and  $F = F(\Omega)$  it is

$$d \left( H(p) + \mathcal{F}(\Omega) + \ln \frac{\alpha}{\gamma} \right) = 0; \quad (26)$$

namely the Euler equation implies the existence of a conserved quantity and

$$\frac{1}{\hbar} = \frac{dH(p)}{dp}, \quad (27)$$

$$F = \frac{d\mathcal{F}(\Omega)}{d\Omega}. \quad (28)$$

In other words, we are casting the Euler equation in a potential form similar to thermodynamics. However,

comparing the thermodynamical case [e.g., Eqs. (11) and (12)] with the stellar case [i.e., Eqs. (27) and (28)], one notes that in contrast to the former, in the latter we are determining the derived quantities with total derivatives of two potentials instead of partial derivatives of one potential. *Here we push the similarity with thermodynamics one step further.*

Let us pursue this intuition,

$$Q(p, \Omega) = -\ln \frac{\alpha}{\gamma}, \quad (29)$$

$$\partial_i Q(p, \Omega) = \frac{\partial_i p}{\hbar(p, \Omega)} + F(p, \Omega) \partial_i \Omega, \quad (30)$$

$$\frac{1}{\hbar(p, \Omega)} = \left. \frac{\partial Q(p, \Omega)}{\partial p} \right|_{\Omega}, \quad (31)$$

$$F(p, \Omega) = \left. \frac{\partial Q(p, \Omega)}{\partial \Omega} \right|_p, \quad (32)$$

where we defined the potential  $Q$  and all quantities depend on  $p, \Omega$  because these are the natural variables for the same reason  $p$  and  $s$  are the natural variables for the thermodynamical case, namely because the other quantities ( $\hbar$  and  $F$  in the stellar case,  $\rho$  and  $T$  in the thermodynamical case) can be determined from partial differentiation with respect to those. Note that Eq. (30) is exactly the Euler equation [Eq. (4)] and that it mirrors the equivalent thermodynamical equation [after substituting the exact differential with partial differentiation in Eq. (13)].

We should be careful because for axisymmetry and stationarity it is also  $Q = Q(r, \theta)$ ,  $p = p(r, \theta)$ , and  $F = F(r, \theta)$ : given the pair  $p$  and  $\Omega$ , we must be able to determine the pair  $r$  and  $\theta$ . However, this change of coordinates is not bijective, that is, each pair  $p$  and  $\Omega$  corresponds to two pairs  $r$  and  $\theta$ , one in the northern hemisphere and one in the southern hemisphere, and therefore to two potentials,  $Q_+(p, \Omega)$  and  $Q_-(p, \Omega)$ , that are identical in the planar case  $Q_+ = Q_-$ . In Fig. 3 we show how the interior of a star is mapped with  $r$  and  $\theta$  coordinates (on the right) and with  $p$  and  $\Omega$  coordinates (on the left).

The key point here is that the additional dependence of  $\hbar$  on  $\Omega$  [as opposed to a dependence only on  $p$ , see Eq. (31)] “breaks” the barotropicity because, as can be seen in Fig. 3,  $\Omega$  is not in a one-to-one correspondence with  $p$ . This additional dependence is made possible by allowing for  $\partial_p \partial_{\Omega} Q \neq 0$ .

It is worth noting the following:

- (i) The standard case described in Sec. II B is equivalent to the following potential:

$$Q(p, \Omega) = H(p) + \mathcal{F}(\Omega) - \ln \alpha_0. \quad (33)$$

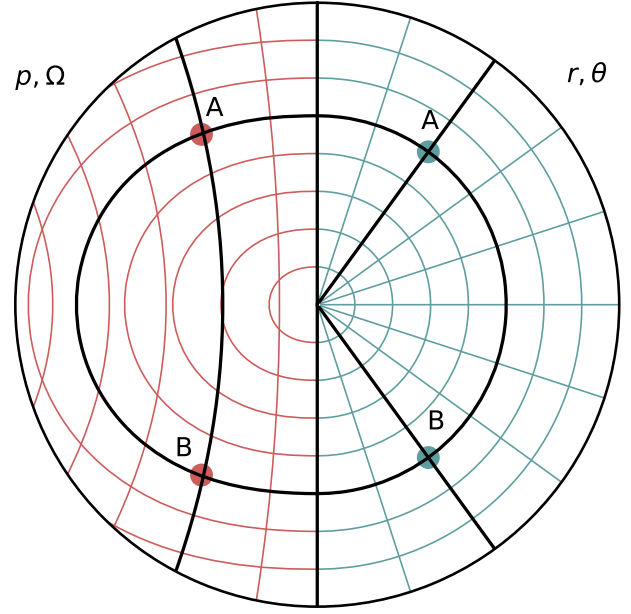


FIG. 3. Sketch of the coordinate grid in  $p, \Omega$  (left, red) and in  $r, \theta$  (right, blue). The  $p$  coordinate is ellipticlike while the  $\Omega$  coordinate is paraboliclike, cf. Fig. 6. Note that the planar symmetric A and B points have different  $\theta$  coordinate but the same  $p, \Omega$  coordinates.

- (ii) Since we rewrote Eq. (4) in terms of a potential, the difference of pressure and angular speed between two stellar points does not depend on the integration path but only on the initial and final points.
- (iii) From Schwarz’s theorem we get the Maxwell-like relation

$$\left. \frac{\partial \hbar^{-1}}{\partial \Omega} \right|_p = \left. \frac{\partial F}{\partial p} \right|_{\Omega}. \quad (34)$$

## B. A simple nonbarotropic model

Assuming that the analytic form of  $Q(p, \Omega)$  is known, but that we do not know the pressure and angular velocity profiles  $p(r, \theta)$  and  $\Omega(r, \theta)$ , we have to solve the following system of equations in every point:

$$Q(p, \Omega) = -\ln \frac{\alpha(r, \theta)}{\gamma(r, \theta, \Omega)}, \quad (35)$$

$$\partial_{\Omega} Q(p, \Omega) = F(r, \theta, \Omega), \quad (36)$$

$$\partial_p Q(p, \Omega) = \frac{1}{\hbar(p, s(r, \theta))}. \quad (37)$$

In Eqs. (35)–(37) we have made explicit the dependence of every quantity on the position in the star ( $r, \theta$ ) and on the yet-to-be-determined quantities ( $p, \Omega$ ). Given a point in the star ( $r, \theta$ ) and the entropy in that point  $s(r, \theta)$ , this is a

system of three equations in two variables  $(p, \Omega)$  that in general has no solution. On the other hand, if we leave  $s(r, \theta)$  undetermined, given  $(r, \theta)$  we can first determine  $(p, \Omega)$  solving Eqs. (35) and (36), and then determine  $s(r, \theta)$  from Eq. (37).

Let us now consider a simple<sup>3</sup> nontrivial case,

$$Q(p, \Omega) = Q_0 + H(p) + \mathcal{F}(\Omega) + bH(p)\mathcal{F}(\Omega), \quad (38)$$

where  $b$  is a ‘‘barotropic’’ parameter and the constant  $Q_0$  is determined from the condition  $Q_0 = Q(p_0, \Omega_0) = -\ln \alpha_0$ . The standard case of Eq. (33) is reobtained for  $b = 0$ .  $H$  and  $\mathcal{F}$  are formally defined as in Eqs. (19) and (21), but do not have the same physical meaning. In particular, the arbitrary barotropic function  $\tilde{s}(p)$  that enters in the definition of  $H(p)$  does not correspond to a physical entropy unless  $b = 0$  (this is the reason we defined it with a tilde).

The potential  $Q$  in this form is particularly convenient, because we can factor out the dependence on  $p$  and therefore we have to solve only one equation to determine  $\Omega$ . In fact, Eq. (36) reads

$$\mathcal{F}'(\Omega)(1 + bH(p)) = F(r, \theta, \Omega), \quad (39)$$

and using the definition (38) we get

$$\mathcal{F}'(\Omega)(1 + bQ(r, \theta, \Omega) - bQ_0) = F(r, \theta, \Omega)(1 + b\mathcal{F}(\Omega)) \quad (40)$$

that can be solved for  $\Omega$  with a one-dimensional root finding [ $Q(r, \theta, \Omega)$  is the rhs of Eq. (35)]. Knowing  $\Omega$ , one can first determine  $H(p)$  and then  $\mathcal{h}$  from

$$H(p) = \frac{Q(r, \theta, \Omega) - Q_0 - \mathcal{F}(\Omega)}{1 + b\mathcal{F}(\Omega)}, \quad (41)$$

$$\mathcal{h}(p, \Omega) = \frac{1}{H'(p)(1 + b\mathcal{F}(\Omega))}, \quad (42)$$

where  $H'(p)$  is the total derivative of  $H(p)$ . Knowing  $\mathcal{h}$  and  $p$  [obtained from the inversion of  $H(p)$ ] one can use them to invert the EOS, that in the case considered here depends on two independent variables (we discuss in Sec. VI C how to generalize the procedure to an EOS that depends on more than two independent variables).

It is useful at this point to recap what we have accomplished. We have first defined in Eq. (38) a function  $Q(p, \Omega)$  and then enforced with Eqs. (35)–(37) that this function acts as a potential for the Euler equation. In this way both the matter and the rotational profiles of the star

are uniquely determined from the potential  $Q$  and are functions in general of more than one independent variable, therefore breaking the stellar barotropicity. In Sec. VI B we show how, in principle, one can use the freedom in the definition of  $Q$  to tune the thermodynamical and rotational profiles.

Note that for the nonbarotropic models in Figs. 1 and 2 (red filled contours) the relations  $s = s(\rho)$  and  $\ell = \ell(\Omega)$  do not hold anymore.

## IV. NUMERICAL IMPLEMENTATION

### A. XNS code

The XNSv2 code [14,15] determines the stationary structure of a rotating neutron star in the extended conformal flatness condition (XCFC) approximation [35]. The metric equations are solved with a spherical harmonics decomposition on the angular direction and with finite differences along the radial direction. In the XCFC approximation the metric equations are simpler and hierarchically decoupled; this approximation is equivalent to enforce in Eq. (1),

$$A(r, \theta) \equiv B(r, \theta) \equiv \psi^2(r, \theta), \quad (43)$$

where  $\psi$  is called the conformal factor, and it is justified because the maximal relative difference between the  $A$  and  $B$  metric functions is of the order of  $10^{-3}$  [36]. The XCFC approximation yields results of excellent accuracy for rotating neutron stars (e.g., [16]), while it has been showed to degrade for differentially rotating neutron stars [37]. Using the diagnostic formula of Eq. (20) of Iosif and Stergioulas [37], we estimate for the configurations studied in this paper a maximal error for local quantities (e.g., the angular velocity at the equator) within 2% and a much smaller error for global quantities (e.g., the gravitational mass). The estimated error is adequate for a good description of the rotating neutron star and its spacetime. In any case, we emphasize that the nonbarotropic theory, which we develop in this paper, does not depend in any way on the use of the XCFC approximation.

In this paper we use our modified version [16] of XNSv2 and simply refer to it as XNS in the following. In Camelio *et al.* [16] we described and validated it against the RNS code [38] that solves the stationary configuration of rotating neutron stars in general relativity without approximations. We refer the reader to [14–16,35] for the general structure of XNS and the XCFC equations and just describe the main modifications with respect to [16].

To determine the solution of a rotating star, XNS iterates between the solution of the metric and the matter equations until convergence. When the matter quantities ( $\mathcal{h}$ ,  $p$ ,  $v^\phi$ ) are updated, the metric quantities ( $\alpha$ ,  $\psi$ ,  $\omega$ ) are kept fixed, and vice versa. To update the matter quantities, the following procedure is repeated for each

<sup>3</sup>Note that this is not the only potential that generalizes the standard case; for example another valid choice is obtained by substituting  $Q_0 \rightarrow 0$  and  $H(p) \rightarrow H(p) - \ln \alpha_0$  in Eq. (38), which gives a different but still consistent solution.

TABLE I. Abbreviated names of the stellar configuration studied in this work.

Name	Configuration
CR	Cold, Rigidly rotating
BC	Differentially rotating, Barotropic, Convective
NC	Differentially rotating, Nonbarotropic, Convective
C $\Omega$	Control with $b = 0$ in Eq. (40)
C $p$	Control with $b = 0$ in Eq. (42)
BN	Differentially rotating, Barotropic, Nonconvective
NN	Differentially rotating, Nonbarotropic, Nonconvective

grid point  $r_i, \theta_j$  (we start from the center,  $r_i = r_1$ , and increase  $i$  outward).

- (1) If the star is rigidly rotating, set  $\Omega = \Omega_0$ . Otherwise, determine  $\Omega$  from Eq. (40).
- (2) Find  $H(p)$  from Eq. (41).
- (3) Find  $p$  inverting  $H(p)$ .
- (4) If  $p < p_s$  ( $p_s$  being a fixed value of the surface pressure), go to step 8.
- (5) If the star is nonbarotropic,
  - (a) Find  $\tilde{\rho}$  from Eq. (42).
  - (b) If the pair  $\tilde{\rho}, p$  is not physical (e.g.,  $\tilde{\rho} \leq p$ ), go to step 8.
- (6) All independent quantities have been computed. Solve the EOS from  $p$  (if barotropic) or  $p, \tilde{\rho}$  (if nonbarotropic). Determine  $v^\phi$  from  $\Omega$ .
- (7) Go to step 1 with the next  $r_i$ .
- (8) The point is outside the surface. Set to 0 all matter quantities in  $r \geq r_i$  and go to step 1 with  $r_i = r_1$  and the next  $\theta_j$ .

We adopt a rectangular nonevenly spaced grid in  $r, \theta$  [16]. Our radial grid is divided in two regions: the inner part has 2000 evenly spaced points from  $r = 0$  excluded to  $r = 15$  and the outer part has 2000 increasingly spaced points from  $r = 15$  to  $r = 1000$ . The angular grid ( $0 < \theta < \pi$ ) contains 501 points on the Legendre knots. We used 50 angular harmonics in the pseudospectral expansion and we consider the result converged when the maximal absolute variation of the rest-mass density between two iterations is

smaller than  $10^{-12}$ . The surface pressure is set to  $p_s = 10^{-40}$  in code units ( $c = G = M_\odot = 1$ ).

## B. BAM code

We also study the dynamical evolution of the XNS configurations with the bifunctional adaptive mesh (BAM) code [39–44]. BAM employs a simple mesh refinement scheme where the grid is composed of nested Cartesian boxes. The grid setup is controlled by the resolution  $\Delta x$  in the finest levels. The outer levels are constructed by progressively coarsening the resolution by factors of 2. We solve the Einstein Equations using the Z4c evolution scheme [45–47] and employ fourth order finite-difference stencils. The equations of general relativistic hydrodynamics employ a finite-volume shock-capturing method and the hydrodynamical flux is computed with the local Lax-Friedrichs scheme using the WENOZ limiter [43,48].

The evolution equation system is closed with the EOS, for which we assume an ideal gas with a cold and a thermal contribution,

$$p(\rho, u_{\text{th}}) = K\rho^\Gamma + (\Gamma_{\text{th}} - 1)\rho u_{\text{th}}, \quad (44)$$

where  $u_{\text{th}}$  is the specific thermal energy and  $K, \Gamma, \Gamma_{\text{th}}$  are EOS-dependent parameters, cf. Appendix B and Tables I and II.

To prove the robustness of our numerical scheme, we show the central rest-mass density evolution of the CR model, i.e., of a cold, rigid rotating neutron star, in Fig. 4; we refer the interested reader to [42,43,49–51] for additional tests and convergence analyses.

We increase the BAM resolution by factors of 2, where for the low resolution (blue line) the minimum grid resolution in the finest level is 0.1875, the medium resolution (red line) has a minimum grid spacing of 0.09375, and the high resolution (black line) has a minimum grid spacing of 0.046875. This is compatible to the highest resolved binary neutron star simulations performed for gravitational wave model development to date [52,53]. We save computational costs by simulating only a single quadrant of the numerical domain making use of the

 TABLE II. Parameters and properties of the stellar models considered in this work. The first column is the name of the model (see Sec. IV C), columns 2–6 are the EOS parameters, columns 7–11 are the parameters of the potential  $Q$ , and columns 12–15 are model properties. Symbol ” means “same as above” and the asterisk means that  $b$  was included in a nonconsistent way in C $\Omega$  and C $p$ . See the text for details.

Name	$\Gamma$	$k_1$	$K$	$\Gamma_{\text{th}}$	$k_2$	$\rho_0$	$\tilde{\rho}(\tilde{p})$	$\Omega_0$	$R_0$	$b$	$M$	$\langle \log  \delta_r  \rangle$	$\langle \log  \delta_\theta  \rangle$	$T_0 [\text{MeV}/k_B]$
CR	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	0	0.035	$\infty$	0	2.17	-7.0	-7.6	0
BC	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2(\tilde{p}/\rho_0)^{5/8}$	0.035	15 km	0	2.12	-7.0	-7.4	48
NC	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2(\tilde{p}/\rho_0)^{5/8}$	0.035	15 km	-2	2.15	-7.0	-7.4	48
C $\Omega$	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2(\tilde{p}/\rho_0)^{5/8}$	0.035	15 km	-2*	2.16	-4.2	-4.1	48
C $p$	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2(\tilde{p}/\rho_0)^{5/8}$	0.035	15 km	-2*	2.15	-4.6	-5.6	48
BN	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2 - \tilde{p}/\rho_0$	0.035	15 km	0	2.09	-7.0	-7.2	24
NN	3	$5 \times 10^4$	$10^5$	1.75	1.5	$4\rho_n$	$2 - \tilde{p}/\rho_0$	0.035	15 km	-2	2.12	-6.9	-7.1	24

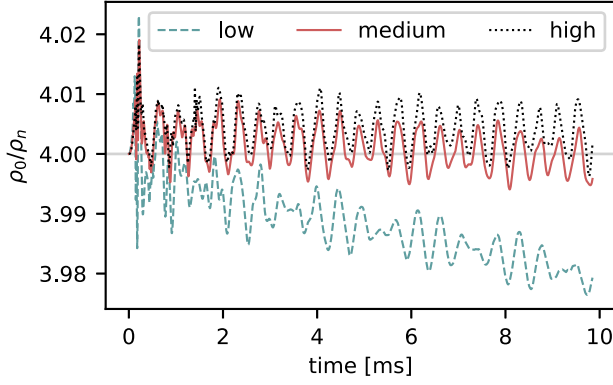


FIG. 4. BAM evolution of the central rest-mass density of the stellar model CR for different resolutions.

axisymmetry of the spacetime and the planar symmetry of the models. From Fig. 4, we conclude that the changes in the central density decrease with increasing resolution. In particular, the central density decrease, which is present in the low resolution case, is small for the medium and high resolution. The remaining density oscillations of the order of  $\sim 0.25\%$  seem negligible for the studies discussed in the following.<sup>4</sup> If not otherwise stated, we show the results for the high resolution grid configuration, but all models have been simulated with the low, medium, and high grid resolutions to test the correctness of our results.

### C. Models

To minimize additional code changes in BAM and XNS, we use throughout this work an EOS such that the total energy density is given by

$$\epsilon(\rho, s) = \rho + k_1 \rho^\Gamma + k_2 s^2 \rho^{\Gamma_{\text{th}}}, \quad (45)$$

where  $k_1$ ,  $k_2$ ,  $\Gamma$ ,  $\Gamma_{\text{th}}$  are parameters specified in Table II. With our parameter choice this EOS has a maximal cold, nonrotating neutron star mass of  $2.22 M_\odot$  as shown in Fig. 5, and can be straightforwardly included in BAM, since it is equivalent to an ideal gas EOS with  $K = (\Gamma - 1)k_1$  (Appendix B).

We fix the barotropic function by setting  $\tilde{s}(\tilde{\rho})$ . We remark that with our choice of the potential  $Q$ ,  $\tilde{\rho}$  and  $\tilde{s}$  are physical rest-mass density and entropy per baryon also when  $b \neq 0$  only on the rotational axis, since there  $\mathcal{F}(\Omega_0) = 0$ . For this reason, there is no ambiguity in using the central quantities in Table II.

We consider seven models, all shown in Fig. 6 and described in Tables I and II. We remark that if two

<sup>4</sup>We remark that the remaining density oscillations are likely to be related to the XCFC approximation of XNS, since it is absent or smaller if the XCFC approximation is not employed; cf. Fig. 2 of [43] for single star evolutions and the supplemental material of [51] for studies in binary neutron star configurations.

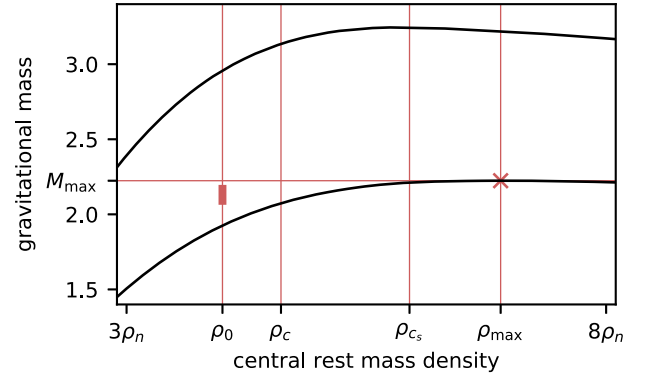


FIG. 5. Gravitational mass as a function of the central density for the EOS adopted in this paper with causality enforced at  $\rho > \rho_{cs} = 5.95\rho_n$ . The lower black line corresponds to non-rotating cold models and the upper black line to cold models that rotate rigidly at the Keplerian limit.  $M_{\text{max}} = 2.22$  is the maximal nonrotating mass corresponding to  $\rho_{\text{max}} = 6.90\rho_n$  (red cross) and  $\rho_c = 4.60\rho_n$  is the critical density for inverting the nonbarotropic EOS (see Appendix B). The thick red line marks the region of central density and gravitational (Komar) mass of the models considered in this paper ( $\rho_0 = 4\rho_n$ ).

quantities have parallel level contours, then this means that they are in a one-to-one correspondence, cf. Fig. 6. The control configurations  $C\Omega$  and  $Cp$  have been obtained with the same procedure as NC, but for  $C\Omega$  we set  $b = 0$  in Eq. (40) and for  $Cp$  we set  $b = 0$  in Eq. (42). For this reason,  $\ell = \ell(\Omega)$  for  $C\Omega$  and  $s = s(p)$  for  $Cp$ . Since the potential  $Q$  has not been solved consistently,  $C\Omega$  and  $Cp$  are expected not to be true stationary solutions and are therefore our control models against which we judge the quality of the theory.

The parameters of the EOS and of the potential  $Q$  that completely determine the stellar models are shown in Table II. The values of parameters  $R_0$  and  $b$  have been chosen to emphasize differential rotation and non-barotropicity, while the choice of the other parameter values is discussed in Appendix B. All models are stable against dynamical instabilities, i.e., they do not collapse (Appendix B), but some models are unstable against convection (Appendix C). Note that the obtained central temperatures  $T_0$  are reasonable for protoneutron stars and for postmerged neutron stars.

More details on the EOS and the rationale behind our choices are provided in Appendixes B and C.

## V. RESULTS

### A. Test 1: barotropic limit

We checked that, using the nonbarotropic inversion of the EOS (namely steps 5.a–5.b in Sec. IV A), we obtain the same stationary results for the cold, rigid rotating model CR (having dropped the  $\mathcal{F}$  term) and for the barotropic, differentially rotating models BC and BN.



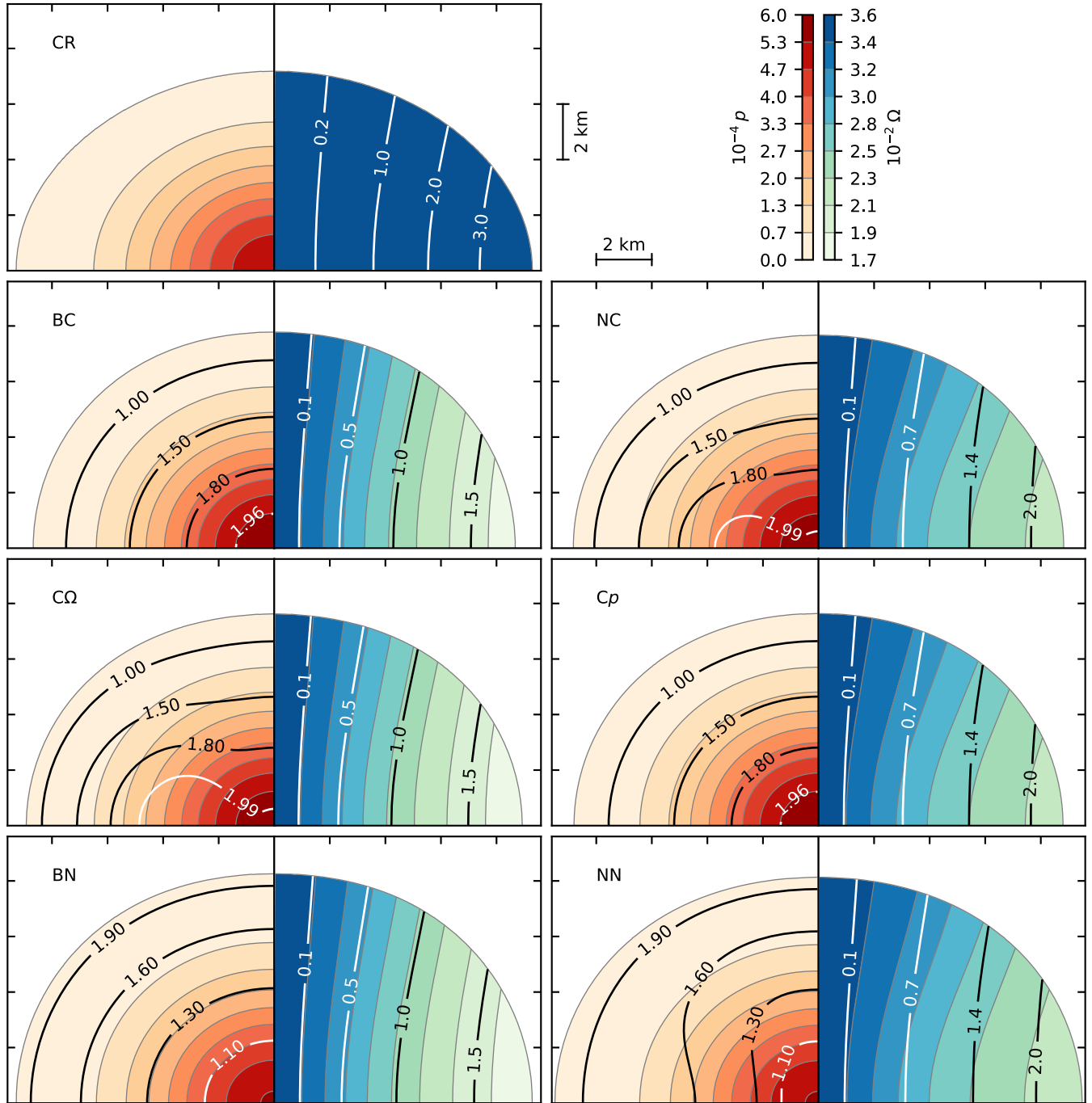


FIG. 6. Stationary stellar models obtained with XNS. For each model, the color-filled contours refer to the pressure  $p$  (red scale, left) and the angular velocity  $\Omega$  (blue scale, right), while the thick black and white contours refer to the entropy per baryon  $s$  (left) and the specific angular momentum  $\ell$  (right). See the text for details.

### B. Test 2: first integral residual

We define the residuals of the Euler equation as

$$\delta_i(r, \theta) = \partial_i Q(r, \theta) - \frac{\partial_i p(r, \theta)}{\hbar(r, \theta)} - F(r, \theta) \partial_i \Omega(r, \theta), \quad (46)$$

where  $i = r, \theta$  is the direction of differentiation. To quantify how well Eq. (4) is solved in the star we use the averaged logarithm of the residuals,

$$\langle \log |\delta_i| \rangle = \frac{\sum_j \log_{10} |\delta_i(r_j, \theta_j)|}{N}, \quad (47)$$

where  $j$  is the index that identifies a point inside the star and  $N$  is the total number of points inside the star. These quantities should be compared with the potential  $Q$  which is in the range  $0.3 \lesssim Q \lesssim 0.8$ . We report the residuals in Table II. As expected, the Euler equation has on average a much worse residual (2 to 3 orders of magnitude) in the control configurations than in the consistently determined ones, thus corroborating our theory.

### C. Test 3: stellar oscillations

As a final check, we evolved the XNS models with BAM to see whether the configurations are indeed in equilibrium. In particular, we compare the amplitude of the oscillations that are artificially triggered by numerical inaccuracies and by the use of the XCFC approximation for the initial setup. In Fig. 7 we show the central rest-mass density evolution, and in Fig. 8 we compare the initial configuration with a snapshot close to the maximum of the final oscillation (marked with crosses in Fig. 7), in such a way to maximize deviations. Indeed, control configurations diverge much more than the consistently determined ones.

However, as discussed in Appendix C, models BC and NC are unstable against convection (note the convective patterns in the velocity field for these configurations in Fig. 8). Moreover, the convective timescale is comparable with the evolution time (Appendix C), and therefore also these consistently determined stellar configurations deviate from the initial ones.

We thus evolved two models that are stable against convection, BN and NN. These configurations have small oscillations comparable to that of the cold rigidly rotating model CR, thus verifying our theory.

In Fig. 9 we compare the evolution of the nonbarotropic setup for the convective and nonconvective star. Convection begins at the stellar surface, where the convective timescale is shorter (Appendix C), and propagates to the interior, destroying the nonbarotropic pattern and flattening the entropy profile. We have also simulated the evolution of a low resolution NC setup for a much longer time. This low resolution simulation reproduces the qualitative patterns of the high resolution one and in it the convective cells disappear after  $t \simeq 10$  ms, in line with the qualitative estimates of the convective timescale made in Appendix C.<sup>5</sup>

As final remarks, we point out the following:

- (i) The control models too are unstable against convection; however the nonconsistency of the initial configurations has a much larger destabilizing effect, cf. Fig. 7.

<sup>5</sup>We note the larger entropy at the star's surface for the low resolution NC model. This entropy production is caused by the surface as discussed, e.g., in Guercilena *et al.* [54]. The entropy production decreases with an increasing resolution and its origin lies in the high resolution shock-capturing schemes and the use of an artificial atmosphere surrounding the star.

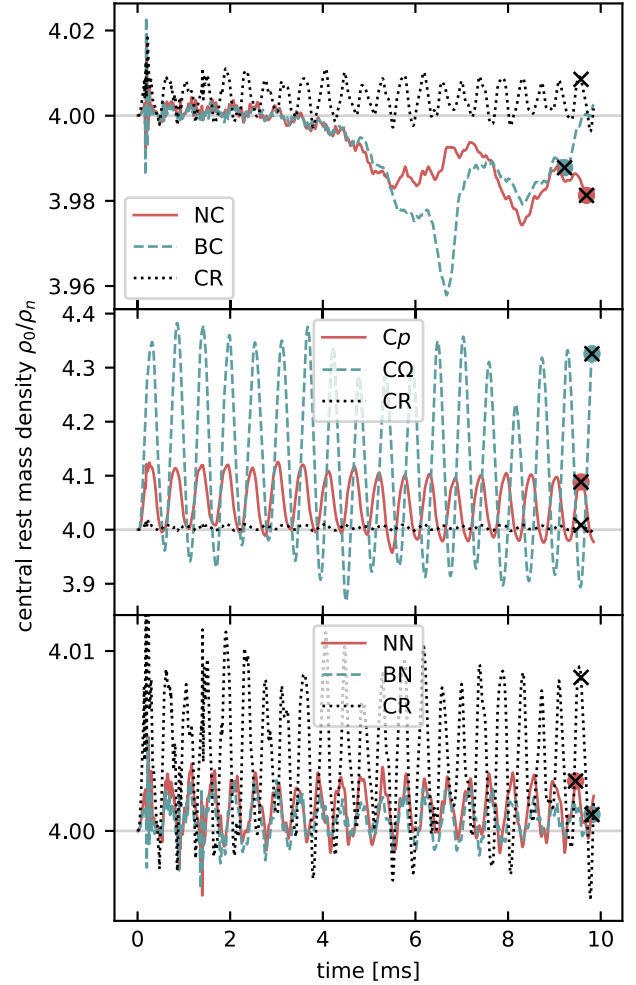


FIG. 7. Time dependence of the central rest-mass density in the BAM evolution for the models considered in this paper. The cold, rigidly rotating model CR is plotted in all panels as a reference. The crosses mark the snapshots shown in Fig. 8 and the gray horizontal lines mark the initial central density.

- (ii) It is possible to obtain equilibrium models of neutron stars that are unstable against convection as it is possible to obtain equilibrium models that are dynamically unstable (i.e., that collapse [16]).

## VI. DISCUSSION

### A. Consequences

In the following we list some general results that can be directly derived with our novel approach:

- (1) The Schwarz's theorem implies that if  $F = F(\Omega)$ , then  $s = s(p)$ ; namely the EOS is an effective barotrope. Vice versa is also true.
- (2) Schwarz's theorem implies that a stationary neutron star with a nonbarotropic thermal profile must also be differentially rotating.
- (3) On the symmetry axis  $F$  vanishes; then if the star is barotropic [namely  $\Omega = \Omega(F)$ ] the angular velocity

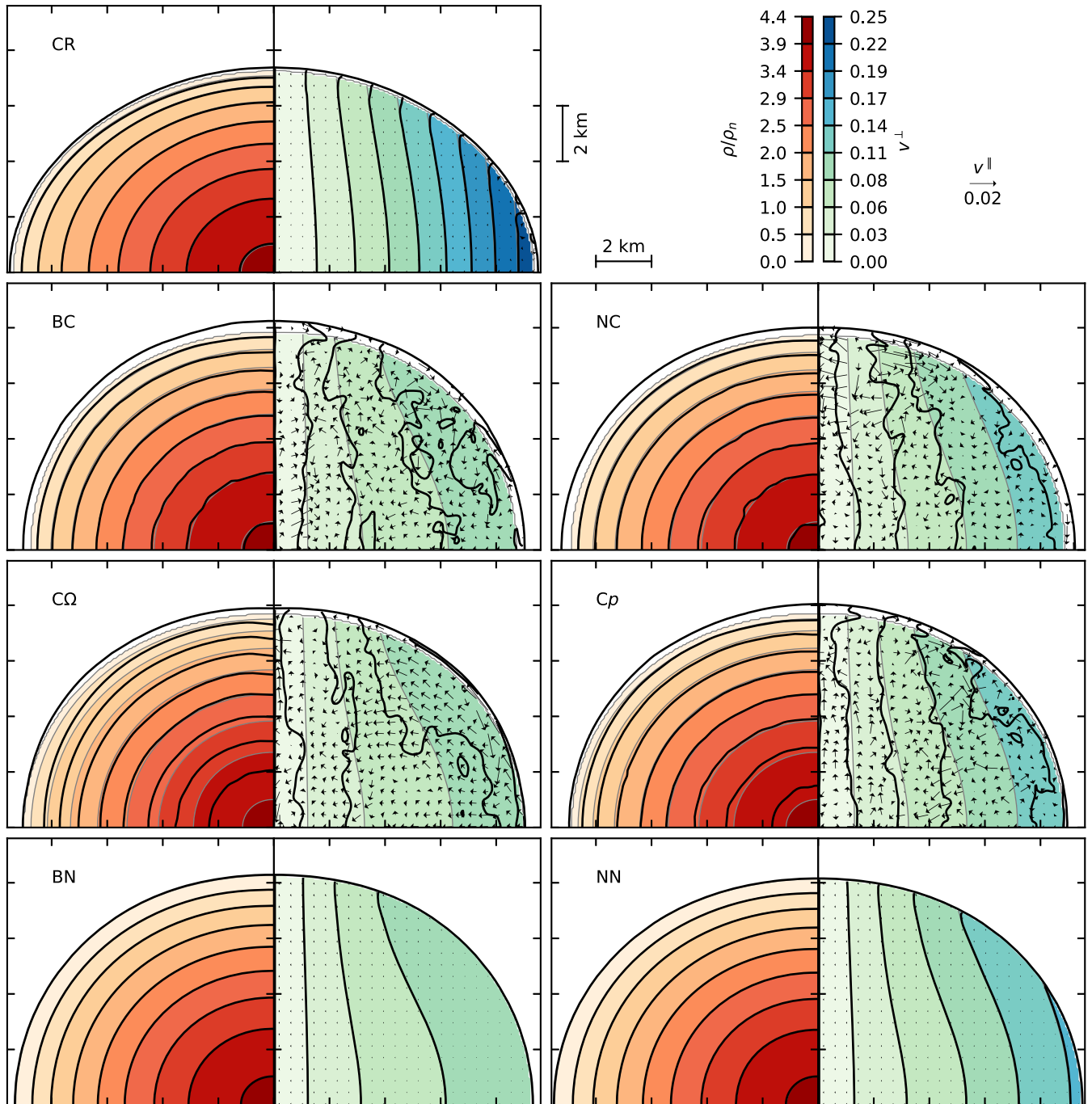


FIG. 8. BAM evolution. For each model, we plot the density  $\rho$  (red scale, left) and the orthogonal velocity  $v^\perp = r \sin(\theta) v^\phi$  (blue scale, right). The initial configurations are shown in color-filled contours delimited by thin gray contours while the configurations marked in Fig. 7 are shown in black thick contours with the parallel velocity  $v^\parallel = v^r \mathbf{e}_r + r v^\theta \mathbf{e}_\theta$  shown as a vector field. Any deviance from stationarity during the evolution is due to convection and/or to the nonconsistency of the initial setup. See the text for details.

is uniform on the symmetry axis. However, this is not true in general for a nonbarotropic star (but it is for the nonbarotropic cases considered in this work) [18,19,21,23,24,26].

- (4) An interesting point that emerges from Sec. III is that there are only two EOS quantities that can be

directly determined from the Euler equation without solving the EOS, namely  $p$  and  $\mathcal{h}$ . This should not be a surprise because  $p$  and  $\mathcal{h}$  are the only EOS quantities that appear in the definition of the energy-momentum tensor, Eq. (3). When other quantities like  $\ln h$  and  $s$  appear in the equations,

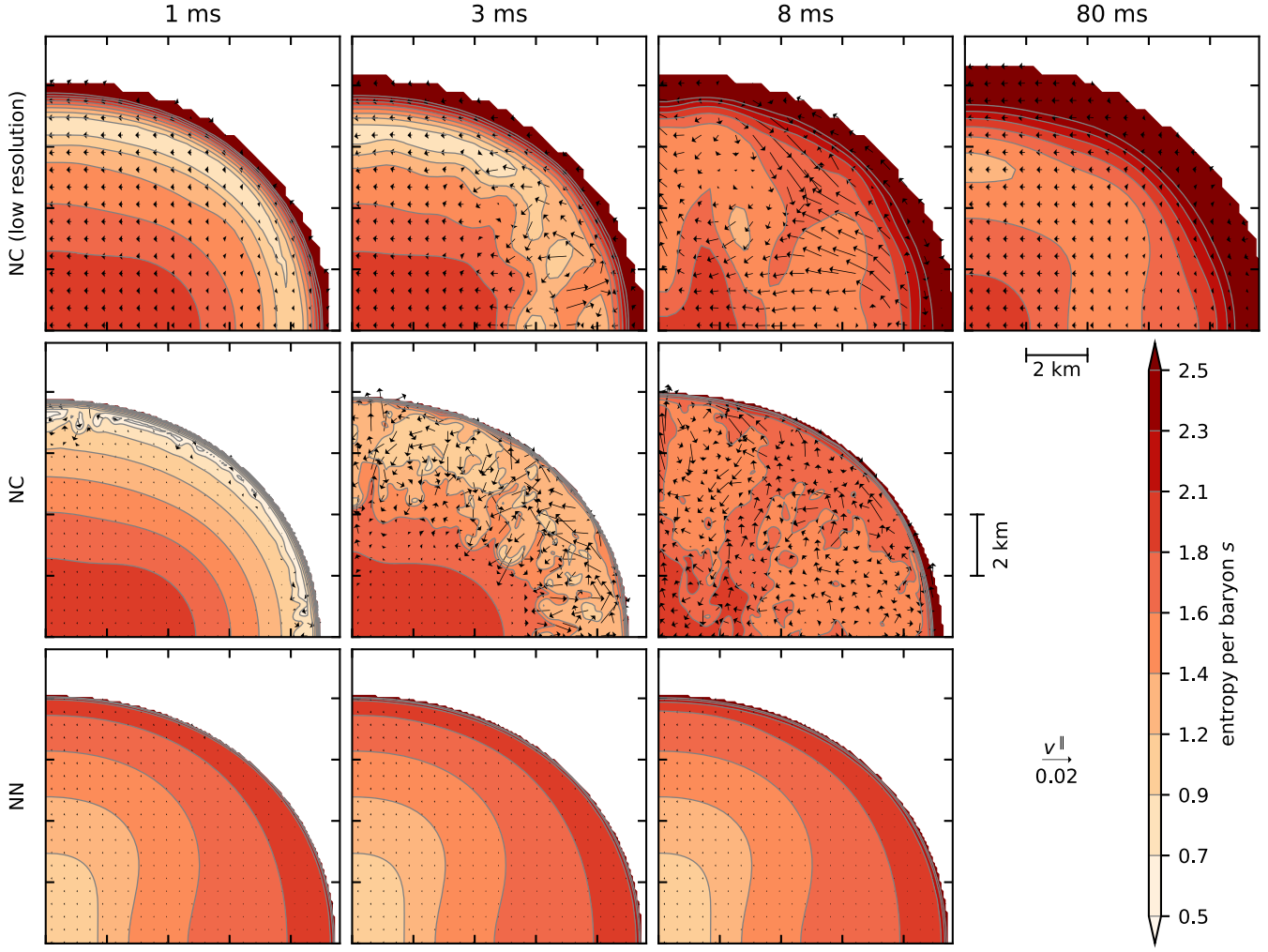


FIG. 9. Convection in the BAM evolution. Each row refers to a different stellar model and each column to a different time snapshot. The entropy per baryon  $s$  is shown as color-filled contours and the parallel velocity  $v^{\parallel} = v^r \mathbf{e}_r + r v^{\theta} \mathbf{e}_{\theta}$  as a vector field. See the text for details.

they correspond to physical quantities only in some limits, e.g., for isentropic stars in the case of  $\ln h$  and for barotropic stars in the case of  $s$ .

- (5) As already pointed out, the method we developed to obtain nonbarotropic configurations does not depend on the XCFC approximation and can be easily adapted to the full stationary metric (even without the circularity assumption) or to Newtonian gravity (see Appendix A). All that is really needed to have nonbarotropy is that the potential  $Q$  depends on more than just the pressure [e.g.,  $Q(p, x)$ ], that the second free variable  $x$  has a spatial distribution different from  $p$ , and that the cross partial derivative of the potential  $\partial_p \partial_x Q$  is not null. In this paper we chose the second variable to be the angular velocity,  $x = \Omega$ , and we therefore consider differentially rotating neutron stars, but, in principle, we could as well have used the magnetic field or meridional currents [55] instead (or in addition).

- (6) It is known that the numerical solution of the Euler equation for a Newtonian nonbarotropic star shows a degeneracy in the profile of  $\Omega$  that can be lifted by e.g. including viscosity [24]. This degeneracy does not arise in our method because we fix the potential  $Q(p, \Omega)$  and therefore we implicitly fix the profile of  $\Omega$ .

Note that points 1 and 2 are a reformulation of the relativistic von Zeipel's theorem [56,57].

### B. General entropy profile

In principle, it is possible to use the formalism developed in this paper to determine the rotating profile of a hot neutron star given its two-dimensional thermal profile  $s = s(r, \theta)$ .

Let us assume a potential that further generalizes  $Q(p, \Omega)$  in Eq. (38), for example

$$Q(p, \Omega) = \sum_{l,m} a_{lm} H^l(p) \mathcal{F}^m(\Omega), \quad (48)$$

where  $a_{lm}$  are parameters and  $H$  and  $\mathcal{F}$  are formally defined as before. Now, given a choice of  $a_{lm}$ , we obtain a unique profile  $s(r, \theta)$  from the solution of Eqs. (35)–(37). To ensure that the entropy in a given point within the star takes a specified value,  $s(r', \theta') = s'$ , one can modify the potential free parameters, e.g.,  $a_{l'm'}$ . If we want to fix the entropy in two points, we must tweak two free parameters, and so on. In principle, we can fix the entropy in all grid points by adjusting an equal number of parameters.

In practice, the procedure described above may be cumbersome if one wants to fix the entropy in more than a few points and we discussed it only as a proof of principle. Moreover, this procedure works only for *planar* configurations, namely  $s(r, \theta) = s(r, \pi - \theta)$ . To obtain a nonplanar configuration one should define two potentials  $Q_-$  and  $Q_+$  that coincide together with their first and second partial derivatives along a given curve  $(p(z), \Omega(z))$ , where  $z$  is the curve parameter.

We remark that this procedure would work also if one wants to fix the rotational profile  $\Omega = \Omega(r, \theta)$  instead of the entropy one.

### C. Multidimensional equation of state

Let us consider an EOS that depends on  $N > 2$  independent variables, e.g.  $h = h(p, s, Y)$ , where  $Y$  is the proton number fraction.

In this case one should solve Eqs. (35) and (36) as for the nonbarotropic case of the EOS with two independent variables. The difference is that Eq. (37) now becomes

$$\partial_p Q(p, \Omega) = \frac{1}{\mathcal{h}(p, s(r, \theta), Y(r, \theta))}. \quad (49)$$

At this point, one can fix  $Y(r, \theta)$  and invert the EOS to determine  $s(r, \theta)$ . Another way to look at this is that the three-dimensional EOS is equivalent to a parametrized two-dimensional EOS:  $\mathcal{h}(p, s, Y(r, \theta)) = \mathcal{h}_{Y(r, \theta)}(p, s)$ .

We remark the following:

- (i) It is possible to fix  $s(r, \theta)$  instead of  $Y(r, \theta)$ , but not both profiles at the same time, unless one uses the procedure discussed in Sec. VI B.
- (ii) The results discussed above would stay valid when  $s$  and/or  $Y$  do not explicitly depend on  $(r, \theta)$  but on  $(p, \Omega)$ , since all these quantities are known when one solves Eq. (49).

### D. Legendre transformation

In thermodynamics, different choices of free variables imply the use of different thermodynamical potentials that are related to each other by Legendre transformations. What if we take the Legendre transformation of the potential  $Q$ ?

First, we define the following transformed potential,

$$\mathcal{Q}(p, F) = Q(p, \Omega(p, F)) - \Omega(p, F)F, \quad (50)$$

where the independent variables are  $p, F$  and therefore the angular velocity is written as  $\Omega = \Omega(p, F)$ , cf. Eq. (B12). The differential of Eq. (50) yields

$$d\mathcal{Q} = \frac{dp}{\mathcal{h}} - \Omega dF, \quad (51)$$

$$\mathcal{h}^{-1} = \left. \frac{\partial \mathcal{Q}}{\partial p} \right|_F, \quad (52)$$

$$\Omega = - \left. \frac{\partial \mathcal{Q}}{\partial F} \right|_p, \quad (53)$$

where all quantities depend on  $(p, F)$ .

In order to reobtain the barotropic, differentially rotating model we assume that the EOS is an effective barotrope and that  $\Omega = \Omega(F)$ . Similarly to what was done in Sec. II B, we can define a function  $\mathcal{G} = \mathcal{G}(F)$  such that

$$\Omega(F) = - \frac{d\mathcal{G}(F)}{dF}. \quad (54)$$

The j-const differential-rotation law is equivalent to

$$\mathcal{G}(F) = \left( \frac{\sigma^2}{2} F - \Omega_0 \right) F, \quad (55)$$

where  $\sigma = 1/R_0$  is a parameter. The barotropic potential of Eq. (38) is equivalent to the following barotropic transformed potential:

$$\mathcal{Q}(p, F) = H(p) + \mathcal{G}(F) - \ln \alpha_0. \quad (56)$$

An advantage of this formulation is that it unifies rigidly and differentially rotating stars. Indeed, the rigid rotation limit  $R_0 \rightarrow \infty$  corresponds to  $\sigma = 0$  and therefore  $\Omega(F) \equiv \Omega_0$  is well defined. It also simplifies the inclusion of differential rotation laws where  $F(\Omega)$  is not monotonic [32], which are a more realistic description of postmerged neutron stars.

## VII. CONCLUSIONS

In this paper we have studied, for the first time, a stationary, differentially rotating, nonbarotropic neutron star in general relativity. In doing so, we have shown with theoretical arguments and with stationary and dynamical numerical simulations how the Euler equation can be cast in a potential form also in the nonbarotropic case. This is a novel result even in the context of Newtonian stars.

To test our approach, we have first generated stationary configurations using the XNS code [14–16], that determines the neutron star structure and spacetime in the extended conformal flatness condition approximation [35]. We have then taken the stationary configurations as an initial condition for dynamical evolutions performed with the general relativistic hydrodynamics code BAM [40,41]. We considered consistently determined configurations of barotropic and nonbarotropic rotating neutron stars and compared them with nonconsistent “control” configuration to gauge the quality of our models. We considered both convectively stable and unstable models.

We used our formalism to demonstrate some properties of nonbarotropic stars, most notably that a nonbarotropic star must be differentially rotating [56,57] and that in a nonbarotropic star the specific angular momentum and the entropy must depend on both pressure and angular velocity.

Possible outlooks of this work are the following.

One can use the final snapshots of dynamical evolutions to model the Euler equation potential of (i) postmerged neutron stars, (ii) protoneutron stars (post core collapse), and (iii) post hadron phase transition quark stars. Then, one can quickly explore the parameter space of the hot rotating remnant with a stationary code like XNS to study e.g. the dynamical stability, the maximal mass, the gravitational wave signal from stellar quasiperiodic oscillations, etc. The most interesting configurations can then be selected to be further explored with dynamical codes like BAM, using the XNS output as completely consistent initial data (e.g., [16]).

In Sec. VI B we showed how, in principle, it is possible to use our potential formalism to determine a general entropy profile. But another, maybe simpler, method would be to import the techniques developed in the context of Newtonian baroclinic stars to include a general thermal profile. In this way one can study the long-term (on the order of minutes), neutrino-driven, quasistationary evolution of the hot and rotating remnant of cases (i–iii) [34,58–62]. This is important because a huge amount of energy (up to tenths of solar masses) is expected to be radiated through neutrinos in the first phase of the neutron star life. However, this phase is too long to be fully explored with dynamical codes, while using a quasistationary evolution would allow one to employ stationary, fast codes like XNS. Again, in this way one can quickly study the parameter space and select the most interesting configurations to be further explored with dynamical codes, and even study the time-dependent gravitational wave signal from this phase [63,64] and assess the role of physical processes such as viscosity.

Finally, one can apply our potential formalism to the study of nonbarotropicity in accretion disks [27,28,33], in neutron stars with magnetic field [65] and with meridional currents [55], and in Newtonian stars.

## ACKNOWLEDGMENTS

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## APPENDIX A: NEWTONIAN LIMIT

In the Newtonian limit,

$$\alpha \rightarrow \exp \Phi, \quad (\text{A1})$$

$$\hbar \rightarrow \rho, \quad (\text{A2})$$

$$R \rightarrow \varpi = r \sin \theta, \quad (\text{A3})$$

$$v^\phi \rightarrow \Omega, \quad (\text{A4})$$

$$F \rightarrow j = \varpi^2 \Omega, \quad (\text{A5})$$

$$\ell \rightarrow j = \varpi^2 \Omega, \quad (\text{A6})$$

$$Q \rightarrow -\left(\Phi - \frac{1}{2}\varpi^2\Omega^2\right), \quad (\text{A7})$$

where  $\Phi$  is the gravitational potential,  $\varpi$  the cylindrical radius, and  $j$  the nonrelativistic specific angular momentum. Note that both  $F$  and  $\ell$  tend to the same limit,  $j$ , and that the potential  $Q$  [Eq. (29)] tends to minus the effective (including the centrifugal force) gravitational potential. The Newtonian limit of Eq. (4) is

$$\frac{\nabla P}{\rho} + \nabla \left( \Phi - \frac{1}{2}\varpi^2\Omega^2 \right) + j\nabla\Omega = 0, \quad (\text{A8})$$

where  $i = \{r, \theta\}$  and we divided by  $r$  the equation along the  $\theta$  direction.

Equation (A8) is equivalent to the stationary Euler equation adopted in the Newtonian literature [e.g., Eqs. (2) and (3) of Ref. [21] and Eq. (20) of Ref. [24],

$$\frac{\nabla P}{\rho} + \nabla\Phi - \varpi\Omega^2\mathbf{e}_\varpi = 0, \quad (\text{A9})$$

where  $\mathbf{e}_\varpi$  is a unit vector along the cylindrical radius and we assumed circular motion (i.e., no meridional currents) and no viscosity.

We show here that Eqs. (A8) and (A9) are equivalent by recovering both from the general form of the stationary (Newtonian) Euler equation,

$$(\mathbf{v} \cdot \nabla)\mathbf{v} = -\frac{\nabla p}{\rho} - \nabla\Phi, \quad (\text{A10})$$

where  $\mathbf{v} = \Omega\varpi\mathbf{e}_\phi$  is the fluid velocity. From the identity

$$(\mathbf{v} \cdot \nabla)\mathbf{v} = \frac{1}{2}\nabla(\mathbf{v} \cdot \mathbf{v}) - \mathbf{v} \times (\nabla \times \mathbf{v}), \quad (\text{A11})$$

we get

$$(\mathbf{v} \cdot \nabla)\mathbf{v} = \frac{1}{2}\nabla(\varpi^2\Omega^2) - x, \quad (\text{A12})$$

$$x = \Omega \sin\theta \partial_r(r\varpi\Omega)\mathbf{e}_r + \Omega \partial_\theta(\sin\theta\varpi\Omega)\mathbf{e}_\theta. \quad (\text{A13})$$

Now, if we directly expand the partial derivatives in  $x$ ,

$$x = \left(\mathbf{e}_r \partial_r + \frac{\mathbf{e}_\theta}{r} \partial_\theta\right) \frac{\varpi^2\Omega^2}{2} + \varpi\Omega^2(\sin\theta\mathbf{e}_r + \cos\theta\mathbf{e}_\theta), \quad (\text{A14})$$

we recover Eq. (A9).

On the other hand, we have also

$$\begin{aligned} x &= \Omega \partial_r(\varpi^2\Omega)\mathbf{e}_r + \frac{\Omega}{r} \partial_\theta(\varpi^2\Omega)\mathbf{e}_\theta \\ &= \nabla(\varpi^2\Omega^2) - \varpi^2\Omega\nabla\Omega, \end{aligned} \quad (\text{A15})$$

from which we recover Eq. (A8).

Our nonbarotropic potential formalism can be simply extended to the Newtonian case by applying it to Eq. (A8).

## APPENDIX B: TWO-DIMENSIONAL EQUATION OF STATE

We choose a polytropic expression for the total energy per baryon,

$$e(\rho, s) = m_n(1 + u_{\text{cold}}(\rho) + u_{\text{th}}(\rho, s)), \quad (\text{B1})$$

$$u_{\text{cold}}(\rho) = k_1\rho^{\Gamma-1}, \quad (\text{B2})$$

$$u_{\text{th}}(\rho, s) = k_2s^2\rho^{\Gamma_{\text{th}}-1}, \quad (\text{B3})$$

where  $\rho$  is the rest-mass density,  $s$  the entropy per baryon,  $m_n$  the nucleon mass,  $u_{\text{cold}}$  the specific cold internal energy,  $u_{\text{th}}$  the specific thermal internal energy, and  $k_1$ ,  $\Gamma$ ,  $k_2$  and  $\Gamma_{\text{th}}$  the parameters. We remark that to have physical results for any physical  $\rho$ ,  $s$  it has to be  $\Gamma > 1$ ,  $\Gamma_{\text{th}} > 1$ ,  $k_1 > 0$ , and

$k_2 \geq 0$ . Using the relation (that is a consequence of the first law of thermodynamics)

$$\frac{p}{\rho^2} = \frac{1}{m_n} \frac{\partial e}{\partial \rho} \Big|_s, \quad (\text{B4})$$

where  $p$  is the pressure, we get

$$p(\rho, s) = (\Gamma - 1)\rho u_{\text{cold}}(\rho) + (\Gamma_{\text{th}} - 1)\rho u_{\text{th}}(\rho, s). \quad (\text{B5})$$

Equation (B5) can be written as

$$p(\rho, u_{\text{th}}) = K\rho^\Gamma + (\Gamma_{\text{th}} - 1)\rho u_{\text{th}}, \quad (\text{B6})$$

$$K = (\Gamma - 1)k_1; \quad (\text{B7})$$

namely we recover Eq. (44).

Using the thermodynamical relation

$$T = \frac{\partial e}{\partial s} \Big|_\rho, \quad (\text{B8})$$

where  $T$  is the temperature, we obtain

$$T(\rho, s) = 2m_n k_2 s \rho^{\Gamma_{\text{th}}-1}. \quad (\text{B9})$$

We remark that  $T \rightarrow 0$  as  $s \rightarrow 0$ , as expected.

The speed of sound is defined by

$$c_s = \sqrt{\frac{\partial p}{\partial \epsilon} \Big|_s}, \quad (\text{B10})$$

where  $\epsilon = \rho e/m_n$  is the total energy density. For our EOS it is

$$c_s^2 = \frac{\Gamma(\Gamma - 1)k_1\rho^\Gamma + \Gamma_{\text{th}}(\Gamma_{\text{th}} - 1)s^2k_2\rho^{\Gamma_{\text{th}}}}{\rho + \Gamma k_1\rho^\Gamma + \Gamma_{\text{th}}s^2k_2\rho^{\Gamma_{\text{th}}}}. \quad (\text{B11})$$

From the Legendre transformation of the specific energy

$$h(p, s) = \frac{e(\rho(p, s), s)}{m_n} + \frac{p}{\rho(p, s)}, \quad (\text{B12})$$

we get the specific enthalpy  $h$ ,

$$h(p, s) = 1 + \Gamma k_1(\rho(p, s))^{\Gamma-1} + \Gamma_{\text{th}}k_2s^2(\rho(p, s))^{\Gamma_{\text{th}}-1}, \quad (\text{B13})$$

where  $\rho(p, s)$  is the inverse of Eq. (B5). The reason why we write all quantities in terms of  $p$  and  $s$  is that  $h$  is naturally a function of these variables; see the discussion in Sec. II A.

From the solution of the Euler equation [Eqs. (35)–(37)] we obtain  $\mathcal{R}$  and  $p$ , from which we want to get all the other

thermodynamical quantities. To invert the EOS, we first cancel out the term with the entropy and obtain the equation

$$(\Gamma_{\text{th}} - 1)\mathcal{h} - \Gamma_{\text{th}}p = (\Gamma_{\text{th}} - 1)\rho + (\Gamma_{\text{th}} - \Gamma)k_1\rho^\Gamma, \quad (\text{B14})$$

where  $\mathcal{h} = h\rho$  is the enthalpy density and the only unknown is the density  $\rho$ . This equation can be easily solved if  $\Gamma = 3/2$  (when it becomes cubic in  $\sqrt{\rho}$ ),  $\Gamma = 2$  (quadratic in  $\rho$ ) or  $\Gamma = 3$  (cubic in  $\rho$ ).

We pick  $\Gamma = 3$  because it is closer to the stiffness expected for the high-density part of the real EOS [66]. We can at this point set the parameter  $k_1$  enforcing the condition  $2.1 \lesssim M_{\text{max}} \lesssim 3$ , where  $M_{\text{max}}$  is the maximal nonrotating mass.

We choose  $\Gamma_{\text{th}} = 1.75$ , which is a value that reproduces the behavior of known finite-temperature EOSs [67,68]. To set  $k_2$  we require that the thermal contribution to the pressure at  $\rho = 2\rho_n$  and  $s = 2k_B$  is approximately 30%, a value determined by inspection of realistic EOSs. The corresponding temperature is  $T(2\rho_n, 2k_B) \simeq 29 \text{ MeV}/k_B$ .

The solution of Eq. (B14) is not always unique. In particular, when  $\Gamma_{\text{th}} < \Gamma = 3$  there are values of  $(\mathcal{h}, p)$  which correspond to two valid solutions  $(\rho_1, s_1)$  and  $(\rho_2, s_2)$  with  $\rho_1 \leq \rho_c \leq \rho_2$ , where  $\rho_c$  is a critical density that depends on  $\Gamma, \Gamma_{\text{th}}, k_1$ ,

$$\rho_c = \sqrt{\frac{\Gamma_{\text{th}} - 1}{3k_1(\Gamma - \Gamma_{\text{th}})}} \quad (\Gamma_{\text{th}} < \Gamma = 3). \quad (\text{B15})$$

A way around this difficulty is to choose a stellar configuration such that the maximal density is lower than  $\rho_c$ , in order to safely take the root  $\rho_1$ .

We report the EOS parameters in Table II. With those, we get the following EOS properties (cf. Fig. 5):

- (i) Critical density for EOS inversion:  $\rho_c = 4.61\rho_n$ .
- (ii) The speed of sound of the cold EOS becomes greater than the speed of light at  $\rho_{c_s} = 5.95\rho_n$ .
- (iii) Central density of the (cold, nonrotating) maximal mass configuration:  $\rho_{\text{max}} = 6.90\rho_n$ .
- (iv) Maximal mass of the cold, nonrotating star:  $M_{\text{max}} = 2.22 M_\odot$ ,

where  $\rho_{\text{max}}$  and  $M_{\text{max}}$  are obtained enforcing causality at densities greater than  $\rho_{c_s}$  and without attaching a crust at low densities.

Since all considered models have a central density  $\rho_0 = 4\rho_n$  (see Table II), we avoid the problems related to causality and uniqueness. This value is also smaller than the central density  $\rho_{\text{max}}$  of the nonrotating maximal mass configuration; and since additionally we chose  $\Omega_0$  such that the gravitational (Komar) mass is smaller than (but close to) the maximal nonrotating mass, all studied models are dynamically stable (i.e., they do not collapse).

## APPENDIX C: BAROTROPIC EOS

When the EOS is an effective barotrope every thermodynamical quantity depends only on the pressure, for example  $s = \tilde{s}(p), \rho = \tilde{\rho}(p), h = \tilde{h}(p), \dots$  (we mark the barotropic functions with a tilde to stress that they correspond to physical quantities only in a barotropic stellar model, while the pressure  $p$  is always equivalent to the physical quantity).

The easiest choice for the barotropic function is

$$\tilde{s}(p) = k_3(\tilde{\rho}(p))^{\frac{\Gamma - \Gamma_{\text{th}}}{2}}, \quad (\text{C1})$$

where  $k_3$  is a constant; in this case the heat integral can be easily integrated in  $\tilde{\rho}$ ,

$$H(p) = \int_{\tilde{\rho}(p_0)}^{\tilde{\rho}(p)} \frac{p'(\tilde{\rho})}{\tilde{\mathcal{h}}(p(\tilde{\rho}))} d\tilde{\rho}, \quad (\text{C2})$$

where  $p_0$  is the central pressure,  $\tilde{\mathcal{h}}$  is the enthalpy density,  $p(\tilde{\rho})$  is the inverse of  $\tilde{\rho}(p)$ , and  $p'(\tilde{\rho})$  is the total derivative with respect to  $\tilde{\rho}$ . Indeed, in this case we analytically obtain

$$H(p) = \frac{\Gamma[(\Gamma - 1)k_1 + (\Gamma_{\text{th}} - 1)k_2k_3^2] \ln \frac{\tilde{h}(p)}{\tilde{h}_0}}{(\Gamma - 1)[\Gamma k_1 + \Gamma_{\text{th}}k_2k_3^2]}, \quad (\text{C3})$$

where  $\tilde{h}_0$  is the central specific enthalpy. We remark that  $H = \ln h/h_0$  when  $k_2k_3^2 = 0$  (i.e., cold star) or  $\Gamma = \Gamma_{\text{th}}$  (i.e., isentropic star), as it should be.

We consider another possibility for the barotropic function,

$$\tilde{s}(p) = \tilde{s}_s - k_3\tilde{\rho}(p), \quad (\text{C4})$$

where  $\tilde{s}_s$  is the surface entropy and  $k_3$  a constant. Unfortunately in this case there is no simple analytical form for the heat integral and we integrate Eq. (C2) numerically.

Let us now consider the stability of the star against convection. We use the convective criterion in spherical symmetry, namely for a nonrotating neutron star, as an estimate for our rotating case. In spherical symmetry the star is unstable against convection when the Schwarzschild discriminant is negative [69],

$$S(\bar{r}) = \frac{dp}{d\bar{r}} - c_s^2 \frac{de}{d\bar{r}} < 0, \quad (\text{C5})$$

where  $c_s$  is the speed of sound [Eq. (B11)] and the total derivatives are taken along the Schwarzschild radius  $\bar{r}$  that is related to the isotropic radius by

$$\frac{d\bar{r}}{\sqrt{\bar{r}^2 - 2m(\bar{r})\bar{r}}} = \frac{dr}{r}, \quad (\text{C6})$$

where  $m(\bar{r})$  is the gravitational mass enclosed in  $\bar{r}$ .



For our EOS, Eq. (C5) is equivalent to

$$[(\Gamma_{\text{th}} - 1) + k_1 \Gamma (\Gamma_{\text{th}} - \Gamma) \rho^{\Gamma-1}] \frac{ds}{d\bar{r}} < 0. \quad (\text{C7})$$

For our choice of  $\Gamma_{\text{th}} < \Gamma$ , this means that if the entropy gradient is negative (respectively, positive) there is convection when  $\rho < \rho_c$  (respectively,  $\rho > \rho_c$ ), where the critical density for convection  $\rho_c$  happens to be equal to the critical density for inverting the EOS, Eq. (B15). Then, since in our models the rest-mass density is always smaller than  $\rho_c$ , we expect convection for barotropic profiles given by Eq. (C1) and vice versa no convection for barotropic profiles given by Eq. (C4).

For the case with convection, the convective timescale is given by the analytical estimate [69] ( $g$  is the strength of the gravity acceleration)

$$\tau_c = c_s \sqrt{\frac{2\hbar}{-gS(\bar{r})}}, \quad (\text{C8})$$

which is of the order of tens of milliseconds close to the stellar center and reduces to a timescale of the order of 0.1 ms close to the stellar surface (these timescales are compatible with those found by De Pietri *et al.* [70] and De Pietri *et al.* [71] in their simulations). This means that we expect convection to influence our dynamical simulations (that last for 10 ms), and that it starts at the surface and propagates to the center.

While this analysis is strictly valid only for a nonrotating barotropic star, we find that its application to rotating nonbarotropic stars qualitatively agrees with the results obtained from dynamical simulations (Sec. V).

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