# Lower limit on the entropy of black holes as inferred from gravitational wave observations

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Black hole (BH) thermodynamics was established by Bekenstein and Hawking, who made abstract theoretical arguments about the second law of thermodynamics and quantum theory in curved spacetime respectively. Testing these ideas experimentally has, so far, been impractical because the putative flux of Hawking radiation from astrophysical BHs is too small to be distinguished from the rest of the hot environment. Here, it is proposed that the spectrum of emitted gravitational waves (GWs) after the merger of two BHs, in particular the spectrum of GW150914, can be used to infer a lower limit on the magnitude of the entropy of the postmerger BH. This lower bound is potentially significant as it could be of the same order as the Bekenstein-Hawking entropy. To infer this limit, we first assume that the result of the merger is an ultracompact object with an external geometry which is Schwarzschild or Kerr, but with an outer surface which is capable of reflecting in-falling GWs rather than fully absorbing them. If the absence of deviations from the predictions of general relativity in detected GW signals will be verified, we will then obtain a bound on the minimal redshift factor of GWs that emerge from the vicinity of the object's surface. This lack of deviations would also mean that the remnant of the merger has to have a strongly absorbing surface and must then be a BH for all practical purposes. We conclude that a relationship between the minimal redshift factor and the BH entropy, which was first proposed by 't Hooft, could then be used to set a lower bound on the entropy of the postmerger BH.

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#### I. INTRODUCTION

It has long been thought that the picture of a black hole (BH) from classical general relativity (GR) accurately depicts the end point of gravitational collapse, perhaps with a suitable regularization scheme for taming the infamous BH singularity. This belief has recently been questioned due to the realization that, even as a matter of principle, the paradoxical nature of BH evaporation has no simple remedy along the lines of observer complementarity [1–7]. One then concludes that the synthesis of classical BHs with the quantum process of Hawking radiation is untenable as currently understood. A self-consistent picture requires discarding one, at the very least, of several cherished tenets: unitary evolution, locality, causality, the strong subadditivity of entropy (or monogamy of entanglement), Einstein's principle of equivalence and/or effective field theory in its domain of applicability.

A different route is to assert that the traditional model of a BH is what needs to be changed and then argue on behalf of an exotic alternative. This idea has led to models for ultracompact objects that resemble classical BHs in some ways yet differ in others. Proposals of this nature include fuzzballs [2,8], firewalls [3,5], wormholes [9], gravastars [10], anti–de Sitter bubbles [11], boson stars [12], graviton condensates [13], strongly anisotropic stars [14–17] and, as promoted by the current authors, collapsed polymers [18]. A partial catalog of sorts was recently presented in [19]. To avoid the paradoxical dilemmas of an evaporating BH, an ultracompact object cannot have a "true" horizon, although its outer surface may still display some horizonlike characteristics. As such, it lacks a surface where the Tolman redshift factor,  $\sqrt{|g_{tt}|}$ , vanishes locally: a surface of infinite blueshift. The Tolman redshift factor is related to the standard redshift parameter z as  $\sqrt{|g_{tt}|} = (1+z)^{-1}$ . The minimal value of the Tolman factor or, equivalently, the maximal value of z is an important parameter that may be used to distinguish among the different models.

The BH entropy, on the other hand, can be interpreted as a geometric quantity or as a measure of entanglement across the horizon. The entropy has, at times, also been

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attributed to the number of internal microstates, the amount of information that is shielded by the horizon and so forth (see [20] for further discussion along these lines). We would argue that all these are different descriptions of the same entropy, as distinct observers could well have conflicting perspectives on its origin.

For an asymptotic observer who is aware of the existence of quantum fields, a small value for the Tolman redshift factor implies that the near-horizon modes of these fields have very large energies and correspondingly small wavelengths. As a result, the observer expects many such modes to be closely squeezed together in the proximity of the horizon and form a "thermal atmosphere" around the BH horizon [21]. The density of states of the near-horizon modes increases as the Tolman redshift factor decreases and therefore, so does the entropy of the thermal atmosphere. In the case of our asymptotic observer—who, as a disciple of GR, only knows about what is outside the horizon and would assume a mostly empty interior—the entropy of the BH would have to be attributed to this thermal atmosphere. The asymptotic observer would then conclude that the magnitude of the BH entropy depends on the value of the minimal Tolman redshift factor. But a free-falling observer, for example, is unaware of any redshift effect and would have to conclude differently.

Gravitational waves (GWs) that are emitted from BH mergers [22,23] provide a means for constraining deviations away from GR [24–26]. Our proposal is that such GW data, which can already be used to put an upper bound on the observed minimal value of the Tolman factor, can similarly be used to infer a lower bound on the entropy of the postmerger BH. This means that BH mergers, which can be viewed as cosmological "scattering experiments," can be used to infer the entropy of astrophysical BHs. As far as we are aware, no similar proposal has been made in this context.

The more exotic compact objects allow for (at least) two other additional sources of emitted waves as compared to the BHs of GR. First, an ultracompact object containing a significant amount of matter could very well support modes that are analogous to the fluid modes of relativistic stars [27]. For further discussion on these "fluidlike" modes, see [28,29]. Another class of waves to consider are those that are sourced by the so-called echoes [9,19,30-34], which were discussed for rotating compact objects in [35–40]. The echoes for a starlike ultracompact object are modes that reflect any number of times between the peak of the potential (or photosphere) and the object's outermost surface, whereas wormhole echoes [30] are reflected between one potential peak and its mirror image. We note that a reflection from the outermost surface is essentially equivalent to unhindered propagation through the object, as the waves would then be perceived as being totally reflected at the center of the object. In any event, the reflected modes will eventually escape from their respective cavity, or "echo chamber," and move outward through the gravitational barrier. The reason that these other modes can be distinguished (at least in principle) from the standard BH modes is because of an additional length scale,  $L_i$  for the ith exotic alternative. This scale will be made explicit in the section to follow.

The value of these additional length scales  $L_i$  does not necessarily fix the precise type of ultracompact object. Some models are endowed with a natural choice of length scale but then some are not. For instance, in the case of the collapsed-polymer model,  $L_i$  is related to the string length. The string length must be greater than Planck length  $l_P$ , but its precise value is otherwise difficult to pinpoint. In comparison,  $L_i$  is a completely free parameter for the wormhole model whereas, for the gravastar model, it is related to the width of an outer shell which is supposed to be about 20 orders of magnitude larger than  $l_P$  [41].

We do support the point of view that GR is only an approximate classical theory and there should, as such, be corrections to the spectra of both the ringdown modes and the emitted GWs which follow after a BH merger. On general grounds, and as argued in [29], these excitations should have lower frequencies and longer lifetimes in comparison to the standard GR modes. But, since we expect that the boundary conditions at the surface are, to a good approximation, totally absorbing boundary conditions, it follows that the amplitude of the additional modes has to be substantially suppressed as compared to the GR modes. The same reasoning would apply to the aforementioned fluidlike modes. Hence, for the purposes of the current discussion, GR-corrected and fluidlike modes can be discounted.

The echo modes, on the other hand, represent the complete opposite of our expectations, as these obey totally reflecting boundary conditions and serve as a means to quantify the deviations away from GR in this extreme region of parameter space. As already noted, the simplest way to have reflecting boundary conditions is if, for some unknown reason, the GWs pass undisturbed through the interior of the BH (as in the gravastar model). Such waves would indeed be viewed from the exterior as being totally reflected. One could, of course, invent intermediate models; for example, by modifying the gravitational potential by hand. It does, however, seem difficult to justify such impromptu modifications. In any event, the resulting spectrum of emitted GWs would still be expected to be qualitatively similar to the case of total reflection, provided that the reflection is not parametrically small. This point is clarified later.

The rest of the paper starts by establishing the quantitative relationship between the entropy and the minimal redshift factor for the BHs of GR and then, similarly, for the exotic alternatives. This is followed by a numerical analysis that determines the current bounds on the redshift factor and then shows how the corresponding bounds on the entropy could be inferred. The paper concludes with a brief overview.

#### II. ENTROPY AND REDSHIFT

Here, we will elaborate on the significance of the redshift factor, with the focus being initially on the BHs of GR and, following this, on the exotic alternatives. We will, as a starting point, assume nonrotating and electrically neutral compact objects in 3+1 dimensions, so that the object's exterior geometry is accurately described by the Schwarzschild metric  $g_{ab}$ , for which  $-g_{tt}=g^{rr}=1-\frac{R_S}{r}$ , where  $R_S$  is the Schwarzschild radius. Rotating BHs will, however, be eventually considered; in which case, the exterior geometry is described by the Kerr metric with  $-g_{tt}=1-\frac{2Mr}{r^2+a^2\cos^2\theta}$  and  $g^{rr}=\frac{r^2-2Mr+a^2}{r^2+a^2\cos^2\theta}=\frac{(r-r_+)(r-r_-)}{r^2+a^2\cos^2\theta}$ . Here, M is the mass, a=J/M is the dimensional spin parameter and  $r_\pm=M\pm M\sqrt{1-a^2/M^2}$  is the location of the outer/inner horizon (or would-be horizons for the alternative models).

# A. Minimal redshift for black holes and exotic alternatives

Even in the idealized case of GR BHs, the notion of a vanishing redshift factor is not realistic. Smaller distances than  $l_P$  are impossible to probe without turning the probing instrument itself into a BH. So an observer cannot have better resolution than  $l_P$  when attempting to locate a BH horizon.

The following calculation reveals that a proper distance  $\Delta r$  of one Planck length away from the horizon describes a radial distance in Schwarzschild coordinates of about  $d = l_P^2/R_S$ :

$$\Delta r = \int_{R_S}^{R_S + d} dx \sqrt{g_{rr}(x)} \simeq \sqrt{dR_S}.$$
 (1)

This, in turn, implies that the surface has a local (Tolman) redshift factor of

$$F \equiv \sqrt{|g_{tt}|} \simeq \frac{\Delta r}{R_S} \ge \frac{l_P}{R_S}. \tag{2}$$

The GR value for the minimal redshift factor is then  $F_{\rm BH} = l_P/R_S$ . (See also [42–44] for a different perspective leading to the same conclusion.) For a solar mass BH, the minimal redshift factor is extremely small,  $F_{\rm BH} = 5 \times 10^{-38}$ .

The Bekenstein-Hawking value of the BH entropy,  $S_{\rm BH} = A/4l_P^2 = \pi R_S^2/l_P^2$ , can now be expressed as  $S_{\rm BH} = \pi F_{\rm BH}^{-2}$ . As mentioned in the Introduction and explained in detail in the next subsection, this reasoning is based on ascribing the BH entropy to the thermal atmosphere of the closely surrounding quantum field modes.

# B. Entropy and minimal redshift

The properties of the thermal atmosphere were first calculated by 't Hooft [21] by counting the number n(E) of excited quantum field modes having energy E and satisfying the wave equation  $g^{rr}k^2 = -g^{tt}E^2 + \cdots$ . This was done by using the Bohr quantization condition to determine n(E),  $\pi n(E) = \int dr k(E)$ , where k(E) is the wave number. Since  $k(E) \sim \sqrt{-g^{tt}g_{rr}}E \sim E/|g_{tt}|$ , it follows that n(E), just like k(E), is inversely proportional to the square of the minimal redshift factor. Using this estimate, 't Hooft proceeded to show that the free energy and therefore the energy and entropy grow in inverse proportion to the square of the minimal redshift factor. (See [42] for further details.) Actually, the estimates of 't Hooft are only valid for cases in which the minimal redshift factor  $F_{\min}$  is parametrically small,  $F_{\min} \ll 1$ . This limitation will be important later when we derive bounds on  $F_{\min}$ .

Since the entropy formally diverges when the minimal redshift factor vanishes, 't Hooft regularized the result by placing a hard cutoff (a "brick wall") at a small distance away from the horizon, which he later identifies as a proper length on the order of  $l_P$ . A specific choice of cutoff reproduces the expected value of the BH entropy  $S_{\rm BH}$ ; however, 't Hooft could just as well have followed our prescription for a minimal redshift factor to obtain the correct value. This provides a formal explanation as to why a BH can have a parametrically much larger entropy than a run-in-the-mill star of the same mass. There is no "species problem" in this regard because the renormalized value of  $l_P$  depends on the number of species  $\mathcal{N}$  [47–49], and it does so in just the right way to cancel  $\mathcal{N}$  out of the brick-wall calculation.

The case of rotating BHs was discussed in [50] and the result is very similar to that of the Schwarzschild case. The area of the BH horizon is now given by  $A=2\pi M r_+$  and the entropy is again inversely proportional to the square of the minimal redshift factor,  $S \propto F_{\rm BH}^{-2}$ .

Let us now consider the other ultracompact objects, the exotic alternatives. Each of these can be expected to have a characteristic length scale that represents the displacement of the object's outer surface from its Schwarzschild radius and, with it, an associated minimal redshift factor. Adopting and then slightly modifying a definition from [19], we define  $\epsilon_i = (\Delta r)_i/R_S$  as a dimensionless form of the characteristic length for the ith model. Since  $\epsilon_i$  is also the near-horizon redshift factor, as made clear by Eqs. (1) and (2), it follows that the object has a minimal redshift factor of  $F_{i,\min} = \epsilon_i$  and then a thermal atmosphere entropy of  $S_i \propto 1/\epsilon_i^2$ . We can absorb the constant of proportionality between  $S_i$  and  $1/\epsilon_i^2$  into the definition of  $(\Delta r)_i$  and then write

<sup>&</sup>lt;sup>1</sup>Although, BHs and compact stars can still have a similar entropy if it is rescaled by the object's mass and temperature [45,46].

$$S_i = 1/\epsilon_i^2, \tag{3}$$

which is the adopted form of entropy for the remainder of the paper. A related discussion in the context of BH alternatives can be found in [51].

# C. A bound on redshift and entropy

The basic idea that enables the bounding of the minimal redshift factor  $\epsilon_{i,\min}$  will now be explained. We begin this discussion with nonrotating BHs from GR and later move on to include rotation and/or alternative models. In the classical-BH case, any emitted GWs can be attributed to damped ringdown or quasinormal modes (QNMs) which ensue when a perturbed BH—such as one formed from the merger of a BH binary-settles down to its equilibrium state. To understand the properties of these QNMs, one can consider waves propagating in from infinity that are scattered by the gravitational potential barrier at  $r \simeq \frac{3}{2} R_S$ . There are then two possibilities: the wave is either reflected off the barrier or passes right through it. The first possibility describes the emitted GWs, whereas the second class of waves are never heard from again because a classical BH is a perfect absorber.<sup>3</sup> For future reference, the emitted waves have an angular frequency  $\omega_{\rm BH}$  of about  $R_S\omega_{\rm BH}\sim 1$ and the longest-living modes have an inverse damping time  $\tau_{\rm BH}^{-1}$  of similar magnitude,  $R_S \tau_{\rm BH}^{-1} \sim 1$ . These estimates reflect the fact that a Schwarzschild BH has only one length scale  $R_S$ . For the exact numerical values of  $\omega_{\rm BH}$  and  $\tau_{\rm BH}$ , see [52–54].

Moving on to the exotic alternatives, let us recall that the other types of modes can be distinguished from the standard BH modes by an additional length scale. Here, rather than the scale  $\epsilon_i$ , it is more appropriate to consider the distance  $L_i$  from the object's outermost surface to its photosphere but in terms of the tortoise coordinate  $r_* = \int \frac{dr}{1-\frac{R_S}{r}}$ . The advantage of this coordinate choice is that the near-horizon geometry then looks similar to a flat geometry. So the relevant length scale for the ith model is given by [the photosphere is placed at  $r = (3/2)R_S$  for simplicity]

$$L_i = \int_{(\Delta r)_i}^{R_S/2} \frac{d(r - R_S)}{1 - \frac{R_S}{r}} \simeq R_S \ln\left[\frac{R_S}{2(\Delta r)_i}\right] = R_S |\ln \epsilon_i|, \quad (4)$$

where we have assumed that  $\epsilon_i$  is small. In the rotating case,  $r_* = \int dr \frac{r^2 + a^2}{r^2 + a^2 - 2Mr}$  and the expression for  $L_i$  is similarly obtained,  $L_i = r_+ |\ln \epsilon_i|$ , valid for  $\epsilon_i \ll 1$ .

The frequency of an echo mode, in the case of a nonrotating BH, is determined by the inverse of the total length of its trip (i.e., the length of the cavity times the number of reflections). Hence, the highest-lying angular frequency of the echo modes would go as

$$R_S \omega_i \sim \frac{R_S}{L_i} = \frac{1}{|\ln \epsilon_i|}.$$
 (5)

As  $\epsilon_i \ll 1$  for most models of interest, the frequency of even the most rapidly oscillating modes of the echoes is always suppressed relative to  $\omega_{\rm BH}$ . Note that we are using the notation  $\omega_i$  to denote the real part of the frequency of a mode in the *i*th model of exotic objects. Instead of the imaginary part of the frequency, we will use the lifetime  $\tau_i$ .

When the compact object is rotating, the frequency in Eq. (5) is modified by the rotation in accordance with [55,56] (also see [40]),

$$\omega_i = -\frac{\pi}{2|r_*^0|} \left( q + \frac{s(s+1)}{2} \right) + m\Omega, \tag{6}$$

where

$$r_*^0 \sim M[1 + (1 - a^2/M^2)^{-1/2}] |\ln \epsilon_i|,$$
 (7)

$$\Omega = \frac{a/M}{2r_{\perp}}. (8)$$

The positive integer q in Eq. (6) is denoting the overtone number, for which we will always choose the dominant one, q=1 and s is denoting the spin of the mode, for which we will always choose s=2, corresponding to a GW. Because  $\omega_i - m\Omega$  is negative for  $\varepsilon_i \ll 1$  and  $a \lesssim M$ , the typical solution is formally unstable, just like the r-mode solutions for neutron stars (e.g., [57]). However, the instability is very weak and we will, conservatively, ignore the possible growth of the echo modes due to this effect.

The inverse of the damping time is also suppressed, but even more so because of the very small cost in wave amplitude for any given trip through the cavity (however, see the second caveat below). A calculation reveals that the inverted decay time for the most rapidly oscillating mode would be given by [19]

$$1/\tau_i \sim -\frac{2\beta_{s\ell}M}{|r_*^0|} \frac{r_+}{r_+ - r_-} [\omega_i(r_+ - r_-)]^{2\ell+1} (\omega_i - m\Omega), \quad (9)$$

with s=2 and  $(\ell, m)$  representing the spin and harmonic mode, respectively, of the graviton, and  $\beta_{s\ell}$  is a spin and mode-dependent number. The mode will be chosen as (2,2) and, with this choice,  $\beta_{22}=1/225$ .

<sup>&</sup>lt;sup>2</sup>There are many excellent reviews on the QNMs of BHs; see, e.g., [52–54].

<sup>&</sup>lt;sup>3</sup>Quantum mechanically, a minimal amount of reflection can be expected at a rate that is compliant with the Hawking rate of emission.

For the case of interest,  $|\ln \epsilon_i| \gg 1$  and  $s = \ell = m = 2$ ,

$$\omega_i \simeq m\Omega = \frac{a/M}{r_\perp} \tag{10}$$

and

$$\begin{split} &\tau_{i} \simeq \frac{225}{\pi} \frac{1}{M} |r_{*}^{0}|^{2} (a/M)^{-5} \left(\frac{r_{+} - r_{-}}{r_{+}}\right)^{-4} \\ &= \frac{225}{\pi} r_{+} |\ln \epsilon_{i}|^{2} \frac{1 + \sqrt{1 - a^{2}/M^{2}}}{1 - a^{2}/M^{2}} (a/M)^{-5} \left(\frac{r_{+} - r_{-}}{r_{+}}\right)^{-4}. \end{split} \tag{11}$$

Notice that the decay time of the echoes is fortuitously long because of the factor of  $|\ln \epsilon_i|^2$ . This huge enhancement in the lifetime of the additional modes is essential for their detection.

To get a better idea about the magnitude of the numerical coefficients, one can evaluate  $\tau_i$  for the case a = 0.68M, which corresponds to the remnant BH of the famous detection GW150914 [22],

$$\tau_i = 3100r_+ |\ln \epsilon_i|^2. \tag{12}$$

So that, in addition to the enhancement due to the large value of  $|\ln \epsilon_i|^2$ , one finds an equally large numerical coefficient. Indeed, 3100 is the same order of magnitude as what  $|\ln \epsilon_i|^2$  would be for the inverse of the square root of the Bekenstein-Hawking entropy,  $\epsilon_{\text{Bek-Hawk}} = 1/\sqrt{S_{\text{BH}}} \sim 10^{-40}$ .

One can understand, heuristically, the scaling of the lifetime of the echoes in terms of ideas that were introduced in [58]. To make the argument, we will assume that the gravitational force acts democratically and thus weakly with all forms of matter, standard-model based or otherwise. The matter itself can interact strongly and be quite exotic. We believe that this is a reasonable assumption.

First recall from Eq. (6) that the "intrinsic" angular frequency is  $\omega_i \sim \frac{1}{r_+} \frac{1}{|\ln \epsilon_i|}$  (which is further modified by the external rotation  $m\Omega$ ; however, the intrinsic frequency is that seen by a corotating observer which is the appropriate one for the current discussion). This means that the GR external observer, who is unaware of the origin of the echo modes, would conclude that the wavelength of the radiation at distances far away from the horizon is  $\lambda_i \sim r_+ |\ln \epsilon_i|$ . On the other hand, the same observer attributes the source with having an area of about  $Mr_{+}$ . She then just needs to know that the transmission cross section for such long wavelength modes through a proportionally smaller surface of area A is determined by the ratio  $A/\lambda^2$ , which translates into  $Mr_+/\lambda_i^2 \sim \frac{1}{|\ln \epsilon_i|^2}$ . The lifetime is inversely proportional to the transmission rate and therefore scales as  $\tau_i \sim |\ln \epsilon_i|^2$ . This estimate is based on the assumption that most of the mode's energy is being emitted in the form of coherent waves rather than dissipating as heat (see the third caveat below).

The above heuristic argument can also be used to discuss a compact object whose reflection at the would-be horizon is not so perfect. Our contention is that, unless the reflection is parametrically small (in which case, the absorption is essentially complete and then the object effectively has a horizon), the mode's lifetime scales in the same manner as it does for the case of total reflection. The key point is that partial absorption occurs at the surface of the compact object. Then, given the above logic, the energy which is absorbed through that surface would similarly scale as  $A/\lambda_i^2 \sim \frac{1}{|\ln \epsilon_i|^2}$ . But, for small  $\epsilon_i$ , the area of the object's surface scales the same as the area of the gravitational potential barrier. It then follows that the energy absorption through the object's surface scales in the same way as its energy leakage through the potential barrier, given that the wavelengths of the leaking modes are similarly set by the minimal redshift (as argued in [58]). Since it is the leakage through the barrier that determines the lifetime of the emitted waves, the conclusion is that, up to some numerical factor, Eq. (11) applies just as well for the case of a finite absorber.

We emphasize that the scaling  $A/\lambda^2$  results from the assumption that the gravitational force acts democratically on all forms of matter, including whichever could be found inside exotic objects. We also would like to point out that the effects of strong blueshifting could only be parametrically significant inasmuch as the blueshifting is exponentially strong, otherwise  $|\ln \epsilon|$  would be of order unity. But, if the blueshifting was exponentially strong, then the object would be a black hole for all practical purposes and not an exotic alternative. The detectability of the echo modes depends strongly on the actual value of the absorption [59].

In principle, current observational data from the BH merger event which led to GW150914 [22,24] can be used to support an upper limit on the minimal value of  $\epsilon_i$  (see Sec. III for the precise statement and details),

$$\epsilon_{i,\min} \lesssim 10^{-40},$$
 (13)

which can, according to our proposal, be used to bound the entropy from below,

$$S = \frac{1}{\epsilon_{i,\min}^2} \gtrsim 10^{80}.\tag{14}$$

Although further scrutiny is still necessary, this bound provides the first experimental indication that an astrophysical BH possesses extremely large entropy, on the order of that predicted by the Bekenstein-Hawking area law. Given that the BH has both an entropy and energy (or a mass), a temperature must then follow.

#### D. Caveats

Like in any complicated physical situation, the above picture has caveats. Let us touch upon just a few:

- (1) For the BHs of GR, the spectral properties of the QNMs depend strongly on both the external geometry and the boundary conditions at the horizon (those of in-falling waves only). So, whether or not there are spacetime modes akin to the standard modes of a GR BH will depend on the boundary conditions at the outermost surface of the model in question. It is quite possible that the absence or modification of the conventional spacetime modes is already enough to rule out some otherwise-plausible compact objects. The exterior geometry of any nonrotating compact object is expected to be a Schwarzschild geometry due to Birkhoff's theorem, if GR is assumed to be valid. On the other hand, the exterior geometry of a rotating object could differ noticeably from that of Kerr; but this correction is expected to be small when  $\epsilon_i \ll 1$  [60]. A notable exception is the polymer model because, as explained in [29], it behaves just like a perfect absorber in the limit that the string coupling (equivalently,  $\hbar$ ) goes to zero. Hence, the polymer BHs are emitters of both conventional spacetime modes and fluid modes; the latter of which exhibit some similarities with the scaling properties of the echo modes thanks to the previous barrier-leakage argument [58].
- (2) It is sometimes assumed that the echoes have a suppression in amplitude, relative to the initial burst, which is in addition to that of Eq. (11). This suppression is supposed to grow stronger with the number of reflections; in other words, there is a further cost in amplitude for each trip through the cavity [19]. The degree of suppression per trip is a factor that must be put in by hand.

Such a suppression in the amplitude of GWs means that they are partially absorbed by the compact object. Let us recall that total reflection is really no different than unhindered propagation through the object, as the waves would then be perceived as being totally reflected at r = 0. Meanwhile, the intermediary case of partial absorption requires an extremely strong interaction. Such interactions would be expected to cause dissipation rather than coherent motion (see below). They are also not particularly realistic since standard matter interacts extremely weakly with GWs; in fact, the whole Universe is transparent to them, and our working assumption is that the same would be true even for the exotic forms of matter which might be found inside of an ultracompact object. One could try to model the partial absorption with an ad hoc modification of the gravitational potential, as done for example in [39], but the justification for such an arbitrary manipulation is lacking.

Nevertheless, as long as the absorption is not close to perfect, the spectral parameters of the echo modes and their total energy will not be significantly modified, as argued above. Recall in particular our argument that the absorption through the object's outer surface scales as  $1/|\ln \epsilon_i|^2$  or, more precisely, as  $1/\tau_i$ . This means that the deviation from total reflection should scale similarly. In terms of a suppression coefficient  $\gamma$ , this deviation would amount to  $\gamma \sim 1 - r_+/\tau$ , where  $\gamma = 1$  translates into zero suppression. For GW150914, this would correspond to  $1 - \gamma \simeq 4 \times 10^{-8}$ . Meaning that our bounds on the entropy can be expected to remain intact even when some absorption occurs.

- (3) The echo modes are also subject, in principle, to intrinsic dissipation. The analysis to follow is premised on the assumption that such dissipation is negligible, which is consistent with total reflection as the echoing process requires essentially no interactions between the emitted GWs and the interior of the compact object.
- (4) Formulating the full reflection of GWs from a surface is a rather daunting challenge and it is even unclear if a consistent description exists for the case of rotating objects [33]. It is likely though that, for a slowenough rotation, various approximations should be applicable with a reasonable degree of accuracy.

# III. BOUND ON ENTROPY FROM GRAVITATIONAL-WAVE OBSERVATIONS

In this section, following to some extent our own methodology from [29], we derive possible bounds on the entropy for models of BH alternatives. The detection of echoes is currently under debate. Some groups claim that echoes do exist in the signals that were detected by the LIGO/Virgo collaboration [31,32,61], whereas the collaboration itself concluded that the observational evidence for the existence of echoes is not significant enough to make such a claim [34,62]. We will adopt a conservative standpoint and assume that GW signals from the remnant for GW150914 [22,24] are consistent with the QNMs of a BH. We will then use the fact that echoes were not detected by the LIGO/Virgo collaboration to see if one can place bounds on the entropy of the remnant of the merger.

We will be making use of Eqs. (6) and (9) (and also [19,37]) and only be considering the dominant  $s = \ell = m = 2$  mode of the gravitons. In what follows, a subscript of either echo or i indicates a quantity that is relevant to the QN echo modes (the latter for the ith model), whereas a subscript of BH is reserved for the QNMs of a classical BH.

# A. Gravitational wave spectra

The QNM amplitude for the *i*th model of exotic objects  $A_i$ , can be estimated by considering the total energy (and

angular momentum) that the echoes carry away with them when they escape through the barrier.

There are two distinct sources of energy for the echoes. One is the initial energy that they have after the merger and the other is the rotational energy of the BH. To understand the latter, notice that the frequency of the echo modes is related to the rotational frequency of the BH as in Eq. (10). The energy  $\delta E$  and the angular momentum  $\delta J$  that are depleted from the BH are related by the first law of BH mechanics,  $\delta E = \Omega \delta J$ , provided that the surface area does not change in the process (as would be the case when the process is adiabatic). The echoes' energy and angular momentum and therefore their angular frequency all decrease because of their leakage through the gravitational potential barrier. Since the angular frequencies of the BH and echoes are correlated, it follows that the BH's energy and angular momentum, as well as its rotational energy, are similarly all decreasing.

To estimate the initial energy of the echoes, we can use the fact, as predicted by GR [63], that roughly the same flux of energy is flowing inwards towards the surface of the compact object as flowing outwards in the form of standard prompt GWs. For the case of GW150914, this is a flux of about three solar masses, and so the initial energy of the echoes should be similar. However, we do expect that part of the initial inward flux energy will be emitted promptly and therefore only the trapped part of the energy will excite the echoes.

To estimate the rotational energy of the BH, we will use a nonrelativistic approximation. The magnitude of the BH angular momentum is approximately given by  $J \sim Mr_+v$ , where v is the BH rotational velocity. This leads to a rotational kinetic energy of the form

$$E_{\rm rot} \sim \frac{1}{2} M v^2 \sim \frac{M \chi^2}{2(2 + 2\sqrt{1 - \gamma^2} - \gamma^2)},$$
 (15)

where  $\chi = a/M = J/M^2$  is the dimensionless spin parameter. Notice that the dependence of the rotational energy on  $\chi$  is approximately quadratic. Setting the mass and spin parameters to match those of the GW150914 remnant,  $M = 62.8 \, M_{\odot}$  and  $\chi = 0.68$ , one then finds that  $E_{\rm rot} \sim 4.8 \, M_{\odot}$ . This is a substantial fraction of the total energy of the BH.

The conclusion is that the total amount of energy (initial and rotational) which the echoes can carry and emit in the form of GWs is, in fact, more than double the total amount of energy that can be emitted by a classical GR BH. This result is significant because the prospects for detection of such modes depends on the total energy emitted [64,65], as we now recall.

To obtain a relationship between the amplitude of the echo modes and their total energy, we first consider the luminosity of the GWs,

$$\frac{dE_{\rm GW}}{dt} = \frac{1}{32\pi} D^2 \int d\Omega \langle \dot{h}_{\mu\nu} \dot{h}^{\mu\nu} \rangle. \tag{16}$$

Here, D is the distance to the source of GWs, h is the waveform in the transverse-traceless gauge,  $d\Omega$  is an element of solid angle and angular brackets denote averaging over short wavelengths. As an order-of-magnitude estimate, the integral in the above equation can be approximated as

$$\int d\Omega \langle \dot{h}_{\mu\nu} \dot{h}^{\mu\nu} \rangle \sim 8\pi \dot{h}^2. \tag{17}$$

The amount of energy that is emitted in GWs for a duration of time  $\Delta T$  can now be expressed as

$$E_{\rm GW} \sim \frac{1}{32\pi} D^2 8\pi \dot{h}^2 \Delta T \sim \pi^2 D^2 f^2 |\tilde{h}|^2 \Delta T,$$
 (18)

where  $f = \omega/2\pi$  denotes a spectral frequency and  $\tilde{h}$  is the Fourier transform of h. It follows that the ratio of the energy which is emitted in GWs by standard GR processes to the energy of the echoes is given by

$$\frac{E_{\rm BH}}{E_{\rm echo}} = \left(\frac{f_{\rm BH}}{f_{\rm echo}}\right)^2 \frac{|\tilde{h}_{\rm BH}|^2}{|\tilde{h}_{\rm echo}|^2} \frac{\Delta T_{\rm BH}}{\Delta T_{\rm echo}}.$$
 (19)

As will be shown below, one can estimate the signal-to-noise ratio (SNR) by estimating the energy of the GWs that the echoes emit. We, therefore, do not need to know explicitly the value of  $|\tilde{h}_{\rm echo}|^2$ . It is clear, however, that  $|\tilde{h}_{\rm echo}|^2 \ll |\tilde{h}_{\rm BH}|^2$ . This is because  $\frac{\Delta T_{\rm BH}}{\Delta T_{\rm echo}} \sim \frac{\tau_{\rm BH}}{\tau_{\rm echo}} \sim 1/|\ln \epsilon|^2$ , while  $(\frac{f_{\rm BH}}{f_{\rm echo}})^2$  and  $\frac{E_{\rm BH}}{E_{\rm echo}}$  are parametrically of order unity. It then follows that  $\frac{|\tilde{h}_{\rm BH}|^2}{|\tilde{h}_{\rm echo}|^2} \sim |\ln \epsilon|^2 \gg 1$ .

Let us now recall that the SNR  $\rho$  for monochromatic GWs of frequency f is expressible as [66]

$$\rho^2 = \frac{2}{S_n(f)} \int h(t)^2 dt,$$
 (20)

with  $S_n$  denoting the noise spectral density at f. This expression can be approximated as

$$\rho^2 \sim \frac{2}{S_n(f)} h^2 \Delta T. \tag{21}$$

To estimate the amount of emitted GW energy in terms of the SNR, we can combine Eqs. (18) and (21), which yields

$$E_{\rm GW} \sim \frac{\pi^2}{2} D^2 f^2 \rho^2 S_n$$

$$\sim 2.9 \ M_{\odot} \left(\frac{D}{430 \ \rm Mpc}\right)^2 \left(\frac{f}{200 \ \rm Hz}\right)^2$$

$$\times \left(\frac{\rho}{24}\right)^2 \left(\frac{\sqrt{S_n}}{8 \times 10^{-23} \ \rm Hz^{-1/2}}\right)^2, \tag{22}$$

where GW150914 values have been incorporated into the lower of the two approximate equalities. Notice that this amount of emitted energy is in excellent agreement with the amount 3  $M_{\odot}$  as reported by the LIGO/Virgo collaboration [22].

Alternatively, one can estimate the SNR in the echo modes from the fact that the maximum amount of additional energy that such modes can extract from the BH is  $E_{\rm rot}$ . So that, by inverting Eq. (22) and taking the sum of the initial energy for the echoes 2.9  $M_{\odot}$  and the rotational energy 4.8  $M_{\odot}$  to give  $E_{\rm echo} = 7.7~M_{\odot}$ , one obtains

$$\begin{split} \rho_{\rm echo} &\sim \frac{1}{\pi D f} \sqrt{\frac{2 E_{\rm echo}}{S_n}} \\ &\sim 39 \times \left(\frac{430 \text{ Mpc}}{D}\right) \left(\frac{200 \text{ Hz}}{f}\right) \\ &\times \left(\frac{E_{\rm echo}}{7.7 M_{\odot}}\right)^{1/2} \frac{\sqrt{8 \times 10^{-23} \text{ Hz}^{-1/2}}}{\sqrt{S_n}}. \end{split} \tag{23}$$

Thus, the echo modes would still have been detected, if present, provided that the search to detect them was carried over a long-enough period of time (see below).

# B. Current bounds from GW150914

When a horizonless compact object is formed as a result of a merger, additional modes—and echo modes in particular—can be expected as previously discussed. Here, we are making the conservative assumption that such modes are absent for GW150914. As discussed at the beginning of the section, this premise allows us to place bounds on  $\epsilon_i$  and, hence, on the entropy of the remnant BH.

Let us begin by considering the GW spectrum of the echo modes. The Fourier waveform of the QNMs for a BH alternative can be expressed as follows [29,65]:

$$\tilde{h}(f) = e^{2\pi i f t_i} A_i \tau_i \frac{2f_i^2 Q_i \cos \phi_i - f_i (f_i - 2if Q_i) \sin \phi_i}{f_i^2 - 4if f_i Q_i + 4(f_i^2 - f^2) Q_i^2},$$
(24)

for which the square of the root-mean-square magnitude goes as

$$|\tilde{h}|^2 = \frac{4A_i^2 f_i^2 Q_i^4}{\pi^2 \{16f^2 f_i^2 Q_i^2 + [4Q_i^2 (f_i^2 - f^2) + f_i^2]^2\}}, \quad (25)$$

where  $A_i$  is the amplitude of the corresponding time-domain waveform,  $f_i = \omega_i/2\pi$  is the frequency of the echo mode,  $Q_i$  is defined by  $Q_i = \pi f_i \tau_i$ ,  $t_i$  is the time delay of an echo mode relative to a typical BH mode and  $\phi_i$  is a constant phase which will be set to zero for simplicity. It should be kept in mind that, for the case of interest,  $|\ln \epsilon_i| \gg 1$ ,  $f_i \propto m\Omega$ ,  $\tau_i \propto |\ln \epsilon_i|^2$  and so  $Q_i \gg 1$ . This means that the width in the frequency domain of  $|\tilde{h}|^2$  is

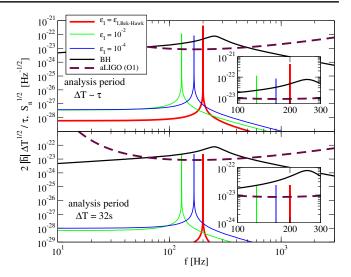


FIG. 1. The GW spectra of additional QNMs for various  $\epsilon_i$  (solid, colored), assuming that the remnant of GW150914 is an exotic alternative to a BH. We consider the analysis period of  $\Delta T \sim \tau$  (top) and  $\Delta T = 32$  s [34,62] (bottom). For reference, we also present the spectrum for the QNMs of a GR BH (black, solid) and the noise spectral density for aLIGO during its O1 run (brown, dashed). The insets zoom in the region close to peaks of the spectra. Note that establishing the absence of such modes with GW150914 would allow us to probe the Bekenstein-Hawking entropy (red, thick, solid). Here, we have scaled  $|\tilde{h}|$  with  $\Delta T^{1/2}/\tau$ , as both  $1/\tau$  and  $1/\Delta T$  are different from f.

small, making the emitted GW almost monochromatic. Notice that the maximal amplitude in the frequency domain,  $|\tilde{h}(f_i)|^2 = \frac{1}{4}A_i^2\tau_i^2$ , depends on the decay time as well as the amplitude in the time domain  $A_i$ .

The amplitude of the echo mode  $A_i$  is related to that of a BH mode  $A_{\rm BH}$  [given by Eq. (21) of [29]] as  $A_i = \alpha_i A_{\rm BH}$ , where  $\alpha_i < 1$  is a constant that suppresses the echo amplitude relative to the BH one. We determine this constant by requiring that the SNR of the echo mode be  $\rho_i \sim 40$  as derived in Eq. (23) and find that  $\alpha_i \sim 3 \times 10^{-3}$ .

The GW spectra for the echo modes are shown in the top panel of Fig. 1, given that the data-analysis time (or effective observation time) is at least comparable to the mode decay time  $\tau_i$ . Also depicted is the noise spectral density of advanced LIGO (aLIGO) in its O1 run. Roughly speaking, the ratio between the GW and noise spectra corresponds to the SNR ratio of the putative additional modes. Although unlike, for instance, Fig. 13 of [67], we are effectively displaying  $2|\tilde{h}|/\sqrt{\tau_i}$  instead of  $2|\tilde{h}|\sqrt{f}$ . This is because  $1/\tau_i$  is much smaller than f and the ratio between  $2|\tilde{h}|/\sqrt{\tau_i}$  and  $\sqrt{S_n}$  provides a closer estimate of the actual SNR.

Let us now comment on the suppression of the SNR due to the lack of sufficient analysis time. The aforementioned SNR of  $\sim$ 40 is only true for data whose corresponding time of analysis is larger than the echo damping time of  $\sim$ 10<sup>4</sup> s;

otherwise, the very narrow peaks in the spectra of Fig. 1 cannot be resolved. Unfortunately, the LIGO/Virgo collaboration has analyzed the data only up to 32 s after the merger (other parts of the signal were used for a time-slide analysis to estimate the noise) [34]. This roughly leads to a reduction in the SNR of  $\rho_i \sim \sqrt{32/10^4} \times 40 \sim 2$  (see the bottom panel of Fig. 1). Thus, the current analysis cannot reveal the presence of the putative additional modes, as one would need to analyze a longer portion of the data.

As can be seen in Fig. 1, as well as in Eq. (6), the frequency of the additional modes increases with decreasing  $\epsilon_i$  and reaches a constant value of  $2\Omega/2\pi \approx 200$  Hz for very small values of  $\epsilon_i$ . Because the limiting frequency is in the LIGO sensitivity band, it should be possible to place very stringent bounds on the existence of additional modes, assuming a longer time of analysis.

Let us now estimate the attainable bounds on  $\epsilon_i$  using the upper panel of Fig. 1. This clearly shows that aLIGO would have detected the additional echo modes if  $10^{-40} \lesssim \epsilon_i \lesssim 0.01$ . The lower bound comes from our prior belief that it does not make sense to consider  $\epsilon_i$  smaller than  $l_P/r_+$  (see below for more details). Therefore, if the absence of additional modes would indeed be verified, as we expect, it would allow for the formal exclusion of this range of  $\epsilon_i$  values. Meaning that, formally, we would then be able to deduce that either  $S_i \gtrsim 10^{80}$  or  $S_i \lesssim 10^4$ .

Comparing our results to those found in [59], we find them to be consistent. For instance, the sharp peaks found in Fig. 1 are compatible with the sharp peaks in Fig. 1 of [59] and, furthermore, the finding in [59] that aLIGO can detect echoes if the reflectivity coefficient R is close to unity is consistent with our argument that the signal-to-noise ratio for GW150914 echoes would have been about 40 had all the data been analyzed.

Values of  $\epsilon_i \gtrsim 0.01$  are outside the domain of validity of our analysis because, as previously emphasized, our framework requires  $\epsilon_i \ll 1$ . So that, formally, the range  $\epsilon_i \gtrsim 0.01$  cannot be ruled out by our analysis and, therefore, we cannot argue conclusively against very small entropies. It is, however, highly unlikely that an astrophysical, compact object—which is representing the final state of collapsing matter—could have an entropy as low as  $10^4$ . Moreover, if  $\epsilon_i$  is too large, the inspiral part of the waveform becomes inconsistent with that of binary BHs due to their nonvanishing tidal deformabilities [68].

On the other hand, since it does not make sense to consider  $\epsilon_i$  any smaller than the effective limit of  $l_P/r_+$ , we are left with a "range" of  $\epsilon_i \sim l_P/r_+ \sim 10^{-40}$ . This range, as mentioned above, corresponds to an entropy of  $S_i \sim 10^{80}$ , a value which is of the order of the Bekenstein-Hawking entropy for a BH of this same mass,  $S_{\text{Bek-Hawk}} \simeq 3 \times 10^{80}$ .

One might argue that the bound from the nonobservation of the echoes should be interpreted as a bound on their amplitude or, equivalently, on their total energy rather than on  $\epsilon_i$ . But this is highly unlikely, as we now argue.

To begin, let us recall that roughly the same flux of energy is flowing inwards towards the surface of the compact object as flowing outwards in the form of GWs [63]. Therefore, for the initial energy of the echoes to be parametrically smaller than  $E_{\rm GW}$ , the reflection coefficient R has to be parametrically smaller than unity. However, for any regular, compact object without a horizon, no matter how exotic it might otherwise be, the coefficient R has to be close to unity.4 This stands to reason because such a relatively smaller-entropy object should have a lower absorption rate than that of a larger-entropy object like a GR BH. Furthermore, even when the reflection is small (but not parametrically small), a substantial amount of the rotational energy and angular momentum of the compact object are shared with echoes. This process might be slower than expected if the reflection is small, but the relevant time scale is still expected to be on the order of the time for the round-trip (for the echoes to travel between the outer surface and the gravitational barrier) and, therefore, considerably shorter than the decay time of the echoes. So that, even if for some reason the initial energy of the echoes was small, this energy would be built up to a much larger value later on.

To summarize, we expect that the GW150914 merger event most likely produced an object with an entropy on the order of the Bekenstein-Hawking value. A dedicated analysis should be able to verify our expectation.

# IV. CONCLUSION

We have proposed that BH mergers should be viewed as cosmological scattering experiments which provide an opportunity to infer, or put bounds on, the entropy of astrophysical BHs. Our main idea is that a lower bound on the entropy of a classical BH or any other exotic, ultracompact, astrophysical object, can be deduced from a bound on the minimal (Tolman) redshift factor of waves emerging from the vicinity of its outer surface. This minimal redshift factor can be, and already has been, constrained by observational data. More specifically, we have argued that the entropy of any ultracompact, astrophysical object can be expected to scale with the inverse of the square of this minimal redshift factor.

Our argument closely follows logic that was first used by 't Hooft to show that the entropy of a BH does indeed agree with the Bekenstein-Hawking area law, subject to the assumption that the exterior metric is Schwarzschild regardless of the boundary conditions at the surface. We have used the scaling relation between the entropy and the minimal redshift factor to show that a large range of entropy values could be excluded by data from the GW150914

 $<sup>^{4}</sup>$ The coefficient R can be evaluated by estimating the reflection from the gravitational barrier near the surface of the object. This should be done for a momentum transfer that is twice the frequency of the waves.

event. If this analysis is done for a long-enough period of time, we would expect it to show that astrophysical BHs most likely have an extremely large entropy; on the order of the Bekenstein-Hawking entropy. This would be  $S \sim 10^{80}$  for the remnant of GW150914.

Formally, our analysis cannot exclude very low entropy values on the order of  $10^4$  or smaller. This range is not excluded because our framework is only valid for values of the minimal redshift factor that are parametrically small. It is, however, quite unlikely that an astrophysical, ultracompact object which represents the final state of collapsing matter could have an entropy as low as  $10^4$ .

Our bounds are based on the assumption that any given exotic alternative has a reflecting outer surface. Nevertheless, we have also argued that the degree of reflection is not so important for the validity of the bounds. Even if the reflection is not complete, the bounds would still be strong because, for exotic objects with smallenough values of  $\epsilon_i$ , the frequencies of the additional modes lie in the LIGO sensitivity band and their total energy is larger than those of the standard BH modes.

To reach a precise quantitative lower bound on the remnant entropy, a more sophisticated analysis is required, as in [34,62,69], but for a longer duration. Besides using actual data, the analysis should account for the possibility of some absorption in a quantitatively precise way and likewise for the possible instabilities. However, our results do indicate that such an analysis would also yield bounds of

the order of the Bekenstein-Hawking entropy.<sup>5</sup> Future planned third-generation interferometers such as the Einstein Telescope [71] and the Cosmic Explorer [72] would be able to provide much more accurate results.

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<sup>&</sup>lt;sup>5</sup>The uncertainty principle of quantum gravity may set a fundamental resolution limit to GW observations that is well above the Planckian scale [70]. Our estimate is different from [70] as we do not *measure* any Planckian effect and the lower bound on the entropy partially comes from a theoretical consideration.

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