

Search for an opposite sign muon-tau pair and a b -jet at the LHC in the context of flavor anomalies

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Extant anomalies in several semileptonic B -meson decays argue for physics beyond the Standard Model. Measurements of both neutral-current decays (such as R_K , R_{K^*} , and $B_s \rightarrow \phi\mu\mu$) as well as charged-current ones— $R(D)$ and $R(D^*)$ —provide strong hints for the violation of lepton flavor universality. Recent studies (D. Choudhury *et al.*, *Phys. Rev. Lett.* **119**, 151801 (2017), D. Choudhury *et al.*, *Nucl. Phys.* **B933**, 433 (2018)) have shown that a class of effective field theory models may explain such anomalies in terms of only a few parameters which can be determined phenomenologically. In this literature, we examine such resolutions in the context of the requisite $(\bar{s}b)(\bar{\tau}\tau)$ operator and look for its signals at the 13 TeV LHC, with a final state of one b -jet, and an oppositely charged μ - τ pair, with the muon coming from the decay of one of the τ leptons. We obtain discovery and exclusion limits on the model parameters as a function of the luminosity at the 13 TeV LHC.

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I. INTRODUCTION

Evidence from a multitude of experiments, such as *BABAR* [1], Belle [2–7], and, most recently, LHCb [8–13], suggests the presence of effects that violate lepton flavor universality, a cardinal principle within the Standard Model (SM). Related to several mesons containing the bottom (anti)quark, these “anomalies” appear in both charged- and neutral-current (NC) decays. For example, consider the ratios [14]

$$R(D^{(*)}) \equiv \frac{\text{BR}(B \rightarrow D^{(*)}\tau\nu)}{\text{BR}(B \rightarrow D^{(*)}\ell\nu)}, \quad (1)$$

with $\ell = e$ or μ , and, similarly,

$$R_{J/\psi} \equiv \frac{\text{BR}(B_c \rightarrow J/\psi\tau\nu)}{\text{BR}(B_c \rightarrow J/\psi\mu\nu)}. \quad (2)$$

While the SM estimates for the individual decays are already quite robust, the advantage of considering such ratios is that much of the remaining uncertainties, residing in the evaluation of the form factors, cancel out. Thus, any observed anomaly in such areas would be very intriguing, and we begin by recalling the experimental status.

The *BABAR* [1] measurements of $R(D)$ and $R(D^*)$, when taken together, exceed SM expectations by more than 3σ . Though the Belle measurements [2] lie a little below the *BABAR* measurements and are consistent with both the latter and the SM expectations, their result on $R(D^*)$ [3], with the τ decaying semileptonically, agrees with the SM expectations only at the 1.6σ level. Similarly, the LHCb measurement [8] lies 2.1σ above the SM predictions. Taking into account all the measurements and their correlations, the disagreement between the data and SM is at nearly 3.8σ [15,16].

The four-fermi effective interaction responsible for $B \rightarrow K^{(*)}\ell\nu$, namely, $b \rightarrow c\ell\nu$, is also responsible for driving $B_c \rightarrow J/\psi\ell\nu$ and analyzing 3 fb^{-1} data. The LHCb Collaboration found

$$R_{J/\psi} = \begin{cases} 0.71 \pm 0.17 \pm 0.18 & (\text{exp}), \\ 0.283 \pm 0.048 & (\text{SM}), \end{cases} \quad (3)$$

where the SM prediction [17–19] includes uncertainties accrued from the $B_c \rightarrow J/\psi$ form factors and is, thus, quite robust. While the level of the discrepancy is only at

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the 2σ level,¹ it is interesting to note that it points in the same direction as the others.

An opposite effect is seen for the neutral-current transitions, namely, $b \rightarrow s\ell^+\ell^-$. Once again, ratios of such decays constitute robust variables leading us to consider

$$R_{K^{(*)}} \equiv \frac{\text{BR}(B \rightarrow K^{(*)}\mu^+\mu^-)}{\text{BR}(B \rightarrow K^{(*)}e^+e^-)}. \quad (4)$$

While the SM predictions for both R_K and $R_{K^*}^{\text{central}}$ are almost indistinguishable from unity [20–24], that for $R_{K^*}^{\text{low}}$ is ~ 0.9 (mainly due to the non-negligible m_μ). The calculations are very precise with only minuscule uncertainties. The earlier result on R_K [11,12] has recently been superseded by the LHCb Collaboration [13]:

$$R_K = 0.846_{-0.054}^{+0.060+0.016}, \quad q^2 \in [1.1:6] \text{ GeV}^2. \quad (5)$$

For R_{K^*} , while the earlier LHCb data [11,12], viz.

$$\begin{aligned} R_{K^*}^{\text{low}} &= 0.66_{-0.07}^{+0.11} \pm 0.03, & q^2 \in [0.045:1.1] \text{ GeV}^2, \\ R_{K^*}^{\text{central}} &= 0.69_{-0.07}^{+0.11} \pm 0.05, & q^2 \in [1.1:6] \text{ GeV}^2, \end{aligned} \quad (6)$$

were significantly away from the SM predictions, the recent Belle results [7], taking average over K^{*0} and K^{*+} modes, are more compatible with the SM:

$$R_{K^*} = 0.94_{-0.14}^{+0.17} \pm 0.08, \quad q^2 \in [0.045,] \text{ GeV}^2. \quad (7)$$

While this can be construed as the R_{K^*} average moving closer to the SM (note, though, the larger errors in the Belle results), an anomaly is still hinted at, with the magnitude of the deviation being somewhat less than that in the R_K data.

A corroborating deviation is seen in $B_s \rightarrow \phi\mu\mu$ [25–27], namely,

$$\begin{aligned} &\frac{d}{dq^2} \text{BR}(B_s \rightarrow \phi\mu\mu)|_{q^2 \in [1:6] \text{ GeV}^2} \\ &= \begin{cases} (2.58_{-0.31}^{+0.33} \pm 0.08 \pm 0.19) \times 10^{-8} \text{ GeV}^{-2} & (\text{exp.}), \\ (4.81 \pm 0.56) \times 10^{-8} \text{ GeV}^{-2} & (\text{SM}), \end{cases} \end{aligned} \quad (8)$$

where $q^2 = m_{\mu\mu}^2$. This suggests that the discrepancies in R_K and R_{K^*} have been caused by a depletion of the $b \rightarrow s\mu^+\mu^-$ channel rather than an enhancement in $b \rightarrow se^+e^-$. Such a conclusion is lent further weight by the long-standing P'_s

anomaly [28] in the angular distribution of $B \rightarrow K^*\mu\mu$, with a more than 3σ mismatch between the data and SM prediction.²

Faced with all these anomalies, two approaches are possible. The first would be to construct an elaborate ultra-violet-complete theory. Examples are offered by Z' models [30] with flavor-violating couplings with the quarks and the leptons [31–36] on the one hand and, on the other, the exchange of leptoquarks [37–39] or, equivalently, sfermions in R -parity-violating supersymmetric models [40–42]. The alternative is to take recourse to an effective field theory (EFT) description, wherein only a set of Wilson coefficients are altered from their SM values [43–49]. In either case, one would, naively, expect that a sufficiently large set of unknown parameters (and/or fields) would need to be invoked so as to enable the simultaneous explanation of all the anomalies while maintaining the rest of the well-tested SM phenomenology. However, if the nature of the UV theory (operative at a scale higher than the electroweak scale), the integrating out of whose heavy degrees of freedom is supposed to have given us the EFT, is entirely ignored, then a phenomenologically motivated EFT with only a small number of parameters needs to be considered. It has been shown [50–52] that such a minimal set of new physics (NP) operators, accompanied by a single lepton-mixing angle, can indeed explain almost all the observables adequately. More interestingly, the natural scale for such an explanation is seen to be a few TeVs, opening the interesting possibility of signatures at the LHC and/or future colliders.

In the present case, instead of attempting a generic study, we consider a particular signature, at the LHC, prompted by the scenarios discussed in Refs. [50,51]. Some such studies have been attempted in the past but in entirely different contexts, both at the simulation level [53–60], as well as by the ATLAS and CMS Collaborations, who have searched for flavor-violating signatures with dilepton final states [61–66]. We focus on a model which can explain, simultaneously, both the CC and the NC anomalies in semileptonic B decays, for example, one with an enhanced $(\bar{s}b)(\bar{\tau}\tau)$ operator. We have studied the telltale signatures which include an opposite signed μ - τ pair and a b -jet, induced by this operator when one tau decays to a muon. One of the novelties of this channel is that it does not suffer from a very large background unlike opposite sign same flavor lepton-pair signatures. Apart from effecting a full simulation, we also validate our background estimation with Ref. [66]. This, as well as our choice of a robust set of observables, renders our methodology applicable to a very wide class of NP scenarios.

The rest of the paper is constituted as follows. In the next section, we briefly discuss the EFT framework. In Sec. III, we study the collider signatures of the particular channel

¹Given the smaller production cross section, the large uncertainty is understandable. This is expected to improve a lot once more data are analyzed.

²Recently, however, it has been argued in Ref. [29] that these discrepancies may have their origin, instead, in some new physics in $b \rightarrow se^+e^-$.

with detail analysis of the signal and background at the 13 TeV LHC. Then, in Sec. IV, we discuss the discovery and exclusion perspectives of this particular channel. Last, we conclude by predicting some future possibilities in Sec. V.

II. EFFECTIVE THEORY MODEL

Considering all NP effects to be parametrized by $SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$ invariant four-fermi operators, one needs at least two such structures [51] so as to both explain the anomalies and be consistent other low-energy observables. While Refs. [50,51] did consider several possibilities, they identified certain combinations as favored scenarios. Subsequently, Ref. [52] reexamined the data, taking into account all correlations and, apart from establishing these scenarios (modulo certain alterations in the allowed parameter space), found that even the combinations dismissed in Ref. [50] can be accommodated. Rather than examine each such scenario, we consider a particular representative case, termed “model IV” in Ref. [50]. Analyses for the other scenarios can also be effected analogously. The Hamiltonian for the new physics can be expressed in terms of two operators involving left-handed doublets Q_{2L} , Q_{3L} , and L_{3L} and right-handed singlet τ_R as

$$\begin{aligned} \mathcal{H}^{\text{NP}} = & \sqrt{3}A_1 \left[-(\bar{Q}_{2L}\gamma^\mu Q_{3L})_3 (\bar{L}_{3L}\gamma^\mu L_{3L})_3 \right. \\ & \left. + \frac{1}{2}(\bar{Q}_{2L}\gamma^\mu L_{3L})_3 (\bar{L}_{3L}\gamma^\mu Q_{3L})_3 \right] \\ & + \sqrt{2}A_5 (\bar{Q}_{2L}\gamma^\mu Q_{3L})_1 (\bar{\tau}_R\gamma^\mu \tau_R) + \text{H.c.}, \quad (9) \end{aligned}$$

where $A_{1,5}$ are unknown coefficients of mass dimension -2 and to be determined phenomenologically. For the sake of simplicity, we assume these to be real. The subscripts “3” and “1” represent the $SU(2)_L$ triplet and singlet currents, respectively. These can be expressed in terms of component fields as

$$\begin{aligned} \mathcal{H}^{\text{NP}} = & \frac{3A_1}{4}(c, b)(\tau, \nu_\tau) + \frac{3A_1}{4}(s, b)(\tau, \tau) \\ & + A_5(s, b)\{\tau, \tau\} + \frac{3A_1}{4}(s, t)(\nu_\tau, \tau) \\ & + A_5(c, t)\{\tau, \tau\} + \frac{3A_1}{4}(c, t)(\nu_\tau, \nu_\tau) + \text{H.c.}, \quad (10) \end{aligned}$$

where, following the notation introduced in Ref. [51], we denote

$$(x, y) \equiv \bar{x}_L \gamma^\mu y_L; \quad \{x, y\} \equiv \bar{x}_R \gamma^\mu y_R \quad \forall x, y. \quad (11)$$

Note that \mathcal{H}^{NP} is expressed in terms of weak eigenstates involving the second- and third-generation quark fields

TABLE I. Benchmark regions to study $(s, b)(\tau, \tau)$ and $(s, b)\{\tau, \tau\}$ operators in the $(\mu^\pm \tau^\mp)$ pair + b -jet final state, based on Ref. [52].

| Observables | Set X | Set Y | Set A | Set B | Set C |
|--|-------|-------|-------|-------|-------|
| $\text{Br}(B_s \rightarrow \tau\tau) < 6.8 \times 10^{-3}$ | ✓ | ✓ | ✓ | ✓ | ✓ |
| 3σ contour around | ✓ | ✗ | ✗ | ✗ | ✗ |
| $A_1 \approx -3.8, A_5 \approx -2.3$ | | | | | |

(Q_{2L} and Q_{3L}) but only the third-generation leptons (L_{3L}). While the quark fields would be affected by the usual CKM mixing, in the leptonic sector, the weak eigenstates can be related to the mass eigenstates through a further field rotation [50,51]. This, of course, would induce direct lepton flavor violation. The magnitude of this mixing, as deduced phenomenologically [50,51], is, however, small and was perfectly consistent with $\text{Br}(B^+ \rightarrow K^+ \mu^\pm \tau^\mp) < 4.8 \times 10^{-5}$ (at 90% C.L.) [67]. Indeed, it also easily satisfies the recently quoted 95% C.L. upper bound of $\text{Br}(B_s \rightarrow \tau^\pm \mu^\mp) < 4.2 \times 10^{-5}$ [68]. This very smallness of the mixing allows us to neglect it altogether and concentrate on the operator $(s, b)(\tau, \tau)$ and $(s, b)\{\tau, \tau\}$ alone.³

If an ultraviolet-complete origin of Eq. (10) is desired, an individual term could be parametrized as

$$X(a, b)(c, d) = \frac{\lambda_1^* \lambda_2}{2M^2}(a, b)(c, d),$$

where M is the mass of the integrated-out field and λ_i are some dimensionless couplings, bounded from perturbativity by $\lambda^2/(4\pi)^2 \leq \mathcal{O}(1)$. The mediator, for example, might be a leptoquark or a Z' with flavor-changing couplings. This inequality, along with the requirement of reproducing the requisite A_i , would determine the ranges allowed to λ_i and M . We, however, eschew any assumption as to the UV-completion, resolutely choosing to be agnostic as to the origin of the A_i .

While Refs. [51,52] do zero in on “best-fit” points in the parameter space,⁴ note that the exact location of the same is dependent on the accumulation of more data, and, indeed, even the very recent measurements would change it to an extent. Consequently, we investigate the LHC signal for a variety of points, though laying special emphasis to the best-fit point of Ref. [52], namely, $A_1 \approx -3.8$ and $A_5 \approx -2.3$, and consider several benchmark regions as listed in Table I.

³It might be argued that, on inclusion of further quantum corrections, this operator can adversely affect the B_s - \bar{B}_s mixing. This issue has been adequately addressed in Ref. [51].

⁴The best-fit values were obtained under the assumption of flavor mixing, but our analysis is independent of the mixing angle. Note, too, that the best-fit values of Refs. [51,52] are very similar.

TABLE II. Signal cross section of $(\mu^\pm\tau^\mp)$ pair + b -jet after the selections at 13 TeV p - p collision at some benchmark points.

| Set | $ A_1 $ | $ A_5 $ | $\sigma(\mathbf{S1})$ (fb) | $\sigma(\mathbf{S2})$ (fb) | Set | $ A_1 $ | $ A_5 $ | $\sigma(\mathbf{S1})$ (fb) | $\sigma(\mathbf{S2})$ (fb) |
|-----|---------|---------|----------------------------|----------------------------|-----|---------|---------|----------------------------|----------------------------|
| Y1 | 4.5 | 3.0 | 44.52 | 34.72 | A1 | 3.0 | 1.5 | 10.69 | 8.34 |
| Y2 | 4.5 | 3.8 | 70.85 | 55.41 | A2 | 2.5 | 1.5 | 6.54 | 5.12 |
| Y3 | 4.0 | 3.0 | 38.95 | 30.17 | A3 | 2.0 | 1.5 | 5.67 | 4.39 |
| Y4 | 4.0 | 4.0 | 80.32 | 62.66 | A4 | 1.5 | 1.5 | 4.24 | 3.31 |
| X1 | 3.8 | 2.3 | 22.78 | 17.77 | B1 | 2.0 | 1.0 | 3.36 | 2.67 |
| X2 | 3.8 | 3.0 | 35.6 | 27.84 | B2 | 1.5 | 1.0 | 2.31 | 1.8 |
| X3 | 3.8 | 4.0 | 72.39 | 55.83 | B3 | 1.0 | 1.0 | 1.23 | 0.98 |
| Y5 | 3.5 | 2.0 | 16.94 | 13.21 | C1 | 1.0 | 0.5 | 0.81 | 0.63 |
| Y6 | 3.5 | 3.0 | 35.65 | 27.8 | C2 | 0.5 | 0.5 | 0.22 | 0.17 |
| Y7 | 3.0 | 2.3 | 18.70 | 14.63 | C3 | 0.1 | 0.5 | 0.03 | 0.05 |
| Y8 | 3.0 | 3.0 | 31.13 | 24.09 | C4 | 0.05 | 0.5 | 0.02 | 0.01 |

We consider two factors while defining the regions: One is the 3σ contour around the best-fit point as obtained in Ref. [52], and another is the current 95% C.L. limit on $\text{Br}(B_s \rightarrow \tau\tau) < 6.8 \times 10^{-3}$ [69]. All the benchmark points satisfy the latter limit. Set X includes points inside the 3σ contour keeping $|A_1|$ fixed at 3.8. Set Y represents points just outside the 3σ contour around the best fit. Set A, set B, and set C constitute regions with smaller values of $|A_1|$ and $|A_5|$ and, hence, represent more *conservative* choices, in the context of both low-energy observables as well as LHC signals. The exact locations of the points are detailed in Table II in the next section, where we study the corresponding collider signals originating from $(s, b)(\tau, \tau)$ and $(s, b)\{\tau, \tau\}$.

III. COLLIDER STUDY OF $(\mu^\pm\tau^\mp)$ PAIR AND A b -JET AT $\sqrt{\hat{s}} = 13$ TeV

The signature of our interest, namely, a $\mu^\pm\tau^\mp$ pair accompanied by a b -jet, originates from the operators $(s, b)(\tau, \tau)$ and $(s, b)\{\tau, \tau\}$ in Eq. (10). The requirement of one additional b -jet with an opposite sign lepton pair reduces the SM background significantly. The muon, for the signal events, emanates from the decay of a τ . While a direct production of a $\mu^\pm\tau^\mp$ pair is possible if the aforementioned lepton mixing is nonzero, the very smallness of the corresponding angle renders this channel to a very subdominant role.⁵ Consequently, we neglect the mixing altogether, even though it is relevant to explain the anomalies. The final states $\tau^\pm\tau^\mp bs$ and $\tau^\pm\tau^\mp b$ can be produced from g - g fusion and g - s fusion, respectively, in a p - p collision, as shown in Fig. 1, and both the processes are considered in our analysis. In the next section, we determine the sensitivity of the LHC in the recently concluded

⁵If one considers flavor mixing, there can be three signatures: $(\mu^\pm\mu^\mp)$ pair + b -jet, $(\mu^\pm\tau^\mp)$ pair + b -jet, and $(\tau^\pm\tau^\mp)$ pair + b -jet, each with effective coupling as a function of the flavor mixing angle. The signature $(\mu^\pm\mu^\mp)$ pair + b -jet has been studied in detail recently [53].

run as well as in the forthcoming one for the model parameters, A_i . Towards this, we analyze the signal for each of the benchmark regions as listed in Table I.

Given the preferred size of the four-fermi couplings A_i , the channel $(\tau^\pm\tau^\mp)$ pair + b -jet has a large production cross section, even if we demand that one of the τ 's decay into a μ (with a branching fraction of 0.174). On the other hand, owing to the smallness of the lepton-mixing angle, the cross section for direct production, from g - g or g - s fusion, of $\mu^\pm\tau^\mp$ + b -jet is very small. In the four-fermi limit, the production cross section would depend only on the couplings A_i with the subprocess cross section scaling simply as $\hat{s}A_i^2$, where $\sqrt{\hat{s}}$ is the subprocess center-of-mass energy. This, of course, is moderated by the $\sqrt{\hat{s}}$ -dependent parton flux. However, if an ultraviolet-complete theory is considered instead, the dependence on \hat{s} and the mediator mass scale M is more complicated and depends on the precise nature of the completion (for example, a Z' -like theory would admit the possibility of a resonance, while a leptoquarklike theory would have only t -channel propagators). In addition, the phase space distributions would differ as well. However, for a mass-scale M that is larger than a few TeVs, these differences quickly subside primarily on account of the relevant parton fluxes falling quickly with $\sqrt{\hat{s}}$. Not only does this result in a suppression of the fraction of events that could potentially be sensitive to a possible resonance, but any such resonance would also be relative wide one, given the preferred values for the A_i .

The new physics here is simple enough to permit an analytic calculation, which, when followed by a simplistic simulation, yields rather robust results. Nonetheless, we also implement the effective theory model in Feynrules [70,71] and generate signal events, at the leading order, uniformly throughout the parameter space with MadGraph5_aMC@NLO (v2.2.1) [72] interfaced with PYTHIA [73]. For this, we use the NNPDF23LO1 [74] parton distributions with the five-flavor scheme. We kept the factorization scale fixed at m_T^2 after k_T clustering of the event. On varying the factorization scale

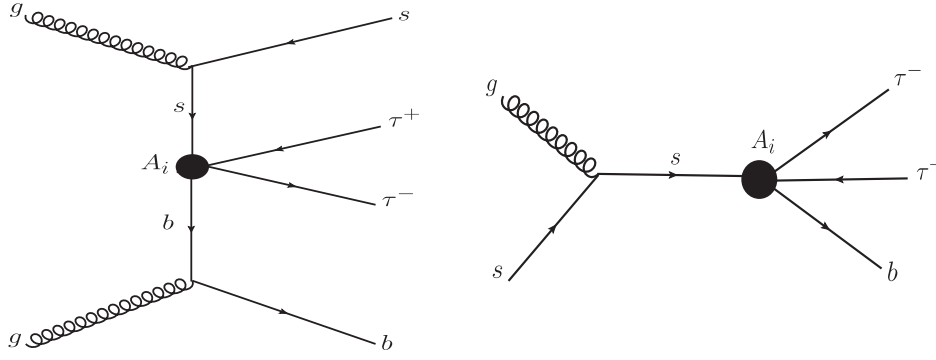


FIG. 1. (Left) Feynman diagram for the production of two τ 's in association with one b -jet in g - g fusion [$gg \rightarrow \tau^\pm \tau^\mp b(s)$] and (right) in g - s fusion [$gs \rightarrow \tau^\pm \tau^\mp b$]. In both figures, the solid blob represents the four-point vertex with effective couplings A_i .

between $m_T^2/4$ and $4m_T^2$ and, similarly, scanning over different parton distribution sets, the uncertainty in the LO signal cross section is found to be less than 16% and 10%, respectively, for the entire range of the parameter space. As for the NLO corrections to the SM, the calculation thereof for a scattering process with a multibody final state such as ours, and especially in an effective theory, is a very arduous task and beyond the scope of the present work. An intelligent estimate can be made nonetheless, by realizing that the effective Hamiltonian of Eq. (10) is most easily obtained starting from a theory with a flavor-changing Z' or one with scalar leptoquarks. The K factor for the former is about 1.3 [75,76], while that for the latter is in the range 1.3–1.4 [77]. It should be appreciated, though, that the relevant couplings considered in said references are not exactly what are needed for the present case. Nevertheless, it stands to reason that the exact K factor should not be wildly different from those quoted above. In other words, the higher-order corrections are expected to increase the signal cross sections. We, however, adopt a *conservative* standpoint in choosing not to include this enhancement.

The signal comprises two processes, namely, $gs \rightarrow \tau^\pm \tau^\mp b$ and $gg \rightarrow \tau^\pm \tau^\mp b(s)$, and only those events are selected wherein one tau decays leptonically to muon, resulting in a $(\mu^\pm \tau^\mp) + b$ -jet final state. The events are passed through DELPHES 3 [78], in order to incorporate detector effects and apply reconstruction algorithms. Jets are reconstructed using the anti- k_T algorithm in FastJet [79]. For muon isolation, we have required $\Delta R \geq 0.4$ and $p_T > 1$ GeV. For calorimetric (tracking) isolation, we require the corresponding momentum parameter to be 0.14 (0.15) times the p_T . This ensures that the muons are well isolated from other objects. Tau leptons are reconstructed through their hadronic decays, and we demand that $\Delta R \geq 0.4$ and $p_T > 10$ GeV for the reconstruction. In DELPHES, the tau-tagging efficiency is considered to be 0.6, and tau misidentification (from gluons and quarks) probability is 0.001. In this analysis, jets are required to have p_T greater than 30 GeV and $\eta(b) < 4.7$ and must be separated from the selected leptons by $\Delta R \geq 0.5$. For tagging the b -jets, we used a

b -tagging module inside DELPHES with 70% working efficiency. The probability of mistagging a charm as a b -jet is 10%, while for the other quarks and gluons it is 0.1% or less.

The backgrounds for this channel can be classified into two categories. The irreducible backgrounds arise mainly from $t\bar{t}$, single top (Wt), and diboson (W^+W^- , WZ , and ZZ) production, whereas the $t\bar{t}W$ and $t\bar{t}Z$ contributions are very small. The major contributions to the reducible background arise from $W + \text{jets}$, $Z/\gamma + \text{jets}$, and other QCD multijet processes, where jets may be misidentified as leptons. The probability of a jet to be misidentified as a lepton is taken as a module inside DELPHES [80], as a function of p_T and η of the jet. All background events are generated using MadGraph, and the cross sections are taken up to NLO and up to NNLO in some cases (see Ref. [81] and references within). Most of the backgrounds will be reduced by the requirement of one b -jet and strong isolation selection among the opposite sign leptons.

As we shall see later, the cuts we propose are very effective in suppressing the large background (from a host of SM processes) and, thereby, in increasing the signal-to-noise ratio. To eliminate large errors in background modeling, we effect a comparison with an actual experimental study. In particular, the CMS Collaboration has performed a search [66] for a singly produced third-generation scalar leptoquark decaying to a tau lepton and bottom quark in proton-proton collisions at 13 TeV which includes the final state $(\mu^\pm \tau^\mp)$ pair + b -jet. The selections we imposed can be summarized as follows: Exactly one each of a τ and a μ with these being oppositely charged and with a single b -jet, satisfying the following.

Selection S0.—

$$p_T(\mu) > 50 \text{ GeV}, \quad p_T(\tau) > 50 \text{ GeV}, \quad p_T(b) > 50 \text{ GeV},$$

$$\eta(\mu) < 2.4, \quad \eta(\tau) < 2.5, \quad \eta(b) < 2.4,$$

$$\Delta R(\mu, \tau) > 0.5, \quad \Delta R(\mu, b) > 0.5.$$

Selection **S0** constitutes essentially the basic cuts on different variables. The cuts on ΔR are placed to ensure that

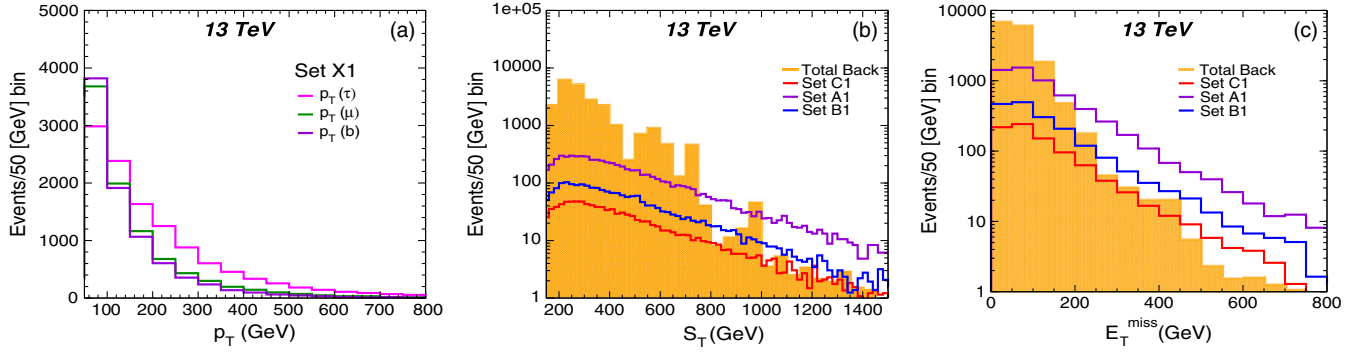


FIG. 2. (a) p_T distributions of the signal ($\mu^\pm\tau^\mp$) pair + b -jet. (b) $S_T = p_T(\mu) + p_T(\tau) + p_T(b)$ distribution for the signal and total background. (c) E_T^{miss} for the signal and total background. All plots are done after selection **S0**. The signals are normalized for sets X1 ($|A_1| = 3.8$ and $|A_5| = 2.3$), C1 ($|A_1| = 1.0$ and $|A_5| = 0.5$), A1 ($|A_1| = 3.0$ and $|A_5| = 1.5$), and B1 ($|A_1| = 2.0$ and $|A_5| = 1.0$). Events are weighted at 120 fb^{-1} .

the muon is well isolated from the tau and the b -jet. We have plotted the respective p_T distributions for the tau, the muon, and the b -jet for the signal corresponding to a representative point in the parameter space in Fig. 2(a). As already discussed, the hard interaction being a four-fermion, the production cross sections, typically, grow with the partonic center-of-mass energy (modulo the suppression due to the effective flux). Furthermore, with the cross sections slightly favoring large angle scattering over small angle, each of the two τ 's as well as the b -jet tend to have a sufficiently large p_T . While the τ^\pm have essentially identical distributions, the b has a softer component that arises from the gg -initiated process. The μ , while having considerable p_T , is softer than the τ , being only a descendant of the second τ . Given this, it is profitable to impose a stronger cut in terms of the variable S_T , which is the scalar sum of the p_T of the final state particles. The distribution is shown in Fig. 2(b) for both the signal and the background. The signal is associated with a relatively modest E_T^{miss} , as seen from Fig. 2(c). As in Ref. [66], a soft cut on $M_{\mu\tau}$ in **S1** is essential to reduce the background coming from the $t\bar{t}$ and single top production. To summarize, the following set of cuts are used, as in Ref. [66].

Selection S1.—

$$S_T = p_T(\mu) + p_T(\tau) + p_T(b) > 500 \text{ GeV},$$

$$M_{(\mu,\tau)} > 85 \text{ GeV}.$$

The distributions at this stage are depicted in Fig. 3. It is worthwhile to point out that our background profile shape agrees very well with the CMS results [66], while we exceed them in total count by about 5%. In other words, our background determination is very robust.

At this stage, we propose the following additional selections to improve the signal efficiency.

Selection S2.—

$$E_T^{\text{miss}} < 230 \text{ GeV},$$

$$M_{(b,\mu,\tau)} > 600 \text{ GeV}.$$

These particular choices need some explaining. While the major background process ($t\bar{t}$) has a pair of neutrinos associated with the hard process, the signal has none (and the E_T^{miss} arises from cascade decays of the hadron as well as mismeasurements). Consequently, a strong upper cut on

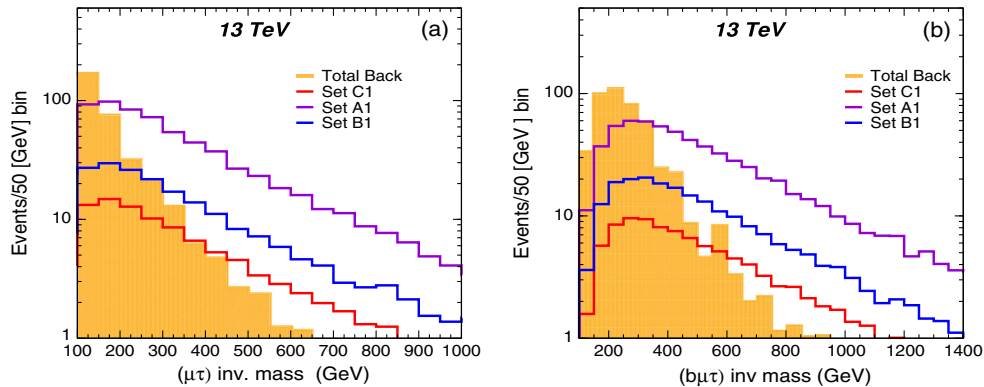


FIG. 3. The behavior of the (a) two-body and (b) three-body invariant mass of distribution of the signal ($\mu^\pm\tau^\mp$) pair + b -jet for C1, A1, and B1 (defined in Table II) and the total background is shown after the **S1** cut. Events are weighted at 120 fb^{-1} .

TABLE III. Background cross sections after several selections at 13 TeV p - p collision.

| Background | $\sigma(\mathbf{S1})$ (fb) | $\sigma(\mathbf{S2})$ (fb) |
|-------------------|----------------------------|----------------------------|
| $t\bar{t}$ | 5.715 | 2.78 |
| Wt | 1.132 | 0.502 |
| W + jets | 0.275 | 0.241 |
| Z/γ + jets | 0.076 | 0.038 |
| Diboson | 0.014 | 0.0017 |
| QCD + multijets | 0.0624 | 0.016 |
| Total | 7.276 | 3.6 |

E_T^{miss} would be expected to be useful. In the present case, though, the backgrounds from the single top and W + jets processes are not negligible, and these are not reduced overwhelmingly by the imposition of such a cut. As a result, the loss of background resulting from a stronger upper cut on E_T^{miss} does not compensate enough the attendant loss in the signal strength. Hence, we impose only a loose upper cut of $E_T^{\text{miss}} < 230$ GeV in **S2**. While the entire preceding discussion may seem infructuous in view of Fig. 2(c), it should be viewed in conjunction with the cut on the three-body invariant mass $M_{(b,\mu,\tau)}$, which plays a major role in preferentially reducing the backgrounds. As Fig. 3(b) demonstrates, a strong cut on this variable is expected to improve the signal-to-noise ratio. Indeed, once this is imposed (as in **S2**), it turns out that it is an upper restriction on E_T^{miss} that is more useful rather than a lower cut. Moreover, these particular set of cuts in **S2** are useful when signal cross section is comparatively small for small A_j . The signal cross sections after the cuts are given in Table II for different benchmark points of A_1 and A_5 . We have analyzed the signal as a function of A_1 and A_5 , in different regions and show the variation in the signal cross sections.

The cut flow of the backgrounds with the selections is demonstrated in Table III. Even after the selection **S2**, the majority of the total background comes from $t\bar{t}$ and single top production. Also note that the signal retains a reasonable number of events when passed through **S2**. The background is notably smaller for the **S2** selection as compared to that for **S1**. Even though the discovery and exclusion limits can be obtained with both **S1** and **S2**, and their behavior is comparable, in the next section we show the result when events are selected through **S2**.

IV. RESULTS

As the analysis of the preceding section shows, it is indeed possible to exclude much of the parameter space favored by the resolution of the B anomalies and even contemplate discovery. Rather than restrict ourselves to simplistic signal-to-noise estimations, we consider, instead, a slightly more sophisticated statistical test. Towards this

end, let us define the null hypothesis as the set of events being composed entirely of the background (irreducible or instrumental). This is to be tested against the alternative hypothesis, which includes both the background as well as the sought after signal. To summarize the outcome of such a search, one quantifies the level of agreement of the observed data with a given hypothesis by computing the p value. This p value can be converted into an equivalent significance, Z_{dis} , for a Gaussian distributed variable. The exact formulation is summarized in the Appendix, with the 5σ discovery significance ($Z_{\text{dis}} = 5$) and 95% C.L. exclusion limit ($Z_{\text{exc}} = 1.645$) being given by Eqs. (A1) and (A4), respectively.

We can now consider the discovery and exclusion prospects of this particular channel under study and compute the required integrated luminosity (\mathcal{L}_{int}) as a function of model parameters $A_{1,5}$. A straightforward computation, using the signal and background cross sections calculated in the last section, leads to very optimistic results, though. However, the background is not known with a very good precision. To account for this, we include a variance Δ_b in the background while calculating the discovery significance and exclusion from Refs. [82–84] (see [85,86] for more detail). With the current LHC data and the data that LHC will take in the future, the systematic experimental uncertainties in the estimation of the SM backgrounds are expected to be reduced significantly, and the detector response is also expected to be better in future. For example, by comparing Refs. [87,88], one can see how the systematic uncertainty has reduced so far at the LHC. So, additionally, we also assume in Eqs. (A1) and (A4) that a part of the systematic uncertainty falls as $1/\sqrt{\mathcal{L}_{\text{int}}}$.

In Fig. 4, we plot the discovery significance (Z_{dis}) for different values of $A_{1,5}$ (note that the signal cross section and, hence, the significance are essentially independent of the sign of the Wilson coefficients) as a function of the integrated luminosity (\mathcal{L}_{int}) for some benchmark points. It is evident from Fig. 4(b) that, for set X, a small value of \mathcal{L}_{int} is required to achieve 5σ discovery significance. This is because of the large signal cross section, as can be seen from Table II. For the best-fit scenario (X1), $Z_{\text{dis}} \geq 5$ can be achieved with $\mathcal{L}_{\text{int}} \sim 2 \text{ fb}^{-1}$, even with a 50% uncertainty in the background estimation. For a wide range of values of A_1 and A_5 , $Z_{\text{dis}} > 5$ is also achievable with the luminosity achieved so far at the LHC, as can be envisaged from Fig. 4. The significance, as expected, depends on the signal cross section, which, in turn, depends on A_1 and A_5 . Thus, with lower values of these couplings (such as C3 and C4), one has to wait for a larger \mathcal{L}_{int} . Overall, we find that a large region of the model parameter space can be probed with 5σ or higher significance with current LHC data.

In Fig. 5, we show the variation of the model parameters A_1 and A_5 as a function of \mathcal{L}_{int} that is needed to get $Z_{\text{dis}} = 5$. The horizontal black dashed lines represent the

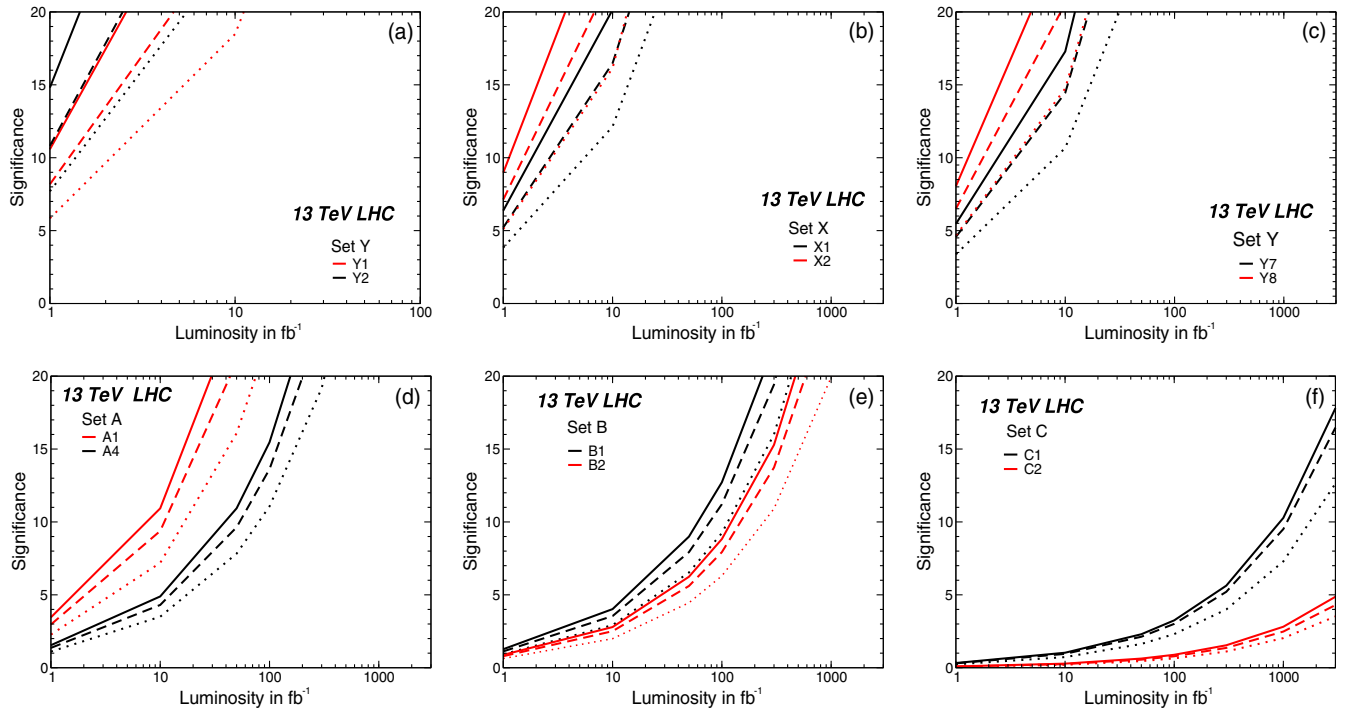


FIG. 4. The discovery significance (Z_{dis}) as a function of the integrated luminosity (\mathcal{L}_{int}). Solid, dashed, and dotted lines represent 0%, 25%, and 50% uncertainty in the background events, respectively for different sets as mentioned in the text. Events are selected by **S2**. The best-fit values are $|A_1| = 3.8$ and $|A_5| = 2.3$, represented by set X1 in (b).

current \mathcal{L}_{int} at the LHC; 150 fb^{-1} for each of the two experiments ATLAS and CMS and 300 fb^{-1} combined. In Fig. 5, we show this variation for set B (left), set A (middle), and set X (right); the values of A_1 and A_5 are chosen in such a way as to satisfy all the low-energy constraints, as mentioned before. If the values of A_1 and A_5 lie close to their best-fit values, it is evident from Fig. 5 (middle and right) that even a small \mathcal{L}_{int} is sufficient to either validate or falsify the model, which should be the case once the present dataset is fully analyzed. Figure 5 (left) shows that with smaller values of $|A_1|$ and $|A_5|$ (set B) it is likely to reach 5σ significance with current LHC data,

even if the uncertainty in the background estimation is 50% or more. For set C, much higher luminosity is required for 5σ discovery; hence, we refrain from showing the corresponding plots.

In Fig. 6, we show the exclusion limits on A_1 , keeping A_5 as a parameter, in terms of \mathcal{L}_{int} . The plots, from left to right, are for sets C, B, and A, respectively. We do not display the corresponding plots from sets X and Y, as the required luminosity is quite small, as discussed before. Overall with the current LHC data, 95% C.L. exclusion limits can be set in a large region of the model parameter space including the small values of A_1 and A_5 .

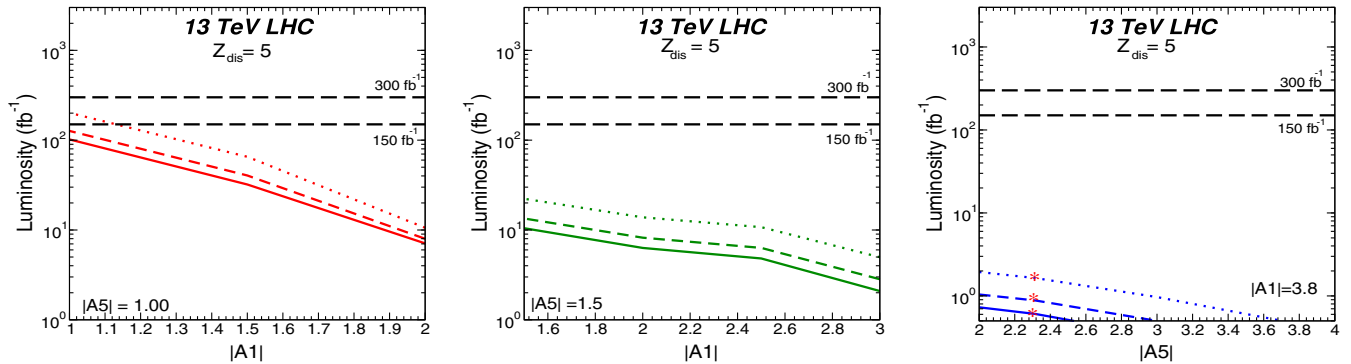


FIG. 5. The 5σ discovery reach at the 13 TeV LHC in the $(\mu^\pm\tau^\mp) + b$ -jet channel as a function of \mathcal{L}_{int} and A_1, A_5 (in TeV^{-2}). Solid, dashed, and dotted lines represent 0%, 25%, and 50% uncertainty in the background events, respectively. Events are selected by **S2**. The best-fit values are $|A_1| = 3.8$ and $|A_5| = 2.3$, represented by red stars.

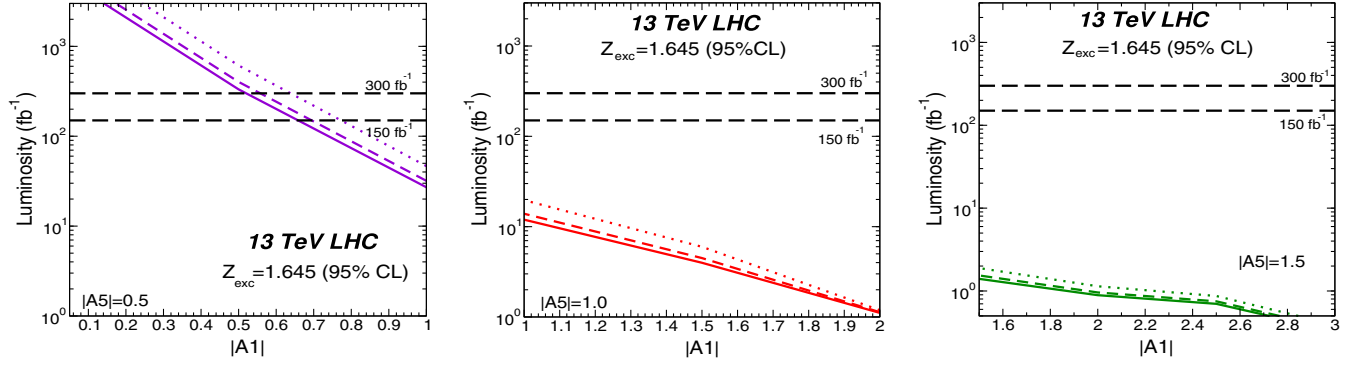


FIG. 6. The 95% C.L. exclusion limits at the 13 TeV LHC in the $(\mu^\pm\tau^\mp) + b$ -jet channel as a function of \mathcal{L}_{int} and A_1 , A_5 (in TeV^{-2}). Solid, dashed, and dotted lines represent 0%, 25%, and 50% uncertainty in the background events, respectively. Events are selected by **S2**.

V. OUTLOOK

The not-too-insignificant anomalies in semileptonic B meson decays point towards some new physics that violate lepton flavor universality. One interesting option is to consider effective dimension-6 operators of the form $a_{ij}(\bar{b}\Gamma_i s)(\bar{\tau}\Gamma_j \tau)$, where Γ_i are operators in the Dirac space. The charged-current counterpart of this operator—arising automatically when $SU(2)_L \otimes U(1)_Y$ symmetry is imposed—may explain the $R(D)$ and $R(D^*)$ anomalies, while this operator itself, aided by lepton flavor mixing, may lead to a possible explanation of the R_K and R_{K^*} results. Without the knowledge of the ultimate ultraviolet-complete theory, the most prudent way to explore the parameter space for new physics is in terms of the Wilson coefficients. Nominally, these would go as λ^2/M^2 , where λ is some dimensionless coupling and M is the mass of the integrated-out mediator, which may be taken as the scale of new physics.

In this paper, we explored direct signals from such class of operators at the LHC. While probing the structure of the most general set of such four-fermi operators would be difficult, the task has been eased by the analyses of Refs. [50–52], which have shown that said anomalies can be very satisfactorily resolved in terms of just two Wilson coefficients, denoted by $A_{1,5}$. We adopt this simplified structure and also examine how well these can be explored in terms of the integrated luminosity. As long as M is much above the scale being probed by the LHC, whether the new operators are generated through an extra Z' , or leptoquarks, or some other dynamics, is irrelevant.

The signal that we focused upon is an unlike-charged μ - τ pair associated with a b -jet, where the muon comes from the leptonic decay of one of the daughter τ 's. With suitable cuts, one may reduce the SM backgrounds for this signal to a very small level and, thus, have a very good detection prospect, even with just the currently collected data, for values of $A_{1,5}$ preferred by the analyses of Refs. [50–52]. However the values of $A_{1,5}$ are constrained from the

nonobservation of $\text{Br}(B_s \rightarrow \tau\tau)$ and, hence, cannot be chosen arbitrarily. Even with an uncertainty in the estimation of the background, the situation looks quite optimistic. For example, notwithstanding the agreement of our background estimation, post **S2**, with the experimental results of Ref. [66], let us consider the ramifications of an uncertainty as large as $\sim 50\%$ in the background estimation. Even for the point $(|A_1|, |A_5|) = (1.8, 1.5)$, somewhat smaller than the best-fit values of these parameters (and, hence, resulting in a smaller cross section) can be probed with 5σ significance at $\mathcal{L}_{\text{int}} = 20 \text{ fb}^{-1}$. Similarly, with the same \mathcal{L}_{int} and a similar uncertainty in the background, the region of parameter space defined by $(|A_1| \geq 1.0, |A_5| \geq 1.0)$ can be excluded at 95% C.L. For even smaller values of A_i , one requires a significantly larger luminosity; for example, the region $(|A_1| \geq 0.8, |A_5| \geq 0.5)$ can be excluded at 95% C.L. with 150 fb^{-1} .

The case where both the τ 's decay hadronically is not so clean as this channel but will be taken up in a subsequent study. We have not taken the lepton flavor mixing between μ and τ into account. As has been shown in the literature, the mixing angle is bound to be small (~ 0.02). While the mixing can directly produce an unlike-sign τ - μ pair, the production rate is swamped by the events where one τ subsequently decays into a muon. Thus, we do not envisage that a study of this nature will shed any light on the mixing angle. This would be better investigated by significantly improving the measurements of lepton-flavor-violating decays such as $B \rightarrow K^{(*)}\mu\tau$ or $B_s \rightarrow \tau^\pm\mu^\mp$.

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APPENDIX: DISCOVERY SIGNIFICANCE AND EXCLUSION LIMIT

The significance for discovery in terms of signal events (s), background events (b), and the uncertainty in the background (Δ_b) is [82–84]

$$Z_{\text{dis}} = \left[2 \left((s+b) \ln \left[\frac{(s+b)(b+\Delta_b^2)}{b^2 + (s+b)\Delta_b^2} \right] - \frac{b^2}{\Delta_b^2} \ln \left[1 + \frac{\Delta_b^2 s}{b(b+\Delta_b^2)} \right] \right) \right]^{1/2}. \quad (\text{A1})$$

If $\Delta_b = 0$,

$$Z_{\text{dis}} = \sqrt{2[(s+b) \ln(1+s/b) - s]}. \quad (\text{A2})$$

In the above equation, if b is large, then we obtain the well-known expression

$$Z_{\text{dis}} = s/\sqrt{b}. \quad (\text{A3})$$

For discovery reach, $Z_{\text{dis}} \geq 5$ corresponds to 5σ discovery ($p < 2.86 \times 10^{-7}$). The exclusion limit at a given confidence level (C.L.) is [82–84]

$$Z_{\text{exc}} = \left[2 \left\{ s - b \ln \left(\frac{b+s+x}{2b} \right) - \frac{b^2}{\Delta_b^2} \ln \left(\frac{b-s+x}{2b} \right) \right\} - (b+s-x)(1+b/\Delta_b^2) \right]^{1/2}, \quad (\text{A4})$$

where

$$x = \sqrt{(s+b)^2 - 4sb\Delta_b^2/(b+\Delta_b^2)}. \quad (\text{A5})$$

In the above equation, if $\Delta_b = 0$,

$$Z_{\text{exc}} = \sqrt{2(s-b \ln(1+s/b))}. \quad (\text{A6})$$

For a median expected 95% C.L. exclusion ($p = 0.05$), we use $Z_{\text{exc}} \geq 1.645$ for different Δ_b .

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