

Inflation and leptogenesis in a $U(1)$ -enhanced supersymmetric model

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Motivated by the flavored Peccei-Quinn symmetry for unifying flavor physics and string theory, we investigate a supersymmetric extension of standard model for an explanation of inflation and leptogenesis by introducing $U(1)$ symmetries such that the $U(1) - [gravity]^2$ anomaly-free condition together with the standard model flavor structure demands additional sterile neutrinos as well as no axionic domain-wall problem. Such additional neutrinos may play a crucial role as a bridge between leptogenesis and new neutrino oscillations along with high-energy cosmic events. In a realistic moduli stabilization, we show that the moduli backreaction effect on the inflationary potential leads to the energy scale of inflation with the inflaton mass in a way that the power spectrum of the curvature perturbation and the scalar spectral index are to be well fitted with the latest Planck observation. We suggest that a new leptogenesis scenario could naturally be implemented via the Affleck-Dine mechanism. So, we show that the resultant baryon asymmetry, constrained by the sum of active neutrino masses and new high-energy neutrino oscillations, crucially depends on the reheating temperature T_{reh} . And we show that the model has a preference on $T_{\text{reh}} \sim 10^3$ TeV, which is compatible with the required T_{reh} to explain the baryon asymmetry of the Universe.

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I. INTRODUCTION

The standard model (SM) of particle physics has been successful in describing properties of known matter and forces to a great precision until now, but we are far from satisfied since it suffers from some problems or theoretical arguments that have not been solved yet. These include the following: inclusion of gravity in gauge theory, instability of the Higgs potential, cosmological puzzles of matter-antimatter asymmetry, dark matter, dark energy, and inflation, and flavor puzzle associated with the SM fermion mass hierarchies, their mixing patterns with the CP -violating phases, and the strong CP problem. The SM therefore cannot be the final answer. It is widely believed that the SM should be extended to a more fundamental underlying theory. If nature is stringy, string theory should give insight into all such fundamental problems or theoretical arguments.¹ As

indicated in Refs. [1,2],² several such fundamental challenges strongly hint that a supersymmetric hybrid inflation framework with new gauge symmetries as well as higher-dimensional operators responsible for the SM flavor puzzles may be a promising way to proceed.

Since astrophysical and cosmological observations have increasingly placed tight constraints on parameters for axion, neutrino, and inflation including the amount of reheating, it is in time for a new scenario on axion, neutrino, and inflation to mount an interesting challenge; see also Refs. [2,3]. In a theoretical point of view, axion physics including neutrino physics requires new gauge interactions and a set of new fields that are SM singlets. Thus, in extensions of the SM, sterile neutrinos and axions could naturally be introduced, e.g., in view of $U(1)$ symmetry. For a new paradigm to explain the aforementioned fundamental challenges, in this paper, we investigate a minimal and economic supersymmetric extension of the SM for an explanation of inflation and leptogenesis, which can be realized within the framework³ of $G \equiv SM \times U(1)_X \times A_4$. All renormalizable and nonrenormalizable operators

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¹In Ref. [1], a concrete model is designed to act as a bridge between string theory as a fundamental theory and low-energy flavor physics.

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²Reference [2] introduces a superpotential for unifying flavor and strong CP problems, the so-called flavored PQ symmetry model in a way that no axionic domain-wall problem occurs.

³Here, the flavored PQ symmetry $U(1)_X$ embedded in the non-Abelian A_4 finite group [4] could economically explain the mass hierarchies of quarks and leptons including their peculiar mixing patterns as well as provide a neat solution to the strong CP problem and its resulting axion [3].

allowed by such gauge symmetries, non-Abelian discrete symmetry, and R parity exist in the superpotential as in Ref. [3]. Since nonperturbative quantum gravitational effects spoil the axion solution to the strong CP problem [5,6], in order to eliminate such breaking effects of the axionic shift symmetry by gravity, the author in Ref. [3] has imposed a $U(1)_X \times [\text{gravity}]^2$ anomaly cancellation condition [3] in a way that no axionic domain-wall problem occurs; thereby, additional sterile neutrinos are introduced. Such sterile neutrinos are light or heavy and do not participate in the weak interaction. Moreover, the latest results [7] from Planck and baryon acoustic oscillations (BAOs) show that the contribution of light sterile neutrinos to N_ν^{eff} at the big bang nucleosynthesis (BBN) [8] era is negligible; such neutrinos may play a crucial role as a bridge between leptogenesis and new neutrino oscillations along with high-energy cosmic events.

In this paper, in order to provide an explanation for inflation, we present a realistic moduli stabilization, which is essential for the flavored PQ axions to be realized at the low-energy scale [3]. Such moduli stabilization has moduli backreaction effects on the inflationary potential, which could provide a lucid explanation for the cosmological inflation at the high-energy scale. Thus, such moduli stabilization with the moduli backreaction effects on the inflationary potential leads to the energy scale of inflation with the inflaton mass, $m_{\psi_0} = \sqrt{3}H_I$, in a way that the power spectrum of the curvature perturbation and the scalar spectral index are to be well fitted with the latest Planck observation [9]. And we suggest, interestingly enough, a new leptogenesis scenario, which could naturally be implemented through the Affleck-Dine (AD) mechanism for baryogenesis [10] and its subsequent leptonic version, so-called AD leptogenesis [11]. Interestingly enough, the pseudo-Dirac mass splittings, suggested from the new neutrino oscillations along with high-energy cosmic events [3], strongly indicate the existence of lepton-number violation, which is a crucial ingredient of the present leptogenesis scenario. So, the resultant baryon asymmetry is constrained by the cosmological observable (i.e., the sum of active neutrino masses) with the new high-energy neutrino oscillations and crucially depends on the reheating temperature, which depends on gravitational and nongravitational decays of the inflaton and waterfall field. Since all the particles including photons and baryons in the present Universe ultimately originated from the inflaton and waterfall field decays, it is crucial to reveal how the reheating proceeds. We show that the reheating temperature is mainly determined by the nongravitational decay of the waterfall field, leading to a relatively low reheating temperature, which is consistent with that for explaining the right value of the baryon asymmetry of the Universe (BAU), $Y_{\Delta B} \simeq 8 \times 10^{-11}$ [9], together with the pseudo-Dirac mass splittings responsible for new oscillations $\Delta m_i^2 \simeq \mathcal{O}(10^{-12}) \text{ eV}^2$. In addition, since gravitinos are present in the supersymmetric model,

we are going to address the gravitino overabundance problem. We consider direct decays of the inflaton to gravitinos competing with the thermal production in the thermal plasma formed after reheating when setting limits on the couplings governing inflaton decay; see Eq. (132).

The rest of this paper is organized as follows. In Sec. II, we set up and review the model based on $A_4 \times U(1)_X$ symmetry in order to investigate an economic supersymmetry (SUSY) inflationary scenario and a new leptogenesis via the AD mechanism. In Sec. III, first, we study a realistic moduli stabilization in type IIB string theory with positive vacuum energy, which is essential for the flavored Peccei-Quinn (PQ) axions at low energy as well as a lucid explanation for cosmological inflation at the high-energy scale. And we investigate how the size moduli stabilized at a scale close to Λ_{GUT} significantly affect the dynamics of the inflation as well as how the X -symmetry breaking scale during inflation is induced and its scale is fixed at approximately 0.3×10^{16} GeV by the amplitude of the primordial curvature perturbation and the spectral index. The main focus on Sec. IV is to show that a successful leptogenesis scenario could be naturally implemented through the AD mechanism and subsequently estimate the reheating temperature that is required to generate sufficient lepton number asymmetry following the hybrid F -term inflation. In turn, we show that the successful leptogenesis is closely correlated with the neutrino oscillations available on high- and low-energy neutrinos and how the amount of reheating could be strongly correlated with the successful leptogenesis. Moreover, we discuss that it is reasonable for the reheating temperature $T_{\text{reh}} \sim 10^3$ TeV derived from the gravitational decays of the inflaton and waterfall field to be compatible with the required reheating temperature for the successful leptogenesis. What we have done is summarized in Sec. V.

II. FLAVOR $A_4 \times U(1)_X$ SYMMETRY AND SETUP

Unless flavor symmetries are assumed, particle masses and mixings are generally undetermined in the SM gauge theory. To provide an elegant solution to the strong CP problem and describe the present SM flavor puzzles associated with the fermion mass hierarchies including their mixing patterns, the author in Refs. [2,3] has introduced the non-Abelian discrete A_4 flavor symmetry [12,13], which is mainly responsible for the peculiar mixing patterns, as well as an additional continuous symmetry $U(1)_X$, which is mainly for the vacuum configuration as well as for describing mass hierarchies of leptons and quarks. In Ref. [3], the symmetry group for matter fields (leptons and quarks), flavon fields, and driving fields⁴ is $A_4 \times U(1)_X$, where

⁴The flavon fields are responsible for the spontaneous breaking of the flavor symmetry, while the driving fields are introduced to break the flavor group along required VEV directions and to allow the flavons to get VEVs, which couple only to the flavons; see the Appendix A.

$U(1)_X \equiv U(1)_{X_1} \times U(1)_{X_2}$. We take the $U(1)_{X_1}$ -breaking scale corresponding to the A_4 symmetry-breaking scale and the $U(1)_{X_2}$ -breaking scale to be separated by the Gibbons-Hawking temperature, $T_{\text{GH}} = H_I/2\pi$, both of which are to be much above the electroweak scale in our scenario,⁵ that is,

$$\langle H_{u,d} \rangle \ll \langle \Phi_T \rangle, \quad \langle \Phi_1 \rangle < \frac{H_I}{2\pi} < \langle \Phi_2 \rangle, \quad (1)$$

where H_I is the inflationary Hubble constant and the fields $\Phi_1 = \{\Phi_S, \Theta\}$ and $\Phi_2 = \{\Psi, \tilde{\Psi}\}$ are charged under the $U(1)_{X_1}$ and $U(1)_{X_2}$ symmetries, respectively. So, we can picture two secluded SUSY-breaking sectors by the inflationary sector and by the visible sector in the present Universe, i.e., $\text{SUSY} = \text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$, respectively. Both sectors interact nongravitationally via the inflaton field as well as gravitationally. Since the Kahler moduli superfields putting the GS mechanism into practice are not separated from the SUSY_{inf} during inflation, the $U(1)_{X_2}$ -charged matter fields develop a large vacuum expectation value (VEV) during inflation by taking tachyonic SUSY-breaking scalar masses $m_{\Phi_2}^2 \sim -H_I^2$ induced “dominantly” by the $U(1)_{X_2}$ D term, compared to the Hubble-induced soft masses generated by the F -term SUSY breaking. On the other hand, in the present Universe, both the $U(1)_{X_i}$ -charged matter fields Φ_1 and Φ_2 develop large VEVs by the soft SUSY-breaking mass. So, in the absence of direct interactions, gravitational or otherwise, the $U(1)_{X_2}$ -charged chiral superfields Φ_2 have a two-fold enhanced $\text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$ Poincaré symmetry. However, gravitational interactions explicitly break the SUSY down to *true* $\text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$, where SUSY_{inf} corresponds to the genuine supergravity (SUGRA) symmetry, while the orthogonal SUSY_{vis} is only approximate global symmetry. In each sector, spontaneous breakdown of the F term occurs at a scale F_i ($i = \text{inf}, \text{vis}$) independently, producing a corresponding Goldstino. In the presence of SUGRA, SUSY_{inf} is gauged, and thus its corresponding Goldstino is eaten by the gravitino via the super-Higgs mechanism, leaving behind the approximate global symmetry SUSY_{vis} , which is explicitly broken by SUGRA and thus its corresponding uneaten Goldstino as a physical degree of freedom (d.o.f.). During inflation and the beginning of reheating (preheating), the SUSY_{inf} is mainly broken by the inflaton, implying the Goldstino produced is mainly an inflatino; the gravitino produced nonthermally is effectively massless as long as $H > m_{3/2}$. However, this correspondence does not necessarily hold at late times, since the SUSY_{vis} is broken by the other field in the true vacuum, implying that the

⁵See reference [3] on the symmetry-breaking scales from the astrophysical constraints, and in more detail Sec. III D on the PQ symmetry-breaking scale during inflation.

corresponding uneaten Goldstino gives masses mainly to all the supersymmetric SM superpartners in the visible sector.

III. INFLATION

The inflation that inflated the observable Universe beyond the Hubble radius, and could have produced the seed inhomogeneities needed for galaxy formation and the anisotropies observed by COBE [14], must occur at an energy scale $V^{1/4} \leq 4 \times 10^{16}$ GeV [15], well below the Planck scale. At these relatively low energies, superstrings are described by an effective $\mathcal{N} = 1$ supergravity theory [16]. We work in the context of supersymmetric moduli stabilization, in the sense that all moduli masses are independent of the gravitino mass and large compared to the scale of any other dynamics in the effective theory, e.g., the scale of inflation, $m_{T_i} > H_I$, where $H_I = \sqrt{V/3M_P^2}$ is the Hubble scale during inflation. As in Refs. [1,3], the size moduli with positive masses have been stabilized, while leaving two axions massless and one axion massive, i.e., $m_T \sim m_{\theta^{\text{st}}} \gg m_{3/2}$. So, we will discuss that such moduli stabilization has moduli backreaction effects on the inflationary potential, in particular, the spectral index of inflaton fluctuations, which provides a lucid explanation for the cosmological inflation at the high-energy scale. We are going to see how the size moduli stabilized at a scale close to Λ_{GUT} significantly affect the dynamics of the inflation as well as how the X symmetry-breaking scale during inflation is induced and its scale is fixed at approximately 0.7×10^{16} GeV, close to Λ_{GUT} , by the amplitude of the primordial curvature perturbation.

The model addressed in Refs. [1,2] naturally causes a hybrid inflation,⁶ in which the QCD axion and the lightest neutralino charged under a stabilizing symmetry could become components of dark mater. We work in a SUGRA framework based on type IIB string theory and assume that the dilaton and complex structure moduli are fixed at the semiclassical level by turning on background fluxes [24]. Below the scale in which the complex structure and the axio-dilaton moduli are stabilized through fluxes as in Refs. [25,26], in the Einstein frame,⁷ the SUGRA scalar potential is

⁶Supersymmetric realizations of F -term hybrid inflation were first studied in Ref. [17]. And the hybrid inflation model in supergravity [18–20] and the F -term hybrid inflation in supersymmetric moduli stabilization [21] were studied in detail. See also Refs. [22,23].

⁷In the Jordan frame, since the sign of the kinetic term for the scalar field is not positive definite, one cannot have a stable ground state. Hence, the correct procedure is to transform the potentials to the Einstein frame, and then the system in the Einstein frame cannot decay to lower-energy states [27].

$$V = e^G M_P^4 \left(\sum_{\alpha} G^{\alpha} G_{\alpha} - 3 \right) + \frac{1}{2} f_{ij}^{-1} D^i D^j, \quad (2)$$

where $G^{\alpha} = G^{\alpha\bar{\beta}} G_{\bar{\beta}}$ with $G^{\alpha\bar{\beta}} = M_P^2 K^{\alpha\bar{\beta}}$, $M_P = (8\pi G_N)^{-1/2} = 2.436 \times 10^{18}$ GeV is the reduced Planck mass with Newton's gravitational constant G_N , and f_{ij} is the gauge kinetic function. And the F -term potential is given by the first term on the right-hand side of Eq. (2); the D term, the second term on the right-hand side of Eq. (2), is quartic in the charged fields under the gauge group, and in the model, it is flat along the inflationary trajectory so that it can be ignored during inflation.⁸ The generalized Kahler potential, G , is given by

$$G = \frac{K}{M_P^2} + \ln \frac{|W|^2}{M_P^6}. \quad (3)$$

Here, the low-energy Kahler potential K and superpotential W for moduli and matter superfields, invariant under $U(1)_X$ gauged symmetry, are given in type IIB string theory by [1]

$$K = -M_P^2 \ln \left\{ (T + \bar{T}) \prod_{i=1}^2 \left(T_i + \bar{T}_i - \frac{\delta_i^{\text{GS}}}{16\pi^2} V_{X_i} \right) \right\} + \tilde{K} + \dots \quad \text{with} \quad (4)$$

$$\tilde{K} = \sum_{i=1}^2 Z_i \Phi_i^{\dagger} e^{-X_i V_{X_i}} \Phi_i + \sum_k Z_k |\varphi_k|^2,$$

$$W = W_Y + W_v + W_0 + W(T), \quad (5)$$

in which $\Phi_1 = \{\Phi_S, \Theta, \tilde{\Theta}\}$, $\Phi_2 = \{\Psi, \tilde{\Psi}\}$, $\varphi_i = \{\Psi_0, \Phi_0^T, \Phi_T\}$, and dots represent higher-order terms. W_0 stands for the constant value of the flux superpotential at its minimum. Since the Kahler moduli do not appear in the superpotential W at leading order, they are not fixed by the fluxes. So, a nonperturbative superpotential $W(T)$ is introduced to stabilize the Kahler moduli [1], although $W(T)$ in Eq. (5) is absent at tree level. The Kahler moduli in K of Eq. (4) control the overall size of the compact space,

$$T = \rho + i\theta, \quad T_i = \rho_i + i\theta_i \quad \text{with} \quad i = 1, 2, \quad (6)$$

where $\rho(\rho_i)$ are the size moduli of the internal manifold and $\theta(\theta_i)$ are the axionic parts. As can be seen from the Kahler potential above, the relevant fields participating in the four-dimensional Green-Schwarz (GS) mechanism [28] are the $U(1)_{X_i}$ -charged chiral matter superfields Φ_i ; the vector superfields V_{X_i} of the gauged $U(1)_{X_i}$, which is anomalous; and the Kahler moduli T_i . The matter superfields in

K consist of all the scalar fields Φ_i that are not moduli and do not have Planck-sized VEVs, and the chiral matter fields φ_k are neutral under the $U(1)_{X_i}$ symmetry. We take, for simplicity, the normalization factors $Z_i = Z_k = 1$ and the holomorphic gauge kinetic function $f_{ij} = \delta_{ij}(1/g_j^2 + ia_{T_j}/8\pi^2)$, i.e., $T_i = 1/g_{X_i}^2 + ia_{T_i}/8\pi^2$ on the Kahler moduli in the four-dimensional effective SUGRA in which g_{X_i} are the four-dimensional gauge couplings of $U(1)_{X_i}$. Actually, gaugino masses require a nontrivial dependence of the holomorphic gauge kinetic function on the Kahler moduli. This dependence is generic in most of the models of $\mathcal{N} = 1$ SUGRA derived from extended supergravity and string theory [29]. And vector multiplets V_{X_i} in Eq. (4) are the $U(1)_{X_i}$ gauge superfields including gauge bosons A_i^{μ} . The GS parameter δ_i^{GS} characterizes the coupling of the anomalous gauge boson to the axion.

Nonminimal SUSY hybrid inflation can be defined by the superpotential W_{inf} , which is an analytic function, together with a Kahler potential K_{inf} , which is a real function,

$$W \supset W_{\text{inf}} = g_7 \Psi_0 (\Psi \tilde{\Psi} - \mu_{\Psi}^2), \quad (7)$$

$$\tilde{K} \supset K_{\text{inf}} = |\Psi_0|^2 + |\Psi|^2 + |\tilde{\Psi}|^2 + k_s \frac{|\Psi_0|^4}{4M_P^2} + k_1 \frac{|\Psi_0|^2 |\Psi|^2}{M_P^2} + k_2 \frac{|\Psi_0|^2 |\tilde{\Psi}|^2}{M_P^2} + k_3 \frac{|\Psi_0|^6}{6M_P^4} + \dots \quad (8)$$

where Ψ_0 and $\Psi(\tilde{\Psi})$ denote the inflaton and PQ fields, respectively. Here, the dimensionless couplings $g_7, k_s, k_{1,2,\dots}$ are of order unity. The PQ scalar fields play the role of the waterfall fields; that is, the PQ phase transition takes place during inflation such that the PQ scale $\mu_{\Psi} = \mu_{\Psi}(t_I)$ sets the energy scale during inflation.

The kinetic terms of the Kahler moduli and scalar sectors in the flat-space limit of the four-dimensional $\mathcal{N} = 1$ supergravity are expressed as

$$\mathcal{L}_{\text{kinetic}} = K_{T\bar{T}} \partial_{\mu} T \partial^{\mu} \bar{T} + K_{T_i \bar{T}_i} \partial_{\mu} T_i \partial^{\mu} \bar{T}_i + K_{\Phi_i \bar{\Phi}_i} \partial_{\mu} \Phi_i \partial^{\mu} \bar{\Phi}_i^{\dagger}. \quad (9)$$

Here, we set $K_{\Phi_i \bar{\Phi}_i} = 1$ for canonically normalized scalar fields. In addition to the superpotential in Eq. (5) the Kahler potential in Eq. (4) deviates from the canonical form due to the contributions of nonrenormalizable terms scaled by a UV cutoff M_P , invariant under both the gauge and the flavor symmetries.

A. Supersymmetric moduli stabilization

In string theory, one must consider stabilization of the volume moduli to explain why our Universe is four

⁸Assuming the FI D terms do not appear during inflation, $\xi_i^{\text{FI}} = 0$, it is likely that D terms in the inflaton sector do not give a significant contribution to the inflaton potential. See Sec. III D.

dimensional rather than ten dimensional. Since the three moduli all appear in the Kahler potential (4), by solving the F -term equations, the three size moduli and one axionic partner with positive masses are stabilized, while leaving two axions massless through an effective superpotential $W(T)$ [1]. As will be seen later, the two massless axion directions will be gauged by the $U(1)$ gauge interactions associated with D -branes, and the gauged flat directions of the F -term potential will be removed through the Stuckelberg mechanism. The F -term scalar potential has the form

$$V_F = \frac{e^{\tilde{K}/M_P^2}}{(T + \bar{T})(T_1 + \bar{T}_1)(T_2 + \bar{T}_2)} \times \left\{ \sum_{I=T, T_1, T_2} K^{I\bar{I}} |D_I W|^2 - \frac{3}{M_P^2} |W|^2 + K^{i\bar{i}} |D_i W|^2 \right\} \quad (10)$$

for $V_{X_i} = 0$, where $K^{I\bar{J}} = 0$ for $I \neq J$, I and J stand for T and T_i , and i and j stand for the bosonic components of the superfields Φ_i and φ_i . Here, the Kahler covariant derivative and Kahler metric are defined as $D_I W \equiv \partial_I W + W \partial_I K / M_P^2$ and $K_{I\bar{J}} \equiv \partial_I \partial_{\bar{J}} K$, where $D_{\bar{I}} \bar{W} = (\overline{D_I W})$, and $K^{I\bar{J}}$ is the inverse Kahler metric $(K)_{I\bar{J}}^{-1}$. For the Kahler moduli T and T_i to be stabilized, certain nonperturbative terms are introduced as an effective superpotential [1],

$$W(T) = A(\Phi_i) e^{-a(T+T_1+T_2)} + B(\Phi_i) e^{-b(T+T_1+T_2)}, \quad (11)$$

where the coefficients $a = 2\pi$ or $2\pi/N$ and $b = 2\pi$ or $2\pi/M$ are the corrections arising from $D3$ instantons or gaugino condensation in a theory with a product of non-Abelian gauge groups $SU(N) \times SU(M)$. Here, $A(\Phi_i)$ and $B(\Phi_i)$ are analytic functions of Φ_i transforming under $U(1)_{X_i}$ as

$$\begin{aligned} A(\Phi_i) &\rightarrow A(\Phi_i) e^{i\frac{a}{16\pi^2}(\delta_1^{\text{GS}} \Lambda_1 + \delta_2^{\text{GS}} \Lambda_2)}, \\ B(\Phi_i) &\rightarrow B(\Phi_i) e^{i\frac{b}{16\pi^2}(\delta_1^{\text{GS}} \Lambda_1 + \delta_2^{\text{GS}} \Lambda_2)} \end{aligned} \quad (12)$$

and invariant under the other gauge group. Since there are two nonperturbative superpotentials of the form $W_{\text{np}} = A e^{-aT}$, the structure of the effective scalar potential has two nontrivial minima at different values of finite $T_{(i)}$. One corresponds to a supersymmetric Minkowski vacuum, which could be done through the background fluxes W_0 , while the other corresponds to a negative cosmological constant, which gives rise to a supersymmetric anti-de Sitter (AdS) vacuum. So, the height of the barrier separates the local Minkowski minimum from the global AdS minimum, and the gravitino mass vanishes at the supersymmetric Minkowski minimum. As will be seen in Eq. (50), the inflaton mass ($m_{\Psi_0} \sim H_I$) is much smaller

than the size moduli masses, and consequently, the size moduli will be frozen quickly during inflation without perturbing the inflation dynamics. And it is expected that $H_I \ll \Lambda_{\text{GUT}}$ as a consequence of the enormous flatness of the inflaton potential, where $\Lambda_{\text{GUT}} \simeq 2 \times 10^{16}$ GeV is the scale gauge coupling unification in the supersymmetric SM. The scalar potential of the fields ρ and ρ_i has local minimum at σ_0, σ_i , which is supersymmetric, i.e.,

$$W(\sigma_0, \sigma_i) = 0, \quad D_T W(\sigma_0, \sigma_i) = D_{T_i} W(\sigma_0, \sigma_i) = 0, \quad (13)$$

and Minkowski, i.e.,

$$V_F(\sigma_0, \sigma_i) = 0, \quad (14)$$

where $\sigma_0 = \sigma_i = \frac{1}{a-b} \ln\left(\frac{aA_0}{bB_0}\right)$. And W_0 is fine tuned as

$$W_0 = -A_0 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{a}{a-b}} - B_0 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{b}{a-b}}, \quad (15)$$

where A_0 and B_0 are constant values of order $\mathcal{O}(1)$ of $A(\Phi_i)$ and $B(\Phi_i)$, respectively, at a set of VEVs $\langle \Phi_i \rangle$ that cancel all the D terms, including the anomalous $U(1)_{X_i}$; see Ref. [3]. Here, the constant W_0 is not analytic at the VEVs $\langle \Phi_i \rangle$, where the moduli are stabilized at the local supersymmetric Minkowski minimum. Moreover, since $W(T)$ is an effective superpotential, its analyticity does not need to be guaranteed in the whole range of the Φ_i fields, and so, as will be shown later, the anomalous fayet-iliopoulos (FI) terms at the global supersymmetric AdS minimum cannot be canceled and act as uplifting potentials. Restoration of supersymmetry in the supersymmetric local Minkowski minimum implies that all particles of which the mass is protected by supersymmetry are expected to light in the vicinity of the minimum. However, supersymmetry breaks down and all of these particles become heavy once one moves away from the minimum of the effective potential. This is exactly the situation required for the moduli trapping near the enhanced symmetry points [30].

The F -term equations $D_T W = D_{T_i} W = 0$, where we set the matter fields to zero, provide $\rho = \rho_i$ and lead to

$$\begin{aligned} aA e^{-3a\rho} e^{-ia\theta^{\text{st}}} + bB e^{-3b\rho} e^{-ib\theta^{\text{st}}} \\ + \frac{W_0 + A e^{-3a\rho} e^{-ia\theta^{\text{st}}} + B e^{-3b\rho} e^{-ib\theta^{\text{st}}}}{2\rho} = 0 \end{aligned} \quad (16)$$

for $V_{X_i} = 0$, where $\theta^{\text{st}} \equiv \theta + \theta_1 + \theta_2$. This shows that the three size moduli (ρ, ρ_i) and one axionic direction θ^{st} are fixed, while the other two axionic directions ($\theta_1^{\text{st}} \equiv \theta - \theta_1$ and $\theta_2^{\text{st}} \equiv \theta - \theta_2$) are independent of the above equation. So, without loss of generality, we rebase the superfields T with $\theta^{\text{st}} = \text{Im}[T]$ and T_i with $\theta_i^{\text{st}} = \text{Im}[T_i]$ as

TABLE I. Five independent input parameters m_T , γ_s , α_s , $|\tilde{g}_7|$, and $\mu_\Psi(t_i) = \varphi^c/\sqrt{2}$, in the inflationary potential of Eq. (49) provide predictions on N_e and $T_{\text{reh}}/\text{GeV}$ with the constraints $\Delta_{\mathcal{R}}(k_0)/10^{-9}$ in Eq. (66) and n_s in Eq. (75), where $\cos\theta = -1$ and $m_{3/2} = 560 \text{ TeV}$ in Eq. (27) are taken.

$\frac{m_T}{10^{16} \text{ GeV}}$	α_s	γ_s	$\frac{ \tilde{g}_7 }{10^{-3}}$	$\frac{H_I}{10^{10} \text{ GeV}}$	$\frac{\varphi_i}{10^{15} \text{ GeV}}$	$\frac{\varphi^c}{10^{15} \text{ GeV}}$	n_s	$\frac{\Delta_{\mathcal{R}}(k_0)}{10^{-9}}$	N_e	$\frac{T_{\text{reh}}}{\text{GeV}}$
5.87238	0.85492	1.76989	3.22039	0.90076	8.28466	4.85231	0.96639	2.12745	51.42363	1.21034×10^7
1.72083	1.07814	-6.75512	3.78371	1.26228	9.78007	5.29929	0.96821	2.16809	50.55386	6.35558×10^5
5.51975	1.06936	2.63451	3.59549	1.26311	9.69460	5.43800	0.97050	2.12643	50.06988	1.48699×10^5
8.04311	0.90832	5.88591	3.25965	1.09422	8.84520	5.31575	0.96929	2.16518	48.41274	1.19008×10^3

$$T = \rho + i\theta \rightarrow T = \rho + i\theta^{\text{st}},$$

$$T_i = \rho_i + i\theta_i \rightarrow T_i = \rho_i + i\theta_i^{\text{st}}. \quad (17)$$

Then, from the F -term scalar potential, while the gravitino mass in the supersymmetric local Minkowski minimum vanishes, the masses of the fields ρ , ρ_1 , ρ_2 , and θ^{st} , respectively, are obtained as

$$m_T^2 = \frac{1}{2} K^{T\bar{T}} \partial_T \partial_{\bar{T}} V_F|_{T=\bar{T}=\sigma_0}$$

$$= \frac{3 \ln\left(\frac{aA_0}{bB_0}\right)}{M_P^4 (a-b)} \left\{ A_0 a^2 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{a}{a-b}} + B_0 b^2 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{b}{a-b}} \right\}^2,$$

$$m_{\theta^{\text{st}}}^2 = \frac{1}{2} K^{T\bar{T}} \partial_{\theta^{\text{st}}} \partial_{\bar{\theta}^{\text{st}}} V_F|_{T=\bar{T}=\sigma_0}$$

$$= \frac{3W_0}{M_P^4} \left\{ -A_0 a^3 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{a}{a-b}} - B_0 b^3 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{b}{a-b}} \right\}$$

$$+ \frac{6 \ln\left(\frac{aA_0}{bB_0}\right)}{M_P^4 (a-b)} \left\{ -A_0 B_0 (a-b)^2 \left(\frac{aA_0}{bB_0}\right)^{-3\frac{a+b}{a-b}} \right.$$

$$\left. \times \left(\frac{a^2 - b^2}{2 \ln\left(\frac{aA_0}{bB_0}\right)} + ab \right) \right\}, \quad (18)$$

where a and b are positive constants, while A_0 and B_0 are constants in M_P^3 units. Here, the mass squared of the size moduli fields $\rho_{(i)}$ at the minimum is given by $m_T^2 \equiv m_{\rho}^2 = m_{\rho_i}^2 = 3\sigma_0 |W_{TT}(\sigma_0)|^2 / M_P^4$, where $W_{TT}|_{\text{all matter fields}=0} = a^2 A e^{-a(T+T_1+T_2)} + b^2 B e^{-b(T+T_1+T_2)}$ with $W_{TT} \equiv \partial^2 W / (\partial T)^2$. With the conditions $a > 0$ and $b > 0$, we obtain positive values of masses; for an example, for $A_0 = -2.13$ and $B_0 = -1.65$ with inputs $a = 2\pi/100$ and $b = 2\pi/60$, we obtain $\sigma_0 \simeq 6.17$, $W_0 \simeq -0.90$, and⁹

$$m_T \simeq 5.47 \times 10^{16} \text{ GeV} \quad m_{\theta^{\text{st}}} \simeq 7.61 \times 10^{16} \text{ GeV}, \quad (19)$$

⁹These values ensure $m_T \sim 10^{16-17} \text{ GeV}$ and $|\tilde{g}_7| = \mathcal{O}(1) \times 10^{-3}$ through $\tilde{g}_7^2 = g_7^2 / (2\sigma_0)^3$ in Eq. (33), satisfying the two observables, i.e., the scalar spectral index n_s and the power spectrum of the curvature perturbations $\Delta_{\mathcal{R}}^2(k_0)$ in Table I.

numerically. Note that, due to the relation $(aA_0/bB_0)^{\frac{1}{a-b}} = e^{\sigma_0}$, see below Eq. (14), as the masses m_T and $m_{\theta^{\text{st}}}$ increase, the value of σ_0 decreases. As will be seen in Sec. III and in Table I, the moduli stabilized at a scale close to Λ_{GUT} will significantly affect the dynamics of the inflation and fit the cosmological observables well.

B. Supersymmetry breaking and cosmological constant

As discussed before, the supersymmetric local Minkowski vacuum at $\rho = \sigma_0$ and $\rho_i = \sigma_i$ is absolutely stable with respect to the tunneling to the vacuum with a negative cosmological constant because the Minkowski minimum is separated from a global AdS minimum by a high barrier. This vacuum state becomes metastable after the uplifting of an AdS minimum to the de Sitter (dS) minimum with $\Lambda_c \sim 10^{-120} M_P^4$. The other supersymmetric global AdS minimum is defined by

$$W(\sigma_{\bar{0}}, \sigma_{\bar{i}}) \neq 0$$

$$D_T W(\sigma_{\bar{0}}, \sigma_{\bar{i}}) = D_{T_i} W(\sigma_{\bar{0}}, \sigma_{\bar{i}}) = 0, \quad (20)$$

corresponding to the minimum of the potential with $V_{\text{AdS}} < 0$. And at this AdS minimum, one can set the value of the superpotential $\Delta W \equiv \langle W \rangle_{\text{AdS}}$ by tuning W_0 at values of finite $\sigma_{\bar{0}}$, $\sigma_{\bar{i}}$. The existence of FI terms ξ_i^{FI} for the corresponding $U(1)_{X_i}$ implies the existence of the uplifting potential, which makes a nearly vanishing cosmological constant and induces SUSY breaking. A small perturbation ΔW to the superpotential [31,32] is introduced in order to determine SUSY-breaking scale. Then, the minimum of the potential is shifted from zero to a slightly negative value at $\sigma_{\bar{0}} = \sigma_0 + \delta\rho$ and $\sigma_{\bar{i}} = \sigma_i + \delta\rho_i$ by the small constant ΔW . The resulting F -term potential has a supersymmetric AdS minimum, and consequently the depth of this minimum is given by $V_{\text{AdS}} = -3e^{\tilde{K}/M_P^2} \frac{|W|^2}{M_P^2}$, which can be approximated in terms of $W(\sigma_0 + \delta\rho, \sigma_i + \delta\rho_i) \simeq \Delta W + \mathcal{O}(\Delta W)^2$ as

$$V_{\text{AdS}}(\Delta W) \simeq -\frac{3}{M_P^2} \frac{(\Delta W)^2}{8\sigma_0\sigma_1\sigma_2} = -\frac{3}{8M_P^2} \left(\frac{a-b}{\ln\frac{aA_0}{bB_0}} \right)^2 (\Delta W)^2. \quad (21)$$

At the shifted minimum, SUSY is preserved, i.e., $D_T W(\sigma_0 + \delta\rho) = 0$ and $D_{T_i} W(\sigma_i + \delta\rho_i) = 0$, leading to $W_T(\sigma_0 + \delta\rho) = W_{T_i}(\sigma_0 + \delta\rho_i) \simeq 3\Delta W/2\sigma_0$. At this new minimum, the displacements $\delta\rho = \delta\rho_i$ are obtained as

$$\delta\rho_{(i)} \simeq \frac{3\Delta W}{2\sigma_0 W_{TT}(\sigma_0)} = \frac{3(a-b)\Delta W}{2\ln\left(\frac{aA_0}{bB_0}\right)\left\{A_0 a^2\left(\frac{aA_0}{bB_0}\right)^{\frac{-3a}{a-b}} + B_0 b^2\left(\frac{aA_0}{bB_0}\right)^{\frac{-3b}{a-b}}\right\}}. \quad (22)$$

After adding the uplifting potentials, SUSY is broken, and then the gravitino in the uplifted minimum acquires a mass $m_{3/2}^2 = \langle e^{\tilde{K}/M_P^2} |W|^2 / M_P^4 \rangle$:

$$m_{3/2} = \sqrt{\frac{|V_{\text{AdS}}|}{3M_P^2}} \simeq \frac{|\Delta W|}{M_P^2} \left(\frac{a-b}{2\ln\frac{aA_0}{bB_0}}\right)^{\frac{3}{2}}. \quad (23)$$

The important point is that the masses m_T and $m_{\theta^{\text{st}}}$ in Eq. (18) as well as the height of barrier from the runaway direction do not have any relation to the gravitino mass, i.e., $m_T \sim m_{\theta^{\text{st}}} \gg m_{3/2}$. Thus, we will consider the F -term hybrid inflation for $H_I \gg m_{3/2}$ in the Sec. III.

The uplifting of the AdS minimum to the dS minimum can be achieved by considering nontrivial fluxes for the gauge fields living on the D7-branes [33], which can be identified as field-dependent FI D terms in the $\mathcal{N} = 1, 4D$ effective action [34]. As shown in Refs. [33], the uplifting of the AdS minimum induces SUSY breaking and is achieved by adding to the potential two terms $\Delta V_i \approx |V_{\text{AdS}}| \sigma_i^3 / \rho^3$ if the uplifting term occurs due to a D term. Similarly, we can parametrize the uplifting terms as

$$\Delta V_i = \frac{1}{2} (\xi_i^{\text{FI}})^2 g_{X_i}^2 \simeq \frac{1}{2} |V_{\text{AdS}}| \left(\frac{\sigma_i}{\rho_i}\right)^3 \quad (24)$$

such that the value of the potential at the new minimum becomes equal to the observed value of the cosmological constant. So, the anomalous FI terms cannot be canceled and act as the uplifting potential. And expanding the Kahler potential K in components, the term linear in V_{X_i} produces the FI factors $\xi_i^{\text{FI}} = \frac{\partial K}{\partial V_{X_i}}|_{V_{X_i}=0} \Delta\rho_i$ as

$$\xi_i^{\text{FI}} = M_P^2 \frac{\delta_i^{\text{GS}}}{8\pi^2 \sigma_i} \Delta\rho_i. \quad (25)$$

Here, the displacements $\Delta\rho_i \equiv \rho_i - \sigma_i$ in the moduli fields are induced by the uplifting terms,

$$\Delta\rho_i \simeq \frac{3M_P^2 |V_{\text{AdS}}| \sigma_i}{W_{TT}^2(\sigma_0) \rho_i}, \quad (26)$$

which are achieved by $\partial_{\rho_i}(V_F + \Delta V_i) = 0$. Since the uplifting terms by $\Delta\rho_i$ making the dS induce SUSY

breaking, all particles of which the mass is protected from supersymmetry become massive. With the choice of parameters above Eq. (19), the gravitino mass in Eq. (23) corresponds to

$$m_{3/2} \simeq 560 \text{ TeV}, \quad (27)$$

implying $|\Delta W| \simeq 10^{-11} M_P^3$, which in turn means that the FI terms proportional to $|V_{\text{AdS}}|/m_T^2$ are expected to be strongly suppressed.

The cosmological constant Λ_c has the same effect as an intrinsic energy density of the vacuum $\rho_{\text{vac}} = \Lambda_c M_P^2$. The dark energy density of the Universe, $\Omega_\Lambda = \rho_{\text{vac}}/\rho_c$, is expressed in terms of the critical density required to keep the Universe spatially flat $\rho_c = 3H_0^2 M_P^2$, where $H_0 = 67.74 \pm 0.46 \text{ kms}^{-1} \text{ Mpc}^{-1}$ is the present Hubble expansion rate [9]. Using the dark energy density of the Universe $\Omega_\Lambda = 0.6911 \pm 0.0062$ of Planck 2015 results [9], then one finds the cosmological constant $\Lambda_c \sim 7.51 \times 10^{-121} M_P^4$. From Eqs. (21) and (24), one can fine tune the value of the potential in its minimum, V_{min} , to be equal to the observed tiny values $7.51 \times 10^{-121} M_P^4$,

$$V_{\text{min}} = |V_{\text{AdS}}| \left\{ -1 + \frac{1}{2} \left(\frac{\sigma_1}{\rho_1}\right)^3 + \frac{1}{2} \left(\frac{\sigma_2}{\rho_2}\right)^3 \right\}. \quad (28)$$

The positive vacuum energy density resulting from a cosmological constant implies a negative pressure, which drives an accelerated expansion of the Universe, as observed.

C. Moduli backreaction on inflation

Since, in general, the interference between the moduli and inflaton sectors generates a correction to the inflationary potential, we consider the effect of string moduli backreaction on the inflation model which is linked to the SUSY-breaking scale.¹⁰ In small-field inflation, such as hybrid inflation, this produces a linear term in the inflaton at leading order as in Ref. [35]. This is analogous to the effect of supersymmetry breaking, which induces a linear term proportional to the gravitino mass. Depending on its size, such a linear term can have a significant effect on inflationary observables well fitted in cosmic microwave background (CMB) data, in particular, the spectral index of scalar fluctuations.

At $T_{(i)} = \tilde{T}_{(i)} = \sigma_0$ due to $W(\sigma_0) = 0 = W_T(\sigma_0)$, one can obtain

$$V_F|_{\sigma_0} = \frac{V_{\text{inf}}}{(2\sigma_0)^3} + \frac{3e^{\tilde{K}/M_P^2}}{(2\sigma_0)^3 M_P^2} |W_{\text{inf}}|^2, \quad (29)$$

¹⁰There are many studies [35,36] on the moduli backreaction effect on the inflation and its link to SUSY breaking.

where V_{inf} is the inflation potential in the absence of moduli sectors

$$V_{\text{inf}} = e^{\tilde{K}/M_P^2} \left\{ K^{j\bar{j}} |D_j W_{\text{inf}}|^2 - \frac{3}{M_P^2} |W_{\text{inf}}|^2 \right\}. \quad (30)$$

Since all powers of $2\sigma_0$ in Eq. (29) can be absorbed by a redefinition of W_{inf} , the potential is rescaled as $V_F|_{\sigma_0} \rightarrow V_{\text{inf}} + \frac{3e^{\tilde{K}/M_P^2}}{M_P^2} |W_{\text{inf}}|^2$, indicating that there is no backreaction to the inflation on the moduli sector. However, due to the effect of the inflationary large positive energy density, see Eq. (37), the minima of the moduli are shifted by δT and δT_i , and at this new shifted position, the potential is minimized. The displacements are obtained by imposing $\partial_T V|_{\sigma_0+\delta T} = 0$ and $\partial_{T_i} V|_{\sigma_0+\delta T_i} = 0$, and the expression for δT and δT_i can be expanded in powers of H_I/m_T ,

$$\begin{aligned} \delta T_{(i)} &\simeq \frac{W_{\text{inf}} \sqrt{3}}{2\sqrt{\sigma_0} m_T M_P^2} \\ &+ \frac{1}{2(2\sigma_0)^2 m_T^2 M_P^2} \left\{ K^{j\bar{j}} D_j W_{\text{inf}} \partial_{\bar{j}} \bar{W}_{\text{inf}} - \frac{3}{M_P^2} |W_{\text{inf}}|^2 \right. \\ &\left. - \frac{W_{\text{inf}}^2}{M_P^2} \left(\frac{3}{2} + \frac{(3\sigma_0)^{3/2} W_{TTT}(\sigma_0)}{M_P^2 m_T} \right) \right\} + \mathcal{O}\left(\frac{H_I^3}{m_T^3}\right). \end{aligned} \quad (31)$$

This implies that there is a supersymmetry breakdown by the inflaton sector during inflation

$$D_{T_{(i)}} W|_{\sigma_0+\delta T_{(i)}} = \frac{1}{\sqrt{6}(2\sigma_0)^{5/2} m_T} K^{j\bar{j}} D_j W_{\text{inf}} \partial_{\bar{j}} \bar{W}_{\text{inf}} + \mathcal{O}\left(\frac{H_I^2}{m_T^2}\right); \quad (32)$$

i.e., $D_{T_{(i)}} W|_{\sigma_0+\delta T_{(i)}}$ suppressed by one power of m_T vanish in the limit of infinitely heavy moduli.

Since the moduli are very heavy, they stabilize quickly to their minima, and the inflationary potential gets corrected after setting T and T_i to their minima as follows:

$$\begin{aligned} V_F|_{\sigma_0+\delta T_{(i)}} &= \frac{V_{\text{inf}}}{(2\sigma_0)^3} - \frac{5}{2(2\sigma_0)^5 W_{TT}(\sigma_0)} \\ &\times \left[W_{\text{inf}} \left\{ V_{\text{inf}} + \frac{e^{\tilde{K}/M_P^2}}{5} K^{j\bar{j}} \partial_{\bar{j}} W_{\text{inf}} D_{\bar{j}} \bar{W}_{\text{inf}} \right\} \right. \\ &\left. + \text{H.c.} \right] + \mathcal{O}\left(\frac{H_I^3}{m_T^3}\right). \end{aligned} \quad (33)$$

Using $|W_{TT}(\sigma_0)| = \sqrt{\frac{2}{3}} \frac{M_P^2}{\sqrt{2\sigma_0}} m_T$, and rescaling as $V_{\text{inf}}/(2\sigma_0)^3 \rightarrow V_0(t_I)$ and $W_{\text{inf}}/(2\sigma_0)^{3/2} \rightarrow W_{\text{inf}}(t_I)$, it is evident that the inflationary potential due to the moduli backreaction induces a linear term in the inflaton potential

$$\begin{aligned} V_F|_{\sigma_0+\delta T_{(i)}} &= V_0(t_I) \left\{ 1 - \frac{5\sqrt{3}}{2\sqrt{2}} \frac{1}{m_T M_P^2} (W_{\text{inf}} + \text{H.c.}) \right\} \\ &+ \mathcal{O}\left(\frac{|\Psi_0|^3}{m_T^3}\right). \end{aligned} \quad (34)$$

Clearly, as we can see here, in the limit $m_T \rightarrow \infty$, the interference term between string moduli and inflaton sectors disappears.

D. Scale of PQ-symmetry breakdown during inflation

In the following, let us consider the PQ phase transition scale during inflation. Because of Eq. (1) during inflation, we have

$$v_{\Theta}(t_I) = v_S(t_I) = v_T(t_I) = 0. \quad (35)$$

And the Kahler moduli fields we consider are stabilized during inflation, and their potential has a local minimum at finite moduli field values separated by a high barrier from the runaway direction. Since the moduli masses are much larger than the inflaton mass and accordingly will be frozen quickly during inflation without perturbing the inflaton dynamics, the height of the barrier protecting metastable Minkowski (\simeq dS) space are independent of the gravitino mass; hence, the inflationary Hubble constant is also independent of the gravitino mass [32].

We consider the PQ symmetry-breaking scale, $\mu_{\Psi}(t_I)$, during inflation. In the global SUSY minima where $V_{\text{SUSY}} = 0$, all the flavon and driving fields have trivial VEVs, while the waterfall fields $\Psi(\tilde{\Psi})$ can have nonzero VEVs. The FI D terms must then be zero, i.e., $\xi_1^{\text{FI}} = \xi_2^{\text{FI}} = 0$. During inflation, if $|\Psi_0|$ takes a large value, the waterfall fields stay at the origin of the field space (the local minimum appears at $\langle \Psi \rangle = \langle \tilde{\Psi} \rangle = 0$), and the superpotential is effectively reduced to

$$W_{\text{inf}}(t_I) = -\tilde{g}_7 \Psi_0 \mu_{\tilde{\Psi}}^2(t_I), \quad (36)$$

with $\tilde{g}_7^2 \equiv g_7^2/(2\sigma_0)^3$ and $\tilde{g}_7 < 0$, which gives a positive contribution to the inflation energy

$$V_0(t_I) = 3H_I^2 M_P^2 \simeq \left| \frac{\partial W_{\text{inf}}(t_I)}{\partial \Psi_0} \right|^2 = \tilde{g}_7^2 \mu_{\tilde{\Psi}}^4(t_I) \quad (37)$$

and in turn drives inflation. Since the potential for $|\Psi_0| \gg |\Psi_0^c| \equiv \mu_{\Psi}(t_I)$ with $\langle \Psi \rangle = \langle \tilde{\Psi} \rangle = 0$ is flat before the waterfall behavior occurs, inflation takes place there. And the waterfall behavior is triggered, when the inflaton Ψ_0 reaches the critical value $|\Psi_0^c|$. Once $|\Psi_0|$ rolls down from a large scale and approaches its critical value $|\Psi_0^c|$, the

inflaton and waterfall fields get almost maximally mixed to form mass eigenstates,

$$\begin{aligned}\Psi'_0 &\simeq \frac{1}{\sqrt{2}}(\Psi_0 \pm \tilde{\Psi}), & \Psi' &\simeq \frac{1}{\sqrt{2}}(\Psi - \Psi_{0\perp}), \\ \tilde{\Psi}' &\simeq -\frac{1}{\sqrt{2}}(\Psi + \Psi_{0\perp}),\end{aligned}\quad (38)$$

where $\Psi_{0\perp} \simeq (\pm\Psi_0 - \tilde{\Psi})/\sqrt{2}$ is orthogonal to Ψ'_0 . And their corresponding mass eigenvalues are given by

$$m_{\Psi'_0} \simeq |\tilde{g}_7| \mu_\Psi(t_I), \quad m_{\tilde{\Psi}'} \simeq |\tilde{g}_7| \mu_{\tilde{\Psi}}(t_I), \quad m_{\Psi'} \simeq 0. \quad (39)$$

Let us schematically see this is the case. The potential at the global SUSY limit

$$\begin{aligned}V_{\text{inf}}^{\text{global}} &= \tilde{g}_7^2 |\Psi \tilde{\Psi} - \mu_{\tilde{\Psi}}^2(t_I)|^2 + \tilde{g}_7^2 |\Psi_0|^2 (|\Psi|^2 + |\tilde{\Psi}|^2) \\ &= (\Psi'^* \quad \tilde{\Psi}') \begin{pmatrix} \tilde{g}_7^2 (|\Psi_0|^2 - \mu_{\tilde{\Psi}}^2(t_I)) & 0 \\ 0 & \tilde{g}_7^2 (|\Psi_0|^2 + \mu_{\tilde{\Psi}}^2(t_I)) \end{pmatrix} \begin{pmatrix} \Psi' \\ \tilde{\Psi}'^* \end{pmatrix} + \dots\end{aligned}\quad (40)$$

implies the following:

- (i) When $|\Psi_0| < \mu_\Psi(t_I)$, one of the mass eigenstates, Ψ' , becomes tachyonic; the waterfall fields fixed at $\langle \Psi \rangle = \langle \tilde{\Psi} \rangle = 0$ are not stable since $\Psi(\tilde{\Psi})$ have an opposite sign of $U(1)_{X_2}$ charges. As can be seen from Eq. (4), since the Kahler moduli superfields putting the GS mechanism into practice are not separated from the SUSY breaking by the inflaton sector during inflation, by taking tachyonic SUSY-breaking scalar masses $m_{\tilde{\Psi}}^2 \sim -H_I^2$ induced dominantly by the $U(1)_{X_2}$ D term, the waterfall field Ψ' rolls down its true minimum from a large scale.
- (ii) The other $\tilde{\Psi}'$ stays positive definite throughout the inflationary trajectory up to a critical value $|\Psi_0^c| \approx \mu_\Psi(t_I)$.
- (iii) After inflation, the Universe is dominated by both the inflaton Ψ'_0 and one of waterfall fields, $\tilde{\Psi}'$, while the other waterfall field Ψ' gives a negligible contribution to the total energy of the Universe.
- (iv) After inflation and the waterfall transition mechanism has been completed, Ψ'_0 approaches to zero, and $\Psi'(\tilde{\Psi}')$ relax to the flat direction of the field space given by $\Psi \tilde{\Psi}' = \mu_{\tilde{\Psi}}^2(t_I)$; the positive false vacuum of the inflaton field breaking the global SUSY spontaneously gets restored once inflation has been completed.

Now, we discuss how the inflation could be realized explicitly. The F -term scalar potential, the first term on the right-hand side of Eq. (2), can be expressed as

$$V(\phi_\alpha) = e^{\tilde{K}/M_P^2} \left\{ \sum_\alpha K^{\alpha\bar{\alpha}} D_\alpha W_{\text{inf}} D_{\alpha^*} W_{\text{inf}}^* - 3 \frac{|W_{\text{inf}}|^2}{M_P^2} \right\} \quad (41)$$

with α being the bosonic components of the superfields $\hat{\phi}_\alpha \in \{\hat{\Psi}_0, \hat{\Phi}_0^T, \hat{\Phi}_0^S, \hat{\Theta}_0, \hat{\Psi}, \hat{\tilde{\Psi}}, \hat{\Phi}_S, \hat{\Theta}, \hat{\tilde{\Theta}}, \hat{\Phi}_T\}$ and where the Kahler covariant derivative and Kahler metric are defined as

$$D_\alpha W_{\text{inf}} \equiv \frac{\partial W_{\text{inf}}}{\partial \phi_\alpha} + M_P^{-2} \frac{\partial K}{\partial \phi_\alpha} W_{\text{inf}}, \quad K_{\alpha\bar{\beta}} \equiv \frac{\partial^2 K}{\partial \phi_\alpha \partial \phi_\beta^*} \quad (42)$$

and $D_{\alpha^*} W_{\text{inf}}^* = (D_\alpha W_{\text{inf}})^*$ with $\tilde{K}_{\alpha\bar{\beta}} \equiv (\tilde{K}_{\alpha\bar{\beta}})^{-1}$. The lowest-order (i.e., global supersymmetric) inflationary F -term potential $V_{\text{inf}}^{\text{global}}$ receives corrections for $|\phi_\alpha| \ll M_P$. During inflation, working along the direction $|\Psi| = |\tilde{\Psi}| = 0$, from Eqs. (8) and (41), a small curvature needed for the slow roll can be represented by the inflationary potential V_{inf} ,

$$V_{\text{inf}} = V_{\text{inf}}^{\text{tree}} + V_{\text{sugra}} + \Delta V_{\text{inf}}^{1\text{-loop}}. \quad (43)$$

The leading-order potential corrected by the interference term induced by the moduli backreaction, including soft SUSY-breaking terms associated with Ψ_0 , can be written in Eq. (34) as

$$\begin{aligned}V_{\text{inf}}^{\text{tree}} &= V_0(t_I) \left\{ 1 + \frac{5\sqrt{3}}{2\sqrt{2}} \frac{\sqrt{V_0}}{m_T M_P^2} (\Psi_0 + \Psi_0^*) \right\} \\ &+ m_{\Psi_0}^2 |\Psi_0|^2 - (\tilde{g}_7 a_{\Psi_0} \mu_{\tilde{\Psi}}^2 \Psi_0 + \text{H.c.}),\end{aligned}\quad (44)$$

where $V_0(t_I)$ is the rescaled vacuum energy during inflation, see Eq. (34), and a_{Ψ_0} is the soft SUSY-breaking mass parameter of order approximately $m_{3/2}$. In Eq. (44), we only have included the tadpole term since all other soft SUSY-breaking terms are negligible during inflation. Substituting K_{inf} and W_{inf} in Eq. (8) into V_F^{inf} in Eq. (30) and minimizing with respect to Ψ and $\tilde{\Psi}$ for $|\Psi_0| > \mu_\Psi(t_I)$ give

$$V_F^{\text{inf}} = \tilde{g}_7^2 \mu_{\tilde{\Psi}}^4(t_I) \left\{ 1 - k_s \frac{|\Psi_0|^2}{M_P^2} + \gamma_s \frac{|\Psi_0|^4}{2M_P^4} + \mathcal{O}\left(\frac{|\Psi_0|^6}{M_P^6}\right) \right\}, \quad (45)$$

where $\gamma_s \equiv 1 - 7k_s/2 - 3k_3$. Such a supergravity-induced mass squared is expected to have the same form as

the Ψ_0 mass squared, namely, $\tilde{g}_7^2 \mu_\Psi^4(t_I)/M_P^2 = V_0(t_I)/M_P^2$, which is the order of the Hubble constant squared $H_I^2 = V_0(t_I)/3M_P^2$. Then, the SUGRA contribution V_{sugra} to V_{inf} leads to

$$V_{\text{sugra}} = -c_H^2 H_I^2 |\Psi_0|^2 + V_0 \gamma_s \frac{|\Psi_0|^4}{2M_P^4} + \mathcal{O}\left(\frac{|\Psi_0|^6}{M_P^6}\right). \quad (46)$$

The inflaton Ψ_0 also receives the one-loop radiative correction in the potential [37] due to the mismatch between masses of the scalar and fermion components of $\Psi(\tilde{\Psi})$, which are nonvanishing since SUSY is broken by $\partial W_{\text{inf}}/\partial \Psi_0 \neq 0$. The corresponding one-loop correction to the scalar potential is analytically calculated as

$$\Delta V_{1\text{-loop}} = \sum_i (-1)^f \frac{m_i^4}{64\pi^2} \ln \frac{m_i^2}{Q^2} = \frac{\tilde{g}_7^4 \mu_\Psi^4(t_I)}{8\pi^2} F(x), \quad (47)$$

where $F(x) = \frac{1}{4} \{ (x^2 + 1) \ln \frac{x^4 - 1}{x^4} + 2x^2 \ln \frac{x^2 + 1}{x^2 - 1} + 2 \ln \frac{\tilde{g}_7^2 \mu_\Psi^2 x^2}{Q^2} - 3 \}$ and the sum is taken over the field d.o.f. and $f = 0$ for the scalar and $f = 1$ for the fermion. Here, the Q is a renormalizable scale, and x is defined as $x \equiv |\Psi_0|/\mu_\Psi(t_I) = \varphi/(\sqrt{2}\mu_\Psi(t_I))$, where φ is the normalized real scalar field. In the limit $x \gg 1$, i.e., $\varphi \gg \sqrt{2}\mu_\Psi(t_I)$, this is approximated as

$$\Delta V_{1\text{-loop}} \simeq \frac{\tilde{g}_7^4 \mu_\Psi^4(t_I)}{16\pi^2} \ln \frac{\tilde{g}_7^2 \varphi^2}{2Q^2}. \quad (48)$$

If we let the inflaton field $\Psi_0 \equiv \varphi e^{i\theta}/\sqrt{2}$ and during the inflation period, taking into account the radiative correction, supergravity effects, soft SUSY-breaking terms, and moduli backreaction effects, the inflationary potential is of the form

$$V_{\text{inf}}(\varphi) = V_0(t_I) \left\{ 1 + \frac{5\sqrt{3}}{2} \frac{\sqrt{V_0}}{m_T M_P^2} \varphi \cos \theta + \gamma_s \frac{\varphi^4}{8M_P^4} + \frac{\tilde{g}_7^2}{8\pi^2} F(x) \right\} + \tilde{g}_7 \alpha_s m_{3/2} \mu_\Psi^2 \varphi \cos \theta + \frac{\varphi^2}{2} \left(m_{\Psi_0}^2 - k_s \frac{V_0}{M_P^2} \right), \quad (49)$$

where $\alpha_s m_{3/2} = -\sqrt{2} a_{\Psi_0}$. The moduli-induced slope partially cancels the slope of the Coleman-Weinberg potential, which flattens the inflationary trajectory and reduces the distance in field space corresponding to the $N_e \sim 50$ e -folds of inflation. And the inflaton mass m_{Ψ_0} is assumed for $k_s = 1$ as

$$m_{\Psi_0} = |\tilde{g}_7| \frac{\mu_\Psi^2(t_I)}{M_P}; \quad (50)$$

since the inflaton acquires a mass of order the Hubble constant, $m_{\Psi_0} = H_I \sqrt{3}$, agreement of the theory's prediction for spectral index n_s with observation strongly suggests the presence of a negative Hubble-induced mass term, and the k_s parameter term vanishes identically. This inflaton mass ($\gg m_{3/2}$) can directly be obtained from Eqs. (7) and (8) as

$$m_{\Psi_0} = |M_P^4 \langle e^G \nabla_{\Psi_0} G_{\Psi_0} \rangle|^{\frac{1}{2}} = \sqrt{3} H_I, \quad (51)$$

where $\nabla_k G_\alpha = \partial_k G_\alpha - \Gamma_{k\alpha}^j G_j$ with the Christoffel symbol $\Gamma_{k\alpha}^j = G^{j\ell^*} G_{k\alpha\ell^*}$ [38], and $\nabla_{\Psi_0} G_{\Psi_0} \simeq -(W_{\Psi_0}/W)^2$ is used. This inflaton mass is in agreement with the above prediction in Eq. (50).

Inflation stops at $|\Psi_0^c| \simeq \mu_\Psi(t_I)$, where the mass of Ψ becomes negative and the field acquires a nonvanishing expectation value. To develop the VEV of the waterfall field Ψ , we destabilize the waterfall field Ψ by taking tachyonic Hubble-induced masses of the PQ-breaking waterfall field, i.e., $m_\Psi^2 \sim -H_I^2 < 0$. Then, the VEV of the waterfall field could be determined by considering both the SUSY-breaking effect and a supersymmetric next-leading-order term. The next-leading Planck-suppressed operator invariant under $A_4 \times U(1)_X$ is given by

$$\Delta W_v \simeq \frac{\hat{\alpha}}{M_P^2} \Psi_0 \Psi^2 \tilde{\Psi}^2, \quad (52)$$

where we set the VEVs of all other matter fields to zero except the waterfall field and neglect their corresponding trivial operators. Note that the constant $\hat{\alpha} = \mathcal{O}(\alpha/8\pi)$ with a constant α being of order unity. Since the soft SUSY-breaking terms are already present at the scale relevant to inflation dynamics, the scalar potential for the waterfall field Ψ at leading order reads

$$V_\Psi(t_I) \simeq \frac{1}{2} D_{X_2}^2 + \hat{\alpha}_\Psi \tilde{m}_\Psi^2 |\Psi|^2 + \hat{\alpha}_{\tilde{\Psi}} \tilde{m}_{\tilde{\Psi}}^2 |\tilde{\Psi}|^2 + |\hat{\alpha}|^2 \frac{|\Psi|^4 |\tilde{\Psi}|^4}{M_P^4} + \dots, \quad (53)$$

where $|\hat{\alpha}_\Psi \tilde{m}_\Psi^2|$, $|\hat{\alpha}_{\tilde{\Psi}} \tilde{m}_{\tilde{\Psi}}^2| \ll |D_{X_2}(t_I)|$ with $|\hat{\alpha}_{\Psi, \tilde{\Psi}}| \ll 1$ taken. Here, $\tilde{m}_{\Psi, \tilde{\Psi}} \simeq |\Psi_0^c| \sim \mathcal{O}(|F^{\Psi_0}|/M_P)$ with $F^{\Psi_0} = K^{\Psi_0 \bar{\Psi}_0} D_{\Psi_0} W_{\text{inf}} \simeq \sqrt{3} H_I M_P$ represents the Hubble-induced soft scalar masses generated by the F -term SUSY breaking, during inflation. If the tachyonic SUSY-breaking scalar masses are dominantly induced by the $U(1)_{X_2}$ D term, $D_{X_2}(t_I) \sim \mathcal{O}(H_I^2)$, compared to the Hubble-induced soft masses generated by the F -term SUSY breaking, the soft SUSY-breaking mass of Ψ during inflation is approximated by

$$m_{\tilde{\Psi}}^2(t_I) = \hat{\alpha}_{\tilde{\Psi}} \tilde{m}_{\tilde{\Psi}}^2 + D_{X_2}(t_I) \simeq -\hat{\beta}_{\tilde{\Psi}} H_I^2, \quad \text{with } \hat{\beta}_{\tilde{\Psi}} > 0. \quad (54)$$

Then, the scalar potential in Eq. (53) for the waterfall field Ψ is approximated well as

$$V_{\Psi}(t_I) \simeq -\hat{\beta}_{\Psi} H_I^2 |\Psi|^2 + |\hat{\alpha}|^2 \frac{|\Psi|^4 |\tilde{\Psi}|^4}{M_P^4}. \quad (55)$$

Here, the constant $\hat{\beta}_{\Psi}$ is of order unity, while $\hat{\alpha} = \alpha/(8\pi)$ with α being of order unity. We find the minimum as

$$v_{\Psi}(t_I) = \sqrt{\frac{2\hat{\beta}_{\Psi}}{|\hat{\alpha}|^2}} H_I \left(\frac{M_P}{v_{\tilde{\Psi}}}\right)^2, \quad (56)$$

leading to $M_P \gg \mu_{\Psi}(t_I) \gg H_I$ and the PQ-breaking scales during inflation,

$$\mu_{\tilde{\Psi}}^2(t_I) \equiv \frac{v_{\Psi}(t_I) v_{\tilde{\Psi}}(t_I)}{2} = \sqrt{\frac{\hat{\beta}_{\Psi}}{2|\hat{\alpha}|^2}} \left(\frac{H_I}{v_{\tilde{\Psi}}(t_I)} M_P^2\right). \quad (57)$$

In supersymmetric theories based on SUGRA, since SUSY breaking is transmitted by gravity, all scalar fields acquire an effective mass of the order of the expansion rate during inflation. So, we expect that the inflaton acquires a mass of order the Hubble constant, which, in turn, indicates that the soft SUSY-breaking mass (the inflaton mass m_{Ψ_0}) during inflation strongly depends on the scale of waterfall (or PQ) fields by the Eq. (57); for example, for $\mu_{\Psi}(t_I) \sim 10^{16}$ GeV, one obtains

$$H_I \sim 2 \times 10^{10} \text{ GeV} \quad (58)$$

for $\hat{\beta}_i \sim 1$ and $\hat{\alpha} \sim 1/(8\pi)$ (see Table I).

After the inflation ends, for simplicity, we treat the mixed mass eigenstates in Eq. (38) as the single-field eigenstates,

$$\Psi'_0 \rightarrow \Psi_0, \quad \Psi' \rightarrow \Psi, \quad \tilde{\Psi}' \rightarrow \tilde{\Psi}. \quad (59)$$

Then, we express the superpotential (7) relevantly,

$$W \supset W(z) + \tilde{g}_7 \Psi_0 (\tilde{\Psi} \Psi - \mu_{\tilde{\Psi}}^2), \quad (60)$$

where $W(z)$ is introduced to determine the SUSY-breaking scale, see Sec. III B, and $\tilde{g}_7^2 = g_7^2/(2\sigma_0)^3$ corrected by the string moduli backreaction. Then, the scalar potential in Eq. (2) is extremized in the true vacuum if $\langle \partial_i V \rangle = 0$, and the resulting cosmological constant should vanish if $\langle V \rangle = 0$. Together, these conditions are satisfied if

$$\langle G^\alpha G_\alpha \rangle = 3, \quad \langle G^\alpha \nabla_k G_\alpha + G_k \rangle = 0. \quad (61)$$

Then, the condition of the potential minimum reads

$$\langle M_P^2 \{ G_{\Psi_0 \Psi_0} G_{\tilde{\Psi}_0} + G_{\Psi \Psi_0} G_{\tilde{\Psi}} + G_{\tilde{\Psi} \Psi_0} G_{\tilde{\Psi}} + G_{z \Psi_0} G_z \} + G_{\Psi_0} \rangle = 0, \quad (62)$$

$$\langle M_P^2 \{ G_{\Psi \Psi} G_{\tilde{\Psi}} + G_{\Psi_0 \Psi} G_{\tilde{\Psi}_0} + G_{\tilde{\Psi} \Psi} G_{\tilde{\Psi}} + G_{z \Psi} G_z \} + G_{\Psi} \rangle = 0, \quad (63)$$

and the minimization condition for $\tilde{\Psi}$ is the same as for Ψ . The inflaton mass ($\gg m_{3/2}$), after inflation, is given by

$$m_{\Psi_0} \simeq |M_P^4 \langle e^G \nabla_{\Psi_0} G_{\tilde{\Psi}} \nabla_{\Psi_0} G^{\tilde{\Psi}} \rangle|^{\frac{1}{2}} \simeq |\tilde{g}_7| \mu_{\Psi}(t_I), \quad (64)$$

where $\nabla_{\Psi_0} G_{\tilde{\Psi}} \simeq W_{\tilde{\Psi} \Psi_0}/W$ is used, which is almost equal to the mass of waterfall field $\tilde{\Psi}$. This inflaton mass is in agreement with Eq. (39). Since the z field is responsible for the SUSY breaking, one obtains $|G_z| \simeq \sqrt{3}/M_P$, and, in turn, the gravitino mass $m_{3/2} \equiv \langle M_P e^{G/2} \rangle \simeq |W|/M_P^2 \simeq |W_z|/\sqrt{3} M_P$. Assuming $|G_{\Psi}| \simeq |G_{\tilde{\Psi}}| \lesssim |\Psi|/M_P^2$, one obtains $G_{\Psi} \simeq W_{\Psi}/W$, leading to $W_{\Psi}/W \simeq \Psi/M_P^2$ and $W_{\tilde{\Psi}}/W \simeq \tilde{\Psi}/M_P^2$. Using $W_{\Psi} = \tilde{g}_7 \Psi_0 \tilde{\Psi}$ in Eq. (60), we obtain

$$\langle \Psi_0 \rangle \simeq \frac{m_{3/2}}{|\tilde{g}_7|}. \quad (65)$$

E. Cosmological observables

The inflaton as a source of inflation is displaced from its minimum, and its slow-roll dynamics leads to an accelerated expansion of the early Universe. During inflation, the Universe experiences an approximately dS phase with the Hubble parameter H_I . Quantum fluctuations during this phase can lead to observable signatures in CMB radiation temperature fluctuation, as the form of density perturbation, in several ways [39], when the quantum fluctuations are crossing back inside the Hubble radius long after inflation has been completed. When interpreted in this way, inflation provides a causal mechanism to explain the observed nearly scale-invariant CMB spectrum:

- (i) Quantum fluctuations of the inflaton field during inflation give rise to fluctuations in the scalar curvature and lead to the adiabatic fluctuations¹¹ that grew into our cosmologically observed large-scale structure much bigger than the Hubble radius and then eventually got frozen. Adiabatic density perturbations seeded by the quantum fluctuations of the inflaton have a nearly scale-invariant spectrum,

¹¹These correspond to fluctuations in the total energy density, $\delta\rho \neq 0$, with no fluctuation in the local equation of state, $\delta(n_i/s) = 0$. On the other hand, isocurvature perturbations correspond to fluctuations in the local equation of state of some species, $\delta(n_i/s) \neq 0$, with no fluctuation in the total energy density, $\delta\rho = 0$ [39].

$\Delta_{\mathcal{R}}^2(k_0)$, which is a cosmological observable of the curvature perturbations. The power spectrum of the curvature perturbations, $\Delta_{\mathcal{R}}^2(k_0)$, reads in the Planck 2015 result at 68% C.L. (for the base Λ CDM model) [9]

$$\Delta_{\mathcal{R}}^2(k_0) = (2.141_{-0.049}^{+0.050}) \times 10^{-9}, \quad (66)$$

at the pivot scale $k_0 = 0.002 \text{ Mpc}^{-1}$ (wave number), which is compatible with the one suggested for the COBE normalization [40].

- (ii) Fluctuations of the metric lead to tensor-B mode fluctuations in the CMB radiation. Primordial gravitational waves are generated with a nearly scale-invariant spectrum, $\Delta_h^2(k_0)$, which reads in the Planck 2015 result [9] $\Delta_h^2(k_0) < 1.97 \times 10^{-10}$.

- (iii) Quantum fluctuations are imprinted into every massless scalar field in dS space during inflation, with an approximately scale-invariant spectrum, $\langle |\delta\phi(k)|^2 \rangle = (H_I/2\pi)^2/(k^3/2\pi^2)$ for a canonically normalized scalar field ϕ , which is essentially a thermal spectrum at Gibbons-Hawking temperature $T_{\text{GH}} = H_I/2\pi$. The other important cosmological observables imprinted in the CMB spectrum are the following: the BAU (which will be discussed in Sec. IV), the fractions of relic abundance Ω_{DM} (see Ref. [3]), and dark energy Ω_{Λ} (see Sec. III B).

The slow-roll condition [41] is well satisfied up to the critical point $\varphi^c = \sqrt{2}\mu_{\Psi}(t_I)$, beyond which the waterfall mechanism takes place. Here, the slow-roll parameters, ϵ and η , are approximately derived from Eq. (49) as

$$\epsilon \equiv \frac{M_P^2}{2} \left(\frac{V_{,\varphi}}{V} \right)^2 \quad (67)$$

$$\simeq \frac{1}{2} \left(\frac{\tilde{g}_7^2 M_P}{8\pi^2 \varphi} \right)^2 \left\{ 1 + \frac{5\sqrt{3} 8\pi^2 \mu_{\Psi} \mu_{\Psi} \varphi}{2 |\tilde{g}_7| m_T M_P M_P} \cos\theta \left(1 - \frac{\alpha_s m_{3/2} \varphi \cos\theta}{\tilde{g}_7 \mu_{\Psi}^2} \right) + \frac{8\pi^2 \alpha_s}{\tilde{g}_7^3} \left(\frac{m_{3/2}}{\mu_{\Psi}} \right) \left(\frac{\varphi}{\mu_{\Psi}} \right) \cos\theta \right\}^2 \ll 1, \quad (68)$$

$$\eta \equiv M_P^2 \frac{V_{,\varphi\varphi}}{V} \quad (69)$$

$$\simeq \frac{\tilde{g}_7^2}{8\pi^2} \left(\frac{M_P}{\varphi} \right)^2 \left\{ \frac{3\gamma_s 8\pi^2}{2 \tilde{g}_7^2} \left(\frac{\varphi}{M_P} \right)^2 - 1 \right\} \left(1 - \frac{\alpha_s m_{3/2} \varphi \cos\theta}{\tilde{g}_7 \mu_{\Psi}^2} \right), \quad |\eta| \ll 1, \quad (70)$$

where $V_{,\varphi}$ denotes a derivative with respect to the inflaton field $\varphi = \sqrt{2}\text{Re}\Psi_0$ and $M_P \gg |\Psi_0| \gg |\Psi_0^c|$ (or $M_P \gg |\varphi| \gg |\varphi^c|$) is assumed. Recall that $\tilde{g}_7^2 = g_7^2/(2\sigma_0)^3$. The above equations clearly show that the curvature of the inflationary potential is dominantly affected by the moduli backreaction in Eq. (34), the one-loop radiative correction in Eq. (47), and soft SUSY-breaking term in Eq. (44). In the slow-roll approximation, the number of e -foldings after a comoving scale l has crossed the horizon is given by the inflationary potential through

$$N(\varphi) = \int_{t(\varphi^c)}^{t_l} H_I dt = \frac{1}{M_P^2} \int_{\varphi^c}^{\varphi_l} \frac{V(\varphi)}{V_{,\varphi}(\varphi)} d\varphi, \quad (71)$$

where φ_l is the value of the field at the comoving scale l and φ^c is the one at the end of inflation. The field value φ^c is determined from the condition $\text{Max}\{\epsilon(\varphi^c), |\eta(\varphi^c)|\} = 1$ [42]. The power spectrum $\Delta_{\mathcal{R}}^2(k_0)$ sensitively depends on the theoretical parameters of the inflationary potential,

$$\Delta_{\mathcal{R}}^2(k_0) \simeq \frac{1}{12\pi^2 M_P^6} \frac{V^3(\varphi_l)}{|V_{,\varphi}(\varphi_l)|^2}, \quad (72)$$

where the potential $V(\varphi_l)$ and its derivative $V_{,\varphi}(\varphi_l)$ are evaluated at the epoch of the horizon exit for the comoving scale k_0 . It should be compared with the Planck 2015 result (66). With the definition of the number of e -folds after a comoving scale k_0 leaves the horizon, we can obtain the corresponding inflaton value φ_l/M_P from Eq. (71). And the number of e -folds N_e corresponding to the comoving scale k_0 is around 50, depending on the energy scales H_I and T_{reh} ,

$$N_e = 49.1 + \ln\left(\frac{0.002 \text{ Mpc}^{-1}}{k_0}\right) + \frac{1}{3} \ln\left(\frac{T_{\text{reh}}}{10^4 \text{ GeV}}\right) + \frac{1}{3} \ln\left(\frac{H_I}{10^{10} \text{ GeV}}\right), \quad (73)$$

where T_{reh} represents the maximal temperature of the last radiation-dominated era, called the reheating temperature. The tensor and scalar modes have spectra $A_t = 2H_I^2/(\pi^2 M_P^2)$ and $A_s \equiv \Delta_{\mathcal{R}}^2(k_0)$ [9], respectively. In the supergravity F -term inflation we consider, the tensor-to-scalar ratio $r = A_t/A_s \simeq 16\epsilon(\varphi_l)$ is much lower than the Planck 2015 bound ($r_{0.002} < 0.09$), i.e., well below 10^{-2} ,

and the running of the spectral index $dn_s/d \ln \tilde{g}_7$ is always smaller than 10^{-3} and so is unobservable. And the scalar spectral index n_s is approximated as

$$n_s \simeq 1 - 6\epsilon(\varphi_I) + 2\eta(\varphi_I) \simeq 2\eta(\varphi_I). \quad (74)$$

We can compare this quantity with the results of the Planck 2015 observation [9]:

$$n_s = 0.967 \pm 0.004. \quad (75)$$

For the power spectrum of the curvature perturbation in Eq. (72) and the spectral index in Eq. (74) with Eqs. (67) and (69) to be well fitted with the Planck 2015 observation, the five independent parameters m_T , $\mu_\Psi(t_I)$, γ_s , α_s , and $|\tilde{g}_7|$

in Eq. (49) are needed, and those parameters with the conditions (66) and (75) have predictions, $m_T = \mathcal{O}(10^{16-17}) \gg \mu_\Psi(t_I) = \varphi^c / \sqrt{2} = \mathcal{O}(10^{15})$ GeV, $\gamma_s = \mathcal{O}(1 - 10)$, $|\alpha_s| = \mathcal{O}(1)$, and $|\tilde{g}_7| = \mathcal{O}(1) \times 10^{-3}$ as in Table I, in which we have set $\cos\theta = -1$ and $m_{3/2} = 560$ TeV [see Eq. (27)]. This table shows that the cosmological observables can be fitted well at the moduli stabilizing scale close to Λ_{GUT} and the PQ symmetry-breaking scale induced at $\mu_\Psi(t_I) \simeq 0.3 \times 10^{16}$ GeV $< m_T$. Figure 1 shows the behavior of the number of e -folds N_e in Eq. (73) in terms of the five independent parameters of the inflationary potential in Eq. (49), m_T , $\mu_\Psi(t_I)$, α_s , γ_s , and $|\tilde{g}_7|$, where each red band curve and cyan vertical band stands for the allowed regions of the constraints $\Delta_{\mathcal{R}}^2(k_0)$ and n_s in Eqs. (66) and (75), respectively. Each of the

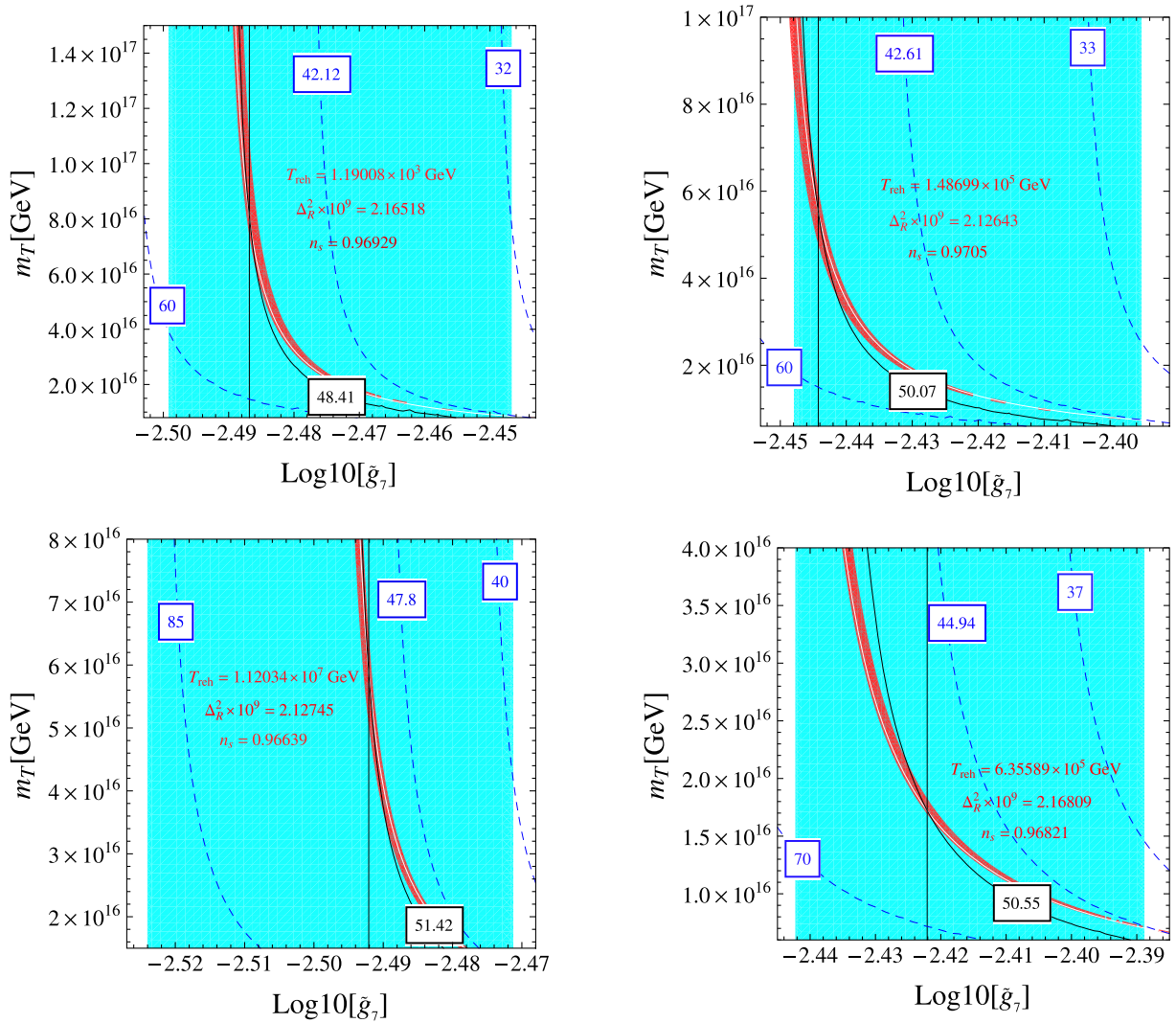


FIG. 1. Contour plot for N_e as a function of m_T and $|\tilde{g}_7|$ with the given values of α_s , γ_s , φ_I , and φ^c in Table I, in which each red band curve and cyan vertical band stands for the allowed regions of the constraints $\Delta_{\mathcal{R}}^2(k_0)$ and n_s in Eqs. (66) and (75), respectively. Each intersection point among the white curve ($\Delta_{\mathcal{R}}^2(k_0)$), black solid curve (N_e), and black vertical line (n_s) corresponds to each input value with high accuracies in Table I.

contour plots in the clockwise direction corresponds to the value of Table I in sequence from top to bottom. In the plots showing contour lines for N_e in terms of the parameter set $\{m_T, |\tilde{g}_7|\}$ with the given input values of the parameter set $\{\alpha_s, \gamma_s, \mu_\Psi\}$ in Table I, each of the regions of red band curve overlapped by the cyan vertical band represents each of the regions allowed by the constraints $\Delta_{\mathcal{R}}^2(k_0)$ and n_s in Eqs. (66) and (75), leading to large uncertainties of reheating temperature T_{reh} corresponding to the allowed range of N_e : $42.12 \lesssim N_e \lesssim 48.79$ (left upper panel), $42.61 \lesssim N_e \lesssim 51.14$ (right upper panel), $44.94 \lesssim N_e \lesssim 53.84$ (right lower panel), and $47.80 \lesssim N_e \lesssim 52.33$ (left lower panel) with an assumption of $m_T \leq 10^{17}$ GeV.

In the plots, especially, each intersection point among the white curve [$\Delta_{\mathcal{R}}^2(k_0)$], red solid curve (N_e), and red vertical line (n_s) corresponds to each input value m_T , $|\tilde{g}_7|$, α_s , γ_s , and μ_Ψ with such high accuracies in Table I. For the given values of reheating temperature and parameter set $\{\mu_\Psi, \alpha_s, \gamma_s\}$ in Table I, we obtain theoretical uncertainties of $\Delta_{\mathcal{R}}(k_0)$ and n_s , corresponding to the theoretical uncertainties of the parameter set $\{m_T, |\tilde{g}_7|\}$:

$$\begin{aligned} \Delta_{\mathcal{R}}(k_0)/10^{-9} &= 2.16518_{-0.07318}^{+0.02582}, \\ n_s &= 0.96929_{-0.00017}^{+0.00009}, & \text{for left upper panel,} \\ \Delta_{\mathcal{R}}(k_0)/10^{-9} &= 2.12643_{-0.03443}^{+0.06457}, \\ n_s &= 0.97050_{-0.00040}^{+0.00022}, & \text{for right upper panel,} \\ \Delta_{\mathcal{R}}(k_0)/10^{-9} &= 2.16809_{-0.07609}^{+0.02291}, \\ n_s &= 0.96821_{-0.00014}^{+0.00049}, & \text{for right lower panel,} \\ \Delta_{\mathcal{R}}(k_0)/10^{-9} &= 2.12745_{-0.03545}^{+0.06355}, \\ n_s &= 0.96639_{-0.00041}^{+0.00024}, & \text{for left lower panel,} \end{aligned} \quad (76)$$

where an assumption of $m_T \leq 10^{17}$ GeV is considered for the case of the left upper panel. Note that the high accuracies in Eq. (76) are due to the fact that the slow-roll parameter η given in Eq. (70) governing the spectral index n_s is very sensitive to values of the parameter $|\tilde{g}_7|$. As shown in Table I, the number of e -foldings in Eq. (73) depends on the reheating temperature, which in turn depends on the decay rate of the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ into relativistic particles. In the following section, we will see how the amount of reheating, T_{reh} , could be strongly correlated with both baryogenesis via leptogenesis and the yield of gravitinos.

IV. LEPTOGENESIS

Let us discuss how the matter-antimatter asymmetry of the Universe could be realized in the context of the present model. To account for a successful leptogenesis, we introduce the AD mechanism for baryogenesis [10] and

its subsequent leptonic version, called AD leptogenesis [11]. In the global SUSY limit, i.e., $M_P \rightarrow \infty$, as well as in the energy scale where $A_4 \times U(1)_X$ is broken (see Ref. [3]), some combinations of scalar fields do not enter the potential, composing flat directions of the scalar potential. So, taking the flat directions $H_u = L_i = \zeta_i/\sqrt{2}$ (a generation index $i = 1, 2, 3$), then the AD flat directions for leptogenesis [11] are $\zeta_i = (2\tilde{L}_i H_u)^{1/2}$, where \tilde{L}_i are scalar components of the chiral multiplets L_i of $SU(2)_L$ -doublet leptons. After integrating out the heavy Majorana neutrinos, N_R , the effective operator is induced at low energies,

$$W_{\text{eff}} \supset \frac{1}{2\mathcal{M}_i} (\tilde{L}_i H_u)^2, \quad \text{with } \mathcal{M}_i \equiv \frac{v_u^2}{(\hat{M}_{\nu\nu})_i}, \quad (77)$$

where $(\hat{M}_{\nu\nu})_i = (U_{\text{PMNS}}^T M_{\nu\nu} U_{\text{PMNS}})_{ii} \simeq \delta_i$ in Eq. (B10). Recalling that the 3×3 mixing matrix $U_L = U_{\text{PMNS}}$ diagonalizing the mass matrix $M_{\nu\nu} = -m_D^T M_R^{-1} m_D$ participates in the charged weak interaction, the active neutrino mixing angles ($\theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP}$) and the pseudo-Dirac mass splittings δ_k responsible for new wavelength oscillations characterized by the Δm_k^2 could be obtained from the mass matrix $M_{\nu\nu}$ formed by seesawing. Then, from Eqs. (B6) and (B7), we obtain the $\mu - \tau$ powered mass matrix as in Refs. [2,43],

$$\begin{aligned} M_{\nu\nu} &= m_0 e^{i\tau} \begin{pmatrix} 1+2F & (1-F)y_2 & (1-F)y_3 \\ (1-F)y_2 & (1+\frac{F+3G}{2})y_2^2 & (1+\frac{F-3G}{2})y_2 y_3 \\ (1-F)y_3 & (1+\frac{F-3G}{2})y_2 y_3 & (1+\frac{F+3G}{2})y_3^2 \end{pmatrix} \\ &= U_{\text{PMNS}}^* \hat{M}_{\nu\nu} U_{\text{PMNS}}^\dagger, \end{aligned} \quad (78)$$

where

$$\begin{aligned} m_0 &\equiv \left| \frac{\hat{y}_1^2 v_u^2}{3M} \right| \left(\frac{v_T}{\sqrt{2}\Lambda} \right)^2 \left(\frac{v_\Psi}{\sqrt{2}\Lambda} \right)^{18}, \\ F &= (\tilde{\kappa} e^{i\phi} + 1)^{-1}, \quad G = (\tilde{\kappa} e^{i\phi} - 1)^{-1}. \end{aligned} \quad (79)$$

In the limit $y_1^\nu = y_2^\nu = y_3^\nu$ ($y_2, y_3 \rightarrow 1$), the mass matrix (78) gives the tribimaximal mixing (TBM) angles [44] and their corresponding mass eigenvalues $|\delta_k|$:

$$\begin{aligned} \sin^2 \theta_{12} &= \frac{1}{3}, \quad \sin^2 \theta_{23} = \frac{1}{2}, \quad \sin \theta_{13} = 0, \\ |\delta_1| &= \frac{\Delta m_1^2}{2m_1} = 3m_0 |F|, \quad |\delta_2| = \frac{\Delta m_2^2}{2m_2} = 3m_0, \\ |\delta_3| &= \frac{\Delta m_3^2}{2m_3} = 3m_0 |G|. \end{aligned} \quad (80)$$

These $|\delta_k|$ are disconnected from the TBM mixing angles. It is in general expected that deviations of y_2, y_3 from unity, leading to the nonzero reactor mixing angle [45,46], i.e., $\theta_{13} \simeq 8.5^\circ$ at 1σ best fit [47], and in turn opening

the possibility of searching for CP violation in neutrino oscillation experiments. These deviations generate relations between mixing angles and eigenvalues $|\delta_k|$. Therefore, Eq. (78) directly indicates that there could be deviations from the exact TBM if the Dirac neutrino Yukawa couplings in m_D of Eq. (B6) do not have the same magnitude, and the pseudo-Dirac mass splittings are all of the same order

$$|\delta_1| \simeq |\delta_2| \simeq |\delta_3| \simeq \mathcal{O}(m_0). \quad (81)$$

As shown in Ref. [3] by numerical analysis, together with well-fitted θ_{12} and θ_{13} , the values of the atmospheric (θ_{23}) and Dirac CP phase (δ_{CP}) have a remarkable coincidence with the recent data by the NO ν A [48] and/or T2K [49] experiments. From the overall scale of the mass matrix in Eq. (79), the pseudo-Dirac mass splitting, δ_2 , is expected to be

$$|\delta_2| \simeq 2.94 \times 10^{-11} \left(\frac{4.24 \times 10^9 \text{ GeV}}{M} \right) \left| \hat{y}_1^\nu \frac{v_T}{\sqrt{2}\Lambda} \right|^2 \sin^2 \beta \text{eV}, \quad (82)$$

in which the scale of the heavy neutrino, M , can be estimated from Eq. (B8) through the astrophysical constraints as $M = |\hat{y}_\Theta| \times 2.75_{-1.25}^{+1.50} \times 10^9 \text{ GeV}$, which is connected to the PQ symmetry-breaking scale via the axion decay constant in Ref. [3]. Equation (82) shows that the value of δ_2 depends on the magnitude $\hat{y}_1^\nu v_T/\Lambda$ since M is constrained by the axion decay constraints; the smaller the ratio v_T/Λ , the smaller $|\delta_k|$, responsible for the pseudo-Dirac mass splittings, becomes.¹² However, the value of $|\delta_k|$ is constrained from Eq. (B12); for example, using $\tan \beta = 2$ and $v_T/\Lambda \simeq \lambda^2/\sqrt{2}$, we obtain

$$|\delta_2| \simeq 1.50 \times 10^{-14} |\hat{y}_1^\nu|^2 \text{eV}. \quad (83)$$

Since the potential is (almost) flat in these directions ζ_i , they have large initial VEVs in the early Universe; see Eq. (88). Such flat directions are lifted by some effective operators in a later epoch, receiving soft masses in the SUSY-breaking vacuum. Then, the potential of the flat directions, ζ_i , is directly written as

$$V_0(\zeta_i) = m_{\zeta_i}^2 |\zeta_i|^2 + \frac{m_{3/2}}{8\mathcal{M}_i} (a_m \zeta_i^4 + \text{H.c.}) + \frac{|\zeta_i|^6}{4\mathcal{M}_i^2}. \quad (84)$$

Here, in the mass terms $m_{\zeta_i}^2$, we have included soft scalar masses generated by the F -term SUSY breaking, that is, the

contribution from the effective μ term, $W \supset \mu_{\text{eff}} H_u H_d$, which gives mass terms $\mu_{\text{eff}}^2 |\zeta_i|^2/2$. Since our model lies in the gravity-mediated SUSY-breaking mechanism, it is expected that $m_{\zeta_i} \sim m_{3/2}$ and $|a_m| \sim \mathcal{O}(1)$ in the A term.¹³ The potential for ζ_i in Eq. (84) is D flat, $|\zeta_i| = 0$, and also F flat in the limit of δ_i (or Δm_i^2) $\rightarrow 0$. So, the AD fields ζ_i can develop large VEVs during inflation. As discussed before, during inflation, the energy density of the Universe is dominated by the inflaton Ψ_0 , that is, $V_0(t_I) = 3H_I^2 M_P^2$. The potential for the D -flat direction is generated from the coupling between the AD fields ζ_i and the inflaton Ψ_0 , which generically takes the form

$$K \supset K_{\text{AD}} = |\Psi_0|^2 + |\zeta_i|^2 + \left(k_{\zeta_i} \frac{|\Psi_0|^2}{M_P} \zeta_i + \text{H.c.} \right) + \gamma_{\zeta_i} \frac{|\Psi_0|^2 |\zeta_i|^2}{M_P^2} + \dots, \quad (85)$$

where k_{ζ_i} and γ_{ζ_i} are complex and real constants, respectively, and the dots represent higher-order terms which are irrelevant for our discussion. Then, due to the finite energy density of the inflaton Ψ_0 during inflation, the AD fields ζ_i receive additional SUSY-breaking effects. And such a SUGRA contribution reads

$$V_{\text{sugra}}(\zeta_i) = -\tilde{c}_H H_I^2 |\zeta_i|^2 + \frac{H_I}{8\mathcal{M}_i} (a_H \zeta_i^4 + \text{H.c.}). \quad (86)$$

Here, by taking $\tilde{c}_H > 0$ with \tilde{c}_H being of order unity, we assume that the AD fields ζ_i can obtain negative Hubble-induced mass terms. From Eqs. (84) and (86), the total effective potential for the AD fields ζ_i relevant to the leptogenesis reads

$$V(\zeta_i) = V_0(\zeta_i) + V_{\text{sugra}}(\zeta_i). \quad (87)$$

Then, the minima of the potential are given by

$$\langle |\zeta_i| \rangle \simeq \left(\frac{4}{3} \tilde{c}_H \right)^{\frac{1}{4}} \left(\frac{m_i}{\Delta m_i^2} H_I v^2 \sin^2 \beta \right)^{\frac{1}{2}} \lesssim M_P, \quad (88)$$

and $\arg(a_H) + 4 \arg(\zeta_i) \simeq \pi(2n+1)/2$ with $n = 0, 1$, in which we have used $m_{\zeta_i}, m_{3/2}|a_m| \ll H_I$. The AD fields ζ_i at the origin are unstable due to the negative Hubble mass terms in Eq. (86) and so roll down toward their global SUSY minima of the potential in Eq. (87) during inflation. Thus, the AD fields ζ_i have large scales of approximately $\sqrt{v_u^2 H_I/|\delta_i|} \lesssim M_P$ in Eq. (88) during inflation. This is

¹²Moreover, the overall scale of the heavy neutrino mass M is closely related to a successful leptogenesis (see the details in Sec. IV), constraints of the mass-squared differences in Eq. (B11), and the Cabbibo-Kobayashi-Maskawa mixing parameters; therefore, it is very important to fit the parameters v_T/Λ and M .

¹³In the context of Kallosh-Linde-type models, the dominant contributions to the A term arise from loop corrections [50] because at tree level A terms are strongly suppressed by $m_{3/2}/m_T$; hence, one needs a relatively large $\mathcal{O}(100)$ TeV gravitino mass in order to get properly large A terms [51].

compatible with the fact that the Planck scale, M_P , sets the Universe's minimum limit, beyond which the laws of physics break. If we set the initial minima of the AD fields to the (almost) Planck scale, the ratios $m_i/\Delta m_i^2$ responsible for the neutrino mass splittings δ_i (relevant to the low-energy neutrino oscillation as well as the high-energy neutrino at the IceCube telescope) could be restricted as

$$\frac{1}{\delta_i} = \frac{2m_i}{\Delta m_i^2} \lesssim \frac{M_P^2}{H_I v^2 \sin^2 \beta} \left(\frac{3}{\tilde{c}_H} \right)^{1/2}. \quad (89)$$

Using $H_I \simeq 10^{10}$ GeV, $v = 246$ GeV, $\sin \beta \simeq 1$, and $1/\sqrt{10} \lesssim \tilde{c}_H \lesssim \sqrt{10}$, a lower bound can be roughly estimated as

$$\delta_i \gtrsim (2 - 5) \times 10^{-14} \text{ eV}, \quad (90)$$

which is very compatible with the constraints from the neutrino data in Eq. (B12) as well as a successful leptogenesis in Eq. (98).

After inflation ends, the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ [see Eqs. (38) and (59)] begin to oscillate around their VEVs, $\langle \tilde{\Psi} \rangle = \mu_\Psi$ and $\langle \Psi_0 \rangle \simeq 0$ [the VEV of Ψ_0 deviates from zero because of the supergravity effect: $\langle \Psi_0 \rangle \sim m_{3/2}/|\tilde{g}_7|$ at the true minimum [see Eq. (65)], and their decays produce a dilute thermal plasma formed by collisions of relativistic decay products. Since the energy density of the Universe is still dominated by the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ during the inflaton and waterfall field oscillations epoch, the AD fields potential is still governed by the Hubble-induced mass terms in Eq. (86) together with $V_0(\zeta_i)$ in Eq. (84) at the first stage of oscillation. Thus, the AD fields ζ_i are trapped in the minima determined mainly by the Hubble A term as in Eq. (88) because the curvatures around the minima along both the radial and angular directions are of the order of H_I also in this period. However, after inflation, the values of ζ_i in Eq. (88) gradually decrease to the order of ζ_i masses as the Hubble parameter $H(T)$ decreases; then, the negative Hubble-induced mass terms are eventually exceeded by the Hubble parameter, i.e., $\tilde{c}_H H(T)^2 \lesssim m_{\zeta_i}^2$ in the potential (87). And the AD fields begin to oscillate around the potential minima $\langle \zeta_i \rangle \simeq 0$ (actually, m_{ζ_i}) with $H(T) = H_{\text{osc}}$ when the Hubble parameter $H(T)$ of the Universe becomes comparable to the SUSY-breaking mass m_{ζ_i} . (Hereafter, ‘‘osc’’ labels the epoch when the coherent oscillations commence.) Then, the interactions of dimension-5 operators create lepton number.

Now, we see how the lepton number is created. At the beginning of the oscillation, the AD fields have the initial values

$$|\zeta_i(t_{\text{osc}})| \simeq \left(\frac{4}{3} \tilde{c}_H \right)^{1/4} \left(\frac{m_{\zeta_i} m_i}{\Delta m_i^2} v^2 \sin^2 \beta \right)^{1/2} \ll M_P, \quad (91)$$

in which $m_{\zeta_i} \simeq H_{\text{osc}}$ is used. The evolution of the AD fields ζ_i after $H \simeq H_{\text{osc}}$ is described in a Friedmann-Robertson-Walker universe by the equation of motion with the potential $V(\zeta_i)$ as

$$\ddot{\zeta}_i + 3H(T)\dot{\zeta}_i + \frac{\partial V(\zeta_i)}{\partial \zeta_i^*} \simeq 0, \quad (92)$$

where $H(T) = (\pi^2 g_*(T)/90M_P^2)^{1/2} T^2 \approx 1.66 \sqrt{8\pi g_*(T)} T^2 / M_P$ is the Hubble rate for a radiation-dominated era with the total number of effective d.o.f. $g_*(T)$ at a temperature T [52], $\partial V(\zeta_i)/\partial \zeta_i^* \simeq m_{\zeta_i}^2 \zeta_i$, and a dot indicates the time derivative. It is clear that the AD fields ζ_i oscillate around the origin ($\langle \zeta_i \rangle \simeq 0$, the VEVs of ζ_i deviate from zero due to the SUGRA effect) and the amplitude of the oscillation damps as $|\zeta_i| \propto H \propto t^{-1}$.

Since the AD fields ζ_i carry lepton number, the baryon number asymmetry will be created during coherent oscillation of the AD fields. The number density of the AD fields is related to the lepton number density n_{L_i} as $n_{L_i} = \frac{i}{2} (\frac{\partial \zeta_i^*}{\partial t} \zeta_i - \zeta_i^* \frac{\partial \zeta_i}{\partial t})$; then, from Eq. (92), the evolution of n_{L_i} is given by

$$\frac{\partial n_{L_i}}{\partial t} + 3Hn_{L_i} - \frac{m_{3/2}}{2\mathcal{M}_i} \text{Im}(a_m \zeta_i^4) - \frac{H}{2\mathcal{M}_i} \text{Im}(a_H \zeta_i^4) \simeq 0. \quad (93)$$

Since the Hubble parameter $H(T)$ decreases as the temperature decreases, the relative phase between a_m and a_H changes with time when the AD fields ζ_i trace the valleys determined mainly by the Hubble A term.¹⁴ And during their rolling towards the true minima, the contribution of $\text{Im}(a_H \zeta_i^4)$ is suppressed compared with $\text{Im}(a_m \zeta_i^4)$. Then, the motion of ζ_i in the angular direction generating lepton number is expressed as

$$\frac{\partial n_{L_i}}{\partial t} + 3Hn_{L_i} \simeq \frac{m_{3/2}}{2\mathcal{M}_i} \text{Im}(a_m \zeta_i^4), \quad (94)$$

where $H = \dot{R}(t)/R(t)$ and $R(t)$ stands for the scale factor of the expansion universe with cosmic time t . The produced lepton number asymmetry at a time t can be obtained by integrating the above equation $\partial(R^3 n_{L_i})/\partial t \simeq \frac{m_{3/2}}{2\mathcal{M}_i} R^3 \text{Im}(a_m \zeta_i^4)$, where $R = R(t)$. After the end of inflation, the inflaton field Ψ_0 and waterfall field $\tilde{\Psi}$ begin to oscillate around the potential minimum such that the Universe is effectively matter dominated, which scales as $R^3 \propto H^{-2} \propto t^2$. And before the beginning of the ζ_i

¹⁴If there are no true minima, i.e., $m_{3/2} = 0$, the AD fields get eternally trapped in the minima (89), and there is no motion of ζ_i changing with time along the angular direction, leading to no lepton number production.

oscillation, due to $|\zeta_i| \propto H^{1/2} \propto t^{-1/2}$, the net lepton number generated keeps constant for the period $t < t_{\text{osc}}$. During the matter-dominated epoch, the Hubble parameter is related to the expansion time by $H_{\text{osc}} = (2/3)t_{\text{osc}}^{-1}$. Then, using Eq. (91), the generated lepton number at this stage ($t = t_{\text{osc}}$) is given approximately by

$$n_{L_i}(t_{\text{osc}}) \simeq \frac{\tilde{c}_H m_i v^2 \sin^2 \beta}{9 \Delta m_i^2} (m_{3/2} |a_m|) H_{\text{osc}} \delta_{\text{eff}}, \quad (95)$$

where $\delta_{\text{eff}} \simeq \sin(4 \arg \zeta_i + \arg a_m)$ represents an effective CP -violating phase. It is expected that the production of net lepton asymmetry occurs before the reheating process is completed, i.e., $\Gamma_{\text{all}} = \Gamma_{\Psi_0} + \Gamma_{\tilde{\Psi}} < H_{\text{osc}}$, cf. Eq. (108); the production of lepton number is strongly suppressed after the AD fields ζ_i start their oscillations because $\text{Im}(a_m \zeta_i^4)$ change their sign rapidly due to the oscillation of ζ_i , and the amplitude of ζ_i oscillation is damped with expansion [see below Eq. (92)]. Thus, after inflation, $R^3 n_{L_i}|_{t=t_{\text{osc}}} = R^3 n_{L_i}|_{t=t_R} \sim n_{L_i}(t_R)/\rho_{\text{rad}}(t_R)$ stays constant until the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ decay into light particles. Here, $\rho_{\text{rad}}(t_R) = 3M_{\text{pl}}^2 \Gamma_{\text{all}}^2$ is the energy density of the inflaton. Then, the generated lepton number when the reheating process is completed ($t = t_R, H \simeq \Gamma_{\text{all}}$) is given by

$$n_{L_i}(t_R) = n_{L_i}(t_{\text{osc}}) \left(\frac{\Gamma_{\text{all}}}{H_{\text{osc}}} \right)^2. \quad (96)$$

The inflaton decays reheats the Universe, producing entropy s of radiation such that $\rho_{\text{rad}}(t_R) = 3T_{\text{reh}} s(t_R)/4$. Then, the lepton number asymmetry is approximately expressed as

$$\frac{n_{L_i}(t_R)}{s} = \frac{\tilde{c}_H m_i v^2 \sin^2 \beta}{36 M_{\text{pl}}^2 \Delta m_i^2} T_{\text{reh}} \left(\frac{m_{3/2} |a_m|}{H_{\text{osc}}} \right) \delta_{\text{eff}} \quad (97)$$

when the reheating process of the inflaton is completed. Later, we will discuss the reheating temperature (see Sec. IV B) and its related gravitino problem (see Sec. IV A). Recall that the H_{osc} depends on \mathcal{M}_i as $H_{\text{osc}} \simeq m_{\zeta_i}$. Since \mathcal{M}_i is directly related to the pseudo-Dirac mass splittings δ_i as $\mathcal{M}_i = \langle H_u \rangle^2 / \delta_i$ in Eq. (B10) in addition to $\mathcal{O}(\delta_1) \simeq \mathcal{O}(\delta_2) \simeq \mathcal{O}(\delta_3) = \mathcal{O}(m_0)$ in Eq. (81), there are three flat directions corresponding to the almost degenerate neutrino pairs, i.e., the three generation AD fields $\zeta_i / \sqrt{2} = \tilde{L}_i = H_u$ with $i = 1, 2, 3$. The lepton asymmetries in Eq. (97) are converted into the baryon asymmetry through nonperturbative sphaleron processes. We are in the energy scale in which $A_4 \times U(1)_X \times \text{SUSY}$ is broken but the SM gauge group remains unbroken. So, the baryon number produced is thermalized in a hot plasma into real baryons at a relatively low temperature. Therefore, the present baryon asymmetry can be expressed by

$$\begin{aligned} \frac{n_B}{s} &\simeq 0.35 \sum_{i=1,2,3} \frac{n_{L_i}}{s} \\ &\simeq 8.67 \times 10^{-11} \times \frac{\sum_{i=1}^3 \frac{m_i}{\Delta m_i^2}}{1.75 \times 10^{10} \text{ eV}^{-1}} \left(\frac{T_{\text{reh}}}{10^3 \text{ TeV}} \right) \\ &\quad \times \left(\frac{\delta_{\text{eff}}}{0.1} \right) \left(\frac{\tilde{c}_H}{0.5} \right) \left(\frac{m_{3/2} |a_m|}{H_{\text{osc}}} \right), \end{aligned} \quad (98)$$

where n_B is the baryon number density and s is the entropy density, and we have used $\sin \beta \simeq 1$. Considering $1/\sqrt{10} \lesssim |a_m|$, $\tilde{c}_H \lesssim \sqrt{10}$ (being order of unity) and $H_{\text{osc}} \simeq m_{3/2} \simeq m_{\zeta_i}$ ¹⁵ and, for convenience, defining $x_{\text{reh}} \equiv (m_{3/2} |a_m| / H_{\text{osc}}) \delta_{\text{eff}} \tilde{c}_H$, the resultant baryon asymmetry only depends on the neutrino parameters m_i and Δm_i^2 , T_{reh} , and x_{reh} . Once the values of T_{reh} and x_{reh} are fixed, quantitatively, the value of the BAU is inferred from the two observations, m_i ($\simeq m_{\nu_i}$) and Δm_i^2 , independently: from Eqs. (B12), (B13), and (89), the following quantity could be extracted as

$$\begin{aligned} 10^{10} \text{ eV}^{-1} &\lesssim \sum_i \frac{m_{\nu_i}}{\Delta m_i^2} = \frac{1}{2} \left(\frac{1}{\delta_1} + \frac{1}{\delta_2} + \frac{1}{\delta_3} \right) \\ &\lesssim 5 \times 10^{13} \text{ eV}^{-1}, \end{aligned} \quad (99)$$

in which the upper bound is derived from an initial condition of the AD fields in Eq. (89); the lower bound comes from the neutrino data in Eqs. (B12) and (B13). In terms of $Y_{\Delta B} \equiv (n_B - n_{\bar{B}})/s|_{\text{today}}$ (which is conserved throughout the thermal evolution of the Universe), the BBN results [53] and the CMB measurement [9] read at 95% C.L.

$$\begin{aligned} Y_{\Delta B}^{\text{BBN}} &= (8.10 \pm 0.85) \times 10^{-11}, \\ Y_{\Delta B}^{\text{CMB}} &= (8.67 \pm 0.05) \times 10^{-11}. \end{aligned} \quad (100)$$

As shown in Fig. 2, taking into account $\delta_{\text{eff}} \geq 0.01$ [see below Eq. (95)], $1/\sqrt{10} \lesssim \tilde{c}_H$, $|a_m| \lesssim \sqrt{10}$ [see below Eqs. (86) and (84)], and $10^{10} \text{ eV}^{-1} \lesssim \sum_i \frac{m_{\nu_i}}{\Delta m_i^2} \lesssim 5 \times 10^{13} \text{ eV}^{-1}$ in Eq. (99), for the baryon asymmetry in Eq. (98) to satisfy the BBN results and CMB measurement, a range of plausible reheating temperature could be obtained as

$$\mathcal{O}(100) \text{ GeV} \lesssim T_{\text{reh}} \lesssim 3 \times 10^3 \text{ TeV}, \quad (101)$$

where the lower bound is due to the electroweak scale. Later, we will show that the bound of Eq. (101) could be consistent with the bound from Eq. (130).

¹⁵Recall that our scenario lies in the gravity-mediated SUSY-breaking mechanism; see below Eq. (84).

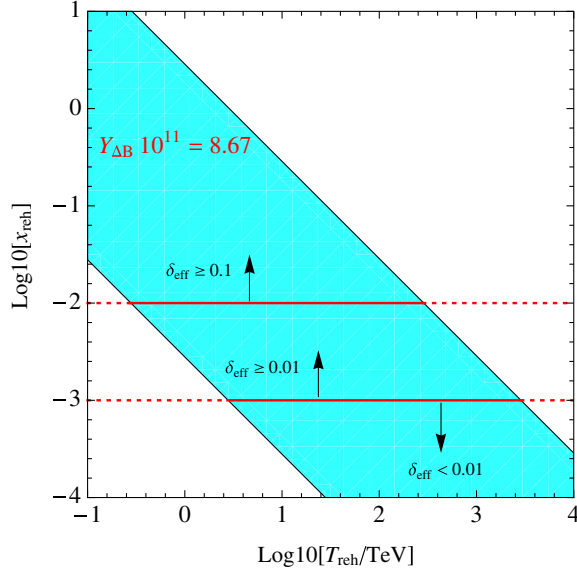


FIG. 2. Region plot for the successful leptogenesis $Y_{\Delta_B} = 8.67 \times 10^{-11}$ (cyan region) as a function of $T_{\text{reh}}/\text{TeV}$ and $x_{\text{reh}} \equiv (m_{3/2}|a_m|/H_{\text{osc}})\delta_{\text{eff}}\tilde{c}_H$, where the regions $10^{10} \text{ eV}^{-1} \lesssim \sum_i \frac{m_{\nu_i}}{\Delta m_i^2} \lesssim 5 \times 10^{13} \text{ eV}^{-1}$ in Eq. (99) are used. Especially, for the case of $m_{3/2} \simeq H_{\text{osc}}$, $1/\sqrt{10} \lesssim \tilde{c}_H$, $|a_m| \lesssim \sqrt{10}$, and $\delta_{\text{eff}} \leq 1$, the horizontal line represents a lower bound of x_{reh} .

A. Gravitino production

It is well known that thermal leptogenesis in the supersymmetric framework, which is one of the attractive mechanisms for the origin of matter, requires a large reheating temperature in the early Universe, $T_{\text{reh}} \sim M_1 > 10^9 \text{ GeV}$, where M_1 is a lightest heavy neutrino mass. The gravitino, which appears in all models with local supersymmetry, is the superpartner of the graviton. Gravitino is produced thermally [54] or nonthermally [55–59] in the cosmological history. The excessive production of gravitinos in the early Universe may destroy the nucleosynthesis of the light elements for unstable gravitinos or overclose the Universe for the stable gravitinos [60]. Since the gravitino is present in the supersymmetric model, we are going to address the (unstable) gravitino overabundance problem.

As mentioned in Sec. II, there are two secluded SUSY-breaking sectors, i.e., $\text{SUSY} = \text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$. Gravitational interactions explicitly break the SUSY down to *true* $\text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$, where SUSY_{inf} corresponds to the genuine SUGRA symmetry, while the orthogonal SUSY_{vis} is approximate global symmetry. In each sector, spontaneous breakdown of the F term occurs at a scale F_i ($i = \text{inf}, \text{vis}$) independently, producing a corresponding Goldstino. Hence, in the presence of SUGRA, the SUSY_{inf} is gauged, and thus its corresponding Goldstino is eaten by the gravitino via the super-Higgs mechanism, leaving behind the approximate global symmetry SUSY_{vis} , which is

explicitly broken by SUGRA and thus its corresponding uneaten Goldstino as a propagating d.o.f.

During inflation and the beginning of reheating (preheating) when SUSY is spontaneously broken, there are possible productions of fermionic quanta which are strongly coupled to the inflaton field. During this stage, the SUSY_{inf} is mainly broken by the inflaton, implying that the Goldstino produced is mainly the inflatino (instead of the gravitino in the low energy); the gravitino produced nonthermally¹⁶ is effectively massless as long as the Hubble parameter is larger than the gravitino mass, $H > m_{3/2}$ [58]. However, this correspondence does not necessarily hold at late times, since the SUSY_{vis} is broken by other fields in the true vacuum.

After the inflation ends, the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ release their energy into a thermal plasma by the decays, and the Universe is reheated. Since all the particles including photons and baryons in the present Universe are ultimately originated from the decays, it is crucial to reveal how the reheating proceeds. In SUGRA framework, with the linear Kahler potential in Eq. (8), the inflaton field Ψ_0 has a nonvanishing auxiliary field G_{Ψ_0} . Such a nonvanishing auxiliary field allows the inflaton decay into a pair of the gravitinos, the decay process of which is crucial in the reheating process [56]. The constraint on the inflaton potential G_{Ψ_0} depending on the gravitino mass must be satisfied to avoid an overproduction of the gravitino keeping the success of the standard cosmology. In the unitary gauge in the Einstein frame, the Goldstino (the longitudinal component of the gravitino) can be gauged away through the super-Higgs mechanism, leading to the vanishing of the gravitino-Goldstino mixing. Then, the relevant interactions for the inflaton decay into a pair of gravitinos reads [38]

$$-e^{-1}\mathcal{L} = \frac{1}{8}e^{\mu\nu\rho\sigma}(G_{\Psi_0}\partial_\rho\Psi_0 - G_{\tilde{\Psi}_0}\partial_\rho\Psi_0^*)\tilde{\psi}_\mu\gamma_\nu\psi_\sigma + \frac{e^{G/2}}{8}M_P(G_{\Psi_0}\Psi_0 + G_{\tilde{\Psi}_0}\Psi_0^*)\tilde{\psi}_\mu[\gamma^\mu, \gamma^\nu]\psi_\nu, \quad (102)$$

where ψ_μ is the gravitino field. The real and imaginary components of the inflaton field have the same decay rate at leading order [57],

$$\Gamma_{3/2} \equiv \Gamma(\Psi_0 \rightarrow \psi_{3/2} + \psi_{3/2}) \simeq \frac{1}{288\pi} \frac{M_P^2}{K_{\Psi_0\tilde{\Psi}_0}} |\langle G_{\Psi_0} \rangle|^2 \left(\frac{m_{\Psi_0}}{M_P}\right)^2 \left(\frac{m_{\Psi_0}}{m_{3/2}}\right)^2 m_{\Psi_0}, \quad (103)$$

¹⁶The inflatinos produced during inflation and preheating may be partially converted to the gravitinos in the low energy, since G_{Ψ_0} is generically nonzero in the true minimum [61]. At this stage, since the inflationary sector and the sector responsible for the low-energy effective SUSY breaking are distinct, the gravitinos generated nonthermally are produced with a sufficiently low abundance.

in the limit of $m_{\Psi_0} \gg m_{3/2}$ after canonical normalization $\hat{\Psi}_0 = \sqrt{K_{\Psi_0 \bar{\Psi}_0}} \Psi_0$. The decay rate is enhanced by the gravitino mass in the denominator, which comes from the Goldstino (mainly as the inflatino) in the massless limit. The decay into the gravitinos only proceeds at the stage $H < m_{3/2}$, when the SUSY-breaking contribution of the inflaton is subdominant [56]. Thus, the gravitinos produced at the reheating epoch by the inflaton decay through the interaction (102) should coincide with those in the low energy.

Now, we estimate how much the gravitinos are produced at the reheating epoch. After the inflation ends, both the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ oscillate around the potential minimum and dominate the Universe until the reheating. Using $|G_{\Psi_0}| \lesssim |\Psi_0|/M_P^2$, one obtains $W_{\Psi_0}/W \simeq \Psi_0/M_P^2$. Inserting $G_{\Psi_0 \Psi_0} = -W_{\tilde{\Psi}_0}^2/W^2$, $G_{\Psi \Psi_0} \simeq -\Psi W_{\Psi_0}/(WM_P^2) \pm \tilde{g}_7 \tilde{\Psi}/(m_{3/2} M_P^2)$, and $G_{z \Psi_0} \simeq \sqrt{3} W_{\Psi_0}/(WM_P)$ into Eqs. (62) and (63), we obtain

$$\langle G_{\Psi_0} \rangle \sim \frac{3 \langle \Psi_0 \rangle}{M_P^2} \simeq 3 \frac{m_{3/2}}{|\tilde{g}_7| M_P^2}, \quad \langle G_{\Psi} \rangle \sim \frac{3 m_{3/2}^2 \langle \Psi \rangle}{2 |\tilde{g}_7|^2 M_P^4}, \quad (104)$$

which indicates $\langle G_{\Psi_0} \rangle$ is much larger than $\langle G_{\Psi} \rangle$. Then, from Eqs. (103) and (64), the inflaton decay width is roughly given by

$$\Gamma_{3/2} \simeq \frac{1}{32\pi} \left(\frac{m_{\Psi_0}}{M_P} \right)^4 \left(\frac{\mu_{\Psi}(t_I)}{M_P} \right)^2 m_{\Psi_0}. \quad (105)$$

At the reheating epoch, gravitinos are produced by the nonthermal inflaton decay process ($Y_{3/2}^{\Psi_0}$, the yield of the gravitinos by the inflaton decay) as well as by the thermal scattering ($Y_{3/2}^{\text{th}}$, the yield of the gravitinos produced by thermal scatterings); the ratio of gravitino-to-entropy density is given by $Y_{3/2} = Y_{3/2}^{\Psi_0} + Y_{3/2}^{\text{th}}$, which remains constant as the Universe expands as long as there is no additional entropy production. Gravitinos¹⁷ thermally produced in the early Universe, predominantly via $2 \rightarrow 2$ inelastic scatterings of gluons and gluinos by the QCD process, have a potential problem for the thermal history of the Universe. However, since their relic density, $\Omega_{3/2}^{\text{th}} h^2$, and contribution to the energy density, $Y_{3/2}^{\text{th}}$, grow with the reheating temperature after inflation, the yield of the gravitinos thermally produced is estimated as $Y_{3/2}^{\text{th}} \sim 10^{-16} (T_{\text{reh}}/10^3 \text{ TeV})$ [54,63], which is harmless with the gravitino mass $m_{3/2} \sim 100 \text{ TeV}$ in Eq. (27) with the reheating temperature satisfying the successful

leptogenesis in Eq. (101). On the other hand, the gravitino yield produced by the inflaton decay process $\Psi_0 \rightarrow \Psi_{3/2} + \Psi_{3/2}$ via the interaction (102) is

$$Y_{3/2}^{\Psi_0} \equiv \frac{n_{3/2}^{\Psi_0}}{s} \simeq 2 \frac{\Gamma_{3/2}}{\Gamma_{\Psi_0}} \frac{3 T_{\text{reh}}}{4 m_{\Psi_0}}, \quad (106)$$

where $n_{3/2}^{\Psi_0}$ is the number density of gravitinos by the inflaton decay and $s = (2\pi^2/45) g_{*s}(T) T^3$ is the entropy density with $g_{*s}(T)$ being the effective number of the massless d.o.f. at the temperature T .

The gravitino yield is severely constrained by BBN, $Y_{3/2} < Y_{3/2}^{\text{BBN}}$, in order to keep the success of the standard scenario of BBN [62]. Otherwise, the decay products of the gravitino would change the abundances of primordial light elements too much and consequently conflict with the observational data. Reference [64,65] shows that, when the hadronic branching ratio of the gravitino decay is of order unity, $Y_{3/2}^{\text{BBN}} \sim 10^{-16}$ for $m_{3/2} \sim 1 \text{ TeV}$ and $Y_{3/2}^{\text{BBN}} \sim 10^{-15-13}$ for $m_{3/2} \sim 10 \text{ TeV}$; for $m_{3/2} \gtrsim 100 \text{ TeV}$, the constraint disappears. On the other hand, in the context of supersymmetric moduli stabilization in which moduli are strongly stabilized, at tree level, the gaugino masses and A terms are strongly suppressed by $m_{3/2}/m_T$ and as such effectively vanish [51], while the dominant contributions to the gaugino masses and A terms arise from loop corrections [50]: $m_{1/2} = b_a g_a^2/(16\pi^2)(F^C/C_0)$ and $A_{ijk} = -(\gamma_{ijk}/16\pi^2)(F^C/C_0)$, where $b_a = 11, 1, -3$ for $a = 1, 2, 3$ are the one-loop beta function coefficients, γ_{ijk} are the anomalous dimensions of the matter fields, and $F^C/C_0 \sim m_{3/2}$. Thus, to have suitably large gaugino masses, relatively large $\mathcal{O}(100) \text{ TeV}$ gravitino masses must be considered [51].

B. Reheating temperature

To estimate $Y_{3/2}^{\Psi_0}$, we have to calculate the decay width of the inflaton and waterfall fields, Γ_{all} , at the reheating epoch.

Since inflation leaves the early Universe cold and empty, the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ in which all energy resides in must transfer their energy to a radiation-dominated plasma in local thermodynamic equilibrium at a temperature sufficient to allow standard nucleosynthesis $T_{\text{reh}} > T(\text{BBN})$. So, the Universe must be reheated after inflation. The energy of the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ is transferred to the SM sector through their gravitational and/or nongravitational decays once their fields acquire finite VEVs, which in turn produce SM matter. Their decay products thermalize.

We are in the case in which the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ dominate the energy of the Universe when they decay. The reheating temperature T_{reh} resulting from the

¹⁷The production of gravitinos after inflation has been studied in some detail [62].

perturbative decays of the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ ¹⁸ may be estimated by using the relation

$$\Gamma_{\text{all}} = 3H(T_{\text{reh}}) \quad (107)$$

at the end of the reheating process, where the Hubble parameter $H(T)$ is given in the radiation-dominated era of the Universe. Inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ decays reheat the Universe, when $\Gamma_{\text{all}} \gtrsim 3H(T_{\text{reh}})$,

$$T_{\text{reh}} = \left(\frac{10}{\pi^2 g_*} \right)^{1/4} \sqrt{\Gamma_{\text{all}} M_P}, \quad \text{with} \\ \Gamma_{\text{all}} = \Gamma_{\Psi_0}^{\text{sugra}} + \Gamma_{\tilde{\Psi}}^{\text{sugra}} + \Gamma_{\Psi_0}^{\text{vis}} + \Gamma_{\tilde{\Psi}}^{\text{vis}}, \quad (108)$$

where $g_*(T)$ is the number of the relativistic d.o.f. in the plasma¹⁹ and $\Gamma_{\Psi_0}^{\text{sugra}} + \Gamma_{\tilde{\Psi}}^{\text{sugra}}$ and $\Gamma_{\Psi_0}^{\text{vis}} + \Gamma_{\tilde{\Psi}}^{\text{vis}}$ stand for gravitational and nongravitational decay widths, respectively.

As in Ref. [3], in the supersymmetric visible sector, the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ couple to the SM particles via the following interactions dominantly,

$$W \supset g_{\Psi_0} \Psi_0 H_u H_d + \hat{y}_c \left(\frac{\tilde{\Psi}}{\Lambda} \right)^2 Q_2 c^c H_u, \quad (109)$$

where g_{Ψ_0} is a real and positive coupling constant, while the hat Yukawa coupling \hat{y}_c is of order unity complex number. Here, Q_2 is the second-generation left-handed quark doublet, which transforms as $\mathbf{1}''$ under A_4 symmetry; the right-handed charm quark $c^c \sim \mathbf{1}'$ under A_4 . The first term is also associated with the μ term since the VEV of Ψ_0 is given by $\langle \Psi_0 \rangle \sim m_{3/2}/|\tilde{g}_7|$. And so, the inflaton with a nonzero VEV can decay into the visible sector through the nongravitational coupling of the inflaton to matter with the decay rate

$$\Gamma_{\Psi_0}^{\text{vis}} = \Gamma(\Psi_0 \rightarrow 2 \text{ Higgsinos}) + \Gamma(\Psi_0 \rightarrow 2 \text{ Higgses}) \\ \simeq 2 \times \frac{|g_{\Psi_0}|^2}{16\pi} m_{\Psi_0}, \quad (110)$$

where the masses of the final states compared to that of the inflaton are neglected. For the second term in Eq. (109),

¹⁸The energy transfer from the inflaton and waterfall field to the SM fields in general proceeds both through nonperturbative effects and perturbative decays [66].

¹⁹We estimate the total number of effectively massless d.o.f. of the radiation, $g_*(T)$, at temperature of the order of the decay rate of the inflaton and waterfall field Γ_{all} ; i.e., there are 17 bosons and 48 Weyl fermions for $T_{\text{EW}} < T < m_{3/2}$: $g_*(T) = \sum_{j=\text{bosons}} g_j (T_j/T)^4 + (7/8) \sum_{j=\text{fermions}} g_j (T_j/T)^4 = 34 + (7/8)96 = 118$, where T_j denotes the effective temperature of any species j .

expanding the waterfall field $\tilde{\Psi}$ and the Higgs field H_u , without loss of generality, as

$$\tilde{\Psi} = \frac{1}{\sqrt{2}} \left(v_{\tilde{\Psi}} + \frac{h_{\tilde{\Psi}}}{\sqrt{2}} - i \frac{\phi_{\tilde{\Psi}}}{\sqrt{2}} \right), \quad H_u = \begin{pmatrix} v_u + \frac{h_u}{\sqrt{2}} \\ 0 \end{pmatrix}, \quad (111)$$

the second term in Eq. (109) is expressed in terms of the Lagrangian form as

$$-\mathcal{L} = \hat{y}_c \left(\frac{v_{\tilde{\Psi}}}{\sqrt{2}\Lambda} \right)^2 v_u \left\{ 1 + \frac{h_u}{\sqrt{2}v_u} + \frac{\sqrt{2}}{v_{\tilde{\Psi}}} (h_{\tilde{\Psi}} - i\phi_{\tilde{\Psi}}) \right\} \bar{c}_L c_R \\ + \text{H.c.} \quad (112)$$

Here, the waterfall field $\tilde{\Psi}$ with a nonzero VEV can decay into the visible sector through the nongravitational coupling of the waterfall field $\tilde{\Psi}$ to matter with the decay rate

$$\Gamma_{\tilde{\Psi}}^{\text{vis}} \simeq \Gamma(\tilde{\Psi} \rightarrow c\bar{c}) \simeq \frac{|\hat{y}_c|^2}{8\pi} \left(\frac{v_{\tilde{\Psi}}}{\sqrt{2}\Lambda} \right)^4 \left(\frac{v_u}{v_{\tilde{\Psi}}} \right)^2 m_{\tilde{\Psi}} \\ = \frac{|g_{\tilde{\Psi}}|^2}{8\pi} m_{\tilde{\Psi}}, \quad (113)$$

where $g_{\tilde{\Psi}} \equiv \hat{y}_c (v_{\tilde{\Psi}}/\sqrt{2}\Lambda)^2 (v_u/v_{\tilde{\Psi}})$, and the mass of the final state compared to that of the waterfall field $\tilde{\Psi}$ is neglected. Using $|\hat{y}_c| \simeq 1$, $v_{\tilde{\Psi}}/\sqrt{2}\Lambda = \lambda/\sqrt{2}$, and $v_u/v_{\tilde{\Psi}} \simeq 10^{-8}$, where $\lambda \approx 0.225$, $\sin \beta \simeq 1$, and $v_{\tilde{\Psi}} \approx 1.7 \times 10^{10}$ GeV [3], we obtain

$$|g_{\tilde{\Psi}}| \simeq 2.5 \times 10^{-10}. \quad (114)$$

Next, we consider the gravitational effects on the reheating temperature. The inflaton Ψ_0 and waterfall field $\tilde{\Psi}$ with nonzero VEVs can also decay into the visible sector through the SUGRA effects [55]. Then, the reheating can be induced by the inflaton and waterfall fields decay through nonrenormalizable interactions. The relevant interactions for the matter-fermion production are provided in the Einstein frame as [38]

$$e^{-1}\mathcal{L} = \frac{i}{2} K_{ij^*} \bar{\chi}^j \gamma^\mu \partial_\mu \chi^i \\ + \frac{i}{8M_P^2} K_{ij^*} (K_\sigma \partial_\mu \phi^\sigma - K_{\sigma^*} \partial_\mu \phi^{*\sigma}) \bar{\chi}^j \gamma^\mu \chi^i \\ - \frac{i}{2M_P} K_{ij^*} \Gamma_{\sigma\rho}^i (\partial^\mu \phi^\sigma) \bar{\chi}^j \gamma^\mu \chi^\rho \\ + \frac{1}{2} e^{K/2M_P^2} (\mathcal{D}_i \mathcal{D}_j W) \chi^i \chi^j + \text{H.c.}, \quad (115)$$

where $\mathcal{D}_i \mathcal{D}_j W = W_{ij} + \frac{K_{ij}}{M_P^2} W + \frac{K_i}{M_P^2} \mathcal{D}_j W + \frac{K_j}{M_P^2} \mathcal{D}_i W - \frac{K_i K_j}{M_P^4} W - \frac{\Gamma_{ij}^k}{M_P} \mathcal{D}_k W$. Here, ϕ^i and χ^i stand for the matter

fields, and ϕ^i collectively denotes on arbitrary fields including the inflaton Ψ_0 and waterfall field $\tilde{\Psi}$. And the matter-scalar production is represented by the kinetic term and the scalar potential

$$-e^{-1}\mathcal{L} = iK_{ij^*}\partial_\mu\phi^i\partial^\mu\phi^{*j} + e^{K/M_P^2}\left\{K^{ij^*}(D_iW)(D_j\bar{W}) - \frac{3}{M_P^2}|W|^2\right\}. \quad (116)$$

In the model superpotential, the supersymmetric visible sector contains the renormalizable interactions

$$W \supset y_t Q_3 t^c H_u + \frac{1}{2} M_R N^c N^c, \quad (117)$$

where the first term is the top quark operator as in Ref. [2], and the second term comes from Eq. (B1) after the $U(1)_X$ is spontaneously broken. First, we consider the partial decay width of the inflaton. The partial decay width of the inflaton through the neutrino Yukawa coupling is [55]

$$\Gamma_{\Psi_0}^{N(\text{sugra})} = \Gamma(\Psi_0 \rightarrow N^c N^c) + \Gamma(\Psi_0 \rightarrow \tilde{N}^c \tilde{N}^c) \simeq 2 \times \frac{c_{\Psi_0}^N}{32\pi} m_{\Psi_0} \left(1 - \frac{4M^2}{m_{\tilde{\Psi}_0}^2}\right)^{1/2}, \quad (118)$$

where $c_{\Psi_0}^N \simeq e^{K/M_P^2} \left| \frac{K_{\Psi_0}}{M_P^2} W_{N^c N^c} - 2\Gamma_{\Psi_0 N^c}^k \frac{W_{N^c k}}{M_P} \right|^2$; (sum over k) and M is the heavy neutrino mass given in Eq. (B8). For the minimal Kahler potential, for simplicity, using Eq. (65), the parameter $c_{\Psi_0}^N$ can be approximately given by

$$c_{\Psi_0}^N \simeq \left(\frac{\langle\Psi_0\rangle}{M_P}\right)^2 \left(\frac{M}{M_P}\right)^2 = \left(\frac{m_{3/2}}{m_{\Psi_0}}\right)^2 \left(\frac{\mu_\Psi(t_I)}{M_P}\right)^2 \left(\frac{M}{M_P}\right)^2, \quad (119)$$

where in the last equality the inflaton mass m_{Ψ_0} in Eq. (39) or Eq. (64) is used. And the partial decay width of the inflaton through the top quark Yukawa coupling is [55]

$$\Gamma_{\Psi_0}^{t(\text{sugra})} = \Gamma(\Psi_0 \rightarrow 3 \text{ scalars}) + \Gamma(\Psi_0 \rightarrow 1 \text{ scalar} + 2 \text{ fermions}) \simeq \frac{c_{\Psi_0}^t}{256\pi^3} \left(\frac{m_{\Psi_0}}{M_P}\right)^2 m_{\Psi_0}, \quad (120)$$

where the masses of the final-state particles are neglected, the additional numerical factor comes from $SU(3) \times SU(2)$, and $c_{\Psi_0}^t \simeq e^{K/M_P^2} \left| \frac{K_{\Psi_0}}{M_P} W_{t^c Q_3 H_u} - 3\Gamma_{\Psi_0 H_u}^\ell W_{t^c Q_3 \ell} \right|^2$ (sum over ℓ). Similarly, the parameter $c_{\Psi_0}^t$ is approximately given by

$$c_{\Psi_0}^t \simeq \left(\frac{\langle\Psi_0\rangle}{M_P}\right)^2 |y_t|^2 = \left(\frac{m_{3/2}}{m_{\Psi_0}}\right)^2 \left(\frac{\mu_\Psi(t_I)}{M_P}\right)^2 |y_t|^2. \quad (121)$$

In addition, the decay rate into the visible sector through the top and neutrino Yukawa couplings is much larger than that into the gluons and gluinos via the anomalies of SUGRA [55]. Then, from Eqs. (118) and (120), the inflaton decay rate through the gravitational coupling of the inflaton to matter is approximately given by

$$\Gamma_{\Psi_0}^{\text{sugra}} \simeq \Gamma_{\Psi_0}^{t(\text{sugra})} + \Gamma_{\Psi_0}^{N(\text{sugra})} \simeq \frac{m_{\Psi_0}}{16\pi} \left(\frac{m_{3/2}}{m_{\Psi_0}}\right)^2 \left(\frac{\mu_\Psi(t_I)}{M_P}\right)^2 \left\{ \frac{2|y_t|^2}{8\pi^2} \left(\frac{m_{\Psi_0}}{M_P}\right)^2 + \left(\frac{M}{M_P}\right)^2 \left(1 - \frac{4M^2}{m_{\tilde{\Psi}_0}^2}\right)^{\frac{1}{2}} \right\}. \quad (122)$$

Second, similar to the above case of the inflaton field, the waterfall field decay rate through the gravitational coupling of the waterfall field to matter is approximately given by

$$\Gamma_{\tilde{\Psi}}^{\text{sugra}} \simeq \Gamma_{\tilde{\Psi}}^{t(\text{sugra})} + \Gamma_{\tilde{\Psi}}^{N(\text{sugra})} \simeq \frac{m_{\tilde{\Psi}}}{16\pi} \left(\frac{\mu_\Psi(t_I)}{M_P}\right)^2 \left\{ \frac{2|y_t|^2}{8\pi^2} \left(\frac{m_{\tilde{\Psi}}}{M_P}\right)^2 + \left(\frac{M}{M_P}\right)^2 \left(1 - \frac{4M^2}{m_{\tilde{\Psi}}^2}\right)^{\frac{1}{2}} \right\}. \quad (123)$$

Then, from Eqs. (122) and (123), the decay rate of inflaton through gravitational effects is much smaller than that of the waterfall field, i.e., $\Gamma_{\tilde{\Psi}}^{\text{sugra}} \gg \Gamma_{\Psi_0}^{\text{sugra}}$, for $m_{\Psi_0} \gg m_{3/2}$. And the waterfall field decay rate through the gravitational coupling of the waterfall field to matter is approximately given by

$$\Gamma_{\tilde{\Psi}}^{\text{sugra}} \simeq \Gamma_{\tilde{\Psi}}^{t(\text{sugra})} + \Gamma_{\tilde{\Psi}}^{N(\text{sugra})} = \frac{|g_{\tilde{\Psi}}^{\text{sugra}}|^2}{8\pi} m_{\tilde{\Psi}}, \quad (124)$$

where

$$g_{\tilde{\Psi}}^{\text{sugra}} \equiv \frac{\mu_\Psi(t_I)}{M_P} \left\{ \frac{|y_t|^2}{8\pi^2} \left(\frac{m_{\tilde{\Psi}}}{M_P}\right)^2 + \frac{1}{2} \left(\frac{M}{M_P}\right)^2 \left(1 - \frac{4M^2}{m_{\tilde{\Psi}}^2}\right)^{\frac{1}{2}} \right\}^{\frac{1}{2}}. \quad (125)$$

Given that $m_{\tilde{\Psi}} \sim 10^{13}$ GeV, $\mu_\Psi(t_I) \sim 10^{16}$ GeV, $M \sim 10^9$ GeV, $y_t \sim 1$, and $m_{3/2} \sim \mathcal{O}(100)$ TeV, we clearly have $\Gamma_{\Psi_0}^{\text{vis}} + \Gamma_{\tilde{\Psi}}^{\text{sugra}} \gg \Gamma_{\Psi_0}^{\text{sugra}} + \Gamma_{\tilde{\Psi}}^{\text{vis}}$ for $g_{\Psi_0} \sim g_{\tilde{\Psi}}^{\text{sugra}}$, and

$$g_{\tilde{\Psi}}^{\text{sugra}} \sim 10^{-9}. \quad (126)$$

Then, the total decay rate of the inflaton and waterfall fields in Eq. (107) is approximately given by

$$\Gamma_{\text{all}} \simeq \Gamma_{\Psi_0}^{\text{vis}} + \Gamma_{\tilde{\Psi}}^{\text{sugra}}, \quad (127)$$

which is much larger than $\Gamma_{3/2}$ in Eq. (105). Putting Eqs. (113) and (124) into Eq. (108), the reheating temperature can be expressed as

$$T_{\text{reh}} \simeq \left(\frac{10}{\pi^2 g_*} \right)^{1/4} \sqrt{m_{\Psi_0} M_P (|g_{\Psi_0}|^2 + |g_{\tilde{\Psi}}^{\text{sugra}}|^2)}, \quad (128)$$

where $m_{\tilde{\Psi}} \simeq m_{\Psi_0}$ is used. Since there is no information on the size of the renormalizable superpotential coupling g_{Ψ_0} of the inflaton to the Higgses and Higgsinos, first we consider the case of $\Gamma_{\text{all}} \simeq \Gamma_{\Psi_0}^{\text{vis}} \gg \Gamma_{\tilde{\Psi}}^{\text{vis}} + \Gamma_{\tilde{\Psi}}^{\text{sugra}} + \Gamma_{\Psi_0}^{\text{sugra}}$. In this case, that is, $g_{\Psi_0} \gg |g_{\tilde{\Psi}}^{\text{sugra}}|$, the size of the Higgs-inflaton coupling can severely restrict the lower limit on T_{reh} in Eq. (128) as

$$T_{\text{reh}} \gtrsim 10^4 \text{ TeV} \left(\frac{g_{\Psi_0}}{10^{-8}} \right) \left(\frac{\tilde{g}_7}{0.94 \times 10^{-3}} \right)^{1/2} \times \left(\frac{\mu_{\Psi}(t_I)}{6.7 \times 10^{15} \text{ GeV}} \right)^{1/2}, \quad (129)$$

where we have used $m_{\Psi_0} = |\tilde{g}_7| \mu_{\Psi}(t_I)$ in Eqs. (39) and (64). This lower limit²⁰ on T_{reh} is in conflict with the limit for the successful leptogenesis in Eqs. (98) and (101) for $0.01 \leq \delta_{\text{eff}} \leq 1$. Hence, we can conclude that for $|g_{\tilde{\Psi}}^{\text{sugra}}| \gtrsim g_{\Psi_0}$ from Eq. (128) the reheating temperature is in a good approximation given in terms of Eq. (126) by

$$T_{\text{reh}} \sim 10^3 \text{ TeV} \quad (130)$$

for the successful leptogenesis with Eqs. (98)–(101). Inserting Eqs. (105) and (127) into Eq. (106), the production of the gravitinos can depend on the size of the Higgs-inflaton coupling

$$Y_{3/2}^{\Psi_0} \simeq 3.2 \times 10^{-17} \left(\frac{8 \times 10^{-10}}{g_{\Psi_0}} \right)^2 \left(\frac{T_{\text{reh}}}{10^3 \text{ TeV}} \right) \times \left(\frac{|\tilde{g}_7|}{0.94 \times 10^{-3}} \right)^3 \left(\frac{\mu_{\Psi}(t_I)}{6.7 \times 10^{15} \text{ GeV}} \right)^5. \quad (131)$$

Since the yield $Y_{3/2}^{\Psi_0}$ is inversely proportional to $|g_{\Psi_0}|^2$ and proportional to T_{reh} ($Y_{3/2}^{\text{th}}$ is also proportional to T_{reh}), the total yield $Y_{3/2} \simeq Y_{3/2}^{\text{th}} + Y_{3/2}^{\Psi_0}$ can depend on the size of the Higgs-inflaton coupling, $|g_{\Psi_0}|$, with the given reheating temperature for the successful leptogenesis. And the constraint $Y_{3/2} < Y_{3/2}^{\text{BBN}}$ disappears as in Ref. [65] for the gravitino mass $m_{3/2} \sim 100 \text{ TeV}$ in Eq. (27) with the given

²⁰Note that, as seen from Fig. 2, for values of δ_{eff} being fine tuned, i.e., $\delta_{\text{eff}} < 0.01$, the lower limit (129) could be allowed for a successful leptogenesis.

reheating temperature. So, we have an upper bound on the size of the Higgs-inflaton coupling, $|g_{\Psi_0}|$, with the given reheating temperature for the successful leptogenesis;

$$|g_{\Psi_0}| \lesssim |g_{\tilde{\Psi}}^{\text{sugra}}| \simeq 8 \times 10^{-10}. \quad (132)$$

Since the size of Higgs-inflaton coupling can have an upper bound with the given reheating temperature, the first term in Eq. (109) can contribute to the sizable μ term.

V. CONCLUSION

The model is based on the $SM \times U(1)_X \times A_4$ symmetry, which is essential for the flavored PQ axions at low energy. Note that the $U(1)_X$ -charged Kahler moduli superfields put the GS anomaly cancellation mechanism into practice. As the $U(1)_X$ -breaking scales according to Ref. [3] are secluded by the Gibbons-Hawking temperature $T_{\text{GH}} = H_I/2\pi$, the model is designed in a way in which gravitational interactions explicitly break SUSY down to $\text{SUSY}_{\text{inf}} \times \text{SUSY}_{\text{vis}}$, where SUSY_{inf} corresponds to the supergravity symmetry, while the orthogonal SUSY_{vis} is approximate global symmetry. Hence, in the presence of SUGRA, the SUSY_{inf} is gauged, and thus its corresponding Goldstino is eaten by the gravitino via the super-Higgs mechanism, leaving behind the approximate global symmetry SUSY_{vis} , which is explicitly broken by SUGRA and thus its corresponding uneaten Goldstino as a physical d.o.f. giving masses to all the supersymmetric SM superpartners.

To provide an explanation for inflation, we have considered a realistic supersymmetric moduli stabilization. Such moduli stabilization has moduli backreaction effects on the inflationary potential, in particular, the spectral index of inflaton fluctuations. During inflation, the Universe experiences an approximately dS phase with the inflationary Hubble constant $H_I \simeq 2 \times 10^{10} \text{ GeV}$. In the present inflation model which provides intriguing links to UV-complete theories like string theory, the PQ scalar fields $\Psi(\tilde{\Psi})$ play the role of the waterfall fields; that is, the PQ phase transition takes place during inflation such that the PQ scale $\mu_{\Psi}(t_I)$ during inflation is fixed by the amplitude of the primordial curvature perturbation and turns out to be roughly $0.3 \times 10^{16} \text{ GeV}$. We have found that such moduli stabilization with the moduli backreaction effects on the inflationary potential could lead to the energy scale of inflation in a way in which the power spectrum of the curvature perturbation and the scalar spectral index are to be fitted well with the Planck 2015 observation [9]. And we have driven that the inflaton mass during inflation is given by $m_{\Psi_0} = \sqrt{3}H_I$, which is much larger than the gravitino mass, and its mass is in agreement with its theory prediction for the spectral index with observation.

Through the introduction of $U(1)_X$ symmetry in a way in which the $U(1)_X - [\text{gravity}]^2$ anomaly-free condition

together with the SM flavor structure demands additional sterile neutrinos as well as no axionic domain-wall problem [3], the additional neutrinos may play a crucial role as a bridge between leptogenesis and new neutrino oscillations along with high-energy cosmic events. We have shown that a successful leptogenesis scenario could be naturally implemented through the Affleck-Dine mechanism. The pseudo-Dirac mass splittings, which are suggested from new neutrino oscillations along with high-energy cosmic events, strongly indicate the existence of lepton-number violation, which is a crucial ingredient of the present leptogenesis scenario. The resultant baryon asymmetry is constrained by the cosmological observable (i.e., the sum of active neutrino masses) with the new high-energy neutrino oscillations. In addition, the resultant baryon asymmetry, which crucially depends on the reheating temperature, is suppressed for relatively high reheating temperatures. We have shown that the right value of the BAU, $Y_{\Delta B} \simeq 8 \times 10^{-11}$, prefers a relatively low reheating temperature with the well-constrained pseudo-Dirac mass splittings responsible for new oscillations Δm_i^2 . Moreover, we have shown that it is reasonable for the reheating temperature $T_{\text{reh}} \sim 10^3$ TeV derived from the gravitational decays of the inflaton and waterfall field to be compatible with the required reheating temperature for the successful leptogenesis, leading to $\Delta m_i^2 \sim 10^{-12}$ eV². We have stressed that the present model requires $m_{3/2} \simeq \mathcal{O}(100)$ TeV gravitino mass in order to have suitable large gaugino masses.

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APPENDIX A: SUPERPOTENTIAL DEPENDENT ON DRIVING FIELDS

To impose the A_4 flavor symmetry [4] on our model properly, apart from the usual two Higgs doublets $H_{u,d}$ responsible for electroweak symmetry breaking, which are invariant under A_4 (i.e., flavor singlets $\mathbf{1}$ with no T flavor), the scalar sector is extended by introducing two types of new scalar multiplets, flavon fields $\Phi_T, \Phi_S, \Theta, \tilde{\Theta}, \Psi, \tilde{\Psi}$ that are $SU(2)$ singlets and driving fields $\Phi_0^T, \Phi_0^S, \Theta_0, \Psi_0$ that are associated to a nontrivial scalar potential in the symmetry-breaking sector; we take the flavon fields Φ_T, Φ_S to be A_4 triplets and $\Theta, \tilde{\Theta}, \Psi, \tilde{\Psi}$ to be A_4 singlets, and the driving fields Φ_0^T, Φ_0^S to be A_4 triplets and Θ_0, Ψ_0 to be A_4 singlets, that are $SU(2)$ -singlets. Under $A_4 \times U(1)_X \times U(1)_R$, the driving, flavon, and Higgs fields are assigned as

TABLE II. Representations of the driving, flavon, and Higgs fields under $A_4 \times U(1)_X$. Here, $U(1)_X \equiv U(1)_{X_1} \times U(1)_{X_2}$ symmetries, which are generated by the charges $X_1 = -2p$ and $X_2 = -q$.

Field	Φ_0^T	Φ_0^S	Θ_0	Ψ_0	Φ_S	Φ_T	Θ	$\tilde{\Theta}$	Ψ	$\tilde{\Psi}$	H_d	H_u
A_4	$\mathbf{3}$	$\mathbf{3}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{3}$	$\mathbf{3}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$	$\mathbf{1}$
$U(1)_X$	0	$4p$	$4p$	0	$-2p$	0	$-2p$	$-2p$	$-q$	q	0	0
$U(1)_R$	2	2	2	2	0	0	0	0	0	0	0	0

in Table II. The superpotential dependent on the driving fields, which is invariant under $SU(3)_c \times SU(2)_L \times U(1)_Y \times U(1)_X \times A_4$, is given at leading order by

$$\begin{aligned}
 W_v = & \Phi_0^T (\tilde{\mu} \Phi_T + \tilde{g} \Phi_T \Phi_T) + \Phi_0^S (g_1 \Phi_S \Phi_S + g_2 \tilde{\Theta} \Phi_S) \\
 & + \Theta_0 (g_3 \Phi_S \Phi_S + g_4 \Theta \Theta + g_5 \Theta \tilde{\Theta} + g_6 \tilde{\Theta} \tilde{\Theta}) \\
 & + g_7 \Psi_0 (\Psi \tilde{\Psi} - \mu_{\tilde{\Psi}}^2), \tag{A1}
 \end{aligned}$$

where the fields Ψ and $\tilde{\Psi}$ charged by $-q$ and q , respectively, are ensured by the $U(1)_X$ symmetry extended to a complex $U(1)$ due to the holomorphy of the superpotential. SUSY hybrid inflation, defined by the last term in the above superpotential, provides a compelling framework for the understanding of the early Universe, in which Ψ_0 and $\Psi(\tilde{\Psi})$ are identified as the inflaton and waterfall fields, respectively. Note, here, that the PQ scale $\mu_{\Psi} \equiv \sqrt{v_{\Psi} v_{\tilde{\Psi}}}/2$ corresponding to the scale of the spontaneous symmetry-breaking scale sets the energy scale of inflation during inflation, see Eq. (57), as well as the energy scale at present in Ref. [3].

APPENDIX B: A DIRECT LINK BETWEEN LOW AND HIGH-ENERGY NEUTRINOS

Once the scalar fields $\Phi_S, \Theta, \tilde{\Theta}, \Psi$, and $\tilde{\Psi}$ get VEVs, the flavor symmetry $U(1)_X \times A_4$ is spontaneously broken, and at energies below the electroweak scale, all leptons obtain masses. Since the masses of Majorana neutrino N_R are much larger than those of the Dirac and light Majorana ones, after integrating out the heavy Majorana neutrinos, we obtain the following effective Lagrangian for neutrinos:

$$\begin{aligned}
 -\mathcal{L}_W \simeq & \frac{1}{2} \begin{pmatrix} \overline{\nu}_L^c & \overline{S}_R \end{pmatrix} \mathcal{M}_\nu \begin{pmatrix} \nu_L \\ S_R^c \end{pmatrix} + \frac{1}{2} \overline{N}_R M_R N_R^c \\
 & + \overline{\ell}_R \mathcal{M}_\ell \ell_L + \frac{g}{\sqrt{2}} W_\mu^- \overline{\ell}_L \gamma^\mu \nu_L + \text{H.c.} \tag{B1}
 \end{aligned}$$

$$\text{with } \mathcal{M}_\nu = \begin{pmatrix} -m_D^T M_R^{-1} m_D & m_{DS}^T \\ m_{DS} & M_S \end{pmatrix}. \tag{B2}$$

And the charged lepton mass term and the Dirac and Majorana neutrino mass terms read

$$\begin{aligned} \mathcal{M}_\ell &= \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix} v_d \\ &= \begin{pmatrix} (\frac{\lambda}{\sqrt{2}})^4 \hat{y}_e & 0 & 0 \\ 0 & (\frac{\lambda}{\sqrt{2}})^2 \hat{y}_\mu & 0 \\ 0 & 0 & \hat{y}_\tau \end{pmatrix} \left(\frac{\lambda}{\sqrt{2}}\right)^2 v_d, \end{aligned} \quad (\text{B3})$$

$$m_{DS} = \begin{pmatrix} \hat{y}_1^s & 0 & 0 \\ 0 & \hat{y}_2^s & 0 \\ 0 & 0 & \hat{y}_3^s \end{pmatrix} \left(\frac{v_\Psi}{\sqrt{2}\Lambda}\right)^{16} v_u, \quad (\text{B4})$$

$$M_S = \begin{pmatrix} \hat{y}_1^{ss} & 0 & 0 \\ 0 & 0 & \hat{y}_2^{ss} \\ 0 & \hat{y}_2^{ss} & 0 \end{pmatrix} \frac{v_{\bar{\Psi}}}{\sqrt{2}} \left(\frac{v_\Psi}{\sqrt{2}\Lambda}\right)^{51} \frac{v_\Theta}{\sqrt{2}\Lambda}, \quad (\text{B5})$$

$$\begin{aligned} m_D &= \begin{pmatrix} \hat{y}_1^\nu & 0 & 0 \\ 0 & 0 & \hat{y}_2^\nu \\ 0 & \hat{y}_3^\nu & 0 \end{pmatrix} \frac{v_T}{\sqrt{2}\Lambda} \left(\frac{v_{\bar{\Psi}}}{\sqrt{2}\Lambda}\right)^9 v_u \\ &= \hat{y}_1^\nu \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & y_2 \\ 0 & y_3 & 0 \end{pmatrix} \frac{v_T}{\sqrt{2}\Lambda} \left(\frac{v_{\bar{\Psi}}}{\sqrt{2}\Lambda}\right)^9 v_u, \end{aligned} \quad (\text{B6})$$

$$M_R = \begin{pmatrix} 1 + \frac{2}{3}\tilde{\kappa}e^{i\phi} & -\frac{1}{3}\tilde{\kappa}e^{i\phi} & -\frac{1}{3}\tilde{\kappa}e^{i\phi} \\ -\frac{1}{3}\tilde{\kappa}e^{i\phi} & \frac{2}{3}\tilde{\kappa}e^{i\phi} & 1 - \frac{1}{3}\tilde{\kappa}e^{i\phi} \\ -\frac{1}{3}\tilde{\kappa}e^{i\phi} & 1 - \frac{1}{3}\tilde{\kappa}e^{i\phi} & \frac{2}{3}\tilde{\kappa}e^{i\phi} \end{pmatrix} M, \quad (\text{B7})$$

where $v_d \equiv \langle H_d \rangle = v \cos \beta / \sqrt{2}$ and $v_u \equiv \langle H_u \rangle = v \sin \beta / \sqrt{2}$ with $v \simeq 246$ GeV, and

$$\begin{aligned} y_2 &\equiv \frac{\hat{y}_2^\nu}{\hat{y}_1^\nu}, & y_3 &\equiv \frac{\hat{y}_3^\nu}{\hat{y}_1^\nu}, & \tilde{\kappa} &\equiv \sqrt{\frac{3}{2}} \left| \hat{y}_R \frac{v_S}{M} \right|, \\ \phi &\equiv \arg \left(\frac{\hat{y}_R}{\hat{y}_\Theta} \right) & \text{with } M &\equiv \left| \hat{y}_\Theta \frac{v_\Theta}{\sqrt{2}} \right|. \end{aligned} \quad (\text{B8})$$

Here, all the hat Yukawa couplings are of order unity.

In Eq. (B2), the Majorana neutrino mass terms $M_{\nu\nu}$ and M_S and the Dirac mass term m_{DS} are given by

$$\begin{aligned} M_{\nu\nu} &= U_L^* \hat{M}_{\nu\nu} U_L^\dagger = -m_D^T M_R^{-1} m_D, \\ M_S &= U_R^* \hat{M}_S U_R^\dagger, & m_{DS} &= U_R^* \hat{M} U_L^\dagger, \end{aligned} \quad (\text{B9})$$

where the ‘‘hat’’ matrices represent diagonal mass matrices of their corresponding leptons and $U_{L(R)}$ are their diagonal left- (right-)mixing matrices. Since m_{DS} is dominant over $M_{\nu\nu}$ and M_S due to Eqs. (B4)–(B7), the low-energy effective light neutrinos become pseudo-Dirac particles.

The pseudo-Dirac mass splitting, δ , can be given by

$$\delta \equiv \hat{M}_{\nu\nu} + \hat{M}_S^\dagger \simeq \hat{M}_{\nu\nu}, \quad (\text{B10})$$

where the second equality is due to $|\hat{M}_{\nu\nu}| \gg |\hat{M}_S|$. As is well known, because of the observed hierarchy $|\Delta m_{\text{Atm}}^2| = |m_{\nu_3}^2 - (m_{\nu_1}^2 + m_{\nu_2}^2)/2| \gg \Delta m_{\text{Sol}}^2 \equiv m_{\nu_2}^2 - m_{\nu_1}^2 > 0$ and the requirement of a Mikheyev-Smirnov-Wolfenstein resonance for solar neutrinos, there are two possible neutrino mass spectra: (i) the normal mass ordering $m_{\nu_1}^2 < m_{\nu_2}^2 < m_{\nu_3}^2$, $m_{s_1}^2 < m_{s_2}^2 < m_{s_3}^2$ and (ii) the inverted mass ordering $m_{\nu_3}^2 < m_{\nu_1}^2 < m_{\nu_2}^2$, $m_{s_3}^2 < m_{s_1}^2 < m_{s_2}^2$, in which the mass-squared differences in the k th pair $\Delta m_k^2 \equiv m_{\nu_k}^2 - m_{s_k}^2$ are small enough that the same mass ordering applies for both the eigenmasses, that is,

$$\Delta m_k^2 = 2m_k |\delta_k| \ll m_{\nu_k}^2 \quad (\text{B11})$$

for all $k = 1, 2, 3$. It is anticipated that $\Delta m_k^2 \ll \Delta m_{\text{Sol}}^2$, $|\Delta m_{\text{Atm}}^2|$; otherwise, the effects of the pseudo-Dirac neutrinos should have been detected. But in the limit at which $\Delta m_k^2 = 0$, it is hard to discern the pseudo-Dirac nature of neutrinos. The pseudo-Dirac mass splittings could be limited by several constraints, that is, the active neutrino mass hierarchy, the BBN constraints on the effective number of species of light particles during nucleosynthesis, the solar neutrino oscillations; we roughly estimate a bound for the tiny mass splittings

$$6 \times 10^{-16} \lesssim \Delta m_k^2 / \text{eV}^2 \lesssim 1.8 \times 10^{-12}, \quad (\text{B12})$$

where the upper bound comes from the solar neutrino oscillations [67] and the lower bound comes from the inflationary (Sec. III) and leptogenesis (Sec. IV) scenarios by assuming²¹ $m_{\nu_i} \sim 0.01$ eV.

Letting the mass of active neutrino be $m_{\nu_k} = m_k$, then the sum of light neutrino masses given by

$$\sum_k m_{\nu_k} = \frac{1}{2} \left(\frac{\Delta m_1^2}{\delta_1} + \frac{\Delta m_2^2}{\delta_2} + \frac{\Delta m_3^2}{\delta_3} \right) \quad (\text{B13})$$

is bounded by $0.06 \lesssim \sum_i m_{\nu_i} / \text{eV} < 0.194$; the lower limit is extracted from the neutrino oscillation measurements, and the upper limit²² is given by the Planck Collaboration [7], which is subject to the cosmological bounds $\sum_i m_{\nu_i} < 0.194$ eV at 95% CL (the CMB temperature and polarization power spectrum from Planck 2015 in combination with the BAO data, assuming a standard Λ CDM cosmological model).

²¹In the present model, the lightest effective neutrino mass cannot be extremely small because the values of δ_k through the relation (B11) are constrained by the $\mu - \tau$ powered mass matrix in Eq. (78).

²²Massive neutrinos could leave distinct signatures on the CMB and large-scale structure at different epochs of the Universe’s evolution [68]. To a large extent, these signatures could be extracted from the available cosmological observations, from which the total neutrino mass could be constrained.

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