

***nd* scattering and the A_y puzzle to next-to-next-to-next-to-leading order**Arman Margaryan,^{1,*} Roxanne P. Springer,^{1,†} and Jared Vanasse^{1,2,‡}¹*Department of Physics, Duke University, Durham, North Carolina 27708, USA*²*Department of Physics and Astronomy, Ohio University, Athens, Ohio 45701, USA*

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Polarization observables in neutron-deuteron scattering are calculated to next-to-next-to-next-to-leading order (N³LO) in pionless effective field theory (EFT _{π}). At N³LO the two-body P -wave contact interactions are found to be important contributions to the neutron vector analyzing power, $A_y(\theta)$, and the deuteron vector analyzing power, $iT_{11}(\theta)$. Extracting the two-body P -wave EFT _{π} coefficients from two-body scattering data and varying them within the expected EFT _{π} theoretical errors provides results that are consistent (at the N³LO level) with A_y experimental data at low energies. Cutoff dependence of the N³LO correction of the doublet S -wave nd scattering amplitude suggests the need for a new three-body force at N³LO, which is likely one that mixes Wigner-symmetric and Wigner-antisymmetric three-body channels.

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The maximum of the nucleon vector analyzing power, $A_y(\theta)$, and the deuteron vector analyzing power, $iT_{11}(\theta)$, in neutron-deuteron (nd) and proton-deuteron (pd) scattering are significantly underpredicted by existing three-body calculations at low energies (nucleon laboratory energies below 30 MeV). This is known as the three-nucleon analyzing power problem or as the A_y puzzle (see, e.g., Refs. [1,2]). Both phenomenological potential model calculations (PMC) [2] and more modern potentials derived from chiral effective field theory [3] have not resolved this discrepancy. PMC have shown that A_y is very sensitive to the values of the two-body 3P_J phase shifts [4–6] and that the experimental neutron-proton (np) scattering data do not give enough latitude to simultaneously fit the two-body 3P_J wave phase shifts and A_y [7].

For low energies ($E < m_\pi^2/M_N$) nuclear systems can be described by a theory containing only contact interactions between nucleons and possible external currents. This theory, known as pionless effective field theory (EFT _{π}) (See, e.g., Ref. [8] for a review), has been used to calculate nucleon-nucleon (NN) scattering [9,10], deuteron electromagnetic form factors [9], np capture [11], and neutrino-deuteron scattering in the two-body sector [12]. Progress in the three-body sector includes the calculation of nd scattering [13–15] and pd scattering [16–19]. The first calculations of nd scattering relied on the partial resummation technique [20], which resummed certain higher order contributions and therefore were not strictly perturbative in the EFT _{π} power counting. References [15,21] introduced a technique to calculate nd scattering amplitudes strictly perturbatively that is no more numerically expensive than the partial resummation technique. With the strictly perturbative approach nd scattering was calculated to next-to-next-to-leading order (N²LO) including the two-body SD -mixing term [15]. The two-body SD -mixing term provides the first nonzero contribution to the polarization

observables in nd scattering. However, that work did not investigate polarization observables since they are not expected to be reproduced well at N²LO. The N²LO calculation is LO in the polarization observables since it gives the first nonzero contribution to them.

Building on this N²LO calculation, we present in this paper results for polarization observables in nd scattering to next-to-next-to-next-to-leading order (N³LO) in EFT _{π} . At N³LO there are new contributions from shape parameter corrections as well as the two-body P -wave contact interactions that are found to give the dominant contribution to A_y (as already identified in PMC). At N²LO a term that causes splitting between the neutron-neutron (nn) and np 1S_0 scattering lengths should be included. Experimentally the difference in scattering lengths is roughly 3% and therefore numerically is considered a N²LO correction in the EFT _{π} power counting. This term has been included in previous two-body calculations [11], but was not treated strictly perturbatively as it is in this work.

This paper is organized as follows. In Sec. II all necessary two-body physics for the three-body calculation is presented. Section III discusses how the nd scattering amplitudes are calculated and introduces the concept of P -wave auxiliary fields to calculate the three-body contribution from the two-body P -wave contact interactions. In Sec. IV expressions for the polarization observables in nd scattering are introduced. Polarization observable results in EFT _{π} are shown in Sec. V, and finally we conclude in Sec. VI.

II. TWO-BODY SCATTERING

The two-body S -wave Lagrangian up to and including N³LO in the Z -parametrization [14,22] is given by

$$\begin{aligned} \mathcal{L}_2^S = & \hat{N}^\dagger \left(i\partial_0 + \frac{\vec{\nabla}^2}{2M_N} \right) \hat{N} \\ & + \hat{t}_i^\dagger \left(\Delta_t - c_{0t} \left(i\partial_0 + \frac{\vec{\nabla}^2}{4M_N} + \frac{\gamma_t^2}{M_N} \right) \right. \\ & \left. - c_{1t} \left(i\partial_0 + \frac{\vec{\nabla}^2}{4M_N} + \frac{\gamma_t^2}{M_N} \right)^2 \right) \hat{t}_i \end{aligned}$$

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$$\begin{aligned}
& + \hat{s}_a^\dagger \left(\Delta_s + \Delta_s^{(N^2\text{LO})} \delta_{-1}^a - c_{0s} \left(i\partial_0 + \frac{\vec{\nabla}^2}{4M_N} + \frac{\gamma_s^2}{M_N} \right) \right. \\
& \left. - c_{1s} \left(i\partial_0 + \frac{\vec{\nabla}^2}{4M_N} + \frac{\gamma_s^2}{M_N} \right)^2 \right) \hat{s}_a \\
& + y_t [\hat{t}_i^\dagger \hat{N}^T P_i \hat{N} + \text{H.c.}] + y_s [\hat{s}_a^\dagger \hat{N}^T \bar{P}_a \hat{N} + \text{H.c.}], \quad (1)
\end{aligned}$$

where \hat{t}_i (\hat{s}_a) is the spin-triplet iso-singlet (spin-singlet iso-triplet) auxiliary field, and $P_i = \frac{1}{\sqrt{8}}\sigma_2\sigma_i\tau_2$ ($\bar{P}_a = \frac{1}{\sqrt{8}}\sigma_2\tau_2\tau_a$) projects out the spin-triplet iso-singlet (spin-singlet iso-triplet) combination of nucleons. The subscript ‘‘2’’ indicates that Eq. (1) includes only two-body terms. At LO the parameters are fit to reproduce the bound state and virtual bound state poles in the 3S_1 and 1S_0 channels, respectively. The majority of NLO, $N^2\text{LO}$, and $N^3\text{LO}$ parameters in the Z -parametrization are then fit to ensure the poles remain unchanged and have the correct residues. The $N^3\text{LO}$ parameters c_{1t} and c_{1s} are fit to reproduce the effective range expansion (ERE) shape corrections about the poles of the 3S_1 and 1S_0 channels, respectively. Carrying out this procedure yields [14]

$$\begin{aligned}
\Delta_t + \mu &= \gamma_t, \quad y_t = \sqrt{\frac{4\pi}{M_N}}, \quad c_{0t}^{(n)} = (-1)^n (Z_t - 1)^{n+1} \frac{M_N}{2\gamma_t}, \\
c_{1t} &= \rho_{1t} M_N^2, \quad \Delta_s + \mu = \gamma_s, \quad y_s = \sqrt{\frac{4\pi}{M_N}}, \\
c_{0s}^{(n)} &= (-1)^n (Z_s - 1)^{n+1} \frac{M_N}{2\gamma_s}, \quad c_{1s} = \rho_{1s} M_N^2, \quad (2)
\end{aligned}$$

where μ is a scale introduced via dimensional regularization with the power divergence subtraction scheme [23,24]. All physical observables must be μ independent. The value $\gamma_t = 45.7025$ MeV ($\gamma_s = -7.890$ MeV) is the deuteron bound state momentum (1S_0 virtual bound state momentum), and $Z_t = 1.6908$ ($Z_s = 0.9015$) the residue of the 3S_1 (1S_0) bound state (virtual bound state) pole. For the shape parameter correction about the 3S_1 (1S_0) pole we use $\rho_{1t} = 0.389$ fm 3 ($\rho_{1s} = -0.48$ fm 3). The $N^2\text{LO}$ parameter $\Delta_s^{(N^2\text{LO})} = -2.02$ MeV, and is fit to the splitting between the virtual bound state momentum in the np and nn spin-singlet channels. There is also a separate parameter for the splitting between the virtual bound state momentum in the np and proton-proton (pp) spin-singlet channels in the absence of Coulomb, but this is not relevant for the nd system and is not considered here.

At $N^2\text{LO}$ there is a contribution from two-body SD -mixing given by the Lagrangian

$$\begin{aligned}
\mathcal{L}_2^{SD} &= y_{SD} \hat{t}_i^\dagger \left[\hat{N}^T ((\vec{\partial} - \overleftarrow{\partial})^i (\vec{\partial} - \overleftarrow{\partial})^j \right. \\
& \left. - \frac{1}{3} \delta^{ij} (\vec{\partial} - \overleftarrow{\partial})^2) P_j \hat{N} \right] + \text{H.c.} \quad (3)
\end{aligned}$$

The parameter y_{SD} is fit to the asymptotic D/S mixing ratio of the deuteron wave function, yielding [9,15]

$$y_{SD} = -\sqrt{\frac{4\pi}{M_N}} \frac{3\eta_{sd}\sqrt{2}}{8\gamma_t^2}, \quad (4)$$

where $\eta_{sd} = 0.02543 \pm 0.00007$ is the asymptotic D/S mixing ratio of the deuteron wave function [25].

Two-body P -wave contact interactions first occur at $N^3\text{LO}$. The 3P_J terms are given by the 3P_J Lagrangian [26],

$$\begin{aligned}
\mathcal{L}_2^{3P_J} &= \left(C_2^{(3P_0)} \delta_{xy} \delta_{wz} + C_2^{(3P_1)} [\delta_{xw} \delta_{yz} - \delta_{xz} \delta_{yw}] \right. \\
& \left. + C_2^{(3P_2)} [2\delta_{xw} \delta_{yz} + 2\delta_{xz} \delta_{yw} - \frac{4}{3} \delta_{xy} \delta_{wz}] \right) \\
& \times \frac{1}{4} (\hat{N}^T \mathcal{O}_{xyA}^{(1,P)} \hat{N})^\dagger (\hat{N}^T \mathcal{O}_{wzA}^{(1,P)} \hat{N}), \quad (5)
\end{aligned}$$

where

$$\mathcal{O}_{ijA}^{(1,P)} = \overleftarrow{\nabla}_i P_{jA}^P - P_{jA}^P \overrightarrow{\nabla}_i \quad (6)$$

and the projector is defined as $P_{iA}^P = \frac{1}{\sqrt{8}}\sigma_2\sigma_i\tau_2\tau_A$. Note that the projector P_{iA}^P differs from the projector in Ref. [26] because we consider NN scattering, not just np scattering. At $N^3\text{LO}$ the two-body 1P_1 contact interaction also appears:

$$\mathcal{L}_2^{1P_1} = C_2^{(1P_1)} \frac{1}{4} (\hat{N}^T \mathcal{O}_x^{(0,P)} \hat{N})^\dagger (\hat{N}^T \mathcal{O}_x^{(0,P)} \hat{N}), \quad (7)$$

but it does not contribute to the polarization observables in our calculation at this order. The operator $\mathcal{O}_i^{(0,P)}$ is defined by

$$\mathcal{O}_i^{(0,P)} = \overleftarrow{\nabla}_i P^P - P^P \overrightarrow{\nabla}_i, \quad (8)$$

where the projector is $P^P = \frac{1}{\sqrt{8}}\sigma_2\tau_2$. Fitting the coefficients to the np Nijmegen phase shifts [25] yields the values

$$\begin{aligned}
C^{3P_0} &= 6.27 \text{ fm}^4, \quad C^{3P_1} = -5.75 \text{ fm}^4, \\
C^{3P_2} &= 0.522 \text{ fm}^4, \quad \text{and } C^{1P_1} = -19.8 \text{ fm}^4. \quad (9)
\end{aligned}$$

These C^{3P_J} values are in good agreement with those found in Ref. [26]. We take them as the central values of an experimental fit, but there is a substantial theoretical EFT_{π} error associated with that fit; these coefficients are $N^3\text{LO}$ for nd scattering but are LO in two-body P -wave scattering.

At LO the power counting mandates that an infinite number of diagrams be summed, yielding the LO dibaryon propagators [14,15]

$$iD_{\{t,s\}}(p_0, \vec{p}) = \frac{i}{\gamma_{\{t,s\}} - \sqrt{\frac{\vec{p}^2}{4} - M_N p_0} - i\epsilon}. \quad (10)$$

The LO deuteron wavefunction renormalization is the residue about the 3S_1 bound state pole, which gives

$$Z_{\text{LO}} = \frac{2\gamma_t}{M_N}. \quad (11)$$

The form of higher order dibaryon propagators and wave-function renormalization constants can be found in Refs. [14,15]. For this work the form of these higher order corrections will not be explicitly needed. Rather, the higher order corrections will naturally be included in the integral equations, and diagrams with corrections attached to external dibaryon propagators will give higher order deuteron wavefunction renormalization contributions in the on-shell limit.

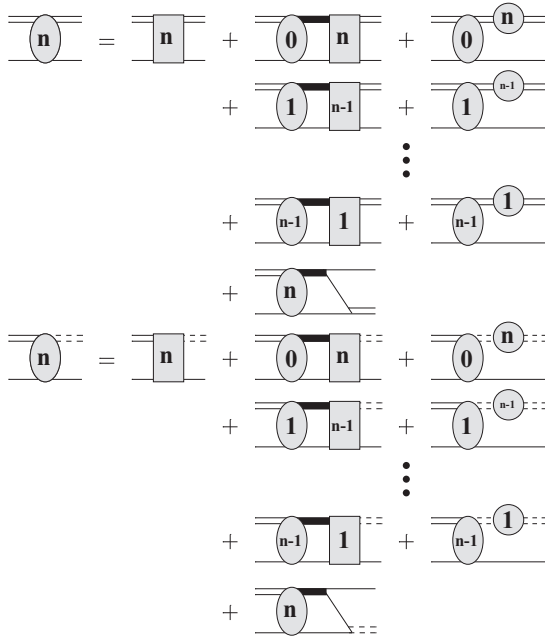


FIG. 1. Single lines represent nucleons, double lines spin-triplet dibaryons, and double dashed lines spin-singlet dibaryons. Thick solid lines denote a sum over both spin-triplet and spin-singlet dibaryons. The LO nd scattering amplitude is the oval with a “0” inside, and the oval with the n inside is the N^n LO correction to the nd scattering amplitude. The circle with the n inside is the N^n LO correction to the dibaryon propagators (see Fig. 2), and the rectangle with the n inside is the n th order “three-body” correction (see Fig. 3).

III. THREE-BODY SCATTERING

The N^n LO correction to the nd scattering amplitude using the methods introduced in Ref. [21] is given by the integral equation represented in Fig. 1. Because this integral equation is not yet projected in spin or partial waves, it includes both doublet and quartet channel contributions. The single line represents a nucleon, the double line a spin-triplet dibaryon, and the double dashed line a spin-singlet dibaryon. A thick solid line denotes a sum over both spin-triplet and spin-singlet dibaryons. The oval with a “0” inside is the LO nd scattering amplitude, the oval with the n inside is a N^n LO correction to the nd scattering amplitude, the circle with the n inside is a N^n LO correction to the dibaryon propagator, and the rectangle with the n inside is a N^n LO “three-body” correction.¹

Perturbative corrections to the dibaryon propagators in the Z -parametrization [14] are given in Fig. 2. The NLO contributions $c_{0r}^{(0)}$ and $c_{0s}^{(0)}$ are from range corrections, and the N^2 LO terms $c_{0r}^{(1)}$ and $c_{0s}^{(1)}$ are from higher order corrections to $c_{0r}^{(0)}$ and $c_{0s}^{(0)}$, respectively. The N^2 LO correction, $\Delta_s^{(N^2LO)}$, arises from the splitting between the 1S_0 scattering length for

¹The term “three-body” refers to corrections that involve all three-nucleons; this includes three-body forces.

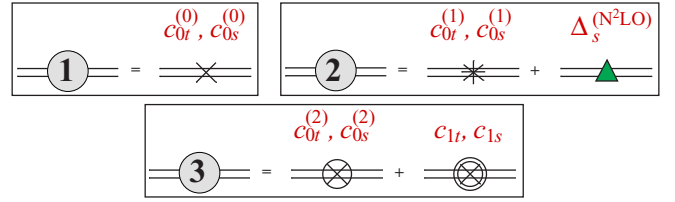


FIG. 2. Higher order corrections to dibaryon propagators (used in the diagrams of Fig. 1). The NLO ($n = 1$) corrections are range corrections from $c_{0r}^{(0)}$ and $c_{0s}^{(0)}$. At N^2 LO ($n = 2$) the dibaryons receive further range corrections $c_{0r}^{(1)}$ and $c_{0s}^{(1)}$ in the Z -parametrization, as well as the $\Delta_s^{(N^2LO)}$ correction from splitting between the nn and np spin-singlet scattering lengths. The N^3 LO ($n = 3$) corrections are from higher order range corrections $c_{0r}^{(2)}$ and $c_{0s}^{(2)}$ in the Z -parametrization, and shape parameter corrections c_{1t} and c_{1s} .

nn and np scattering.² At N^3 LO there are corrections $c_{0r}^{(2)}$ and $c_{0s}^{(2)}$ to the effective range corrections and also shape parameter corrections c_{1t} and c_{1s} .

The “three-body” contributions to the integral equation are given by the diagrams in Fig. 3. LO diagrams are given by nucleon exchange and the LO three-body force in the doublet S -wave channel. The NLO contribution comes from a NLO correction to the LO three-body force in the doublet S -wave channel. At N^2 LO there is a contribution from the two-body SD -mixing term, a N^2 LO correction to the LO three-body force, and a new energy-dependent three-body force in the the doublet S -wave channel. Finally, at N^3 LO there are contributions from the C^{3P_J} and C^{1P_1} two-body P -wave contact interactions as well as N^3 LO corrections to the LO

²In the ERE there is no N^2 LO correction to the dibaryon propagator from $c_{0r}^{(1)}$ and $c_{0s}^{(1)}$ in this formalism. However, there is still a correction from the splitting term $\Delta_s^{(N^2LO)}$ in the ERE.

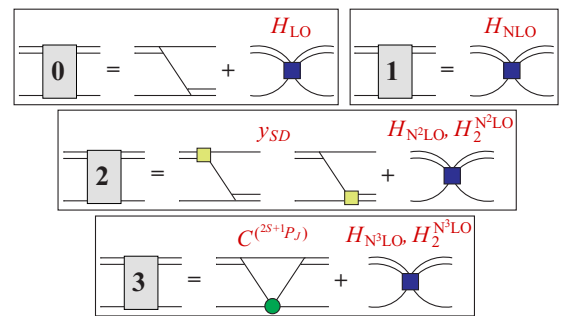


FIG. 3. “three-body” contributions to integral equations (used in the diagrams of Fig. 1). The LO terms are nucleon exchange plus in the doublet S -wave channel the LO three-body force (dark square). The NLO term is a NLO correction to the LO three-body force. At N^2 LO there are contributions from the two-body SD -mixing term (coupling indicated by pale square), the N^2 LO correction to the LO three-body force, H_{N^2LO} , and a new energy-dependent three-body force, $H_2^{N^2LO}$. The N^3 LO contributions are from the two-body P -wave contact interactions (green circle), the N^3 LO correction to the LO three-body force, and the N^3 LO correction to the N^2 LO energy dependent three-body force.

three-body force and the energy dependent N²LO three-body force. Up to N³LO all three-body forces occur in the doublet S -wave channel.

Projecting the n th order amplitude for nd scattering in Fig. 1 into a partial wave basis yields the set of integral equations in cluster configuration (c.c.) [14] space

$$\begin{aligned} \mathbf{t}_{n;L'S',LS}^J(k,p,E) &= \mathbf{K}_{n;L'S',LS}^J(k,p,E)\mathbf{v}_p \\ &+ \sum_{i=1}^n \mathbf{t}_{n-i;L'S',LS}^J(k,p,E) \circ \mathbf{R}_i(p,E) \\ &+ \sum_{L'',S''} \sum_{i=0}^{n-1} \mathbf{K}_{n-i;L'S',L''S''}^J(q,p,E) \mathbf{D}\left(E - \frac{q^2}{2M_N}, \vec{q}\right) \\ &\otimes \mathbf{t}_{i;L''S'',LS}^J(k,q,E) + \sum_{L'',S''} \mathbf{K}_{0;L'S',L''S''}^J(q,p,E) \\ &\times \mathbf{D}\left(E - \frac{q^2}{2M_N}, \vec{q}\right) \otimes \mathbf{t}_{n;L''S'',LS}^J(k,q,E), \end{aligned} \quad (12)$$

where

$$\mathbf{D}(E, \vec{q}) = \begin{pmatrix} D_t(E, \vec{q}) & 0 \\ 0 & D_s(E, \vec{q}) \end{pmatrix} \quad (13)$$

is a matrix of LO dibaryon propagators in c.c. space, and the first subscript in all terms appearing in the integral equations

$$\mathbf{K}_{0;L'S',LS}^J(q,p,E) = \delta_{LL'}\delta_{SS'} \begin{cases} -\frac{2\pi}{qp} Q_L\left(\frac{q^2+p^2-M_N E-i\epsilon}{qp}\right) \begin{pmatrix} 1 & -3 \\ -3 & 1 \end{pmatrix} - \pi H_{\text{LO}}\delta_{L0} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}, & S = \frac{1}{2} \\ -\frac{4\pi}{qp} Q_L\left(\frac{q^2+p^2-M_N E-i\epsilon}{qp}\right) \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, & S = \frac{3}{2} \end{cases}, \quad (16)$$

where at this order there is no mixing between different partial waves or splitting of different J values. The LO three-body force, H_{LO} , is fit to the doublet S -wave nd scattering length, $a_{nd} = 0.65$ fm. For details of how the fit is performed see Ref. [21]. The functions $Q_L(a)$ are Legendre functions of the second kind and are defined as³

$$Q_L(a) = \frac{1}{2} \int_{-1}^1 dx \frac{P_L(x)}{x+a}, \quad (17)$$

with $P_L(x)$ being the standard Legendre polynomials. Note that $\mathbf{R}_0(p,E)$ does not exist.

refers to the order of a term ($n = 0$ is LO, $n = 1$ is NLO, etc.). $\mathbf{t}_{n;L'S',LS}^J(k,p,E)$ and \mathbf{v}_p are vectors in c.c. space defined by

$$\begin{aligned} \mathbf{t}_{n;L'S',LS}^J(k,p,E) &= \begin{pmatrix} t_{n;L'S',LS}^{J;Nt \rightarrow Nt}(k,p,E) \\ t_{n;L'S',LS}^{J;Nt \rightarrow Ns}(k,p,E) \end{pmatrix}, \\ \mathbf{v}_p &= \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \end{aligned} \quad (14)$$

where $t_{n;L'S',LS}^{J;Nt \rightarrow Nt}(k,p,E)$ is the amplitude for nd scattering, $t_{n;L'S',LS}^{J;Nt \rightarrow Ns}(k,p,E)$ the amplitude for a neutron and deuteron going to a nucleon and spin-singlet dibaryon, and \mathbf{v}_p projects out diagrams in c.c. space corresponding to a spin-triplet dibaryon in the initial state. The value L (S) refers to the initial orbital (total spin) angular momentum, L' (S') to the final orbital (total spin) angular momentum, and J to the total angular momentum (orbital plus total spin angular momentum). All integral equations are calculated half off-shell in the center of mass (c.m.) frame, with p being the outgoing off-shell momentum and k the incoming on-shell momentum such that the total energy of the nd system is given by $E = \frac{3}{4} \frac{k^2}{M_N} - \frac{\gamma_t^2}{M_N}$. For this calculation all partial waves up to $L = 4$ are included. All three-body integrals are regulated using a sharp cutoff, which in the “ \otimes ” notation is defined by

$$A(q) \otimes B(q) = \frac{1}{2\pi^2} \int_0^\Lambda dq q^2 A(q) B(q). \quad (15)$$

The “ \circ ” notation defines the Schur product (element wise matrix multiplication) of c.c. space vectors. For this set of integral equations the LO kernel in c.c. space is given by

At NLO the only contribution to the kernel comes from the NLO correction to the three-body force, yielding

$$\mathbf{K}_{1;L'S',LS}^J(q,p,E) = -\pi H_{\text{NLO}}\delta_{L0}\delta_{LL'}\delta_{SS'}\delta_{S\frac{1}{2}} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}, \quad (18)$$

where H_{NLO} is the NLO correction to the LO three-body force, which is again fit to the doublet S -wave nd scattering length [21]. The dibaryons also receive a correction at NLO, which is given by the c.c. space vector

$$\mathbf{R}_1(p,E) = \begin{pmatrix} \frac{(Z_t-1)}{2\gamma_t} \left(\gamma_t + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) \\ \frac{(Z_s-1)}{2\gamma_s} \left(\gamma_s + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) \end{pmatrix}. \quad (19)$$

The N²LO kernel receives contributions from the two-body SD -mixing term, the N²LO correction to the LO three-body

³The definition of $Q_L(a)$ used here differs from the conventional definition by a phase factor of $(-1)^L$.

force, H_{N^2LO} , and a new energy dependent three-body force, $H_2^{N^2LO}$. In c.c. space these contributions give

$$\begin{aligned} [\mathbf{K}_{2:L'S',LS}^J(q,p,E)]_{zx} &= \frac{y y_{SD} M_N}{2} \left(Z_{SD}^{(1)}(J,L',S',L,S,x,z) \frac{1}{kp} [4p^2 Q_L(a) + k^2 Q_{L'}(a)] \right. \\ &\quad + Z_{SD}^{(1)}(J,L,S,L',S',z,x) \frac{1}{kp} [p^2 Q_L(a) + 4k^2 Q_{L'}(a)] \\ &\quad + \sum_{L''} [Z_{SD}^{(2)}(J,L',S',L,S,x,z,L'') + Z_{SD}^{(2)}(J,L,S,L',S',z,x,L'')] Q_{L''}(a) \Big) \\ &\quad - \pi \left(H_{N^2LO} + \frac{4}{3} (M_N E + \gamma_t^2) H_2^{(N^2LO)} \right) \delta_{L0} \delta_{LL'} \delta_{SS'} \delta_{S\frac{1}{2}} (-1)^{x+z}, \end{aligned} \quad (20)$$

where the subscripts “ x ” and “ z ” refer to the matrix element in c.c. space and $y = y_s = y_t$. The value $x = 1$ ($z = 1$) corresponds to an initial (final) spin-triplet dibaryon state and $x = 0$ ($z = 0$) to an initial (final) spin-singlet dibaryon state. Functions $Z_{SD}^{(1)}(\dots)$ and $Z_{SD}^{(2)}(\dots)$ are defined with $3nj$ symbols, yielding

$$Z_{SD}^{(1)}(J,L',S',L,S,x,z) = 2 \sqrt{\widehat{xz}(1-z)\widehat{SS'L}} \sqrt{\frac{10}{3}} (-1)^{\frac{1}{2}+x+z+L+S+S'-J} \begin{Bmatrix} z & \frac{1}{2} & \frac{1}{2} \\ 1 & S' & \frac{1}{2} \end{Bmatrix} \begin{Bmatrix} 2 & 1 & x \\ \frac{1}{2} & S & S' \end{Bmatrix} \begin{Bmatrix} S' & 2 & S \\ L & J & L' \end{Bmatrix} C_{L,2,L'}^{0,0,0}, \quad (21)$$

and

$$\begin{aligned} Z_{SD}^{(2)}(J,L',S',L,S,x,z,L'') &= \sum_{L''} 8 \sqrt{\widehat{xz}(1-z)\widehat{SS'L''}} (-1)^{z+L''+L} \begin{Bmatrix} 1 & \frac{1}{2} & \frac{1}{2} \\ z & S' & \frac{1}{2} \end{Bmatrix} \\ &\quad \times \left(\begin{Bmatrix} \frac{1}{2} & x & S \\ 1 & L'' & L \\ S' & L' & J \end{Bmatrix} + \begin{Bmatrix} \frac{1}{2} & 1 & S' \\ L' & J & L'' \end{Bmatrix} \begin{Bmatrix} L & x & L'' \\ \frac{1}{2} & J & S \end{Bmatrix} + \frac{1}{3} (-1)^{1+L''+L} \frac{1}{\widehat{SL}} \delta_{LL'} \delta_{SS'} \right) C_{L,1,L''}^{0,0,0} C_{L'',1,L'}^{0,0,0}, \end{aligned} \quad (22)$$

where the hat is defined as $\widehat{x} = 2x + 1$. At N^2LO there is also a correction to the dibaryon propagators from $c_{0t}^{(1)}$, $c_{0s}^{(1)}$, and $\Delta_s^{(N^2LO)}$. In c.c. space this is

$$\mathbf{R}_2(p,E) = - \left(\begin{array}{cc} \frac{(Z_t-1)^2}{2\gamma_t} \left(\gamma_t + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) & \\ \frac{(Z_s-1)^2}{2\gamma_s} \left(\gamma_s + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) & -\frac{2}{3} \Delta_s^{(N^2LO)} D_s \left(E - \frac{p^2}{2M_N}, p \right) \end{array} \right). \quad (23)$$

The factor of $2/3$ in front of $\Delta_s^{(N^2LO)}$ comes from the isospin projection. $\Delta_s^{(N^2LO)}$ is only associated with the nn spin-singlet dibaryon propagator, which contributes $2/3$ to the total isospin invariant nucleon spin-singlet dibaryon amplitude ($t_{n:L'S',LS}^{J;Nt \rightarrow Ns}(k,p,E)$).

The N^3LO kernel contains a correction to the LO three-body force, H_{N^3LO} , and a correction to the N^2LO energy dependent three-body force, $H_2^{N^3LO}$, which gives

$$\mathbf{K}_{3:L'S',LS}^J(q,p,E) = -\pi \left(H_{N^3LO} + \frac{4}{3} (M_N E + \gamma_t^2) H_2^{N^3LO} \right) \delta_{L0} \delta_{LL'} \delta_{SS'} \delta_{S\frac{1}{2}} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}. \quad (24)$$

Griesshammer [27] argues that at N^3LO there is a new divergence that mixes the Wigner-symmetric and Wigner-antisymmetric channels of the doublet S -wave, but that the need for a new counter-term is suppressed due to the Pauli principle and should occur two orders higher. However, Birse [28] suggests that the Pauli principle is automatically included in the asymptotic analysis and therefore the need for a new counterterm will be at N^3LO . We find that fitting H_{N^3LO} to the doublet S -wave nd scattering length and $H_2^{(N^3LO)}$ to the triton binding energy yields a N^3LO correction to the doublet S -wave nd scattering amplitude that is not properly renormalized. This supports the claim made by Birse that a new three-body force that mixes Wigner-antisymmetric and Wigner-symmetric channels in the doublet S -wave will be necessary at N^3LO . This will be addressed in future work.

An important additional contribution at N^3LO comes from the two-body P -wave contact interactions. These will be dealt with using a slightly different method. There are also corrections to the dibaryon propagators at this order coming from $c_{0t}^{(2)}$ and

$c_{0s}^{(2)}$, and the shape parameter corrections c_{1t} and c_{1s} , which yield

$$\mathbf{R}_3(p, E) = \begin{pmatrix} \left(\gamma_t + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) \left[\frac{(Z_t - 1)^3}{2\gamma_t} + \rho_{t1} \left(\frac{3}{4}p^2 - M_N E - \gamma_t^2 \right) \right] \\ \left(\gamma_s + \sqrt{\frac{3}{4}p^2 - M_N E - i\epsilon} \right) \left[\frac{(Z_s - 1)^3}{2\gamma_s} + \rho_{s1} \left(\frac{3}{4}p^2 - M_N E - \gamma_s^2 \right) \right] \end{pmatrix}. \quad (25)$$

The numerical solution of the integral equations is carried out by means of the Hetherington-Schick method [29–31], which solves the equations along a contour in the complex plane. Using the solution along the contour in the integral equations, the scattering amplitude along the real axis can be solved. By rotating into the complex plane the fixed singularity of the deuteron pole is avoided as well as the branch cut singularities that occur above the deuteron breakup energy. The methods in Refs. [15,21] allow the Hetherington-Schick method to be used to calculate diagrams with the full off-shell LO scattering amplitude without calculating the full off-shell scattering amplitude. In order to calculate to large cutoffs and obtain sufficient numerical accuracy most mesh points are clustered for momenta with magnitude less than Λ_{π} . For momenta with magnitude greater than Λ_{π} far fewer mesh points are used since the amplitude is decaying as a power law [27]. In this way, calculations to large cutoffs can be obtained using a reasonable number of mesh points, with a numerical accuracy of less than 1%. It is important to show convergence to large cutoffs because observables in this EFT should be independent of the cutoff. Any deviations to the result as the cutoff becomes large indicates missing physics (such as a neglected counterterm).

***P*-wave auxiliary field**

The contribution to the N³LO kernel from the two-body *P*-wave contact interaction is shown in the last box in Fig. 3. This diagram is one-loop and can be solved analytically and projected out in an angular momentum basis. However, the resulting forms for all partial waves are cumbersome in numerical calculations. In order to circumvent this it is convenient to introduce a *P*-wave auxiliary field via the Lagrangian

$$\begin{aligned} \mathcal{L}_2^P = & -\hat{\mathcal{P}}_{0A}^{3P_0 \dagger} \Delta^{(3P_0)} \hat{\mathcal{P}}_{0A}^{3P_0} - \hat{\mathcal{P}}_{iA}^{3P_1 \dagger} \Delta^{(3P_1)} \hat{\mathcal{P}}_{iA}^{3P_1} \\ & - \hat{\mathcal{P}}_{iA}^{3P_2 \dagger} \Delta^{(3P_2)} \hat{\mathcal{P}}_{iA}^{3P_2} - \hat{\mathcal{P}}_i^{1P_1 \dagger} \Delta^{(1P_1)} \hat{\mathcal{P}}_i^{1P_1} \\ & + \frac{1}{2} \sum_{J=0}^2 y^{3P_J} [C_{1,1,J}^{i,j,k} (\hat{\mathcal{P}}_{kA}^{3P_J})^\dagger \hat{N}^T i \mathcal{O}_{jIA}^{(1,P)} \hat{N} + \text{H.c.}] \\ & + \frac{1}{2} y^{1P_1} [(\hat{\mathcal{P}}_i^{1P_1})^\dagger \hat{N}^T i \mathcal{O}_i^{(0,P)} \hat{N} + \text{H.c.}]. \end{aligned} \quad (26)$$

This approach is equivalent to using the two-body *P*-wave contact interactions in Eqs. (5) and (7). The N³LO contribution from the two-body *P*-wave contact terms is given by the coupled integral equations in Fig. 4. The “P” amplitude is defined in the boxed region of Fig. 4, where the double line with a zigzag represents a *P*-wave dibaryon propagator. The *P*-wave dibaryon propagator is simply given by a constant,

since the scattering volumes in the two-body *P* waves are of natural size and are therefore perturbative and do not require resumming. The constant term can be factored out of all numerical expressions and then reintroduced at the end to obtain the final expression.

The “P” amplitude is given by

$$\begin{aligned} t_{L'S',LS}^{J(2R+1P_2)}(k, p, E) \\ = \left[\mathbf{K}_{L'S',LS}^{J(2R+1P_2)}(k, p, E) \right]_1 + \mathbf{K}_{L'S',LS}^{J(2R+1P_2)}(q, p, E) \\ \otimes t_{0,LS,LS}^J(k, q, E), \end{aligned} \quad (27)$$

where $R = 0$ or 1 , the quantity $z = 0, 1, 2$ ($z = 1$) for the 3P_J (1P_1) two-body *P*-wave contact interaction channels (channel), and the kernel function $\mathbf{K}_{L'S',LS}^{J(2R+1P_2)}(k, p, E)$ is a vector in c.c. space. For the first term on right hand side only one element of the kernel in c.c. space is taken since only states with an initial spin-triplet dibaryon are needed. The kernel function for Eq. (27) is the tree level diagram in the box in Fig. 4. Projected onto a partial wave basis this yields

$$\begin{aligned} [\mathbf{K}_{L'S',LS}^{J(3P_2)}(k, p, E)]_x = & -\frac{M_N y y^{3P_2}}{4kp} \mathcal{Z}^{3P_2}(J, L', S', L, S, x, z) \\ & \times [2k Q_{L'}(a) + p Q_L(a)] \end{aligned} \quad (28)$$

for the 3P_J coefficients, and

$$\begin{aligned} [\mathbf{K}_{L'S',LS}^{J(1P_1)}(k, p, E)]_x = & -\frac{M_N y y^{1P_1}}{4kp} \mathcal{Z}^{1P_1}(J, L', S', L, S, x) \\ & \times [2k Q_{L'}(a) + p Q_L(a)] \end{aligned} \quad (29)$$

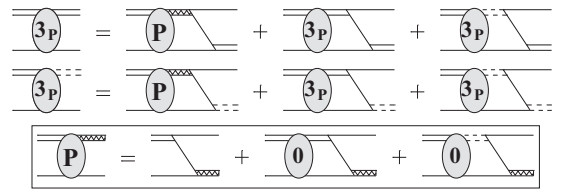


FIG. 4. Unboxed diagrams are the integral equations for the N³LO contribution to the *nd* scattering amplitude from the two-body *P*-wave contact interactions. The double lines with a zigzag in the middle are the *P*-wave dibaryon propagator, given by i/Δ^{2R+1P_J} . The boxed diagrams represent the equation for the “P” amplitude used in the unboxed integral equations above. The notation “3_p” in the oval indicates that this is a N³LO correction but one that only involves the two-body *P*-wave contributions.

for the 1P_1 coefficient. Functions $\mathcal{Z}^{3P_z}(\dots)$ and $\mathcal{Z}^{1P_1}(\dots)$ are defined by

$$\begin{aligned} & \mathcal{Z}^{3P_z}(J, L', S', L, S, x, z) \\ &= 12(-1)^{\frac{3}{2}+S+S'+L-J} \sqrt{\widehat{x}(1-x)\widehat{z}\widehat{S}\widehat{S}'\widehat{L}} \begin{Bmatrix} x & \frac{1}{2} & \frac{1}{2} \\ 1 & S & \frac{1}{2} \end{Bmatrix} \\ & \times \begin{Bmatrix} \frac{1}{2} & 1 & S \\ 1 & S' & z \end{Bmatrix} \begin{Bmatrix} S & 1 & S' \\ L' & J & L \end{Bmatrix} \begin{Bmatrix} 1-x & \frac{1}{2} & \frac{1}{2} \\ 1 & \frac{1}{2} & \frac{1}{2} \end{Bmatrix} C_{L,1,L'}^{0,0,0} \end{aligned} \quad (30)$$

and

$$\begin{aligned} & \mathcal{Z}^{1P_1}(J, L', S', L, S, x) \\ &= (-1)^{\frac{3}{2}+L-J} \sqrt{\widehat{x}(1-x)\widehat{S}'\widehat{L}} \begin{Bmatrix} S & 1 & S' \\ L' & J & L \end{Bmatrix} \delta_{S,\frac{1}{2}} C_{L,1,L'}^{0,0,0}. \end{aligned} \quad (31)$$

As before, $x = 1$ corresponds to an initial state spin-triplet dibaryon propagator and $x = 0$ corresponds to an initial state spin-singlet dibaryon propagator. The advantage of these kernel functions in the P -wave auxiliary field method is that they only contain Legendre functions of the second kind, which are already calculated to solve the LO nd scattering amplitude. Therefore, no additional work has to be done to calculate the values of these functions along the mesh points of our integral equations. In the more conventional approach new functions involving $\arctan(\dots)$ and $\log(\dots)$ have to be calculated for each partial wave, and the higher the partial wave the more complicated the functional forms become. The P -wave auxiliary field method is a far more transparent and numerically efficient means by which to calculate corrections from two-body P -wave contact terms to nd scattering. Using the ‘‘P’’ amplitude the N^3 LO correction to the nd scattering amplitude from the two-body P -wave contact interactions in Fig. 4 is given by the coupled integral equations

$$\begin{aligned} & \mathbf{t}_{3p;L'S',LS}^J(k, p, E) \\ &= \sum_{R=0}^1 \sum_{z=|R-1|}^{R+1} \sum_{L'',S''} \frac{(-1)^z}{\Delta^{(2R+1)P_z}} [\mathbf{K}_{L''S'',L'S'}^{J(2R+1)P_z}(p, q, E)]^T \\ & \otimes \mathbf{t}_{L''S'',LS}^{J(2R+1)P_z}(k, q, E) + \mathbf{K}_{0;L'S',L'S'}^J(q, p, E) \\ & \otimes \mathbf{t}_{3p;L'S',LS}^J(k, q, E). \end{aligned} \quad (32)$$

The term $1/\Delta^{(2R+1)P_z}$ is the P -wave dibaryon propagator, and can be removed from the integral equations by an appropriate renormalization. In the inhomogeneous term we have used time reversal symmetry to write the kernel for a P -wave dibaryon going to an S -wave dibaryon in terms of the kernel for an S -wave dibaryon going to a P -wave dibaryon. The factor of $(-1)^z$ is due to time reversal symmetry and comes from the fact that under time reversal

$$C_{1,1,z}^{i,j,k} \xrightarrow{T} C_{1,1,z}^{-i,-j,-k} = (-1)^z C_{1,1,z}^{i,j,k}. \quad (33)$$

Finally, the coefficients y^{2S+1P_J} and $\Delta^{(2S+1)P_J}$ must be fit to np scattering data. This can be done by performing Gaussian

integration on the P -wave auxiliary fields in Eq. (26) and matching to the coefficients in Eqs. (5) and (7), or by performing a matching calculation using the Lagrangians of Eqs. (26), (5), and (7). In order to match these coefficients the identities

$$\sum_k C_{1,1,0}^{i,j,0} C_{1,1,0}^{m,l,0} \rightarrow \frac{1}{3} \delta_{ij} \delta_{ml}, \quad (34)$$

$$\sum_k C_{1,1,1}^{i,j,k} C_{1,1,1}^{m,l,-k} (-1)^k \rightarrow \frac{1}{2} (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl}), \quad (35)$$

and

$$\sum_k C_{1,1,2}^{i,j,k} C_{1,1,2}^{m,l,-k} (-1)^k \rightarrow \frac{1}{2} (\delta_{il} \delta_{jm} + \delta_{im} \delta_{jl} - \frac{2}{3} \delta_{ij} \delta_{ml}) \quad (36)$$

are used, where the indices on the left are spherical ($i, j, l, m = -1, 0, 1$) and those on the right Cartesian ($i, j, l, m = 1, 2, 3$). Matching coefficients yields

$$\begin{aligned} C^{3P_0} &= \frac{1}{3} \frac{(y^{3P_0})^2}{\Delta^{(3P_0)}}, & C^{3P_1} &= -\frac{1}{2} \frac{(y^{3P_1})^2}{\Delta^{(3P_1)}}, \\ C^{3P_2} &= \frac{1}{4} \frac{(y^{3P_2})^2}{\Delta^{(3P_2)}}, & C^{1P_1} &= \frac{(y^{1P_1})^2}{\Delta^{(1P_1)}}. \end{aligned} \quad (37)$$

Then using Eq. (9) the ratio of coefficients y^{2S+1P_J} and $\Delta^{(2S+1)P_J}$ can be fit to np scattering data. A factor of $(y^{2S+1P_J})^2/\Delta^{(2S+1)P_J}$ can be removed from the N^3 LO correction to the nd scattering amplitude by appropriate renormalization of the amplitude. The calculated amplitude is then renormalized by this factor so that we can consider different values of $(y^{2S+1P_J})^2/\Delta^{(2S+1)P_J}$ without needing to recalculate the scattering amplitude.

Using the P -wave auxiliary field method is equivalent to starting with the one-loop diagram for the two-body P -wave contact interaction diagram in Fig. 3 and projecting it in partial waves before carrying out the loop integration. The resulting angular loop integration is trivial, leading to an integral over the magnitude of the loop momentum of an integrand of products of Legendre functions of the second kind. We find that using the P -wave auxiliary field allows us to treat this N^3 LO part of the correction in direct parallel with earlier corrections; note that the boxed diagrams in Fig. 4 mimic those found in the S -wave case. The P -wave auxiliary field method effectively trades performing a one-loop diagram analytically for a tree level diagram that is then used to numerically reproduce the contributions from the one-loop diagram.

IV. OBSERVABLES

In the on-shell limit the scattering amplitudes become the transition matrix M defined in the partial wave basis by

$$M_{L'S',LS}^J(k) = Z_{LO} t_{L'S',LS}^{J;N_i \rightarrow N_f}(k, k, E). \quad (38)$$

In order to calculate polarization observables the transition matrix in the spin-basis is needed. This is related to the transition matrix in the partial wave basis

via

$$M_{m'_1, m'_2; m_1, m_2} = \sqrt{4\pi} \sum_J \sum_{L, L'} \sum_{S, S'} \sum_{m_S, m'_S} \sum_{m'_L} \sqrt{\widehat{L}} C_{1, \frac{1}{2}, S}^{m_1, m_2, m_S} C_{1, \frac{1}{2}, S'}^{m'_1, m'_2, m'_S} \times C_{L, S, J}^{0, m_S, M} C_{L', S', J}^{m'_L, m'_S, M} Y_{L'}^{m'_L}(\theta, \phi) M_{L' S' L S}^J(k), \quad (39)$$

where m_1 (m'_1) is the initial (final) deuteron spin component in the z -direction and m_2 (m'_2) the initial (final) neutron spin component in the z -direction. The unpolarized cross section is given by summing over all final spins and averaging over all initial spins of the square of the transition matrix, yielding

$$\frac{d\bar{\sigma}}{d\Omega}(\theta) = \frac{1}{6} \left(\frac{M_N}{3\pi} \right)^2 \sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2, \quad (40)$$

where the bar over σ denotes that it is unpolarized.

Polarizing the initial neutron leads to three different polarization observables denoted A_y , A_x , and A_z . These correspond to polarizing the neutron along each of the respective axes. In the Madison conventions [32] the z -direction is defined by the momentum of the incoming beam, $\hat{\mathbf{k}}_i$, and the y -direction by $\hat{\mathbf{k}}_i \times \hat{\mathbf{k}}_f$, where $\hat{\mathbf{k}}_i$ ($\hat{\mathbf{k}}_f$) is the incoming (outgoing) momentum of the neutron. The polarization observables A_x and A_z are parity-violating observables and A_y has been considered elsewhere in EFT $_{\pi}$ [33]. The cross section due to a transversely polarized neutron beam is given by

$$\frac{d\sigma}{d\Omega}(\theta, \phi) = \frac{d\bar{\sigma}}{d\Omega}(\theta) [1 - A_y(\theta) \sin(\phi)], \quad (41)$$

where ϕ is the azimuthal angle, $\hat{\mathbf{k}}_i$ defines the z direction, and the direction of polarization defines the x axis. An analyzing power $A_y(\theta, \phi)$ can be derived from the transition matrix in the spin-basis using density matrix techniques [34,35], which yield

$$A_y(\theta, \phi) = \frac{\sum_{m_1} \sum_{m'_1, m'_2} 2 \text{Im} [M_{m'_1, m'_2; m_1, \frac{1}{2}} M_{m'_1, m'_2; m_1, -\frac{1}{2}}^*]}{\sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2}. \quad (42)$$

The resulting form will contain a $\sin(\phi)$ that can be factored out to give the expression for $A_y(\theta)$ in Eq. (41).

Polarizing the initial deuteron gives four polarization observables iT_{11} , T_{20} , T_{21} , and T_{22} . Other polarization observables exist but are related by rotational symmetry or are parity-violating or violate time reversal symmetry. The differential scattering cross section in terms of these polarization observables is given by [32]

$$\frac{d\sigma}{d\Omega}(\theta, \phi) = \frac{d\bar{\sigma}}{d\Omega}(\theta) [1 + 2 \text{Re}(it_{11}) iT_{11}(\theta) \sin(\phi) + t_{20} T_{20}(\theta) + 2 \text{Re}(t_{21}) T_{21}(\theta) \cos(\phi) + 2 \text{Re}(t_{22}) T_{22}(\theta) \cos(2\phi)], \quad (43)$$

where t_{11} , t_{20} , t_{21} , and t_{22} are numbers giving the amount of respective polarization. Using density matrix techniques, the vector polarization $iT_{11}(\theta, \phi)$ is given by

$$iT_{11}(\theta, \phi) = -\sqrt{\frac{3}{2}} \frac{\sum_{m_2} \sum_{m'_1, m'_2} \text{Im} [M_{m'_1, m'_2; -1, m_2} M_{m'_1, m'_2; 0, m_2}^* + M_{m'_1, m'_2; 0, m_2} M_{m'_1, m'_2; 1, m_2}^*]}{\sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2}, \quad (44)$$

where $\sin(\phi)$ can be factored out to give $iT_{11}(\theta)$. The tensor polarizations using density matrix techniques are given by

$$T_{20}(\theta) = \frac{1}{\sqrt{2}} \frac{\sum_{m_2} \sum_{m'_1, m'_2} \{ |M_{m'_1, m'_2; 1, m_2}|^2 - 2 |M_{m'_1, m'_2; 0, m_2}|^2 + |M_{m'_1, m'_2; -1, m_2}|^2 \}}{\sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2}, \quad (45)$$

$$T_{21}(\theta, \phi) = -\sqrt{\frac{3}{2}} \frac{\sum_{m_2} \sum_{m'_1, m'_2} \text{Re} [M_{m'_1, m'_2; 0, m_2} (M_{m'_1, m'_2; 1, m_2}^* - M_{m'_1, m'_2; -1, m_2}^*)]}{\sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2}, \quad (46)$$

and

$$T_{22}(\theta, \phi) = \sqrt{3} \frac{\sum_{m_2} \sum_{m'_1, m'_2} \text{Re} [M_{m'_1, m'_2; 1, m_2} M_{m'_1, m'_2; -1, m_2}^*]}{\sum_{m_1, m_2} \sum_{m'_1, m'_2} |M_{m'_1, m'_2; m_1, m_2}|^2}, \quad (47)$$

where again the ϕ dependence can be factored out leaving only θ dependence. The polarization observables can also be derived using the techniques in Ref. [36], which has the advantage of writing the angular dependence in terms of

Legendre polynomials, where their coefficients are given by the scattering amplitudes. This gives analytical insight into how the shape of polarization observables are related to the scattering amplitudes.

V. RESULTS

The EFT $_{\pi}$ differential scattering cross section up to N²LO at a neutron laboratory energy of $E_n = 3.0$ MeV is shown in Fig. 5 and compared with data from Schwarz *et al.* [37]. The solid green line is the LO prediction, the dashed blue line the

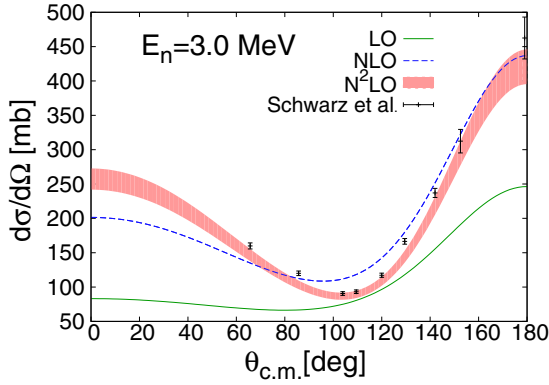


FIG. 5. *nd* scattering cross section for $E_n = 3.0$ MeV with experimental data from Schwarz *et al.* [37]. The LO prediction (without theoretical errors) is the solid green line, the dashed blue line the NLO prediction (without theoretical errors), and the solid red band the N^2 LO prediction with a 6% error estimate.

NLO prediction, and the red band the N^2 LO prediction with a 6% error estimate from the EFT_{π} power counting. Relatively

good agreement at N^2 LO is observed with respect to the experimental data, and the minimum at N^2 LO coincides with that of the available experimental data. Theoretical errors are not shown on the LO and NLO results, but we see that the EFT_{π} treatment converges on the data. The EFT_{π} power counting predicts a naive error estimate of $(Q/\Lambda_{\pi})^n \sim (1/3)^n$ for the N^n LO scattering amplitudes, where $Q \sim \gamma_t$ and $\Lambda_{\pi} \sim m_{\pi}$. The N^3 LO cross section is not shown. The doublet S -wave channel at N^3 LO is not renormalized by the three-body forces H_{N^3LO} and $H_2^{N^3LO}$ alone. Another three-body force is missing, one that likely mixes the Wigner-symmetric and Wigner-antisymmetric channels. This issue will be addressed in future work.

The results of the EFT_{π} calculation for the vector analyzing power, A_y , at neutron laboratory energies of $E_n = 1.2, 1.9,$ and 3.0 MeV are shown in Fig. 6. At $E_n = 3.0$ MeV the solid black line is from a PMC using the AV-18 and Urbana (UR) potential with the hyperspherical harmonics technique [38]. The experimental data for A_y at $E_n = 1.2$ and 1.9 MeV are from Neidel *et al.* [39] and those at $E_n = 3.0$ MeV are from McAninch *et al.* [40]. The peak of A_y is primarily determined by the minimum of the cross section. All of the polarization observables are given as a ratio of cross

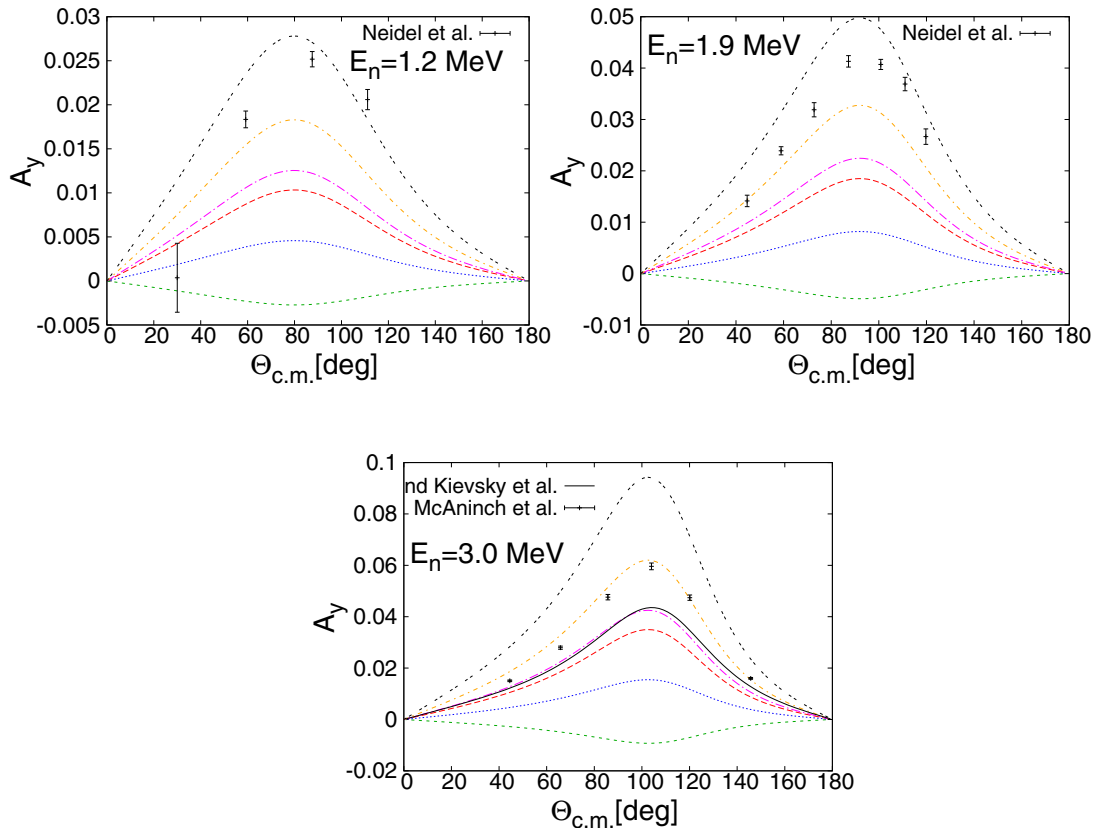


FIG. 6. The dashed lines are EFT_{π} results for A_y for several sets of C^{3P_j} coefficients varied by 15% around their central values. Top left: $E_n = 1.2$ MeV, experimental data from Neidel *et al.* [39]. Top right: $E_n = 1.9$ MeV, experimental data from Neidel *et al.* [39]. Bottom: $E_n = 3.0$ MeV, the solid line is a PMC calculation from Kievsky *et al.* using AV-18+UR [38], with experimental data from McAninch *et al.* [40]. In the following, “+” stands for 15 percent above central values given in Eq. (9); “0” is at central value; and “-” is 15 percent below central value. The coefficient values ($C^{3P_0}, C^{3P_1}, C^{3P_2}$) used to produce the curves shown are (from lowest EFT_{π} curve to highest EFT_{π} curve on the plots): big dots (green) = (+, -, +); small dots (blue) = (+, 0, +); long dash (red) = (0, 0, 0); long dash-dot (purple) = (0, 0, +); short dash-dot (orange) = (-, 0, 0); double dot (black) = (-, +, +).

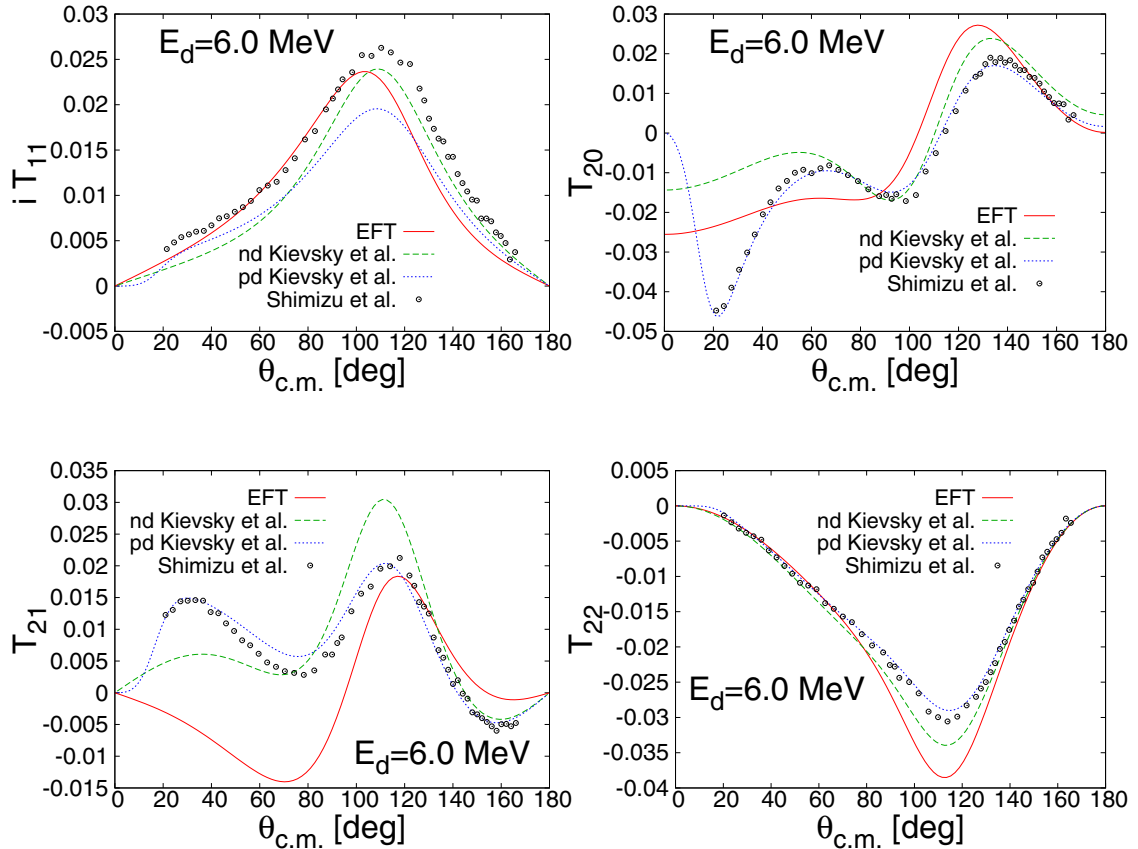


FIG. 7. The solid red line is the $EFT_{\mathcal{T}}$ prediction (without theoretical error bars) for deuteron polarization observables in nd scattering, the dashed green line PMC calculations using AV18+UR for nd scattering [38], and the dotted blue line PMC calculations using AV18+UR for pd scattering [38]. All experimental data is for pd scattering from Shimizu *et al.* [41] at a laboratory deuteron energy of $E_d = 6.0$ MeV.

sections in which the unpolarized cross section is in the denominator. In a strictly perturbative N^3LO calculation of polarization observables, the denominator should be expanded and the unpolarized cross section need only be calculated to NLO, because the numerator of all polarization observables starts at N^2LO from the first nonzero contribution from two-body SD -mixing. However, expanding the denominator puts the minimum at the (experimentally) wrong place and the maximum of A_y qualitatively at the wrong place. Therefore, for these results the perturbative cross section up to N^2LO is kept in the denominator for polarization observables without the denominator being further expanded.⁴

While the position of the peak of A_y depends primarily on the minimum of the cross section, its magnitude depends

primarily on the two-body P -wave contact interaction C^{3Pj} terms. The contribution to A_y from the SD -mixing term is negligible at about three orders of magnitude smaller than the contribution from the two-body P -wave contact interactions. In Fig. 6 the different dashed curves correspond to different choices for the C^{3Pj} coefficients. These coefficients are fit to the Nijmegen P -wave phase shifts for np scattering, yielding the central values in Eq. (9). But we expect a substantial $EFT_{\mathcal{T}}$ theoretical error associated with this fit because these terms are the leading $EFT_{\mathcal{T}}$ terms contributing to NN P -wave scattering. Therefore we vary each of the three C^{3Pj} coefficients by 15 percent from their central values. Representative curves are shown in Fig. 6. The most obvious conclusion is that when appropriate theoretical errors are applied to the C^{3Pj} coefficients, a large range of A_y 's can be accommodated. This motivates us to go to higher order.

While the curves shown in Fig. 6 are just a representative sample, we note the following scaling: As C^{3P0} increases from the central value in Eq. (9), with the other coefficients held constant, A_y decreases substantially at all energies. A_y is most sensitive to variations of this coefficient about its central value when the other two coefficients are held constant within their allowed variation. Next in sensitivity are changes in C^{3P1} , and then changes in C^{3P2} (again with other coefficients held constant within their allowed variation). But for the

⁴The different peak position of A_y between treating the denominator strictly perturbatively or resumming higher order contributions can be considered an estimate of the $EFT_{\mathcal{T}}$ error. Therefore a more accurate assessment of the $EFT_{\mathcal{T}}$ error of A_y would not only include the error in the magnitude of A_y , but also the error in its peak position. However, the magnitude of A_y primarily depends on the two-body P -wave contact interactions and the peak position is independent of these contact interactions. Thus choosing the peak position of A_y to be near the experimental values, the error in the magnitude of A_y is then separately addressed.

$J = 1, 2$ values, A_y increases as these coefficients increase. The contributions of the C^{3P_J} coefficients to A_y are not independent since if the C^{3P_J} coefficients are all equal their total contribution to A_y is zero.

All of the A_y observables are calculated at a cutoff of $\Lambda = 10^6$ MeV. It is necessary to use a large cutoff, because the three-body 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels go asymptotically like $q^{-0.545\dots}$ [27] and as a function of Λ converge slowly.⁵ A new three-body counterterm for the 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels will be needed at order $N^{3.5}$ LO [27] and is necessary for a N^4 LO calculation. In addition, the channel ${}^2S_{1/2} \rightarrow {}^4D_{3/2}$ goes asymptotically like $q^{-0.105\dots+i1.00624\dots}$. Despite the slow rate of convergence, varying this channel within its cutoff variation was found to have almost no effect on the observables studied here at the order to which we are working. However, given that a three-body force occurs in this channel at order $N^{3.1}$ LO [27], a new three body force must be included in a N^4 LO calculation. All other channels converge much faster and are well converged at cutoffs of a few thousand MeV.

The deuteron polarization observables are given in Fig. 7, where the solid red line is the $EFT_{\mathcal{N}}$ prediction (not including theoretical errors) using the central values for C^{3P_J} coefficients given in Eq. (9), the long-dashed green line a PMC for *nd* using AV-18+UR [38], and the dotted blue line a PMC for *pd* using AV-18+UR [38]. All of the data shown is for *pd* scattering from Shimizu et al. [41] at a laboratory deuteron energy of $E_d = 6.0$ MeV. The results for *nd* scattering should roughly agree with those of *pd* scattering at higher energies and backward angles where Coulomb effects are less important. Rough qualitative agreement is observed for all polarization observables. The contribution from *SD*-mixing is significant for all polarization observables except iT_{11} , where the *SD*-mixing contribution is three orders of magnitude smaller than the two-body *P*-wave contact interaction contributions. In fact, iT_{11} changes in the same manner that A_y changes when the two-body *P*-wave coefficients are varied. The contribution from the two-body *P*-wave contact interactions for T_{20} is roughly the same size as *SD*-mixing contributions, negligible for T_{21} , and about two orders of magnitude smaller than *SD*-mixing for T_{22} .

VI. CONCLUSION

Using the techniques in Refs. [15,21], polarization observables in *nd* scattering have been calculated to N^3 LO in $EFT_{\mathcal{N}}$. The polarization observables in $EFT_{\mathcal{N}}$ receive nonzero contributions from the two-body *SD*-mixing term and the two-body *P*-wave contact interactions, C^{3P_J} . Contributions from the two-body *P*-wave contact interactions were calculated by the introduction of a *P*-wave auxiliary field. This approach leads to great analytical and numerical simplifications in the calculation of the two-body *P*-wave contact interaction contri-

butions to *nd* scattering amplitudes at N^3 LO, and will be useful for higher order $EFT_{\mathcal{N}}$ calculations and halo EFT calculations as well. We find that the A_y and iT_{11} polarization observables are dominated by contributions from the two-body *P*-wave contact interactions while *SD*-mixing contributions are three orders of magnitude smaller. The T_{20} observable receives roughly equal contributions from *SD*-mixing and two-body *P*-wave contact interactions, T_{21} only has contributions from *SD*-mixing, and T_{22} primarily receives contributions from *SD*-mixing with contributions from the two-body *P*-wave contact interactions being two orders of magnitude smaller.

Deuteron polarization observables for *nd* scattering were compared with available *pd* data and PMC. Rough qualitative agreement is found at larger angles where effects from the Coulomb interaction are less important. The nucleon vector analyzing power A_y was calculated at $E_n = 1.2, 1.9,$ and 3.0 MeV and was found to vary widely given the theoretical error associated with the two-body *P*-wave coefficients. However, the position of the A_y peak is predicted well in $EFT_{\mathcal{N}}$. Significantly, we find that we can account for all the low energy A_y data considered here so long as we allow the extracted coefficients C^{3P_J} to vary within their expected $EFT_{\mathcal{N}}$ theoretical errors. Hence there is no disagreement at the moment between $EFT_{\mathcal{N}}$ and experiment within theoretical error. But this motivates us to pursue a higher order calculation.

In calculating the *nd* scattering amplitudes the cutoff was taken to be $\Lambda = 10^6$ MeV. A large cutoff was necessary to achieve convergence in the three-body N^3 LO 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels as they behave asymptotically like $q^{-0.545\dots}$ [27], and therefore have slow convergence. The asymptotic form of the 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels will lead to the need for a three-body force at $N^{3.5}$ LO [27]. Some authors would advocate that order by order in the $EFT_{\mathcal{N}}$ expansion the cutoff variation with respect to Λ should grow smaller (for a discussion of this see Ref. [42]). In such a power counting scheme the three-body force occurring at $N^{3.5}$ LO would be promoted to N^3 LO. Although this is a desirable property for a power counting it is not rigorously motivated in the three-body sector.⁶ In future work we will calculate N^4 LO contributions, which include the $N^{3.5}$ LO three-body force terms in the 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels. Unfortunately, these three-body forces must be fit to three-body data in the 4P_J , 2P_J , and ${}^4P_J \rightarrow {}^2P_J$ channels, which are expected to be important for obtaining an accurate description of A_y because *P* waves are the first angular momenta that cause splitting among J values. The three-body forces can be fit to A_y at one energy and then predictions can be made for other energies. At N^4 LO there will also be a new two-body *SD*-mixing term, a new energy dependent three-body force in the doublet *S*-wave channel, and a three-body *SD*-mixing term that can be fit to the asymptotic *D/S*-mixing ratio of the triton wave function.

In this $EFT_{\mathcal{N}}$ calculation the doublet *S*-wave channel was only calculated to N^2 LO. The doublet *S*-wave channel has

⁵For the 2P_J channel the leading asymptotic behavior comes from the Wigner-antisymmetric piece, which is equivalent to the asymptotic behavior of the 4P_J channel [27].

⁶In the two-body sector it can be shown analytically using cutoff regularization that order by order the cutoff variation gets smaller.

only one J value and therefore no splitting for different J values occurs. As a result the doublet S -wave only contributes up to NLO in the numerator for all polarization observables. The denominator of all polarization observables is given by the unpolarized nd scattering cross section. In a strictly perturbative approach we would expand the denominator. Since the first nonzero contribution to the numerator of polarization observables occurs at N²LO the doublet S -wave contribution from the expanded denominator would again only be needed to NLO for a N³LO calculation. However, we find that the peak of A_y depends on the minimum of the cross section that is only reproduced well at N²LO. Therefore we resum certain higher order contributions into the denominator and keep the cross section expanded perturbatively to N²LO in the denominator. Future calculations will include the N³LO doublet S -wave channel and calculate the N³LO cross section. This should not significantly change the results since good agreement is already observed with experimental data at N²LO for the cross section. Calculation of the doublet S -wave channel to N³LO is likely complicated by the requirement of an additional Wigner-antisymmetric to Wigner-symmetric three-body force. Griesshammer [27] claims that a Wigner-antisymmetric to Wigner-symmetric three-body force should occur at N³LO due to suppression from the Pauli principle, while Birse [28] claims that it should occur at N³LO as the Pauli principle is already included in a naive asymptotic analysis. Having fit H_{N^3LO} to the doublet S -wave scattering length and $H_2^{(N^3LO)}$ to the triton binding energy we find the doublet S -wave nd scattering amplitude is not properly renormalized, suggesting the need for a new three-body force as claimed by Birse. Future work will address this new three-body force in order to have a complete N³LO calculation. Also at N³LO and higher orders the divergences that must be renormalized become worse, leading to potential numerical issues, especially at higher cutoffs due to numerical fine tuning.

Finally, calculating polarization observables in pd scattering is of interest due to the larger data set available for such interactions. Such calculations are complicated due to Coulomb interactions, but have been performed in EFT _{χ} in the quartet and doublet S -wave channels in which Coulomb effects are treated “perturbatively”⁷ [17,43]. Higher partial waves will be needed but are in principle straightforward to include. The main stumbling block to calculations in pd scattering are three-body forces in the doublet S -wave channel. It was shown in Ref. [17] that at NLO the same three-body forces could not be used to renormalize both nd and pd scattering. This pattern likely persists to N²LO where a new energy-dependent three-body force occurs. In the nd system the three-body forces are fit to the doublet S -wave nd scattering length and the triton binding energy. For pd scattering fitting to the ³He binding energy is straightforward, but fitting the doublet S -wave pd scattering length is complicated due to

Coulomb effects. Therefore an appropriate renormalization condition will need to be found for pd scattering at N²LO in order to investigate the large pd data set for polarization observables.

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APPENDIX: PARTIAL WAVE PROJECTION

All of the diagrams used in these calculations need to be projected into the partial wave basis. One approach is to construct all necessary projectors and use them to project all diagrams onto respective partial waves [14,44]. The advantage of this approach is that it makes projecting out diagrams very easy. However, the downside is that a projector must be constructed for every channel of interest and only S - and P -wave projectors have been published to date. Instead of the projector method we adopt a Racah algebra approach that, while computationally more intensive, gives all partial waves at once. The contribution from a generic diagram is given by

$$\left[(\mathcal{K}_{iA}^{jB}(\vec{q}, \vec{\ell}))_{\alpha\alpha}^{\beta\beta} \right]_{yx}, \quad (\text{A1})$$

where i (j) is the initial (final) dibaryon spin polarization, A (B) the initial (final) dibaryon isospin polarization, α (β) the initial (final) nucleon spin, and a (b) the initial (final) nucleon isospin. The subscripts y and x pick out a component of the c.c. space matrix, with $x = 1$ ($x = 0$) corresponding to an initial spin-triplet (spin-singlet) dibaryon and $y = 1$ ($y = 0$) corresponding to a final spin-triplet (spin-singlet) dibaryon. A generic contribution is projected onto a partial wave basis by

$$\begin{aligned} & \left[\mathcal{K}(q, \ell)_{L'L', LS}^J \right]_{yx} \\ &= \frac{1}{4\pi} \sum_{\chi} C_{L, S, J}^{m_L, m_S, M} C_{L', S', J}^{m_{L'}, m_{S'}, M} C_{x, \frac{1}{2}, S}^{i, \alpha, m_S} C_{y, \frac{1}{2}, S'}^{j, \beta, m_{S'}} \\ & \times C_{1-x, \frac{1}{2}, \frac{1}{2}}^{A, a, -\frac{1}{2}} C_{1-y, \frac{1}{2}, \frac{1}{2}}^{B, b, -\frac{1}{2}} \int d\Omega_q \int d\Omega_{\ell} \\ & \times \left[(\mathcal{K}_{iA}^{jB}(\vec{q}, \vec{\ell}))_{\alpha\alpha}^{\beta\beta} \right]_{yx} Y_L^{m_L}(\hat{\mathbf{q}}) (Y_{L'}^{m_{L'}}(\hat{\ell}))^*, \quad (\text{A2}) \end{aligned}$$

where the first two Clebsch-Gordan coefficients couple orbital and spin angular momentum, the next two couple dibaryon and nucleon spin, and the final two couple nucleon and dibaryon isospin. χ sums over all magnetic quantum numbers. In order to treat all elements of a c.c. space matrix simultaneously we define the operators

$$S_x^i = \begin{cases} 1, & x = 0, \\ \sigma_i, & x = 1, \end{cases} \quad T_x^a = \begin{cases} 1, & x = 0, \\ \tau_a, & x = 1. \end{cases} \quad (\text{A3})$$

⁷In Ref. [17] Coulomb is treated nonperturbatively in the two-body sector and in the three-body sector all one-photon exchange diagrams are resummed. In Ref. [43] Coulomb is treated strictly perturbatively in the two- and three-body sector.

Using the Wigner-Eckart theorem these operators when projected out give

$$\langle \frac{1}{2}, m_2' | S_x^i | \frac{1}{2}, m_2 \rangle = \sqrt{\widehat{x}} C_{\frac{1}{2}, x, \frac{1}{2}}^{m_2, i, m_2'}, \quad (\text{A4})$$

and

$$\langle \frac{1}{2}, m_2' | T_x^a | \frac{1}{2}, m_2 \rangle = \sqrt{\widehat{x}} C_{\frac{1}{2}, x, \frac{1}{2}}^{m_2, a, m_2'}. \quad (\text{A5})$$

As an example, a contribution from the SD -mixing term is given by

$$\left[(\mathcal{K}_{iA}^{jB}(\vec{q}, \vec{\ell}))_{\alpha\alpha}^{\beta\beta} \right]_{yx}^{(SD)} = \frac{1}{a + \hat{\mathbf{q}} \cdot \hat{\mathbf{\ell}}} [\sigma_m S_y^j T_{1-y}^B]_{\alpha\alpha}^{\beta\beta} \vec{q}_i \vec{q}_{-m} (-1)^m \delta_{1x}. \quad (\text{A6})$$

Using Eq. (A2) and the identity

$$\frac{4\pi}{3} \ell^2 \int d\Omega_q \int d\Omega_\ell \frac{1}{a + \hat{\mathbf{q}} \cdot \hat{\mathbf{\ell}}} Y_{L'L'}^{m_1}(\hat{\ell})^* Y_{L''L''}^{m_2}(\hat{\mathbf{q}}) Y_1^{m_1}(\hat{\ell}) Y_1^{m_2}(\hat{\mathbf{q}}) = 4\pi \ell^2 \sum_{L''} \sum_{m_1''} \sqrt{\frac{\widehat{L}}{\widehat{L}'}} C_{1,1,L''}^{m_1, m_2, m_1''} C_{L,L'',L'}^{m_1, m_1'', m_1'} C_{1,1,L''}^{0,0,0} C_{L,L'',L'}^{0,0,0} Q_L(a), \quad (\text{A7})$$

the projection of this contribution in the partial wave basis becomes

$$\ell^2 \sqrt{3\widehat{y}(1-y)} \sqrt{\frac{\widehat{L}}{\widehat{L}'}} \sum_{\chi} C_{L,S,J}^{m_L, m_S, M} C_{L',S',J}^{m_{L'}, m_{S'}, M} C_{x, \frac{1}{2}, S}^{i, \alpha, m_S} C_{y, \frac{1}{2}, S'}^{j, \beta, m_{S'}} C_{1-x, \frac{1}{2}, \frac{1}{2}}^{A, \alpha, -\frac{1}{2}} C_{1-y, \frac{1}{2}, \frac{1}{2}}^{B, \beta, -\frac{1}{2}} \\ \times C_{\frac{1}{2}, y, \frac{1}{2}}^{m_2, -j, \widetilde{m}_2} C_{\frac{1}{2}, 1, \frac{1}{2}}^{\widetilde{m}_2, m, m_2'} C_{\frac{1}{2}, 1-y, \frac{1}{2}}^{a, -B, b} C_{1,1,L''}^{i, -m, m_{L''}} C_{L,L'',L'}^{m_L, m_{L''}, m_{L'}} C_{1,1,L''}^{0,0,0} C_{L,L'',L}^{0,0,0} Q_L(a) (-1)^{j+B+m} \delta_{1x}. \quad (\text{A8})$$

The sum over magnetic quantum numbers can then be simplified via Racah algebra yielding

$$\left[\mathcal{K}(q, \ell)_{L'S',LS}^J \right]_{yx}^{(SD)} = 2\sqrt{3\widehat{y}(1-y)} \widehat{S} \widehat{S}' \widehat{L} \widehat{L}'' (-1)^{\frac{1}{2}+x+y+L'+L+S+S'-J} \\ \times \left\{ \begin{matrix} y & \frac{1}{2} & \frac{1}{2} \\ 1 & S' & \frac{1}{2} \end{matrix} \right\} \left\{ \begin{matrix} L'' & 1 & x \\ \frac{1}{2} & S & S' \end{matrix} \right\} \left\{ \begin{matrix} S' & L'' & S \\ L & J & L' \end{matrix} \right\} C_{1,1,L''}^{0,0,0} C_{L,L'',L'}^{0,0,0} \ell^2 Q_L(a) \delta_{1x}. \quad (\text{A9})$$

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