



Nuclear parton distributions and the Drell-Yan process

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We study the nuclear parton distribution functions on the basis of our recently developed semimicroscopic model, which takes into account a number of nuclear effects including nuclear shadowing, Fermi motion and nuclear binding, nuclear meson-exchange currents, and off-shell corrections to bound nucleon distributions. We discuss in detail the dependencies of nuclear effects on the type of parton distribution (nuclear sea vs valence), as well as on the parton flavor (isospin). We apply the resulting nuclear parton distributions to calculate ratios of cross sections for proton-induced Drell-Yan production off different nuclear targets. We obtain a good agreement on the magnitude, target and projectile x , and the dimuon mass dependence of proton-nucleus Drell-Yan process data from the E772 and E866 experiments at Fermilab. We also provide nuclear corrections for the Drell-Yan data from the E605 experiment.

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I. INTRODUCTION

Relying on the QCD factorization theorem [1], parton distributions (PDFs) determine the leading contributions to the cross sections of various hard processes involving leptons and hadrons. In this context, PDFs are universal process-independent characteristics of the target at high invariant momentum transfer Q , which are extracted from global fits [2–5] using data on lepton-nucleon deeply inelastic scattering (DIS), as well as the data on muon pair production in hadron collisions (Drell-Yan reaction, or DY).

Electron and muon DIS experiments off nuclear targets demonstrated significant nuclear effects with a rate that is more than one order of magnitude larger than the ratio of the nuclear binding energy to the nucleon mass [6,7]. These observations rule out the naive picture of the nucleus as a system of quasifree nucleons and indicate that the nuclear environment plays an important role even at energies and momenta much higher than those involved in typical nuclear ground-state processes [6–9].

A few phenomenological approaches to nuclear parton distributions (nPDFs) are available in the literature [10–12]. Typically, such analyses assume separate nuclear corrections for each PDF, which are extracted from global fits to nuclear data including DIS, DY production, heavy-ion collisions, etc. Although these studies are useful in constraining nuclear effects for different partons, they provide little information about the underlying physics mechanisms responsible for the nuclear corrections. Furthermore, they result in a large number of free parameters, as well as nuclear correction factors incorporating explicit parametrizations of the nuclear dependence. We also note that the current phenomenology of nuclear effects in neutrino DIS leads to somewhat controversial results. In particular, Ref. [13] obtains significantly different nuclear PDFs from fits to charged-lepton and (anti)neutrino DIS data, thus challenging the QCD factorization theorem [1].

However, the analyses of Refs. [12,14] do not support this observation.

Here we follow a different approach and study nPDFs using the semimicroscopic model developed in Ref. [15]. The model incorporates a number of nuclear corrections including the smearing with the energy-momentum distribution of bound nucleons (Fermi motion and binding), the off-shell correction to bound nucleon structure functions, the contributions from meson-exchange currents, and the propagation of the hadronic component of the virtual intermediate boson in the nuclear environment. The model quantitatively explains the observed x , Q^2 , and A dependencies of all the existing nuclear DIS data on a wide range of targets from deuteron ^2H to lead ^{207}Pb [15–17].

The model of Ref. [15] accounts for the modification of PDFs in a bound nucleon through the off-shell dependence of structure functions. In a weakly bound system this effect is described as a linear correction in the nucleon virtuality $p^2 - M^2$, with p the nucleon four-momentum and M the nucleon mass [18]. The strength of this effect is governed by the relative response of a PDF to the variation of the nucleon invariant mass p^2 in the vicinity of the mass shell, which is described by a function of the Bjorken variable x , $\delta f(x)$. We note that, by definition, $\delta f(x)$ describes properties of the nucleon and in a certain sense can be viewed as a new nucleon structure function. This function does not contribute to the cross section of the physical nucleon, but it is relevant only for the bound nucleon and describes its response to the interaction with the nuclear medium. The nuclear dependence of this correction is determined by the average nucleon virtuality (off-shellness) in a nucleus. The off-shell correction proved to be an important contribution to the nuclear effect at large x and was determined phenomenologically from the analysis of data on ratios of DIS structure functions in different nuclei [15]. In a simple single-scale model, in which the quark momentum distributions in the nucleon are functions of the nucleon radius, the observed behavior of $\delta f(x)$ can be interpreted in terms of the “swelling” (i.e., increase of the size) of the bound nucleon in the nuclear environment. In particular, the analysis of Ref. [15] suggests that the nucleon core radius increases by

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about 10% in iron, while this effect is significantly smaller, about 2%, in the deuteron.

For simplicity, Refs. [15–17] assume the function $\delta f(x)$ to be universal, i.e., flavor independent and also isospin blind (the same for protons and neutrons). However, our model can naturally incorporate a flavor and isospin dependence into the off-shell function, which would then differ for individual parton flavors and types. We note that an isospin/flavor dependence of δf can lead to predictions similar to other models with explicit flavor dependence [19]. The study of the flavor and isospin dependence of $\delta f(x)$ requires nuclear data on high-energy processes which can provide a flavor selection, like hadronic DY reaction or (anti)neutrino DIS. In the present study, we use data on DY production to verify the predictions of the model of Ref. [15] and also to address possible differences in the off-shell correction between valence and sea-quark distributions.

At small values of x nuclear corrections in DIS are dominated by the effects of the propagation of strongly interacting hadronic states in the nuclear environment [20–22]. Such effects can be described in terms of multiple-scattering series [23,24] in the effective scattering amplitude with the relevant quantum numbers. In this paper we discuss in detail how this coherent nuclear correction depends on the isospin and C parity of the (anti)quark distributions.

We emphasize that the nuclear mechanisms listed above give rise to effects located in different kinematical regions of Bjorken x . For instance, the correction related to the nuclear binding (separation) energy is mostly relevant at large $x \sim 0.5$ – 0.7 [18,25–27], while coherent effects related to the propagation of virtual hadronic states are important at small $x < 0.05$ [20–22]. It is important to realize that these effects, which may appear as unrelated, are actually linked together by the normalization conditions and the energy-momentum sum rules. For instance, the momentum sum rule is known to be a useful tool in predicting the antishadowing region in nPDFs [28,29]. In this paper we use the normalization conditions for the isoscalar and the isovector valence-quark distributions as dynamical equations for the effective scattering amplitudes relevant for the coherent nuclear correction. These equations are then solved in terms of the off-shell function δf , thus providing a relation between the nuclear shadowing and the off-shell effects.

Conventionally, we assume that the relevant nuclear constituents are nucleons interacting via mesonic fields, which provide nuclear binding. The nPDFs are then determined by the convolution of light-cone distribution function of bound nucleons with the corresponding nucleon PDFs. The nucleon light-cone distribution functions are driven by the nuclear spectral function, which defines the energy-momentum distribution of bound nucleons [15–18,25,26,30,31]. The calculation of mesonic correction is less certain and model dependent [32–40]. However, the nuclear mesonic light-cone distributions are subject to important constraints from the energy-momentum conservation and from the equations of motion connecting the nucleon and the meson correlation functions [26]. In this paper we further discuss the resulting relations for the moments of the nuclear meson light-cone distributions and use them to calculate the mesonic correction to nuclear PDFs.

As an important application of our studies, we present detailed predictions for the nuclear DY reaction. The DY process offers a direct probe of the sea-quark content in nucleons and nuclei [41,42]. The use of DY data in combination with DIS data allows, thus, a separation of the nuclear corrections for valence and sea-quark distributions. The measurements of DY production off nuclear targets by the E772 and E866 experiments [43,44] at Fermilab do not show any significant enhancement of the sea-quark distributions in heavy nuclei for $0.1 \leq x \leq 0.3$. Traditionally, this result has been considered in disagreement with the enhancement of the meson cloud of a bound nucleon, which is, in turn, related to the nuclear binding [45]. In this paper we revisit the calculation of nuclear sea- and valence-quark distributions and argue that the enhancement of nuclear antiquarks owing to the nuclear meson-exchange currents (MEC) is partially canceled by a negative shadowing correction. We examine in detail the ratios of DY cross sections for different nuclear targets and show that the predicted nPDFs provide a good description of both the magnitude and the x and mass dependence of the data of Refs. [43,44].

This article is organized as follows. In Sec. II we review the model of nuclear corrections to PDFs and discuss their dependence on the type of PDFs. In particular, we study in detail the nuclear corrections for the isoscalar $q_0 = u + d$ and isovector $q_1 = u - d$ distributions, as well as the dependence of nuclear effects on the C parity of the quark distributions $q^\pm = q \pm \bar{q}$. In Sec. III we apply our results to the muon pair production off nuclear targets and provide a detailed comparison of our predictions with the available data [43,44]. In Sec. IV we discuss and summarize our results.

II. NUCLEAR PARTON DISTRIBUTION FUNCTIONS

It is well known that a PDF describes the momentum distribution of the corresponding parton in a target. While this is true in a reference frame in which the target has a large momentum (infinite momentum frame), the interpretation of PDFs in the target rest frame is somewhat more complicated. In the target rest frame a PDF also depends on the target energy spectrum and it includes the interaction effects of the hadronic component of the virtual photon with the target (see, e.g., [22]). We recall that in the target rest frame the characteristic propagation time (or longitudinal distance) of the hadronic fluctuations of the virtual photon is $L \sim (Mx)^{-1}$, where M is the nucleon mass and x the Bjorken scaling variable [46]. At small x , where L is large, diffraction processes dominate the PDFs. However, when L becomes comparable to the nucleon size, their contribution is reduced.

The scale L can be used to roughly identify two different kinematical regions for nuclear effects. At large values of x , for which $L < d$, where d is the average distance between bound nucleons, nuclear PDFs can be approximated by incoherent contributions from bound protons and neutrons. The picture changes at small x ($L \gg d$), where the effects related to the propagation of the virtual hadronic (or quark-gluon) states in the nuclear medium introduce essential corrections to the impulse approximation. The interference of multiple-scattering contributions and the energy dependence of the corresponding scattering amplitudes can lead to either a negative (shadowing)

or a positive (antishadowing) correction, depending on the values of x . It is worth noting that this correction, in general, is not universal and may depend on the type of PDF, as indicated by the studies of Refs. [15,16,47] and also by phenomenology [11–13].

In this article we study the nuclear quark and antiquark distributions (nPDFs). We use the notation $q_{a/T}(x, Q^2)$ for the distribution of quarks of type $a = u, d, \dots$ in a target T . The (anti)quark distribution in a nucleus receives a number of contributions and can be written as [15] (for brevity, we suppress explicit dependencies on x and Q^2)

$$q_{a/A} = q_{a/A}^{\text{IA}} + \delta_{\text{coh}} q_a + \delta_{\pi} q_a, \quad (1)$$

where the first term on the right side is the contribution from bound protons and neutrons in the impulse approximation, and the other terms are the corrections to the impulse approximation owing to coherent nuclear interactions of the hadronic component of the virtual photon and to nuclear meson-exchange currents, respectively. These contributions are reviewed in the following sections.

A. Impulse approximation and off-shell corrections

It is well known that in the impulse approximation the nPDFs can be written as a convolution of the proton (neutron) distribution of a nucleus with the corresponding PDF of a bound proton (neutron). The nuclear convolution is an integration over both the nucleon light-cone momentum y and the nucleon off-shellness (virtuality) μ^2 , because PDFs in an off-shell nucleon generally depend on its virtuality [18]:

$$\begin{aligned} q_{a/A}^{\text{IA}} &= \sum_{\tau=p,n} f_{\tau/A} \otimes q_{a/\tau} \\ &= \sum_{\tau=p,n} \int_{x < y} \frac{d\mu^2 dy}{y} f_{\tau/A}(y, \mu^2) q_{a/\tau}\left(\frac{x}{y}, Q^2, \mu^2\right). \end{aligned} \quad (2)$$

The proton and the neutron distribution function f can be written in terms of the corresponding nuclear spectral function $\mathcal{P}(\mathbf{p}, \varepsilon)$ [15,18,25,26,30] (for brevity, we drop subscripts identifying the proton and the neutron distributions),

$$\begin{aligned} f(y, \mu^2) &= \int [dp] \left(1 + \frac{p_z}{M}\right) \mathcal{P}(\mathbf{p}, \varepsilon) \\ &\quad \times \delta\left(y - \frac{p_0 + p_z}{M}\right) \delta(\mu^2 - p^2), \end{aligned} \quad (3)$$

where the integration $[dp] = dp_0 d\mathbf{p}/(2\pi)^4$ is performed over the nucleon momentum \mathbf{p} and energy $p_0 = M + \varepsilon$ and $p^2 = p_0^2 - \mathbf{p}^2$ is the invariant mass of the off-shell nucleon. We chose a system of coordinates such that the momentum transfer is antiparallel to the z axis. In the derivation of Eqs. (2) and (3), we also assume the kinematics of the Bjorken limit and drop any powers of Q^{-2} . Note that the Bjorken variable of the target nucleus is defined in terms of the nucleon mass M and the energy transfer q_0 in the target rest frame as $x = Q^2/2Mq_0$. This variable can vary within the interval $0 < x < M_A/M$, where M_A is the mass of a target nucleus.

It should be noted that Eq. (3) was obtained by expanding a general relativistic expression in powers of \mathbf{p}/M and is valid to

the order $\mathbf{p}^2/M^2 \sim |\varepsilon|/M$ (including those terms) [15,18,26]. To this order, the nuclear structure functions in the impulse approximation are determined by the nonrelativistic nuclear spectral function, which can be written as

$$\mathcal{P}(\mathbf{p}, \varepsilon) = \int dt e^{i\varepsilon t} \langle \psi^\dagger(\mathbf{p}, t) \psi(\mathbf{p}, 0) \rangle, \quad (4)$$

where $\psi(\mathbf{p}, t)$ is the nonrelativistic nucleon operator in the momentum-time representation (for more details see Ref. [15]). By definition, the spectral function describes the energy-momentum distribution of bound nucleons. Note that ε in Eq. (4) includes the recoil kinetic energy of the residual system of $A - 1$ nucleons, as can be seen after inserting a complete set of states and integrating over the time. The proton (neutron) spectral function is normalized to the number of bound protons Z (neutrons N), $\int [dp] \mathcal{P}_{p(n)} = Z(N)$. Using Eq. (3) we explicitly verify that the proton and neutron distribution functions are normalized accordingly,

$$\int dy d\mu^2 f_{p(n)/A}(y, \mu^2) = Z(N), \quad (5)$$

where the integral is taken over all possible light-cone momenta y and the nucleon virtuality μ^2 . Equations similar to Eq. (1) can be written for the antiquark and gluon distributions in nuclei. The distribution functions $f_p(n)$ are independent of Q^2 in the Bjorken limit and the Q^2 evolution of nuclear PDFs in the impulse approximation is governed by the evolution of the PDFs of the corresponding nuclear constituents. For the discussion of finite Q corrections to the nuclear convolution (2), we refer to Refs. [15,48] (see also Ref. [27] for spin-dependent DIS).

Note that Eq. (2) describes DIS off an off-shell nucleon and for that reason the bound nucleon PDFs also depend on the invariant mass p^2 as an additional variable. The analysis of the off-shell effect can be significantly simplified by observing that the nucleon virtuality $v = (p^2 - M^2)/M^2$ is, on average, a small parameter [15]. We can then expand the function $q(x, Q^2, p^2)$ as a series in v in the vicinity of the mass shell $p^2 = M^2$, keeping only terms up to the one linear in v ,

$$q(x, Q^2, p^2) \approx q(x, Q^2) [1 + \delta f(x, Q^2) v], \quad (6a)$$

$$\delta f(x, Q^2) = \partial \ln q(x, Q^2, p^2) / \partial \ln p^2, \quad (6b)$$

where $q(x, Q^2)$ is the quark distribution in the on-shell nucleon and the derivative in Eq. (6b) is evaluated at $p^2 = M^2$. The magnitude of the off-shell effect is determined by the function δf . This function describes the response of the quark distribution in a nucleon to the modification of its invariant mass owing to interaction effects in the vicinity of the mass shell. The function δf was extracted phenomenologically from an analysis of data on the nuclear DIS in Ref. [15]. This analysis suggests a common off-shell function for the quark and antiquark distributions, independent of Q^2 and of the parton type. We further test this assumption in Sec. III by comparing our predictions with data on dimuon pair production from proton-nucleus collisions.

Note that, by definition, the function (6b) describes intrinsic properties of the bound nucleon. The hypothesis that δf does not depend on the specific nucleus was verified with

a good accuracy for nuclei ranging from ^{207}Pb down to ^3He [15,17]. We found that the off-shell correction, together with the nuclear binding correction, is important for a quantitative description of the slope and the position of the minimum in the ratio of nuclear structure functions (EMC effect). Overall, this model has been successfully used to explain the observed x , Q^2 , and A dependencies of the existing nuclear DIS data on a wide range of targets from ^2H to ^{207}Pb [15–17].

Complex nuclei typically have different numbers of protons and neutrons, and therefore nuclear PDFs may include both isoscalar and isovector components. To properly take this effect into account, it is convenient to consider the isoscalar $q_0 = u + d$ and the isovector $q_1 = u - d$ combinations of quark distributions. Using the isospin symmetry of PDFs, which can be written as $q_{0/p} = q_{0/n}$ and $q_{1/p} = -q_{1/n}$, from Eq. (1) we infer that q_0 and q_1 are governed by the isoscalar and the isovector nucleon distributions, respectively,

$$q_{0/A} = (f_{p/A} + f_{n/A}) \otimes q_{0/p}, \quad (7a)$$

$$q_{1/A} = (f_{p/A} - f_{n/A}) \otimes q_{1/p}, \quad (7b)$$

where we use the notations defined in Eq. (2). It should be emphasized that the separation of the distributions with different isospin in Eq. (7) is attributable to the isospin symmetry between u and d quark distributions in the proton and neutron.¹ In the present studies, we use the isoscalar and the isovector nuclear spectral function of Ref. [15]. Note that the model of spectral function of Ref. [15] includes both the mean-field contribution and a short-range two-nucleon correlation (SRC), giving rise to high-momentum as well as high-energy components in the spectrum of intermediate nuclear states in Eq. (4). We assume the SRC contribution to be similar for the proton and the neutron nuclear distribution. In particular, we assume that the SRC term only contributes to the isoscalar distribution $f_0 = (f_{p/A} + f_{n/A})/A$ and that it cancels out in the isovector distribution $f_1 = (f_{p/A} - f_{n/A})/A$. This behavior is supported by the observation of the dominance of pn SRC pairs in nucleon knockout experiments [50], as well as by a recent analysis of the nuclear momentum distributions in the high-momentum region [51]. The isovector distribution f_1 is calculated as the difference between the mean-field contributions to the proton and the neutron spectral functions and is proportional to the nuclear asymmetry $\beta = (Z - N)/A$ [15].

The correction driven by the nuclear spectral function (Fermi motion and nuclear binding [25,26]) along with the off-shell correction [18] are the leading nuclear effects at large x , as verified by the extensive studies of Refs. [15,17]. At small x there are significant corrections to the impulse approximation. It is worth mentioning that to satisfy the nuclear light-cone momentum sum rule, contributions from degrees of freedom other than nucleons are required. Indeed, we can obtain the fraction of the nuclear light-cone momentum carried by nucleons after integrating the nucleon distribution

function,

$$\langle y \rangle_N = \int dy dp^2 f_0(y, p^2) y = 1 + \frac{\langle \varepsilon \rangle + \frac{2}{3} \langle T \rangle}{M}, \quad (8)$$

where $\langle \varepsilon \rangle$ and $\langle T \rangle = \langle p^2 \rangle / 2M$ are the nucleon separation and kinetic energy, respectively, averaged with the isoscalar nuclear spectral function.² The correction to unity in Eq. (8) is negative, suggesting that the impulse approximation violates the nuclear light-cone momentum balance. This is not unexpected because the fields responsible for the nuclear binding also carry the missing light-cone momentum and therefore they should be considered explicitly.

B. Correction owing to nuclear meson-exchange currents

The correction originated from the virtual mesons exchanged between bound nucleons was extensively discussed in the context of the nuclear EMC effect [32–36,38]. Following the approach of Sec. II A, this correction can be written in terms of the convolution (2) of the nuclear pion distribution function with the (anti)quark distribution in a virtual pion. Pions can be in three possible charge states: π^0, π^+, π^- . Similarly to Sec. II A, we separate the pion corrections for the isoscalar and the isovector nuclear PDFs. Assuming the isospin symmetry of the quark distributions in different pion states, $q_{0/\pi^+} = q_{0/\pi^-} = q_{0/\pi^0}$ and $q_{1/\pi^+} = -q_{1/\pi^-}$ and $q_{1/\pi^0} = 0$, we have [15]

$$\delta_\pi q_{0/A}(x, Q^2) = f_{\pi/A} \otimes q_{0/\pi}, \quad (9a)$$

$$\delta_\pi q_{1/A}(x, Q^2) = (f_{\pi^+/A} - f_{\pi^-/A}) \otimes q_{1/\pi^+}. \quad (9b)$$

The pion distribution entering in the first equation, $f_{\pi/A}$, is the sum over the pion states π^+, π^0 , and π^- . The pion distributions refer only to the nuclear pion excess, because the scattering-off virtual pion emitted and absorbed by the same nucleon (nucleon pion cloud) should be already included into the proton and neutron PDFs.

The isospin symmetry suggests an equal distribution of pion quarks and antiquarks in the isoscalar combination; thus, $q_{0/\pi}^- = 0$. For this reason, the pion correction to the isoscalar nuclear valence-quark distributions vanishes, $\delta_\pi q_{0/A}^- = 0$. However, the nuclear sea is obviously affected by the pion contribution. Note that the isovector part of the valence-quark distribution $q_{1/\pi}^-$ is finite. Thus, nuclear pions, in general, do contribute to the isovector nuclear valence distribution $q_{1/A}^-$. This correction is driven by the $\pi^+ - \pi^-$ asymmetry of the nuclear pion distributions, as can be seen from Eq. (9). In the present discussion we assume, for simplicity, identical π^+ and π^- nuclear distributions and postpone the discussion of the isovector pion effect for future studies. Therefore, we also have $\delta_\pi q_{1/A}^- = 0$.

¹Possible violations of the isospin symmetry in PDFs were discussed in Ref. [49].

²Note that our definition of ε includes the energy of the recoil nucleus such that, e.g., for the deuteron $\varepsilon = \varepsilon_D - p^2/2M$, where $\varepsilon_D \approx -2.2$ MeV is the deuteron binding energy. See Refs. [17,27] for a discussion about the relation between ε and the “conventional” separation energies and spectral functions.

The pion light-cone distribution can be written as [15,26]

$$f_{\pi/A}(y, \mu^2) = 2yM \int [dk] \mathcal{D}_{\pi/A}(k) \times \delta\left(y - \frac{k_0 + k_z}{M}\right) \delta(\mu^2 - k^2), \quad (10)$$

$$\mathcal{D}_{\pi/A}(k) = \int dt \exp(ik_0 t) \langle \varphi^\dagger(\mathbf{k}, t) \varphi(\mathbf{k}, 0) \rangle, \quad (11)$$

where, similarly to Eq. (4), the averaging is taken over the nuclear ground state and φ is the pion field operator in the momentum-time space.³ We also assume the sum over different pion isospin states.

The pion energy-momentum distribution $\mathcal{D}_{\pi/A}(k)$ is proportional to the imaginary part of the full pion propagator in a nucleus, which is, in turn, proportional to the spin-isospin nuclear response function. This relation was exploited in a number of calculations of the nuclear pion/meson correction to the nuclear structure functions [33,36,38,40]. These studies show an enhancement of the nuclear structure functions in the region $0.05 < x < 0.15$. However, it should be noted that the specific results on the nuclear pion distribution are sensitive to the details of the pion-nucleon form factor, as well as to the treatment of the particle-hole nuclear excitations (i.e., uncertainties in the values and possible energy-momentum dependence of the Landau-Migdal parameters) and of the Δ degrees of freedom in the response function.

We use a different approach [26]. In the following we discuss the constraints on the pion distribution function, which can be derived by imposing the nuclear light-cone balance and by studying the meson contribution to the nuclear potential energy. We focus on the dependence on the light-cone variable and do not discuss the off-shell effect on the virtual pion PDFs. To this end, we integrate the distribution (10) over the pion virtuality, $f_{\pi/A}(y) = \int d\mu^2 f_{\pi/A}(y, \mu^2)$.

Equation (10) defines an antisymmetric function $f_{\pi/A}(-y) = -f_{\pi/A}(y)$. This property allows us to derive the sum rules for the odd moments of the pion distribution function in the physical region of $y > 0$. We notice that the first moment of Eq. (10) reduces to the light-cone component of the pion energy-momentum tensor $\theta_{++}^\pi = (\partial_0 \varphi)^2 + (\partial_z \varphi)^2$ in a nucleus,

$$\langle y \rangle_\pi = \int dy y f_{\pi/A}(y) = \langle \theta_{++}^\pi \rangle / M. \quad (12)$$

It was shown in Ref. [26] that the nucleon and the pion distribution functions [Eqs. (3) and (10)] are consistent with the light-cone momentum balance equation,

$$\langle y \rangle_\pi + \langle y \rangle_N = M_A / (AM), \quad (13)$$

where $M_A = A(M + \varepsilon_B)$ is the nucleus mass and ε_B is the nuclear binding energy per nucleon.

To further constrain the pion distribution (10), we consider the average y^{-1} , which is proportional to φ^2 averaged over the

nuclear ground state [26],

$$\langle y^{-1} \rangle_\pi = \int dy y^{-1} f_{\pi/A}(y) = M \langle \varphi^2 \rangle. \quad (14)$$

A number of constraints on the nuclear pion distribution $\mathcal{D}_{\pi/A}(k)$ can be obtained in a model with a nuclear Hamiltonian including nucleons interacting with the pion field. Consider the pion kinetic term in the nuclear Hamiltonian. Its mean value over the nuclear ground state can be written as

$$\langle (\nabla \varphi)^2 + m_\pi^2 \varphi^2 \rangle = -\langle V_\pi \rangle, \quad (15)$$

where $\langle V_\pi \rangle$ is the contribution to the nuclear potential energy owing to the one-pion exchange potential, averaged over the nuclear ground state. This relation can be derived by using the equation of motion for the pion field operator in the static approximation $\partial_0 \varphi = 0$ [26]. In this context we note that the pion field in nuclei is generated by nucleon sources and its time dependence describes retardation effects in the nucleon-nucleon interaction. In a nonrelativistic system this effect is small because typical energy variations are small compared to the pion mass. In the same approximation, for the pion energy-momentum tensor we have $\langle \theta_{++}^\pi \rangle = \frac{1}{3} \langle (\nabla \varphi)^2 \rangle$. Using this relation in Eqs. (12) and (15), we obtain

$$\langle (\nabla \varphi)^2 \rangle = 3M \langle y \rangle_\pi, \quad (16a)$$

$$m_\pi^2 \langle \varphi^2 \rangle = -\langle V_\pi \rangle - 3M \langle y \rangle_\pi. \quad (16b)$$

It is interesting to note that the normalization of the pion distribution (10), i.e., the average pion excess number in a nucleus, can be constrained in terms of the moments $\langle y \rangle_\pi$ and $\langle y^{-1} \rangle_\pi$. Indeed, assuming $f_{\pi/A} \geq 0$, we apply the triangle inequality to the distribution (10) and obtain

$$N_\pi = \int dy f_{\pi/A}(y) < (\langle y \rangle_\pi \langle y^{-1} \rangle_\pi)^{1/2}. \quad (17)$$

At this point it should be noted that the pion exchange alone is not sufficient to describe the nucleon-nucleon interaction. Other mesons, such as scalar σ , vector ω , and ρ , contribute to both the nucleon-nucleon interaction and the nuclear DIS. Their contribution to the nPDFs is described by equations similar to Eqs. (10) and (11), with the pion field replaced by the corresponding mesonic field. The light-cone balance equation (13) and the pion contribution to the nuclear potential energy in Eq. (15) can be generalized to include other mesonic contributions. Let us consider the light-cone distribution function corresponding to the sum of π , ρ , ω , and σ mesons:

$$f_M(y) = \sum_{m=\pi, \rho, \dots} f_m(y). \quad (18)$$

The moments of this distribution can be written similarly to Eqs. (12) and (14) as

$$\langle y \rangle_M = \frac{1}{3M} \sum_m \langle (\nabla \phi_m)^2 \rangle, \quad (19)$$

$$\langle y^{-1} \rangle_M = M \sum_m \langle \phi_m^2 \rangle, \quad (20)$$

³ $\varphi(\mathbf{k}, t)$ is the Fourier transform of the pion field operator $\varphi(\mathbf{r}, t)$ in the Heisenberg representation.

where ϕ_m^2 is the corresponding meson field squared for the (pseudo)scalar mesons, and we have $\phi_\omega^2 = \omega^2 - \omega_0^2$ for the vector mesons and a similar term for the ρ mesons.

The generalization of the light-cone balance equation is straightforward, because Eq. (13) holds in the presence of several meson fields, with $\langle y_\pi \rangle$ replaced with the total meson light-cone momentum $\langle y_M \rangle$. The pion energy equation (15) can also be generalized for the presence of several meson fields,

$$\sum_m \langle (\nabla \phi_m)^2 + m_m^2 \phi_m^2 \rangle = -\langle V \rangle, \quad (21)$$

where m_m is the mass of the corresponding meson. In the right-hand side of Eq. (21) the term $\langle V \rangle$ is the nuclear potential energy, i.e., the full one-meson-exchange potential $V = V_\pi + V_\omega + V_\rho + V_\sigma$ averaged over the nuclear ground state. The nuclear potential energy $\langle V \rangle$ is related to the mean separation and kinetic energy as (see Sec. 3 of Ref. [26] for more detail)

$$\langle \varepsilon \rangle = \langle T \rangle + \langle V \rangle. \quad (22)$$

Using Eqs. (19) and (21), we can estimate the average ϕ_m^2 , which determines the moment $\langle y^{-1} \rangle_M$,

$$m_M^2 \sum_m \langle \phi_m^2 \rangle = -\langle V \rangle - 3M \langle y \rangle_M, \quad (23)$$

where m_M is an average meson mass.

We use the constraints and equations discussed above to model the nuclear meson distribution (10). It is important to note that Eqs. (19) through (23) allow us to constrain the overall behavior of the meson distribution in terms of the nucleon spectral function (4) and the energy parameters $\langle \varepsilon \rangle$ and $\langle T \rangle$. We must consider a realistic parametrization of the distribution (10). We first note that Eq. (10) shows a linear dependence on y as $y \rightarrow 0$. The distribution (10) is concentrated mainly in the region $y \sim k_M/M$, where k_M is a typical virtual meson momentum, which can be estimated as

$$k_M^2 = \sum_m \langle (\nabla \phi_m)^2 \rangle / \sum_m \langle \phi_m^2 \rangle = 3M^2 \langle y \rangle_M / \langle y^{-1} \rangle_M. \quad (24)$$

Note that this equation gives the average pion momentum in terms of the moments $\langle y \rangle_M$ and $\langle y^{-1} \rangle_M$ of the light-cone distribution (18). Using Eqs. (19) through (23) and $\langle \varepsilon \rangle$ and $\langle T \rangle$ calculated with the spectral function of Ref. [15], we obtain $\langle y \rangle_M = 0.023$ and $\langle y^{-1} \rangle_M = 0.954$ for iron, while for the deuteron we obtain 0.0045 and 0.402, respectively. These values suggest that the characteristic value of $y \sim k_M/M$ spans the region 0.2–0.3 for light and heavy nuclei. The region $y \sim 1$ requires relativistic momenta of virtual mesons ~ 1 GeV, which we assume to be suppressed. On the basis of these arguments, we consider the following model for the meson distribution in the region $0 < y < 1$:

$$f_{M/A}(y) = c y(1 - y)^n. \quad (25)$$

The parameters c and n are fixed from $\langle y \rangle_M$ and $\langle y^{-1} \rangle_M$. By integrating Eq. (25), we obtain an average meson number $N_M = 0.11$ for the iron nucleus and 0.031 for the deuteron.

C. Effects owing to propagation of intermediate hadronic states

In this section we review corrections arising owing to the propagation of the hadronic component of the intermediate boson in the nucleus rest frame. These effects are relevant at low values of the Bjorken x , as the virtual hadronic states have an average lifetime (or the correlation length) $L \sim (Mx)^{-1}$ [46]. For the leading contribution to the DIS structure functions the coherent multiple-scattering interactions of the intermediate states with the nucleons lead to a negative correction known as the nuclear shadowing effect, as discussed in a number of studies (for a review, see Ref. [22]).

We follow the approach developed earlier in Refs. [15,16] for the structure functions and evaluate the corresponding corrections to nuclear PDFs. We approximate the sum over the set of intermediate hadronic states by a single effective state and describe its interaction with the nucleon with an effective scattering amplitude a . Let a_{qp} and $a_{\bar{q}p}$ be the effective proton-scattering amplitude of this state corresponding to the quark and antiquark distributions of type q in the proton. Similar notations are used for the neutron distributions. The ratio $\delta\mathcal{R} = \delta_{\text{coh}} q_N / q_N^{\text{coh}}$ describes the relative nuclear effect in the coherent component of the quark distribution. Using the optical theorem this ratio can be written in terms of effective cross sections, or the imaginary part of the effective amplitudes in the forward direction,

$$\delta\mathcal{R}_f = \text{Im } \mathcal{T}^A(a_f) / (A \text{Im } a_f), \quad (26)$$

where $\mathcal{T}^A(a)$ is the sum of the nuclear multiple-scattering series driven by the propagation of the intermediate hadronic states in a nucleus. Note that the multiple-scattering series should start from the double-scattering term, as the single-scattering term is already accounted in the impulse approximation of Eq. (1). The elastic scattering amplitude $a(s, k)$ depends on the center-of-mass energy s and the momentum transfer k . We choose a normalization of the amplitude such that the optical theorem reads $\text{Im } a(s, 0) = \sigma(s)/2$, where σ is the total cross section, and write the amplitude as $a = (i + \alpha)(\sigma/2) \exp(-Bk^2/2)$, where the exponent describes the dependence on the momentum transfer.

For the deuteron we only have a double-scattering term and the amplitude \mathcal{T}^D can be written as

$$\mathcal{T}_D = i a_p(0) a_n(0) \int \frac{d^2 k_\perp}{(2\pi)^2} S_D(k_\perp, k_z) e^{-B(k_\perp^2 + k_z^2)}, \quad (27)$$

$$S_D(k) = \int d^3 r e^{ik \cdot r} |\Psi_D(r)|^2, \quad (28)$$

where Ψ_D is the deuteron wave function, $S_D(k)$ is the deuteron elastic form factor, and $a_{p(n)}(0)$ denotes the proton (neutron) scattering amplitude in the forward direction. Equation (27) is similar to the well-known Glauber formula [23]. However, we should note the dependence on the longitudinal momentum transfer k_z , which is not present in Ref. [23]. The longitudinal momentum transfer k_z develops because of inelastic transitions and depends on the mass of the states produced diffractively [24]. We set $k_z = Mx$ to account for a finite longitudinal correlation length of the hadronic component of the intermediate boson at high Q^2 .

For heavy nuclei the double-scattering term has a form similar to Eq. (27) in the optical approximation

$$\mathcal{T}_A^{(2)}(a) = \frac{i}{2}(1 - A^{-1}) \int \frac{d^2 k_\perp}{(2\pi)^2} a(k) S(k) a(-k) S(-k), \quad (29)$$

$$S(k) = \int d^3 \mathbf{r} \exp(i \mathbf{k} \cdot \mathbf{r}) \rho(\mathbf{r}), \quad (30)$$

where ρ is the nuclear density (normalized to the number of particles) and $aS = a_p S_p + a_n S_n$ is the sum of the proton and the neutron terms with the corresponding density distributions. We note that Eq. (29) holds for a generic nucleus of Z protons and N neutrons. Therefore, it accounts for both isoscalar and isovector effects in the nuclear shadowing correction. We discuss the separation of these effects later in this section.

For heavy nuclei the multiple-scattering series goes beyond the double-scattering term [although the double-scattering correction dominates if the mean free path of the hadronic state is larger than the nuclear radius, $(\rho\sigma)^{-1} > R_A$]. The sum of the Glauber multiple-scattering series can be written in a compact form for a $A \gg 1$ nucleus in the optical approximation (see, e.g., Ref. [20]),

$$\begin{aligned} \mathcal{T}^A(a) = i \int_{z_1 < z_2} d^2 \mathbf{b} dz_1 dz_2 a \rho^B(\mathbf{b}, z_1) a \rho^B(\mathbf{b}, z_2) \\ \times e^{i \int_{z_1}^{z_2} dz' a \rho^B(\mathbf{b}, z')} e^{i k_z(z_1 - z_2) - B k_z^2}, \end{aligned} \quad (31)$$

where the integration is performed along the collision axis, chosen to be the z axis, and over the transverse positions of the nucleons (impact parameter \mathbf{b}), $a \rho^B = a_p(0) \rho_p^B + a_n(0) \rho_n^B$ with ρ_p^B and ρ_n^B the proton and the neutron density convoluted with the profile function of the scattering amplitude in the impact parameter space:

$$\rho^B(\mathbf{b}, z) = \int d^2 \mathbf{b}' \frac{\exp[-\frac{(\mathbf{b}-\mathbf{b}')^2}{2B}]}{2\pi B} \rho(\mathbf{b}', z). \quad (32)$$

In Eqs. (31) and (32) we use the $\exp(-Bk^2/2)$ momentum transfer dependence of the scattering amplitude. Note that the proton and the neutron densities are normalized to the proton (Z) and the neutron (N) numbers, respectively. The density in the exponential factor of Eq. (31) accounts for multiple scattering effects (i.e., triple and higher order rescattering). Equation (31) reduces to Eq. (29) in the double-scattering approximation, up to a $1/A$ term.

We now separate the isoscalar and the isovector contributions in Eq. (31), relevant for the u and d quark distributions. To this end, we assume the isospin symmetry for the scattering-off protons and neutrons, i.e., $a_{up} = a_{dn}$ and $a_{dp} = a_{un}$, and write the amplitudes as $a_{up} = a_0 + \frac{1}{2}a_1$ and $a_{dp} = a_0 - \frac{1}{2}a_1$, where a_0 and a_1 are the isoscalar and isovector amplitudes, respectively. To first order in $\beta = (Z - N)/A$ we have [16]

$$\mathcal{T}^A(a_{u,d}) = \mathcal{T}^A(a_0) \pm \frac{1}{2}\beta a_1 \mathcal{T}_1^A(a_0), \quad (33)$$

where $+$ should be taken for u quark, and $-$ for d quark, and $\mathcal{T}_1^A(a) = \partial \mathcal{T}^A(a)/\partial a$. The first and the second terms in Eq. (33) determine the corrections to the isoscalar and the isovector quark distributions, respectively.

An equation similar to Eq. (33) can be obtained for the antiquark amplitudes. It is convenient to discuss combinations

of PDFs with definite isospin and C parity. We define $q_I^\pm = q_I \pm \bar{q}_I$ with $I = 0, 1$ and combine the quark and antiquark amplitudes to derive the nuclear corrections to these PDFs in terms of the ratios $\delta \mathcal{R}_I^C = \delta_{\text{coh}} q_I^C / (A q_{I/p}^C)$ (note that $q_{I/p}^C$ are the proton PDFs). We first consider the isoscalar $I = 0$ case. For coherent nuclear corrections to the C -even and C -odd quark distributions, we have

$$\delta \mathcal{R}_0^+ = \text{Im } \mathcal{T}^A(a_0^+) / (A \text{Im } a_0^+), \quad (34a)$$

$$\delta \mathcal{R}_0^- = \text{Im } [a_0^- \mathcal{T}_1^A(a_0^+) / (A \text{Im } a_0^-)], \quad (34b)$$

where $a_0^\pm = a_0 \pm \bar{a}_0$ are the isospin 0 amplitudes with C -parity $C = \pm 1$. We note that Eqs. (34) were obtained by treating the C -odd amplitude as a small parameter and expanding the difference between the quark and antiquark nuclear amplitudes in series of a_0^- to the order $(a_0^-)^2$ [16]. The effective expansion parameter in Eqs. (34) is the ratio of the amplitudes a_0^- / a_0^+ . The smallness of this parameter can be justified within the Regge pole model of high-energy scattering amplitudes. Indeed, the Pomeron gives the leading contribution to the C -even amplitude a_0^+ . However, its contribution cancels out in the C -odd amplitude a_0^- , which is determined by subleading Regge poles.

It should be noted that the C -odd ratio \mathcal{R}_0^- from Eq. (34b) is independent of the C -odd cross section σ_0^- , but depends on the ratio $\alpha_0^- = \text{Re } a_0^- / \text{Im } a_0^-$ and on the C -even cross section, which determines the rate of nuclear effects on PDFs. The result is also affected by the interference of the real parts of the amplitudes in the C -even and C -odd channels. It is interesting to note that we obtain a simple relation between \mathcal{R}_0^+ and \mathcal{R}_0^- if we only consider the double-scattering term in Eqs. (34). We have [15]

$$\frac{\delta \mathcal{R}_0^-}{\delta \mathcal{R}_0^+} = 2 \frac{1 - \alpha_0^- \alpha_0^+}{1 - \alpha_0^{+2}}. \quad (35)$$

This equation suggests that the relative nuclear effect for the C -even and the C -odd cross sections is independent of the cross section and only depends on the Re/Im ratios of the amplitudes.⁴ In case of vanishing α_0^+ the relative C -odd shadowing effect is enhanced by a factor of 2 [47].

We now discuss the isovector coherent (shadowing) correction to the nuclear (anti)quark distributions. To this end, we consider the multiple-scattering corrections to the C -even and C -odd isovector combination $a_u - a_d$ using Eq. (33). Similarly to the isoscalar case discussed above, we expand the terms $\mathcal{T}_1^A(a_0^\pm \pm \frac{1}{2}a_0^-)$ in Eq. (33) in series of a_0^- . To first order we obtain

$$\delta \mathcal{R}_1^+ = \beta \text{Im} [a_1^+ \mathcal{T}_1^A(a_0^+) + \frac{1}{4} a_1^- a_0^- \mathcal{T}_2^A(a_0^+) / (A \text{Im } a_1^+)], \quad (36a)$$

$$\delta \mathcal{R}_1^- = \beta \text{Im} [a_1^- \mathcal{T}_1^A(a_0^+) + a_1^+ a_0^- \mathcal{T}_2^A(a_0^+) / (A \text{Im } a_1^-)], \quad (36b)$$

where $\mathcal{T}_2(a) = \partial^2 \mathcal{T}_1(a) / \partial a^2$.

⁴Equation (35) holds at small x , such that the phase $\exp[ik_z(z_1 - z_2)]$ in Eq. (31) can be neglected.

The corresponding individual corrections for u and d quarks and antiquarks are given in terms of the isoscalar ($q_{0/p} = u + d$) and the isovector ($q_{1/p} = u - d$) components of the quark distributions in the proton and $\delta\mathcal{R}_{0,1}^\pm$ as

$$\delta\mathcal{R}_{u,d} = \delta\mathcal{R}_0^+ + \frac{q_0^-}{2q_0}(\delta\mathcal{R}_0^- - \delta\mathcal{R}_0^+) \pm \left[\frac{q_1}{q_0}\delta\mathcal{R}_1^+ + \frac{q_1^-}{2q_0}(\delta\mathcal{R}_1^- - \delta\mathcal{R}_1^+) \right], \quad (37a)$$

$$\delta\mathcal{R}_{\bar{u},\bar{d}} = \delta\mathcal{R}_0^+ - \frac{q_0^-}{2\bar{q}_0}(\delta\mathcal{R}_0^- - \delta\mathcal{R}_0^+) \pm \left[\frac{\bar{q}_1}{\bar{q}_0}\delta\mathcal{R}_1^+ - \frac{q_1^-}{2\bar{q}_0}(\delta\mathcal{R}_1^- - \delta\mathcal{R}_1^+) \right], \quad (37b)$$

where the sign $+$ should be taken for u quarks and the sign $-$ for d quarks. We recall that $q_{0,1} = u \pm d$, $\bar{q}_{0,1} = \bar{u} \pm \bar{d}$, and $q_{0,1}^\pm = u_{\text{val}} \pm d_{\text{val}}$ are the (anti)quark distributions for the proton taken for the given x and Q^2 .

The effective amplitudes a with either isospin 1 or $C = -1$ are generally significantly smaller than the leading amplitude a_0^+ , which drives multiple-scattering corrections for all distributions, as can be seen from Eqs. (34) and (36). If only linear terms in a_0^- and a_1^\pm are retained, then the corresponding nuclear ratios depend on the $\alpha = \text{Re } a / \text{Im } a$ ratios of these amplitudes.

D. Normalization constraints

The PDFs obey a number of sum rules reflecting the general symmetries of the strong interaction. Important examples include the valence-quark number sum rule, for both the isoscalar and the isovector channels, and the light-cone momentum sum rule. Because of the underlying symmetries, these sum rules should not be affected by the strong interaction, including the nuclear effects. Therefore, for any particular model it is important to explicitly verify that a cancellation of different nuclear effects occurs in the PDFs sum rules.

We first consider the sum rule of the isoscalar valence-quark number per bound nucleon,

$$N_{\text{val}}^A = A^{-1} \int_0^A dx q_{0/A}^- = 3, \quad (38)$$

where $q_0^- = u^- + d^-$ is the isoscalar valence-quark distribution.⁵ We consider now the contributions to Eq. (38) from the various nuclear effects present in our model. First we explicitly calculate the normalization in the impulse approximation by Eq. (2) and obtain

$$N_{\text{val}}^{\text{IA}} = 3 + \delta N_{\text{val}}^{\text{OS}}, \quad (39)$$

$$\delta N_{\text{val}}^{\text{OS}} = \langle v \rangle_0 \int_0^1 dx q_{0/N}^-(x) \delta f(x), \quad (40)$$

where $N_{\text{val}}^N = 3$ is the valence-quark number in the nucleon, $\langle v \rangle_0 = \langle p^2 - M^2 \rangle / M^2$ is the nucleon virtuality averaged with

the nuclear spectral function (the subscript 0 indicates that we should take the isoscalar part), and δf is the off-shell function defined in Eq. (6b). Note that in the absence of the off-shell correction ($\delta f = 0$) Eq. (38) has already the correct normalization because nuclear effects owing to the nuclear spectral function cancel out in the valence-quark normalization. The off-shell (OS) correction, in general, does not vanish. As discussed in Sec. II A, we assume a universal flavor-independent OS function $\delta f(x)$, common to quark and antiquark distributions. This assumption is supported by the analysis of Ref. [15], which allowed a precise determination of this correction from the measured ratios of structure functions in nuclear DIS. In Sec. III we further verify the universality of δf for all partons by studying the nuclear DY process.

The nuclear meson correction to the nuclear valence distribution cancels out (Sec. II B). However, the nuclear coherent (coh) effects give a nonzero contribution to the valence-quark normalization,

$$\delta N_{\text{val}}^{\text{coh}} = \int_0^1 dx q_{0/N}^-(x) \delta\mathcal{R}_0^-, \quad (41)$$

where $\delta\mathcal{R}_0^-$ is given by Eq. (34b). To satisfy Eq. (38) we require a cancellation between the OS and the coherent corrections in the valence-quark normalization:

$$\delta N_{\text{val}}^{\text{OS}} + \delta N_{\text{val}}^{\text{coh}} = 0. \quad (42)$$

It is worth noting that the nuclear (anti)shadowing correction is an effect related to small x values, while the OS correction is mainly located at large x . Therefore, the normalization constraint introduced by Eq. (42) provides a nontrivial connection between nuclear effects of completely different origin. In the present analysis we use the OS function $\delta f(x)$ of Ref. [15] to calculate the OS correction to the normalization $\delta N_{\text{val}}^{\text{OS}}$. We then use Eq. (42) to constrain the effective amplitudes a_0^+ and a_0^- in the region of high Q^2 . To this end, we note that in Eq. (34b) the relevant correction $\delta\mathcal{R}_0^-$ depends on the C -even cross section $\sigma_0^+ = 2 \text{Im } a_0^+$ and the phases $\alpha = \text{Re } a / \text{Im } a$, both C -even and C -odd, responsible for the interference effects in the multiple-scattering series. For simplicity, we assume that the effective cross section σ_0^+ and the phases α_i^c are independent of energy in the high-energy region, corresponding to small x , and we fix $\alpha_0^+ = -0.2$ using the results of Ref. [15]. From Eq. (42) we calculate $\sigma_0^+(Q^2, \alpha)$ as a function of Q^2 and the C -odd phase $\alpha = \alpha_0^-$. Note that the phase α_0^- is not directly constrained by Eq. (42). We determine this parameter by requiring $\sigma_0^+(Q^2, \alpha)$ to match the corresponding phenomenological cross section of Ref. [15] in the region of $15 \lesssim Q^2 \lesssim 20 \text{ GeV}^2$.⁶ We obtain $\alpha_0^- = 1.41$ and the cross section σ_0^+ shown in Fig. 1, together with the phenomenological cross section of Ref. [15] calculated from the analysis of nuclear shadowing data with $Q^2 \lesssim 20 \text{ GeV}^2$. The 1σ error band for the effective cross section σ_0 shown in

⁵We do not consider the s^- and c^- quark distributions. In general, $s^-(x) \neq 0$, but this gives vanishing contribution to Eq. (38).

⁶This choice is motivated by the fact that we need values of Q^2 which are sufficiently large to suppress higher-twist contributions, but at the same time which are still in a kinematic region constrained by the available data on nuclear shadowing.

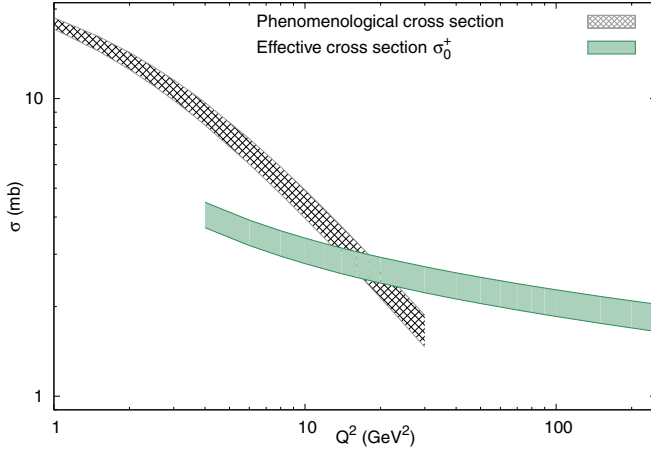


FIG. 1. (Color online) Effective cross section σ_0^+ calculated from the normalization condition (42) (see text) as a function of Q^2 . The phenomenological cross section of Ref. [15] is also shown for comparison.

Fig. 1 reflects the uncertainty on the OS function δf (see the analysis of Ref. [15]).

We now discuss the normalization of the isovector valence-quark distribution. The cancellation of nuclear effects for this quantity is driven by the conservation of the vector current (CVC) and the corresponding sum rule reads

$$N_1^A = A^{-1} \int_0^A dx q_{1/A}^- = \beta, \quad (43)$$

where $q_1^- = u^- - d^-$. This sum rule becomes trivial for an isoscalar nucleus with $N_1^A = 0$.

Similarly to the isoscalar case discussed above, we find that the corrections owing to nuclear binding and Fermi motion cancel out in Eq. (43), while the corrections owing to both the OS effect and coherent multiple scattering, remain finite,

$$\delta N_1^{\text{OS}} = \beta \langle v \rangle_1 \int_0^1 dx q_{1/p}^-(x) \delta f(x), \quad (44)$$

$$\delta N_1^{\text{coh}} = \int_0^1 dx q_{1/p}^-(x) \delta \mathcal{R}_1^-, \quad (45)$$

where $\langle v \rangle_1 = \langle p^2 - M^2 \rangle_1 / M^2$ is the nucleon virtuality averaged with the isovector nuclear spectral function and $\delta \mathcal{R}_1^-$ is the coherent nuclear correction to the isovector valence-quark distribution given by Eq. (36b).

To fulfill the normalization condition given by Eq. (43) for a nonisoscalar nucleus with $\beta \neq 0$, we require an exact cancellation between the OS and the coherent nuclear correction:

$$N_1^{\text{OS}} + N_1^{\text{coh}} = 0. \quad (46)$$

Similarly to the isoscalar case in Eq. (42), we use Eq. (46) to constrain the unknown amplitude a_1^- in the isovector channel. Using Eq. (36b) we observe that the isovector coherent correction $\delta \mathcal{R}_1^-$ is driven by the isoscalar cross section σ_0^+ and by the interference of the phases α_0^+ and α_1^- . We use the

isovector spectral function of Ref. [15] to calculate N_1^{OS} and then obtain $\sigma_0^+(Q^2, \alpha_1^-)$ by solving Eq. (46). Then we verify that both solutions, the solution to Eq. (42) and that to Eq. (46), agree within 1σ error band for all values of $Q^2 > 4 \text{ GeV}^2$ at $\alpha_1^- = 1.73$.

To constrain the amplitude a_1^+ (the parameter α_1^+) we use an equation similar to Eq. (46), with the q_1^- distribution replaced with q_1^+ and follow a similar procedure. We find $\alpha_1^+ = 1.46$.

E. Light-cone momentum sum rule

The energy-momentum conservation causes the light-cone momentum sum rule at two different levels. At the hadronic level, the nuclear light-cone momentum is shared between nucleons and mesons and we have Eq. (13). At the partonic level, the light-cone momentum is balanced among quarks, antiquarks, and gluons,

$$x_{q/A} + x_{\bar{q}/A} + x_{g/A} = M_A / AM, \quad (47)$$

where a sum over different quark flavors is assumed and $x_{a/A} = \int_0^{M_A/A} dx x q_{a/A}(x, Q^2) / A$ for the quark distribution of flavor a . Similar equations hold for antiquarks and gluons. We recall that the Bjorken variable is defined as $x = Q^2 / (2Mq_0)$, where M is the mass of isoscalar nucleon and q_0 is taken in the target rest frame. For the proton (neutron) target the right-hand side of Eq. (47) is trivially equal to unity (neglecting a small difference in the proton and neutron masses). Note that Eq. (47) involves the C -even and isoscalar combination of quark distributions. Using the notation $x_a^+ = x_a + x_{\bar{a}}$ we have

$$x_{a/A}^+ = \langle y \rangle_N x_{a/N}^+ + \delta^{\text{OS}} x_a^+ + \delta^{\text{mes}} x_a^+ + \delta^{\text{coh}} x_a^+, \quad (48)$$

where the first term on the right is the IA contribution with $\langle y \rangle_N$ the nucleon fraction of the nuclear light-cone momentum by Eq. (8) and $x_{a/N}^+$ the corresponding momentum of the nucleon. The correction terms are attributable to OS, MEC, and nuclear shadowing effects, which read as

$$\delta^{\text{OS}} x_a^+ = \langle y \rangle_N \langle v \rangle_0 \int_0^1 dx x q_{a/N}^+(x, Q^2) \delta f(x), \quad (49a)$$

$$\delta^{\text{mes}} x_a^+ = \langle y \rangle_M x_{a/M}^+, \quad (49b)$$

$$\delta^{\text{coh}} x_a^+ = \int_0^1 dx x q_{a/N}^+(x, Q^2) \delta \mathcal{R}_0^+, \quad (49c)$$

where $\langle y \rangle_M$ is the meson fraction of the nuclear light-cone momentum by Eq. (19) and $x_{a/M}^+$ is mean momentum of C -even quark distribution in mesons. We summarize these corrections for several different nuclei in Table I. In particular, in this table we list the relative values $\delta x^+ / x_N^+$ for each of the terms in Refs. (49), together with the total $q + \bar{q}$ momentum of the proton, x_N^+ . The results of Table I were obtained assuming that the relative shadowing correction $\delta \mathcal{R}^+$ is similar for light and heavy quarks. We observe a partial cancellation between different nuclear corrections in the total quark momentum $x_{q/A}^+$. The resulting nuclear correction to the average x^+ turns out to be significantly smaller than the amplitude of the corresponding correction to the quark distributions in different regions of x (see Sec. II F for more details).

TABLE I. Different contributions to nuclear light-cone momentum sum rule calculated for a few different nuclear targets using the PDF set of Refs. [52,53] at $Q^2 = 20 \text{ GeV}^2$. The last two columns show the nuclear $q + \bar{q}$ and the gluon x relative to corresponding nucleon quantities.

Nucleus	$\langle y \rangle_N$	$\delta^{\text{OS}} x^+ / x_N^+$	$\delta^{\text{mes}} x^+ / x_N^+$	$\delta^{\text{coh}} x^+ / x_N^+$	$x_{q/A}^+ / x_{q/N}^+$	$x_{g/A} / x_{g/N}$
^2H	0.9943	0.0058	0.0030	-0.0027	1.0004	0.9974
^{12}C	0.9718	0.0205	0.0127	-0.0217	0.9833	1.0005
^{56}Fe	0.9656	0.0224	0.0148	-0.0336	0.9691	1.0104
^{119}Sn	0.9638	0.0237	0.0156	-0.0403	0.9628	1.0164
^{184}W	0.9626	0.0250	0.0163	-0.0442	0.9596	1.0197

The sum rule (47) allows us to evaluate the average nuclear gluon momentum as $x_{g/A} = M_A / AM - x_{q/A}^+$. As shown in Table I, our results indicate an enhancement of gluons in heavy nuclei. In terms of the average x , the gluon enhancement is about 1.5%–2% at $Q^2 = 20 \text{ GeV}^2$. The enhancement of nuclear gluon momentum also suggests a gluon antishadowing in nuclei at large values of x to compensate the nuclear gluon shadowing effect at small x [29].

We also found that the ratio $x_{q/A}^+ / x_{q/N}^+$ gradually increases with Q^2 . This behavior is explained by a decreasing fraction of the (negative) nuclear shadowing correction in the numerator.

As a result, according to Eq. (47), the gluon ratio $x_{g/A} / x_{g/N}$ decreases with Q^2 . This effect may indicate that the effect of nuclear shadowing for gluons is increasing with Q^2 . A more detailed discussion of nuclear effects on the gluon distribution goes beyond the scope of the present paper and will be addressed elsewhere.

F. Nuclear quark and antiquark distributions

In Fig. 2 we show different nuclear effects for the C -even and the C -odd isoscalar and isovector combinations of the quark distributions calculated for the ratio of ^{184}W

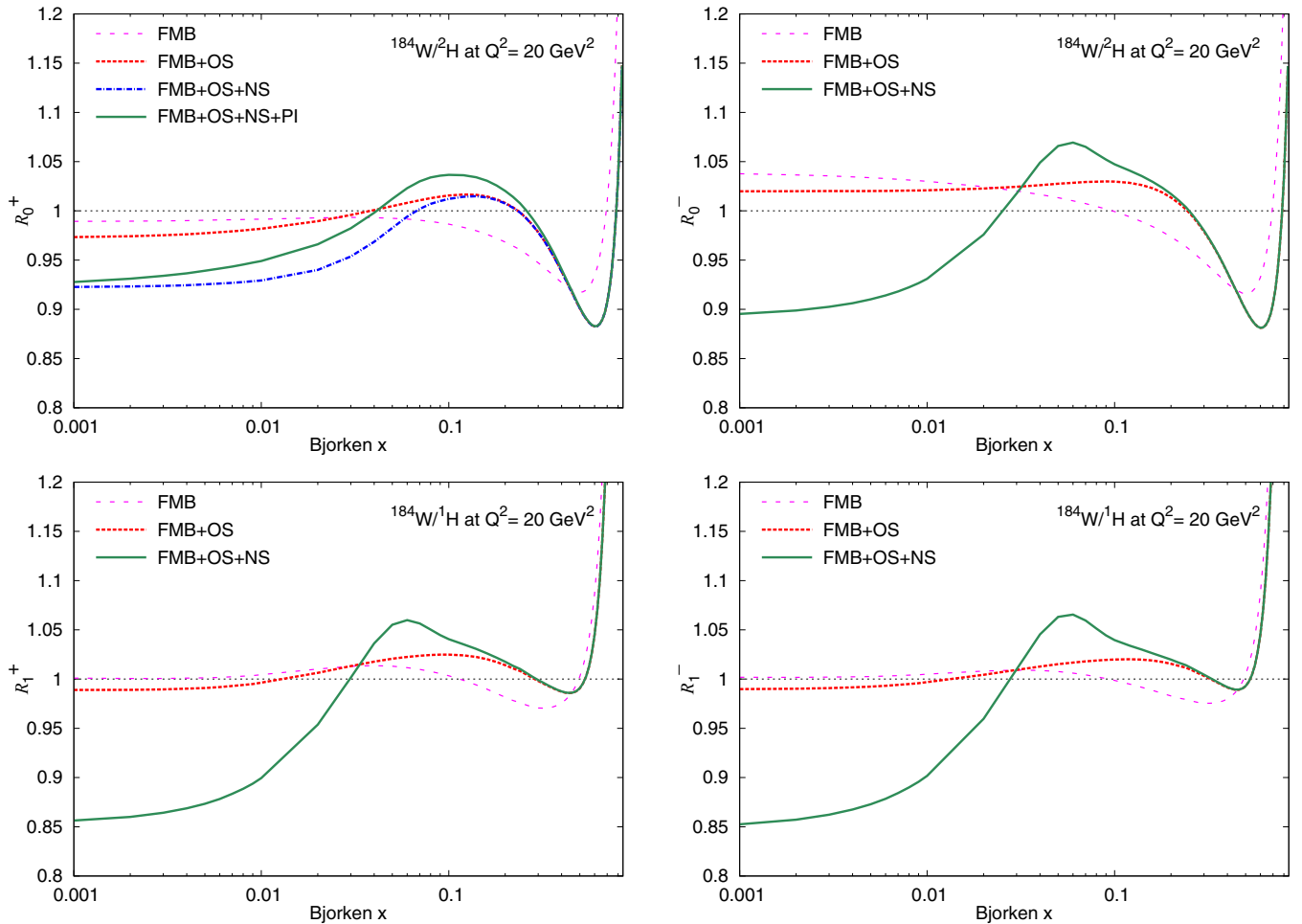


FIG. 2. (Color online) Different nuclear effects on the C -even and C -odd combinations of the isoscalar $q_0 = u + d$ (top panels) and the isovector $q_1 = u - d$ (bottom panels) quark distributions calculated at $Q^2 = 20 \text{ GeV}^2$. The ratios are between ^{184}W and the deuteron ^2H (top panels) and between ^{184}W and the proton ^1H (bottom panels) (see text for details).

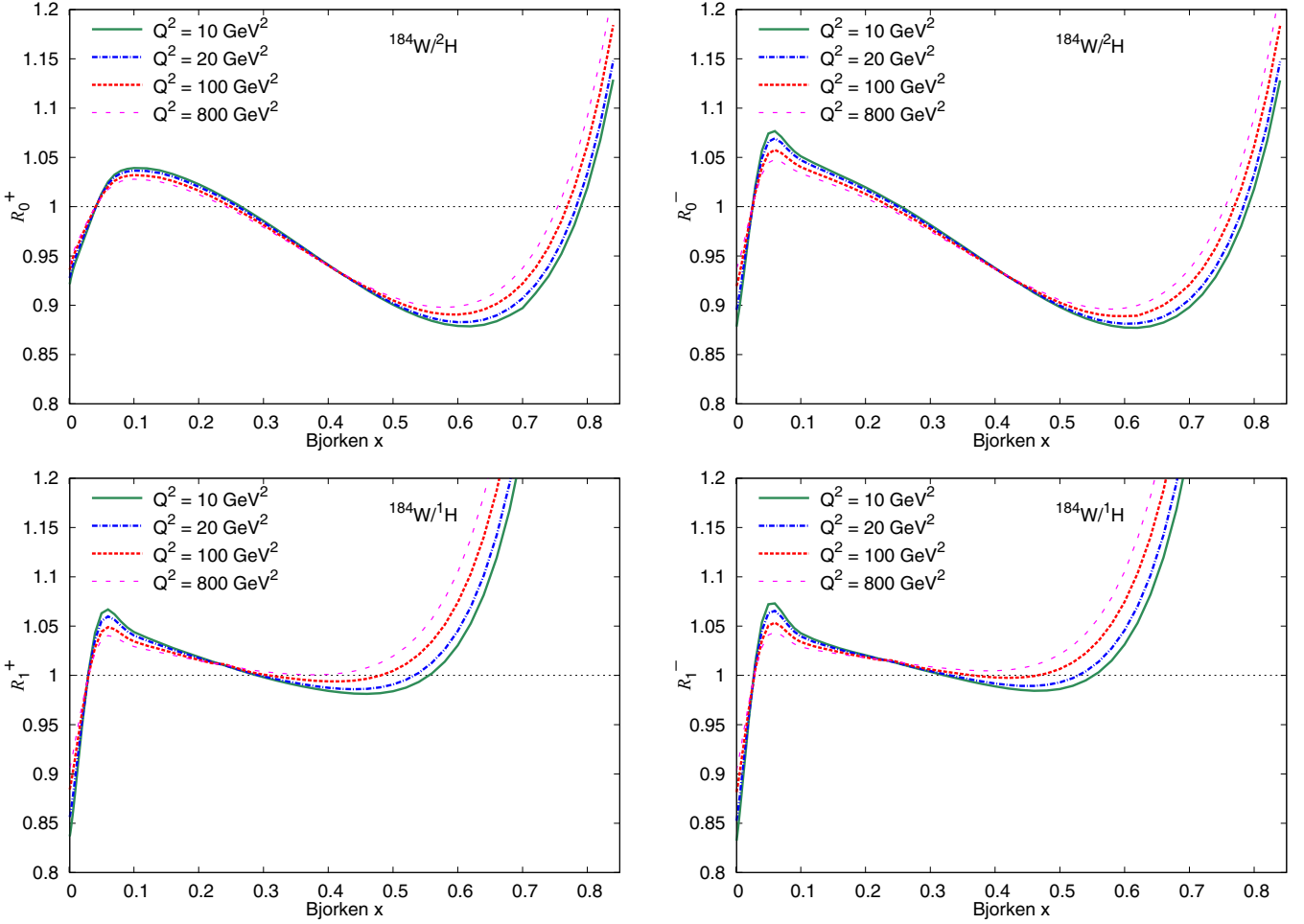


FIG. 3. (Color online) The C -even and C -odd combinations of the isoscalar $q_0 = u + d$ (top panels) and the isovector $q_1 = u - d$ (bottom panels) quark distributions calculated at a few different Q^2 . Notations are similar to those in Fig. 2.

and ^2H nuclei.⁷ The smearing with the nuclear spectral function (Fermi motion and nuclear binding, or FMB), the OS correction, the nuclear coherent correction (NS), and the nuclear meson (PI) correction are treated as discussed in Sec. II. In the calculation of FMB we use a nuclear spectral function which takes into account the mean-field contribution as well as short-range nuclear correlations [15]. Note that the isoscalar and the isovector nuclear spectral functions differ significantly in Ref. [15]. The OS correction is driven by the function $\delta f(x)$ in Eq. (6b). Note that, by definition, δf describes the relative OS effect on a quark distribution in an OS nucleon. We use the results of Ref. [15] and assume a universal OS function $\delta f(x)$, i.e., same function $\delta f(x)$ for the proton and neutron and for all quark and antiquark distributions.

From the top panels of Fig. 2 we see that the FMB correction at small x has a different sign for the C -even and

C -odd isoscalar distributions. This effect is attributable to a significantly different x dependence of q_0^+ and q_0^- at low x .

At $x < 0.01$ the NS correction for the valence-quark distribution q_0^- is enhanced relative to that for q_0^+ . The underlying reason for this effect is the enhancement of the multiple-scattering corrections for the cross section asymmetry as discussed in Sec. II C. If we keep only the double-scattering correction, then the ratio $\delta\mathcal{R}_0^-/\delta\mathcal{R}_0^+$ is given by Eq. (35). Nevertheless, because of a partial cancellation between FMB and NS corrections for q_0^- , the magnitude of the overall relative nuclear correction at $x < 0.01$ is similar for valence and sea quarks, being somewhat larger for the former.

Both distributions, the C -odd valence q_0^- and the C -even q_0^+ , are subject to the antishadowing correction at $x \sim 0.1$. However, the mechanisms responsible for the antishadowing are different for q_0^+ and q_0^- . The enhancement in q_0^+ is attributable to the combined effect of the OS and PI corrections. Instead, the PI correction to q_0^- cancels out, as discussed in Sec. II B. The enhancement in the ratio \mathcal{R}_0^- is thus entirely attributable to a constructive interference in the multiple-scattering effect from $\text{Re } a_0^-$.

We note that different nuclear corrections on the antiquark distribution $\bar{q}_0 = (q_0^+ - q_0^-)/2$ largely cancel out in

⁷Note that the light quark contributions to the isoscalar neutrino structure functions $F_2^{v+\bar{v}}$ and $F_3^{v+\bar{v}}$ are driven by q_0^+ and q_0^- , respectively. The isovector combinations (asymmetries) $F_2^{v-\bar{v}}$ and $F_3^{v-\bar{v}}$ are determined by q_1^- and q_1^+ , respectively.

the antishadowing region. In this context we remark that the contribution of the second term in Eq. (37b) becomes increasingly important at $x > 0.05$ because of the ratio $q_0^-(x)/\bar{q}_0(x)$. This term is negative in that region and it partially cancels a positive nuclear pion contribution. As a result, the overall nuclear correction to the antiquark distribution is small for $0.02 < x < 0.2$. We discuss some implications of this effect in the context of the DY reaction in Sec. III.

At large $x > 0.2$, the nuclear corrections to q_0^+ and q_0^- are very similar, as both distributions are dominated by the valence quarks. It should be noted that our result for the relative nuclear correction to the valence-quark distribution is stable against the specific PDF set chosen in the entire region of x . Nuclear effects for sea quarks also depend weakly on the particular choice of PDFs at small values of x . However, at high x the calculation of nuclear effects for antiquark distributions has larger uncertainties and the result is sensitive to both the shape and the magnitude of the nucleon antiquark distribution.

Nuclear corrections for the isovector quark distribution $q_1 = u - d$ are shown in the bottom panels of Fig. 2 in the form of the ratio $\mathcal{R}_1 = \beta^{-1} q_{1/A}/q_{1/p}$, where $q_{1/A}$ is the nuclear distribution per nucleon, $q_{1/p}$ is the corresponding distribution in the proton, and $\beta = (Z - N)/A$ is fractional proton excess in a nucleus. As discussed in Sec. II, the isovector nuclear distribution $q_{1/A}$ is proportional to β , so that β cancels out in the ratio \mathcal{R}_1 . We observe from Fig. 2 that the relative nuclear corrections for the isovector distributions q_1^+ and q_1^- are similar. Furthermore, the shape and the magnitude of nuclear effects at $x < 0.1$ are similar for q_0^- and q_1^\pm , and they are driven by the coherent nuclear correction discussed in Sec. II C. At large x the correction is dominated by the nuclear spectral function and by the OS effect. The resulting effect for the isoscalar channel differs significantly from that of the isovector channel, because of the difference between the isoscalar and the isovector nuclear spectral functions [15]. Note that the integral nuclear corrections for the valence distributions q_0^- and q_1^- are constrained by the normalization conditions Eqs. (38) and (43).

In Fig. 3 we present the results on the same nuclear ratios calculated for different fixed Q^2 values. We observe a weak Q^2 dependence of nuclear effects in the C -even isoscalar q_0^+ , while the Q^2 dependence of other distributions is somewhat stronger.

III. APPLICATION TO THE DRELL-YAN PROCESS

The production of lepton pairs with a large mass $Q \gg 1$ GeV in hadron collisions occurs via the DY process of quark-antiquark annihilation (see, e.g., [41,42]). The corresponding cross section (DY) depends on the product of the quark and antiquark distributions in the beam and the target,

$$\frac{d^2\sigma^{\text{DY}}}{dx_B dx_T} = K \sum_f e_f^2 [q_{f/B}(x_B, Q^2) \bar{q}_{f/T}(x_T, Q^2) + \bar{q}_{f/B}(x_B, Q^2) q_{f/T}(x_T, Q^2)], \quad (50)$$

where $q_{f/B}$ and $q_{f/T}$ are the quark distributions in the beam and in the target and e_f are the quark charges, respectively. The sum is taken over different quark flavors f and \bar{q} denotes the

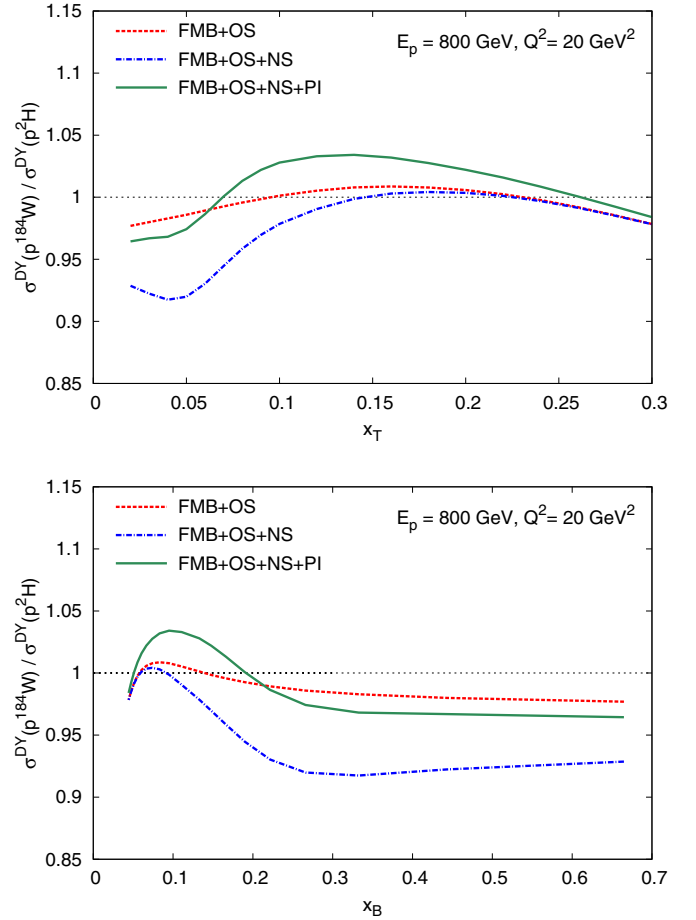


FIG. 4. (Color online) Different nuclear effects on the cross section for DY lepton pair production with a fixed invariant mass $Q^2 = 20$ GeV² in the collision of 800 GeV/c protons with nuclear targets. The top panel shows the ratio of the reaction yields in Eq. (50) between tungsten ¹⁸⁴W and deuterium ²H targets as a function of x_T , while the bottom panel shows the same ratio as a function of x_B .

corresponding antiquark distribution. The variables measured experimentally are the mass of the lepton pair Q and the transverse and longitudinal momenta of the pair, k_T and k_L , respectively. The Bjorken variables for the beam and the target, x_B and x_T , are related to these quantities as $s x_B x_T = Q^2 + k_T^2$, with s the total center-of-mass energy squared. The Feynman variable $x_F = x_B - x_T = 2k_L/\sqrt{s}$ depends on the longitudinal momentum of the lepton pair in the center-of-mass system. The factor K in Eq. (50) absorbs kinematical factors as well as dynamical factors such as higher-order QCD corrections. In this paper we are focused on the analysis of ratios of the DY cross sections for different nuclear targets. For this reason we do not write explicitly in Eq. (50) the factors common to all targets, which are canceling out in such ratios.

The proton-induced DY process allows a probe of antiquark distributions in the target and is complementary to the lepton-induced DIS. Indeed, in the kinematical region of large x_B and small x_T (large x_F) the first term in Eq. (50) dominates and the ratio of the DY yields in different targets is given by the ratio of the corresponding antiquark distributions. The

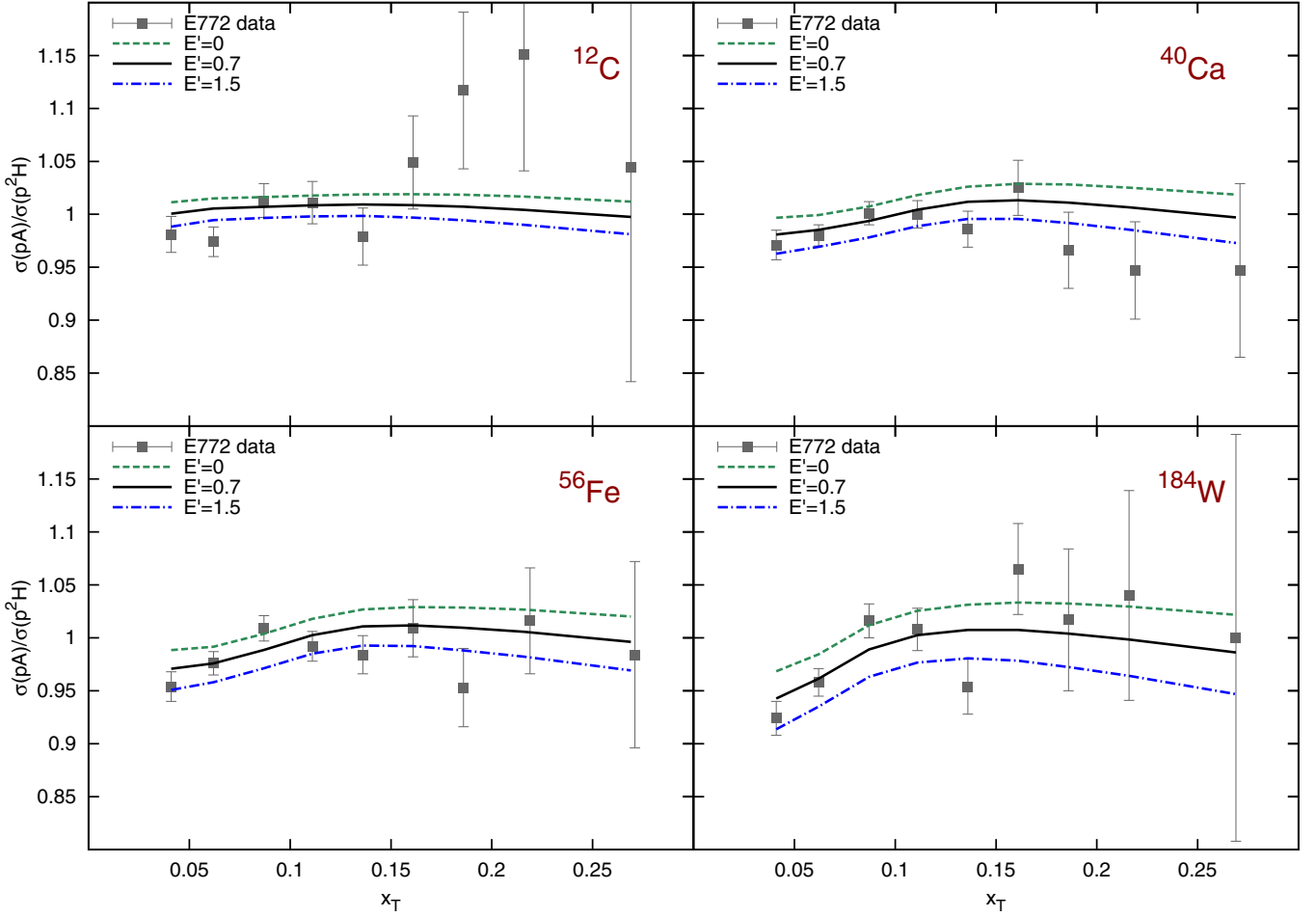


FIG. 5. (Color online) Ratio of the DY reaction cross sections for different nuclei as a function of x_T . Data points are from the E772 experiment [43,57], while the curves represent our predictions with (solid) and without (dashed) the energy loss correction to the projectile quark (see text for details).

E772 experiment at Fermilab measured ratios of DY yields originated from the collision of a 800 GeV/c proton beam with five different nuclear targets: ^2H , ^{12}C , ^{40}Ca , ^{56}Fe , and ^{184}W [43]. The DY continuum was studied in the kinematic range $4 < Q < 9$ GeV and $Q > 11$ GeV, excluding the quarkonium region, while the Bjorken variable for the target was in the interval $0.04 < x_T < 0.27$. The nuclear dependence of the DY process was also measured by the E866 experiment at Fermilab, using the targets ^9Be , ^{56}Fe , and ^{184}W in a similar kinematic region [44].

In addition, the E605 experiment [54] at Fermilab measured the continuum dimuon production by 800-GeV protons incident on a copper target in the kinematic range $7 < Q < 9$ GeV, $Q > 11$ GeV, and $0.13 < x_T < 0.44$. The published data refer to the absolute DY cross section in $p\text{-Cu}$ collisions and it is commonly used by global PDF fits [2–5].

A. Nuclear effects on Drell-Yan cross section

The nuclear dependence of the DY process comes from two different sources: (i) the modification of the (anti)quark distributions in the target nucleus and (ii) the initial-state interaction of the projectile particle (parton) within the nuclear

environment of the target. We discuss briefly both effects in the following.

We first separate the isoscalar q_0 and the isovector q_1 contributions in the target in Eq. (50). We have

$$\sum_{q=u,d} e_q^2 (q_B \bar{q}_T + \bar{q}_B q_T) = \sum_{i=0,1} (p_i \bar{q}_{i/T} + \bar{p}_i q_{i/T}), \quad (51)$$

where $p_0 = (4u + d)/18$ and $p_1 = (4u - d)/18$, with u and d the corresponding quark distributions in the projectile. Similar equations can be written for \bar{p}_0 and \bar{p}_1 by replacing the quark distributions with the antiquark ones. In what follows we discuss the contribution from the isoscalar term. The isovector correction as well as the contributions from s and c quarks will be addressed elsewhere.

Nuclear effects on quark and antiquark distributions are discussed in Sec. II. Using those results we calculate the ratio of the DY cross sections on a heavy target and on the deuteron. Figure 4 shows the results obtained at the fixed $Q^2 = 20$ GeV² and with the variables x_T and x_B bound by the relation $s x_T x_B = Q^2$, with $s = 1600$ GeV², corresponding to the beam energy of the E772 and E866 experiments. Note that the DY ratios in the region of small $x_T < 0.15$ are

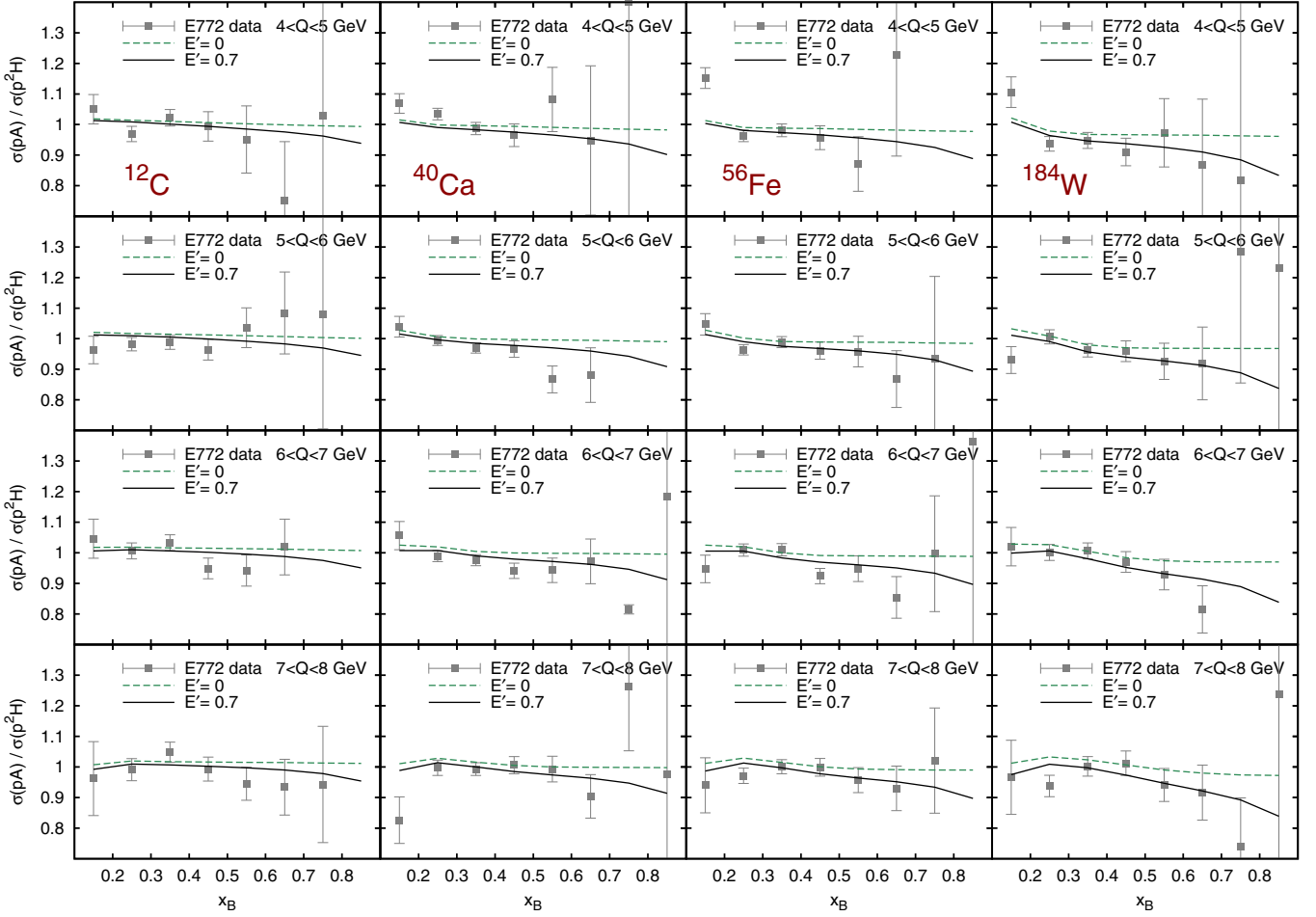


FIG. 6. (Color online) Ratio of the DY reaction cross sections for different nuclei (rows) and the bins of dimuon mass Q (columns), as a function of x_B . Data points are from the E772 experiment [43,57], while the curves represent our predictions with (solid) and without (dashed) the energy-loss correction to the projectile quark (see text for details).

mainly driven by the corresponding ratios of the antiquark distributions. They receive two competing contributions: (i) a positive correction owing to the nuclear meson-exchanged currents (see Sec. II B) and (ii) a negative correction owing to nuclear shadowing (see Sec. II C). These two effects partially cancel out in the antiquark distributions. It should be noted that the shadowing correction for antiquarks extends up to a relatively large $x_T \sim 0.1$. This fact occurs because of the factor q_{val}/\bar{q} in Eq. (37b), which enhances the relative shadowing correction for antiquarks at increasing x . However, such an enhancement is not present for the $q^\pm = q \pm \bar{q}$ combinations of PDF, as can be seen from Fig. 2.

The projectile partons in the initial state can undergo multiple soft collisions and can radiate gluons before annihilating with the (anti)quarks of the target and producing a dimuon pair. Because of this effect, Eq. (50) may not be directly applicable to the nuclear DY process, as we have to take into account the effects of the propagation of the projectile partons within the nuclear environment. A number of different approaches are available in literature to describe the propagation effects and the corresponding gluon radiation in the nuclear medium (for a review, see, e.g., Refs. [55,56]). However, results from

different analyses significantly disagree both on the magnitude of the quark energy loss and on its energy and propagation length dependencies [56]. In this paper we follow the heuristic approach of modifying the variable x_B to account for the effect of the quark energy loss [55]. Let $E' = -dE/dz$ be the parton energy loss in a nucleus per unit length ($E' \geq 0$). If a parton originated with an energy E_0 travels over the distance L in the nuclear environment before annihilation, then its energy at the moment of the annihilation would be $E_1 = E_0 - E'L$, which will be used to create the dimuon pair. Therefore, the effect of the energy loss in the nuclear medium requires a correspondingly larger value of the initial Bjorken x_B . In our analysis we assume that Eq. (50) can be applied to the case in which a nuclear target is present with the simple replacement $x_B \rightarrow x_B + E'L/E_B$, where E_B is the energy of the projectile proton. Below we present the results of our analysis of the combined effects originated from the nuclear modifications of the target (anti)quark distributions and from the energy loss of the beam partons in the nuclear environment. To check the sensitivity to the energy loss in the nuclear medium we consider a range of possible values commonly used in the literature for this latter $0 \leq E' \leq 1.5$ GeV/fm.

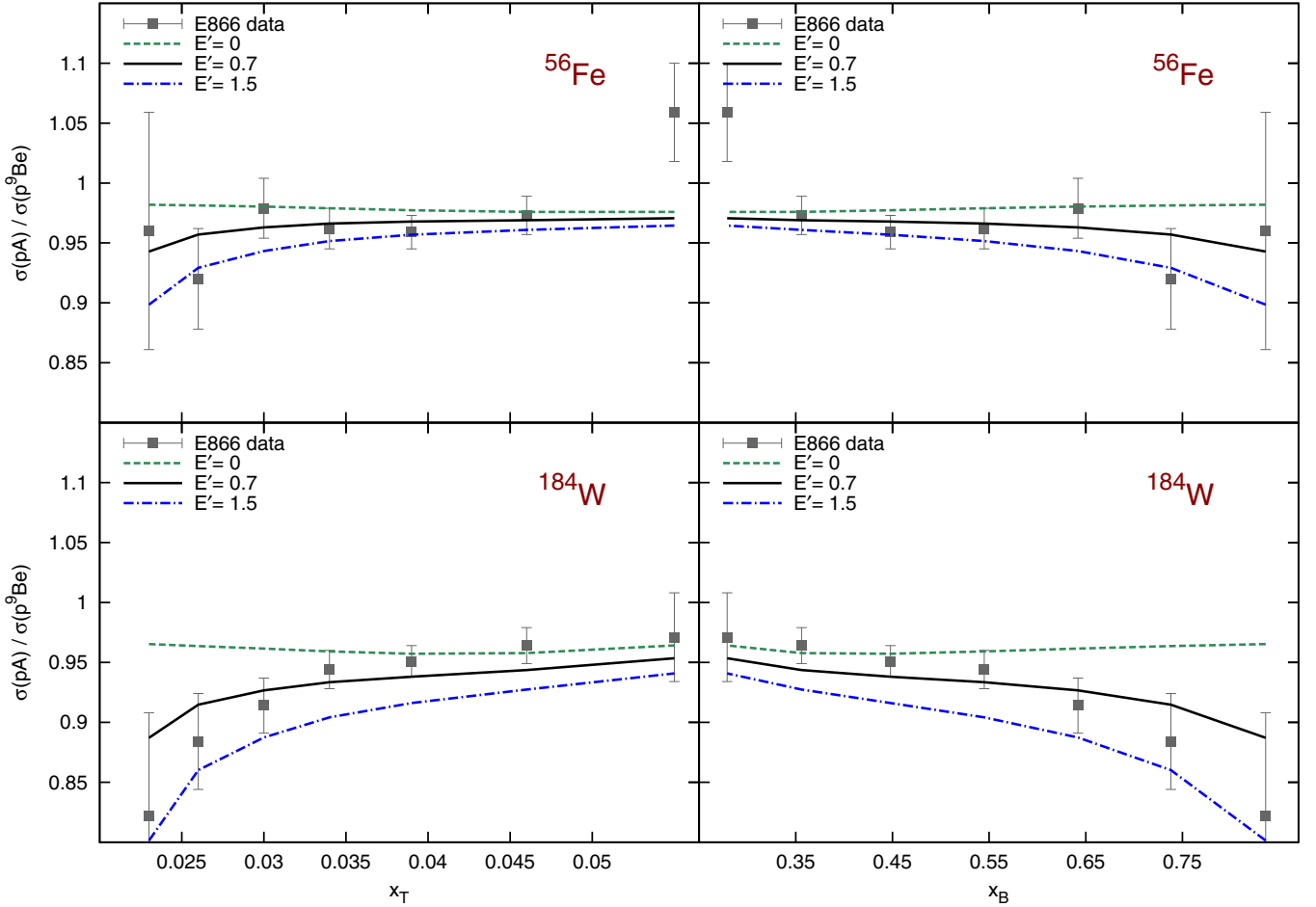


FIG. 7. (Color online) Comparison of the ratio of the DY reaction yields in different nuclei from the E866 experiment [44] with our predictions with (solid) and without (dashed) the quark energy loss correction.

We estimate the average propagation length in the nuclear medium of the projectile partons as $L = 3R/4$, which is an average distance traveled by a projectile in a uniform nuclear density distribution within a sphere of radius R .

B. Comparison with Drell-Yan data

Figure 5 shows a comparison of our predictions with the data from the E772 experiment for a number of nuclear targets [43,57]. Although most of the E772 data cover the kinematic region in which antishadowing is expected according to DIS data ($0.1 < x < 0.3$), no enhancement is observed in the ratio of the DY yields in heavy nuclei and deuterium. This observation gave rise to a long-standing puzzle because the nuclear binding should result in an excess of nuclear mesons, which is expected to produce a marked enhancement in the nuclear antiquark distributions. However, we found a very good agreement of our predictions with the E772 DY data, as illustrated in Fig. 5. This fact is explained by a partial cancellation between a positive correction owing to the enhancement of the nuclear meson field and a negative shadowing correction for the antiquark distributions (see Sec. II F). Finally, the lowest values of x_T in Fig. 5 clearly

show evidence of nuclear shadowing in E772 data, in good agreement with our predictions.

It is worth noting that the good agreement observed with DY data also supports our hypothesis of a common OS structure function $\delta f(x)$ for the valence and the sea-quark distributions.

Figure 6 shows the E772 data as a function of x_B for various bins in the invariant mass of the dimuon pair [43,57], together with our predictions. This representation allows a better visualization of the effect of the projectile energy loss in the nuclear medium, which is expected to increase with x_B . The solid curves represent our predictions with a fixed value $E' = 0.7$ GeV/fm. The E772 data in Figs. 5 and 6 favor the presence of moderate energy loss effects. Overall, we obtain a very good description of E772 data for both the magnitude and the x and mass dependence of the DY cross-section ratios. We note that the kinematic coverage of the E772 data is mainly focused on the region of intermediate x_T and x_B , which is not optimal to address neither the energy loss effects nor the nuclear shadowing.

The data from the E866 experiment [44] is shifted towards lower values of x_T and higher values of x_B with respect to E772 data, as can be seen from Fig. 7. The kinematic coverage of E866 data is therefore focused on the region where both

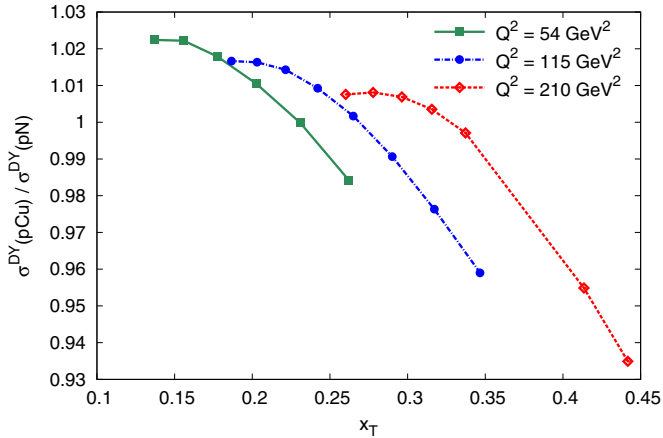


FIG. 8. (Color online) Ratio of the DY cross sections in the collisions of 800 GeV protons with a ^{63}Cu target and an average isoscalar nucleon $N = (p + n)/2$, as a function of x_T for a few values of the invariant mass square Q^2 . The calculation includes a quark energy loss $E' = 0.7 \text{ GeV/fm}$.

shadowing and energy loss effects become more prominent. The E866 data are consistent with the E772 data in the overlap region. Figure 7 shows that our predictions for the E866 kinematics are in good agreement with the E866 data.

We varied the parameter E' describing the parton energy loss within the interval from 0 to 1.5 GeV/fm to find its optimal value. To this end, we evaluated the χ^2 between our predictions and the E772 and E866 data in Figs. 5 and 7. The best fit corresponds to a value $E' = 0.70 \pm 0.15 \text{ GeV/fm}$ with $\chi^2/\text{d.o.f.} = 50.8/50$. The weights of E866 and E772 data in this analysis are comparable because the former has a higher sensitivity to energy loss effects, but the latter has more data points available. We note that there is a strong correlation in the data between the shadowing correction and the energy loss effect, owing to the fixed target kinematics, which correlates small values of x_T to large values of x_B . Furthermore, the kinematic coverage of the available DY data is limited to regions in which both effects result in considerable corrections.

We apply the results of our studies of the DY process to calculate the nuclear corrections for the dimuon production cross sections measured by the E605 experiment in proton-copper collisions [54]. Figure 8 shows the results for a few fixed invariant masses Q of the dimuon pair. Table II lists the nuclear corrections for each E605 data point. We note that such corrections are relevant for global PDF fits using the data from the E605 experiment [2–5], because they can remove the bias introduced by the copper target.

A new measurement of nuclear effects in the DY production is planned in the experiment E906 at Fermilab [58]. This experiment will be carried out with a 120-GeV proton beam and is expected to collect about a factor of 50 larger statistics than that of the E772 experiment, using different nuclear targets. The kinematic coverage of E906 data will extend at significantly larger x_T and should make it possible to disentangle the energy-loss effect from the shadowing corrections.

IV. SUMMARY

In this article we presented a calculation of nuclear PDFs based on the semimicroscopic model of Ref. [15], focusing at the region of high invariant momentum transfer Q . We discussed in detail the C -even and C -odd combinations of the isoscalar $q_0 = u + d$ and the isovector $q_1 = u - d$ distributions and found a substantial dependence of nuclear effects on both the C parity and the isospin of the PDFs.

In the region at $x > 0.2$ nuclear PDFs are dominated by the incoherent contribution of bound protons and neutrons and the nuclear corrections are driven by the effects of the nuclear spectral function together with the OS correction. The slopes of the EMC effect in different nuclei for $0.3 < x < 0.6$ are explained by the interplay of the nuclear binding, Fermi motion, and OS corrections. We observe a substantial difference in the magnitude of the resulting effect for q_0 and q_1 , mainly owing to the difference in the isoscalar and the isovector spectral functions.

All nPDFs show an antishadowing enhancement in the region $0.03 < x < 0.3$ and a shadowing suppression at $x < 0.03$. However, the antishadowing effects for the q_0^+ and q_0^- distributions are driven by different mechanisms. The enhancement in q_0^+ is a combined effect of the OS and of the nuclear meson corrections, while the antishadowing in q_0^- is attributable to the constructive interference from the real part of the effective scattering amplitude in the nuclear multiple-scattering series.

The relative correction of the nuclear shadowing is enhanced for the valence-quark distributions (C -odd) and also for the isovector combinations. This effect follows from the corresponding enhancement of the propagation effects in the nuclear environment. We note that at small x the combined effect of the nuclear binding and of the Fermi motion corrections has a different sign for the q_0^+ and q_0^- distributions, owing to the different x dependence of those distributions. The OS correction is negative at $x < 0.02$ for both distributions. We found a partial cancellation between the nuclear binding and the OS effects in the valence quark distribution q_0^- at small x . However, both corrections are negative and somewhat enhance the shadowing effect in the q_0^+ distribution. Overall, the shadowing effect for the q_0^- distribution is more pronounced. We observe a similar behavior for the isovector quark distributions q_1^\pm . We also find a weak Q^2 dependence of nuclear effects of the C -even isoscalar q_0^+ , while the Q^2 dependence of other distributions is somewhat stronger.

The PDF normalization conditions and the energy-momentum sum rules link nuclear effects of different origin located in different kinematical regions of x . In particular, we used the normalization conditions for the isoscalar and the isovector valence-quark distributions as equations to determine the unknown amplitudes controlling the coherent nuclear correction. We then solved these equations in terms of the OS correction to the corresponding distributions. We also use the light-cone momentum sum rule together with equations of motion to constrain the mesonic light-cone distributions and calculate the corresponding mesonic corrections to nuclear PDFs. As a result, in this approach the nuclear modifications

to PDFs are essentially determined by the nuclear spectral function, and by the OS function of the nucleon δf .

We applied our model of nPDFs to calculate the cross sections for DY production in proton-nucleus collisions. We recall that the E772 data on ratios of DY yields in different nuclear targets show no enhancement of the nuclear sea-quark distributions in the antishadowing region $x \sim 0.05$ – 0.2 . This behavior is in contrast with the enhancement of the nuclear sea owing to the nuclear meson contributions. We found that this discrepancy can be explained by a partial cancellation between different nuclear corrections on the antiquark distributions in the “antishadowing” region $x \sim 0.05$ – 0.2 . Our predictions are in very good agreement with both the magnitude and the x and mass dependence of the DY data from the E772 and E866 experiments [43,44]. We also discussed the impact of the energy loss of the projectile partons in the nuclear environment on the ratio of the DY yields in different nuclear targets and found that our analysis favors an energy loss around 0.7 GeV/fm. We applied the results of our studies to calculate the nuclear corrections for the dimuon production cross-section data measured by the E605 experiment in proton-copper collisions, which are relevant to remove the bias introduced by the nuclear target in global PDF fits.

In the study of nPDFs we assumed that δf is universal and flavor independent [15,17]. The good agreement of the corresponding predictions with the available DY data is consistent with a common OS function for valence and sea-quark distributions. We also remark that the available DIS and DY data have a limited sensitivity to isospin effects and, therefore, cannot address a possible isospin dependence of δf .

As a final remark we note that the studies of nuclear effects in the isovector combinations of (anti)quark distributions q_1 are important for a calculation of the effects of the neutron excess in heavy nuclei for high-energy nuclear reactions, including DIS and DY production. The isovector distributions are also of direct relevance for neutrino physics, as they determine the $\nu - \bar{\nu}$ asymmetries in neutrino-nuclear collisions [16,59,60]. In particular, an accurate knowledge of such effects is crucial for the interpretation of data in modern neutrino experiments [61,62]. This, in turn, requires detailed studies of the isovector component of the nuclear spectral function, as well as the isospin dependence of the OS function δf .

ACKNOWLEDGMENTS

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APPENDIX: NUCLEAR CORRECTIONS FOR THE E605 EXPERIMENT

TABLE II. The ratio of dimuon production cross section in proton collisions with a ^{63}Cu target and an average isoscalar nucleon $N = (p + n)/2$, as a function of $x_F = x_B - x_T$, $\sqrt{\tau} = \sqrt{x_B x_T}$, x_B , x_T , Q^2 . Each line corresponds to a data point with the actual kinematics measured by the E605 experiment. The ratios were calculated with the quark energy loss $E' = 0.7$ GeV/fm.

x_F	$\sqrt{\tau}$	x_B	x_T	Q^2	$\frac{\sigma(p\text{Cu})}{\sigma(pN)}$
−0.125	0.1831	0.1310	0.2560	50.47	0.9859
−0.125	0.1897	0.1372	0.2622	54.17	0.9842
−0.125	0.1974	0.1446	0.2696	58.66	0.9822
−0.125	0.2038	0.1507	0.2757	62.53	0.9806
−0.125	0.2117	0.1582	0.2832	67.47	0.9785
−0.125	0.2188	0.1651	0.2901	72.07	0.9761
−0.125	0.2264	0.1724	0.2974	77.16	0.9739
−0.125	0.2338	0.1795	0.3045	82.29	0.9717
−0.125	0.2772	0.2217	0.3467	115.68	0.9590
−0.125	0.2847	0.2290	0.3540	122.02	0.9568
−0.125	0.2917	0.2358	0.3608	128.10	0.9548
−0.125	0.2994	0.2434	0.3684	134.95	0.9526
−0.125	0.3069	0.2507	0.3757	141.79	0.9505
−0.125	0.3201	0.2636	0.3886	154.25	0.9470
−0.125	0.3428	0.2860	0.4110	176.91	0.9415
−0.125	0.3741	0.3168	0.4418	210.69	0.9349
−0.075	0.1831	0.1494	0.2244	50.47	0.9998
−0.075	0.1897	0.1559	0.2309	54.17	0.9998
−0.075	0.1974	0.1634	0.2383	58.66	0.9976
−0.075	0.2038	0.1697	0.2447	62.53	0.9959
−0.075	0.2117	0.1775	0.2525	67.47	0.9937
−0.075	0.2188	0.1845	0.2595	72.07	0.9918
−0.075	0.2264	0.1920	0.2670	77.16	0.9898
−0.075	0.2338	0.1993	0.2743	82.29	0.9878
−0.075	0.2772	0.2422	0.3172	115.68	0.9763
−0.075	0.2847	0.2497	0.3247	122.02	0.9744
−0.075	0.2917	0.2566	0.3316	128.10	0.9726
−0.075	0.2994	0.2642	0.3392	134.95	0.9707
−0.075	0.3069	0.2717	0.3467	141.79	0.9689
−0.075	0.3201	0.2848	0.3598	154.25	0.9658
−0.075	0.3428	0.3073	0.3823	176.91	0.9608
−0.075	0.3741	0.3385	0.4135	210.69	0.9549
−0.075	0.3993	0.3636	0.4386	240.03	0.9511
−0.025	0.1825	0.1704	0.1954	50.14	1.0120
−0.025	0.1897	0.1776	0.2026	54.17	1.0105
−0.025	0.1969	0.1848	0.2098	58.37	1.0090
−0.025	0.2041	0.1920	0.2170	62.71	1.0074
−0.025	0.2116	0.1995	0.2245	67.41	1.0058
−0.025	0.2192	0.2071	0.2321	72.33	1.0041
−0.025	0.2264	0.2142	0.2392	77.16	1.0024
−0.025	0.2336	0.2214	0.2464	82.15	1.0007
−0.025	0.2773	0.2651	0.2901	115.76	0.9907
−0.025	0.2844	0.2722	0.2972	121.76	0.9891
−0.025	0.2917	0.2795	0.3045	128.10	0.9875
−0.025	0.2987	0.2865	0.3115	134.32	0.9861
−0.025	0.3064	0.2942	0.3192	141.33	0.9845
−0.025	0.3199	0.3076	0.3326	154.06	0.9818
−0.025	0.3430	0.3307	0.3557	177.11	0.9775
−0.025	0.3760	0.3637	0.3887	212.83	0.9722
−0.025	0.4044	0.3921	0.4171	246.20	0.9688
0.025	0.1825	0.1954	0.1704	50.14	1.0191
0.025	0.1897	0.2026	0.1776	54.17	1.0179

TABLE II. (*Continued.*)

x_F	$\sqrt{\tau}$	x_B	x_T	Q^2	$\frac{\sigma(p\text{Cu})}{\sigma(pN)}$
0.025	0.1969	0.2098	0.1848	58.37	1.0166
0.025	0.2041	0.2170	0.1920	62.71	1.0153
0.025	0.2116	0.2245	0.1995	67.41	1.0140
0.025	0.2192	0.2321	0.2071	72.33	1.0127
0.025	0.2264	0.2392	0.2142	77.16	1.0115
0.025	0.2336	0.2464	0.2214	82.15	1.0102
0.025	0.2773	0.2901	0.2651	115.76	1.0017
0.025	0.2844	0.2972	0.2722	121.76	1.0004
0.025	0.2917	0.3045	0.2795	128.10	0.9991
0.025	0.2987	0.3115	0.2865	134.32	0.9978
0.025	0.3064	0.3192	0.2942	141.33	0.9965
0.025	0.3199	0.3326	0.3076	154.06	0.9942
0.025	0.3430	0.3557	0.3307	177.11	0.9907
0.025	0.3760	0.3887	0.3637	212.83	0.9865
0.025	0.4044	0.4171	0.3921	246.20	0.9837
0.075	0.1824	0.2237	0.1487	50.09	1.0229
0.075	0.1896	0.2308	0.1558	54.12	1.0222
0.075	0.1970	0.2380	0.1630	58.42	1.0209
0.075	0.2045	0.2454	0.1704	62.96	1.0202
0.075	0.2116	0.2524	0.1774	67.41	1.0192
0.075	0.2188	0.2595	0.1845	72.07	1.0182
0.075	0.2262	0.2668	0.1918	77.03	1.0171
0.075	0.2333	0.2738	0.1988	81.94	1.0161
0.075	0.2770	0.3170	0.2420	115.51	1.0092
0.075	0.2845	0.3245	0.2495	121.85	1.0081
0.075	0.2915	0.3314	0.2564	127.92	1.0071
0.075	0.2992	0.3390	0.2640	134.77	1.0060
0.075	0.3064	0.3462	0.2712	141.33	1.0050
0.075	0.3199	0.3596	0.2846	154.06	1.0031
0.075	0.3433	0.3828	0.3078	177.42	1.0001
0.075	0.3729	0.4123	0.3373	209.34	0.9971
0.075	0.4010	0.4402	0.3652	242.08	0.9949
0.075	0.4367	0.4758	0.4008	287.10	0.9933
0.125	0.1824	0.2553	0.1303	50.09	1.0214
0.125	0.1896	0.2621	0.1371	54.12	1.0224
0.125	0.1970	0.2692	0.1442	58.42	1.0228
0.125	0.2045	0.2763	0.1513	62.96	1.0226
0.125	0.2116	0.2831	0.1581	67.41	1.0218
0.125	0.2188	0.2901	0.1651	72.07	1.0208
0.125	0.2262	0.2972	0.1722	77.03	1.0202
0.125	0.2333	0.3040	0.1790	81.94	1.0194
0.125	0.2770	0.3465	0.2215	115.51	1.0143

TABLE II. (*Continued.*)

x_F	$\sqrt{\tau}$	x_B	x_T	Q^2	$\frac{\sigma(p\text{Cu})}{\sigma(pN)}$
0.125	0.2845	0.3538	0.2288	121.85	1.0130
0.125	0.2915	0.3606	0.2356	127.92	1.0120
0.125	0.2992	0.3682	0.2432	134.77	1.0111
0.125	0.3064	0.3752	0.2502	141.33	1.0102
0.125	0.3199	0.3884	0.2634	154.06	1.0087
0.125	0.3433	0.4114	0.2864	177.42	1.0062
0.125	0.3729	0.4406	0.3156	209.34	1.0035
0.125	0.4010	0.4683	0.3433	242.08	1.0018
0.125	0.4367	0.5036	0.3786	287.10	1.0007
0.175	0.2045	0.3099	0.1349	62.96	1.0207
0.175	0.2120	0.3168	0.1418	67.66	1.0216
0.175	0.2189	0.3232	0.1482	72.14	1.0218
0.175	0.2260	0.3298	0.1548	76.89	1.0214
0.175	0.2334	0.3368	0.1618	82.01	1.0203
0.175	0.2771	0.3781	0.2031	115.59	1.0163
0.175	0.2843	0.3850	0.2100	121.68	1.0157
0.175	0.2915	0.3918	0.2168	127.92	1.0151
0.175	0.2988	0.3988	0.2238	134.41	1.0141
0.175	0.3064	0.4061	0.2311	141.33	1.0131
0.175	0.3198	0.4191	0.2441	153.96	1.0116
0.175	0.3450	0.4434	0.2684	179.18	1.0093
0.175	0.3737	0.4713	0.2963	210.24	1.0069
0.175	0.4054	0.5022	0.3272	247.42	1.0052
0.175	0.4347	0.5309	0.3559	284.47	1.0045
0.225	0.2334	0.3716	0.1466	82.01	1.0201
0.225	0.2771	0.4116	0.1866	115.59	1.0167
0.225	0.2843	0.4182	0.1932	121.68	1.0160
0.225	0.2915	0.4250	0.2000	127.92	1.0154
0.225	0.2988	0.4318	0.2068	134.41	1.0148
0.225	0.3064	0.4389	0.2139	141.33	1.0143
0.225	0.3198	0.4515	0.2265	153.96	1.0128
0.225	0.3450	0.4754	0.2504	179.18	1.0103
0.225	0.3737	0.5028	0.2778	210.24	1.0081
0.225	0.4054	0.5332	0.3082	247.42	1.0062
0.225	0.4347	0.5615	0.3365	284.47	1.0054
0.275	0.2998	0.4673	0.1923	135.31	1.0139
0.275	0.3062	0.4732	0.1982	141.15	1.0134
0.275	0.3205	0.4862	0.2112	154.64	1.0123
0.275	0.3461	0.5099	0.2349	180.33	1.0097
0.275	0.3731	0.5351	0.2601	209.56	1.0076
0.275	0.4027	0.5630	0.2880	244.13	1.0055
0.275	0.4318	0.5907	0.3157	280.69	1.0041

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