

Role of the in-medium four-quark condensates reexamined

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We calculate the nucleon self-energies in nuclear matter in the QCD sum rules approach, taking into account the contributions of the four-quark condensates. We analyze the dependence of the results on the model employed for the calculation of the condensates and demonstrate that the relativistic character of the models is important. The condensates are calculated with inclusion of the most important terms beyond the gas approximation. This corresponds to inclusion of the two-body nucleon forces and of the most important three-body forces. The results are consistent with the convergence of the operator product expansion. The density dependence of the nucleon self-energies is obtained. The results are consistent with those obtained by the standard nuclear physics methods, thus inspiring further development of the approach.

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I. INTRODUCTION

Calculation of the density dependence of the nucleon self-energy is one of the most important problems of nuclear physics nowadays [1,2]. The standard nuclear physics calculations carried out in various approaches [2,3] require certain phenomenological parameters. The QCD sum rules approach to the description of the nucleons in nuclear matter [4] makes it possible to present the nucleon self-energies in terms of the in-medium QCD condensates [4,5].

The QCD sum rules approach stimulated investigation of the in-medium four-quark condensates. It was demonstrated [6] that the influence of the four-quark condensates may appear to be large. However, the calculations require certain model assumptions on the quark structure of nucleus.

In Ref. [7] the contribution of the scalar condensate to the QCD sum rules was obtained by using the Nambu-Jona-Lasinio model [8]. A larger number of condensates was obtained in Ref. [9] in the framework of the perturbative chiral quark model (PCQM) [10,11]. Further studies showed [12,13] that a more detailed analysis is needed. Also, the status of the factorization assumption [5] should be clarified.

In the present paper we consider the four-quark condensates in the class of models, where the nucleon is viewed as a system of the valence quarks and the pions, which are treated perturbatively. The sea quarks are assumed to be contained in the pions. We focus on the role of the four-quark condensates in the QCD sum rules analysis of the nucleons in nuclear matter.

The QCD sum rules approach to investigation of hadrons in vacuum was suggested in Ref. [14] for the mesons and was extended for nucleons in Ref. [15] (see also Ref. [16]). The aim of the approach is to express hadron parameters in terms of the QCD condensates. It was extended for calculations of the nucleon self-energies in nuclear matter (see Ref. [17] and references therein). The method is based on dispersion relations for the function $\Pi_m(q^2)$ usually referred to as the “polarization operator” which describes the time-space propagation of the system with the four-momentum q and

the quantum numbers of the nucleon in the matter. The function $\Pi_m(q^2)$ is determined by the local quark operator $j(x)$. The choice of $j(x)$ is not unique. We use the form suggested in Ref. [18] (see Appendix A). We focus on the contributions of the four-quark condensates to the function $\Pi_m(q^2)$.

The extension of the QCD sum rules method for the case of finite densities makes it possible to approach the problems which are very difficult or inaccessible for the standard nuclear physics. Several examples are provided in Ref. [17]. This method makes it possible to obtain the qualitative results and to clarify the relative role of various channels. However both the left-hand side (LHS) and the right-hand side (RHS) of the sum rules are calculated approximately. The LHS contains several operator product expansion (OPE) terms. The RHS requires a certain model for the spectrum of the polarization operator. Hence, accurate computation of the physical values is beyond this approach.

Thus, it is instructive to analyze in detail what the method gives for the nucleon self-energies in nuclear matter which are well studied by the nuclear physics methods. The role of the four-quark condensates is increasingly important since some simplified assumptions on their values were shown to contradict both the convergence of OPE and the nuclear physics phenomenology [6].

Calculation of the four-quark condensate requires model assumptions on the quark structure of the nucleon. The models may include or not include certain physics, thus being more or less reliable. In the present paper we show that by employing the nonrelativistic quark models we are very close to contradiction with what we know from nuclear physics studies. However, the relativistic quark models provide the results consistent with OPE and nuclear physics. This makes it possible to go ahead.

The calculations are carried out in the gas approximation and with inclusion of the most important non-linear terms. This corresponds to inclusion of the two-body forces and of the three-body forces in the mean-field approximation.

The unknowns of the sum rules equations are the nucleon vector self-energy Σ_V , the effective mass m^* (or the scalar

self-energy $m^* - m$), the nucleon residue λ_m^2 , and the effective continuum threshold W_m^2 . The sum rules equations connect these parameters to the QCD condensates at finite density. Putting W_m^2 equal to its vacuum value we find explicit approximate equations that express the nucleon self-energies in terms of the QCD condensates.

There are three types of contributions to the four-quark expectation values. In the first one only the valence quarks are involved. The second one includes only the sea quarks. There are also the interference contributions, where both sea and valence quarks participate. The contribution of the valence quarks can be written in a way that makes it possible to separate special *factorized* configurations in which two quark operators act inside the nucleon while two other ones act on the QCD vacuum.

It was shown in Ref. [19] that the contributions involving only the sea quarks cancel. Turning to the valence quarks we find the factorized terms and also the *internal* terms in which all four operators act inside the nucleon. In the interference terms two quark operators act on the constituent quark, while the other two act on the pion or connect the pion with vacuum by the relations based on the partial conservation of axial current (PCAC).

The four-quark condensates have dimension $d = 6$. The factorized terms are proportional to the product $\langle 0|\bar{q}q|0\rangle \cdot \langle N|\bar{q}\Gamma_X q|N\rangle_{\text{val}} \cdot \rho$ ($\Gamma_X = I, \gamma_0$) of the vacuum expectation value $\langle 0|\bar{q}q|0\rangle$, the contribution of the valence quarks to the nucleon expectation value $\langle N|\bar{q}\Gamma_X q|N\rangle_{\text{val}}$, and the nucleon density ρ . The internal terms depend on the characteristic nucleon size R . They scale as ρ/R^3 . Because $\langle 0|\bar{q}q|0\rangle \approx (-241 \text{ MeV})^3$, while $R \approx 0.6 \text{ fm} = (328 \text{ MeV})^{-1}$, these two values are of the same order.

We demonstrate that in the scalar channel the factorized terms determine about 80% of the total contribution. The internal contributions are as important as the interference terms. On the contrary, in the vector channel the nonfactorized terms play the crucial role. There is a large cancellation between the factorized and the internal terms.

The results are consistent with the assumption of the convergence of the power series in $1/q^2$ for the polarization operator.

We show that in the gas approximation inclusion of the four-quark condensates subtract more than 100 MeV from the vector self-energy Σ_V and from the nucleon effective mass m^* at the saturation value of density. The effect becomes weaker after inclusion of the main three-body terms, in which the two pairs of the quark operators act on two different nucleons. The quantitative results depend on the value of the nucleon matrix element $\kappa_N = \langle N|\bar{u}u + \bar{d}d|N\rangle$. Anyway, at the phenomenological saturation point the matter appeared to be bound.

It is instructive to compare the results with those obtained in the framework of the nonrelativistic quark model. The results differ strongly from those found in the PCQM. In particular, the matter appeared to be bound only if the value of κ_N is large enough.

Our approach made it possible to find the density dependence of the nucleon self-energies. The reasonable results of the paper stimulate further calculations with more complete inclusion of the three-body terms.

II. GENERAL EQUATIONS

A. Expression for the four-quark condensates

We calculate the expectation values of the color-antisymmetric operators:

$$T_{XY}^{f_1 f_2} =: (\bar{q}^{f_1 a} \Gamma_X q^{f_1 a'} \cdot \bar{q}^{f_2 b} \Gamma_Y q^{f_2 b'} (\delta_{aa'} \delta_{bb'} - \delta_{ab'} \delta_{ba'})) : . \quad (1)$$

Here the colon signs denote the normal ordering of the quark operators, q^f , $f_{1,2}$ stand for the quark flavors, while a, a', b, b' are the color indices. The basic 4×4 matrices $\Gamma_{X,Y}$ acting on the Lorentz indices of the quark operators are

$$\begin{aligned} \Gamma_X &= I, & \Gamma_X &= \gamma_5, & \Gamma_X &= \gamma_\mu, & \Gamma_X &= \gamma_\mu \gamma_5, \\ \Gamma_X &= \frac{i}{2}(\gamma_\mu \gamma_\nu - \gamma_\nu \gamma_\mu) = \sigma_{\mu\nu}, \end{aligned} \quad (2)$$

describing the scalar, pseudoscalar, vector, axial, and tensor cases, correspondingly. The operators defined by Eq. (1) can be written also as

$$\begin{aligned} T_{XY}^{f_1 f_2} &=: \left(\frac{2}{3} \cdot \bar{q}^{f_1} \Gamma_X I_c q^{f_1} \cdot \bar{q}^{f_2} \Gamma_Y I_c q^{f_2} \right. \\ &\quad \left. - \frac{1}{2} \bar{q}^{f_1} \Gamma_X \lambda^\alpha q^{f_1} \cdot \bar{q}^{f_2} \Gamma_Y \lambda^\alpha q^{f_2} \right) :, \end{aligned} \quad (3)$$

where λ^α are the basic SU(3) matrices and I_c is the unit 3×3 color matrix. We omit I_c from here on.

B. Sum rules

1. Inclusion of the four-quark condensates

Considering nuclear matter as a system of A nucleons with momenta p_i we introduce the vector

$$P = \frac{\sum p_i}{A}.$$

In the rest frame of the matter,

$$P_\mu = p_0 \delta_{\mu 0},$$

with $p_0 \approx m$.

The sum rules are based on the dispersion relations

$$\Pi_m^i(q^2) = \frac{1}{\pi} \int \frac{\text{Im} \Pi_m^i(k^2)}{k^2 - q^2} dk^2 \quad (4)$$

for the components $\Pi_m^i(q^2)$ of the polarization operator,

$$\begin{aligned} \Pi_m(q^2) &= i \int d^4 x e^{i(qx)} \langle M | j(x) \bar{j}(0) | M \rangle \\ &= \hat{q} \Pi_m^q(q^2) + \hat{P} \Pi_m^P(q^2) + I \Pi_m^I(q^2). \end{aligned}$$

The LHS of Eq. (4) is presented as a power series of q^2 . This is known as the OPE. The functions $\text{Im} \Pi_m^i(k^2)$ on the RHS of Eq. (4) are approximated by the ‘‘pole + continuum’’ model in which the lowest pole is written exactly, while the higher states are approximated by continuum.

The Borel transformed QCD sum rules in nuclear matter take the form

$$\begin{aligned}\mathcal{L}^q(M^2, W_m^2) &= \lambda_m^2 \exp(-m_m^2/M^2); \\ \mathcal{L}^l(M^2, W_m^2) &= m^* \lambda_m^2 \exp(-m_m^2/M^2); \\ \mathcal{L}^p(M^2, W_m^2) &= -\Sigma_V \lambda_m^2 \exp(-m_m^2/M^2).\end{aligned}\quad (5)$$

Here \mathcal{L}^i are the Borel transforms of the LHS of Eq. (4) with the continuum contributions transferred to the LHS, and m_m is the new position of the nucleon pole [17]. These equations are expected to determine the nucleon effective mass m^* and the vector self-energy Σ_V , the residue at the nucleon pole λ_m^2 , and the effective continuum threshold W_m^2 . The explicit form of the LHS is presented later.

The LHS of Eqs. (5), which includes the in-medium condensates, can be written as (we omit the dependence on W_m^2)

$$\begin{aligned}\mathcal{L}^q(M^2) &= \sum_n \tilde{A}_n(M^2); & \mathcal{L}^l(M^2) &= \sum_n \tilde{B}_n(M^2); \\ \mathcal{L}^p(M^2) &= \sum_n \tilde{P}_n(M^2).\end{aligned}\quad (6)$$

Here the lower indices n denote the dimensions of the condensates. Inclusion of the higher dimensions corresponds to the inclusion of the higher terms in the $1/q^2$ expansion of the LHS of Eqs. (4). Each term on the RHS of Eqs. (6) is the Borel transform of the corresponding contribution to the polarization operator $\Pi^i(q^2)$ multiplied by the factor $32\pi^4$.

Earlier Eqs. (5) were solved for the contributions with $n < 6$ with inclusion of the radiative corrections of the order α_s . Now we include also the terms with $n = 6$, which are mainly the four-quark condensates.

The contribution of the four-quark condensates to the polarization operator can be written as

$$(\Pi_m)_{4q} = (\Pi_0)_{4q} + \frac{1}{q^2} \sum_{X,Y} (\mu^{XY} H_{XY} + \tau^{XY} R_{XY}), \quad (7)$$

where $(\Pi_0)_{4q}$ is the vacuum term, with

$$\begin{aligned}H_{XY} &= \langle M | T_{XY}^{uu} | M \rangle - \langle 0 | T_{XY}^{uu} | 0 \rangle; \\ R_{XY} &= \langle M | T_{XY}^{ud} | M \rangle - \langle 0 | T_{XY}^{ud} | 0 \rangle,\end{aligned}\quad (8)$$

while (see Appendix A)

$$\mu^{XY} = \frac{\theta_X}{16} \text{Tr}(\gamma^\alpha \Gamma^X \gamma^\beta \Gamma^Y) \gamma_5 \gamma_\alpha \hat{q} \gamma_\beta \gamma_5, \quad (9)$$

and

$$\tau^{XY} = \frac{\theta_X}{4} \text{Tr}(\gamma^\alpha \hat{q} \gamma^\beta \Gamma^X) \gamma_5 \gamma_\alpha \Gamma^Y \gamma_\beta \gamma_5. \quad (10)$$

Here $\theta_X = +1$, if Γ^X has a vector or tensor structure, while $\theta_X = -1$ in other cases.

Here we omitted the Lorentz indices of the matrices Γ_X , μ^{XY} , and τ^{XY} . Note the way matrices Γ_X with the lower indices in Eqs. (1)–(3), are related to those with upper indices in Eqs. (9) and (10). In the scalar and pseudoscalar cases $\Gamma^X = \Gamma_X$, $\Gamma^X = \gamma^\mu$, and $\Gamma^X = \sigma^{\mu\nu}$ in vector and tensor cases, $\Gamma^X = \gamma_5 \gamma^\mu$ in the axial case.

The traces on the RHS of Eq. (9), describing contributions of the $4u$ condensates obtain nonzero values for all five

cases listed in Eq. (2), while Γ^X and Γ^Y should belong to the same channel. In the case of $2u2d$ condensates [see Eq. (10)] nonzero values are provided by the vector and axial condensates and also by the scalar-vector condensate ($\Gamma^X = \gamma^0$, $\Gamma^Y = I$) and by the axial-tensor condensate ($\Gamma^X = \gamma^5 \gamma^\lambda$, $\Gamma^Y = \sigma^{\mu\nu}$). As noted in Ref. [13], the latter contribution was overlooked in Ref. [9]. The matrix element R_{XY} can obtain a nonzero value also in the axial-pseudoscalar channel with $\Gamma_X = \gamma_0 \gamma_5$, $\Gamma_Y = \gamma_5$. However, one can see that the corresponding contribution is proportional to $q_\lambda \varepsilon^{\lambda\alpha\beta 0} \cdot \sigma_{\alpha\beta} \gamma_5$. It does not contribute to the structures of the polarization operator proportional to \hat{q} , \hat{P} , or I .

Note that in the scalar and pseudoscalar cases ($\Gamma_X = \Gamma_Y = I$, γ_5) the four-quark condensates are determined by one function of density in each of the channels

$$H_{XX} = a_m^{Xuu}(\rho), \quad R_{XX} = a_m^{Xud}(\rho). \quad (11)$$

The same refers to the vector-scalar and axial-tensor condensates (in the latter case $\Gamma_X = \gamma_\lambda \gamma_5$, $\Gamma_Y = \sigma_{\mu\nu}$ and a_m is proportional to the asymmetric tensor $\varepsilon_{0\mu\nu\lambda}$). The vector condensate with $\Gamma_X = \gamma_\mu$, $\Gamma_Y = \gamma_\nu$ can be presented in terms of two functions of ρ

$$H_{XY} = a_m(\rho) g_{\mu\nu} + b_m(\rho) \frac{p_\mu p_\nu}{m^2}, \quad (12)$$

with similar equation for R_{XY} . The same presentation can be written for the axial condensate. Also, there are two functions in the tensor case with $\Gamma_X = \sigma_{\mu\nu}$, $\Gamma_Y = \sigma_{\alpha\beta}$; that is,

$$\begin{aligned}H_{XY} &= a_m(\rho) (g_{\mu\alpha} g_{\nu\beta} - g_{\mu\beta} g_{\nu\alpha}) \\ &+ b_m(\rho) \frac{g_{\mu\alpha} p_\nu p_\beta + g_{\nu\beta} p_\mu p_\alpha - g_{\mu\beta} p_\nu p_\alpha - g_{\nu\alpha} p_\mu p_\beta}{m^2}.\end{aligned}\quad (13)$$

2. Gas approximation

In the gas approximation the matrix elements in nuclear matter are assumed to be

$$\langle M | X | M \rangle = \langle 0 | X | 0 \rangle + \rho_p \langle p | X | p \rangle + \rho_n \langle n | X | n \rangle \quad (14)$$

for any operator X . Here $|p\rangle$ and $|n\rangle$ denote the unpolarized nucleons in QCD vacuum, ρ_p and ρ_n are the corresponding densities. In the first term on the RHS of Eq. (14) all four quark operators act on the vacuum state. In the gas approximation,

$$H_{XY} = h_{XY}^p \rho_p + h_{XY}^n \rho_n; \quad R_{XY} = r_{XY}^p \rho_p + r_{XY}^n \rho_n, \quad (15)$$

with the matrix elements for unpolarized nucleons,

$$h_{XY}^N = \langle N | T_{XY}^{uu} | N \rangle; \quad r_{XY}^N = \langle N | T_{XY}^{ud} | N \rangle. \quad (16)$$

In the gas approximation the functions $a_m(\rho)$ and $b_m(\rho)$ introduced in the previous section [see Eqs. (11)–(13)] are proportional to ρ . Thus, the ratios $a_m(\rho)/\rho$ and $b_m(\rho)/\rho$ do not depend on ρ and can be expressed in terms of the nucleon matrix elements h_{XY} and r_{XY} introduced in Eq. (16).

In the gas approximation we can write Eq. (7) in the form

$$\begin{aligned}(\Pi_m)_{4q} - (\Pi_0)_{4q} &= \left(A^q \frac{\hat{q}}{q^2} + A^p \frac{(Pq)}{m^2} \frac{\hat{P}}{q^2} + A^l \frac{(Pq)}{m} \frac{I}{q^2} \right) \frac{a}{(2\pi)^2} \rho, \quad (17)\end{aligned}$$

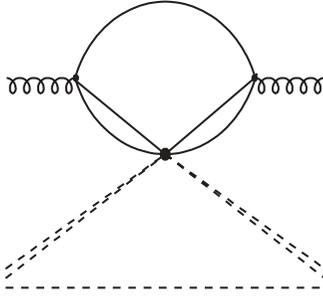


FIG. 1. Contribution of the four-quark condensates (dark blob) to the left-hand side of the sum rules caused by the valence quarks (dotted lines). The helix line stands for the nucleon current. Solid lines denote the quarks of the current.

with

$$A^i = \sum A_{XY}^{i, f_1 f_2}; \quad i = q, P, I,$$

where the RHS is summed over the flavors f_1, f_2 and the Lorentz structures X, Y . Here we used the standard notation $a = -(2\pi)^2 \langle 0 | \bar{q} q | 0 \rangle$ ($a > 0$). Note that this is just a convenient scale for presentation of the results. It does not reflect the chiral properties of the nucleon. The coefficients A^i contain also dependence on the asymmetry parameter

$$\alpha = (\rho_n - \rho_p) / (\rho_n + \rho_p). \quad (18)$$

Because in the case of $4u$ quarks only structures with $X = Y$ contribute, we put $A_{XX}^{uu} = A_X^{uu}$.

C. Three types of terms

Now we turn to the models in which the nucleon is considered as a composition of the constituent quark and the pion cloud. The nucleon vector of state is thus $|N\rangle = |\phi_0, \pi\rangle$, with $|\phi_0\rangle$ the state of three valence quarks. Note that all ingredients are in the QCD vacuum. If the pion cloud is treated perturbatively, the nucleon expectation value of the operator defined by Eq. (3) can be written as [9]

$$\begin{aligned} \langle N | T_{XY}^{f_1 f_2} | N \rangle &= \langle \phi_0 | T_{XY}^{f_1 f_2} | \phi_0 \rangle + \langle \phi_0 | H_I | \phi_0, \pi \rangle \langle \pi | T_{XY}^{f_1 f_2} | \pi \rangle \\ &\times \langle \phi_0, \pi | H_I | \phi_0 \rangle + \langle N | T_{XY}^{f_1 f_2} | N \rangle_{\text{INTERF}}. \end{aligned} \quad (19)$$

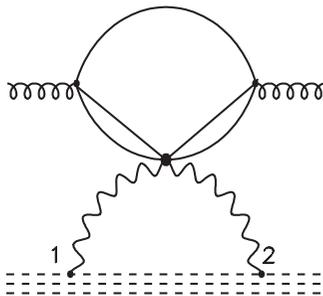


FIG. 2. Contribution of the four-quark condensates (dark blob) to the left-hand side of the sum rules caused by the sea quarks. The wavy line stands for the pions. The other notations are the same as in Fig. 1. The πQQ interaction vertices 1 and 2 may correspond to the same or different nucleon quarks.

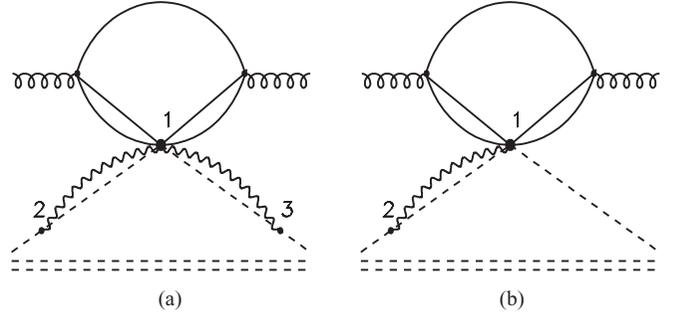


FIG. 3. Contribution of the four-quark condensates (dark blob) to the left-hand side of the sum rules caused by the interference terms with Figs. 3(a) and 3(b) illustrating the contact and vertex interference, correspondingly. The notations are the same as in Fig. 2. The vertices 1, 2, and 3 may belong to the same or different nucleon quarks.

Here H_I is the interaction between the constituent quarks and the pions. In the first term on the RHS of Eq. (19) all four operators act on the constituent quarks. In the second term the four quark operators act on pions (Figs. 1 and 2).

In the last term two quark operators act on the constituent quarks. Two other ones act on the pions. This contact interference is illustrated by Fig. 3(a). In the vertex interference shown in Fig. 3(b) the πQ interaction H_I adds a pion to the constituent quark. In the four-quark operator two operators act on the constituent quarks while the two other ones annihilate the pion. In the case of $4u$ operators we can write

$$\begin{aligned} \langle N | T_X^{uu} | N \rangle_{\text{INTERF}} &= \frac{4}{3} (\langle \phi_0 | H_I | \phi_0, \pi \rangle \langle \pi | t_X | \pi \rangle \langle \phi_0 | t_X | \phi_0 \rangle \langle \phi_0, \pi | H_I | \phi_0 \rangle \\ &+ \langle \phi_0 | t_X | \phi_0 \rangle \langle 0 | t_X | \pi \rangle \langle \phi_0, \pi | H_I | \phi_0 \rangle \\ &+ \langle \phi_0 | H_I | \phi_0, \pi \rangle \langle \pi | t_X | 0 \rangle \langle \phi_0 | t_X | \phi_0 \rangle), \end{aligned} \quad (20)$$

with $t_X = \bar{u} \Gamma_X I_c u$. Because the vacuum and the pion states are colorless, only the first term on the RHS of Eq. (3) contributes. The first term on the RHS has a nonzero value in the scalar case $\Gamma_X = I$. The two next terms obtain nonzero values in the axial and pseudoscalar cases with the matrix elements $\langle 0 | t_X | \pi \rangle$ determined by PCAC. A similar equation can be written for $2u2d$ condensate.

D. Contributions to the polarization operator

Here we consider only those of the four-quark condensates which contribute to the polarization operator.

1. Sea quarks

In the gas approximation the sea quarks are contained in the pion clouds of separate nucleons. If the pions are treated perturbatively, the four quark operators should act on the same pion.

This requires calculation of the matrix elements $\langle \pi^\alpha | T_{XY}^{f_1 f_2} | \pi^\alpha \rangle$ with $T_{XY}^{f_1 f_2}$ defined by Eqs. (1) and (3); here α denotes the pion isotopic states. These expectation values can be expressed in terms of the vacuum expectation values $\langle 0 | T_{XY}^{f_1 f_2} | 0 \rangle$ by means of the current algebra technique [20]. If the factorization hypothesis for the latter expectation values is assumed [14], one finds that they obtain nonzero values only

for $X = Y$ and [9];

$$\sum_X (\mu_X \langle \pi^\alpha | T_{XX}^{uu} | \pi^\alpha \rangle + \tau_X \langle \pi^\alpha | T_{XX}^{ud} | \pi^\alpha \rangle) = 0 \quad (21)$$

for any isotope index α .

Thus, using Eq. (21) we find that the contribution of the sea quarks vanishes.

2. Contributions of the valence quarks: General equations

The valence quarks are assumed to be represented by the constituent quarks, that is, by the massive particles moving in a certain self-consistent effective field. The constituent quarks are assumed to be described by the single-particle functions, which are the solutions of the wave equation. In the general case the constituent quarks are relativistic particles, described by the wave function

$$\psi_q(\mathbf{r}) = \omega_q f_q(r), \quad (22)$$

with f a certain function of $r = |\mathbf{r}|$, while in

$$\omega_q = \begin{pmatrix} \chi_q \\ -i\beta_q(\boldsymbol{\sigma}\nabla)\chi_q \end{pmatrix} \quad (23)$$

χ_q is the two-component spinor with β_q a certain function of r . In the nonrelativistic models $\beta_q = 0$.

The QCD operator $q(r)$ acts on the valence quark $|Q\rangle$ placed into the QCD vacuum:

$$q^a |Q^a(r)\rangle = \psi_q(r) |Q^a\rangle + q^a(r) |0\rangle, \quad (24)$$

with $\psi_q(r)$ defined by Eq. (22). The product of the wave functions describes thus the excess of the quark density inside the consistent quark over that of vacuum,

$$\bar{\psi}_q(r) \psi_q(r) = \langle Q | \bar{q}(r) q(r) | Q \rangle - \langle 0 | \bar{q} q | 0 \rangle \quad (25)$$

(recall that $\bar{q}q = \Sigma \bar{q}^a q^a$).

3. Nucleon matrix elements

Here we present the model-independent relations for the nucleon matrix elements. The nucleon matrix element for any quark operator $X(r)$ can be written as [21]

$$\langle N | X | N \rangle = \langle N | \int d^3r (X(r) - \langle 0 | X | 0 \rangle) | N \rangle, \quad (26)$$

thus expressing the excess of the quark density inside the nucleon over that in the QCD vacuum. For the scalar two-quark operator this leads to

$$\langle N | \bar{q} q | N \rangle = \langle N | \int d^3r (\bar{q}(r) q(r) - \langle 0 | \bar{q} q | 0 \rangle) | N \rangle,$$

while for the other operators $\bar{q}\Gamma_X q$ we have just

$$\langle N | \bar{q}\Gamma_X q | N \rangle = \langle N | \int d^3r \bar{q}(r)\Gamma_X q(r) | N \rangle,$$

with most of these expectation values vanishing for the unpolarized nucleons.

For the four-quark operators $\bar{q}\Gamma_X q \bar{q}\Gamma_Y q$ with $\Gamma_X = I$, $\Gamma_Y \neq I$; that is, for the operators $X = \bar{q}q\bar{q}\Gamma_Y q$ one can write

Eq. (26) in another form,

$$\begin{aligned} \langle N | \bar{q} q \bar{q} \Gamma_Y q | N \rangle &= 2 \langle 0 | \bar{q} q | 0 \rangle \langle N | \bar{q} \Gamma_Y q | N \rangle \\ &+ \langle N | \int d^3r (\bar{q}(r) q(r) - \langle 0 | \bar{q} q | 0 \rangle) \bar{q}(r) \Gamma_Y q(r) | N \rangle, \end{aligned} \quad (27)$$

while for the four-quark scalar condensate,

$$\begin{aligned} \langle N | \bar{q} q \bar{q} q | N \rangle &= 2 \langle 0 | \bar{q} q | 0 \rangle \langle N | \bar{q} q | N \rangle \\ &+ \langle N | \int d^3r (\bar{q}(r) q(r) - \langle 0 | \bar{q} q | 0 \rangle)^2 | N \rangle. \end{aligned} \quad (28)$$

For the other four-quark condensates $\bar{q}\tilde{\Gamma}_X q \bar{q}\tilde{\Gamma}_Y q$ with $\tilde{\Gamma}_{X(Y)} = \Gamma_{X(Y)}$ or $\tilde{\Gamma}_{X(Y)} = \Gamma_{X(Y)}\lambda^\alpha$ [see Eq. (3)] we have just

$$\begin{aligned} \langle N | \bar{q}\tilde{\Gamma}_X q \bar{q}\tilde{\Gamma}_Y q | N \rangle &= \langle N | \int d^3r \bar{q}(r)\tilde{\Gamma}_X q(r)\bar{q}(r)\tilde{\Gamma}_Y q(r) | N \rangle. \end{aligned} \quad (29)$$

We shall see that Eqs. (27) and (28) will be useful in the calculation of the contribution of valence quarks.

4. Factorized and internal terms

In our QCD sum rules analysis there are the factorized terms in the cases of the scalar-scalar $4u$ condensate and of the vector-scalar $2u2d$ condensate. Two quark operators act on the QCD vacuum while two other ones act on the valence quarks of the nucleon. We can write

$$\begin{aligned} \langle N | \bar{u} I_c u \bar{u} I_c u | N \rangle_{\text{val}} &= \langle N | \bar{u} I_c u \bar{u} I_c u | N \rangle_{\text{fact}} + \langle N | \bar{u} I_c u \bar{u} I_c u | N \rangle_{\text{int}}, \\ \langle N | \bar{u} \gamma_0 I_c u \bar{d} I_c d | N \rangle_{\text{val}} &= \langle N | \bar{u} \gamma_0 I_c u \bar{d} I_c d | N \rangle_{\text{fact}} + \langle N | \bar{u} \gamma_0 I_c u \bar{d} I_c d | N \rangle_{\text{int}} \end{aligned} \quad (30)$$

(see Fig. 4). Here the lower index ‘‘val’’ denotes that we consider the contribution of the valence quarks. Index ‘‘int’’ shows that all four quark operators act on the valence quarks. The scalar factorized term is

$$\langle N | \bar{u} I_c u \bar{u} I_c u | N \rangle_{\text{fact}} = 2 \langle 0 | \bar{u} u | 0 \rangle J, \quad (31)$$

where

$$J = \int d^3r \bar{\psi}_u(r) \psi_u(r) \quad (32)$$

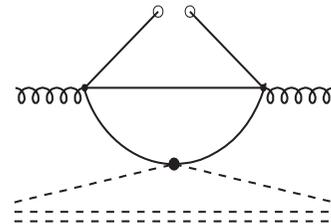


FIG. 4. Contribution of the four-quark condensates to the left-hand side of the sum rules caused by the factorized terms. The small circles denote the QCD vacuum. The dark blob stands for the two-quark condensate.

is just the contribution of one valence u quark to the expectation value $\langle p|\bar{u}u|p\rangle$. Another factorized term is

$$\begin{aligned}\langle N|\bar{u}\gamma_0 I_c u \bar{d}d|N\rangle_{\text{fact}} &= \langle 0|\bar{d}d|0\rangle \langle N|\bar{u}\gamma_0 I_c u|N\rangle \\ &= \langle 0|\bar{d}d|0\rangle \cdot n_u.\end{aligned}\quad (33)$$

Here n_u denotes the number of u quarks in the nucleon. Note that the value of the condensate $\langle N|\bar{u}\gamma_0 I_c u \bar{d}d|N\rangle_{\text{fact}}$ does not depend on the particular model of the nucleon.

For the other condensates,

$$\langle N|\bar{u}\tilde{\Gamma}_X u \bar{u}\tilde{\Gamma}_Y u|N\rangle_{\text{val}} = \langle N|\bar{u}\tilde{\Gamma}_X u \bar{u}\tilde{\Gamma}_Y u|N\rangle_{\text{int}}.\quad (34)$$

The internal terms vanish if a $4u$ operator is averaged over neutron [9]. For the proton

$$\langle p|T_{XX}^{uu}|p\rangle_{\text{int}} = 2 \int d^3r \bar{\Psi}_{uu} \Gamma_X^{(1)} \Gamma_X^{(2)} \Psi_{uu},\quad (35)$$

with Ψ_{uu} the wave function of the two constituent u quarks in the proton, the upper indices (1), (2) label the two u quarks. Because the asymmetry of the function Ψ_{uu} is provided by that of the colors, the spin function is symmetric and the total spin of the two u quarks is $S = 1$. Thus, we must put $(\sigma_u^{(1)} \cdot \sigma_u^{(2)}) = 1$ in the averaging over the spin states in Eq. (35). For the $2u2d$ condensates

$$\langle N|T_{XY}^{ud}|N\rangle_{\text{int}} = \sum_q \int d^3r \bar{\Psi}_{ud} \Gamma_X^{(1)} \Gamma_Y^{(2)} \Psi_{ud},\quad (36)$$

with Ψ_{ud} the two-particle wave function of a system of u and d constituent quarks. The sum is taken over all ud states in the nucleon. Because the spin of the nucleon is $1/2$ while the sum of the spins of the two u quarks in the proton (and of the two d quarks in the neutron) is 1 , we must put $\sum_q (\sigma_u \cdot \sigma_d) = -4$ in the averaging over the spin states in Eq. (36).

5. Contact and vertex interference

Start with the $4u$ condensate. The first term on the RHS of Eq. (20) describes the contact interference, in which two operators act on the constituent quarks while the two other ones act inside the neutral pions. There is a nonzero expectation value only for the scalar condensate

$$\langle \pi|\bar{q}q|\pi\rangle = \frac{2m_\pi^2}{m_u + m_d},$$

with m_π , m_u , and m_d the masses of pion and of the light quarks.

Similar situation takes place for the $2u2d$ condensate. Now the charged pions also contribute. Some of the vector condensates (e.g., $\langle \pi^0|\bar{d}\gamma_0 u|\pi\rangle$) have nonzero values. However, such expectation values do not contain large factor $m_\pi/(m_u + m_d)$. Thus, their contribution is much smaller than that of the scalar term.

In the second term on the RHS of Eq. (20) one of the vertices of interaction between the consistent U quark and neutral pion is replaced by the four-quark condensate. This is referred to as the vertex interference. Nonzero contributions are provided by the axial and pseudoscalar condensates.

In the case of the $2u2d$ condensates Eq. (7) presents the polarization operator in terms of the four-quark operators

$\bar{u}\Gamma_X u \bar{d}\Gamma_Y d$. However, in the calculations of vertex interference involving the charged pions π^\pm it is more convenient to present the polarization operators in terms of the operators $\bar{u}\Gamma_X d \bar{d}\Gamma_Y u$. This is because in the axial and pseudoscalar channels the operators $\bar{u}\Gamma_X d$ and $\bar{d}\Gamma_Y u$ ($\Gamma_{X(Y)} = \gamma_\mu \gamma_5$, γ_5) connect pions and vacuum by the PCAC relations. The term $\sum_{X,Y} \tau^{XY} R_{XY}/q^2$ on the RHS of Eq. (7) is replaced by (see Appendix A)

$$(\Pi_m)'_{4q} = \frac{1}{q^2} \sum_{X,Y} \xi^{XY} \tilde{R}_{XY},\quad (37)$$

with

$$\tilde{R}_{XY} = \langle M|\tilde{T}_{XY}^{ud}|M\rangle,\quad (38)$$

where \tilde{T}^{ud} is written in terms of operators $\bar{u}\Gamma_X d \bar{d}\Gamma_Y u$, and

$$\xi^{XY} = \frac{1}{4} \gamma_5 \gamma^\mu \Gamma^X \gamma^\nu \hat{q} \gamma_\mu \Gamma^Y \gamma_\nu \gamma_5.\quad (39)$$

III. CONTRIBUTIONS OF THE FOUR-QUARK CONDENSATES IN PCQM

A. Wave functions

In the PCQM the single-particle wave functions defined by Eq. (22) are

$$f_u(r) = f_d(r) = f(r) = N e^{-r^2/2R^2},\quad (40)$$

with N determined by the normalization condition $\int \bar{\psi}_q(r) \gamma_0 \psi_q(r) d^3r = 1$. The two-particle wave functions take the form of the products of the wave functions given by Eqs. (22) and (40) with the spinors $\chi_{1,2}$ composing the corresponding spin state. The functions β_q are replaced by constants

$$\beta_u = \beta_d = \beta = 0.39,\quad (41)$$

fitted to reproduce the value of the axial coupling constant. The parameter R is related to the contribution of the valence quark core (r_{core}^2) to the proton charge radius $\langle r^2 \rangle$ [11].

In the PCQM calculations of the proton charge radius [22] the value

$$R = (0.618 \pm 0.006) \text{ fm},\quad (42)$$

provides the exact fit for the current value $\langle r^2 \rangle^{1/2} = (0.8768 \pm 0.0069) \text{ fm}$ [23].

A characteristic size of the internal expectation values is

$$\mathcal{N}^2 = \int f^4(r) d^3r = \frac{1}{(2\pi)^{3/2}} \cdot \frac{1}{R^3} \frac{1}{(1 + 3/2 \beta^2)^2},\quad (43)$$

with the numerical value for $R = 0.618 \text{ fm}$

$$\mathcal{N}^2 = 1.37 \times 10^{-3} \text{ GeV}^3 = 0.098 \varepsilon_0^3.\quad (44)$$

Here

$$\varepsilon_0^3 = -\langle 0|\bar{q}q|0\rangle, \quad \varepsilon_0 = 241 \text{ MeV};\quad (45)$$

thus, the second factor on the RHS of Eq. (17) is $a/(2\pi)^2 = \varepsilon_0^3$.

B. Contributions of the valence quarks

1. Factorized terms

Employing the PCQM value $J = 0.54$ we find for the factorized term provided by Eq. (31)

$$A_S^q = 1.08 \left(1 - \frac{\alpha}{3} \right), \quad (46)$$

with the asymmetry parameter α defined by Eq. (18). For another factorized term expressed by Eq. (33) we obtain

$$A_{VS}^I = 2.00 \left(1 - \frac{\alpha}{3} \right). \quad (47)$$

Inclusion of nonlocality of the vector condensate and of some other small corrections [9] changes the last equation to (see Appendix B)

$$A_{VS}^I = 1.14 \left(1 - \frac{\alpha}{3} \right). \quad (48)$$

2. Internal terms

The internal contributions of the valence quarks to the parameters $A^{q,P,I}$ defined by Eq. (17) can be obtained by straightforward calculations (see Appendix C). We find the numerical values for the $4u$ case [9],

$$\begin{aligned} A_S^q &= -0.05 + 0.04\alpha; & A_{P_s}^q &= -0.01 + 0.01\alpha; \\ A_V^q &= 0.01(1 - \alpha); & A_A^q &= -0.03(1 - \alpha); \\ A_T^q &= 0.02(1 - \alpha), \end{aligned} \quad (49)$$

and

$$\begin{aligned} A_S^P &= A_{P_s}^P = 0; & A_V^P &= -0.13(1 - \alpha); \\ A_A^P &= 0.03(1 - \alpha); & A_T^P &= -0.09(1 - \alpha), \end{aligned} \quad (50)$$

while $A^I = 0$ for all these structures.

In the case of the $2u2d$ condensate the nonvanishing contributions are

$$A_V^q = -0.80; \quad A_A^q = -0.49, \quad (51)$$

while

$$A_V^P = -0.41, \quad A_A^P = -0.25, \quad (52)$$

and

$$A_{VS}^I = -0.34, \quad A_{AT}^I = 0.77. \quad (53)$$

Thus, the total contribution of the internal terms is

$$\begin{aligned} A^q &= -1.35 + 0.05\alpha, & A^P &= -0.85 + 0.19\alpha, \\ A^I &= 0.43. \end{aligned} \quad (54)$$

3. Total contribution of the valence quarks

Summing up the contributions provided by Eqs. (46), (48), and (54) we find for the total contribution of the valence quarks

$$\begin{aligned} A^q &= -0.27 - 0.31\alpha, & A^P &= -0.85 + 0.19\alpha, \\ A^I &= 1.57 - 0.38\alpha. \end{aligned} \quad (55)$$

One can see that there is a strong cancellation between the factorized and internal terms in the structure A^q . Internal terms provide a small correction to the structure A^I .

C. Interference terms

We now turn to the case in which one of the operators $\bar{q}\Gamma^{X,Y}q$ acts on the constituent quark while the other one acts on the pion. In the PCQM the pions manifest themselves in the $\pi^\alpha Q$ self-energy loops Σ^α and in the corresponding exchange terms I^α (see Fig. 3). They are related as [9]

$$I^\alpha = \frac{10}{9} \Sigma^\alpha, \quad (56)$$

with the same relation for the contributions of the four-quark condensates.

For the contact interference described by the first term on the RHS of Eq. (20) we find [9] (see Appendix D)

$$A^q = -0.02 + 0.01\alpha; \quad A^I = -0.13 + 0.06\alpha. \quad (57)$$

For the vertex interference, described by the second and third terms on the RHS of Eq. (20) we obtain in the case of $4u$ condensate

$$\langle Q | T_{XX}^{uu} | Q, \pi \rangle = \frac{4}{3} \langle Q | \bar{u} \Gamma_X u | Q \rangle \langle 0 | \bar{u} \Gamma_X u | \pi \rangle. \quad (58)$$

The matrix elements $\langle 0 | \bar{q} \Gamma_X q | \pi \rangle$ obtain nonzero values for the axial and pseudoscalar operators. A similar equation can be written for the $2u2d$ condensate. Because the $\pi Q Q$ vertex is proportional to the product $(\sigma \cdot \mathbf{k})$, where \mathbf{k} is the pion momentum, the vertex interference can take place if the product $\langle 0 | \bar{q} \Gamma_X q | \pi \rangle \langle Q | \bar{q} \Gamma_Y q | Q \rangle$ also has this structure.

Using the definitions

$$j_\mu^q = \bar{q} \gamma_\mu \gamma_5 q; \quad j_\mu^+ = \bar{u} \gamma_\mu \gamma_5 d; \quad j_\mu^- = \bar{d} \gamma_\mu \gamma_5 u, \quad (59)$$

one can write the PCAC relations

$$\begin{aligned} \langle 0 | j_\mu^u | \pi^0(k) \rangle &= i f_\pi k_\mu, & \langle 0 | j_\mu^d | \pi^0(k) \rangle &= -i f_\pi k_\mu; \\ \langle 0 | j_\mu^- | \pi^+(k) \rangle &= i \sqrt{2} f_\pi k_\mu, \end{aligned} \quad (60)$$

and

$$\begin{aligned} \langle 0 | \bar{u} \gamma_5 u | \pi^0(k) \rangle &= -i \frac{f_\pi k^2}{2m_u}, & \langle 0 | \bar{d} \gamma_5 d | \pi^0(k) \rangle &= i \frac{f_\pi k^2}{2m_d}; \\ \langle 0 | \bar{d} \gamma_5 u | \pi^+(k) \rangle &= i \frac{f_\pi k^2}{m_u + m_d}, \end{aligned} \quad (61)$$

which are used in calculations of the four-quark condensates.

If both Γ_X and Γ_Y are the axial matrices, the contribution of the four-quark condensate can be expressed in terms of the self-energy loops of the constituent quarks (see Appendix D). We find

$$A^q = -0.65 + 0.02\alpha; \quad A^P = -0.04 - 0.02\alpha. \quad (62)$$

The large value of A^q is provided mostly by the charged pions.

If $\Gamma^X = \Gamma^Y = \gamma^5$,

$$A^q = 0.84 - 0.07\alpha, \quad (63)$$

also with the main contribution provided by the charged pions.

TABLE I. Contributions of various Lorentz structures to the matrix elements H_{XX} defined by Eq. (8) and to the parameters A^i [Eq. (17)] for the $4u$ condensates.

X	$a_m/(\rho\varepsilon_0)$	$b_m/(\rho\varepsilon_0)$	A^q	A^P	A^I
S	$-6.34 + 0.60\alpha$	–	$3.17 - 0.30\alpha$	0	0
Ps	$1.74 - 0.12\alpha$	–	$-0.87 - 0.06\alpha$	0	0
V	$-0.55 + 0.01\alpha$	$0.13 - 0.13\alpha$	$0.55 - 0.01\alpha$	$-0.13 + 0.13\alpha$	0
A	$0.33 + 0.06\alpha$	$0.07 - 0.05\alpha$	$0.47 + 0.05\alpha$	$0.07 - 0.05\alpha$	0
T	$0.02 - 0.02\alpha$	$-0.04 + 0.04\alpha$	$0.02 - 0.02\alpha$	$-0.09 + 0.09\alpha$	0

There is also a contribution of the axial Γ^X and tensor Γ^Y . It leads to

$$A^I = 0.36 + 0.04\alpha. \quad (64)$$

Thus, for the sum of the interference terms [see Eqs. (57), (62)–(64)],

$$\begin{aligned} A^q &= 0.17 - 0.04\alpha, & A^P &= -0.03 + 0.02\alpha, \\ A^I &= 0.23 + 0.10\alpha. \end{aligned} \quad (65)$$

D. Total contribution

The sum of the values given by Eqs. (55) and (65) provides for the coefficients on the RHS of Eq. (17),

$$\begin{aligned} A^q &= -0.10 - 0.34\alpha, & A^P &= -0.88 + 0.17\alpha, \\ A^I &= 1.80 - 0.28\alpha. \end{aligned} \quad (66)$$

In Table I, we present the contributions of various Lorentz structures to the matrix elements H_{XX} defined by Eq. (8) and to the parameters A^i [Eq. (17)] for the $4u$ condensates. In Table II, we show similar data for the $2u2d$ condensates. In the latter case, all the contributions except the vertex interference terms involving the charged pions are calculated in terms of operators $\bar{u}\Gamma_X u \bar{d}\Gamma_Y d$. The interference terms involving the charged pions are calculated in terms of the operators $\bar{u}\Gamma_X d \bar{d}\Gamma_Y u$. Note that the data in the Tables I and II contain the contributions of the sea quarks for each Lorentz structure. They cancel in the sum over all Lorentz structures [see Eq. (21)].

TABLE II. Contributions of various Lorentz structures to the matrix elements H_{XY} and R_{XY} defined by Eq. (8) and to the parameters A^i [Eq. (17)] for the $2u2d$ condensates. All the contributions except the vertex interference terms involving the charged pions are calculated in terms of operators $\bar{u}\Gamma_X u \bar{d}\Gamma_Y d$. They are given in the four upper lines. The vertex interference terms involving the charged pions are calculated in terms of the operators $\bar{u}\Gamma_X d \bar{d}\Gamma_Y u$. Their contributions are presented in the three lower lines.

XY	$a_m/(\rho\varepsilon_0)$	$b_m/(\rho\varepsilon_0)$	A^q	A^P	A^I
VV	0.58	0.21	–6.22	–0.41	0
AA	–0.22	–0.16	2.60	–0.32	0
VS	$-0.33 + 0.16\alpha$	–	0	0	$0.67 - 0.32\alpha$
AT	$-0.89 - 0.04\alpha$	–	0	0	$0.89 + 0.04\alpha$
PsPs	0.64	–	0.64	0	0
AA	0.23	0	–0.46	0	0
AT	0.24	–	0	0	0.24

E. Calculations in the nonrelativistic quark model

It is instructive to compare the results with those in the old nonrelativistic quark model (NRQM). The nucleon is treated as a system of three nonrelativistic constituent quarks in a self-consistent central field. The wave functions can be taken in the form provided by Eqs. (22), (23), and (40) with $\beta_q = 0$. We must make $\langle r_{\text{core}}^2 \rangle$ equal to the proton charge radius. This leads to $R = 0.72$ fm. The NRQM values for the symmetric matter are

$$A^q = 1.15, \quad A^P = -0.54, \quad A^I = 1.45. \quad (67)$$

The strong deviation between the PCQM and NRQM values for A^q is due to the difference between the values of the contribution of the valence quarks to the condensate $\langle N | \bar{u}u | N \rangle$ [see Eq. (32)]. Owing to relativistic reduction the PCQM value is about twice smaller than the NRQM one $J = 1$.

IV. SOLUTIONS OF THE SUM RULES EQUATIONS

In this section, we present equations for symmetric nuclear matter ($\alpha = 0$). Following Refs. [4,17], we consider the dispersion relations Eq. (4) at fixed value of the relativistic pair energy,

$$s = (P + q)^2 = 4m^2.$$

This makes it possible to separate the singularities connected with the nucleon in the matter from those of the matter itself. Now we clarify the terms on the LHS of Eqs. (5). The contributions of continuum are expressed in terms of the

functions $E_i(x)$ with $x = W^2/M^2$:

$$\begin{aligned} E_0(x) &= 1 - e^{-x}; & E_1(x) &= 1 - (1+x)e^{-x}; \\ E_2(x) &= 1 - (1+x+x^2/2)e^{-x}. \end{aligned} \quad (68)$$

The radiative corrections of the order α_s and $\alpha_s \ln M^2/\Lambda^2$ to the condensates of dimension n contained in the structures A_n , B_n , and P_n [Eq. (6)] [24] are expressed by the factors $\tilde{r}_{A(B,P)n}$ with $\tilde{r}_{A(B,P)n} = 1$ if the corrections are not included. We assume the numerical value $\Lambda = 230$ MeV. In the one-loop approximation this corresponds to $\alpha_s(1 \text{ GeV}^2) = 0.475$. The contribution of the lowest dimension is [15]

$$\tilde{A}_0 = M^6 E_2(W^2/M^2) \tilde{r}_{A0}, \quad (69)$$

corresponding to the loop of three free quarks with inclusion of the radiative corrections.

Next come the terms containing the in-medium condensates of the lowest dimension $d = 3$,

$$\begin{aligned} \tilde{A}_3 &= -8\pi^2 \frac{(s - m^2)E_0(W^2/M^2) - M^4 E_1(W^2/M^2)}{3m} v(\rho) \tilde{r}_{A3}; \\ \tilde{B}_3 &= -4\pi^2 M^4 E_1(W^2/M^2) \kappa(\rho) \tilde{r}_{B3}; \\ \tilde{P}_3 &= -\frac{32\pi^2}{3} M^4 E_1(W^2/M^2) v(\rho) \tilde{r}_{P3}, \end{aligned} \quad (70)$$

with the vector and scalar condensates

$$v(\rho) = \langle M | \sum_i \bar{q}^i \gamma_0 q^i | M \rangle = v_N \rho; \quad v_N = 3, \quad (71)$$

and

$$\kappa(\rho) = \langle M | \sum_i \bar{q}^i q^i | M \rangle, \quad (72)$$

with the sum over the light quarks.

The nonlocality of the vector condensate and the gluon condensate compose the contributions of dimension $d = 4$. They are numerically small; thus, we do not display them here. However, we take them into account in our calculations. The contributions of dimension $d = 6$ come mostly from the four-quark condensates. In vacuum ($\rho = 0$), the only contribution to the polarization operator is [see Eq. (7)]

$$(\Pi_0)_{4q} = \frac{2}{3} \frac{\langle 0 | \bar{u}u | 0 \rangle^2}{q^2} \hat{q} \tilde{r}_{A6}, \quad (73)$$

providing

$$\tilde{A}_6 = \frac{4}{3} a^2 \tilde{r}_{A6}. \quad (74)$$

Recall that $a = -(2\pi)^2 \langle 0 | \bar{q}q | 0 \rangle > 0$. In nuclear matter we must add the contributions of the nucleons.

A. Gas approximation

The contribution of the density-dependent part of the four-quark condensates is given by Eq. (14). Their contributions to the LHS of Eqs. (5) are

$$\begin{aligned} \tilde{A}_6^g &= -8\pi^2 a A^q \rho; & \tilde{B}_6^g &= -12\pi^2 a m A^I \rho; \\ \tilde{P}_6^g &= -12\pi^2 a m A^P \rho, \end{aligned} \quad (75)$$

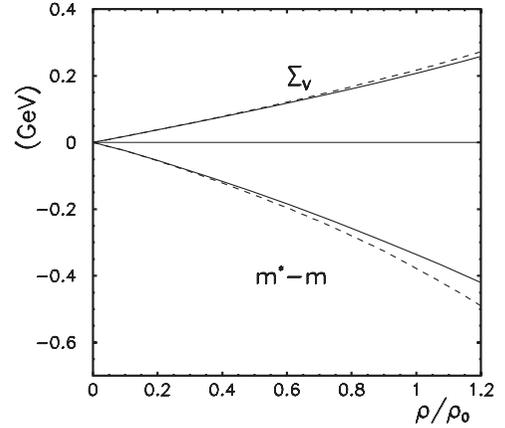


FIG. 5. Density dependence of the nucleon vector self-energy Σ_V and of the nucleon effective mass m^* in the symmetric nuclear matter for $\kappa_N = 8$ corresponding to Eq. (79). The horizontal axis corresponds to the density ρ related to its saturation value ρ_0 . The dashed lines show the results in the gas approximation. The solid lines correspond to inclusion of the most important three-body forces.

with the parameters A^i given by Eq. (66). The upper index g denotes that the values are calculated in the gas approximation.

In the gas approximation the scalar condensate determined by Eq. (72) can be written as

$$\begin{aligned} \kappa(\rho) &= \langle 0 | \sum_i \bar{q}^i q^i | 0 \rangle + \kappa_\rho; & \kappa_\rho &= \kappa_N \rho; \\ \kappa_N &= \langle N | \sum_i \bar{q}^i q^i | N \rangle. \end{aligned} \quad (76)$$

Parameter κ_N can be expressed in terms of the pion-nucleon σ term [25]

$$\kappa_N = \frac{2\sigma_{\pi N}}{m_u + m_d}, \quad (77)$$

while $\sigma_{\pi N}$ is related to observables [26,27]. The experimental data on the value of the σ term are somewhat controversial [28,29], providing rather an interval of the possible values:

$$7 \leq \kappa_N \leq 12. \quad (78)$$

We present the density dependence of the nucleon parameters in Fig. 5. The numerical results at the phenomenological value of the saturation density $\rho_0 = 0.17 \text{ fm}^{-3}$ are given in Table III. One can see that at $\kappa_N = 8$ inclusion of the four-quark condensates subtracts about 100 MeV from the value of the vector self-energy and about 170 MeV from the value of the effective nucleon mass. Also the residue of the nucleon pole becomes about 2/3 of its vacuum value. The influence of the four-quark condensates on the nucleon parameters is even larger for $\kappa_N = 11$.

Employing Eq. (5) we can write

$$\begin{aligned} F_V(M^2) &\equiv \frac{\mathcal{L}^P(\rho; M^2, W_m^2)}{\mathcal{L}^q(\rho; M^2, W_m^2)} = -\Sigma_V(\rho); \\ F_I(M^2) &\equiv \frac{\mathcal{L}^I(\rho; M^2, W_m^2)}{\mathcal{L}^q(\rho; M^2, W_m^2)} = m^*(\rho). \end{aligned} \quad (79)$$

TABLE III. Nucleon parameters in symmetric nuclear matter at the phenomenological saturation value of the nucleon density. The first line shows the vacuum values. The results marked as (*) in the second and fifth lines are for the vacuum values of the four-quark condensates. The values GA in the third and sixth lines correspond to the gas approximation. The values in the fourth and seventh lines correspond to inclusion of the three-body interactions, following Eq. (82). The results are presented for two values of the parameter κ_N [Eq. (78)].

	Σ_V (MeV)	m^* (MeV)	λ_m^2 (GeV ⁶)	W_m^2 (GeV ²)
$\rho = 0$	0	940	1.94	2.16
$\rho = \rho_0, \kappa_N = 8$ (*)	329	737	2.39	2.33
$\rho = \rho_0, \kappa_N = 8$ (GA)	217	562	1.21	1.62
$\rho = \rho_0, \kappa_N = 8$	206	602	1.41	1.69
$\rho = \rho_0, \kappa_N = 11$ (*)	335	606	1.91	2.12
$\rho = \rho_0, \kappa_N = 11$ (GA)	203	388	0.98	1.52
$\rho = \rho_0, \kappa_N = 11$	180	445	1.20	1.58

The values which approximate the functions $F_{V,I}(M^2)$ can be treated as the solutions of the sum rules equations. Replacing the effective threshold $W_m^2(\rho)$ by its vacuum value W_0^2 we find the approximate solution of the sum rules equations

$$\frac{\mathcal{L}^P(\rho; M^2, W_0^2)}{\mathcal{L}^q(\rho; M^2, W_0^2)} = -\Sigma_V(\rho); \quad \frac{\mathcal{L}^I(\rho; M^2, W_0^2)}{\mathcal{L}^q(\rho; M^2, W_0^2)} = m^*(\rho), \quad (80)$$

which solve the problem of expressing the parameters of the nucleon in nuclear matter in terms of the density-dependent QCD condensates. Putting $W_m^2 = W_0^2$ we find $\Sigma_V = 253$ MeV and $m^* = 599$ MeV for $\rho = \rho_0$ and $\kappa_N = 8$, while the solutions of Eq. (79) are $\Sigma_V = 217$ MeV and $m^* = 562$ MeV (see Table III). Hence, the accuracy of the approximation $W_m^2 = W_0^2$ for the self-energies Σ_V and $m^* - m$ is about 15%. It becomes less accurate for larger values of κ_N providing the errors of about 20% at $\kappa_N = 11$.

Note that inclusion of the four-quark condensates lead to $m^* + \Sigma_V < m$; that is, the nuclear matter is bound.

The large impact of the four-quark condensates on nucleon parameters is, to some extent, caused by employing the gas approximation.

B. Beyond the gas approximation

Now we take into account the contributions to the four-quark condensates, in which two pairs of quark operators act on two different nucleons of the matter. We include only the contributions containing the large parameter κ_N . These are the scalar and scalar-vector contributions.

The contribution proportional to κ_N^2 can be obtained by replacing the factor $(\langle 0|\bar{u}u|0\rangle)^2$ on the RHS of Eq. (73) by $(\kappa_\rho/2)^2$ [Eq. (76)]. It comes from the $4u$ condensate and contributes to the \hat{q} structure. To obtain the contribution proportional to the product $\kappa_N v_N$ it is sufficient to replace the vacuum expectation value $\langle 0|\bar{d}d|0\rangle$ in Eq. (33) by $\kappa_\rho/2$. This contribution to the scalar structure I comes from $2u2d$ condensates.

The corresponding contributions to the matrix elements defined by Eq. (8) are

$$H_{SS} = \frac{1}{4}\kappa_N^2\rho^2; \quad R_{VS} = \frac{1}{4}v_N\kappa_N\rho^2. \quad (81)$$

The contributions to the LHS of Eq. (5) are now

$$\begin{aligned} \tilde{A}_6 &= \tilde{A}_6^g + \frac{16\pi^4}{3}\kappa_N^2\rho^2; & \tilde{B}_6 &= \tilde{B}_6^g + 8\pi^4 v_N \kappa_N \rho^2; \\ \tilde{P}_6 &= \tilde{P}_6^g. \end{aligned} \quad (82)$$

Thus, the large contribution of the four-quark condensate in the scalar channel A^I [see Eq. (66)], obtained in the gas approximation becomes about 30% smaller owing to inclusion of the three-body interactions.

The density dependence of the nucleon parameters is shown in Figs. 5 and 6. The numerical results for $\rho = \rho_0$ are shown in Table III. One can see that the influence of the four-quark condensates on the values of the nucleon effective mass and of the residue becomes weaker than it was in the gas approximation.

C. Results in the nonrelativistic quark model

Now we present the results with contributions of the four-quark condensates calculated in framework of the NRQM. We find that for $\kappa_N = 8$ they differ strongly from those obtained in the PCQM (see Table IV). The vector self-energy Σ_V is about

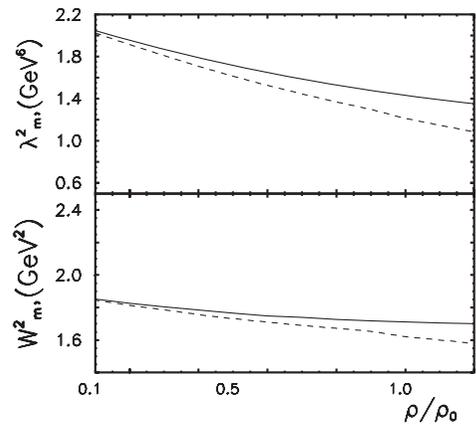


FIG. 6. Density dependence of the nucleon residue λ_m^2 and of the effective threshold W_m^2 for $\kappa_N = 8$ in the symmetric nuclear matter corresponding to Eq. (79). The horizontal axis corresponds to the density ρ related to its saturation value ρ_0 . The other notations are the same as in Fig. 5.

TABLE IV. Nucleon parameters in symmetric nuclear matter at the phenomenological saturation value of nucleon density obtained by employing of the NRQM. The values GA in the first and third lines correspond to the gas approximation. The values in the second and fourth lines correspond to inclusion of the three-body interactions, following Eq. (82). The results are presented for two values of the parameter κ_N [Eq. (78)].

	Σ_v (MeV)	m^* (MeV)	λ_m^2 (GeV ⁶)	W_m^2 (GeV ²)
$\rho = \rho_0, \kappa_N = 8$ (GA)	305	685	1.60	1.89
$\rho = \rho_0, \kappa_N = 8$	284	702	1.84	2.00
$\rho = \rho_0, \kappa_N = 11$ (GA)	291	504	1.11	1.59
$\rho = \rho_0, \kappa_N = 11$	253	530	1.39	1.71

300 MeV, and the effective mass m^* differs from the vacuum value only by about 100–130 MeV. The nuclear matter appears to be unbound because $m^* + \Sigma_v > m$. This is due to a large factorized term in the q structure which is not compensated by the internal terms in the case of NRQM. For $\kappa_N = 11$ the scalar term increases the value of $m - m^*$ and the matter becomes bound. However, the values of the parameters differ much from those predicted by the PCQM.

V. SUMMARY

We calculated the four-quark condensates in nuclear matter in the gas approximation and included the most important terms beyond it. This corresponds to inclusion of the two-body forces and of the most important three-body forces. We applied the results for solving the QCD sum rules equations [Eq. (5)].

The calculation of the four-quark condensates requires certain model assumptions on the structure of the nucleons which compose the matter. In the broad class of models the nucleons are treated as the systems of valence quarks, described by the constituent quarks and the sea quarks, contained in pions. We showed that in the models which treat the pions perturbatively, there is a remarkable cancellation of the contributions to the sum rules which contain only the sea quarks. Among the various contributions which involve the valence quarks one can separate the factorized terms, where two operators act on the nucleons of the matter, while two other ones act on the QCD vacuum and internal terms in which all four operators act on the valence quarks. In the interference terms two of the operators act on the valence quarks. The other two ones act on the sea quarks (i.e., on the pions) or connect the pions with vacuum by the PCAC relations.

Calculations, carried out in the framework of PCQM showed that the contributions of the four-quark condensates to the scalar channel A^I can be viewed as dominated by the factorized terms. The contribution to the vector structure A^P is almost totally due to the internal terms. The vector structure A^q is a result of strong compensation of the factorized term given by Eq. (46) by the internal and interference terms.

Solving the sum rules we find the nucleon self-energies presented by Eq. (79). Approximate solution presented by Eq. (80) solves the problem of expressing the nucleon self-energies in terms of the QCD condensates.

The values of the nucleon parameters at the phenomenological value of the saturation density are presented in Table III. One can see that the large reduction of the nucleon effective

mass m^* and of the nucleon residue λ_m^2 is to some extent an artifact of the gas approximation. The effect becomes less pronounced when the most important terms beyond the gas approximation are taken into account.

Anyway, the nuclear matter at the phenomenological saturation value appeared to be bound. The values of the four-quark condensates are consistent with the hypothesis of the convergence of the power series in $1/q^2$ of the polarization operator. Uncertainties in the experimental data on the nucleon matrix element $\kappa_N = \langle N | \bar{u}u + \bar{d}d | N \rangle$ [Eq. (78)] lead to uncertainties in the quantitative results.

The density dependence of nucleon parameters found by employing the PCQM are shown in Figs. 5 and 6. Also, in Fig. 7, we compare the results for two values of κ_N .

It is instructive to have a look at the results obtained in the NRQM. The contribution of the four-quark condensates to the coefficient A^q differs strongly from that in PCQM [Eq. (67)] because there is no relativistic reduction of the contribution of the valence quarks to the expectation value $\langle N | \bar{u}u | N \rangle$ this time. The results for the nucleon parameters presented in Table IV contradict the nuclear phenomenology (the matter appears to be not bound) for $\kappa_N = 8$. It is bound for $\kappa_N = 11$ but the quantitative results differ from those obtained in the PCQM.

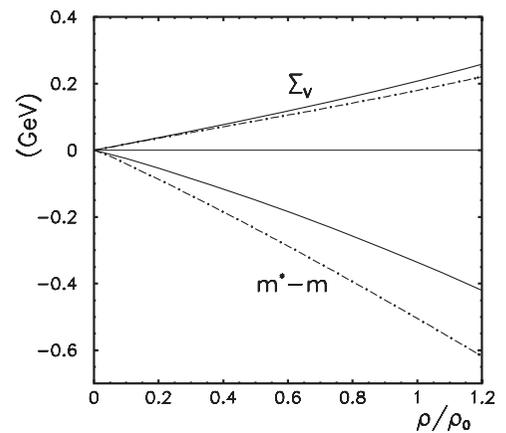


FIG. 7. Density dependence of the nucleon vector self-energy Σ_v and of the nucleon effective mass m^* in the symmetric nuclear matter corresponding to Eq. (79) with inclusion of the three-body forces. The horizontal axis corresponds to the density ρ related to its saturation value ρ_0 . The solid and dot-dashed lines show the results for $\kappa_N = 8$ and $\kappa_N = 11$, correspondingly.

Thus, the results of calculations which include the four-quark condensates are consistent with those obtained in the framework of traditional nuclear physics. However, the quark model of the nucleon should be sophisticated enough. It must describe the relativistic dynamics of quarks and must respect the chiral invariance.

The results of further investigation with more complete inclusion of the many-body forces will be published elsewhere.

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APPENDIX A

Here we calculate the contribution of the four-quark condensates to the polarization operator

$$\Pi_m(q^2) = i \int d^4x e^{i(qx)} \langle M | T j(x) \bar{j}(0) | M \rangle. \quad (\text{A1})$$

We employ the current suggested in Ref. [18]. It is

$$j_\gamma(x) = \varepsilon^{abc} (u_a^T C \gamma_\mu u_b) (\gamma_5 \gamma^\mu d_c)_\gamma, \quad (\text{A2})$$

where u and d are the quark operators, a, b, c are the color indices, C is the charge-conjugation matrix ($C^T = C^{-1} = -C$) and the upper index T denotes the transposition. Because we want to calculate $\Pi_m(q^2)$ as a power series of q^{-2} , we need expansion of the function

$$\begin{aligned} \mathcal{F}_{\gamma\zeta}(x) &\equiv T j_\gamma(x) \bar{j}_\zeta(0) \\ &= -i \varepsilon^{abc} \varepsilon^{def} u_a^a(x) (C \gamma_\mu)_{\alpha\beta} u_b^b(x) (\gamma_5 \gamma^\mu)_{\gamma\delta} d_\delta^c(x) \\ &\quad \times \bar{d}_\tau^f(0) (\gamma^\nu \gamma_5)_{\tau\zeta} \bar{u}_\eta^e(0) (\gamma^\nu C)_{\eta\beta} \bar{u}(0)_\beta^d. \end{aligned} \quad (\text{A3})$$

in powers of x^2 . For a product of the two quark operators we can write

$$\begin{aligned} q_{f_1\alpha}^a(x) \bar{q}_{f_2\beta}^b(0) &= G_{\alpha\beta}^{ab}(x) \delta_{f_1 f_2} - \frac{1}{12} \sum_X \bar{q}_{f_1}^a(0) \Gamma_X q_{f_2}^b(0) \Gamma_{\alpha\beta}^X \delta_{ab} \\ &\quad - \frac{1}{8} \sum_{X,A} \bar{q}_{f_1}^a(0) \Gamma_X \lambda^A q_{f_2}^b(0) \Gamma_{\alpha\beta}^X \lambda_{ab}^A + 0(x^2), \end{aligned} \quad (\text{A4})$$

with the fundamental matrices Γ_X defined by Eq. (2), f_i are the quark flavors, while $G^{ab}(x) = G(x) \delta^{ab}$, where

$$G(x) = \frac{i}{2\pi^2} \frac{\hat{x}}{x^4} \quad (\text{A5})$$

is the propagator of a free massless fermion.

In the case of $4u$ condensates, we describe the product $d_\delta^c(x) \bar{d}_\tau^f(0)$ in Eq. (A3) by the first term on the RHS of Eq. (A4), while the other products of the field operators are given by the two next terms on the RHS of that equation. Because

$$\int d^4x G(x) e^{i(qx)} = \frac{i\hat{q}}{q^2}, \quad (\text{A6})$$

we come to Eq. (9) after doing some matrix algebra. We employed that $C \Gamma_X^T C = \pm \Gamma^X$ with the plus sign in the vector and tensor cases and the minus sign in other cases.

In the case of $2u2d$ condensates the product of two u quark operators [e.g., $u_\alpha^a(x) \bar{u}_\eta^e(0)$] is described by the propagator $G^{ab}(x)$ given by Eq. (A5). Employing Eq. (A4) we describe the product of the operators $u \bar{u} d \bar{d}$ in terms of the operators $\bar{u} \Gamma_X u \cdot \bar{d} \Gamma_Y d$, coming to Eq. (10). An alternative presentation in terms of the operators $\bar{u} Z_X d \cdot \bar{d} Z_Y u$ can be obtained by employing the commutation relations for the quark operators and presenting the products $\bar{u} d$ and $\bar{d} u$ employing Eq. (A4). This leads to Eqs. (37)–(39). The two presentations are tied by the Fierz transform.

APPENDIX B

The general expression for the contribution of the factorized vector-scalar term [Eq. (27)] to the LHS of Eq. (4) is [19]

$$\Pi_{\text{fact}}(q) = \frac{2\langle 0 | \bar{d} d | 0 \rangle}{3} \int \frac{d^4x}{\pi^2 x^4} (x \cdot \theta(x)) e^{i(qx)} \rho_p, \quad (\text{B1})$$

with $\theta(x) = \theta^u(x) + \theta^d(x)$, while

$$\begin{aligned} \theta_\mu^q(x) &= \langle p | \bar{q}(0) \gamma_\mu q(x) | p \rangle \\ &= \frac{P_\mu}{m} \varphi_a((px), x^2) + i x_\mu m \varphi_b((px), x^2), \end{aligned} \quad (\text{B2})$$

where

$$q(x)q(0) + x_\alpha D^\alpha q(0) + \dots \quad (\text{B3})$$

In the leading order we can put $x^2 = 0$ in Eq. (B2) and define [17,19]

$$\varphi_{a(b)}((px)) \equiv \varphi_{a(b)}((px), 0) \int_0^1 d\alpha f_{a(b)}(\alpha) e^{-i(px)\alpha}, \quad (\text{B4})$$

with $f_a(\alpha) = f(\alpha)$ the proton deep inelastic structure functions [30]. The moments of the functions $f_b(\alpha)$ can be expressed in the terms of the moments of the functions $f(\alpha)$ [17]. Including the two lowest moments $\langle \alpha^{n-1} f \rangle$ we find

$$\Pi(q)_{\text{fact}} = - \frac{2\langle 0 | \bar{d} d | 0 \rangle}{3q^2} \left(\frac{2(pq)}{m} \langle f \rangle - \frac{4(pq)}{m} \langle \alpha f \rangle - m \langle \alpha f \rangle \right) \rho_p. \quad (\text{B5})$$

Using the normalization condition $\langle f \rangle = 3$ and the numerical value $\langle \alpha f \rangle = 0.45$ [31] we come to $A_{VS}^I = 1.30(1 - \alpha/3)$. Inclusion of the lowest correction to the vacuum expectation value $\langle 0 | \bar{d} d | 0 \rangle$ [19] leads to Eq. (48).

APPENDIX C

Here we give several examples of calculation of the internal terms [Eqs. (35) and (36)]. For the vector and axial terms the matrix elements for the $4u$ condensate defined by Eq. (16) is

$$h_{\mu\nu}^p = a^{V(A)} g_{\mu\nu} + b^{V(A)} \frac{P_\mu P_\nu}{m^2}, \quad (\text{C1})$$

with $\mu(\nu)$ the indices of the vector and axial matrices γ_μ and $\gamma_\mu \gamma_5$. There is similar equation for the $2u2d$ condensate described by the matrix element $r_{\mu\nu}^N$.

In the vector case one can calculate for the time components

$$h_{00}^p = a^V + b^V = \int d^3x f^2(x) \left(1 - \beta^2 \frac{x^2}{R^2}\right)^2, \quad (\text{C2})$$

and for the space components

$$\frac{1}{3} h_{ij}^p \delta_{ij} = -a^V = \frac{4\beta^2}{3} \int d^3x f^2(x) \frac{x^2}{R^2} w, \quad (\text{C3})$$

with

$$w = 2 \langle \chi | \boldsymbol{\sigma}_u^{(1)} \cdot \boldsymbol{\sigma}_u^{(2)} | \chi \rangle. \quad (\text{C4})$$

Here χ is the spin-wave function of the nucleon, while 1 and 2 label the two u quarks. Because the total spin of two u quarks is $S = 1$, we find $w = 2$.

Similar equations can be written for the $2u2d$ condensate. However, this time

$$w = \sum \langle \chi | \boldsymbol{\sigma}_u \cdot \boldsymbol{\sigma}_d | \chi \rangle, \quad (\text{C5})$$

with the sum over all quarks of the nucleon. For the proton $w = \langle \chi | (\boldsymbol{\sigma}_u^{(1)} + \boldsymbol{\sigma}_u^{(2)}) \cdot \boldsymbol{\sigma}_d | \chi \rangle$. Because the spin of the proton is $S = 1/2$ we can write $1/4(\boldsymbol{\sigma}_u^{(1)} + \boldsymbol{\sigma}_u^{(2)} + \boldsymbol{\sigma}_d)^2 = 3/4$. Employing $(\boldsymbol{\sigma}_u^{(1)} \cdot \boldsymbol{\sigma}_u^{(2)}) = 1$ we find $w = -4$. In the same way we find $w = -4$ for the neutron as well.

This provides for the $4u$ condensate

$$\begin{aligned} a^V &= -\frac{4}{3} \mathcal{N}^2 \beta^2 = -0.20 \varepsilon_0^3; \\ b^V &= 2\mathcal{N}^2 \left(1 + \frac{13}{6} \beta^2 + \frac{15}{16} \beta^4\right) = 0.26 \varepsilon_0^3, \end{aligned} \quad (\text{C6})$$

while for $2u2d$ condensate

$$\begin{aligned} a^V &= \frac{8}{3} \mathcal{N}^2 \beta^2 = 0.04 \varepsilon_0^3; \\ b^V &= 2\mathcal{N}^2 \left(1 + \frac{1}{6} \beta^2 + \frac{15}{16} \beta^4\right) = 0.21 \varepsilon_0^3. \end{aligned} \quad (\text{C7})$$

One can see that in axial case the time components turn to zero [9]. Thus,

$$a^A + b^A = 0. \quad (\text{C8})$$

Employing the values of μ^{XY} and τ^{XY} [see Eqs. (9) and (10)] we find the contributions presented by Eqs. (49)–(52).

In the axial-tensor case $\Gamma^X = \gamma_5 \gamma^\lambda (\Gamma_X = \gamma_\lambda \gamma_5)$, $\Gamma^Y = \sigma^{\mu\nu}$, we obtain for the ingredients of Eq. (7)

$$\begin{aligned} \text{Tr}(\gamma^\alpha \hat{q} \gamma^\beta \Gamma^X) &= \text{Tr}(\gamma^\alpha \hat{q} \gamma^\beta \gamma^5 \gamma^\lambda) = \text{Tr}(\hat{q} \gamma^\alpha \gamma^\beta \gamma^\lambda \gamma^5) \\ &= 4i \varepsilon^{\kappa\alpha\beta\lambda} q_\kappa, \end{aligned} \quad (\text{C9})$$

while

$$\gamma_5 \gamma_\alpha \Gamma^Y \gamma_\beta \gamma_5 = i g^{\mu\mu'} g^{\nu\nu'} (g_{\mu'\alpha} g_{\nu'\beta} - g_{\mu'\beta} g_{\nu'\alpha}), \quad (\text{C10})$$

and thus

$$\tau^{AT\mu\nu\lambda} = 2q_\rho \varepsilon^{\rho\mu\nu\lambda}. \quad (\text{C11})$$

Employing Eq. (23) for ω_q we find that the axial and tensor matrix elements are [9]

$$\begin{aligned} \bar{\omega}_q \gamma_0 \gamma_5 \omega_q &= 0; \\ \bar{\omega}_q \gamma_i \gamma_5 \omega_q &= \sigma_i + \beta^2 \frac{(\boldsymbol{\sigma} \mathbf{x}) \sigma_i (\boldsymbol{\sigma} \mathbf{x})}{R^2}, \quad (i = 1, 2, 3), \quad (\text{C12}) \\ \bar{\omega}_q \sigma_{0j} \omega_q &= -2\beta \frac{x_j}{R}; \\ \bar{\omega}_q \sigma_{ij} \omega_q &= \varepsilon_{ijk} \left(\sigma_k - \beta^2 \frac{(\boldsymbol{\sigma} \mathbf{x}) \sigma_k (\boldsymbol{\sigma} \mathbf{x})}{R^2} \right), \quad (i = 1, 2, 3), \end{aligned} \quad (\text{C13})$$

where ε_{ijk} is the standard three-dimensional asymmetric tensor.

Thus, the indices μ, ν , and λ on the RHS of Eq. (C11) should correspond to the space components, while $\rho = 0$. Hence, we can write

$$\tau^{AT\mu\nu\lambda} = 2q_0 \varepsilon^{0ijk} = -2q_0 \varepsilon_{0ijk}, \quad (\text{C14})$$

with i, j, k being the space components, and $\varepsilon_{0123} = 1$. Hence, we can put $\varepsilon_{0ijk} = \varepsilon_{ijk}$.

Thus,

$$\begin{aligned} \tau^{AT\mu\nu\lambda} r_{\mu\nu\lambda}^{AT} &= 2q_0 \sum \langle \chi | \boldsymbol{\sigma}_u \cdot \boldsymbol{\sigma}_d | \chi \rangle \\ &\times \int d^3x f^2(x) \left(1 - \frac{15}{16} \beta^4\right). \end{aligned} \quad (\text{C15})$$

Hence,

$$\tau^{AT\mu\nu\lambda} r_{\mu\nu\lambda}^{AT} = 7.83 q_0 \mathcal{N}^2 = 0.77 q_0 \varepsilon_0^3. \quad (\text{C16})$$

This leads to Eq. (53):

$$A_{AT}^I = 0.77. \quad (\text{C17})$$

APPENDIX D

Here we calculate some of the interference terms. The contact interference and the vertex interference in the axial case are described in Ref. [9] in detail. Consider here the pseudoscalar vertex interference.

Start with the case of the $4u$ condensate. Only the neutral pions contribute to the interference terms. For the matrix element $h = h_{ps}^N$ defined by Eq. (16) we obtain [9]

$$h = \frac{8}{3} \frac{19}{9} J^0 n_u. \quad (\text{D1})$$

Here J^0 is the result of replacement of one of the $UU\pi^0$ vertices in the self-energy loop of the U quark,

$$\Sigma^0 = -\frac{1}{2f_\pi^2} \int d^3x d^3z g^*(x) S(x) D(x-z) S(z) g(z), \quad (\text{D2})$$

by the factor $-if_\pi^2 m_\pi^2 / 2m_u$ [see Eq. (61)]; that is,

$$J^0 = \frac{m_\pi^2}{2m_u} I; \quad I = \frac{1}{2} \int d^3x d^3z g^*(x) S(x) D(x-z) g(z). \quad (\text{D3})$$

In these equations,

$$g(x) = \bar{\psi}(x) i \gamma_5 \psi(x),$$

D is the pion propagator, while [11]

$$S(x) = \frac{1 - 3\beta^2}{2\beta R} + \frac{\beta}{2R^3} x^2$$

is the scalar field.

Using the explicit expressions for the functions $\psi(x)$ we obtain

$$I = \frac{\sqrt{2}\beta}{4\pi^2(1 + 3/2\beta^2)^2 R^2} \int dy \frac{y^4 (1 - \frac{\beta^2}{2}(1 + y^2))}{y^2 + \frac{m_\pi^2 R^2}{2}} e^{-y^2}. \quad (\text{D4})$$

Direct computation provides $J^0 = 5.20 \times 10^{-2} \varepsilon^3$.

Thus, we obtain $h = 0.27 n_u$. Because $\mu^{Ps} = \hat{q}/2$ [Eq. (9)], we find the contribution

$$A^q = 0.20 - 0.07\alpha. \quad (\text{D5})$$

In the case of $2u2d$ condensates we write the contribution in terms of the operators $\bar{u} \gamma_5 d \bar{d} \gamma_5 u$ (see Sec. II D 4). Defining the matrix element in Eq. (38) as $\tilde{R}_{Ps} = \langle M | \tilde{T}_{Ps}^{ud} | M \rangle = r\rho$, we obtain

$$r = \frac{4}{3} \frac{19}{9} J^C (n_u + n_d); \quad J^C = \frac{2m_\pi^2}{m_u + m_d} I, \quad (\text{D6})$$

with the numerical value $J^C = 7.56 \times 10^{-2} \varepsilon_0^3$, leading to

$$A^q = 0.64, \quad (\text{D7})$$

with the total contribution of the pseudoscalar terms [Eq. (63)]

$$A^q = 0.84 - 0.07\alpha. \quad (\text{D8})$$

Turn now to the axial-tensor interference. Only the $2u2d$ operators contribute. Start with the case of neutral pions π^0 . The $\pi_0 DD$ vertex of the D quark self-energy loop can be replaced by the axial-tensor condensate,

$$t_{\lambda\mu\nu} = \frac{2}{3} \langle 0 | j_\lambda^u | \pi^0 \rangle \langle D | \bar{d} \sigma_{\mu\nu} d | D \rangle. \quad (\text{D9})$$

Because only the space components contribute to polarization operator [Eq. (C12)], we obtain

$$t_{lij} = \frac{2}{3} i f_\pi \varepsilon_{ijr} \langle D | \bar{d} k_\ell \sigma_r d | D \rangle, \quad (\text{D10})$$

with the three-dimensional indices i, j, ℓ corresponding to the four-dimensional ones λ, μ, ν . Using Eq. (C12) and employing $\varepsilon_{ijr} \varepsilon_{ij\ell} = 2\delta_{r\ell}$ we obtain

$$\tau_{rij}^{AT} t_{lij} = - \sum_{ij} \varepsilon_{rij} t_{lij} = - \frac{4}{3} i f_\pi \langle D | \bar{d} (\mathbf{k} \cdot \boldsymbol{\sigma}) d | D \rangle. \quad (\text{D11})$$

This leads to

$$h = \frac{4}{3} \frac{19}{9} J^{AT} n_d; \quad J^{AT} = \frac{1}{\beta R} I = 2.89 \times 10^{-2} \varepsilon_0^3, \quad (\text{D12})$$

leading to

$$A^I = 0.12 + 0.04\alpha. \quad (\text{D13})$$

Proceeding in the same way in the case of charged pions and using Eqs. (37)–(39) we find

$$A^I = \frac{4}{3} \frac{19}{9} J^{AT} (n_u + n_d),$$

providing

$$A^I = 0.24. \quad (\text{D14})$$

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