Determination of the ${}^{8}B(p, \gamma){}^{9}C$ reaction rate from ${}^{9}C$ breakup

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The astrophysical factor of ${}^{8}B(p,\gamma){}^{9}C$ at zero energy, $S_{18}(0)$, is determined from three-body model analysis of ${}^{9}C$ breakup processes. The elastic breakup reaction ${}^{208}Pb({}^{9}C,p{}^{8}B){}^{208}Pb$ at 65 MeV/nucleon and the one-proton removal reaction of ${}^{9}C$ at 285 MeV/nucleon on C and Al targets are calculated with the continuum-discretized coupled-channels method (CDCC) and the eikonal reaction theory (ERT), respectively. The asymptotic normalization coefficient (ANC) of ${}^{9}C$ in the $p{}^{-8}B$ configuration, $C_{p^8B}^{9C}$, extracted from the two reactions shows good consistency, unlike in previous studies. As a result of the present analysis, $S_{18}(0) = 66 \pm 10$ eVb is obtained.

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Introduction. In low-metallicity supermassive stars, the proton capture reaction of ⁸B, ⁸B $(p,\gamma)^{9}$ C ignites explosive hydrogen burning [1]:

$${}^{8}\mathrm{B}(p,\gamma){}^{9}\mathrm{C}(\alpha,p){}^{12}\mathrm{N}(p,\gamma){}^{13}\mathrm{O}(\beta^{+}\nu){}^{13}\mathrm{N}(p,\gamma){}^{14}\mathrm{O}.$$

This process, called *hot pp chain*, is expected to be a possible alternative path to the synthesis of the CNO elements. Because of the difficulties in measuring the ⁸B(p, γ)⁹C cross section $\sigma_{p\gamma}$ at very low energies, several alternative reactions have been proposed [2–4] to indirectly determine the astrophysical factor $S_{18}(\varepsilon)$:

$$S_{18}(\varepsilon) = \sigma_{p\gamma} \varepsilon \exp[2\pi\eta]. \tag{1}$$

Here, ε is the relative energy between p and ⁸B in the center-ofmass (c.m.) frame and η is the Sommerfeld parameter. Because an astrophysical factor has quite weak energy dependence, several previous studies have paid special attention to the evaluation of $S_{18}(\varepsilon)$ at zero energy, $S_{18}(0)$ [1–5].

The Coulomb dissociation method [4] is based on the assumption that elastic breakup of ⁹C by a heavy target, for example, ²⁰⁸Pb, is essentially a one-step electric dipole (*E*1) transition to the $p + {}^{8}$ B continuum. Then $\sigma_{p\gamma}$ can be obtained by evaluating the cross section of the inverse process of the breakup reaction [6]. This assumption needs to be examined, since nuclear breakup, Coulomb dissociation with higher multipolarities, and multistep transitions can play non-negligible roles even in *E*1-dominated breakup processes [7]. In fact, an attempt to evaluate these higher order contributions was made in Ref. [4]; we return to this point later.

The asymptotic normalization coefficient (ANC) method [8], which is one of the most important techniques of indirect measurements, has been used in several studies [7,9–15] in order to determine astrophysical reaction rates. The basic idea of the ANC method is that only the tail of the overlap between the initial and final states contributes to a reaction at stellar energies. Thus, the purpose in the present case is to determine the ANC $C_{p^{8}B}^{9C}$ of the ⁹C wave function in the $p + {}^{8}B$ configuration by using some alternative reactions. In Refs. [2] and [3],

the one-proton removal reaction of ⁹C at 285 MeV/nucleon were analyzed to determine $C_{p^8B}^{9C}$, and hence $S_{18}(0)$. One of the ward the most important conditions for the ANC method is that a reaction used to determine the ANC must be peripheral. From this aspect, transfer reactions at low incident energies [9-14]and nucleon-removal reactions in wide range of energies [15] have been used as alternative reactions for the ANC method. In Ref. [16], it was demonstrated that an ANC can be extracted from an elastic breakup cross section (angular distribution) for which E1 breakup plays a dominant role. Later this method was carefully examined and justified [7]; important findings of the work are (i) E1-dominated breakup processes are peripheral with respect to the relative coordinate between the two fragments after the breakup, (ii) the breakup cross section in a coupled-channel framework is proportional to the square of the ANC to be determined, and (iii) if the two fragments are ejected in forward angles, which is the case in usual breakup experiments of unstable nuclei, dynamical excitation of each fragment during the breakup process has no essential effects on the ANC.

respectively, the $d({}^{8}B, {}^{9}C)n$ reaction at 11.4 MeV/nucleon and

We show in Table I the $S_{18}(0)$ reported in the aforementioned indirect measurements [2–4], together with theoretical evaluations [1,5]. One sees that the two theoretical values have a large difference of about a factor of 3. Experimental results seem to support the $S_{18}(0)$ obtained by a cluster model calculation [5]. There is, however, still a significant discrepancy of about 50% between the $S_{18}(0)$ obtained by the Coulomb dissociation method [4] and the ANC method [2,3].

In this Rapid Communication, we reinvestigate the Coulomb dissociation [4] (elastic breakup) and the proton removal process [3] of ⁹C by means of coupled-channel calculation with a three-body ($p + {}^{8}B + target$) model. We adopt the continuum-discretized coupled-channels method (CDCC) [17–19] for the former and the eikonal reaction theory (ERT) [20,21] for the latter; we use the ANC method for both reactions. The main purpose of the present study is to show the consistency between the two values of $S_{18}(0)$ extracted from these two types of breakup and thereby determine $S_{18}(0)$ with high reliability.

Theoretical framework. In Fig. 1 we show a schematic illustration of the three-body $(p + {}^{8}B + target)$ system. The

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TABLE I. Astrophysical factors of ${}^{8}B(p,\gamma){}^{9}C$ in previous studies.

	S ₁₈ (eVb)	Method	
Beaumel et al. [2]	45 ± 13	ANC (transfer)	
Trache et al. [3]	46 ± 6	ANC (proton removal)	
Motobayashi [4]	77 ± 15	Coulomb dissociation	
Wiescher et al. [1]	210	Shell model	
Descouvemont [5]	72, 80	Cluster model	

scattering between ${}^{9}C$ and a target nucleus *A* is described by the Schrödinger equation

$$\left[-\frac{\hbar^2}{2\mu}\nabla_{\boldsymbol{R}}^2 + h + U(r_p, r_{\rm B}) - E\right]\Psi(\boldsymbol{r}, \boldsymbol{R}) = 0, \qquad (2)$$

where $\Psi(\mathbf{r}, \mathbf{R})$ is the three-body wave function and $\mathbf{r}(\mathbf{R})$ is the coordinate of ⁸B (⁹C) relative to p(A). The reduced mass between ⁹C and A is denoted by μ and E is the total energy of the three-body system in the c.m. frame. The internal Hamiltonian of ⁹C is shown by h. The interaction $U(r_p, r_B)$ is given by

$$U(r_p, r_{\rm B}) = V_p^{\rm (N)}(r_p) + V_p^{\rm (C)}(r_p) + V_{\rm B}^{\rm (N)}(r_{\rm B}) + V_{\rm B}^{\rm (C)}(r_{\rm B}), \quad (3)$$

where $V_X^{(N)}$ and $V_X^{(C)}$ are the nuclear and Coulomb interactions, respectively, between X and A; X represents a fragment particle of the projectile, that is, p or ⁸B. Similarly, r_X denotes the relative distance between X and A.

In the present analysis of the elastic breakup of ⁹C, we solve Eq. (2) with eikonal-CDCC (E-CDCC) [7,22]. E-CDCC assumes eikonal approximation to the scattering wave between ⁹C and *A*. As a result, the total wave function $\Psi(\mathbf{r}, \mathbf{R})$ is expressed by

$$\Psi(\boldsymbol{r},\boldsymbol{R}) = \sum_{c} \Phi_{c}(\boldsymbol{r}) e^{-i(m-m_{0})\phi_{R}} \psi_{c}(b,z) \phi_{\boldsymbol{K}_{c}}^{\mathrm{C}}(b,z), \quad (4)$$

where $\Phi_c(\mathbf{r})$ is the internal wave function of ⁹C with *c* the channel indices $\{i, \ell, S, I, m\}$; i > 0 (i = 0) stands for the *i*th discretized-continuum (ground) state, and ℓ , *S*, and *I* are, respectively, the orbital angular momentum, the channel spin, and the total angular momentum of the *p* and ⁸B system. *m* is the projection of *I* on the *z* axis taken to be parallel to the incident beam; m_0 is the value of *m* in the incident channel. *b* is the impact parameter defined by $b = \sqrt{x^2 + y^2}$ with $\mathbf{R} = (x, y, z)$ in the Cartesian representation. The use of the Coulomb incident wave $\phi_{\mathbf{K}_c}^{\rm C}(b, z)$ instead of the plane wave $\exp(\mathbf{K}_c \cdot \mathbf{R})$ in the eikonal approximation is one of the most important features of E-CDCC; \mathbf{K}_c is the asymptotic wave-number vector of ⁹C in channel *c* from *A*. In the



FIG. 1. Illustration of the three-body system.

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actual calculation, we use an approximate asymptotic form of $\phi_{K_c}^{\rm C}(b, z)$. E-CDCC is shown to work very well for describing both the nuclear and Coulomb breakup processes with high accuracy and computational speed [7,22].

The one-proton removal reaction, particularly its stripping component (see below), is analyzed by means of the eikonal reaction theory (ERT) [20,21], which can calculate an inclusive cross section, such as a nucleon-removal cross section, in the CDCC framework. ERT uses a formal solution (the scattering matrix *S*) to the coupled-channel equations of E-CDCC and makes adiabatic approximation to only the nuclear part of *S*. Then one can obtain the most important result of ERT, that is, the product form of *S* [20]

$$S = S_{\rm b}S_{\rm c},\tag{5}$$

where S_b and S_c show the contributions from the constituents b and c of the projectile, respectively. At this stage, however, this result can be derived only when b or c is chargeless, which is not the case for the ⁹C projectile consisting of p and ⁸B. Therefore, in the present study, we neglect the Coulomb breakup process in the one-proton removal process and replace the Coulomb interaction $V_p^{(C)}(\mathbf{r}_p)$ with

$$V_p^{(C)}(r_p) \to V_p^{(C)}(R). \tag{6}$$

Then we can calculate the one-proton removal cross section σ_{-p} with

$$\sigma_{-p} = \sigma_{\rm bu} + \sigma_{\rm str},\tag{7}$$

as in Refs. [20,21]. In Eq. (7), σ_{bu} and σ_{str} denote the elastic breakup cross section and the stripping cross section, respectively; ERT is used to evaluate σ_{str} . The accuracy of the replacement of Eq. (6) can be examined by calculating σ_{-p} with and without the Coulomb breakup. It is confirmed that the Coulomb breakup contributes to σ_{-p} for C and Al targets by about 5%. Thus, we conclude that the Coulomb breakup by these two targets can be neglected with 5% errors. Below we include this amount in uncertainties of $S_{18}(0)$ extracted from σ_{-p} .

Model setting. For both the elastic breakup and one-proton removal processes, the $p^{-8}B$ wave function is calculated with the same Hamiltonian h. We include only the intrinsic spin of p. We adopt the standard Woods-Saxon central potential with the radial parameter $R_0 = 1.25 \times 8^{1/3}$ fm and the diffuseness parameter $a_0 = 0.65$ fm. The Coulomb interaction between a point charge (p) and a uniformly charged sphere (^{8}B) with the charge radius of 2.5 fm is included. For the *p*-wave states, we add the Thomas-type spin-orbit interaction, with the same R_0 and a_0 as of the central part. The depth of the spin orbit is set to 4.40 MeV and that of the central part is determined to reproduce the proton separation energy $S_p = 1.30$ MeV in the $3/2^{-}$ state. With this potential, we have a resonance state at $\varepsilon = 0.915$ MeV with the width $\Gamma = 0.137$ MeV in the $1/2^{-}$ state, in good agreement with the experimental values, that is, $\varepsilon = 0.918 \pm 0.011$ MeV and $\Gamma = 100 \pm 20$ keV [23]. We include $s1/2^+$, $p1/2^-$, $p3/2^-$, $d3/2^+$, $d5/2^+$, $f5/2^-$, and $f7/2^-$ waves of the $p + {}^8B$ system in the coupled-channel calculations.

As for the nuclear part of the distorting potential $V_X^{(N)}(X = p \text{ or }^8 B)$, we adopt the microscopic folding model [24,25]

TABLE II. Model space of the present calculation. See the text for details.

Reaction	Elastic breakup	Proton removal	
$\overline{k_{\rm max}~({\rm fm}^{-1})}$	1.0	1.2	
$\Delta k \ (\mathrm{fm}^{-1})$	0.05	0.10	
$r_{\rm max}$ (fm)	150	150	
$R_{\rm max}$ (fm)	250	30	
$L_{\rm max}$	2,000	450	

with the Melbourne nucleon-nucleon g matrix [26]. Nuclear densities of ⁸B, ¹²C, ²⁷Al, and ²⁰⁸Pb are calculated by Hartree-Fock (HF) method with the Gogny-D1S force [27,28]. The resulting microscopic proton optical potentials are found to reproduce, with no adjustable parameters, the elastic scattering cross sections for p-²⁰⁸Pb at 65 MeV [29] and the p-¹²C reaction cross sections at 200–400 MeV [30]. For ⁸B-A scattering, however, it turns out that a fine tuning of the optical potential is necessary. This can be done by replacing the argument of both the real and imaginary parts of $V_X^{(N)}$ as

$$r_{\rm B} \to (1+x)r_{\rm B},\tag{8}$$

which effectively increase the range of the potential. We set *x* to 0.04 (0.03) for the ⁸B-¹²C (⁸B-²⁷Al) potential at 285 MeV/nucleon to reproduce the experimental data of the reaction cross section [31]. As for the ⁸B-²⁰⁸Pb reaction at 65 MeV/nucleon, since there is no experimental data, we calculate the reaction cross section by CDCC with a $p + {}^{7}\text{Be} + {}^{208}\text{Pb}$ three-body model, and x = 0.10 is obtained to reproduce the calculated value. The prescription of Eq. (8) can be understood as a modification of the HF density of ⁸B to include a halo structure effectively.

The model space of the present CDCC calculation is summarized in Table II, where k_{max} (r_{max}) is the maximum value of the relative wave number k (coordinate r) between p and ⁸B, and Δk represents the width of the momentum bin. R_{max} and L_{max} are, respectively, the maximum values of the relative coordinate and the orbital angular momentum between ⁹C and A. We have confirmed with the model space the convergence of the elastic breakup cross section (Fig. 2) for $\varepsilon \leq 1$ MeV and σ_{-p} (Table III), both within 1%.

Results and discussion. First, we analyze the elastic breakup reaction ²⁰⁸Pb(⁹C, p^8 B)²⁰⁸Pb at 65 MeV/nucleon. In Fig. 2, we show the breakup cross section as a function of the relative energy ε between p and ⁸B. We have included the experimental efficiency $e(\varepsilon)$ [32] and resolution Γ in the calculation. We adopt $\Gamma = 0.23$ MeV extracted from the experimental breakup spectrum of ¹²C(⁹C, p^8 B)¹²C at 65 MeV/nucleon [32]. In order to determine $C_{p^8B}^{9C}$, we fit the theoretical result (dashed line) to the experimental data [4], and the solid line is obtained. The renormalization factor is 1.10, which results in $(C_{p^8B}^{9C})^2 = 1.78$ fm⁻¹ and $S_{18}(0) = 67.3$ eVb.

In Fig. 2, our calculation describes well the breakup spectrum below $\varepsilon \sim 1.0$ MeV, that is, both the transition to the $1/2^-$ resonant state and breakup to low-energy nonresonant states of ⁹C. It should be noted that we treat the resonant and nonresonant breakup continua on the same footing in the



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FIG. 2. (Color online) Breakup spectrum of 208 Pb(9 C, p^{8} B) 208 Pb at 65 MeV/nucleon as a function of the relative energy ε between p and 8 B. The dashed line shows the result of calculation with a normalized p- 8 B wave function, whereas the solid line is the result multiplied by 1.1 to fit the experimental data [4].

CDCC calculation. In the ε region higher than the resonance energy, however, the calculation significantly underestimates the experimental data. It is expected that this is due to incompleteness of our present framework. The back-coupling effects of three-body breakup states of ⁹C to $p + p + {}^{7}Be$ on the $p + {}^{8}B$ state observed become important as ε increases. In addition, a more accurate description of the $p + {}^{8}B$ continua for higher partial waves with a proper $p - {}^{8}B$ interaction $V_{pB}^{(N)}$ will be needed. At low ε , these possible problems will not exist, because only the tail of the overlap between ${}^{9}C$ and $p - {}^{8}B$ contributes to the breakup process. For more detailed discussion on this point, see Ref. [7].

To examine the peripherality of the ²⁰⁸Pb(⁹C, p^8 B)²⁰⁸Pb reaction, we see the dependence of $C_{p^8B}^{9C}$ on the parameters of $V_{pB}^{(N)}$; both R_0 and a_0 are changed by 20%. Note that we put a constraint on the depth of the central potential so that it must reproduce the proton-separation energy S_p . It is found that the uncertainty of $C_{p^8B}^{9C}$ regarding $V_{pB}^{(N)}$ is 8%. This indicates that the present elastic breakup reaction proceeds peripherally with respect to \mathbf{r} , as required by the ANC method.

Second, we analyze the one-proton removal reaction of ${}^{9}C$ at 285 MeV/nucleon on ${}^{12}C$ and ${}^{27}Al$ targets. As already mentioned, we neglect the Coulomb breakup of ${}^{9}C$ in this case. We calculate σ_{bu} by CDCC and the stripping cross section σ_{str} by ERT, and obtain the one-proton removal cross

TABLE III. Results of the one-proton removal reactions with ¹²C and ²⁷Al targets. The experimental data of σ_{-p} are taken from Ref. [31].

Target	¹² C		²⁷ Al	
	Calc.	Expt.	Calc.	Expt.
$\sigma_{\rm bu}$ (mb)	2.7		4.7	
$\sigma_{\rm str}$ (mb)	42.2		49.2	
σ_{-p} (mb)	44.9	48(8)	53.9	55(11)
$(C_{n^8\mathrm{B}}^{9\mathrm{C}})^2(\mathrm{fm}^{-1})$	1.73		1.65	
$S_{18}(0)$ (eVb)	65.2		62.2	



FIG. 3. (Color online) $S_{18}(0)$ extracted by this work (circle) is compared with the results of the Coulomb dissociation method (cross) [4] and the analysis of σ_{-p} with the extended Glauber model (triangle) [3]. Theoretical results with a cluster model calculation (squares) [5] and the value extracted from the $d({}^{8}B, {}^{9}C)n$ reaction (diamond) [2] are also shown.

section σ_{-p} , as the sum of the two. Then we renormalize the calculated σ_{-p} to fit the experimental value taken from Ref. [31], which determines $(C_{p^{8}B}^{9C})^{2}$ and hence $S_{18}(0)$. These values are summarized in Table III. One sees that the two results of $S_{18}(0)$, corresponding to ¹²C and ²⁷Al targets, agree well with each other. By taking an average of the two values, we obtain $(C_{p^{8}B}^{9C})^{2} = 1.69 \text{ fm}^{-1}$ and $S_{18}(0) = 63.7 \text{ eVb}$. In order to evaluate the uncertainty of the ANC for the one-proton removal reactions, we take the same procedure as in the analysis of the elastic breakup reaction; the uncertainty turns out to be 20%. By adding the aforementioned 5% uncertainty due to the neglect of Coulomb breakup, we find the total uncertainty of $S_{18}(0)$ extracted from σ_{-p} to be 21%.

We here remark that in our three-body coupled-channel analysis, the values of $S_{18}(0)$ extracted from two different breakup reactions, 67.3 eVb (elastic breakup) and 63.7 eVb (proton removal), show very good agreement. This indicates reliability of the present analysis and the result of $S_{18}(0)$. As a principal result of the present study, we obtain $(C_{p^8B}^{^{9}C})^2 = 1.7 \pm 0.3 \text{ fm}^{-1}$, which corresponds to

$$S_{18}(0) = 66 \pm 10 \text{ eVb.}$$
 (9)

In Fig. 3, the $S_{18}(0)$ extracted by the present work is compared with previous values. As mentioned above, previous results can be categorized into two: one is around 80 eVb (Refs. [4,5]) and the other is around 45 eVb (Refs. [2,3]). Our result exists in between them, slightly favoring the former.

In Ref. [4], the *E*1 contribution to the elastic breakup of 9 C by 208 Pb at 65 MeV/nucleon was extracted by subtracting the contributions of the nuclear and *E*2 breakup processes (~10%) from the measured cross section, with a help of the 9 C breakup data by 12 C at the same energy. The rather good consistency between the present and previous results of $S_{18}(0)$ will indicate that the procedure for extracting the *E*1 contribution worked quite well. It was reported in Ref. [4], however, that about 80% of the peak in the 208 Pb(9 C, p^{8} B) 208 Pb breakup spectrum around $\varepsilon = 0.9$ MeV was explained by nonresonant *E*1 breakup processes. On the other hand, in the present analysis, the peak is found to be mainly generated by the nuclear and *E*2 transition to the $1/2^{-}$ resonance state. The

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reason for this large discrepancy in the resonant part between the present and previous studies needs further investigation; this is our important future work. If we adopt a one-step calculation including nuclear and Coulomb breakup with all multipolarities, $S_{18}(0) = 54$ eVb is obtained; that is, 20% difference appears. This behavior is the same as in the study of $S_{17}(0)$ for the ⁷Be(p,γ)⁸B reaction [7].

Our result is quite larger than the result of Ref. [3], in which the one-proton removal reactions (⁹C, ⁸B) at 285 MeV/nucleon were analyzed by the extended Glauber model, with carefully evaluation of the uncertainty regarding the nucleon-nucleon effective interactions (profile functions). By a detailed analysis, we found that the difference between the $S_{18}(0)$ obtained in the present work and that in Ref. [3] is mainly due to the proton optical potential. In Fig. 4 of Ref. [3], the reaction cross section $\sigma_{\rm R}$ of the *p*-¹²C (solid line) is compared with experimental data. As shown in the figure, the data have quite large uncertainty; there seem to be two data groups between 250 and 600 MeV. Our microscopic calculation based on the Melbourne g matrix gives $\sigma_{\rm R} = 198$ mb at 285 MeV, which is smaller than the value used in the previous study by about 10%. It should be noted that both the theoretical values of $\sigma_{\rm R}$ are consistent with the experimental data, within their uncertainty mentioned above. This 10% difference is indeed crucial for the evaluation of σ_{-p} , which eventually gives the difference in $S_{18}(0)$ by about 35%. Thus, more accurate and reliable data of σ_R are highly desirable to judge the microscopic theoretical calculations of σ_R , although we have shown in this study a very good agreement between the two values of $S_{18}(0)$ extracted from different breakup reactions.

Very recently, ANCs for light nuclei with mass numbers between 3 and 9 have been systematically evaluated by a variational Monte Carlo calculation [33]. The resulting value of $(C_{p^{8}B}^{\circ C})^{2}$ to be compared with ours $(1.7 \pm 0.3 \text{ fm}^{-1})$ is $1.36 \pm 0.03 \text{ fm}^{-1}$. It will be interesting to investigate the difference between the two values in more detail.

Summary. We have analyzed the elastic breakup of ${}^{9}C$ by ²⁰⁸Pb at 65 MeV/nucleon and the one-proton removal reaction of ⁹C at 285 MeV/nucleon on C and Al targets by a three-body coupled-channel framework, that is, CDCC for the elastic breakup process and ERT for the stripping process. We determined the ANC $C_{p^8B}^{9C}$ and obtained the astrophysical factor at zero energy, $S_{18}(0)$, for the ${}^{8}B(p,\gamma){}^{9}C$ reaction. Our principal result is $S_{18}(0) = 66 \pm 10$ eVb. We have confirmed that the results of $S_{18}(0)$ extracted from the two independent experiments agree very well with each other and thus resolved a significant discrepancy of $S_{18}(0)$ in the previous studies. Although the ANC is determined well in the present analysis, description of the breakup spectrum at higher $p^{-8}B$ relative energies is not sufficient. Extension of the present reaction model to incorporate the $p + p + {}^{7}Be$ configuration will be very important for deeper understanding of the breakup of ⁹C. Investigation on the $d({}^{8}B, {}^{9}C)n$ transfer reaction, which gives a quite smaller value of $S_{18}(0)$ than in the present study, will also be important.

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