

# Dipole response of $^{238}\text{U}$ to polarized photons below the neutron separation energy

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Nuclear resonance fluorescence experiments were carried out at the High-Intensity  $\gamma$ -ray Source facility at Triangle Universities Nuclear Laboratory to characterize the low-energy dipole structure of  $^{238}\text{U}$  using 100% linearly polarized photon beams from 2.0 to 6.2 MeV. 113 transitions corresponding to de-excitations to the ground state in  $^{238}\text{U}$  were observed and the energy, spin, parity, integrated cross section, reduced width, and branching ratio were determined for each of these identified levels. The total  $E1$   $\gamma$ -ray interaction cross section was calculated and it was deduced that the observed concentration of low-lying  $E1$  transitions were excited from the low-energy tail of the giant dipole resonance and were not a pygmy dipole resonance. Comparisons were made between quasiparticle random-phase approximation calculations and the experimentally observed strength. The observed and predicted  $M1$  strength agreed well with each other. However, there was no similar agreement for the  $E1$  strength.

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## I. INTRODUCTION

Much experimental effort is focused on measuring the magnetic ( $M1$ ) and electric ( $E1$ ) dipole strengths in nuclei [1]. Observation of dipole states are important because they characterize the various collective and single-particle nuclear excitation modes, in particular, the scissors mode, the spin-flip mode, and the pygmy dipole resonance. These different excitation modes are prominent in various regions below or near the neutron separation energy and represent important nuclear structure phenomena. For  $^{238}\text{U}$ , as well as for other actinide nuclei, a complete characterization below the neutron separation energy is absent from nuclear databases.

The orbital  $M1$  scissors mode is described as the nuclear motion in which deformed bodies of protons and neutrons vibrate against each other [2]. In this collective mode, the ground-state transition strength in actinide nuclei is generally fragmented and concentrated in the energy region below 3 MeV with considerable dependence on deformation [3]. In previous  $^{238}\text{U}(\gamma, \gamma')$  experiments [4,5], the scissors mode

is observed between 2.0–2.5 MeV and the summed  $M1$  strength is measured to be  $\Sigma B(M1) = 3.2(2) \mu_N^2$  with a mean excitation energy  $\omega_{M1}$  of 2.3(2) MeV. This  $\Sigma B(M1)$  is comparable to those determined for rare-earth nuclei, where the scissors mode is observed at energies between 2.4–3.7 MeV with  $\omega_{M1} \sim 3.0$  MeV and  $\Sigma B(M1)$  between 0.20(2)–3.7(6)  $\mu_N^2$ , depending on the degree of deformation [6]. Enders *et al.* [6] noted that  $\Sigma B(M1)$  depends specifically on the square of the deformation parameter  $\delta$ .

The  $M1$  spin-flip mode is a collective vibration between those nucleons that undergo a spin-change and those that do not change spin [7]. This mode carries the majority of the  $M1$  strength [8]. An inelastic proton-scattering experiment estimated the upper limit of the  $M1$  spin-flip resonance in  $^{238}\text{U}$  to be 15–25  $\mu_N^2$  in the energy range of 4–10 MeV [8,9]. However, investigations of this mode for actinide nuclei have been limited to measurements of the continuum because of the large density of states. For comparison,  $\Sigma B(M1)$  has been found in similarly deformed rare-earth nuclei to be between 10–15  $\mu_N^2$  in the energy range of 6–10 MeV [10].

The pygmy dipole resonance (PDR) is comprised of a concentration of low-lying  $E1$  excitations in deformed nuclei with a substantial neutron excess [11]. There have been many recent measurements of the existence of a PDR [12–14]. The origin of this  $E1$  excitation is described specifically as the vibration of the neutron skin against the inert core of the nucleus. It is expected that as the neutron excess increases, so should the strength of the PDR. Furthermore, it has been suggested that the dipole strength, located at energies below or above the neutron separation energy  $S_n$ ,

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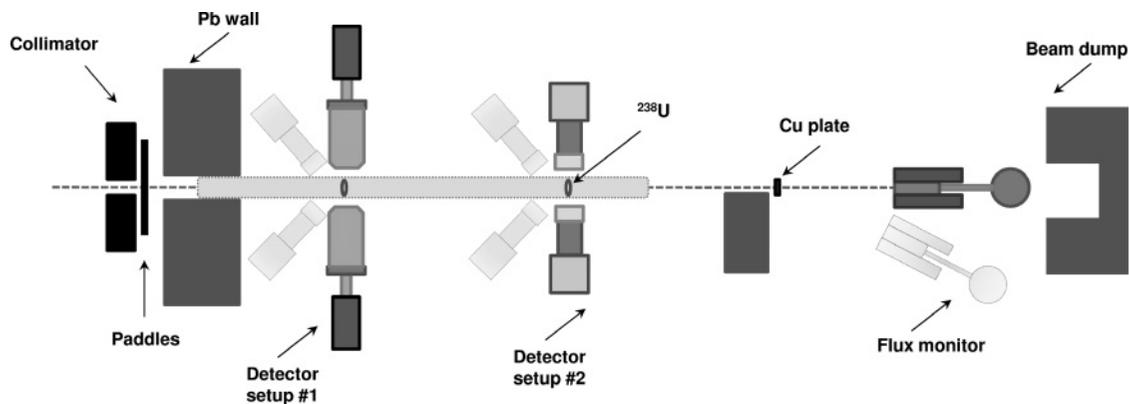


FIG. 1. The setup for  $(\gamma, \gamma')$  experiments at HI $\gamma$ S for the current work (top view). All detectors were not used during data collection at each energy scan. The flux monitor detector is shown on axis as well as at the Compton scattering position of  $11.2^\circ$ . The figure is not drawn to scale.

must be enhanced by the deformation present in the nucleus itself [15,16].

Theoretical calculations using quasiparticle random-phase approximation (QRPA) predict substantial  $\gamma$ -ray strength in the energy region below the neutron separation energy from both  $M1$  and  $E1$  excitations in neutron-rich, deformed nuclei [17,18]. More experimental data are needed to identify and to distinguish between the various collective modes; whether these modes are local phenomena present in only a few nuclei or whether they are global phenomena, manifesting themselves within all deformed nuclei. Theoretical calculations do agree, at least, on one point with prior experiments: in general, the density of  $1^+$  states decreases as the excitation energy increases, while the density of  $1^-$  states increases with energy as it approaches the giant dipole resonance (GDR).

In recent years, it became possible to improve the sensitivity of nuclear resonance fluorescence (NRF) experiments significantly because of the availability of quasimonoenergetic, high-intensity, and linearly polarized beams. In the NRF process, an incident  $\gamma$  ray excites the nucleus in its ground state, into a higher-energy state, typically populating a  $\Delta J = 1$  level (a  $\Delta J = 2$  level is much less probable). Afterward, the nucleus de-excites and if the excitation energy is below the particle-emission threshold, only  $\gamma$  rays are emitted, populating the ground state or lower-lying excited states. Since the momentum transfer associated with NRF is small, lower dipole ( $\Delta L = 1$ ) excitations are highly favored over quadrupole ( $\Delta L = 2$ ) ones, making it a good probe for studying  $M1$  and  $E1$  excitations in nuclei.

This article describes NRF measurements on  $^{238}\text{U}$ , performed at the High-Intensity  $\gamma$ -ray Source (HI $\gamma$ S) facility [19] at the Triangle Universities National Laboratory (TUNL). The current work follows the NRF techniques of previous studies on  $^{235}\text{U}$  [20] and on  $^{232}\text{Th}$  [21], also performed at the HI $\gamma$ S facility.

## II. EXPERIMENT

Thirty measurements have been performed with 100% linearly-polarized photon beams with energies of 2.0–6.2 MeV

and with high-intensity (total flux  $\approx 2.3(1) \times 10^6 - 3.2(1) \times 10^7$   $\gamma/s$ ). Beams are created at the HI $\gamma$ S facility through Compton backscattering of free-electron-laser photons with electrons stored in a storage ring [19]. They are collimated to have an energy spread between 3–5% on target. A circular lead collimator, with either 1.3 or 1.9 cm in diameter, is located  $\sim 60$  m downstream from where the electrons collide with the free-electron-laser photons and confines the beam to a particular volume of photons per second.

As shown in Fig. 1, two detector arrays, separated by about 1.5 m, were placed downstream from the collimator and positioned around the  $^{238}\text{U}$  targets such that the beryllium windows of the detectors were 10 cm away from the center of the target. The first array (detector setup #1) consisted of four clover detectors [each consisting of four high-purity germanium (HPGe) crystals] where each segment has  $\sim 25\%$  efficiency relative to a  $7.6 \text{ cm} \times 7.6 \text{ cm}$  NaI detector. The second array (detector setup #2) consisted of four HPGe detectors each with  $\sim 60\%$  relative efficiency. Two more HPGe detectors with  $\sim 25\%$  relative efficiency were arranged with these two arrays as well. For a polarimetry setup, the detectors were configured at one of six different spacial positions to measure  $\gamma$  rays:  $(\theta, \phi) = (0, \pi/2), (\pi/2, \pi/2), (\pi, \pi/2), (3\pi/2, \pi/2), (0, \pi/4),$  and  $(0, -\pi/4)$ , where  $\theta$  is the azimuthal angle measured from the scattering plane and  $\phi$  is the polar angle of the outgoing radiation with respect to the linearly polarized beam (the beam direction is  $+\hat{z}$  axis).

Unambiguous assignment of dipole states is an important feature of experiments involving linearly polarized beams since beam polarization allows for straightforward assignment of observed  $J^\pi$  states in even-even nuclei [22]. In the present work,  $\gamma$  rays corresponding to  $M1$  transitions are observed predominately in the detectors placed at angles of  $(0, \pi/2)$  and  $(\pi, \pi/2)$  (horizontal detectors), and those corresponding to  $E1$  transitions are observed in the detectors placed at angles of  $(\pi/2, \pi/2)$  and  $(3\pi/2, \pi/2)$  (vertical detectors). Backward detectors placed at the angles of  $(0, \pi/4)$ , and  $(0, -\pi/4)$  are used to distinguish between  $M1$  and  $E2$  transitions.

A large volume (123% relative efficiency) HPGe detector was placed in the beam axis prior to NRF data collection to

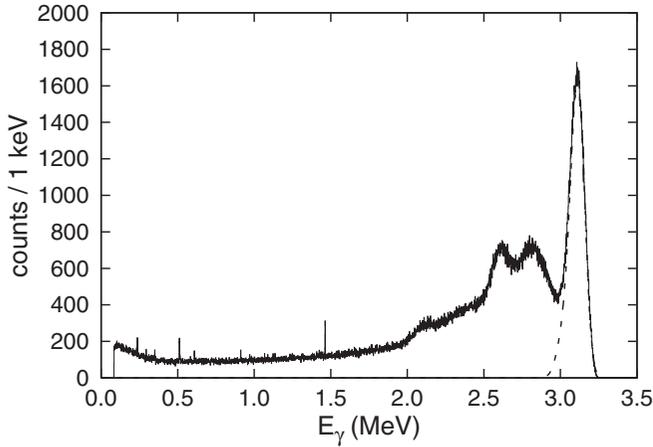


FIG. 2. Beam-energy measurement (solid histograms) with detector-response-corrected beam profile overlaid (dashed curve) for  $E_\gamma = 3.1$  MeV.

measure the beam energy and the energy profile of the photon beam. During the beam-energy measurement, copper-block attenuators were placed  $\sim 40$  m upstream from the detector setup #2 to decrease the  $\gamma$ -ray intensity on the detector. The spectra from these measurements were unfolded using GEANT3 [23] simulations to correct for the detector response in order to determine the beam-energy profile as shown in Fig. 2. The beam attenuation by the copper blocks is a slowly-varying function with the energy in the range of 2.0–6.2 MeV. It is a negligible correction (less than 0.1% at  $E_\gamma = 2.0$  MeV, for example) to account for the left end of the distribution of the beam as a bit larger than the right end when the beam itself is about 100–200 keV wide.

After the beam-energy measurement was completed, the large-volume HPGe detector was moved out of the beam path and set to an angle of either  $6.2(1)^\circ$  or  $11.2(4)^\circ$  (with respect to the beam axis) for an absolute measurement of the photon flux. A 1.1-mm-thick copper plate was placed directly in the beam path, about 100 cm downstream from detector setup #2 and about 161 cm or 181 cm upstream from this flux monitor depending on the Compton angle chosen. Thus, the absolute beam flux on target was established during data acquisition for each beam energy using the observed Compton-scattered  $\gamma$  rays.

The targets consisted of depleted uranium disks, which are about 2.50(5) cm in diameter and are encased within a thin plastic sealant. Each disk has a mass of 6.5 g with a thickness of about 0.16 cm. A target is assembled with 1, 2, or 3 disks stacked together. The number of disks chosen for a particular beam energy was carefully selected to maximize the NRF count rate while keeping the dead time below 50%. This collection of sealed  $^{238}\text{U}$  disks was housed within an evacuated plastic tube, that extended  $\sim 1$  m past the detector setup #2. In each measurement, the photon beam spot size was smaller than the cross-sectional area of the target.

Standard calibration sources were used to establish the efficiency  $\epsilon(E_\gamma)$  for all detectors up to  $E_\gamma = 3.4$  MeV. The efficiency of the flux-monitor detector was found by positioning a calibrated  $^{56}\text{Co}$  source to the copper plate

as described in Ref. [20]. MCNPX simulations [24] were carried out to extend the detector efficiency curve above  $E_\gamma = 3.4$  MeV.

Natural room background peaks, which are present in every spectra, namely the 1461 keV  $\gamma$ -ray line ( $^{40}\text{K}$ ) and the 2615 keV  $\gamma$ -ray line ( $^{208}\text{Tl}$ ), were used to calibrate the energy and calculate the dead time for all detectors. Dead time of the data-acquisition systems for the detectors was found by comparing the rate of  $\gamma$  rays generating the 1461 keV lines in the spectra with and without beam. From this method, the dead time [25] was determined to first order to be about 15–50% for setups #1 and #2, and about 1–3% for the flux monitor.

### III. DATA ANALYSIS

The summed spectra from the  $^{238}\text{U}(\gamma, \gamma')$  measurements in the horizontal, vertical, and backward-angle detectors are plotted in Fig. 3 for  $E_\gamma = 2359$  keV and in Fig. 4 for  $E_\gamma = 4210$  keV with the beam profile overlaid. Ground-state transitions are present within the beam-profile distribution, while transitions to the first excited state can be found inside and outside of it.

The ground-state decay widths,  $\Gamma_0$ , are determined from the following equation:

$$\frac{\Gamma_0^2}{\Gamma} = \frac{I_s}{g} \left( \frac{E_\gamma}{\pi \hbar c} \right)^2, \quad (1)$$

where  $\Gamma$  is the total level width,  $I_s$  is the integrated cross section,  $g$  is the spin factor  $(2J+1)/(2J_0+1)$ ,  $J_0$  is the ground-state spin,  $J$  is the excited-state spin, and  $E_\gamma$  is the energy of the de-exciting  $\gamma$  ray.

The energy-integrated cross section  $I_s$  is calculated by using experimental observables

$$I_s = \frac{N}{n_t \epsilon(E_\gamma) W(\theta, \phi) N_\gamma}, \quad (2)$$

where  $N$  is the dead-time-corrected number of counts in the full energy peak, and  $n_t$  is the number of target nuclei per unit area such that

$$n_t = \frac{d\rho}{A_r} N_A, \quad (3)$$

where  $d$  is the thickness of the target,  $\rho$  is the density of the target material,  $A_r$  is the atomic weight, and  $N_A$  is Avogadro's number. The quantity  $I_s$  is corrected for self-absorption [26] and the extent of the correction depended on the individual transition being assessed.

The factor  $W(\theta, \phi)$  describes the angular distribution of the  $\gamma$  rays following the spin sequence  $J_0^\pi \rightarrow J^\pi \rightarrow J_0^\pi$  with the following combinations:  $0^+ \rightarrow 1^+ \rightarrow 0^+$  or  $2^+$  ( $M1$ ),  $0^+ \rightarrow 1^- \rightarrow 0^+$  or  $2^+$ , ( $E1$ ), and  $0^+ \rightarrow 2^+ \rightarrow 0^+$  ( $E2$ ).

To obtain the photon flux  $N_\gamma$  on the target, the number of counts in the scattered peak  $N_c$  is normalized by the Compton-scattering cross section,

$$N_\gamma = \frac{N_c}{\epsilon(E_\gamma) \sigma_c(E_\gamma, \theta_c) W(\theta, \phi) n_{Cu}}, \quad (4)$$

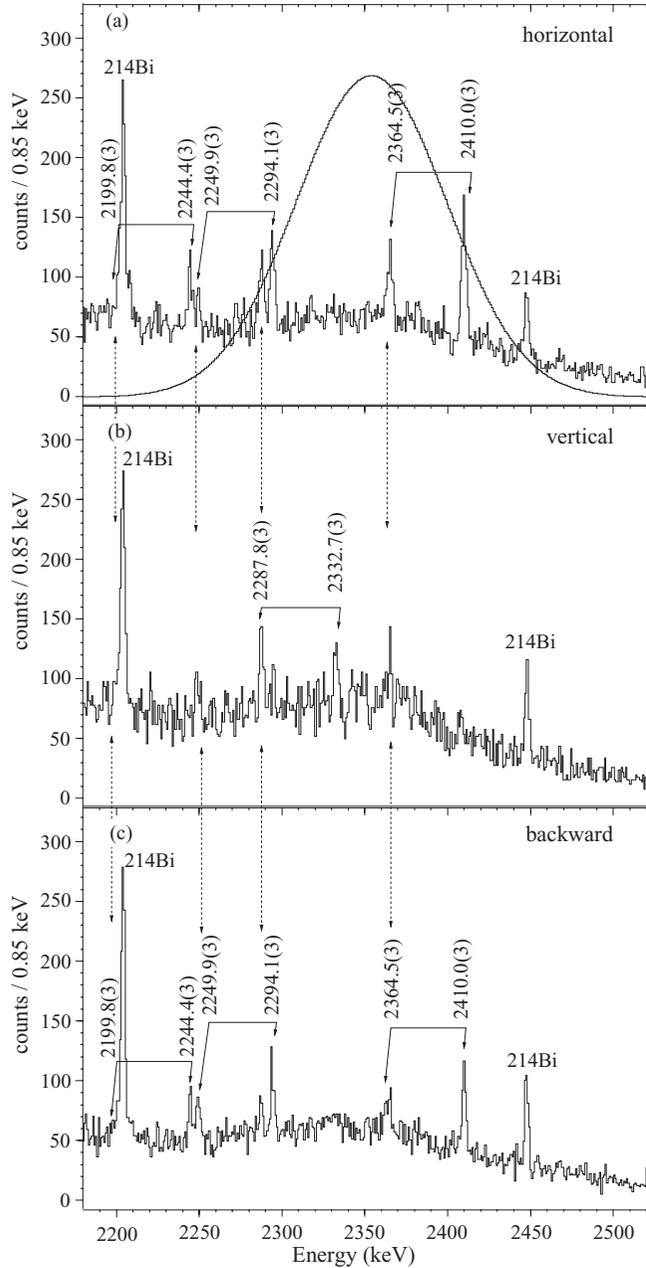


FIG. 3. NRF spectra from a  $^{238}\text{U}$  target using an incident photon beam of  $E_\gamma = 2359 \pm 103$  keV. (a) The spectrum in the horizontal detectors with the beam profile (solid curve) overlaid. (b) The spectrum in the vertical detectors. (c) The spectrum in the backward-angle detectors. De-excitations from levels to their associated ground state and first excited state are labeled with solid arrowed lines. Transitions to the first excited state are observed in multiple detectors and are denoted by dashed lines.

where  $\sigma_c(E_\gamma, \theta_c)$  is the Compton-scattering cross section,  $\theta_c$  is the Compton-scattering angle, and  $n_{\text{Cu}}$  is the areal density of the copper atoms. The quantity  $N_\gamma$  deduced from Compton scattering was verified within 5% with values obtained using the known resonances in the  $^{11}\text{B}(\gamma, \gamma')$  reaction [20,27,28].

The recommended condition that all observed states must either be at or above a  $2\sigma$  detection limit  $DL$  was used in order

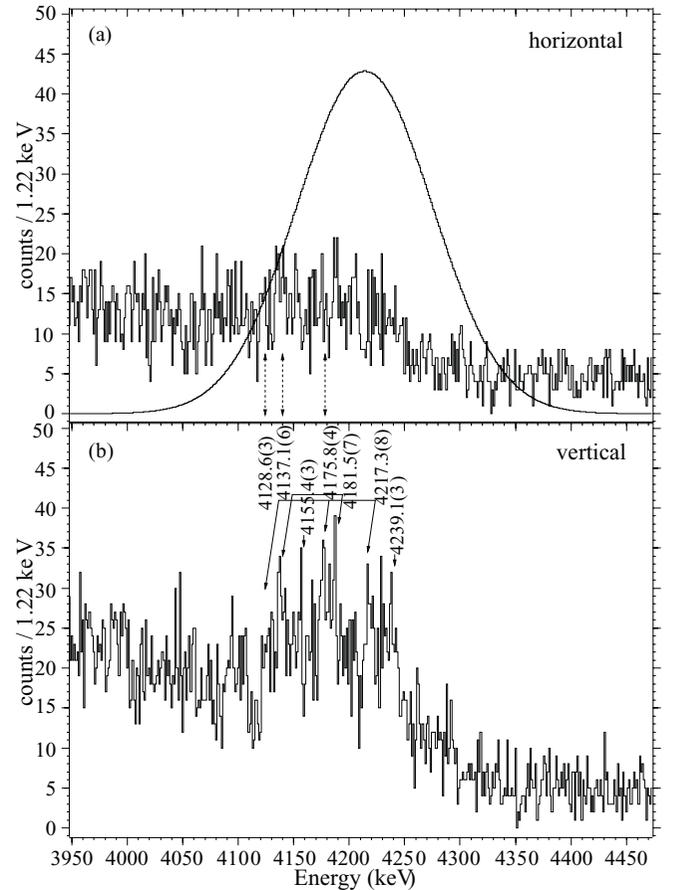


FIG. 4. NRF spectra from the  $^{238}\text{U}$  target at an incident beam energy of 4210 keV. The histograms in (a) and (b) are the same as in Fig. 3. The beam distribution is shown on the top panel.

to assess the existence of the dipole transitions measured by this experiment. The detection limit is quantitatively defined in Ref. [29] as

$$DL = 5.4 + 3.3\sqrt{2N_B}, \quad (5)$$

where  $N_B$  is the integral over the background with length of  $2\sigma$  such that  $\sigma$  is the dispersion of a Gaussian fit of the peaks observed at the same energy. One example of the minimal detectable  $I_s$  (solid curve) is shown in Fig. 5 as compared to the measured  $I_s$  (solid points) for  $E_\gamma = 3.1$  MeV. For the present work, the lowest detectable  $I_s$  was about 3 eVb. However, the detection limit will vary with incident beam energy, intensity, and duration of measurement.

Despite the choice of a  $2\sigma$  detection limit, many peaks that were reported from the present experiment were at or above a  $3\sigma$  limit, particularly 30 out of 34  $M1$  transitions and 78 out of 90  $E1$  transitions to the ground state. Therefore, a quantitative description of whether the result was obtained by coincidence or not, is needed. The statistical significance  $\alpha_s$  is defined in terms of the error function such that [30]

$$\alpha_s = 1 - \text{erf}\left(\frac{n}{\sqrt{2}}\right), \quad (6)$$

where  $n$  is the number of standard deviations above the  $2\sigma$  detection limit. A value of  $\alpha_s \leq 10\%$  describes the results as a

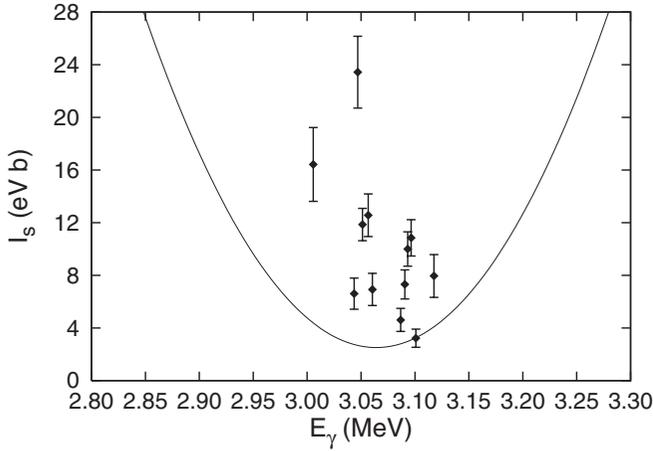


FIG. 5. The comparison of the minimal detectable  $I_s$  (solid curve) with the experimental values ( $\blacklozenge$ ) for  $I_s$  at  $E_\gamma = 3.1$  MeV. The detection limit varies with energy.

likely coincidence. About 71% and 77% of the observed  $M1$  and  $E1$  transitions, respectively, could be described as very likely.

The most probable states excited in the present NRF experiment are those with  $J^\pi = 1^\pm$ . It follows that de-excitations to states with either  $J^\pi = 0^+$  or  $2^+$  are primarily observed. The reduced transition probabilities for dipole strengths are the only ones of consequence for this work. These strengths are deduced using

$$B(\Pi L, E) \uparrow = g\Gamma_0 \sum_{\Pi L=1}^{\infty} \frac{(\hbar c/E_\gamma)^{2L+1}}{8\pi(L+1)} L[(2L+1)!!]^2, \quad (7)$$

where orbital angular momentum  $L = 1$  for dipole transitions, and  $\Pi$  is  $M$  for magnetic radiation and  $E$  for electric radiation. No transitions to any states other than the first excited  $J^\pi = 2^+$  state at  $E_x = 45$  keV are observed in the present work. Therefore,  $\Gamma$  can be assumed to be equal to  $\Gamma_0 + \Gamma_1$ , where  $\Gamma_1$  is the width of transition to this  $2^+$  state. The experimental branching ratio can then be defined as

$$R_{\text{exp}} = \frac{\Gamma_1}{\Gamma_0} \left( \frac{E_0}{E_1} \right)^3, \quad (8)$$

where  $E_1$  is the energy of a branching transition to the  $2^+$  state while  $E_0$  is the energy of the ground-state transition. The quantity  $R_{\text{exp}}$  is also described as the ratio of the reduced transition probabilities  $B$  of the transitions to the first excited state and to the ground state. Given this definition, the Alaga rules [31,32] assert that, for a dipole state,

$$\begin{aligned} R &= \frac{B(1^\pi \rightarrow 2^+)}{B(1^\pi \rightarrow 0^+)} \\ &= \left| \frac{\sqrt{2J_f + 1} \langle J_f, K_1, L, K - K_1 | J, K \rangle}{\sqrt{2J_0 + 1} \langle J_0, K_0, L, K - K_0 | J, K \rangle} \right|^2 \\ &= \begin{cases} \frac{1}{2} & \text{for } K = 1 \\ 2 & \text{for } K = 0 \end{cases}, \end{aligned} \quad (9)$$

where  $\pi$  is the parity of the state and  $K$  is the rotational quantum number. For dipole states, only transitions from states with  $K = 0, 1$  are allowed. Values of  $R$  between  $\frac{1}{2}$  and 2 can indicate  $K$  mixing or a transition from a level that violates the Alaga rules.

Finally, the difference between the transition intensities for the horizontal and vertical detector orientations for each beam energy can be quantified. In general, this asymmetry  $A_{\text{HV}}$  is defined as

$$A_{\text{HV}} = \frac{I_{\gamma H} - I_{\gamma V}}{I_{\gamma H} + I_{\gamma V}}, \quad (10)$$

where  $I_{\gamma H}$  ( $I_{\gamma V}$ ) is the dead-time-corrected  $\gamma$ -ray transition intensity in the horizontal (vertical) orientation. For a point-size detector and target, a pure  $M1$  transition would have  $A_{\text{HV}} = 1$  and a pure  $E1$  transition would have  $A_{\text{HV}} = -1$ . For real detectors with finite geometry, the observed range is  $-1 < A_{\text{HV}} < 1$ . In order to compare values of  $A_{\text{HV}}$  across different beam energies, the asymmetry needs to be normalized by  $I_s$  producing a weighted asymmetry  $\bar{A}_{\text{HV}}$  such that

$$\bar{A}_{\text{HV}} = \frac{\sum_{i=1}^M \frac{I_{\gamma H} - I_{\gamma V}}{I_{\gamma H} + I_{\gamma V}} \cdot I_s}{\sum_{i=1}^M I_s} = \frac{\sum_{i=1}^M \left( \frac{I_{\gamma H} - I_{\gamma V}}{n_i N_\gamma} \right)_i}{\sum_{i=1}^M \left( \frac{I_{\gamma H} + I_{\gamma V}}{n_i N_\gamma} \right)_i}, \quad (11)$$

where it is assumed that the average  $W(\theta, \phi)$  is similar for the entire set of  $M$  energy bins over the energy interval investigated. Each sum is over the entire set of bins involved in the asymmetry comparison. For example, the denominator is the sum of all  $I_s$  for both the horizontal and vertical detectors within the energy range from the lowest energy to the highest energy being compared. This normalization is necessary since multiple choices of target mass and  $N_\gamma$  were used for each beam energy.

#### IV. RESULTS

Many discrete  $M1$  and  $E1$  transitions to the ground state were observed between 2.0 and 4.2 MeV. The ratio of the  $M1$  and  $E1$  transition intensities is shown in Fig. 6 as averages over 0.2-MeV-wide energy bins. The transition intensities are from the discrete transitions only. When the asymmetry is close to zero, there is an equal amount of cross-section-weighted transition strength from both the magnetic and electric dipole radiations at that particular beam energy. Above  $E_\gamma = 4.2$  MeV, the level density becomes too large to observe individual de-excitations.

Listed in Tables I and II are the measured  $\gamma$ -ray energies and transition strengths of 113 newly observed transitions (27 are  $M1$  and 86 are  $E1$ ) along with eight previously measured transitions (seven  $M1$  [4,33] and one  $E1$  [34]). All values are listed with their statistical uncertainties. Most of the transitions to the ground state are accompanied by transitions to the first excited state. This observation provides evidence that they are indeed NRF from  $^{238}\text{U}$ . However, for 23 of the measured states (eight  $M1$  and fifteen  $E1$ ), no accompanying transition

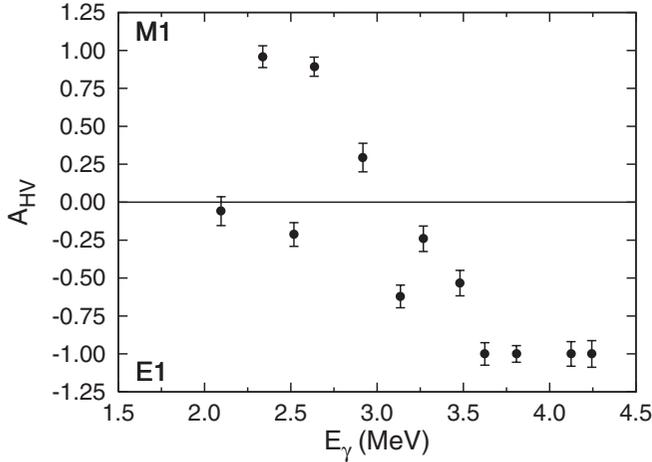


FIG. 6. The asymmetry  $A_{HV}$  for the discrete transitions in the energy range between  $E_\gamma = 2.0$ – $4.2$  MeV. Each point indicates an average over a 0.2-MeV energy bin.

to the first excited state was observed above the detection limit.

Additionally, for two  $M1$  and 23  $E1$  levels, both a transition to the ground state and to the first excited state are observed at the same energy. Since the angular distribution for a branching transition following the spin combination  $0^+ \rightarrow 1^\pm \rightarrow 2^+$

is isotropic, then the observed intensity in the horizontal and vertical detectors will be the same. The counts of the peak associated with the first-excited-state transition within the detector orientation that does not have an overlapping ground-state transition can be subtracted from the peak within the detector orientation that does have it. Therefore, the ground-state transition can be deduced as a separate entity.

Zilges *et al.* [37] compiled the  $R_{\text{exp}}$  values of about 170 levels in rare-earth nuclei and plotted the frequency distribution of these ratios. Two maxima, one at  $R = \frac{1}{2}$  and one at  $R = 2$ , are observed, thus showing that a large fraction of the rare-earth nuclei follow the Alaga rules. For comparison, the nonzero  $R_{\text{exp}}$  values of about 160 levels from  $^{232}\text{Th}$  [21],  $^{235}\text{U}$  [20],  $^{236}\text{U}$  [38],  $^{238}\text{U}$  [5], and the present work were collected and are shown in Fig. 7. The most prominent distinction between the rare-earth and actinide nuclei is the maximum of  $K = 0$  states, which is not observed for the actinides. In both rare-earth and actinide nuclei, there is a large number of  $R_{\text{exp}}$  values between  $\frac{1}{2}$  and 2. This is evidence of  $K$  mixing, which is known to increase in regions of large level density [39].

Also, Zilges *et al.* [40] calculated the spreading widths from averaged mixing matrix elements for rare-earth and actinide nuclei and compared them with widths extracted from isobaric analog resonances [41]. In Ref. [40], the spreading width for  $^{238}\text{U}$ ,  $\sim 8$  keV, grossly underestimated the one from the isobaric analog state, 142(37) keV. However, substituting for the present work's  $E1$  strength, the spreading width increases

TABLE I. The energies, integrated cross sections, ground-state widths, experimental branching ratios,  $\gamma$ -ray strengths, and the numbers of standard deviations above the  $2\sigma$  detection limit of the observed magnetic dipole transitions from  $J^\pi = 1^+$  states in  $^{238}\text{U}$ . Statistical errors are shown with the values.

$E_\gamma$ (keV)	$I_s$ (eVb)	$\Gamma_0^2/\Gamma$ (meV)	$R_{\text{exp}}$	$B(M1)$ ( $\mu_N^2$ )	$n$	$E_\gamma$ (keV)	$I_s$ (eVb)	$\Gamma_0^2/\Gamma$ (meV)	$R_{\text{exp}}$	$B(M1)$ ( $\mu_N^2$ )	$n$
2017.7(4)	2.6(6)	1.5(3)	2.0(5)	0.14(5)	2	2932.6(6)	2.8(6)	2.5(5)	1.5(4)	0.06(2)	1
2079.3(4) <sup>a,b,c</sup>	6(1)	2.4(5)	0.0(1)	0.07(2)	2	2951.2(3)	6.8(5)	5.7(5)	0.9(1)	0.12(2)	2
2175.8(3) <sup>b</sup>	40(2)	24(1)	0.57(3)	0.96(8)	17	2963.9(8) <sup>a</sup>	2.2(5)	1.8(4)	0.0(1)	0.02(1)	1
2208.8(3) <sup>b</sup>	29(2)	18(1)	0.22(8)	0.7(1)	4	3014.5(3)	4.5(8)	3.9(7)	0.4(1)	0.05(2)	2
2244.4(3) <sup>b</sup>	27(2)	14.2(8)	0.15(1)	0.41(3)	7	3030.6(3) <sup>a</sup>	7.3(7)	6.2(6)	0.0(1)	0.06(1)	5
2294.1(3) <sup>b</sup>	6.6(9)	4.0(5)	1.09(6)	0.18(3)	3	3037.7(3)	7(1)	7(1)	1.2(2)	0.15(3)	3
2410.0(3) <sup>b</sup>	18(2)	11(1)	1.8(1)	0.61(7)	4	3042.5(6) <sup>a</sup>	24(6)	22(6)	0.0(1)	0.20(4)	0
2467.8(5) <sup>a,b</sup>	80(8)	48(5)	0.0(1)	0.83(8)	5	3135.0(3)	5.1(9)	4.9(8)	0.9(3)	0.08(3)	2
2499.4(3)	32(2)	20(1)	0.50(5)	0.48(4)	9	3153.7(3)	5.0(6)	4.8(6)	0.39(5)	0.08(2)	4
2638.3(3)	10(1)	7.3(7)	1.4(1)	0.25(3)	10	3172.9(3) <sup>f</sup>	1.9(3)	2.0(3)	1.1(1)	0.06(1)	2
2647.3(8)	25(2)	18(1)	0.84(8)	0.46(5)	20	3217.6(6)	2.6(5)	2.5(5)	0.6(2)	0.03(1)	1
2702.2(3) <sup>a</sup>	16(2)	10(1)	0.0(1)	0.14(2)	5	3234.5(7)	3.8(8)	4.1(8)	1.7(4)	0.09(3)	2
2738.9(9)	11(3)	8(2)	1.5(5)	0.3(1)	1	3307.3(3) <sup>f</sup>	9(1)	10(1)	0.6(2)	0.11(4)	5
2756.4(3) <sup>a,d,e</sup>	7(2)	5(1)	0.0(1)	0.06(1)	2	3348.3(3)	6.3(8)	13(2)	2.0(2)	0.23(4)	3
2773.0(3)	8(1)	6(1)	1.1(3)	0.16(5)	4	3366.0(5)	6(1)	8(1)	0.55(6)	0.08(2)	4
2816.8(4) <sup>a</sup>	26(5)	19(4)	0.0(1)	0.22(4)	2	3448.3(6)	4(1)	5(1)	1.1(1)	0.07(2)	1
2881.4(5)	2.8(6)	2.3(5)	1.4(3)	0.06(2)	2	3460.7(3)	6.4(8)	8(1)	0.58(7)	0.07(1)	4

<sup>a</sup>No observed transition to the first excited state.

<sup>b</sup>Previously observed state.

<sup>c</sup>Uncertainty of previously measured width  $\Gamma_0 = 5(5)$  meV [33] is reduced to 2.4(5) meV.

<sup>d</sup>Previously measured at  $E_\gamma = 2754$  keV with  $\Gamma_0 = 0.08$  meV [34]. New width is  $\Gamma_0 = 5(1)$  meV.

<sup>e</sup>New parity assignment for previously observed state.

<sup>f</sup>Both a transition to the ground state and to the first excited state are observed at this energy.

Note: the  $M1$  transition at  $E_\gamma = 3253$  keV ( $\Gamma_0 = 0.52(19)$  meV) [34,35] is not observed in this experiment.

TABLE II. The energies, integrated cross sections, ground-state widths, experimental branching ratios,  $\gamma$ -ray strengths, and the numbers of standard deviations above the  $2\sigma$  detection limit of the observed electric dipole transitions from  $J^\pi = 1^-$  states in  $^{238}\text{U}$ . Statistical errors are shown with the values.

$E_\gamma$ (keV)	$I_s$ (eVb)	$\Gamma_0^2/\Gamma$ (meV)	$R_{\text{exp}}$	$B(E1) \times 10^{-3}$ ( $e^2\text{fm}^2$ )	$n$	$E_\gamma$ (keV)	$I_s$ (eVb)	$\Gamma_0^2/\Gamma$ (meV)	$R_{\text{exp}}$	$B(E1) \times 10^{-3}$ ( $e^2\text{fm}^2$ )	$n$
1996.7(3)	7.0(8)	2.8(3)	0.19(2)	1.2(2)	8	3470.7(3)	7(2)	9(2)	0.3(3)	0.8(8)	0
2080.7(4)	14(2)	8(1)	1.6(2)	6(1)	5	3475.2(3)	7(2)	10(2)	0.6(3)	1.1(7)	0
2093.3(4) <sup>a,b</sup>	7(1)	3.1(6)	0.0(1)	1.0(2)	3	3479.0(3)	12(1)	14(1)	0.45(9)	1.4(3)	3
2145.6(3) <sup>a,b</sup>	8(1)	3.6(6)	0.0(1)	1.1(2)	3	3489.0(3)	13(4)	24(7)	1.5(6)	4(2)	0
2332.7(3) <sup>c</sup>	10(2)	5.4(9)	1.4(1)	2.6(5)	4	3500.5(3) <sup>a,d</sup>	14(2)	16(2)	0.0(1)	1.1(1)	7
2365.6(3) <sup>a</sup>	44(6)	23(3)	0.0(1)	5.1(7)	5	3509.1(9)	12(3)	18(4)	0.7(2)	2.0(7)	1
2422.8(3) <sup>a</sup>	12(1)	6.2(7)	0.0(1)	1.2(1)	7	3528.0(4) <sup>a</sup>	4.8(7)	5.5(8)	0.0(1)	0.36(5)	4
2491.5(5)	9(1)	5.2(8)	0.7(3)	1.6(8)	5	3548.0(6) <sup>d</sup>	5.7(8)	7(1)	2.0(3)	1.3(3)	5
2529.0(3)	12(2)	7(1)	0.3(1)	1.8(5)	5	3562.8(3) <sup>d</sup>	5.4(6)	6.8(8)	1.3(3)	0.9(2)	6
2593.7(6)	6.6(7)	4.1(4)	0.18(4)	0.8(2)	9	3594.9(5) <sup>d</sup>	6.4(8)	8(1)	1.2(2)	1.1(2)	6
2602.5(4)	3.1(3)	1.9(2)	0.4(1)	0.4(1)	10	3608.7(3)	12(1)	14(1)	0.50(8)	1.3(2)	8
2844.2(9) <sup>a</sup>	3.5(5)	2.6(4)	0.0(1)	0.33(4)	5	3615.9(3) <sup>d</sup>	3.7(5)	5.1(7)	2.6(5)	1.0(2)	4
2862.2(5) <sup>d</sup>	4.3(5)	3.6(4)	1.5(3)	1.1(2)	6	3623.9(3) <sup>d</sup>	3.4(4)	4.5(6)	1.5(3)	0.6(1)	5
2877.1(3) <sup>a</sup>	4.1(6)	3.1(4)	0.0(1)	0.37(6)	2	3640.1(3)	3.5(6)	4.5(7)	0.8(2)	0.5(1)	2
2896.6(3)	5.4(8)	4.4(6)	0.8(2)	0.9(3)	4	3650.5(3)	8.2(9)	11(1)	0.9(1)	1.1(2)	7
2908.9(3)	7.5(9)	6.2(8)	0.8(2)	1.3(3)	5	3659.7(6)	3.5(5)	4.4(7)	0.7(1)	0.4(1)	3
2910.0(4)	11(1)	11(1)	1.1(1)	2.6(4)	9	3673.7(6)	4.1(7)	5.8(9)	2.0(4)	1.0(3)	3
3005.9(4) <sup>d</sup>	6.2(7)	5.8(6)	0.7(8)	1.0(2)	3	3728.0(9)	4(1)	5(1)	0.9(3)	0.5(2)	0
3018.9(3)	2.9(6)	2.6(5)	1.0(3)	0.6(2)	1	3738.5(8)	13(2)	18(2)	0.8(2)	1.7(5)	4
3043.6(3) <sup>d</sup>	5.0(6)	4.4(5)	0.1(9)	0.40(7)	3	3759.9(3) <sup>d</sup>	16(2)	23(2)	0.9(2)	2.3(5)	9
3046.9(3) <sup>a,d</sup>	5.0(6)	22(3)	0.0(1)	2.2(3)	7	3805.1(3) <sup>b,e</sup>	18(2)	26(2)	0.9(1)	2.5(4)	9
3051.7(3) <sup>d</sup>	7.8(7)	7.2(6)	0.7(1)	1.4(2)	5	3819.0(6)	11(1)	16(2)	1.1(2)	1.9(4)	7
3057.1(4) <sup>d</sup>	15(2)	14(1)	0.03(1)	1.9(2)	2	3828.7(3) <sup>a</sup>	5.2(8)	7(1)	0.0(1)	0.36(5)	3
3060.6(3)	7(1)	7(1)	0.58(5)	1.1(2)	3	3965.7(4)	10(2)	18(3)	0.49(4)	1.2(2)	3
3086.7(4)(3)	4.8(9)	4.5(9)	0.29(3)	0.6(1)	2	3990.7(9)	4.7(4)	9.5(8)	1.2(1)	0.9(1)	0
3091.0(4)	8(1)	7(1)	0.24(2)	0.9(1)	4	3995.8(3)	6(1)	11(2)	0.6(4)	0.8(1)	1
3094.2(3)	7.2(8)	7.8(7)	1.4(2)	1.8(2)	3	4023.7(7) <sup>d</sup>	5(1)	10(2)	1.0(1)	0.9(2)	2
3096.4(3)	11(1)	13(2)	1.1(3)	2.8(4)	6	4031.4(7)	7.5(8)	15(2)	0.5(1)	1.2(3)	2
3101.7(4)	3.8(7)	3.7(7)	0.65(6)	0.6(2)	0	4046.7(3) <sup>d</sup>	5.0(8)	11(2)	1.3(4)	1.0(4)	3
3117.7(4)	8(2)	9(2)	1.0(1)	1.7(4)	2	4065.3(3)	3.8(7)	9(2)	1.7(4)	1.1(3)	2
3207.8(4)	2.8(5)	2.8(6)	0.42(6)	0.5(1)	0	4072.1(6)	8(1)	14(2)	0.6(1)	1.0(2)	5
3239.6(3)	3.6(8)	4.0(9)	2.6(7)	1.2(4)	1	4088.9(7)	3.3(5)	7(1)	1.0(3)	0.6(2)	3
3274.4(3)	7(1)	9(2)	0.9(1)	1.5(3)	3	4093.4(3) <sup>d</sup>	8.4(7)	15(2)	0.40(4)	0.9(1)	8
3297.2(4) <sup>a</sup>	6(1)	7(1)	0.0(1)	0.53(9)	3	4100.2(3) <sup>d</sup>	4.1(4)	10(1)	1.8(2)	1.2(2)	6
3303.6(3)	2.5(4)	3.5(5)	1.1(1)	0.6(1)	3	4105.2(3) <sup>a,d</sup>	3.9(5)	6.5(8)	0.0(1)	0.27(3)	5
3329.1(6)	7(1)	9(1)	0.89(9)	1.4(2)	5	4122.9(5)	3.7(9)	7(2)	0.84(9)	0.6(2)	1
3384.3(3)	10(2)	13(2)	0.43(5)	1.4(3)	4	4138.9(7) <sup>d</sup>	5.2(6)	10(1)	0.41(7)	0.5(1)	4
3397.9(8) <sup>d</sup>	10(1)	12(2)	0.38(4)	1.3(2)	5	4145.8(3)	2.7(5)	6(1)	0.6(6)	0.7(1)	0
3416.0(4)	2.7(6)	12(2)	4.0(4)	2.0(5)	2	4151.3(6)	3.3(9)	7(2)	1.0(3)	0.5(2)	1
3421.5(5) <sup>a,d</sup>	3.0(6)	3.5(6)	0.0(1)	0.25(5)	3	4155.4(3) <sup>a</sup>	12(2)	20(4)	0.0(1)	0.8(2)	1
3441.0(9)	6(1)	6(1)	0.5(2)	0.7(2)	1	4175.8(4) <sup>d</sup>	11(2)	21(3)	0.28(3)	1.1(2)	3
3454.1(4)	3(1)	7(2)	2.6(3)	1.8(6)	0	4181.5(7)	7(1)	16(3)	1.0(1)	1.2(3)	2
3467.8(6) <sup>d</sup>	9(1)	10(1)	0.6(1)	1.2(3)	5	4217.3(8) <sup>f</sup>	5(1)	12(2)	1.1(1)	0.9(2)	1
						4239.1(3) <sup>a</sup>	14(2)	26(3)	0.0(1)	1.0(1)	6

<sup>a</sup>No observed transition to the first excited state.

<sup>b</sup>New parity assignment for previously observed state.

<sup>c</sup> $M1$  transition at 2287 keV [33] is reassigned by the present work as a transition to the first excited state.

<sup>d</sup>Both a transition to the ground state and to the first excited state are observed at this energy.

<sup>e</sup>Previously measured at  $E_\gamma = 3809$  keV with  $\Gamma_0 = 1.6$  meV [34]. New width is  $\Gamma_0 = 41(7)$  meV.

<sup>f</sup>Previously measured at  $E_\gamma = 4217$  keV with  $\Gamma_0 = 1.6$  meV [36]. New width is  $\Gamma_0 = 25(6)$  meV.

from  $\sim 8$  keV to 133(30) keV, which agrees with the isobaric analog resonance width within the range of their uncertainties.

Weak, unresolved transitions can be observed at all energies within the continuum and their background-subtracted, rela-

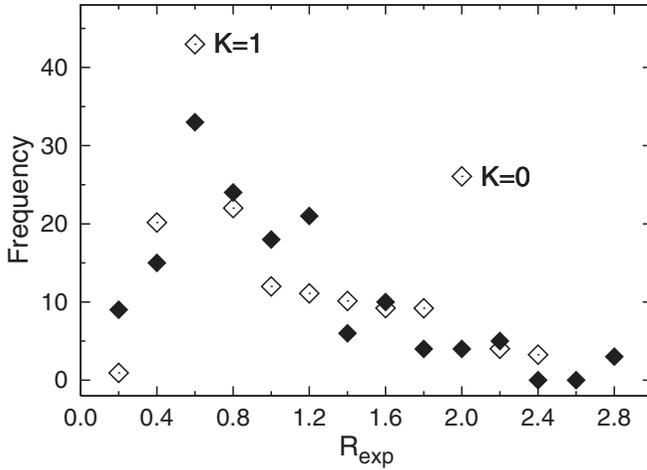


FIG. 7. The frequency distribution of  $R_{\text{exp}}$  values for the discrete transitions in rare-earth nuclei ( $\blacklozenge$ ) from Ref. [37] and in actinide nuclei ( $\blacklozenge$ ) from the present work and Ref. [5,20,21,38].

tive intensities can be extracted. Using narrow  $\Delta E = 50$  keV energy bins around the beam energy centroid  $E_{\text{beam}}$  within a  $E_{\text{beam}} \pm 2\Delta E$  window, the  $I_s$ -weighted asymmetry  $\bar{A}_{\text{HV}}$  and transition strengths are determined for each of the four energy bins at all thirty beam energies between 2.0–6.2 MeV. An average  $R_{\text{exp}}$  for each of the bins is assumed to be the same as observed for discrete transitions.

The results are given as averages over 0.2-MeV-wide energy bins in Fig. 8, where transition intensities contain both discrete and unresolved transitions. As the beam energy increased above 2.7 MeV,  $\bar{A}_{\text{HV}}$  decreased, denoting an increase in  $E1$  strength. However, in the energy range  $4.5 \geq E_\gamma \geq 6.2$  MeV,  $\bar{A}_{\text{HV}}$  values are only slightly negative, indicating similar intensity of unresolved  $M1$  and  $E1$  transitions. Alternatively, the average  $R_{\text{exp}}$  value may not well represent the one for unresolved transitions such that transitions to the first excited state could prevail over those to the ground state. Fortunately

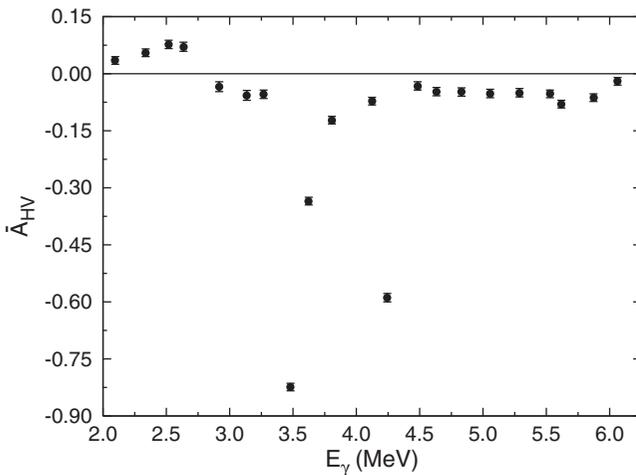


FIG. 8. The  $I_s$ -weighted asymmetry  $\bar{A}_{\text{HV}}$  of the discrete and unresolved transitions for all 30 incident beam energies. Each point corresponds to an average asymmetry over a 0.2-MeV-wide energy bin.

the observation of a zero asymmetry at higher energies would still be observed under these conditions, regardless of the dominance of  $E1$  transitions from the low-energy tail of the GDR.

## V. DISCUSSION

### A. $M1$ Excitations

In the present measurement,  $M1$  excitations are observed at approximately  $2.0 \text{ MeV} < E_\gamma < 3.5 \text{ MeV}$  with a strong concentration of  $M1$  states around 2.5 MeV. As  $E_\gamma$  increases, the  $M1$  strength decreases until no more discrete states (above the lowest detection limit of about 3 eVb) are observed above 3.5 MeV. The upper limit of the integrated cross section of a  $M1$  transition to the ground state between  $3.5 \text{ MeV} < E_\gamma < 4.2 \text{ MeV}$  is estimated to be 1 eVb. For incident-beam energy in the range of 2.0–4.2 MeV,  $\Sigma B(M1)$  is found to be  $8(1) \mu_N^2$  with  $\omega_{M1}$  of 2.6(6) MeV for the observed  $M1$  transitions.

The observed  $M1$  strength may include states from both the scissors mode and the spin-flip mode, which are indistinguishable from each other based exclusively on the use of the NRF technique. A combination of theoretical models and experimental data from reactions other than  $(\gamma, \gamma')$  are needed for firm identification. The authors of Ref. [4] used a reformulation of the two-rotor model [42,43] and the interacting boson model (IBA-2) [44] to determine the parameters for the scissors mode in  $^{238}\text{U}$ . About two-thirds of the  $M1$  strength found in the present measurement is observed in this range doubling the previous experiment's value of  $3.2 \mu_N^2$  [4]. The observed strength is also about twice of the value measured for rare-earth nuclei [6].

The remaining amount of the total  $M1$  strength, observed at energies above the scissors mode range, is about one-half of the value found in similarly deformed rare-earth nuclei [10], and only one-fifth of the spin-flip strength for  $^{238}\text{U}$  measured by a  $(p, p')$  experiment [9]. The  $\omega_{M1}$  for  $^{238}\text{U}$  is similar to the observed 2.5 MeV in  $^{232}\text{Th}$  [21] and  $\sim 3$  MeV in many rare earth nuclei. One should note that the calculation of Ref. [45] for  $^{238}\text{U}$  extends the scissors mode energy range to 4 MeV and pushes the spin-flip mode to 5–6 MeV. Due to the lack of any definitive theoretical models and the deficient comparisons with  $(e, e')$  reaction data over the same energy range, it can not be established which prediction for the scissors mode energy range is correct.

### B. $E1$ Excitations

Most of the  $E1$  transitions observed are above 3 MeV in excitation energy. As  $E_\gamma$  increased, the number of  $E1$  states and the  $E1$  strength increased due to the increasing proximity to the GDR. Multiple concentrations of states centered around the energies 3.1, 3.5, and 4.1 MeV are observed. For the energy range of 2.0–4.2 MeV, the observed  $\Sigma B(E1)$  is  $110(30) \times 10^{-3} e^2\text{fm}^2$  with  $\omega_{E1}$  of 3.3(8) MeV. For comparison, the  $E1$  strength found in similarly deformed  $^{154}\text{Sm}$  is  $53 \times 10^{-3} e^2\text{fm}^2$  [46].

An enhanced  $E1$  strength above the extrapolated GDR tail could arise from octupole deformations or from  $\alpha$  clustering, two mechanisms discussed by Iachello in Ref. [47]. The octupole deformation is typically thought to be the origin of

transitions existing in the energy range between 1–2 MeV. The octupole  $E1$  strength can be estimated for this mechanism by the following equation [48]:

$$B(E1)_{\text{oct}} = \frac{9}{4\pi} \langle D_{\text{oct}}^2 \rangle, \quad (12)$$

where  $D$  is the electric dipole moment given as

$$D_{\text{oct}} = 6.87 \times 10^{-4} AZ\beta_2\beta_3 [\text{efm}], \quad (13)$$

and  $\beta_2$  ( $\beta_3$ ) is the quadrupole (octupole) deformation parameter. Using the  $\beta$  values from RIPL-2 [49],  $B(E1)_{\text{oct}}$  is deduced to be  $16 \times 10^{-3} e^2 \text{fm}^2$ . Therefore, octupole deformations could possibly account for only a small fraction of all the  $E1$  strength seen in the present work. The  $E1$  strength due to  $\alpha$  clustering is thought to be an origin of transitions in the energy range of 2–3 MeV and is estimated by the following equation [48]:

$$B(E1)_{\alpha} = \eta^2 \frac{9}{4\pi} \frac{\langle D_{\alpha}^2 \rangle}{6}, \quad (14)$$

where  $\eta$  is the clustering amplitude and  $D_{\alpha}$  is given as

$$D_{\alpha} = 2e \frac{N-Z}{A} R_0 [(A-4)^{1/3} + 4^{1/3}], \quad (15)$$

in terms of the neutron number ( $N$ ), the proton number ( $Z$ ), and the mass number ( $A$ ). In order to reproduce the experimental  $E1$  strength of  $B(E1) \approx 31 \times 10^{-3} e^2 \text{fm}^2$  in  $^{238}\text{U}$  in the range between 2 and 3 MeV, the amplitude must be  $\eta = 0.12$ , which would indicate that other states are mixing into the ground state. Additionally, most of the  $E1$  transitions observed in this work are above 3 MeV.

With less than half of the observed  $E1$  strength possibly contributed by these two mechanisms, the remaining strength could be a product of the low-energy tail of the GDR. If the observed strength of the present work exceeds that of the strength from the low-energy tail of the GDR, then a PDR may exist within  $^{238}\text{U}$ . To evaluate this GDR-tail-influenced strength, the NRF cross section is extracted from the continuum between 2.0–6.2 MeV. Assuming that only ground-state transitions would appear on the right-hand side of the beam profile, an integration window is created at each beam energy. This window started at  $E_{\text{beam}}$  and then extended one standard deviation toward the high-energy side of the beam profile, thus excluding transitions to the first excited state. The flux, associated with this window, is used to produce the total cross section values. The average  $R_{\text{exp}}$  is weighted by the  $E1$  strength and extracted from Table II to be 1.0(2).

The average total  $\gamma$ -ray interaction cross section  $\sigma_{\text{tot}}$  for  $E1$  transitions is calculated using the methods from Ref. [34] and from Ref. [52]. For a zero-spin ground state and a dipole excitation, the ratio of the elastic scattering cross section to  $\sigma_{\text{tot}}$  is 0.67(16) with no open nucleon channels. The quantity  $\sigma_{\text{tot}}$  is corrected for coherent scattering involving the following processes: Rayleigh scattering [53], nuclear Thomson scattering, Delbrück scattering [54,55], and coherent nuclear resonance scattering [56]. The coherent contribution to the total photon interaction cross section between 2.0 and 6.2 MeV ranged from 1–23% with Delbrück scattering dominating the other scattering processes.

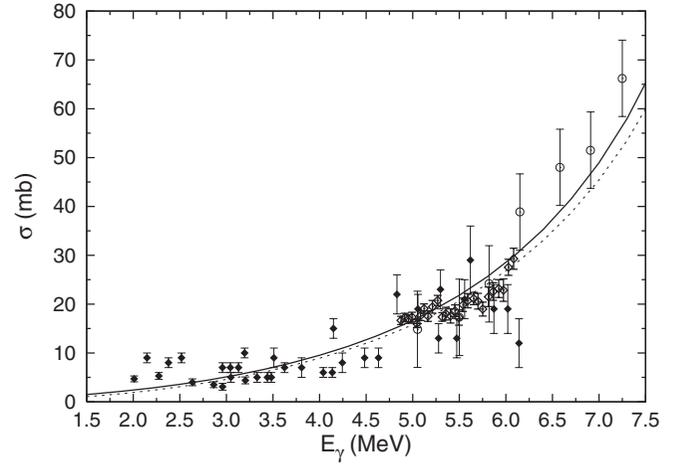


FIG. 9. The total  $\gamma$ -ray interaction cross section for  $E1$  transitions from the discrete and unresolved transitions of the present work ( $\blacklozenge$ ) compared with experimental  $^{238}\text{U}(\gamma, \gamma')$  cross section data [50] ( $\blacklozenge$ ), and with  $^{238}\text{U}(\gamma, \text{tot})$  cross section data [51] ( $\circ$ ). MLO fit (solid curve) and SLO fit (dashed curve) to the GDR [49,51] are also shown.

To evaluate the energy dependence of the  $E1$  cross section, both the modified double Lorentzian (MLO) and the standard double Lorentzian (SLO) functions were used to fit the  $^{238}\text{U}(\gamma, \text{tot})$  data of Ref. [51], which included both photoneutron and photofission reaction cross sections. The strength function, measured in  $\text{MeV}^{-3}$ , with free parameters describing the energy  $E_r$ , the amplitude  $\sigma_r$ , and the width  $\Gamma_r$ , is of the following form [49]:

$$\tilde{f}_{\text{MLO}}(E) = \frac{8.7 \times 10^{-8} E}{1 - e^{-\frac{E}{T_f}}} \sum_{i=1}^2 \frac{\sigma_{r,i} \Gamma_{r,i}^2}{(E^2 - E_{r,i}^2)^2 + (E \Gamma_{r,i})^2}, \quad (16)$$

where  $T_f$  is the final state temperature which can be approximated by the effective temperature  $T_{\text{eff}}$  of the target [26] such that it is  $\sim 1.2$  MeV for the MLO fit to the data of Ref. [51]. The strength function for the SLO fit is similar to Eq. (16), but does not include the exponential term. The total cross section  $\sigma_{\text{tot}}$  is calculated from

$$\sigma_{\text{tot}} = 3(\pi \hbar c)^2 E \tilde{f}_{\text{MLO}}(E). \quad (17)$$

The results are shown in Fig. 9: the  $\sigma_{\text{tot}}$  for  $E1$  transitions from the present work, the experimental  $^{238}\text{U}(\gamma, \gamma')$  cross section data from 4.9–6.2 MeV [50], the experimental  $^{238}\text{U}(\gamma, \text{tot})$  cross section data [51], as well as the MLO and SLO fits to the GDR data of Ref. [51].

In the present work, a large amount of  $E1$  cross section was observed between 2.0 and 6.1 MeV with a total strength of 394(78) mb. However, it is very similar to the summed cross section produced from the MLO fit to the GDR, which has a cross section of about 400 mb in the same energy range. This observation is illustrated in Fig. 9 where  $\sigma_{\text{tot}}$  from the present work follows along the MLO and SLO fits without significant deviation. Therefore, no evidence is seen in the present data for the presence of a PDR in  $^{238}\text{U}$  and all of the  $E1$  strength observed is attributed to the low-energy tail of the GDR.

TABLE III.  $M1$  strengths for the observed discrete transitions in the present work compared with other experiments [4,21,38] and theoretical predictions [6,17,18] for actinide nuclei.

	Experiment					Theory						
	<sup>232</sup> Th <sup>a</sup>	<sup>232</sup> Th <sup>b</sup>	<sup>236</sup> U <sup>c</sup>	<sup>238</sup> U <sup>b</sup>	<sup>238</sup> U <sup>d</sup>	<sup>232</sup> Th <sup>e</sup>	<sup>236</sup> U <sup>e</sup>	<sup>238</sup> U <sup>e</sup>	<sup>232</sup> Th <sup>f</sup>	<sup>236</sup> U <sup>f</sup>	<sup>238</sup> U <sup>f</sup>	<sup>238</sup> U <sup>g</sup>
$\omega$ (MeV)	2.5	2.1	2.3	2.3	2.6(6)	2.5	2.6	2.6	2.6	2.6	3.2	-
$\sum B$ ( $\mu_N^2$ )	4.3(6)	2.6(3)	4.1(6)	3.2(2)	8(1)	2.7(5)	5.4(2)	5.0(8)	5.0	6.1	8.3	6.0
$\sum B/\Delta E$ ( $\mu_N^2/\text{MeV}$ )	2.2	5.2	2.9	5.3	3.5	2.7	5.4	5.0	2.5	3.1	2.3	1.5
Range (MeV)	2–4	1.9–2.4	1.8–3.2	2–2.6	2–4.3	2–3	2–3	2–3	2–4	2–4	2–5.6	2.6–6.6

<sup>a</sup>Reference [21].<sup>b</sup>Reference [4].<sup>c</sup>Reference [38].<sup>d</sup>Present work.<sup>e</sup>Reference [6].<sup>f</sup>Reference [17].<sup>g</sup>Reference [18].

### C. Comparison to theoretical calculations

The strengths of the dipole states observed in the present measurement are similar in magnitude to the strength predicted by the QRPA calculations in Refs. [17,18]. Comparisons of experimentally summed strengths to the calculated values are given in Tables III and IV for even-even Th and for U isotopes. The summed  $M1$  strengths from the present work and from the QRPA calculations [17,18] have similar  $\sum B/\Delta E$  whereas the previous experiment [4] and the sum rule predictions of Ref. [6] are larger by a factor of 1.5. The summed  $E1$  strengths from the present work and from one of the QRPA calculations [17] have similar  $\sum B/\Delta E$ , although the second QRPA calculation from Ref. [18] is almost twice the  $\sum B/\Delta E$  value from the present work.

The QRPA calculation by Kuliev *et al.* is fully renormalized and involves numerical calculations on <sup>232</sup>Th, <sup>236</sup>U, and <sup>238</sup>U in which the single-particle energies are obtained from Warsaw-deformed, Woods-Saxon potentials [17,57]. The results, shown in Ref. [17], reproduce the gross structure of the present work's summed  $M1$  and  $E1$  strengths in this energy region fairly well. In Fig. 10, the calculations of Ref. [17] and the present work on discrete and unresolved transitions are compared using a 0.2 MeV bin size. Over half of the predicted  $M1$  strength is present within 2.0–2.6 MeV and is assumed to be part of the scissors mode. Away from this narrow energy

region, the predicted  $M1$  strength decreases. Both of those features are observed in the present work. However, the  $M1$  strength above 3.5 MeV is predicted with a similar amplitude as the transitions at lower energies. This feature is not observed in the present experiment.

Calculations of the  $M1$  strength for the actinides by the authors of Ref. [17] yield a similar magnitude ( $\sim 6 \mu_N^2$ ), only underestimating the strength measured in this work by 25%. In the present work, eight  $E1$  transitions are observed below 2.5 MeV of a summed strength equal to  $20(4) \times 10^{-3} e^2 \text{fm}^2$ , which is much larger than predicted. The  $E1$  strength calculations do not predict the summed  $E1$  strength well since there is significant strength above 4.3 MeV, which is not resolved in the experiment. Over 70% of the  $E1$  strength predicted is located in the range between 4.3 and 5.6 MeV, and not at lower energies where a large amount of strength was observed.

Calculations by Soloviev *et al.* [18] were carried out using a quasiparticle-phonon nuclear model for <sup>154</sup>Sm, <sup>168</sup>Er, <sup>178</sup>Hf, and <sup>238</sup>U. The <sup>238</sup>U calculations predict two concentrations of dipole strength in the area of interest. One is a concentration of  $M1$  strength between 2.6–3.0 MeV, and the second is a concentration of  $E1$  strength between 3.4–4.0 MeV. In the present work, four concentrations are observed: one for  $M1$  transitions around 2.5 MeV and three for  $E1$  transitions around 3.1, 3.5, and 4.0 MeV.

TABLE IV.  $E1$  strengths for the observed discrete transitions in the present work compared with experiments [21,38] and theoretical predictions [17,18] for even-even actinides.

	Experiment			Theory		
	<sup>232</sup> Th <sup>a</sup>	<sup>236</sup> U <sup>b</sup>	<sup>238</sup> U <sup>c</sup>	<sup>232</sup> Th <sup>d</sup>	<sup>238</sup> U <sup>d</sup>	<sup>238</sup> U <sup>e</sup>
$\omega$ (MeV)	3.7	2.5	3.3(8)	2.7	4.6	-
$\sum B \times 10^{-3}$ ( $e^2 \text{fm}^2$ )	3.3(7)	6(1)	111(25)	35	120	308
$\sum B/\Delta E$ ( $\times 10^{-3} e^2 \text{fm}^2/\text{MeV}$ )	2	4	48	18	33	77
Range (MeV)	2–4	1.8–3.2	2–4.3	2–4	2–5.6	2.6–6.6

<sup>a</sup>Reference [21].<sup>b</sup>Reference [38].<sup>c</sup>Present work.<sup>d</sup>Reference [17].<sup>e</sup>Reference [18].

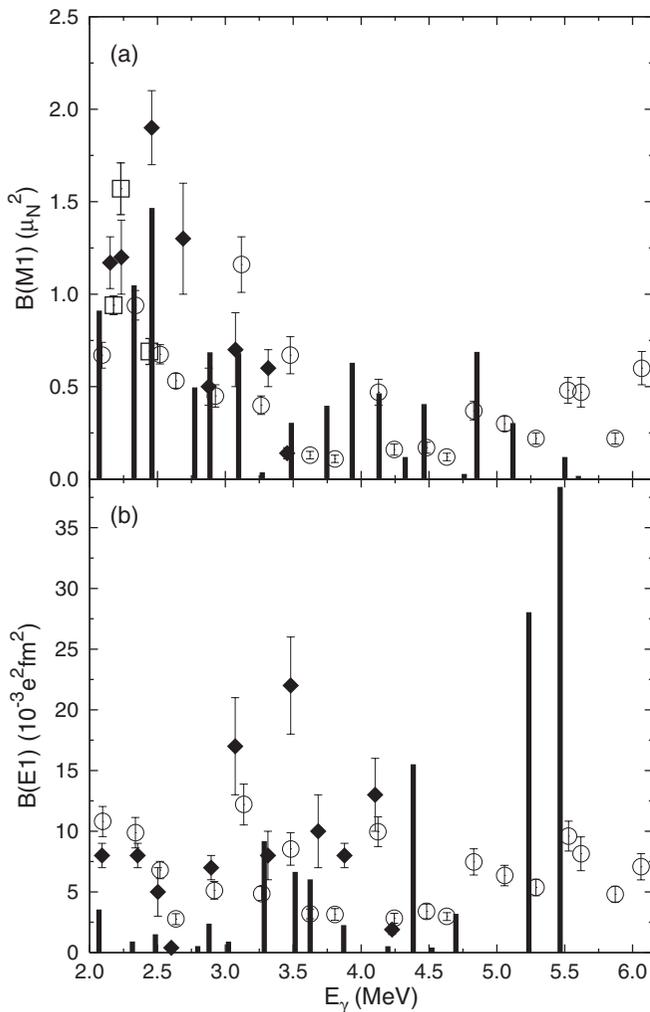


FIG. 10. Experimental (a)  $M1$  and (b)  $E1$  strengths ( $\blacklozenge$ ) from the discrete transitions of the present work, ( $\circ$ ) from the continuum of states of the present work, and ( $\square$ ) from Ref. [4] are compared with a QRPA calculation ( $\circ$ ) from Ref. [17] with a 0.2 MeV bin size. The strengths are shown with statistical error bars.

The total  $M1$  strength predicted by Ref. [18] and by Ref. [17] are similar in magnitude. The calculated  $E1$  strength by Ref. [18] is about three times larger than the strength predicted by Ref. [17] as well as the value measured in the present work. Calculated  $E1$  strength for rare-earth nuclei, which averages  $\sim 250 \times 10^{-3} e^2 \text{fm}^2$  [18], is also larger than the experimental  $^{238}\text{U}$  strength by a factor of two. Finally, it is found in Ref. [18] that the  $E1$  strength is about 3–4 times larger than the  $M1$  strength. In the present work, the observed  $E1$  strength is only about 1.3 times larger than the  $M1$  strength.

Lastly, Enders *et al.* [6] produced a calculation using a parameter-free sum rule to predict  $\omega_{M1}$  and the  $M1$  strength in the energy range of 2.0–3.0 MeV using the results of the

Lipparini and Stringari analysis [58]. This sum rule prediction puts the range of the scissors mode between 2 and 3 MeV. This prediction agrees with the strength observed in the present work between 2–3 MeV. Also, these authors suggest that even though there is a possibility of scissors mode strength lying outside the region specified, it would only be a small fraction and no larger than the inherent uncertainty on the strength itself. Although a large portion of the  $M1$  strength in the present work is contained in this specified region, a significant amount is observed at higher energies above 3 MeV.

## VI. CONCLUSION

NRF measurements were performed on  $^{238}\text{U}$  at the HI $\gamma$ S facility using 100% linearly polarized, quasimonochromatic beams with energies between 2.0 and 6.2 MeV. 113 discrete de-excitations to the ground state at energies between 2.0 and 4.2 MeV are observed and their spin and parity are determined using the unique polarimetry setup of the detector array. Thirty percent of the observed states are  $M1$  transitions and the rest are  $E1$  transitions. Strengths as well as other spectroscopic data are measured for these states.

Above 4.2 MeV, only the asymmetry of the continuum of states could be investigated due to the detection limit of the experiment and the increasing level density. The average total  $\gamma$ -ray interaction cross sections are determined from 2.0 to 6.2 MeV in order to deduce the origins of the low-lying strength. Comparison of the low-lying  $E1$  strength to the MLO and SLO fits to the tail of the GDR provides evidence that this strength is not from a pygmy resonance. Discrete states are compared with QRPA calculations and sum rule predictions. These calculations and predictions describe the overall structure of the states but do not describe their finer details. More comparisons between experiments and theoretical calculations are needed for other rare-earth and actinide nuclei in order to provide a better understanding of the low-energy structure of nuclei with deformations and large neutron excess.

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