

# Constraining the neutron-neutron scattering length using the effective field theory without explicit pions

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We compute a model-independent correlation between the difference of neutron-neutron and proton-proton scattering lengths,  $|a_{nn} - a_{pp}^C|$ , and the splitting in binding energies between helium-3 and tritium nuclei. We use the effective field theory without explicit pions to show that this correlation relies only on the existence of large scattering lengths in the NN system. Our leading-order calculation, taken together with experimental values for binding energies and  $a_{pp}^C$ , yields  $a_{nn} = -22.9 \pm 4.1$  fm.

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## I. INTRODUCTION

In quantum chromodynamics (QCD) there are two effects that lead to violations of isospin symmetry. First, the electromagnetic interaction between quarks, and hence that between protons ( $p$ ) and neutrons ( $n$ ), does not respect the symmetry:  $V_{pp}^{em}$  is different from  $V_{np}^{em}$  and  $V_{nn}^{em}$ . Second, the difference between up and down quark masses ( $m_{u,d}$ ) means that isospin symmetry is violated even in the absence of electromagnetic forces. The existence of  $m_d > m_u$  results in, for instance, different nucleon masses  $m_n > m_p$ , which has profound consequences for nucleosynthesis in the early universe and nuclear physics in general [1]. A better understanding of isospin-symmetry breaking is therefore of deep interest to nuclear physics and to nuclear physicists. There has been much recent progress in this direction, with experiments at TRIUMF and IUCF exploring novel signatures of the violation of isospin symmetry (see Ref. [2] for a review).

The effect of isospin violation is significant in nucleon-nucleon (NN) scattering lengths. That is because these scattering lengths are the result of fine tuning between the range and depth of the nuclear potential, and so small differences in either can lead to appreciable shifts in the scattering lengths. In a world of an isospin-symmetry-conserving interaction, both the neutron-neutron ( $nn$ ) and the proton-proton ( $pp$ ) channel are degenerate with the  $^1S_0$  neutron-proton ( $np, s$ ) channel, because for two like fermions in a relative  $S$  wave, Fermi statistics allow for a spin-singlet, total spin  $J = 0$  state only. Hence, even relatively weak isospin-violating interactions could have a significant effect on the  $nn$ – $pp$  system.

The values of the proton-proton and neutron-proton scattering lengths,  $a_{pp}^C$  and  $a_{np,s}$ , are quite well established (see Table I). For charged particles,  $a_{pp}^C$  is observable as the leading-order (LO) parameter in a generalized effective-range expansion that includes the effects of the nonzero Coulomb repulsion in the asymptotic states. In contrast,  $pp$  phase shifts, and hence the  $pp$  scattering length, obtained exclusively from the strong part of the  $pp$  interaction retain a residual dependence on the specific model for this short-range force; i.e., they are dependent upon the renormalization scale and scheme [3–6].

Experiment reveals the differences among  $a_{pp}^C$ ,  $a_{np,s}$ , and  $a_{nn}$  and thus that charge independence and charge symmetry are both broken. Charge independence is associated with an arbitrary rotation in isospin space while charge symmetry is conserved if a rotation about  $\pi$  in isospin space leaves observables invariant (see, e.g., [2]). Current data allow for a relatively accurate extraction of  $np$  and  $pp$  scattering lengths, compared to the  $nn$  parameter where the uncertainty is about two orders of magnitude larger (see Table I). For a recent, thorough review of the status of experiments see Ref. [7]. Direct measurements are on the horizon [8], but until now constraints on  $a_{nn}$  come from final-state interactions. However, conflicting values for  $a_{nn}$  have resulted from attempts to follow this avenue in different few-nucleon reactions—indeed, conflicting values have resulted from different experiments investigating final-state interactions in  $n + d \rightarrow n + n + p$ .

To extract  $a_{nn}$  accurately from this three-body deuteron-breakup experiment, the outgoing particles should predominantly be in a state of very low neutron-neutron relative momentum. Furthermore, the proton's effect on the detected neutron pair should be minimal. This condition is satisfied for a large separation of the scattered pair from the proton, which remains at rest in the laboratory frame after the collision. This takes place in the  $nn$  quasi-free scattering (QFS) kinematics. The cross sections corresponding to this and the analogous  $np$ -QFS configuration constitute the experimental input for the subsequent extraction of  $a_{nn}$ . Currently, there are two data sets from which an identical theoretical method extracts conflicting values for  $a_{nn}$ . One setup records kinematical information of one neutron and the proton [9,10], yielding  $a_{nn} = -16.1 \pm 0.4$  fm, while the other detects all three outgoing nucleons [11,12] and produces  $a_{nn} = -18.7 \pm 0.7$  fm. The theoretical model employs the CD-Bonn [13] NN potential and the charge-independent TM [14] three-nucleon interaction. Recently, the sensitivity of theoretical predictions for the  $nn$  QFS cross section was investigated [15]. There it was shown that the  $nn$ -QFS cross section has a stronger dependence on  $r_{nn}$  relative to changes in  $a_{nn}$ —after all, both experiments deal with small yet nonzero  $nn$  energies, and so  $r_{nn}$  would be expected to play some role. The authors of Ref. [15] found values of  $a_{nn}$  and  $r_{nn}$  that plausibly fit both sets and would resolve the discrepancy, albeit at the expense of introducing appreciable

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TABLE I. Data input for the LECs which specify the interaction of Eq. (3). The values were fitted nonperturbatively with the RGM and include the Coulomb potential's contribution. LEC sets corresponding to  $\Lambda = 400, 700, 800$ , and  $1600$  MeV were adopted. For each  $\Lambda$ , the replacement  $a_{np,t} \leftrightarrow B(d)$  yields an additional set of LECs.

Channel	LECs	Constraining observable
$(np) ^1S_0$	$C_1$	$a_{np,s} = -23.748 \pm 0.009$ fm [42]
$(np) ^3S_1$	$C_2$	$a_{np,t} = 5.4194 \pm 0.002$ fm
		$B(d) = 2.224\,575 \pm 0.000\,009$ MeV [56]
$(pp) ^1S_0$	$C_1 + C_S^{pp}$	$a_{pp}^C = -7.8063 \pm 0.0026$ fm [41]
$(npp) ^2S_1$	$C_1 + C_S^{pp}, C_S, C_T, C_{3NI}$	$B(^3\text{He}) = 7.718109 \pm 0.000010$ MeV
$(nnp) ^2S_1$	$C_1 + C_S^{nn}, C_S, C_T, C_{3NI}$	$B(t) = 8.481855 \pm 0.000013$ MeV

charge-symmetry breaking in the nucleon-nucleon effective ranges.

In view of these complications another way to “measure”  $a_{nn}$  would be of great interest. Here the effective field theory without explicit pions, EFT( $\not{\pi}$ ), is used to show that the difference of  $nn$  and  $pp$  scattering lengths,  $\Delta(a) := a_{nn} - a_{pp}^C$ , is correlated with the triton-helium-3 binding-energy difference,  $\Delta(3) := B(t) - B(^3\text{He})$ . This correlation has been known for many years within the context of models of the NN interaction. See, e.g., Refs. [16–20], and Ref. [21], which contains a review of work up until 1990. However, in these works various models, each of which produces a specific  $a_{nn}$ , were used to compute  $\Delta(3)$ . The possibility of mapping out the general relationship and using the result to constrain  $a_{nn}$  was not explored. Here we develop the correlation between  $\Delta(a)$  and  $\Delta(3)$  within a leading-order EFT( $\not{\pi}$ ) calculation, which shows that this correlation stems solely from the existence of large scattering lengths in the NN system. We give an indication of how higher-order corrections can be expected to impact our result, and hence derive a constraint on  $a_{nn}$ , using the value of  $a_{pp}^C$  given in Table I.

In general, for systems where the scattering length,  $a$ , is much larger than the range of the interaction,  $R$ , an effective field theory based on the scale separation  $R \ll |a|$  can be used to derive model-independent results [22–27]. In nuclear physics this is EFT( $\not{\pi}$ ), and it is an expansion in  $R/a$ , with  $a$  given by the numbers in Table I. EFT( $\not{\pi}$ ) can be used to derive “universal” results that rely only on the existence of large scattering lengths. It has been used to compute triton [ $B(t)$ ], helium-4 [ $B(\alpha)$ ], and helium-6 binding energies [28–30]. At leading order in the  $R/a$  expansion there are three parameters in the EFT that then yield predictions for all other observables in systems with  $A \leq 4$ : these can be taken to be the spin-singlet and spin-triplet NN scattering lengths and the binding energy of the three-nucleon system. At next-to-leading order (NLO) in the  $R/a$  expansion the NN effective ranges enter the problem, with all other low-energy NN parameters only affecting answers beyond NLO [31–34]. This specifies the general EFT prescription of fitting a minimal set of low-energy constants (LECs) to observables in order to make predictions for all other observables correlated to the input set.

In particular, EFT( $\not{\pi}$ ) provides a map from an input set, e.g., the scattering length  $a_{np,t}$ , to a correlated set, whose elements, e.g., the deuteron binding energy  $B(d)$ , are predicted with

known theoretical uncertainty. However, even if this set of correlations is well mapped out in the  $A$ -body system there appears to be no rigorous way to determine *a priori* whether  $(A + 1)$ -body observables will also be correlated with the  $A$ -body input quantities. For example, the triton binding energy  $B(t)$  is *not* correlated with the  $np$  singlet and triplet scattering lengths  $\{a_{np,s}, a_{np,t}\}$  [28], while the binding energy of the  $\alpha$  particle *is* correlated with  $\{a_{np,s}, a_{np,t}, B(t)\}$  [29]. For  $B(t)$ , a strong sensitivity to short-distance structure—parametrized, for instance, by a momentum-space cutoff  $\Lambda$ —is found, while the dependence of  $B(\alpha)$  on  $\Lambda$  is parametrically small once the value of  $B(t)$  is fixed. This latter phenomenon, known as the Tjon line [35], allows for a prediction of the  $\alpha$ -particle binding energy once that of the triton is known.

However, once it has been established that a higher- $A$  observable is a member of the set of correlated quantities, we may use that observable to constrain properties of smaller subsystems. This prescription is used here, where we consider the binding energy difference  $\Delta(3)$ , which would be zero if isospin were an exact symmetry of nature. We therefore exploit another feature of the EFT, namely the absence of a qualitative hierarchy among low-energy observables in their role as input to fix the LECs. Thus, our interaction will take  $\{a_{np,s}, B(d), a_{pp}^C, B(t), B(^3\text{He})\}$  ( $A \leq 3$ ) as input, and we will obtain the scattering length  $a_{nn}$  ( $A = 2$ ) as output. Analogously, the Tjon line could be used to predict a range of  $B(t)$  values which are consistent with  $B(\alpha)$ .

Our calculation of  $\Delta(3)$  considers isospin violation from Coulomb interactions and from the difference in NN scattering lengths. Experimentally,  $\Delta(3)$  is known to be  $764$  keV.<sup>1</sup> Note that we do not claim that our leading-order EFT( $\not{\pi}$ ) calculation of the individual trinucleon binding energies is this accurate, but we are examining a binding-energy difference that would be zero in the symmetry limit, and so a leading-order calculation of the difference already provides a useful constraint on  $|a_{nn} - a_{pp}^C|$ . In pursuing such a calculation we are, though, implicitly assuming that any isospin-violating component of the three-nucleon force in EFT( $\not{\pi}$ ) enters only at subleading orders. We will present evidence that supports this assumption.

<sup>1</sup>Numerical values for physical binding energies are taken as referenced in the TUNL database (<http://www.tunl.duke.edu/NuclData/>).

The history of analyses of the impact of charge-independence breaking (CIB) and charge-symmetry breaking (CSB) on  $a_{nn} - a_{pp}^C$  and the trinucleon binding-energy splitting is a rich one (see, e.g., [21] for a review of most of the investigations predating the advent of EFT methods in few-nucleon theory). Today, modern high-precision nucleon-nucleon force models predict a trinucleon binding-energy difference,  $\Delta(3)$ , in good agreement with experiment:  $\Delta(3, \text{AV18} + \text{UIX}) = 756(1)$  keV [19] and  $\Delta(3, \text{AV18}) = 762(9)$  keV [20]. Even though the individual binding energies of the triton and helium-3 receive significant contributions from a three-nucleon interaction (TNI) in these models,  $\Delta(3)$  is driven by the difference in the nucleon-nucleon scattering lengths—at least once electromagnetic effects are properly accounted for. Isospin-violating TNIs were considered in the framework of chiral perturbation theory in Refs. [36,37], and the leading-order isospin-violating TNI was found to contribute approximately 5 keV to  $\Delta(3)$  [36].

A recent LO analysis of  $\Delta(3)$  in EFT( $\not{\pi}$ ) included the Coulomb interaction nonperturbatively. With  $a_{nn}$  and  $a_{pp}^C$  as input, a value of  $\Delta(3) = 0.82$  MeV was predicted using Faddeev methods and the dibaryon formalism [38]. In Ref. [39], the authors applied EFT( $\not{\pi}$ ) at LO, NLO, and next-to-next-to-leading order (N<sup>2</sup>LO) to predict proton-deuteron scattering- and bound-state observables. Their comprehensive analysis demonstrates the usefulness of the EFT prescription in low-energy  $pd$  scattering and in the helium-3 bound state, since an order-by-order decrease of the theoretical uncertainty is obtained. In Ref. [39] too,  $a_{nn}$  and  $a_{pp}^C$  were used to fit the low-energy constants of the EFT. Neither of these EFT( $\not{\pi}$ ) analyses included an isospin-violating TNI.

The paper continues with an introduction of EFT( $\not{\pi}$ ) as the theory underlying the interaction potential. Next, a section on the numerical method of a refined version of the resonating-group method (RGM), used to solve the few-body problem, precedes the presentation of the results. The results section includes subsections discussing the uncertainty estimates due to suppressed higher-order long- and short-range interactions and the limiting (hypothetical) case of  $a_{nn} \rightarrow a_{pp}^C$ . We then offer our conclusions, before assessing the numerical stability of the refined RGM in an appendix.

## II. INPUT: EFT( $\not{\pi}$ ) WITH LEADING-ORDER COULOMB INTERACTIONS AND ISOSPIN VIOLATION

The effective field theory without explicit pions [EFT( $\not{\pi}$ )] at leading order in the expansion parameter  $Q/M$  is defined by the Lagrangian (derived from the pionful theory of [40])

$$\begin{aligned} \mathcal{L}^{(\text{Cl})} = & N^\dagger \left( i \partial_0 + \frac{\vec{\nabla}^2}{2m_N} \right) N - \frac{C_S}{2} (N^\dagger N)(N^\dagger N) \\ & - \frac{C_T}{2} (N^\dagger \sigma_i N) \cdot (N^\dagger \sigma_i N) \\ & - \frac{C_{3\text{NI}}}{2} (N^\dagger N)(N^\dagger \tau_i N)(N^\dagger \tau_i N), \end{aligned} \quad (1)$$

where the six-nucleon contact term renormalizes the  $S = 1/2$  nucleon-deuteron channel (see, e.g., [28]). The (iso)spin matrices  $(\vec{\tau})\vec{\sigma}$ , with indices specifying the Cartesian component,

project onto spin singlet and triplet with the respective low-energy constant,  $C_{S,T}$ . The two-nucleon amplitude derived from this Lagrange density matches the effective range expansion. The description is appropriate if the typical momentum exchange  $Q$  between interacting nucleons of mass  $m_N$  is small relative to the high-energy scale  $M \approx m_\pi$ . For  $Q \gtrsim m_\pi$ , this theory is not applicable as it uses the neutron and proton Pauli spinors  $N = (|p, s = 1/2\rangle, |n, s = 1/2\rangle)$  as degrees of freedom—but nothing else. The interaction in Eq. (1) is charge independent and does not discriminate among neutron-neutron ( $nn$ ), proton-proton ( $pp$ ), and proton-neutron ( $pn$ ) pairs in the  $^1S_0$  NN channel. For a comprehensive analysis of systems including at least two charged protons at low energies, the effect of the electromagnetic force cannot be neglected. This is apparent in the measured difference between the  $pp$  and  $np$   $^1S_0$  scattering lengths,  $a_{pp}^C = -7.8063 \pm 0.0026$  fm [41] compared to  $a_{np,s} = -23.748 \pm 0.009$  fm [42], where Eq. (1) yields  $a_{pp}^C = a_{np,s}$ .

Electromagnetic interactions are considered canonically by promoting the Lagrangian [Eq. (1)] to a local gauge theory, invariant under local U(1) transformations. Using Coulomb gauge  $\vec{\nabla} \cdot \vec{A} = 0$ , the contributions of the gauge fields  $A_\mu$  to the  $pp$  amplitude can be split into a part which scales as  $\alpha/Q^2$ , resulting from the part of the covariant derivative proportional to  $N^\dagger e A_0 N$  (“Coulomb photons”), and others which are either suppressed by powers of  $Q/M$  or at least  $m_N^{-2}$  (“transverse photons”). Here, this estimate justifies the usage of the Coulomb potential resulting from the “exchange” of one Coulomb photon to account for the electromagnetic interaction at low energies.

Without CIB mechanisms stemming from a broken flavor SU(2) symmetry in the u-d quark sector, i.e.,  $m_u \neq m_d$ , the  $np$  and  $nn$   $^1S_0$  channels would still be degenerate. To refine the analysis, the lowest-order contribution from this asymmetry is included. The dominating terms are expected to be of lowest-mass dimension while a dependence on the direction of the isovector is now admissible in order to distinguish  $nn$ ,  $pp$ , and  $np$  vertices. In a hierarchy of isospin-violating interactions [43,44], those contact terms, which are expected to scale as  $\epsilon Q^0$  with  $\epsilon = \frac{m_d - m_u}{m_d + m_u} \approx \frac{1}{3}$ , should be subleading compared to the Coulomb potential. We include both the Coulomb potential and these isospin-violating short-range operators in our calculation, allowing us to formulate a model-independent assessment of which  $a_{nn}$  values are consistent with the experimental trinucleon binding energy splitting  $\Delta(3)$ , the singlet(triplet)  $np$   $S$ -wave scattering lengths  $a_{np,s(t)}$ , and  $a_{pp}^C$ .

The subsequent analysis is therefore based on the Lagrangian (1), including pieces obtained by the minimal substitution  $\partial_0 \rightarrow \partial_0 + ieA_0$ , combined with explicit CSB terms:

$$\mathcal{L}^{(\text{CSB})} = -\frac{C_S^{nn}}{2} (n^\dagger n)(n^\dagger n) - \frac{C_S^{pp}}{2} (p^\dagger p)(p^\dagger p). \quad (2)$$

The tree-level diagrams corresponding to the interactions in Eq. (2) define the isospin-violating part of the potential

$$\begin{aligned} \hat{V}^{(\text{CSB})} = & \sum_{i < j}^A \left\{ \left( \frac{e^2}{4|\vec{r}(i) - \vec{r}(j)|} + C_S^{pp} f_\Lambda(\vec{r}_{ij}) \right) \right. \\ & \left. \times [1 + \tau_3(i)][1 + \tau_3(j)] \right\} \end{aligned}$$

$$+C_S^{nn}[1 - \tau_3(i)][1 - \tau_3(j)]f_\Lambda(\vec{r}_{ij}) \left. \right\} \\ \times \frac{1}{4}[1 - \vec{\sigma}(i) \cdot \vec{\sigma}(j)] \quad (3)$$

in coordinate representation with  $f_\Lambda(\vec{r}_{ij}) := (\frac{\Lambda^3}{8\pi^{3/2}})e^{-\frac{\Lambda^2}{4}\vec{r}_{ij}^2}$ . Meanwhile, the isospin-conserving piece of the potential, which stems from Eq. (1), is

$$\hat{V}^{(Cl)} = \sum_{i<j}^A f_\Lambda(\vec{r}_{ij})[C_S + C_T \vec{\sigma}(i) \cdot \vec{\sigma}(j)] \\ + \sum_{\substack{i<j<k \\ \text{cyclic}}}^A f_\Lambda(\vec{r}_{ij}) \cdot f_\Lambda(\vec{r}_{jk}) C_{3NI} \vec{\tau}_i \cdot \vec{\tau}_j. \quad (4)$$

These operators  $\hat{V}^{(CSB)}$  and  $\hat{V}^{(Cl)}$  are used in the Schrödinger equation and originate from the momentum-independent vertices via a Gaussian regulator of the nonseparable form  $f_\Lambda(\vec{p}, \vec{p}') = e^{-(\vec{p}-\vec{p}')^2/\Lambda^2}$ , which Fourier transforms into a Gaussian depending on the relative coordinate. From this nonrelativistic equation of motion, variational approximations to the bound and scattering states of the two- and three-nucleon systems are obtained. In solving the equation of motion, the potential is iterated.

The iteration of the unregulated ( $\Lambda \rightarrow \infty$ ) interaction  $\sim C_2 := C_S + C_T$  in the  $^3S_1$  channel yields a  $np$  total isospin  $T = 0$  amplitude [45]:

$$T^{T=0,np}(p) = \left[ \frac{1}{C_2} - \int \frac{d^3q}{(2\pi)^3} \frac{1}{E + i\epsilon - q^2/m_N} \right]^{-1}, \quad (5)$$

where  $p = \sqrt{m_N E}$  is the relative momentum of the  $np$  pair in the c.m. frame and  $\epsilon$  is a positive infinitesimal.  $C_2$  can then be chosen in order to obtain the real bound state (deuteron) in that channel:

$$T^{T=0,np}(p) = \frac{4\pi}{m_N} \frac{1}{\gamma + ip}, \quad (6)$$

where we have chosen to ignore terms which are suppressed by  $p^2/\Lambda$  in the denominator, although these pieces will be present in the denominator for any finite  $\Lambda$ , and will thus produce a nonzero, positive [46], and cutoff-dependent effective range. The binding momentum is denoted here as  $\gamma = \sqrt{m_N B(d)}$ .

Similarly, the iteration of either  $C_1 := C_S - 3C_T$  or  $C_1 + C_S^{nn}$  produces the virtual bound states in the  $np$  and  $nn$  systems:

$$T^{T=1,np/nn}(p) = \frac{4\pi}{m_N} \frac{1}{\frac{1}{a_{np/nn}} + ip}, \quad (7)$$

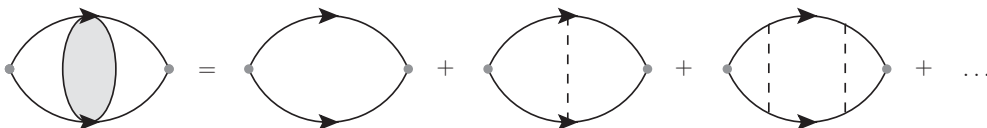


FIG. 1. A diagrammatic definition of the Coulomb bubble  $J_0$ . The solid lines are nucleons, and the dashed lines represent exchanges of static Coulomb photons. The shaded blob is the amplitude for a pair of protons interacting via a zero-range contact interaction (gray circular vertex), propagating in the static Coulomb field, and finally interacting strongly again at zero separation.

under the same conditions as in Eq. (6). The isospin-violating terms account for the difference in the scattering length of  $nn$ , relative to  $np$ . Without the  $C_S^{nn}$  counterterm, the spin-singlet  $nn$  and  $np$  channel would be degenerate:  $a_{nn} = a_{np,s}$ .

The case in the proton channel is different, due to the presence of the Coulomb interaction there. The computation of  $pp$  scattering was carried out to LO in EFT( $\not{\pi}$ ) for  $S$ -wave NN scattering in Refs. [4,47]. Our presentation of  $pp$  scattering rests on that treatment. The final result is [48]

$$T = T_{NC} + T_{Coul}, \quad (8)$$

with  $T_{Coul}$  the amplitude for scattering due to the Coulomb potential alone.  $T_{NC}$  encodes the purely strong scattering and the Coulomb-nuclear interference:

$$T_{NC} = C_\eta^2 \exp[2i\sigma_0(\eta)] \frac{1}{\frac{1}{C_1 + C_S^{pp}} - J_0(p)}. \quad (9)$$

Here  $C_\eta^2$  is the Sommerfeld factor:

$$C_\eta^2 = \frac{2\pi\eta}{e^{2\pi\eta} - 1}, \quad (10)$$

and the Coulomb parameter  $\eta := \frac{m_N \alpha}{2p}$ , with  $\sigma_l(\eta) = \arg\Gamma(l + 1 + i\eta)$  (where  $\Gamma$  is the Euler gamma function). In Eq. (9)  $J_0(p)$  is the Coulomb-modified bubble, depicted in Fig. 1. If computed in power-law divergence subtraction (PDS) [23], at a renormalization scale  $\mu$ , its finite part is

$$J_0^{\text{finite}}(p) = -\frac{\alpha m_N^2}{4\pi} \left[ H(\eta) - \ln\left(\frac{\mu\sqrt{\pi}}{\alpha m_N}\right) - 1 + \frac{3}{2}C_E \right] \\ - \frac{\mu m_N}{4\pi}, \quad (11)$$

once divergences in  $D = 4$  and  $D = 3$  have been dropped. In Eq. (11), the function

$$H(\eta) = \psi(i\eta) + \frac{1}{2i\eta} - \ln(i\eta), \quad (12)$$

with  $\psi$  the derivative of the Euler gamma function, Euler's constant  $C_E = 0.5772(\dots)$ , and  $\alpha = e^2/4\pi$  (see also [49–52]).

The denominator in Eq. (9) can be matched to the modified effective-range expansion:

$$T_{NC} = -C_\eta^2 \frac{4\pi}{m_N} \frac{\exp(2i\sigma_0)}{-\frac{1}{a_{pp}} + \frac{1}{2}r_0 p^2 - \alpha m_N H(\eta)}. \quad (13)$$

(This expression for  $T$  encodes the fact that the spherical Bessel functions, the asymptotic solutions for the  $np/nn$  system, are now replaced by Coulomb functions [48].) When this matching is performed at leading order ( $r_0 = 0$ ) we find



that

$$\frac{1}{C_1 + C_S^{pp}} = \frac{m_N}{4\pi a_{pp}^C} + \frac{\alpha m_N^2}{4\pi} \left[ \ln \left( \frac{\mu \sqrt{\pi}}{\alpha m_N} \right) + 1 - \frac{3}{2} C_E \right] - \frac{\mu m_N}{4\pi}. \quad (14)$$

To produce the experimentally observed  $a_{pp}^C$  the combination  $C_1 + C_S^{pp}$  is fitted to the value listed in Table I. Strictly speaking, the  $a_{pp}^C$  listed there is extracted from data using an effective-range expansion that considers a refined version of the Coulomb potential and vacuum-polarization effects [41]. However, here we have a long-range part of the  $pp$  potential consisting only of the Coulomb interaction. The uncertainty due to higher-order long-range interaction effects, such as vacuum polarization, is included below in the overall theoretical error estimate.

Regardless of this detail though, we see that  $a_{pp}^C$  can be obtained from the  $pp$  amplitude in a manner that is independent of the renormalization scale  $\mu$ . In contrast, attempting to “switch off” Coulomb interactions and compute the effect obtained solely from the strong interaction,  $C_1 + C_S^{pp}$ , yields a result that, within PDS, is dependent upon the renormalization scale  $\mu$ .  $C_1 + C_S^{pp}$  contains a  $\ln \mu$  piece, and there is no corresponding  $\ln \mu$  piece of the Coulomb-less loop function to cancel that. Our calculation is not done using PDS, but instead with a Gaussian regulator, yielding approximately a  $\ln \Lambda$  dependence of the  $C_S^{pp}$  that reproduces  $a_{pp}^C$  (the  $\ln \Lambda$  dependence is exact in the case of a sharp momentum cutoff [4]). But, in any case, this cutoff- or renormalization-scale dependence renders quoting a “strong  $pp$  scattering length” a questionable exercise [5,6]—at least in the absence of an agreed upon choice for the regularization and renormalization scheme and scale. Therefore, in what follows we quote all results in terms of  $a_{pp}^C$ , which is a physical observable, and as such is independent of these choices.

In practice, the equation

$$\left( \sum_{i=1}^A \frac{\vec{\nabla}_i^2}{2m_N} + \hat{V}_{\text{Coulomb}} + \hat{V}(C_{S,T}, C_S^{nn,pp}, C_{3NI}) \right) |\psi\rangle = E |\psi\rangle \quad (15)$$

is solved for two-body scattering ( $E > 0$ ,  $A = 2$ ) and three-body bound states ( $E < 0$ ,  $A = 3$ ; see Sec. III below). In total, five LECs are fitted to low-energy data according to Table I. Again, it is the sum  $C_1 + C_S^{nn}$  that controls the  $nn$  channel, not just  $C_S^{nn}$ !

Isospin-violating interactions at the quark level imply furthermore a mass difference between the neutron and the proton. This consequence is a higher-order effect in our counting, as it is not enhanced by the fine tuning in the NN system. Hence, it is not accounted for in the calculation below, where throughout  $m_n = m_p =: m_N = \frac{1}{2}(938.211 + 939.505)\text{MeV}$  is used. In Sec. IV B, the contribution of this mass difference to  $\Delta(3)$  is included in the error assessment.

### III. THE RESONATING-GROUP METHOD

For the solution of the two- and three-body problem the variational resonating-group method is employed. In the following, the RGM is introduced by the specific example of the variational space used for the triton calculation of this work.

The ground state is expanded in two different variational bases which differ in the angular-momentum coupling scheme between the spin- and coordinate-space components of the wave function. First, the ansatz for the three-body state in the “LSJ scheme” (total angular momentum  $J$  and parity  $\pi$ ), used here in Jacobi-coordinate space  $(\vec{\rho}_1, \vec{\rho}_2)$ , reads

$$|\psi(\vec{\rho}_1, \vec{\rho}_2), J = 1/2, \pi = +\rangle_{\text{LS}} = f_1(\vec{\rho}_1, \vec{\rho}_2)|d-n\rangle + f_2(\vec{\rho}_1, \vec{\rho}_2)|\vec{d}-n\rangle + f_3(\vec{\rho}_1, \vec{\rho}_2)|(nn)-p\rangle, \quad (16)$$

namely, a linear combination of all possible two-fragment substructures within the triton: deuteron ( $d$ ), spin-singlet deuteron ( $\vec{d}$ ), and dineutron ( $nn$ ). Thus, the coupling schemes of the spin ( $S$ ) and isospin ( $T$ ) angular momenta are fixed, e.g.,

$$|d-n\rangle = [ [|n, m_{s_1}\rangle \otimes |p, m_{s_2}\rangle ]^1 \otimes |n, m_{s_3}\rangle ]^{\frac{1}{2}m_S} \cdot [ [ |n, m_{t_1} = -\frac{1}{2}\rangle \otimes |p, m_{t_2} = \frac{1}{2}\rangle ] \otimes |n, m_{t_3} = -\frac{1}{2}\rangle ]^{\frac{1}{2}m_T}. \quad (17)$$

The square brackets are shorthand for a basis where the irreducible representations are labeled by quantum numbers corresponding to  $(S + S')^2$  for two general tensor operators with spherical components  $m(m')$ ,  $[S^m \otimes S^{m'}]^{JM} = \sum_{m,m'} (S m S' m' | J M) S^m S^{m'}$  (see, e.g., [53]). The coordinate-space wave functions  $f_i$  are expanded in a Gaussian basis of dimension  $D_i$  as

$$f_i = \sum_{j=1}^{D_i} c_{ij} \exp(-\gamma_1^{ij} \vec{\rho}_1^2 - \gamma_2^{ij} \vec{\rho}_2^2) \cdot [\mathcal{Y}_0(\vec{\rho}_1) \otimes \mathcal{Y}_0(\vec{\rho}_2)]^{L=0}. \quad (18)$$

Here the two solid harmonics [53] are both chosen to correspond to a relative angular momentum of zero. As in the Faddeev approach of [28,38,39] with LO EFT ( $\not{\neq}$ ), the dynamics in the variable  $\vec{\rho}_1$  are affected by  $S$ -wave NN interactions only (i.e., the  $\vec{\rho}_1$  coordinate parameterizes the two-nucleon fragment, for instance, the relative coordinate between a proton and a neutron in a deuteron-neutron configuration). As the lowest-lying bound state will occur for an angular momentum on the second coordinate, e.g., between the center of mass of a deuteron cluster and the remaining neutron, which is zero as well, the total orbital angular momentum is also zero ( $L = 0$ ). The impact of higher angular momenta on the solution for the 3N bound state is assessed in the Appendix.

The relative size of the variational parameters  $c_{ij}$  then determines the overlap of the corresponding configuration with the triton, and hence the significance of a certain combination of width parameters  $\gamma_{1,2}^{ij}$  for the grouping  $i$ . The triton ground state, for example, is found to have the largest overlap with the  $d$ - $n$  grouping. To this configuration, parameters  $\gamma_1$  that resemble the spatial extent of the deuteron and  $\gamma_2$  that place

the neutron at a farther distance contribute most. For the other two groupings,  $i = 2, 3$ , the two-body fragment is unbound. As a consequence, basis vectors with smaller widths  $\gamma_1$ , i.e., broader spatial extent, become important.

In the basis (16), the individual spin and orbital angular momenta are coupled separately to their total  $S$  and  $L$  before they combine to total  $J$ . This coupling scheme is different from the one used in [39]. The RGM *ansatz* used for two-fragment scattering resembles that calculation:

$$\begin{aligned} |\psi(\vec{\rho}_1, \vec{\rho}_2), J = 1/2, \pi = +\rangle_{\text{ch}} &= [f_{1,l,\text{rel}} \otimes [|d\rangle \otimes |n\rangle]^{S_{\text{ch}}}]^J \\ &+ [f_{2,l,\text{rel}} \otimes [|d\rangle \otimes |n\rangle]^{S_{\text{ch}}}]^J, \end{aligned} \quad (19)$$

with  $f_{i,l,\text{rel}} = \sum_{j=1}^{D_r} c_{ij} e^{-\gamma_j^2 \vec{\rho}_2^2} \mathcal{Y}_{l,\text{rel}}(\vec{\rho}_2)$  and a two-fragment wave function determined within the same framework. Now, the deuteron's  $J = 1$  is combined with the neutron to a channel spin  $S_{\text{ch}}$ , which couples to the relative orbital angular momentum  $l_{\text{rel}} \stackrel{\text{here}}{=} 0$  to the total  $J$ . With the two-fragment wave function fixed, the variational parameters  $c_{ij}$  determine the relative importance of the components and the expansion of the coordinate-space wave function of the third particle relative to the center of mass of the pair. This resembles the usage of the various dibaryon propagators  $\Delta_{d(t)}^{ij(AB)}$  for the deuteron ( $^1S_0$ ) channel (see [28,39]) in the Faddeev approach and the corresponding projection to deuteron-neutron (for example) relative angular momentum zero. The expansion parameters  $c_{ij}$  of the triton in both the  $LS$  and the channel basis are obtained by solving the generalized eigenvalue problem for a Hamiltonian incorporating the potential of Eq. (3), i.e., Eq. (15).

#### IV. RESULTS

Here, to obtain a result for  $a_{nn}$  different from the values of the other two-nucleon scattering lengths, the triton binding energy  $B(t)$  is used as input for  $C_S^{nn}$ . The triton constitutes the next larger system where an interaction between two neutrons is observable. If there are no additional three-nucleon operators which affect low-energy modes and break isospin symmetry then  $B(t)$  and  $a_{nn}$  are correlated, and therefore  $\Delta(3)$  is correlated with  $\Delta(a)$ . An interaction given by Eq. (3) with  $B(t)$  as input for  $C_S^{nn}$  will then predict  $a_{nn}$  within our uncertainty bounds.

The results presented in Fig. 2 are RGM solutions to the Schrödinger equation with an interaction given in Eq. (3) and LECs constrained by the conditions defined in Table I. The correlation as shown there is obtained by a variation of  $C_S^{nn}$ . The predictions for  $a_{nn}$  of four interactions, each containing different short-distance physics (see below), inferred from the intersection of the computed correlation line with the experimental value for  $\Delta(3) := B(t) - B(^3\text{He})$ , suggest

$$\lim_{\Lambda \rightarrow \infty} a_{nn}(\Lambda) \approx -20.8 \text{ fm}. \quad (20)$$

An assessment of whether the chosen set of input quantities and leading-order calculation are such that this prediction discriminates between the two conflicting measurements of  $a_{nn}$ :  $a_{nn} = -18.7 \pm 0.7 \text{ fm}$  [11,12] and  $a_{nn} = -16.1 \pm 0.4 \text{ fm}$

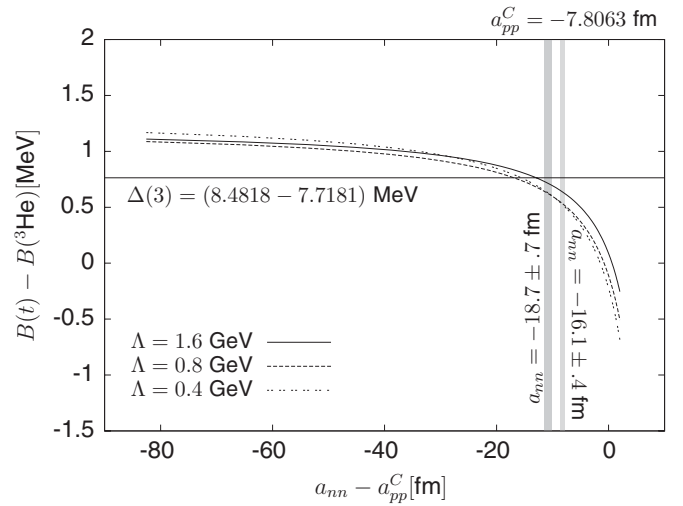


FIG. 2. Correlation between the trinucleon-binding-energy difference  $B(t) - B(^3\text{He})$  and the  $nn$  and  $pp$  scattering lengths at LO in EFT( $\pi$ ). Correlation lines correspond to regulator values of 400 MeV, 800 MeV, and 1.6 GeV with  $B(d)$  as input. For  $\Lambda = 700$  MeV, the correlations overlap with the displayed lines and are not shown.

[9,10] occupies much of the rest of this section. If both data points are consistent with the LO prediction (20) within the uncertainty of that calculation, a higher-order analysis is needed before any conclusion can be drawn. That theoretical uncertainty results from two expansions and their respective truncation. The error from expanding the wave function in a finite-dimensional variational space (see above) is discussed in the Appendix and is found to be  $\pm 1.5$  keV there. In Secs. IV A and IV B the effect of omitted higher-order interactions in the expansion of the Lagrangian shall be analyzed. The additional contributions result from the broken isospin symmetry of the underlying theory in both the strong and the electromagnetic sector. The omitted effects in the EFT are divided here into short-range parts, which all occur in the strong part of the charge-symmetry-breaking Hamiltonian, and long-range effects, the most important of which are electromagnetic interactions beyond Coulomb repulsion and the impact of the neutron-proton mass difference on  $\Delta(3)$ .

##### A. Theoretical uncertainty I (short-range)

In this section the effect of omitted higher-order NN operators in the Lagrangian which correspond to strong interactions will be assessed. These operators must violate charge symmetry, or they will not contribute to  $\Delta(3)$ . They become relevant for modes with momenta of order  $m_\pi$ . In this bound-state calculation these high-energy modes can only affect observables through loops. Their effects are assessed here in two ways: first, by a change in the regulator parameter  $\Lambda$ ; specifically,  $\Lambda = 400, 700, 800$ , and  $1600$  MeV and thereby four different short-range potentials are used; second, by a change from  $a_{np,t}$  to  $B(d)$  as input for  $C_2$ , which demonstrates how imposing renormalization conditions at different characteristic momenta changes the output predictions.

The LEC values corresponding to the (cases  $\Lambda = 400, 700, 800,$  and  $1600$  MeV with either  $a_{np,t}$  or  $B(d)$  as input) are different because each set represents a different model for the short-distance part of the interaction. The substitution  $a_{np,t} \rightarrow B(d)$  did not result in a significant shift of the correlation line. All LEC sets of reproduce, by construction, the same long-distance behavior, as given by the specific input data, within higher-order uncertainty. The difference in predictions derived from these potentials is thus the uncertainty due to permitted variations in the short-distance physics. This sets a lower bound for the theoretical uncertainty.

Our assumption that three-nucleon forces do not contribute to  $B(t) - B(^3\text{He})$  at leading order is supported by the absence of any significant cutoff variation in our result for this observable. This cutoff dependence is quantified by the width of the correlation band shown in Fig. 2. Naive dimensional analysis suggests that the three-nucleon contribution to this binding-energy difference should be less than that of CSB two-nucleon operators, and the results found here do nothing to contradict that view. Similar conclusions have recently been reached by other authors [39]. Of course, naive dimensional analysis is strongly violated in EFT( $\not\propto$ ) in the isospin-symmetric sector, with the TNI being of leading order there. Thus a power counting for isospin-violating operators in EFT( $\not\propto$ ), e.g., along the lines laid out for subleading isospin-symmetric forces in Ref. [54,55], remains an interesting open problem.

The following brief discussion explains the choice of  $\Lambda = 1600$  MeV as an upper bound for the cutoff.  $\Lambda$  is not increased further because of the corresponding increase in the unrenormalized three-nucleon binding energy. A diverging three-body ground-state energy is the result of a two-body interaction whose range is decreased and strength increased to fit  $a_{np,s}$  and  $a_{np,t}$  (Thomas effect). The discrepancy in the binding energy with and without a three-nucleon force—introduced to properly renormalize one three-nucleon bound-state energy to that of the triton—increases as a consequence, with more bound states entering the spectrum at specific cutoff values. In Fig. 3 the relevant part of the three-nucleon spectrum that is obtained without a TNI is shown as a function of the regulator. The NN interaction fits  $C_{1,2}$  to the singlet and triplet

$np$  scattering lengths for each  $\Lambda$ , and a new “Efimov” trimer is found to enter around  $\Lambda = 750$  MeV. Either the three-body force has to then be strong enough to lift a very deeply bound three-body state (solid gray line in Fig. 3) to the experimental triton energy while unbinding the other states, or it must pull the shallowest of those states (dashed gray line in Fig. 3) to the triton level. In either case the variational basis must be refined, either to expand states of considerably larger binding energy or to treat excited states accurately. Convergence for either case requires a larger RGM space compared to the one where only one three-body state is bound, and bound with an energy already of the same order of magnitude as that of the triton.

For the three representative cutoff values used to obtain Fig. 2, the three-body parameter was always adjusted to elevate the ground state to the triton level, because this repulsive force pushes all the shallow states that are not typically resolved by the RGM basis above the  $d-n$  threshold. (In the qualitative analysis of the previous paragraph, whose results were shown in Fig. 3, a small space was chosen that expands only one of the shallow states,  $\text{EV}_2$  in Fig. 3.) The long-distance properties of the three-body state are correctly reproduced by this basis, as witnessed by the fact that the  $d-n$  threshold approaches its predicted value of  $B(d) = 1/(a_{np,t}^2 m_N) \approx 1.41$  MeV for  $\Lambda \rightarrow \infty$ . (The value here is the correct one, given that  $a_{np,t}$  is taken as input. Slightly different thresholds will be found at any finite  $\Lambda$ , since in that case higher-order terms in the effective-range expansion are not zero.)

Bearing this limitation in mind, one sees that the graph in Fig. 2 allows the theoretical uncertainty at this order to be estimated as the maximal  $a_{nn}$  difference at the experimental binding-energy splitting:

$$\delta_{\text{short}} = \frac{1}{2} |a_{nn}(800 \text{ MeV}) - a_{nn}(1.6 \text{ GeV})| \approx 2 \text{ fm}. \quad (21)$$

Accordingly, we identify the mean value of all regulator-dependent  $a_{nn}$  values with the EFT( $\not\propto$ ) prediction. We stress that this choice is somewhat arbitrary and does not coincide with the choice made in [30] for the average charge radius of the triton. For that radius, the EFT( $\not\propto$ ) prediction was taken to be an extremal value calculated for the smallest employed cutoff. That choice was made because another LO calculation predicting the triton charge radius [57] showed that the variation of cutoff and input data in [30] was not reflecting the total LO uncertainty. In fact, both here and in [30] we take the mean of all available EFT( $\not\propto$ ) predictions for the observable of interest (here,  $a_{nn}$  and in [30] the triton charge radius), regardless of the numerical method used to obtain them. The LO uncertainty is then given as half the difference between the minimum and maximum value predicted for that observable. This seems a sensible general prescription for defining central values and uncertainties due to short-distance physics in EFT( $\not\propto$ ) calculations.

Here, only the  $a_{nn} = -18.7$  fm data point lies within this uncertainty range. This result, however, does not yet allow for the conclusion that the smaller datum is inconsistent with the input data,  $\{a_{np,s}, B(d), B(^3\text{He}), a_{pp}^C\}$ . Only if the uncertainty due to long-range contributions does not add to  $\delta_{\text{short}}$  an amount that would increase the total uncertainty to eventually include the second data point can such a discrimination be made.

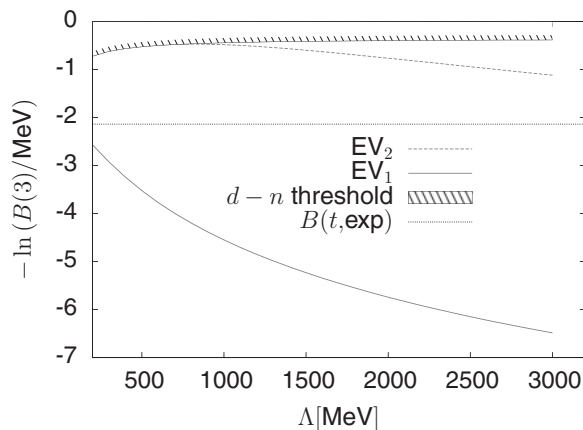


FIG. 3. The two lowest eigenvalues of the  $nnp$  system of a Hamiltonian with the two-body interaction fitted to  $a_{np,s}$  and  $a_{np,t}$  without a three-body term, as a function of the regulator width  $\Lambda$ .

### B. Theoretical uncertainty II (long-range)

As a cutoff variation assesses only the dependence on short-distance structure, an estimate of the theoretical uncertainty is incomplete without considering effects sensitive to low-momentum modes. Above it was argued why the long-distance part of the interaction can be approximated by the Coulomb potential. It is shown here that uncertainties from thereby omitted higher-order interactions, which are *not* assessed by the  $\Lambda$  variation considered in the previous section, are sufficiently small to render only one experimental data point for  $a_{nn}$  consistent with the input observables.

For this purpose,  $\Delta(a)$  is treated as a function of  $\Delta(3)$ , i.e., an interpolation of the dependence whose graph is shown in Fig. 2 is inverted. Furthermore, the assumption that higher-order interactions will yield a correlation line which might be shifted but is identical in shape compared to the ones shown is made. Then, the error in  $\Delta(a)$  introduced by the suppressed terms that contribute to  $\Delta(3)$  a correction  $\Delta_c(3)$  can be approximated via

$$\delta_{\text{long}} \approx \left. \frac{\partial \Delta(a)(\Delta(3))}{\partial [\Delta(3)]} \right|_{\Delta(3)=0.756 \text{ MeV}} \cdot \Delta_c(3). \quad (22)$$

The two long-distance effects we must consider are the following:

- (1) The difference in the nucleon kinetic energy due to nonequal neutron and proton masses. Parametrically we estimate the size of this effect to be

$$\left\langle \frac{p^2}{2m_N} - \frac{p^2}{2m_n} \right\rangle \approx (m_n - m_p) \left\langle \frac{p^2}{2m_N^2} \right\rangle. \quad (23)$$

Taking  $\langle \frac{p^2}{2m_p} \rangle \sim B(t)$  we find

$$\Delta_c(3; m_n - m_p) \sim (m_n - m_p) \frac{B(t)}{m_N} \sim 10 \text{ keV}. \quad (24)$$

This is in good agreement with the value from Ref. [36],  $\Delta_c(3; m_n - m_p) = 14 \text{ keV}$ .

- (2) The electromagnetic interaction between nucleon magnetic moments, between the currents associated with moving protons, and due to vacuum polarization. Those effects were calculated numerically to *increase* the mass difference by less than 30 keV (see, e.g., [58] where the various contributions were calculated with the AV18/IL2 model). Furthermore, the corrections to the Coulomb potential due to the proton's finite size have to be accounted for. In fact, these turn out to be the largest of the higher-order electromagnetic effects, since they modify the Coulomb energy by a fractional amount  $\sim r_p^2/R^2$ , where  $R$  is the typical distance scale which dominates the Coulomb energy. Using  $r_p \approx 0.85 \text{ fm}$  and  $R \approx 2.5 \text{ fm}$  we might expect up to a 10% effect. Numerical evaluations [18] however suggest a somewhat smaller number, *reducing* the mass difference by about 33 keV. Importantly, the finite proton size decreases the impact of the electromagnetic interaction on the binding energy [thus increasing  $B(^3\text{He})$ ] while the other effects listed above increase it [and so decrease  $B(^3\text{He})$ ]. Thus, each class of correction could individually induce an error of order 30 keV in our LO

binding energy calculation, but they work in opposite directions, such that we can confidently say that their combined effect will not produce more than a 28-keV shift in  $\Delta(3)$ .

Combining these two higher-order effects linearly with the 2-keV RGM uncertainty (see the Appendix) we find a potential higher-order correction due to long-distance effects which could be as large as  $\Delta_c(3) = 44 \text{ keV}$ . Employing Eq. (22) produces an uncertainty of

$$\delta_{\text{long}} = 2.1 \text{ fm}. \quad (25)$$

The combined theoretical uncertainty in  $\Delta(a)$  due to higher-order long- and short-range interactions is then, conservatively, taken to be

$$\delta_{\text{LO}} = \delta_{\text{long}} + \delta_{\text{short}} = 4.1 \text{ fm}. \quad (26)$$

The central value for  $a_{nn}$  between the maximum adopted at  $\Lambda \rightarrow \infty$  [see Eq. (20)] and the minimal prediction at  $\Lambda = 800 \text{ MeV}$  is  $-22.9 \text{ fm}$ . EFT( $\not\neq$ ) thus yields, at leading order, a neutron-neutron scattering length of

$$a_{nn} [\text{EFT}(\not\neq)] = -22.9 \pm 4.1 \text{ fm}. \quad (27)$$

Hence, the datum  $a_{nn} = -18.7 \pm 0.7 \text{ fm}$  is consistent with the input data set  $\{a_{np,s}, a_{pp}^C, B(d, t, ^3\text{He})\}$  while the other datum  $a_{nn} = -16.1 \pm 0.4 \text{ fm}$  is inconsistent.

### C. The limit $a_{nn} \rightarrow a_{pp}^C$

The interactions which generate  $a_{nn}$  and  $a_{pp}^C$  are different: the short-range part is of the same structure but different in strength. In the  $nn$  case it is solely responsible for  $a_{nn}$ , whereas, for  $pp$ , it complements the Coulomb force to yield  $a_{pp}^C$ . It is therefore not obvious that, for  $C_S^{nn}$  such that  $a_{nn} \approx a_{pp}^C$ , the triton will be bound by the same amount as  $^3\text{He}$  is. Calculation at  $\Delta(a) = 0$  (i.e.,  $a_{nn} = a_{pp}^C$ ) results in

$$\Delta(3) = -0.11 \pm 0.1 \text{ MeV}, \quad (28)$$

i.e., two three-body systems of equal binding energy. The bound states result from the same short-range  $np$  interaction, but a purely short-range force between the like pair in the triton, and a combination of a similar short-range counter term plus the Coulomb interaction in helium-3. The resultant approximate degeneracy in the binding energies is a reflection of the fairly small characteristic momenta. By comparing the  $nn$  and  $pp$  phase shifts resulting from interactions with  $\Delta(a) \approx 0$  at  $\Lambda = 1.6 \text{ GeV}$  (Fig. 4), the  $nn$  interaction is found to be less repulsive than the  $pp$  one below  $E_{\text{c.m.}} \approx 0.65 \text{ MeV}$ , equal around  $0.65 \text{ MeV}$ , and more repulsive for  $E_{\text{c.m.}} \gtrsim 0.65 \text{ MeV}$ . If bound states receive significant contributions from modes with  $k_{\text{c.m.}} \lesssim \sqrt{m_N(2 \text{ MeV})} =: p_{\text{balance}} \approx 45 \text{ MeV}$ , then the difference in  $V_{nn}$  and  $V_{pp}$  is naively expected to balance, yielding approximately the same binding energies, as we see in Eq. (28), since  $a_{nn} \approx a_{pp}^C$  produces  $\Delta(3) \approx 0$ . In fact, this analysis implies a momentum distribution among the nucleons within the triton bound state which is dominated by momenta markedly smaller than the conventional estimate:  $p_{\text{typ}} := \sqrt{2 \cdot 2/3 m_N \cdot B(t)} \approx 100 \text{ MeV}$ —at least as far as the



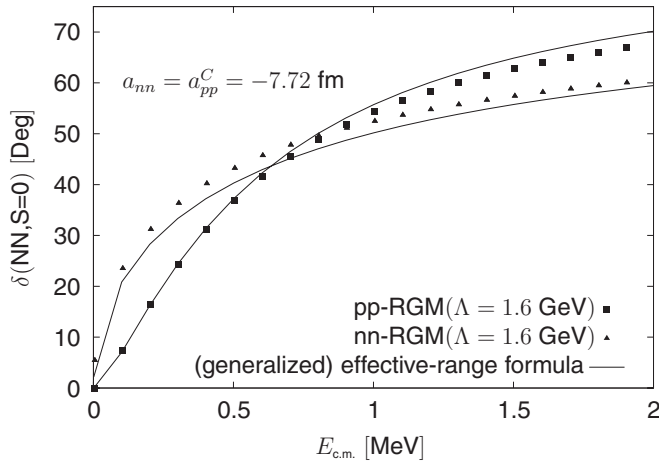


FIG. 4. Comparison between the analytic (generalized) effective range formula ([59]) and the cutoff ( $\Lambda = 1.6$  GeV) RGM predictions with EFT( $\not\hbar$ ) for the ( $pp$ )  $nn$   $^1S_0$  scattering phase shift with  $a_{nn} = a_{pp}^C$ .

momenta pertinent to the binding-energy difference  $\Delta(3)$  are concerned.

Furthermore, the reasoning implies that more deeply bound mirror nuclei will exhibit a larger difference in their binding energies, even though the respective two-body scattering lengths are equal. In a world where not only  $\Delta(a) = 0$  but additionally  $B(^3\text{He}) \gg B(^3\text{He}, \text{exp})$ , the uncharged mirror image would not be as deeply bound,  $B(t) \ll B(^3\text{He})$ , as a result of the stronger repulsion of the uncharged “neutrons” as compared to protons at relative momenta greater than about 20 MeV.

This hypothesis is confirmed by the results of a RGM calculation (Fig. 5). A smooth change of the three-nucleon parameter  $C_{3\text{NI}}$  increases  $B(3)$  but leaves the two-nucleon sector invariant, i.e.,  $a_{nn} = a_{pp}^C \approx -7.8$  fm and  $a_{np,s} \approx -23.75$  fm, and the deuteron at its physical binding energy. The result is an increasingly less bound uncharged system relative to its charged mirror sibling, as conjectured above. In more detail, the triton is found to be not as deeply bound (intersection of the dashed line with the gray band in Fig. 5) as in our world (black band in Fig. 5) for a TNI producing the physical helium-3

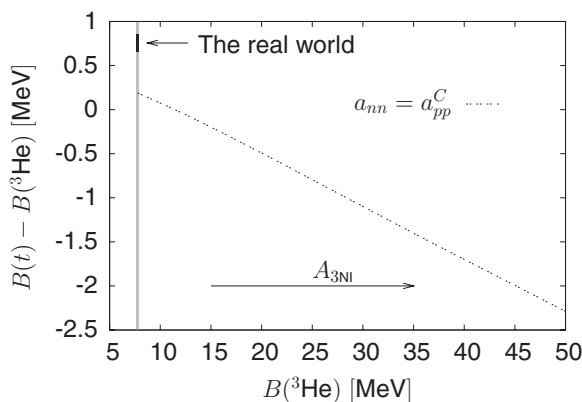


FIG. 5. Difference between binding energies for the mirror nuclei  $t$  and  $^3\text{He}$  as a function of the  $^3\text{He}$  binding energy at  $a_{nn} = a_{pp}^C$ .

binding energy. This is a consequence of the more repulsive  $nn$  force at low momenta implied by  $\Delta(a) = 0$ . Adjusting the TNI to yield a more deeply bound helium-3 widens the gap between its ground state and the  $p$ - $d$  breakup threshold because  $B(d)$  remains constant. The triton binding energy also increases in this procedure and is found to be larger than  $B(^3\text{He})$  for  $B(^3\text{He}) \approx 12$  MeV [ $\Delta(3) > 0$  as shown by dashed line in Fig. 5], becoming increasingly less bound relative to  $B(^3\text{He})$  as  $B(^3\text{He})$  increases further. This behavior of  $B(^3\text{He})$  is in accord with the qualitative discussion above.

For systems with  $p_{\text{typ}}$  much smaller than  $p_{\text{balance}}$  the opposite behavior is expected. If we assume, for the moment, that  $a_{nn} = a_{pp}^C$ , the above reasoning can be used to shed some light on the situation in the six-body system. In  $^6\text{He}$ , the two halo neutrons are very weakly bound together with an  $\alpha$  core. Of course, it is possible that  $^6\text{He}$  would not be bound if  $a_{nn}$  were reduced to agree with  $a_{pp}^C$ . But, even if  $^6\text{He}$  were bound for this smaller  $a_{nn}$ , the momenta in that bound state are low enough that the mirror nucleus  $^6\text{Be}$  with two “halo” protons will *not* be bound. The  $pp$  interaction is more repulsive for those low momenta—due to Coulomb effects. An explicit calculation at an order in EFT( $\not\hbar$ ) which generates  $^6\text{He}$  as a shallow bound state is required to validate this hypothesis. At present, a leading-order analysis cannot reach this level of accuracy.

## V. CONCLUSION

The Coulomb energy ( $V_C$ ) of the three-nucleon system can be computed reliably with EFT( $\not\hbar$ ) wave functions. In Ref. [30] an NLO EFT( $\not\hbar$ ) computation gave a value of  $660 \pm 30$  keV for  $\langle V_C \rangle$ . In this work we have considered, in addition to Coulomb effects, the impact of the charge-symmetry-breaking NN operators which produce different (strong)  $pp$  and  $nn$  scattering lengths. We carried out the LO EFT( $\not\hbar$ ) calculation for three different cutoffs  $\Lambda = 400, 800,$  and  $1600$  MeV using the modified renormalization-group method. We found a robust correlation between  $B(t) - B(^3\text{He})$  and the difference of scattering lengths  $\Delta(a) := a_{nn} - a_{pp}^C$ . The fact that this correlation is largely independent of the short-distance physics in the NN system indicates that three-nucleon operators do not contribute to this isospin-violating difference of binding energies at leading order.

From the correlation and the experimental values of the trinucleon binding energy difference and  $a_{pp}^C$  we infer

$$a_{nn} = -22.9 \pm 4.1 \text{ fm.} \quad (29)$$

The uncertainty here has been assessed by adding linearly estimates of the impact of higher-order, short-distance operators in the NN system and of neglected long-range effects (e.g., magnetic-moment interactions, as well as the nucleon mass difference). Short- and long-distance effects of higher order appear to contribute roughly equal amounts to the error bar.

The result (29) is due to operators that are first order in isospin breaking, and so first-order perturbation theory with these operators, evaluated between charge-symmetric triton wave functions, could also have been employed (cf. Ref. [39]). Here we performed an assessment of isospin violation in the

Hamiltonian in which the relevant interactions were treated nonperturbatively. Such a calculation is more straightforward technically within the RGM. At the level of accuracy of our calculation, the only operator for which this nonperturbative-perturbative distinction might make a difference would be the  $pp$  Coulomb potential. But, even there, we anticipate that the second-order piece of the  ${}^3\text{He}$  Coulomb energy is of the same size as other effects neglected in this calculation.

The constraint on the neutron-neutron scattering length that was obtained here is consistent with the experimental numbers  $a_{nn} = -18.7 \pm 0.7$  fm (from neutron-deuteron breakup [11,12]) and  $a_{nn} = -18.6 \pm 0.4$  fm (from the  $\pi$   $d$  capture reaction [60,61]). It disagrees at the  $1\sigma$  level with the value for  $a_{nn}$  extracted from  $nd$  breakup by Huhn *et al.* [9,10],  $a_{nn} = -16.1 \pm 0.4$  fm. An approximately 20% uncertainty in our  $a_{nn}$  result occurs due to the use of LO EFT( $\not{\pi}$ ). The inference of  $a_{nn}$  from the binding-energy difference of  ${}^3\text{He}$  and  ${}^3\text{H}$  will need to be improved if it is to provide information on  $a_{nn}$  that refines the results of Refs. [11,12,60,61].

In order to improve the result (29) it will be necessary to compute explicitly higher-order electromagnetic effects and the impact of the proton-neutron mass difference on the binding energies. Next-to-leading order and next-to-next-to-leading order triton wave functions in the charge-symmetric sector [30,31] should be considered. Analysis along the lines of Refs. [54,55] would also be needed to determine the order at which charge-symmetry-breaking three-nucleon operators enter the EFT( $\not{\pi}$ ) calculation.

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## APPENDIX A: NUMERICAL STABILITY

The RGM is used to fit LECs and for predictions in the two- and three-body sector. We present two analyses to estimate the numerical uncertainty: (i) the convergence of a  $B(t)$  calculation with respect to dimension and “quality” of the variational basis for a given set of LECs and (ii) the dependence of  $C_S^{pp}$  on the parameters used to expand and regulate Coulomb functions in a Gaussian basis.

In the first scenario, the interaction is specified through five LECs in Eq. (3):  $C_{S,T}$ ,  $C_S^{nn,pp}$ , and  $C_{3\text{NI}}$ . Predictions for  $B(t)$  will depend on the dimension of the RGM basis,  $D = D_1 + D_2 + D_3$ , and a “wise” choice of width parameters,  $\{\gamma_{ij}, j = 1, \dots, D_i\}$ , for each grouping  $i$ . We consider ourselves wise because the widths are chosen to expand an object of limited size, which is estimated by the deuteron and triton binding energies to be described within a central potential whose range is set by the regulator cutoff  $\Lambda$ . A larger  $\Lambda$  relates to a shorter-range interaction, mandating larger widths  $\gamma_{ij}$  to account for the larger values of the wave function resulting from the deeper well. Simultaneously, the exponential tail has to be modeled accurately by keeping the smaller widths corresponding to the

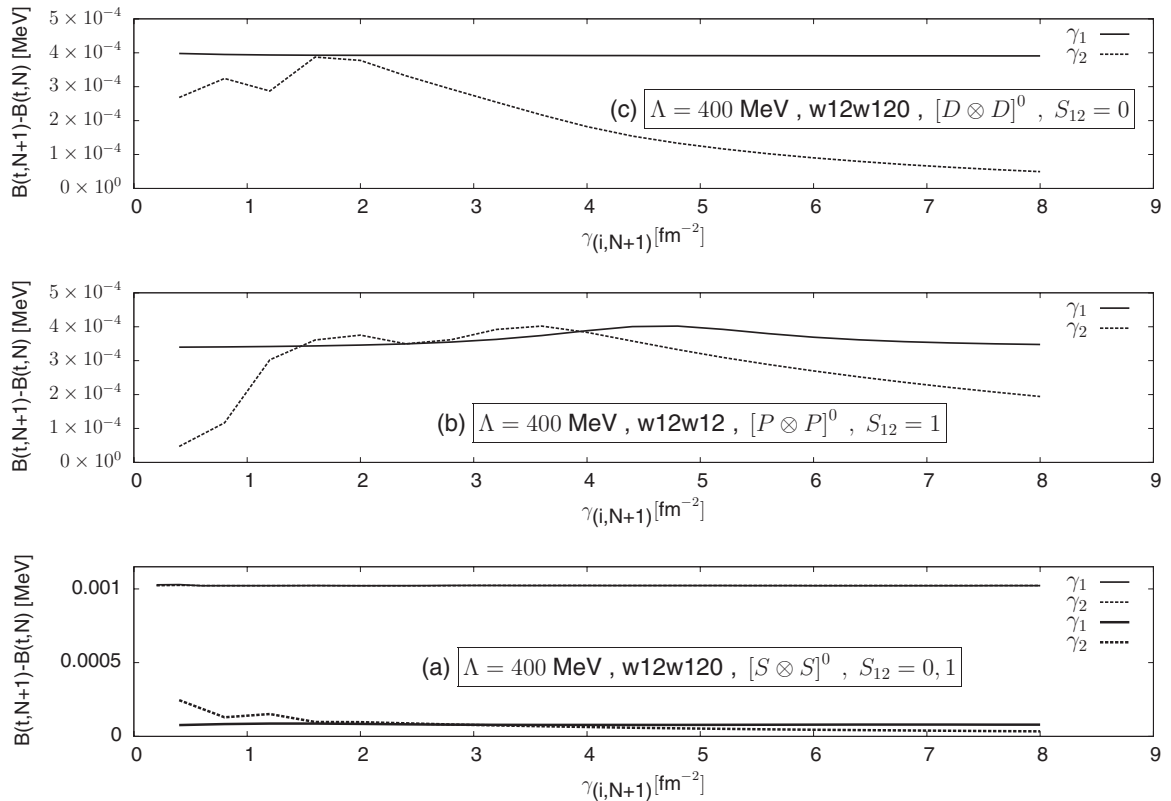


FIG. 6. Difference in  $B(t)$  due to the addition of basis vectors to a reference set w12w12(0). This change is shown in the lowest panel (a) as a function of either of the two width parameters  $\gamma_{1,2}$  for a  $d$ - $n$  ( $d$ - $n$ ) grouping in two  $S$  waves. (b) The change for the nucleons in relative  $P$  waves. (c) The change for the nucleons in relative  $D$  waves.

TABLE II. Uncertainty in the triton binding energy  $\Delta B(t)$  due to the omission of specific basis states; e.g., an addition of vectors of the deuteron-neutron grouping with particles in relative  $S$  waves and support from approximately  $1/\sqrt{8}$  fm to infinity will change  $B(t)$  by less than 0.0011 MeV.

Cluster $[s_n \otimes s_p]^{S_{12}}$	Orbital angular momentum $[l_1 \otimes l_2]^L$	Gaussian	$\Delta B(t)$ (MeV)
$d$ - $n$ $S_{12} = 1$	$[0 \otimes 0]^0$	$\gamma_{1,2} \in [0, 8] \text{ fm}^{-2}$	0.0011
$\bar{d}$ - $n$ $S_{12} = 0$	$[0 \otimes 0]^0$	$\gamma_{1,2} \in [0, 8] \text{ fm}^{-2}$	0.0003
	$[1 \otimes 1]^0$		0.0003
	$[2 \otimes 2]^0$		0.0003

longer-range part. In essence, larger  $\Lambda$ s require larger bases but do not pose an in-principle limitation for the application of the RGM. To assess whether a certain variational basis expands the triton accurately, the supposedly complete basis,  $\{|i\rangle, i = 1, \dots, D_i\}$  with  $D_{1,2} = 60$  and  $D_3 = 0$ , is extended by five vectors, all taken from the dominant grouping—which, for the triton, is the deuteron-neutron one. In the new,  $[D' = (D_1 + 5) + D_2 + D_3]$ -dimensional space, the triton binding energy is calculated as a function of one width parameter, either  $\gamma_1$  for the deuteron fragment or  $\gamma_2$  for the separation of the neutron from the deuteron. Figure 6 displays the graph of the function  $f(\gamma_i) = B(t, D') - B(t, D)$ , with  $B(t, X)$  being the smallest eigenvalue of the system

$$\langle \phi_m | \hat{H} | \phi_n \rangle = E \langle \phi_m | \phi_n \rangle, \quad (\text{A1})$$

with indices  $m, n$  specifying the  $X$  variational parameters  $c_{ij}$  in Eq. (18). The numerical uncertainties due to the finite basis are summarized in Table II. In conclusion, a total uncertainty in the three-body binding energy  $B(3)$ —where the numbers are of the same order of magnitude for  ${}^3\text{He}$ —due to the truncation of the variational basis of

$$\Delta (\text{RGM}) = \pm 1.5 \text{ keV} \quad (\text{A2})$$

is assigned to this analysis. This value is markedly less than the naive 10% LO EFT( $\not\chi$ ) of  $B(t, \text{exp})$ , and hence the 120-dimensional basis is sufficient for the accuracy of this order.

The LEC values depend on the loop regulator  $\Lambda$  and on the RGM basis that spans the space in which they are fitted to data. For a cutoff variation at  $\Lambda > m_\pi$  and a modification of the basis using states with support only for particle separations less than approximately  $1/m_\pi$ , both dependencies reflect a modification of nonobservable high-energy modes absorbed in the LECs. However, the dependency on the model space is sought to be minimal, so as to allow application of and comparison with the LEC values found using other numerical methods. To assess this uncertainty, the 20-dimensional  $S$ -wave two-body basis used throughout this work was refined in two ways:

- (i) add one basis state and determine  $C_S^{pp}$  as a function of the width parameter;
- (ii) determine  $C_S^{pp}$  as a function of the regulator parameter used for the irregular Coulomb function.

In both cases, the change in the LEC was  $-0.01 < \frac{\Delta C_S^{pp}}{C_S^{pp}} < 0.001$ , and the resulting effect on two- and three-body observables relevant for this work was small relative to the anticipated leading-order EFT( $\not\chi$ ) accuracy.

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