Isospin mixing and the continuum coupling in weakly bound nuclei

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The isospin-breaking effects due to the Coulomb interaction in weakly bound nuclei are studied using the Gamow shell model, a complex-energy configuration-interaction approach which simultaneously takes into account many-body correlations between valence nucleons and continuum effects. We investigate the near-threshold behavior of one-nucleon spectroscopic factors and the structure of wave functions along an isomultiplet. Illustrative calculations are carried out for the T = 1 isobaric triplet. By using a shell-model Hamiltonian consisting of an isoscalar nuclear interaction and the Coulomb term, we demonstrate that for weakly bound or unbound systems the structure of isobaric analog states varies within the isotriplet and impacts the energy dependence of spectroscopic factors. We discuss the partial dynamical isospin symmetry present in isospin-stretched systems, despite the Coulomb interaction that gives rise to large mirror symmetry-breaking effects.

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I. INTRODUCTION

The charge independence of nuclear force gives rise to isospin symmetry [1,2] and the formalism of isotopic spin has proven to be a very powerful concept in nuclear physics [3]. While useful, isospin symmetry is not perfectly conserved. On the hadronic level, isospin is weakly violated due to the difference in the masses of the up and down quarks [4–6]. The main source of isospin breaking in atomic nuclei lies, however, in the electromagnetic interaction [7].

The members of a nuclear isomultiplet, in particular mirror nuclei, provide a unique playground for studying isospin physics. The invariance under rotations in isospin space implies that energies of excited states in an isomultiplet should be identical; the deviations are usually attributed to the Coulomb force [3,8-11]. However, for nuclear states close to or above the reaction thresholds, the isospin breaking can be modified by the coupling to the particle continuum. Here, a spectacular example is the Thomas-Ehrman (TE) effect [12-14] that occurs when one of the mirror states is unstable against particle emission due to a large asymmetry between proton and neutron emission thresholds. The resulting TE energy shifts strongly depend on the angular momentum content of the nuclear state and can be fairly large for low partial waves [15,16].

The TE effect has also a direct consequence for the structure of mirror wave functions [17–20]. Indeed, for near-threshold states, the configuration mixing involving scattering states strongly depends on (i) positions of particle emission thresholds in mirror systems (the binding energy effect) [21] and (ii) different asymptotic behavior of neutron and proton wave functions. The latter leads to the universal behavior of cross sections [22,23] and spectroscopic factors (SFs) [24,25] in the vicinity of a reaction threshold.

Recently, SFs and asymptotic normalization coefficients have been discussed in mirror systems within cluster approaches [19,20], and strong mirror symmetry-breaking in mirror SFs has been predicted. The main focus of this work is on the isospin mixing and mirror symmetry-breaking in the isobaric analog states (IAS) of light nuclei. We show how the different asymptotic behaviors within an isomultiplet and the isospin-nonconserving (INC) Coulomb interaction impact wave functions of IASs and resulting SFs. Our theoretical framework is the complex-energy continuum shell model, the Gamow Shell model (GSM) [26–29]. GSM is a configurationinteraction approach with a single-particle (s.p.) basis given by the Berggren ensemble [30] which consists of Gamow (bound and resonance) states and the nonresonant scattering continuum.

This article is organized as follows. Section II presents the details of the GSM calculations, with a particular focus on the treatment of the Coulomb potential and the recoil term. SFs in IASs are discussed in Sec. III. Therein, we study the dependence of SFs on the position of one- and two-particle thresholds. Our calculations are performed for prototypical T = 1 isotriplets consisting of $J^{\pi} = 0^+$ and 2^+ IASs in ⁶He, ⁶Li, and ⁶Be. To remove the binding energy effect, we assume *identical* 1n/1p emission thresholds. In this way, we isolate the effect of the continuum coupling on isospin mixing and study it in the vicinity of proton and neutron drip lines. The results for ⁶He, ⁶Li, and ⁶Be are discussed in Sec. IV by considering experimental and predicted one-particle thresholds. We point out that the conservation of isospin in the low-lying states of ⁶Be can be explained in terms of the partial dynamical isospin symmetry present in the GSM wave functions of this isospin-aligned system. Finally, the conclusions are contained in Sec. V.

II. THE MODEL

The GSM Hamiltonian is diagonalized in the many-body Slater determinants spanned upon the Berggren s.p. basis. The many-body resonant states of GSM obey the generalized variational principle [31]; they are obtained using the generalized Davidson procedure that has been developed analogously to the generalized Lanczos procedure in the context of GSM (see Refs. [26,29] for details).

We assume in the following that the nucleus can be described as a system of n_{π} valence protons or n_{ν} valence neutrons evolving around a closed core. Since our discussion concerns the isobaric triplet ⁶He-⁶Li-⁶Be, we take ⁴He as a core. Consequently, the nuclei ⁵He and ⁵Li can be considered as one-particle systems, and ⁶He, ⁶Li, and ⁶Be can be considered as two-particle systems. In calculations involving ⁵He and ⁶He, the s.p. basis is generated by a Woods-Saxon (WS) potential with the radius $R_0 = 2$ fm, diffuseness d = 0.65 fm, and spin-orbit strength $V_{so} = 7.5$ MeV. The depth of the central potential V_0 has been varied to move the binding energy of a one-neutron system, ⁵He (i.e., the one-neutron threshold). For $V_0 = 47$ MeV (the ⁵He parameter set), this potential reproduces energies and widths of experimental $3/2_1^-$ and $1/2_1^-$ resonances in ⁵He.

The GSM results should be free from spurious centerof-mass (c.m.) motion. To cope with this problem in our GSM approach, we adopt a system of intrinsic nucleon-core coordinates inspired by the cluster orbital shell model (COSM) [32,33]. In the COSM coordinates, the translationally invariant GSM Hamiltonian can be written as

$$H = \sum_{i=1}^{n_{\pi}+n_{\nu}} \left[\frac{p_i^2}{2\mu} + U_i \right] + \sum_{i$$

where μ is the reduced mass of the nucleon + core system, U_i is the one-body WS potential representing the field of the core, V_{ij} is the two-body residual interaction between valence nucleons, and the two-body term $A_c^{-1} p_i p_j$, with A_c being the mass of the core, takes into account the recoil of the active nucleons.

The modified finite-range surface Gaussian interaction (MSGI) used in this study is a variant of the finite-range surface Gaussian interaction (SGI) [28]. To discuss the motivation behind MSGI, we begin with the definition of the two-body residual interaction SGI:

$$V_{J,T}^{\text{SCI}}(\mathbf{r}_{1}, \mathbf{r}_{2}) = V_{0}(J, T) \exp\left[-\left(\frac{\mathbf{r}_{1} - \mathbf{r}_{2}}{\mu_{I}}\right)^{2}\right] \delta(r_{1} + r_{2} - 2R_{0})$$

$$= V_{0}(J, T) \sum_{\ell=0}^{+\infty} \exp\left(-\frac{r_{1}^{2} + r_{2}^{2}}{\mu_{I}^{2}}\right) \delta(r_{1} + r_{2} - 2R_{0})$$

$$\times i^{\ell} (2\ell + 1) j_{\ell} \left(\frac{2r_{1}r_{2}}{i\mu_{I}^{2}}\right) \mathbf{Y}_{\ell}(\hat{r}_{1}) \cdot \mathbf{Y}_{\ell}(\hat{r}_{2}), \qquad (2)$$

where μ_I is the interaction range; $V_0(J, T)$ is the strength of the interaction, which depends on the total angular momentum J and isospin T; R_0 is the radius of the one-body Woods-Saxon potential; and $\hat{r} = r/r$.

The contact term represented by the Dirac δ function in Eq. (2) generates unwanted divergences in momentum space analogous to those present for zero-range interactions. To rectify this problem, we replace the radial form factors of the multipole expansion of SGI by separable terms, chosen independently of ℓ for simplicity. With this choice, the modified interaction MSGI reads

$$V_{J,T}^{\text{MSGI}}(\mathbf{r_1}, \mathbf{r_2}) = V_0(J, T) \exp\left[-\left(\frac{r_1 - R_0}{\mu_I}\right)^2\right] \exp\left[-\left(\frac{r_2 - R_0}{\mu_I}\right)^2\right] \times F(R_0, r_1)F(R_0, r_2) \sum_{\ell=0}^{\ell_{\text{max}}} \mathbf{Y}_{\ell}(\hat{r}_1) \cdot \mathbf{Y}_{\ell}(\hat{r}_2), \quad (3)$$

where

$$F(R_0, r) = \left[1 + \exp\left(\frac{r - 2R_0 + r_f}{\mu_F}\right)\right]^{-1}$$

(with $r_F = 1$ fm and $\mu_F = 0.05$ fm) is a Fermi function that makes MSGI practically vanish at $r > 2R_0$.

The surface character of MSGI is incorporated through the Gaussians centered at R_0 . Due to the separability of the radial form factors and the presence of the radial Fermi cutoff, two-body radial matrix elements of MSGI are products of onedimensional integrals that are nonzero only for $0 < r < 2R_0$; hence, they are as easy to calculate as the radial integrals of SGI [28]. The range of MSGI is fixed at $\mu_I = 1$ fm. The coupling constants $V_0(J, T)$ are adjusted to the binding energies' ground states (g.s.) and the first 2⁺ states of ⁶He and ⁶Be. It is important to point out that the two-body nuclear GSM interaction of Eq. (3) is isoscalar by construction. That is, in our work, we do not address the question of INC nuclear forces.

The valence space for neutrons and protons consists of all partial waves of angular momentum $\ell = 0$, 1, and 2. Consequently, the orbital angular momentum cutoff in Eq. (3) is $\ell_{\text{max}} = 2$. The $p_{3/2}$ wave functions include a $0p_{3/2}$ resonant state and $p_{3/2}$ nonresonant scattering states along a complex contour enclosing the $0p_{3/2}$ resonance in the complex k plane. For the remaining partial waves, that is, $s_{1/2}$, $p_{1/2}$, $d_{3/2}$, and $d_{5/2}$, we take the nonresonant contour along the real-k axis (the broad $0p_{1/2}$ resonant state plays a negligible role in the g.s. wave function of ⁶He and ⁶Be). For all contours, the maximal momentum value is $k_{\text{max}} = 4 \text{ fm}^{-1}$. The contours have been discretized with up to 80 points.

In calculations for systems having valence protons, one has to consider explicitly the Coulomb interaction. For ⁵Li, it is represented by a one-body Coulomb potential of ⁴He. In principle, one could approximate it by a Coulomb potential of a uniformly charged sphere of radius R_0 . However, such a potential is inconvenient to use because of its nonanalytic behavior at R_0 . Therefore, we use the dilatation-analytic form of the Coulomb potential $U_c^{(Z)}$ [34–36], generated by a Gaussian proton density:

$$U_c^{(Z)}(r) = Ze^2 \frac{\operatorname{erf}(r/\nu_c)}{r}.$$
(4)

In this equation, $v_c = 4R_0/(3\sqrt{\pi})$, where R_0 is the radius of the WS potential, and Z is the number of protons of the target,

for example, Z = 2 for the "proton + ⁴He core" system. This choice of R_0 assures that the Coulomb potential given by Eq. (4) and the uniformly charged sphere potential are equal at r = 0.

The nucleus ⁶Be has two valence protons outside the ⁴He core. Consequently, the two-body Coulomb interaction V_c has to be considered. Unfortunately, the calculation of the two-body matrix elements of V_c in a basis generated by the one-body part of the GSM Hamiltonian is impractical because of difficulties associated with computing two-dimensional integrals with the complex scaling method for resonant and scattering basis states. A more practical procedure can be developed if one notices that at large distances the Coulomb term $U_c^{(2)} + V_c$ must behave as $U_c^{(3)}(r) \sim 3e^2r^{-1}$. Consequently, since $U_c^{(2)}$ is additive in Z, one can rewrite the Coulomb interaction in the ⁶Be Hamiltonian as $U_c^{(3)} + (V_c - U_c^{(1)})$. The short-range character of the operator $V_c - U_c^{(1)}$ suggests using a method that consists of expanding two-body operators in a truncated basis of harmonic oscillator (HO) states [37]:

$$V_{c} - U_{c}^{(1)} \simeq \left[V_{c} - U_{c}^{(1)}\right]^{(N)}$$

$$= P_{N} \left[V_{c} - U_{c}^{(1)}\right] P_{N}$$

$$= \sum_{\alpha\beta\gamma\delta}^{N} |\alpha\beta\rangle\langle\alpha\beta|V_{c} - U_{c}^{(1)}|\gamma\delta\rangle\langle\gamma\delta|, \qquad (5)$$

where Greek letters label HO states, N is the number of HO states used in a given partial wave, and P_N is a projector:

$$P_N = \sum_{\alpha\beta}^N |\alpha\beta\rangle \langle \alpha\beta|.$$
 (6)

To justify the approximation stated in Eq. (5), let us consider a normalizable two-body eigenstate $|\Psi\rangle$. $|\Psi\rangle$ can be either bound or resonant because resonant states become integrable when complex scaling is applied to radial coordinates [38]. In this case, $|\Psi\rangle$ can be expanded in the HO basis used in Eq. (5). According to Eq. (6), $P_N |\Psi\rangle \rightarrow |\Psi\rangle$ when $N \rightarrow +\infty$. Hence, the matrix elements of the operator $[V_c - U_c^{(1)}]^{(N)}$ involving two-body normalizable states converge to those of $V_c - U_c^{(1)}$ when $N \rightarrow +\infty$, that is, $\langle \Psi_f | [V_c - U_c^{(1)}]^{(N)} | \Psi_i \rangle \rightarrow \langle \Psi_f | V_c - U_c^{(1)} | \Psi_i \rangle$. The latter equality is independent of the basis used to expand $|\Psi_i\rangle$ and $|\Psi_f\rangle$. In particular, one can use the Berggren basis for this purpose. The short-range character of the operator $V_c - U_c^{(1)}$ implies that $\langle \Psi_f | [V_c - U_c^{(1)}]^{(N)} | \Psi_i \rangle$ should converge rapidly with N. This argument can be easily generalized for many-body wave functions with more than two particles.

The matrix elements in Eq. (5) can be calculated efficiently using the Brody-Moshinsky transformation. The computation of one-body overlap integrals between the Berggren basis and HO states is straightforward, as these always converge along the real axis due to the Gaussian tail of HO states; hence, no complex scaling is needed. The recoil term in Eq. (1) can be treated in the same way as the Coulomb interaction, that is, by expanding p_i in a HO basis [37]. The attained precision of calculations on energies and widths is better than 0.2 keV for calculations without recoil and Coulomb terms, and it is around 1 keV for the full GSM scheme. It has to be noted that because our model involves a core, our treatment of the Coulomb interaction is not exact. In particular, we neglect the contribution to the exchange term arising from the core protons. We also ignore other known charge symmetry-breaking electromagnetic terms such as the Coulomb spin-orbit interaction.

III. SPECTROSCOPIC FACTORS IN ISOBARIC ANALOG STATES

The SF in the GSM framework is given by the real part of the squared norm S^2 of the overlap integral between the initial and final state in the reaction channel [24,25]. The imaginary part of S^2 , which is an uncertainty of Re(S^2), vanishes if both states in nuclei A and A - 1 are bound. Using a decomposition of the s.p. channel (ℓ , j) in the complete Berggren basis, one obtains

$$S^{2} = \sum_{\mathcal{B}} \int \langle \widetilde{\Psi_{A}^{J_{A}}} \big| |a_{\ell j}^{+}(\mathcal{B})| \big| \Psi_{A-1}^{J_{A-1}} \big\rangle^{2}, \tag{7}$$

where $a_{\ell j}^+(B)$ is a creation operator associated with a s.p. basis state $|u_B\rangle$ and the tilde symbol above bra vectors signifies that the complex conjugation arising in the dual space affects only the angular part and leaves the radial part unchanged. Because Eq. (7) involves summation over all discrete Gamow states and integration over all scattering states along the complex contour, the final result is independent of the s.p. basis assumed. This feature is crucial for loosely bound states and near-threshold resonances, where the coupling to the nonresonant continuum can no longer be neglected. Indeed, the contribution of the scattering continuum to SFs can be as large as 25% in such cases [24,25].

In the context of this study, the direct use of Eq. (7) is impractical when assessing effects related to the configuration mixing. Indeed, because of the presence of reduced matrix elements, $S^2 \neq 1$ in the absence of many-body correlations, and its value depends on j, J_{A-1} , and J_A . Hence, we choose to renormalize S^2 by dividing it by the extreme s.p. value (obtained by neglecting two-body interactions). Within this convention, $S^2 = 1$ if configuration mixing is absent.

The SFs for the two-neutron (⁶He) and two-proton (⁶Be) g.s. configurations considered in our work correspond to the [⁵He(g.s.) $\otimes \nu p_{3/2}$]⁰⁺ and [⁵Li(g.s.) $\otimes \pi p_{3/2}$]⁰⁺ channels, respectively. For the T = 1 IASs in ⁶Li, we consider two channels: [⁵He(g.s.) $\otimes \pi p_{3/2}$]⁰⁺ and [⁵Li(g.s.) $\otimes \nu p_{3/2}$]⁰⁺.

A. Stability of HO expansion

The quality of the HO expansion of Eq. (5) has been numerically checked for both the Coulomb interaction and recoil term. Figure 1 displays the convergence with respect to the number of HO states used in the expansion for the total energy, width, and SF (real and imaginary part) of g.s. configurations in ⁶Be and ⁶He. For ⁶Be, the results obtained by assuming the inert core [no recoil, that is, $A_c = +\infty$ in Eq. (1)] are also presented. It is seen that with nine HO states per partial wave, one obtains excellent convergence for both energies and wave functions, the latter being represented by SFs. For the complex energy, the associated numerical error is

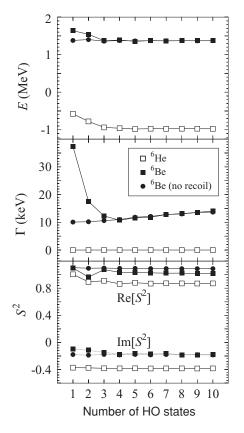


FIG. 1. The numerical check of the HO expansion (5) for the total energy (top), width (middle), and spectroscopic factor (bottom) for g.s. configurations of ⁶Be (solid symbols) and ⁶He (open squares). The GSM predictions are plotted as the number of HO states used in the expansion. The HO length is b = 2 fm [37].

of the order of 2 keV, and this is well below other theoretical uncertainties of the model.

B. Threshold dependence of spectroscopic factors

The GSM SFs for ⁶He and ⁶Be are shown in the left column of Fig. 2. The results are plotted as a function of one-nucleon separation energy (S_{1n} for ⁶He and S_{1p} for ⁶Be) for three different values of the one-particle threshold energy E_T (i.e., negative of one-nucleon separation energy) in one-nucleon systems: ⁵He and ⁵Li.

For the bound A = 5 systems ($E_T = -1.5$ MeV), the SFs in ⁶He and ⁶Be are different in the whole range of separation energies considered. The difference of the SFs reach the maximum at the one-nucleon emission threshold. As the separation energy increases (both nuclei become more particle bound), both SFs slowly approach the value of 1, as expected from simple shell-model considerations [24]. A characteristic irregularity in the $\ell = 1$ neutron SF at the neutron emission threshold of ⁶He is the Wigner cusp. The cusp is absent in the mirror system ⁶Be as a result of the different asymptotic behavior of the proton wave function [22].

The energy dependence of SFs changes if the A = 5 system happens to be at the particle emission threshold ($E_T = 0$) or is unbound ($E_T = 0.5$ MeV). In both situations, a significant

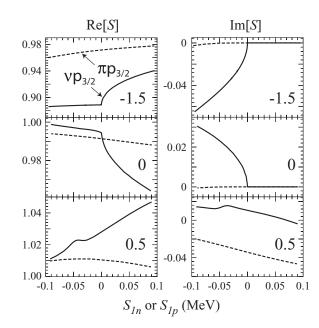


FIG. 2. The spectroscopic factor $S = \sqrt{S^2}$, that is, the real and imaginary parts of the square root of the overlap integral (7) corresponding to $\langle {}^{6}\text{He}(g.s.)|[{}^{5}\text{He}(g.s.) \otimes \nu p_{3/2}]^{0^+} \rangle$ (solid line) and $\langle {}^{6}\text{Be}(g.s.)|[{}^{5}\text{Li}(g.s.) \otimes \pi p_{3/2}]^{0^+} \rangle$ (dashed line) as a function of one-nucleon separation energy $(S_{1n} \text{ for } {}^{6}\text{He} \text{ and } S_{1p} \text{ for } {}^{6}\text{Be})$ for three different values of one-particle threshold energy $E_T = -1.5$, 0, and 0.5 MeV in A = 5 systems (indicated at the right of each panel).

difference of SFs in mirror states is seen in particle stable (positive S_{1n} or S_{1p}) A = 6 systems. One may also notice that the Wigner cusp disappears altogether if ⁵He becomes unbound (cf. $E_T = 0.5$ MeV variant in Fig. 2). It is interesting to notice that SFs can be greater than 1 if the state of the A - 1system is particle unstable. This unusual situation (see, e.g., Re(S) in ⁶He for $E_T = +0.5$ MeV) is subsequently discussed.

The imaginary part of the expectation value of an operator in a resonant state can be interpreted as the uncertainty in the determination of this expectation value due to the possibility of decay during the measuring process [39–42]. Figure 2 (right column) shows the uncertainty Im(*S*) of SFs displayed in Fig. 2 (left column). The uncertainty vanishes if the wave functions in both A = 6 and A = 5 systems are bound with respect to the particle emission. Note that in Fig. 2, the appearance of Re(*S*) > 1 cannot be fully explained by the Im(*S*) plot. Indeed, for ⁶He at $E_T = 0.5$ MeV and $0.05 < S_{1n} < 0.1$ MeV, Re(*S*) > 1 corresponds to $|\text{Im}(S)| \simeq 0$.

For the $J^{\pi} = 0^+$ IAS in ⁶Li, we consider two different SFs for the $(\ell, j) = p_{3/2}$ channel, associated with adding a proton to ⁵He or a neutron to ⁵Li (see Fig. 3). They are plotted in Fig. 3 as a function of one-proton (or one-neutron) separation energy. The channel wave functions $|[^5\text{He}(g.s.) \otimes \pi p_{3/2}]^{0^+}\rangle$ and $|[^5\text{Li}(g.s.) \otimes \nu p_{3/2}]^{0^+}\rangle$ are obviously not orthogonal, as they both share the dominant $|[^4\text{He}(g.s.) \otimes \pi 0 p_{3/2} \otimes \nu 0 p_{3/2}]^{0^+}\rangle$ component. The two considered SFs for ⁶Li differ only by continuum couplings induced in the proton and neutron channels. Comparing Figs. 2 and 3, one can see $p_{3/2}$ proton and neutron SFs factors are very similar in both cases. Still, slight differences are present. For instance, at $E_T = 0.5$ MeV,

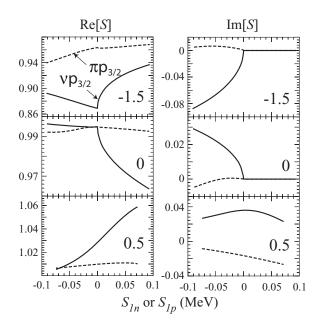


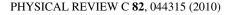
FIG. 3. Same as in Fig. 2 except for $\langle {}^{6}\text{Li}(T = 1)|[{}^{5}\text{Li}(g.s.) \otimes \nu p_{3/2}]^{0^+} \rangle$ (solid line) and $\langle {}^{6}\text{Li}(T = 1)|[{}^{5}\text{He}(g.s.) \otimes \pi p_{3/2}]^{0^+} \rangle$ (dashed line) as a function of one-nucleon separation energy (S_{1n} for the former and S_{1p} for the latter) for three different values of one-particle threshold energy $E_T = -1.5$, 0, and 0.5 MeV in A = 5 systems. The proton and neutron threshold energies are assumed to be identical.

small irregularities seen in ⁶He SFs are absent in the neutron SF for ⁶Li. A close inspection of proton SFs for ⁶Li reveals the presence of threshold cusps at zero separation, absent in the ⁶Be case. This effect can be explained in terms of the channel coupling, or flux conservation [24,25]. Indeed, since in our model calculations both proton and neutron channels open at threshold energy, the coupling between proton and neutron channels can generate nonanalyticities in proton SFs, even though Wigner estimates for proton cross sections are analytical at the threshold energy.

To study the sensitivity of results to the CM treatment, we carried out a set of calculations assuming the inert core (no recoil). The results are practically identical to those of Figs. 2 and 3. The only noticeable difference is the absence of a small fluctuation at $S_{1n} \approx -0.05$ MeV seen in the real and imaginary parts of SF for ⁶He.

Another reason for the occurrence of Re(S) > 1 in some cases, is the interplay between a final state $\Psi_{A-1}^{J_{A-1}} \equiv \Psi_{A-1;R}^{J_{A-1}}$ (the many-body resonance) and states of the nonresonant scattering continuum { $\Psi_{A-1;c}^{J_{A-1}}$ } with energies close to the resonance energy [39]. The contributions to SFs S_c in ⁶He and ⁶Be coming from the nonresonant continuum are shown in Figs. 4 and 5 together with contributions from resonant states.

In all situations, the contribution of the Gamow resonance to $\operatorname{Re}(S^2)$ is dominant. It is interesting to note that the impact of the nonresonant continuum does depend on E_T and S_{1p}/S_{1n} . For $E_T \leq 0$ and $S_{1p}/S_{1n} \geq 0$, that is, for A = 5 and A = 6bound ground states, the nonresonant continuum contribution is basically negligible. This is also the case for ⁶Be when $E_T \leq$ 0 and $S_{1p}/S_{1n} < 0$, that is, for a bound ⁵Li but an unbound ⁶Be. However, when either the A = 5 g.s. is unbound $(E_T > 0)$ or



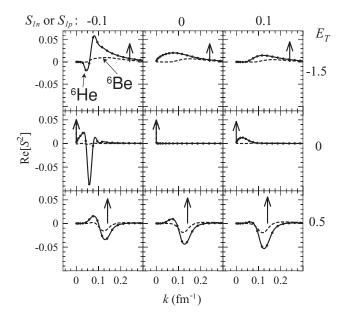


FIG. 4. The distribution of the real part of $\text{Re}(S_c^2)$ in ⁶He (solid line) and ⁶Be (dashed line) with respect to the $3/2^-$ scattering states of the ⁵He and ⁵Li systems, ordered according to their real-*k* value. The calculations were performed for three different values of one-particle threshold energy $E_T = -1.5$ MeV (top), 0 (middle), and 0.5 MeV (bottom) in A = 5 systems. The arrows indicate contributions from resonant states. To facilitate presentation, the pole contributions were multiplied by a scaling factor of 0.05.

 $E_T \leq 0$ and $S_{1p}/S_{1n} < 0$ for ⁶He, that is, when ⁶He is unbound with respect to a bound ⁵He, the nonresonant continuum plays a significant role in both real and imaginary parts. In particular, when $E_T > 0$ and $S_{1p}/S_{1n} \geq 0$, the contribution

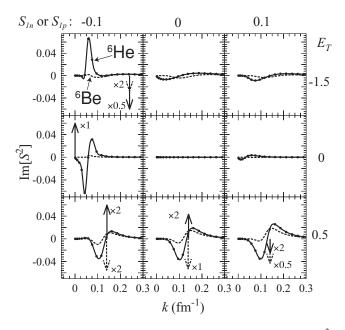


FIG. 5. Same as in Fig. 4, except for the imaginary part of $\text{Im}(S_c^2)$. The arrows indicate contributions from $0p_{3/2}$ resonant states if those are not negligible. To facilitate presentation, the pole contributions were multiplied by the scaling factors indicated in the figure.

from the nonresonant continuum $\operatorname{Re}(S_c^2)$ becomes negative, which translates into a value of $\operatorname{Re}(S^2)$ that exceeds 1. One can also see that $\operatorname{Im}(S_c^2)$ is comparable to $\operatorname{Re}(S_c^2)$, even though this does not occur every time $\operatorname{Re}(S^2) > 1$. The lesson learned from this discussion is that the SF obtained by considering the many-body resonance only may often be a poor approximation to the total SF, which can contain appreciable nonresonant contributions.

IV. ISOSPIN MIXING IN 6He, 6Li, AND 6Be

So far, we have discussed the prototypical T = 1 multiplet ⁶He, ⁶Li, and ⁶Be with equal proton and neutron separation energies to study the effect of different asymptotic behavior on the configuration mixing in the vicinity of one-nucleon thresholds. In a realistic situation, however, particle emission thresholds change within the isotriplet due to the Coulomb interaction. To assess this effect, we shall now apply the GSM to describe spectra and SFs for the 0^+ ground states and first excited 2⁺ states of ⁶He and ⁶Be and the IASs in ⁶Li. In calculations involving ⁵He and ⁶He, we use the ⁵He WS parameter set and the MSGI interaction with the following strengths: $V_0(J = 0, T = 1) = -15.193 \text{ MeV fm}^3$ and $V_0(J = 2, T = 1) = -12.505$ MeV fm³. For ⁶He, this Hamiltonian yields $E_{0^+} = -0.974$ MeV, $E_{2^+} = +0.823$ MeV, and $\Gamma_{2^+} = +89$ keV. The experimental values are very close: $E_{0^+}^{(exp)} = -0.973$ MeV, $E_{2^+}^{(exp)} = +0.824$ MeV, and $\Gamma_{2^+}^{(exp)} =$ +113 keV. All binding energies are given relative to the binding energy of the ⁴He core.

In the case of ⁶Li and ⁶Be, we carry out calculations in two variants. In variant V1, we take the same WS potential as for the He isotopes. Here, isospin is explicitly broken by the one-body Coulomb potential and the two-body Coulomb interaction between valence protons. In variant V2, the depth of the WS potential has been changed to 47.563 MeV, to obtain an overall agreement for the binding energies and widths of $3/2_1^-$ and $1/2_1^-$ resonances in ⁵Li and the 0⁺ g.s. of ⁶Be. The readjustment of the one-body potential in V2 is supposed to account for the impact of the missing Coulomb terms; see discussion at the end of Sec. II. In both variants, MSGI strengths are the same as in the He calculation.

The predicted g.s. energy of ⁶Be, $E_{0^+} = 1.653$ MeV and $\Gamma_{0^+} = 41$ keV in V1 and $E_{0^+} = 1.371$ MeV and $\Gamma_{0^+} =$

14 keV in V2, is close to experiment: $E_{0^+}^{(\exp)} = 1.371$ MeV and $\Gamma_{0^+}^{(\exp)} = 92$ keV. For the first 2^+ state, we obtain $E_{2^+} = 2.887$ MeV and $\Gamma_{2^+} = 0.986$ MeV in V1 and $E_{2^+} =$ 2.679 MeV and $\Gamma_{2^+} = 0.804$ MeV in V2. The experimental energy is $E_{2^+}^{(\exp)} = 3.041$ MeV, $\Gamma_{2^+}^{(\exp)} = 1.16$ MeV.

Turning to the 0⁺ IAS of ⁶Li, the predicted energy is $E_{0^+} = 0.0866$ MeV and $\Gamma_{0^+} = 8.85 \times 10^{-3}$ keV in V1 and $E_{0^+} = -0.0706$ MeV and $\Gamma_{0^+} = 9.13 \times 10^{-3}$ keV in V2. This is fairly close to the experimental value ($E_{0^+}^{(exp)} =$ -0.136 MeV, $\Gamma_{0^+}^{(exp)} = 8.2$ eV). For the 2⁺ IAS in ⁶Li, we obtain $E_{2^+} = 1.667$ MeV and $\Gamma_{2^+} = 0.404$ MeV in V1 and $E_{2^+} = 1.569$ MeV and $\Gamma_{2^+} = 0.329$ MeV in V2. Both variants are in a very reasonable agreement with the experimental energy: $E_{2^+}^{(exp)} = 1.667$ MeV and $\Gamma_{2^+}^{(exp)} = 0.541$ MeV.

The corresponding g.s. SFs for ⁶He and ⁶Be (in V1) are $S^2 = 0.87 - i0.383$ and 1.015 - i0.147, respectively, while for ⁶Li, they are 1.061 - i0.280 for $\pi p_{3/2}$ and 0.911 - i0.361for $\nu p_{3/2}$. For the 2_1^+ state, the SFs are $S^2 = 1.061 + i0.0011$ for ⁶He, 0.973 - i0.0142 for ⁶Be, $0.987 - i3.26 \times 10^{-3}$ for ${}^{6}\text{Li} (\pi p_{3/2})$, and 1.034 - i0.0235 for the ${}^{6}\text{Li} (\nu p_{3/2})$. Despite the fact that both real and imaginary energies in V1 and V2 are slightly different, the SFs for ⁶Be in V2 are very close to those obtained in V1. Namely, $S^2 = 1.015 - i0.177$ for the g.s. and $S^2 = 0.978 - i0.016$ for the 2^+_1 state. The V2 values of SFs in the 0⁺ state of ⁶Li are $S^2 = 1.028 - i0.300 (\pi p_{3/2})$ and $S^2 =$ $0.898 - i0.369(\nu p_{3/2})$, while for the $T = 1.2^+$ state they are $S^2 = 0.993 - i3.190 \times 10^{-3} (\pi p_{3/2})$ and $S^2 = 1.043 - i0.0224$. This can be seen from Table I by comparing the corresponding GSM wave function amplitudes for ⁶Be (columns 4 and 5) and ⁶Li (columns 6 and 7).

While their mean values differ by about 15%, considering large imaginary parts, the SFs predicted for the $J^{\pi} = 0^+$ IASs of the isotriplet agree within calculated uncertainty. However, by examining the GSM wave function amplitudes displayed in Table I, one notes that SFs, being integrated measures, do not tell the whole story. The main effect of the Coulomb interaction is the change in distribution of the $(0p_{3/2})^2$ and $(S1)_{p_{3/2}}$ g.s. components, the latter involving one particle in the nonresonant $p_{3/2}$ continuum. As a result, a rather different interference pattern between the resonant $0p_{3/2}$ state and the nonresonant continuum is predicted for ⁶He and ⁶Be, and between resonant and nonresonant states of a different type (proton or neutron) in ⁶Li.

TABLE I. Squared GSM amplitudes of the $J^{\pi} = 0^+$ IASs of the isotriplet ⁶He, ⁶Li, and ⁶Be. The symbols S1 and S2 indicate configurations with one and two particles in the nonresonant continuum, respectively. The results for ⁶He assuming a rigid ⁴He core are shown in the third column.

$(C_k)^2$	⁶ He	⁶ He (rigid core)	⁶ Be (V1)	⁶ Be (V2)	⁶ Li (V1)	⁶ Li (V2)
$(0p_{3/2})^2$	0.750 - i0.692	0.798 - i0.732	1.090 - i0.243	1.107 - i0.288	0.994 - i0.587	0.949 - 0.614
$(S1)_{\pi p_{3/2}}$	_	_	-0.115 + i0.218	-0.143 + i0.255	-0.084 + i0.226	-0.050 + i0.244
$(S1)_{\nu p_{3/2}}$	0.243 + i0.619	0.244 + i0.668	_	_	0.066 + i 0.308	0.0797 + i0.314
$(S2)_{s_{1/2}}$	0.009 + i0.0	0.0 + i0.0	0.022 + i0.0	0.023 + i0.004	0.011 + i0.0	0.010 + i0.0
$(S2)_{p_{1/2}}$	0.012 + i0.0	0.013 + i0.0	0.008 + i0.001	0.009 - i0.0	0.011 + i0.0	0.012 + i0.0
$(S2)_{p_{3/2}}$	-0.049 + i0.074	-0.063 + i0.065	-0.030 + i0.029	-0.028 + i0.034	-0.033 + i0.054	-0.033 + i0.055
$(S2)_{d_{3/2}}$	0.002 + i0.0	0.001 + i0.0	0.002 + i0.0	0.002 - i0.0	0.002 + i0.0	0.002 + i0.0
$(S2)_{d_{5/2}}$	0.032 + i0.0	0.006 + i0.0	0.025 - i0.0	0.031 - i0.004	0.031 + i0.0	0.031 + i0.0

$(C_k)^2$	⁶ He	⁶ He (rigid core)	⁶ Be (V1)	⁶ Be (V2)	⁶ Li (V1)	⁶ Li (V2)
$(0p_{3/2})^2$	1.132 + i0.006	1.149 - i0.022	0.977 - i0.023	0.987 - i0.0267	1.036 - i0.024	1.049 - i0.023
$(S1)_{\pi p_{1/2}}$	-	-	-0.004 - i0.001	-0.001 + i0.001	0.0 - i0.0	0.0 - i0.0
$(S1)_{\pi p_{3/2}}$	-	-	-0.003 + i0.022	-0.011 + i0.027	-0.001 + i0.001	-0.007 + i0.0
$(S1)_{\nu p_{1/2}}$	0.001 - i0.001	0.003 - i0.002	-	-	0.0 - i0.0	0.0 - i0.0
$(S1)_{\nu p_{3/2}}$	-0.142 - i0.009	-0.147 + i0.016	-	-	-0.0492 + i0.021	-0.056 + i0.019
$(S2)_{\pi p_{1/2}\pi p_{3/2}}$	-	-	0.001 - i0.0	0.001 - i0.0	-	_
$(S2)_{\nu p_{1/2}\nu p_{3/2}}$	0.001 + i0.0	0.001 + i0.001	-	-	-	-
$(S2)_{p_{3/2}}$	-0.004 + i0.006	-0.005 + i0.006	0.003 + i0.006	0.002 + i0.003	-0.004 + i0.008	-0.004 + i0.008
$(S2)_{\pi d_{3/2}\pi d_{5/2}}$	-	-	0.001 - i0.001	0.001 - i0.001	-	_
$(S2)_{\nu d_{3/2}\nu d_{5/2}}$	0.001 - i0.0	0.0 + i0.0	-	-	-	_
$(S2)_{\pi d_{3/2} \nu d_{5/2}}$	-	-	-	-	0.001 - i0.0	0.001 - i0.0
$(S2)_{\nu d_{3/2}\pi d_{5/2}}$	-	-	-	_	0.001 - i0.0	0.001 - i0.0
$(S2)_{d_{5/2}}$	0.010 - i0.002	0.0 + i0.0	0.022 - i0.006	0.020 - i0.007	0.015 - i0.005	0.014 - i0.003

TABLE II. Same as in Table I, except for the first 2^+ state.

For the 2^+ IASs, a meaningful comparison of SFs can be done because they have small imaginary parts. This is a consequence of the smaller configuration mixing induced by the nuclear interaction. Indeed, as shown in Table II, the structure of 2^+ states is dominated by the resonant $(0p_{3/2})^2$ component. Here we conclude that GSM predicts a mirror symmetry-breaking in SFs of the order of 5%.

To assess the impact of the recoil term on our findings, we carried out calculations in which the recoil of the core is ignored. In this case, the coupling constants refitted to the data are $V_0(J = 0, T = 1) = -18.237$ MeV fm³ and $V_0(J = 2, T = 1) = -14.942$ MeV fm³, while the depth of the proton WS potential is now 47.5 MeV. Without recoil, energy observables are very similar to those obtained in full calculations. Namely, for ⁶He, only the width of the first excited state differs by a few keV, as it becomes $\Gamma_{2^+} =$ +84 keV. The energy and width of the ⁶Be g.s. in V2 remain the same as with recoil, while there appears a small change for the first excited state of ⁶Be: $E_{2^+} = +2.702$ MeV and $\Gamma_{2^+} = +0.755$ MeV. For ⁶Li, the energy of the 0⁺ state differs by a few keV in V1 and around 20 keV in V2, while the width remains practically unchanged. For the 2⁺ state in ⁶Li, changes are of the order of tens of keV.

The changes in SFs due to recoil are small as well. To show it explicitly, in Table I we compare the GSM amplitudes of the g.s. wave function of ⁶He in the COSM variant (second column) and assuming the rigid ⁴He core (third column). The main effect of recoil is to slightly redistribute partial wave occupations, in particular the $(d_{5/2})^2$ contribution. For instance, for the ⁶He g.s., the sum of the square of amplitudes belonging to the $(d_{5/2})^2$ channel is 6×10^{-3} without recoil, while it is 3.2×10^{-2} with the full treatment of recoil. For ⁶Be, not shown in Table I, these numbers in V2 translate to 4.2×10^{-3} and 3.1×10^{-2} , respectively. There is also a small increase of amplitudes in other continuum channels, for example, $(s_{1/2})^2$, but those wave function components are very small.

Another way of assessing the degree of isospin mixing is by inspecting the structure of IAS within the isomultiplet. To this end, we carried out calculations for the isotriplet ⁶He, ⁶Li, and ⁶Be in V1 + COSM using the common neutron s.p. basis of ⁶He. In this way, the isospin operator

$$\hat{T}^{-} = \sum_{\mathcal{B}} a^{+}_{\ell j \tau_{z} = -1/2}(\mathcal{B}) a_{\ell j \tau_{z} = 1/2}(\mathcal{B})$$
(8)

is properly defined [43]. The numerical error due to the use of neutron s.p. basis on the g.s. energy of ⁶Be is very small: it is about 20 keV for the real energy and 5 keV for the width, and this accuracy is more than sufficient for the purpose of our IAS analysis. The isobaric analogs of the T = 1 states in ⁶He are given by

$$|{}^{6}\text{Li}, \text{IAS}\rangle = \frac{1}{\sqrt{2}}\hat{T}^{-}|{}^{6}\text{He}\rangle,$$
 (9a)

$$|{}^{6}\text{Be}, \text{IAS}\rangle = \frac{1}{2}(\hat{T}^{-})^{2}|{}^{6}\text{He}\rangle.$$
 (9b)

The IAS content of a GSM state can be obtained by calculating its overlap with the state (IV). For the 0^+ state of ⁶Li, the squared overlap is $\langle {}^{6}\text{Li} | {}^{6}\text{Li}, \text{IAS} \rangle^2 = 0.995$. This indicates that the lowest 0^+ state in ${}^{6}\text{Li}$ is indeed an excellent isobaric analog of ${}^{6}\text{He}$ g.s. Indeed, the corresponding average isospin value [28],

$$T_{\rm av} = \frac{-1 + \sqrt{1 + 4\langle \Psi | \hat{T}^2 | \Psi \rangle}}{2},\tag{10}$$

is $T_{av} = 0.9995$.

For the g.s. of ⁶Be, $\langle {}^{6}Be | {}^{6}Be$, $|AS\rangle^{2} = 0.951 - i0.050$, that is, the mean value of the squared amplitude exhibits a reduction with respect to the perfect isospin invariance. This result is consistent with the large difference between the GSM wave functions of ⁶He and ⁶Be: a significant component of the ⁶Be g.s. wave function corresponds to a nonresonant continuum of ⁶He. Interestingly, the total isospin of ⁶Be states is perfectly conserved in our GSM space. Indeed, having two valence protons, wave functions of ⁶Be are completely aligned in isospace, *regardless* of the strength of the Coulomb interaction. The isospin breaking in ⁶Be can only happen through core polarization effects, that is, core-breaking excitations [7,44,45]. Because ⁴He is a very rigid core, one expects a fairly pure isospin in the low-lying states of ⁶Be.

A similar situation is expected for any isospin-aligned shellmodel state corresponding to a semimagic nucleus having Z_{val} valence protons (or N_{val} neutrons). If one disregards corebreaking effects, such a state has pure isospin $T = Z_{\text{val}}/2$ (or $T = N_{\text{val}}/2$), despite the presence of INC interactions that manifestly break isospin. This is a nice example of a more general phenomenon called partial dynamical symmetry, that is, a symmetry that is obeyed by a subset of eigenstates, but is not shared by the Hamiltonian [46,47]. We note that while \hat{T}^2 and \hat{T}_z are preserved in the isospin-aligned states, this is not the case for \hat{T}^{\pm} operators connecting ⁶Be with ⁶Li and ⁶Li with ⁶He, which are affected by isospin mixing.

V. CONCLUSIONS

There are several sources of isospin (and mirror) symmetrybreaking in atomic nuclei. Probably the most elusive are consequences of the threshold effect [22] and the Coulombnuclear interference effect [12–14]. The open quantum system formulation of the GSM makes it possible to address the question of the continuum-induced isospin symmetry-breaking in a comprehensive and nonperturbative way, in terms of the configuration mixing involving bound and unbound states.

As compared to previous GSM studies, present calculations are based on a newly developed finite-range residual interaction MSGI. The Coulomb interaction and recoil term are treated by means of the HO expansion technique. The stability of this expansion has been numerically checked with a very encouraging result: with only nine HO states per partial wave, one obtains excellent convergence for both energies and wave functions.

To study the sensitivity of results to the CM treatment, we carried out two sets of calculations: one in COSM coordinates in which the core recoil is treated exactly and another one assuming no recoil. We find that the results of both variants are very close for both energies and SFs; hence, the details of CM treatment do not impact the conclusions of our work.

We have shown that the energy dependence of SFs of mirror nuclei is different. Realistic estimates for the isotriplet ⁶He and ⁶Be yield an effect in SFs of the 2^+ state which is in a range of several percent. This is consistent with results of recent cluster-model studies [19,20]. For the 0^+ configuration, the situation is different. Here, the mean values

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of SFs differ by about 16% and a different interference pattern between the resonant $0p_{3/2}$ components and the nonresonant $p_{3/2}$ continuum is predicted. However, due to appreciable imaginary parts, hence large uncertainty, g.s. SFs in ⁶He and ⁶Be, and SFs for the 0⁺ analog state in ⁶Li, calculated in GSM do not offer a clear measure of the mirror symmetry-breaking. The behavior of SFs in ⁶Li follows that predicted for ⁶He and ⁶Be. Interestingly, proton spectroscopic factors show the presence of threshold anomalies due to the strong coupling with the neutron channel.

Due to the partial dynamical isospin symmetry present in the GSM wave functions of ⁶Be, the low-lying states in this isospin-stretched (T = 1, $T_z = -1$) system are expected to show very weak isospin-breaking effects. This is despite the Coulomb interaction present in this nucleus. For the $T_z = 0$ member of the isotriplet, ⁶Li, the isospin symmetry is explicitly broken in the GSM space as a result of mixing between T = 0and T = 1 states but the resulting mixing is very weak. We thus conclude that the large mirror symmetry-breaking effects seen in binding energies and SFs of the isotriplet are related to \hat{T}^{\pm} components rather than the total isospin.

In summary, the coupling to the nonresonant continuum can give rise to isospin and mirror symmetry-breaking effects that are configuration dependent. Explanations of mirror symmetry-breaking based on the traditional close quantum system formulation of the nuclear shell model sometimes invoke INC *nuclear* effective interactions [11,48]. We would like to point out that any attempt to extract such interactions from spectroscopic data should first account for the coupling to the many-body continuum in the presence of isospin-conserving nuclear forces. If neglected, or not treated carefully, the continuum effects can alter the results of such analyses.

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