Size fluctuations of the initial source and event-by-event transverse momentum fluctuations in relativistic heavy-ion collisions

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We show that the event-by-event fluctuations of the transverse size of the initial source, which follow directly from the Glauber treatment of the earliest stage of relativistic heavy-ion collisions, cause, after hydrodynamic evolution, fluctuations of the transverse flow velocity at hadronic freeze-out. This, in turn, leads to event-by-event fluctuations of the average transverse momentum, $\langle p_T \rangle$. Simulations with GLISSANDO for the Glauber phase, followed by a realistic hydrodynamic evolution and statistical hadronization carried out with THERMINATOR, lead to agreement with the Relativistic Heavy Ion Collider (RHIC) data. In particular, the magnitude of the effect, its centrality dependence, and the weak dependence on the incident energy are properly reproduced. Our results show that the bulk of the observed event-by-event $\langle p_T \rangle$ fluctuations may be explained by the fluctuations of the size of the initial source.

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We propose a new mechanism for generating the transversemomentum fluctuations in relativistic heavy-ion collisions, based on the fluctuations of the initial size of the formed system and its subsequent hydrodynamic evolution. It is well established that a successful description of the physics of relativistic heavy-ion collisions is achieved with the help of relativistic hydrodynamics [1–12], effective at proper times ranging typically from about a fraction of a fm/c to a few fm/c, where statistical hadronization takes over. Numerous observables can be reproduced that way, such as the momentum spectra, elliptic flow, or the Hanbury Brown–Twiss (HBT) correlation radii [11,12], measuring the system's space-time extension. The initial condition for hydrodynamics is usually obtained from the Glauber approach, leading to the woundednucleon picture [13] (a wounded nucleon is a nucleon that has collided inelastically at least once) or its variants [14,15]. When the initial condition is obtained via Glauber Monte Carlo simulations, its shape fluctuates, simply reflecting the randomness in positions of the nucleons in the colliding nuclei.

In this Rapid Communication we show that the event-byevent fluctuations of the initial size are substantial, even when we consider the class of events with a strictly fixed number of the wounded nucleons, N_w . The fluctuations are then carried over by hydrodynamics to the fluctuations of the transverse flow velocity at the hadronic freeze-out, which in turn generate the event-by-event fluctuations of the average transverse momentum, p_T , of the produced hadrons. The mechanism is very simple: a more squeezed initial condition leads to faster expansion, larger flow, and, consequently, higher $\langle p_T \rangle$, whereas, on the contrary, a more stretched initial condition leads to slower expansion, lower flow, and lower $\langle p_T \rangle$. The event-by-event p_T fluctuations have been the subject of intense theoretical and experimental studies [16–30] because they may

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reveal important details of the dynamics of the system. Effects of inhomogeneities for various observables have been studied in Ref. [31]. The event-by-event fluctuations of the initial shape have been studied in detail for their elliptic component, where they lead to enhanced elliptic flow [32–41]. Our present study is similar in spirit, but it focuses on the size fluctuations.

Consider the average transverse size, defined in each event as (we use the wounded nucleon model for the simplicity of notation)

$$\langle r \rangle = \sum_{i=1}^{N_w} \sqrt{x_i^2 + y_i^2},$$
 (1)

where x_i and y_i are coordinates of a wounded nucleon in the transverse plane. Examples of the spatial distributions of wounded nucleons are shown in Fig. 1, where collisions of two 197 Au nuclei are viewed along the beam. We note that the two cases displayed in Fig. 1, although having equal numbers of wounded nucleons, have indeed a rather different shape and size. The original positions of nucleons in each nucleus are randomly generated from an appropriate Woods-Saxon distribution, with an additional constraint that the centers of nucleons in each nucleus cannot be closer than the expulsion radius d = 0.4 fm, which simulates the short-range repulsion. Nucleons from the two nuclei get wounded or undergo a binary collision when their centers pass closer to each other than the distance $d = \sqrt{\sigma_{NN}/\pi}$, where σ_{NN} is the inelastic nucleon-nucleon cross section. For the highest Super Proton Synchrotron (SPS), RHIC, and Large Hadron Collider (LHC) energies, the value is equal to 32, 42, and 63 mb, respectively.

We introduce the notation $\langle \langle . \rangle \rangle$ to indicate averaging over the events. To focus on the relative size of the effect we use the scaled standard deviation, defined for a fixed value of N_w as $\sigma(\langle r \rangle) / \langle \langle r \rangle \rangle$. The results of our Monte Carlo simulations performed with GLISSANDO are shown in Fig. 2. We present the standard wounded nucleon model [13] (the three lower overlapping curves) and the mixed model [14,15] (three upper curves), where the Glauber source distribution is formed from

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FIG. 1. (Color online) Two sample collisions, both with an equal number of wounded nucleons, $N_w = 198$, displayed as circles. The left and right cases have $\langle r \rangle = 2.95$ fm and 2.83 fm, respectively.

the fractions $(1 - \alpha)/2$ of the wounded nucleons and α of the binary collisions. The mixing parameter α is assumed to be equal to 0.12, 0.145, and 0.2 for the highest SPS, RHIC, and LHC energies, respectively. From the fact that the three curves for the wounded nucleon model overlap, we conclude that the effect is completely insensitive to the value of σ_{NN} within the considered range. The observed moderate dependence on the energy for the mixed model originates entirely from the different values of the α parameter. For the binary collisions the size fluctuations are stronger than for the wounded nucleons, hence a larger value of α yield larger fluctuations. We note that the scaled variance of $\langle r \rangle$ is about 2.5%-3.5% for central collisions, and grows toward the peripheral collisions approximately as $1/\sqrt{N_w}$. Very similar results are obtained for other variants of Glauber models, in particular models with an overlaid distribution of particles produced by each wounded nucleon [15]. We have also checked that using a Gaussian wounding profile $\sigma_{NN}(b)$ [42] for the NN collisions, rather than the sharp wounding distance criterion applied here, leads to very similar curves. Furthermore, the use of the nucleon distributions including realistically the central NN correlations, as given in Ref. [43], leads to practically no difference. In other words, the behavior displayed in Fig. 2 is robust, basically reflecting the statistical nature of the Glauber approach.

The next step, crucial in converting the size fluctuation into momentum fluctuations, is hydrodynamics. We use the perfect boost-invariant hydrodynamics described in detail in Ref. [11], which leads to a successful simultaneous description of the soft RHIC observables at midrapidity, such as the p_T spectra, elliptic flow, and the HBT radii, including the azimuthally sensitive (azHBT) femtoscopy [44]. The essential ingredients of this approach are the Gaussian transverse initial condition, early start of the evolution ($\tau_0 = 0.25 \text{ fm}/c$), and the state-of-

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FIG. 2. (Color online) Event-by-event scaled standard deviation of the size parameter $\langle r \rangle$, evaluated at fixed values of the number of wounded nucleons, N_w . The three lower overlapping curves are for the wounded nucleon model at the *NN* cross section corresponding to the highest SPS (32 mb), RHIC (42 mb), and LHC (63 mb) energies. The three upper curves are for the mixed model at the subsequent energies. The dependence on the energy for the mixed model originates entirely from different values of the α mixing parameter (see the text for details).

the art equation of state [11], incorporating a smooth crossover and interpolating between the lattice QCD results at high temperatures and the resonance gas at low temperatures. The initial transverse energy-density profile is assumed to have the simple form $\epsilon(x, y) = \epsilon_0 \exp[-x^2/(2a^2) - y^2/(2b^2)]$, where x and y denote the transverse coordinates. The width parameters a and b depend on centrality. To obtain realistic values we run GLISSANDO [15] simulations, which include the eccentricity fluctuations. Then we match a and b to reproduce the values $\langle x^2 \rangle$ and $\langle y^2 \rangle$ from the GLISSANDO Monte Carlo profiles. Thus, by construction, the spatial root mean square (rms) radii of the initial condition and its eccentricity are the same as from the Glauber calculation. The values of the used width parameters are given in Table I. The central value of the initial temperature is adjusted in such a way that the multiplicity of produced particles is reproduced.

The average transverse momentum is also reproduced by our model, as can be seen from Fig. 3, where we compare the model predictions (solid line) to the STAR data [45], extrapolated to the full p_T coverage. The dashed line corresponds to the model calculation with the STAR range $0.2 \text{ GeV} < p_T < 2 \text{ GeV}$ used in Ref. [28].

Next, we analyze the hydrodynamic evolution with fluctuating initial conditions. Rather than doing an event-by-event calculation, which is tedious, it suffices (see the following)

TABLE I. Shape parameters *a* and *b*, as well as the central temperature T_i , for various centrality classes for the highest RHIC energy of $\sqrt{s_{NN}} = 200$ GeV.

c [%]	0–5	5-10	10–20	20-30	30-40	40-50	50-60	60–70
<i>a</i> [fm]	2.70	2.54	2.38	2.00	1.77	1.58	1.40	1.22
<i>b</i> [fm] <i>T_i</i> [MeV]	2.93 500	2.85 491	2.74 476	2.59 455	2.45 429	2.31 398	2.16 354	2.02 279

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600 500 $\langle \langle p_T \rangle \rangle$ [MeV] 400 300 200 100 0 0 50 100 150 200 250 300 350 N_W

FIG. 3. (Color online) Dependence of the average transverse momentum $\langle \langle p_T \rangle \rangle$ on the number of wounded nucleons. The solid (dashed) line corresponds to the model calculation in the full p_T range (in the range of the STAR coverage 0.2 GeV $< p_T < 2$ GeV). The experimental points (obtained by extrapolating the p_T distributions to the full range) are taken from Ref. [45].

to see how much the predictions change when the a and bparameters are scaled by the values read off from Fig. 2. For instance, for c = 20%-30%, which corresponds to $N_w \simeq 165$, we note from Fig. 2 that the scaled standard deviation of the size fluctuations is 4.4% (for the wounded nucleon model). Thus, we rescale a and b up and down by 4.4% and run the simulations. In addition, we also adjust the value of the central temperature T_i in such a way that the energy contained in the profile is preserved. This is natural because the total energy deposited in the transverse plane should be (up to possible additional fluctuations) the same for a given number of elementary collisions. Hence, a squeezed system has a higher central temperature than a stretched system. Thus, in some sense, we also include the temperature fluctuations discussed in Ref. [46]. Additional event-by-event energy fluctuations (in the considered pseudorapidity window $|\eta| < 1$) could be added on top of the analyzed effect, which would act as another source of momentum fluctuations not included in this work.

Hydrodynamics is run until the local temperature drops to $T_f = 145 \text{ MeV} [11]$, where freeze-out occurs. The freeze-out hypersurfaces in the space of the transverse radius r and time t at z = 0, as well as the transverse velocities for the 5% squeezed and stretched cases for c = 20%-30% are shown in Fig. 4. We note the following features: The maximum expansion velocities, indicated by dots with labels, are about 10% larger for the squeezed case is somewhat more compact, i.e., the radius r and the time t at freeze-out are slightly smaller.

The final stage of our calculation, turning the transverse-velocity fluctuations into the transverse-momentum fluctuations, is the simulation of the statistical hadronization at freezeout with THERMINATOR [47], which includes all resonances and decay channels from SHARE [48]. According to the Cooper-Frye formalism, the particles (stable and unstable, which subsequently decay) are formed at the freeze-out hypersurface according to appropriate statistical distributions. For the squeezed case they are more "pushed" with the larger flow velocity than for the stretched case, thus they acquire a higher



FIG. 4. (Color online) In-plane (solid) and out-of-plane (dashed) freeze-out hypersurfaces in the transverse coordinate-time plane for c = 20%-30%, with the indicated values of the maximum transverse flow velocity in units of *c*. Shown are the results for the 5% squeezed (top) and stretched (bottom) initial conditions.

average transverse momentum, $\langle \langle p_T \rangle \rangle$. The experimental cuts of the STAR detector are used, with 0.2 GeV $< p_T < 2$ GeV.

To compare to the data, we analyze the STAR correlation measure [28], $\sigma_{dyn}^2 \equiv \langle \Delta p_i \Delta p_j \rangle$. It can be shown to be equal to

$$\sigma_{\rm dyn}^2 = \sigma^2(\langle p_T \rangle) - \frac{1}{N_{\rm ev}} \sum_{k=1}^{N_{\rm ev}} \frac{\sigma_k^2(p_T)}{N_k},\tag{2}$$

where *k* labels the event, $\sigma_k^2(p_T)$ is the variance of p_T in a given event, and N_k denotes the multiplicity of the event. By construction, the second term cancels the uncorrelated (purely statistical) fluctuations in the first term, leaving in σ_{dyn} the dynamical correlations only. Our simulations do not include the statistical event-by-event fluctuations of $\langle p_T \rangle$, which would follow from the random nature of the statistical hadronization involving a finite number of particles. The procedure described below allows one to avoid the tedious event-by-event studies in the extraction of σ_{dyn} when it originates from the fluctuations of the initial condition.

The full statistical distribution $f(\langle p_T \rangle)$ in a given centrality class is a folding of the statistical distribution of $\langle p_T \rangle$ at a fixed

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initial size, centered around a certain \bar{p}_T , with the distribution of \bar{p}_T centered around $\langle \langle p_T \rangle \rangle$. The value of \bar{p}_T , corresponding to a fixed initial size, fluctuates because of the fluctuations of the initial size. In the central regions both are close to Gaussian distributions, hence, we have a very good approximation

$$f(\langle p_T \rangle) \sim \int d^2 \bar{p}_T \exp\left(-\frac{(\langle p_T \rangle - \bar{p}_T)^2}{2\sigma_{\text{stat}}^2}\right) \\ \times \exp\left(-\frac{(\bar{p}_T - \langle \langle p_T \rangle \rangle)^2}{2\sigma_{\text{dyn}}^2}\right).$$
(3)

Carrying out the \bar{p}_T integration yields the distribution of $\langle p_T \rangle$ centered around $\langle \langle p_T \rangle \rangle$ with the width parameter satisfying $\sigma^2 = \sigma_{\text{stat}}^2 + \sigma_{\text{dyn}}^2$. With the above factorized form we may obtain the second term directly from the distribution of the initial size parameter $\langle r \rangle$. Its distribution is also approximately Gaussian,

$$f(\langle r \rangle) \sim \exp\left(-\frac{(\langle r \rangle - \langle \langle r \rangle))^2}{2\sigma^2(\langle r \rangle)}\right).$$
 (4)

Because of the deterministic nature of hydrodynamics, \bar{p}_T is a (complicated) function of $\langle r \rangle$. Nevertheless, in the vicinity of the central values we have from the Taylor expansion

$$\bar{p}_T - \langle \langle p_T \rangle \rangle = \left. \frac{d \bar{p}_T}{d \langle r \rangle} \right|_{\langle r \rangle = \langle \langle r \rangle \rangle} (\langle r \rangle - \langle \langle r \rangle \rangle) + \cdots .$$
(5)

Substituting Eq. (5) into Eq. (4) and comparing to Eq. (3) we obtain the key result

$$\sigma_{\rm dyn} = \sigma(\langle r \rangle) \left. \frac{d\bar{p}_T}{d\langle r \rangle} \right|_{\langle r \rangle = \langle \langle r \rangle \rangle},\tag{6}$$

or for the scaled standard deviation

$$\frac{\sigma_{\rm dyn}}{\langle\langle p_T\rangle\rangle} = \frac{\sigma(\langle r\rangle)}{\langle\langle r\rangle\rangle} \frac{\langle\langle r\rangle\rangle}{\langle\langle p_T\rangle\rangle} \left. \frac{d\bar{p}_T}{d\langle r\rangle} \right|_{\langle r\rangle = \langle\langle r\rangle\rangle}.$$
(7)

This result bears a similarity to the formula derived by Ollitrault [49], where $\sigma_{dyn}/\langle\langle p_T \rangle\rangle \sim \sigma(\langle s \rangle)/\langle\langle s \rangle\rangle$, with *s* denoting the entropy density. The derivative in Eq. (7) can be computed numerically without difficulty by running two simulations at each centrality. We do it by comparing the average momenta obtained for the squeezed and stretched cases, as described above. Then

$$\frac{\sigma_{\rm dyn}}{\langle\langle p_T\rangle\rangle} = \frac{\langle\langle p_T\rangle\rangle_- - \langle\langle p_T\rangle\rangle_+}{\langle\langle p_T\rangle\rangle_- + \langle\langle p_T\rangle\rangle_+},\tag{8}$$

where - and + indicate the squeezed and stretched cases.

Our final result is shown in Fig. 5, where we compare the theoretical points to the experimental data from the STAR Collaboration [28]. We note a strikingly good agreement between our calculation and the experiment, in particular for the standard wounded nucleon model. The mixed model, which is more realistic than the wounded-nucleon model, overshoots the data by about 20%, producing even more fluctuations than needed. This may suggest that the coefficient $d\bar{p}_T/d\langle r \rangle|_{\langle r \rangle = \langle \langle r \rangle \rangle}$ in Eq. (6) is somewhat too large. The value of this coefficient incorporates all the dynamics (the initial condition, hydrodynamics, statistical hadronization). Modifying these ingredients, not to mention the inclusion of viscosity

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FIG. 5. (Color online) Comparison of the theoretical predictions for the scaled dynamical fluctuations, $\sigma_{dyn}/\langle\langle p_T \rangle\rangle$ (for $\sqrt{s_{NN}} =$ 200 GeV), to the experimental data from the STAR Collaboration [28]. The lower (upper) crosses indicate our results for the wounded nucleon model (mixed model). The experimental data range between $\sqrt{s_{NN}} = 20 \text{ GeV}$ (triangles), 130 GeV (squares), 62 GeV (diamonds), and 200 GeV (dots).

effects, etc., may modify the value. Nevertheless, we note a proper magnitude of the effect and the correct dependence on centrality, with an approximate scaling $\sigma_{dyn}(\langle p_T \rangle)/\langle \langle p_T \rangle \rangle \sim 1/\sqrt{N_w}$. In addition, because the results of Fig. 2 very weakly depend on σ_{NN} , to the extent that the hydrodynamic "pushing" is similar at various energies, our results should weakly depend on the incident energy, which is a desired experimental feature. We remark that the described mechanism works independently of the charge of particles. To describe the charge dependence of fluctuations (e.g., as observed in Ref. [25]) other mechanisms are necessary.

In conclusion, one can straightforwardly reproduce the bulk of the dynamical event-by-event transverse momentum fluctuations, as measured at RHIC, with the mechanism based on fluctuations of the initial size, which are then carried over by hydrodynamics to the fluctuations of the transverse flow velocity, and consequently to the transverse momentum of the produced particles. The hydrodynamic pushing is crucial in this scheme. With a realistic hydrodynamics, which has been earlier used to uniformly describe the soft RHIC data, our analysis indicates that there may be little room for other, truly dynamical, sources of fluctuations, such as (mini)jets [27,50] or the formation of clusters at freeze-out [51,52]. Certainly, there are yet other sources of the p_T correlations in addition to the aforementioned ones, such as the global momentum conservation, resonance decays, correlations from the elementary NN collisions in the corona; however, these should be considered as the "background" mechanism of the size fluctuations described in this Rapid Communication.

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