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We have studied positive-parity states of ^{28}Si using antisymmetrized molecular dynamics and multiconfiguration mixing with constrained variation. Applying constraints to the cluster distance and the quadrupole deformation of the variational calculation, we have obtained basis wave functions that have various structures such as α - ^{24}Mg and ^{12}C - ^{16}O cluster structures as well as deformed structures. Superposing those basis wave functions, we have obtained an oblate ground-state band, a β vibration band, a normal-deformed prolate band, and a superdeformed band. It is found that the normal-deformed and superdeformed bands contain large amounts of the ^{12}C - ^{16}O and α - ^{24}Mg cluster components, respectively. The results also suggest the presence of two excited bands with the developed α - ^{24}Mg cluster structure, where the intercluster motion and the ^{24}Mg -cluster deformation play important roles.

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I. INTRODUCTION

Clustering plays critical roles in excited states of p -shell and very light sd -shell nuclei such as ^{16}O and ^{20}Ne [1,2]. In spite of the importance of clustering in the $A \lesssim 20$ region, its role in the $A \gtrsim 30$ region has not been studied enough. In such a heavier-mass region, other effects such as deformations are considered to become more important than in a lighter-mass region. Recently, based on AMD calculations, there has been discussion that both mean-field and cluster aspects play important roles in the excited states of ^{32}S , ^{40}Ca , and ^{44}Ti [3–6].

To understand the mean-field and cluster aspects in the heavier system, ^{28}Si is an important case, because ^{28}Si has a rich variety of structures in its excited states from view points of both clustering and deformations. In the ground-state band and the low-lying excited band, the coexistence of the prolate and the oblate deformation has been studied for quite some time. The ground-state band is oblately deformed, while the excited band built on the 0_3^+ state at 6.69 MeV is considered to have prolate deformation (prolate ND) [7–10]. Among these states, the β vibration of the oblate deformed ground-state band generates the band built on the 0_2^+ state at 4.98 MeV [9,10].

Furthermore, Kubono *et al.* have proposed a largely deformed band called the “excited prolate” band based on a $^{12}\text{C}(^{20}\text{Ne},\alpha)$ reaction [11–13]. This band assignment, however, is not confirmed yet, because intraband electromagnetic transitions have not been observed.

In the highly excited states of ^{28}Si , the cluster aspects have been discussed. The excited states from $E_x = 18$ to 30 MeV, which have been observed by $^{24}\text{Mg}(^6\text{Li},d)$, $^{24}\text{Mg}(\alpha,\alpha)$, and $^{24}\text{Mg}(\alpha,\gamma)$ reactions, are suggested as candidates for the rotational band members that have an α - ^{24}Mg cluster structure [14,15], though a detailed theoretical study of α - ^{24}Mg cluster states has not been done. Another cluster feature of ^{28}Si is ^{12}C - ^{16}O clustering, which has been intensively investigated experimentally and theoretically. Around the excitation energy region of 30–50 MeV, the $^{12}\text{C} + ^{16}\text{O}$ molecular resonances

have been experimentally observed by elastic, inelastic, other exit channels, and fusion cross sections [16–22]. On the theoretical side, the ^{12}C - ^{16}O molecular resonances and their relation to the low-lying prolate deformed states have been studied by microscopic and macroscopic cluster models [23–25]. By the ^{12}C - ^{16}O potential model, the prolate ND band and observed ^{12}C - ^{16}O molecular resonances are reproduced [25].

We aim in the present work to investigate the nature of excited states of ^{28}Si , focusing on clustering and deformations in a unified manner. Shape coexistence and β vibration are studied, and those states are discussed in relation to cluster components of α - ^{24}Mg and ^{12}C - ^{16}O clustering. We also discuss the possible existence of the superdeformation and developed α - ^{24}Mg cluster states.

In this study, we apply a theoretical framework of deformed-basis antisymmetrized molecular dynamics (deformed-basis AMD) + multiconfiguration mixing (MCM) with constrained energy variation. The deformed-basis AMD wave function enables us to describe both clustering and deformation phenomena in a unified manner [3,26,27]. To study excited states, we first perform energy variation under two kinds of constraints to obtain basis functions. We shall call these constraints β and d constraints that are imposed on the quadrupole deformation β and distance d between the center of mass of clusters, respectively. Then we carry out the MCM by superposing the basis wave functions to obtain energy levels and wave functions of the ground and excited states. The method of deformed-basis AMD+MCM with the β and d constraints has been applied already to ^{40}Ca and proved to be efficient for describing various cluster states and deformed states as well as clustering correlation in the deformed states [3]. In the present study of ^{28}Si , we naturally adopt the α - ^{24}Mg and ^{12}C - ^{16}O clustering for the d constraint, because the α - ^{24}Mg and ^{12}C - ^{16}O clustering correlations are expected to play important roles as mentioned before. The obtained wave functions are analyzed to investigate the nature of the states.

The present article is organized as follows: In Sec. II, the framework of deformed-basis AMD+MCM is explained briefly. Results of energy variation imposing two kinds of constraints and analysis of the obtained wave functions are presented in Sec. III. In Sec. IV, results of MCM and structures of low-lying states are discussed. Clustering aspects are discussed in Sec. V. Finally, we give a summary and conclusions in Sec. VI.

II. FRAMEWORK

We have used the theoretical framework of deformed-basis AMD+MCM with constraints [26]. The details are presented in Refs. [3,27,28].

A. Wave function and Hamiltonian

The deformed-basis AMD wave function is a Slater determinant of triaxially deformed Gaussian wave packets,

$$|\Phi_{\text{int}}\rangle = \hat{A}|\varphi_1, \varphi_2, \dots, \varphi_A\rangle, \quad (1a)$$

$$|\varphi_i\rangle = \phi_i, \chi_i, \tau_i, \quad (1b)$$

$$\langle \mathbf{r} | \phi_i \rangle = \prod_{\sigma=x,y,z} \left(\frac{2\nu_\sigma}{\pi} \right)^{\frac{1}{4}} \exp \left[-\nu_\sigma \left(r_\sigma - \frac{Z_{i\sigma}}{\sqrt{\nu_\sigma}} \right)^2 \right], \quad (1c)$$

$$|\chi_i\rangle = \alpha_i |\uparrow\rangle + \beta_i |\downarrow\rangle, \quad (1d)$$

$$|\tau_i\rangle = |p\rangle \text{ or } |n\rangle. \quad (1e)$$

Here, the complex parameters \mathbf{Z}_i , which represent the centroids of the Gaussian wave packets in phase space, take independent values for each single-particle wave function. The width parameters ν_x , ν_y , and ν_z are real parameters and take independent values for each of the x , y , and z directions but are common for all nucleons. The spin part $|\chi_i\rangle$ is parameterized by α_i and β_i and the isospin part $|\tau_i\rangle$ is fixed as $|p\rangle$ (proton) or $|n\rangle$ (neutron). The values $\{\mathbf{Z}_i, \alpha_i, \beta_i\}$ ($i = 1, \dots, A$), ν_x , ν_y , and ν_z are variational parameters and are optimized by energy variation as explained below.

The trial wave function in the energy variation with constraints is a parity-projected wave function,

$$|\Phi^\pi\rangle = \frac{1 + \pi \hat{P}_r}{2} |\Phi_{\text{int}}\rangle, \quad (2)$$

where π is parity and \hat{P}_r is the parity operator. In this study, we will discuss positive-parity states.

The Hamiltonian is

$$\hat{H} = \hat{K} + \hat{V}_N + \hat{V}_C - \hat{K}_G, \quad (3)$$

where \hat{K} and \hat{K}_G are the kinetic energy and the energy of the center-of-mass motion, respectively, and \hat{V}_N is the effective nucleon-nucleon interaction. We have used the Gogny D1S force [29], which is one of the widely used effective forces for (beyond-)mean-field approaches. It consists of the finite-range and zero-range density-dependent two-body central terms and the zero-range two-body spin-orbit term. The form of the

Gogny D1S force is given as,

$$\begin{aligned} \hat{V}_N &= \sum_{i<j} \hat{v}_{ij}^N, \quad (4) \\ \hat{v}_{12}^N &= \sum_{n=1}^2 e^{-(\hat{\mathbf{r}}_1 - \hat{\mathbf{r}}_2)^2 / \mu_n^2} (W_n + B_n \hat{P}^\sigma - H_n \hat{P}^\tau - M_n \hat{P}^\sigma \hat{P}^\tau) \\ &\quad + i W_0 (\hat{\boldsymbol{\sigma}}_1 + \hat{\boldsymbol{\sigma}}_2) \hat{\mathbf{k}} \times \delta(\hat{\mathbf{r}}_1 + \hat{\mathbf{r}}_2) \hat{\mathbf{k}} \\ &\quad + t_3 (1 + \hat{P}^\sigma) \delta(\hat{\mathbf{r}}_1 - \hat{\mathbf{r}}_2) \rho^{1/3} \left(\frac{\hat{\mathbf{r}}_1 + \hat{\mathbf{r}}_2}{2} \right). \quad (5) \end{aligned}$$

Where the \hat{P}^σ and \hat{P}^τ are exchange operators of spin and isospin parts, respectively, the $\hat{\boldsymbol{\sigma}}$ is the spin operator, and the $\hat{\mathbf{k}}$ is the operator of the relative momentum $\hat{\mathbf{k}} = (\hat{\mathbf{p}}_1 - \hat{\mathbf{p}}_2)/2\hbar$. Force parameters of the Gogny D1S force are $\mu_1 = 0.7$ fm, $W_1 = -1720.30$ MeV, $B_1 = 1300.00$ MeV, $H_1 = -1813.53$ MeV, $M_1 = 1397.60$ MeV, $\mu_2 = 1.2$ fm, $W_2 = 103.64$ MeV, $B_2 = -163.48$ MeV, $H_2 = 162.81$ MeV, $M_2 = -223.93$ MeV, $W_0 = -130$ MeV fm⁵ and $t_3 = 1390.60$ MeV fm⁴. This force has been proved to reproduce the binding energy in the wide mass region systematically. The Coulomb force \hat{V}_C is approximated by a sum of seven Gaussian functions.

B. Energy variation

We have performed energy variation and optimized the variational parameters included in the trial wave function [Eqs. (1)] to find the state that minimizes the energy of the system E^π ,

$$E^\pi = \frac{\langle \Phi^\pi | \hat{H} | \Phi^\pi \rangle}{\langle \Phi^\pi | \Phi^\pi \rangle} + V_{\text{cnst}}. \quad (6)$$

Here, we add the constraint potential V_{cnst} to the expectation value of Hamiltonian \hat{H} to obtain energy-minimum states under the optional constraint condition. In this study, we employ two types of constraints, one on the quadrupole deformation parameter β (β constraint) and other on the distance between clusters' centers of mass d (d constraint) by using the potential V_{cnst} ,

$$V_{\text{cnst}} = \begin{cases} v_{\text{cnst}}^\beta (\beta - \tilde{\beta})^2 & \text{for } \beta \text{ constraint,} \\ v_{\text{cnst}}^d (d_{C_m-C_n} - \tilde{d}_{C_m-C_n})^2 & \text{for } d \text{ constraint.} \end{cases} \quad (7)$$

Here β is the matter quadrupole deformation parameter, and $d_{C_m-C_n}$ is the distance between the clusters' centers of mass C_m and C_n ,

$$d_{C_m-C_n} = |\mathbf{R}_{C_m-C_n}|, \quad (8)$$

$$\mathbf{R}_{C_m-C_n} = \mathbf{R}_{C_m} - \mathbf{R}_{C_n}, \quad (9)$$

$$\mathbf{R}_{C_n\sigma} = \frac{1}{A_{C_n}} \sum_{i \in C_n} \frac{\text{Re} Z_{i\sigma}}{\sqrt{\nu_\sigma}}, \quad (10)$$

where A_{C_n} is the mass number of cluster C_n and the expression $i \in C_n$ means that the i th nucleon is contained in cluster C_n . It should be noted that the σ ($=x, y, z$) component of the spatial center of the single-particle wave function $|\varphi_i\rangle$ is $\frac{\text{Re} Z_{i\sigma}}{\sqrt{\nu_\sigma}}$. When sufficiently large values are chosen for v_{cnst}^β and v_{cnst}^d ,

the resultant values β and $d_{C_m-C_n}$ of energy variation become $\tilde{\beta}$ and $\tilde{d}_{C_m-C_n}$, respectively. We constrain the $d_{\alpha-^{24}\text{Mg}}$ and $d_{^{12}\text{C}-^{16}\text{O}}$ values for the d constraint. In each calculation of energy variation, we constrain one of the values β , $d_{\alpha-^{24}\text{Mg}}$ and $d_{^{12}\text{C}-^{16}\text{O}}$. Other quantities such as triaxiality γ are not constrained and optimized by the energy variation.

The energy variation with the AMD wave function is carried out using the frictional cooling method [30]. The time evolution equation for the complex parameters \mathbf{Z}_i , α_i , and β_i is

$$\frac{dX_i}{dt} = -\mu_X \frac{\partial E^\pi}{\partial X_i^*}, \quad (i = 1, 2, \dots, A), \quad (11)$$

where X_i is \mathbf{Z}_i , α_i , or β_i and the time evolution equation for the real parameters ν_x , ν_y , and ν_z is

$$\frac{d\nu_\sigma}{dt} = -\mu_\nu \frac{\partial E^\pi}{\partial \nu_\sigma}, \quad (\sigma = x, y, z). \quad (12)$$

The quantities μ_X and μ_ν are arbitrary positive real numbers. The energy of the system decreases as time progresses, and after a sufficient number of time steps, we obtain a minimum energy state under the condition satisfying the given constraint.

C. Angular momentum projection and multiconfiguration mixing

After performing the constraint energy variation for $|\Phi^\pi\rangle$, we superpose the optimized wave functions by employing the quadrupole deformation parameter β and the distances between the centers of mass among clusters $d_{C_m-C_n}$ for the C_m-C_n configurations,

$$|\Phi_M^{J^\pi}\rangle = \sum_K \hat{P}_{MK}^{J^\pi} \left(\sum_i f_{iK}^\beta |\Phi_i^\beta\rangle + \sum_{i, C_m-C_n} f_{iK}^{d_{C_m-C_n}} |\Phi_i^{d_{C_m-C_n}}\rangle \right), \quad (13)$$

where $\hat{P}_{MK}^{J^\pi}$ is the parity and total angular-momentum projection operator, and $|\Phi_i^\beta\rangle$ and $|\Phi_i^{d_{C_m-C_n}}\rangle$ are optimized wave functions with β and $d_{C_m-C_n}$ constraints for the constrained values $\tilde{\beta}^{(i)}$ and $\tilde{d}_{C_m-C_n}^{(i)}$, respectively. The integrals over the three Euler angles in the total angular-momentum projection operator $\hat{P}_{MK}^{J^\pi}$ are evaluated by numerical integration. The mesh widths in numerical integration are $2\pi/9$, $\pi/257$ and $2\pi/9$ for α , β , and γ , respectively. Here the body-fixed x , y , and z axes are chosen as $\langle x^2 \rangle \leq \langle y^2 \rangle \leq \langle z^2 \rangle$ for $\gamma < 30^\circ$ wave functions and $\langle x^2 \rangle \geq \langle y^2 \rangle \geq \langle z^2 \rangle$ for $\gamma > 30^\circ$ ones in the case of β -constrained wave functions. In the case of d -constrained wave functions, the z axis is chosen as the vector $\mathbf{R}_{C_m-C_n}$, which connects the C_m and C_n clusters. The coefficients f_{iK}^β and $f_{iK}^{d_{C_m-C_n}}$ are determined by the Hill-Wheeler equation,

$$\delta(\langle \Phi_M^{J^\pi} | \hat{H} | \Phi_M^{J^\pi} \rangle - \epsilon \langle \Phi_M^{J^\pi} | \Phi_M^{J^\pi} \rangle) = 0. \quad (14)$$

Then we get the energy spectra and the corresponding wave functions that expressed by the superposition of the optimum wave functions, $\{|\Phi_i^\beta\rangle\}$, $\{|\Phi_i^{d_{\alpha-^{24}\text{Mg}}}\rangle\}$, and $\{|\Phi_i^{d_{^{12}\text{C}-^{16}\text{O}}}\rangle\}$.

D. Single-particle orbit and squared overlap

In this subsection, we give the definitions of single-particle orbits and the squared overlap. These values are useful in analysis of the calculated wave functions.

1. Single-particle orbits

To analyze a AMD wave function $|\Phi_{\text{int}}\rangle$ from the mean-field description, we have calculated Hartree-Fock-type single-particle orbits $|\tilde{\varphi}_i\rangle$ [28] given by superposition of single-particle wave functions $|\varphi_i\rangle$ of the AMD wave function as follows.

First, orthonormalized wave functions $|\varphi'_i\rangle$ are obtained by the linear combination of $|\varphi_i\rangle$. Next, the $|\varphi'_i\rangle$ are transformed to $|\tilde{\varphi}_i\rangle$ by the unitary transformation to diagonalize the mean-field Hamiltonian matrix

$$h_{ij} = \langle \varphi'_i | \hat{t} | \varphi'_j \rangle + \sum_k \langle \varphi'_i \varphi'_k | (\hat{v}^N + \hat{v}^C) | \varphi'_j \varphi'_k \rangle - \langle \varphi'_k \varphi'_j \rangle, \quad (15)$$

where \hat{t} is the one-body operator of the kinetic energy, and the \hat{v}^N and the \hat{v}^C are the two-body operators of the nuclear effective interaction \hat{v}_{12}^N in Eq. (5) and that of the Coulomb force, respectively. Thus obtained $|\tilde{\varphi}_i\rangle$ satisfy the following equations,

$$\langle \tilde{\varphi}_i | \tilde{\varphi}_j \rangle = \delta_{ij}, \quad (16)$$

$$\langle \tilde{\varphi}_i | \hat{t} | \tilde{\varphi}_j \rangle + \sum_k \langle \tilde{\varphi}_i \tilde{\varphi}_k | (\hat{v}^N + \hat{v}^C) | \tilde{\varphi}_j \tilde{\varphi}_k \rangle - \langle \tilde{\varphi}_k \tilde{\varphi}_j \rangle = e_i \delta_{ij}. \quad (17)$$

2. Squared overlap

To analyze the contributions to an MCM wave function $|\Phi_M^{J^\pi}\rangle$ of a subset $X = \{|\Phi_i^{(X)}\rangle\}$ ($i = 1, 2, \dots$) of the total set of basis wave functions, squared overlap S_X is calculated as

$$S_X = \sum_i | \langle \Phi_M^{J^\pi} | \tilde{\Phi}_i^{(X)} \rangle |^2, \quad (18)$$

where $|\tilde{\Phi}_i^{(X)}\rangle$ is an orthonormalized set obtained by the linear combination of $|\Phi_i^{(X)}\rangle$.

III. STRUCTURES OBTAINED BY CONSTRAINED ENERGY VARIATION

By energy variation under the constraints on quadrupole deformation parameter β and intercluster distance $d_{\alpha-^{24}\text{Mg}}$ and $d_{^{12}\text{C}-^{16}\text{O}}$ for $\alpha-^{24}\text{Mg}$ and $^{12}\text{C}-^{16}\text{O}$ cluster structures, respectively, energy curves as functions of β , $d_{\alpha-^{24}\text{Mg}}$, and $d_{^{12}\text{C}-^{16}\text{O}}$ are obtained. On the curves, various structures appear. Figure 1 shows the β -energy curves for the positive-parity states before and after the angular momentum projection to $J^\pi = 0^+$ states.

The obtained wave functions always have axially symmetric shapes, though the mass quadrupole deformation parameter γ for triaxiality is not constrained and optimized by the energy variation. In the small deformed region $\beta \lesssim 0.5$, the

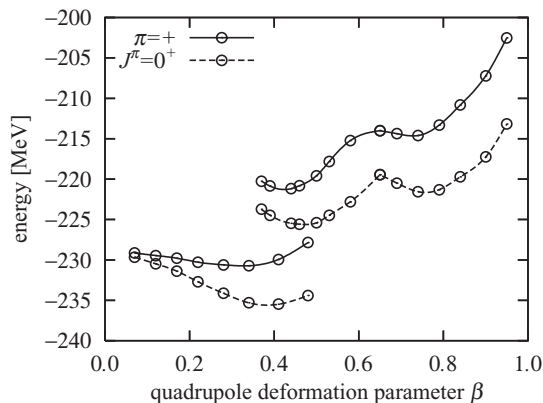


FIG. 1. β -energy curves projected to positive-parity (solid lines) and $J^\pi = 0^+$ states (dashed lines). In smaller and larger β regions, oblate and prolate shapes are obtained, respectively (see text).

system is oblately deformed and the surface has a shallow minimum. In the large deformed region $\beta \gtrsim 0.4$, the system has prolate deformation and two local minima around $\beta = 0.5$ and 0.7 that we call ND and SD minima in the following discussion. The Skyrme SLy7 force gives similar results [31]. Figures 2(a), 2(b), and 2(c) show density distributions of the oblate, ND, and SD minima obtained with β constraint, which have no remarkable cluster structures.

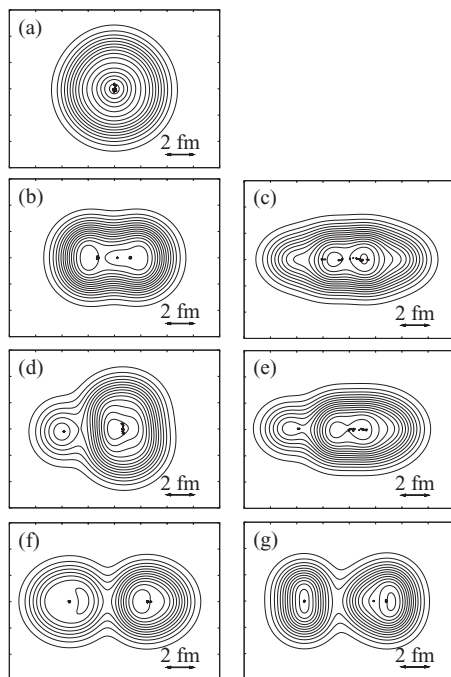


FIG. 2. Density distribution are shown around (a) oblate, (b) ND ($\beta = 0.46$) and (c) SD local minima ($\beta = 0.79$) for β -constrained wave functions, (d) type-T and (e) type-A wave functions for $d_{\alpha-^{24}\text{Mg}}$ -constrained wave functions ($d_{\alpha-^{24}\text{Mg}} = 4.5$ fm), and (f) type-T and (g) type-A wave functions for $d_{^{12}\text{C}-^{16}\text{O}}$ -constrained wave functions ($d_{^{12}\text{C}-^{16}\text{O}} = 6.0$ fm). Density distributions are projected onto the yz plane, where the z and x axes are major and minor axes, respectively. Symbols “+” indicate centroids of wave packets.

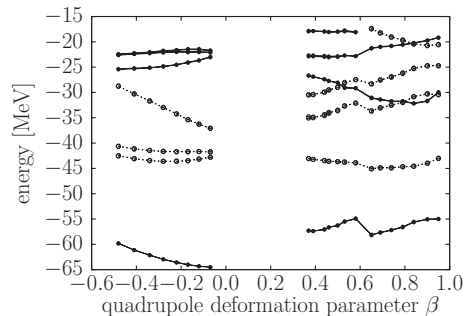


FIG. 3. Energies of neutrons’ single-particle orbits as functions of quadrupole deformation parameter β . Solid and dashed lines show positive- and negative-parity states, respectively. The β values for oblate shapes are defined as negative values.

To study the change of the intrinsic structures as a functions of β , single-particle orbits are investigated. The single-particle energies e_i for the neutron orbits are shown in Fig. 3. The orbits for protons are similar to those for neutrons qualitatively but shift by approximately 5 MeV due to the Coulomb energies.

Two single-particle orbits are always degenerate due to the time reversal symmetry, and they are approximately the eigenstates parity except for the transitional region from ND to SD minima around $\beta = 0.58$. Positive- and negative-parity orbits are represented by solid and dotted lines, respectively, in Fig. 3.

The parity of each single-particle orbits shows that oblate and ND states has $(sd)^{12}$ configurations. In the SD region ($\beta \sim 0.8$), negative-parity orbits intrude, and the system has the $4p4h$ configuration in which four nucleons are promoted into the pf -shell across an $N = 20$ shell gap.

The promotion of nucleons into the pf shell is confirmed by the density distribution of the highest occupied single-particle orbitals at ND and SD minima (Fig. 4). The density distribution at the SD minimum [Fig. 4(b)] is well deformed and has three nodes, thereby showing its pf -shell nature, while at the ND minimum, it shows a sd -shell nature.

Therefore, the SD minimum appears as a result of the crossing of the sd orbits and the pf orbit caused by the strong deformation of the system.

We next discuss the results obtained by energy variation while imposing the d constraint. Figure 5 shows the energy curve obtained by the $d_{\alpha-^{24}\text{Mg}}$ constraint. The energy of the positive-parity states is shown by solid lines as functions of the intercluster distance. The energy curves before and after

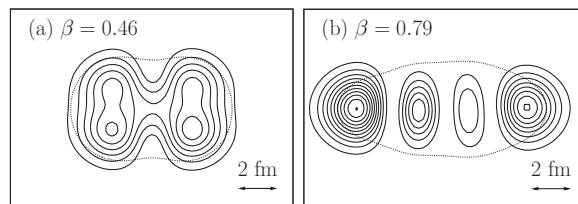


FIG. 4. Density distributions of the highest-energy single-particle orbitals in (a) $\beta = 0.46$ and (b) $\beta = 0.79$ wave functions are shown. Solid and dashed lines show density distributions of single-particle orbitals and total wave functions, respectively.

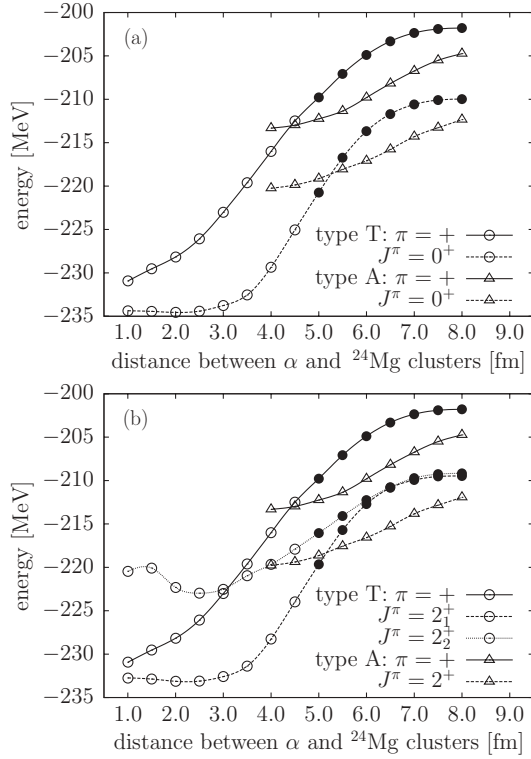


FIG. 5. $d_{\alpha-^{24}\text{Mg}}$ -energy curves projected to (a) positive-parity and $J^\pi = 0^+$ and (b) positive-parity and $J^\pi = 2^+$ states. Filled circles are obtained by hand (see text).

angular-momentum projection to $J^\pi = 0^+$ and 2^+ are given by the dashed and dotted lines. Two types of shapes are obtained by energy variation. One is the triaxial shape (denoted as type T) [Fig. 2(d)] and the other is the axial symmetric shape (denoted as type A) [Fig. 2(e)]. The ^{24}Mg cluster in the α - ^{24}Mg cluster structures deforms prolately. In the case of type A, the α cluster is located on the symmetric axis of the deformed ^{24}Mg cluster, while in the case of type T, the orientation of the deformed ^{24}Mg cluster is transverse and the longitudinal axis of the ^{24}Mg cluster is perpendicular to the intercluster direction. The type-T wave functions are obtained in small $d_{\alpha-^{24}\text{Mg}}$ region. With the increase of $d_{\alpha-^{24}\text{Mg}}$, the structure changes from the type-T into the type-A structure. Because of the triaxiality of type-T wave function, two $J^\pi = 2^+$ states are obtained by K mixing as shown in Fig. 5(b). The energy of the second $J^\pi = 2^+$ state of type T and that of the $J^\pi = 2^+$ state of type A are almost the same at $d_{\alpha-^{24}\text{Mg}} \simeq 4$ fm, but the overlap of those wave functions is quite small, and hence the type-T and type-A wave functions are not mixed in the result from MCM. As mentioned above, in the large $d_{\alpha-^{24}\text{Mg}}$ region, the type-A wave function is favored and the type-T wave functions are not obtained by the energy variation. As shown later, the obtained type-T wave functions are found to play an important role for an α -cluster band. To check the behavior of the type-T structure in the large $d_{\alpha-^{24}\text{Mg}}$ region and its effect on the band structure, we have prepared the type-T wave functions with $d_{\alpha-^{24}\text{Mg}} = 5.0$ – 8.0 fm by shifting by hand the α cluster position in the type-T wave function at $d_{\alpha-^{24}\text{Mg}} = 4.5$ fm (filled circles in Fig. 5).

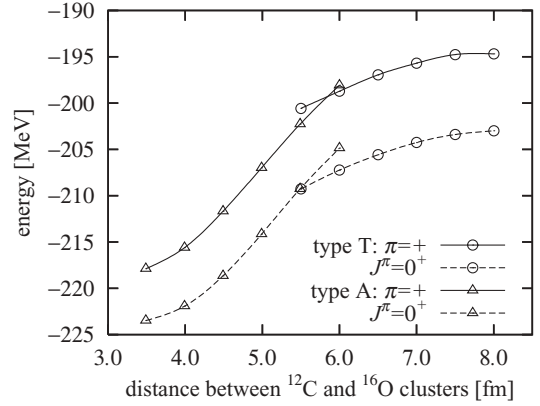


FIG. 6. $d_{^{12}\text{C}-^{16}\text{O}}$ -energy curves projected to positive-parity and $J^\pi = 0^+$ and 2^+ states.

Figure 6 shows $d_{^{12}\text{C}-^{16}\text{O}}$ -energy curves projected to positive-parity and $J^\pi = 0^+$ state. Two types of shapes, type-T [Fig. 2(f)] and type-A [Fig. 2(g)], are obtained due to the deformation of the ^{12}C cluster as in the case of the $d_{\alpha-^{24}\text{Mg}}$ constraint. Namely, in the type-A wave functions, the ^{16}O is cluster located on a symmetric axis of the oblate ^{12}C cluster, while in the type-T wave functions, the symmetric axis of oblate ^{12}C cluster is perpendicular to the intercluster direction. In contrast to the $d_{\alpha-^{24}\text{Mg}}$ -energy curves, the type-T wave functions are obtained in a small $d_{\alpha-^{24}\text{Mg}}$ region, while the type-A structure is favored in a large $d_{\alpha-^{24}\text{Mg}}$ region. The result occurs because clusters should be excited due to the Pauli principle when the clusters overlap in a small d region. To avoid overlap, a symmetric axis of a prolate (oblate) cluster tends to be oriented perpendicularly (parallel) to the intercluster direction in a small d region.

In the type-A ^{12}C - ^{16}O wave functions with small $d_{^{12}\text{C}-^{16}\text{O}}$, the ^{16}O cluster is excited and forms an α - ^{12}C -like structure, and these wave functions are similar to the β -constrained wave functions at the ND local minimum.

IV. BAND STRUCTURES

In this section, we discuss the results obtained by the MCM calculation.

A. MCM calculation and energy levels

We have performed the MCM calculation by using the obtained basis wave functions. The adopted bases are 22 β constrained with $\beta = 0.07$ – 0.48 for oblate shapes and $\beta = 0.37$ – 0.95 for prolate shapes, 15 type-T and nine type-A $d_{\alpha-^{24}\text{Mg}}$ -constrained wave functions with $d_{\alpha-^{24}\text{Mg}} = 1.0$ – 8.0 fm and 4.0 – 8.0 fm, respectively, and six type-T and six type-A $d_{^{12}\text{C}-^{16}\text{O}}$ -constrained wave functions with $d_{^{12}\text{C}-^{16}\text{O}} = 5.5$ – 8.0 fm and $d_{^{12}\text{C}-^{16}\text{O}} = 3.5$ – 6.0 fm, respectively. In the MCM calculation, $|K| \leq 2$ and $\langle \Phi | \hat{P}_{KK}^{J^\pi} | \Phi \rangle / \langle \Phi | \Phi \rangle > 0.005$ states are adopted as the MCM basis. Other states are omitted, because they contain numerical errors in the numerical integration of the angular momentum projection.

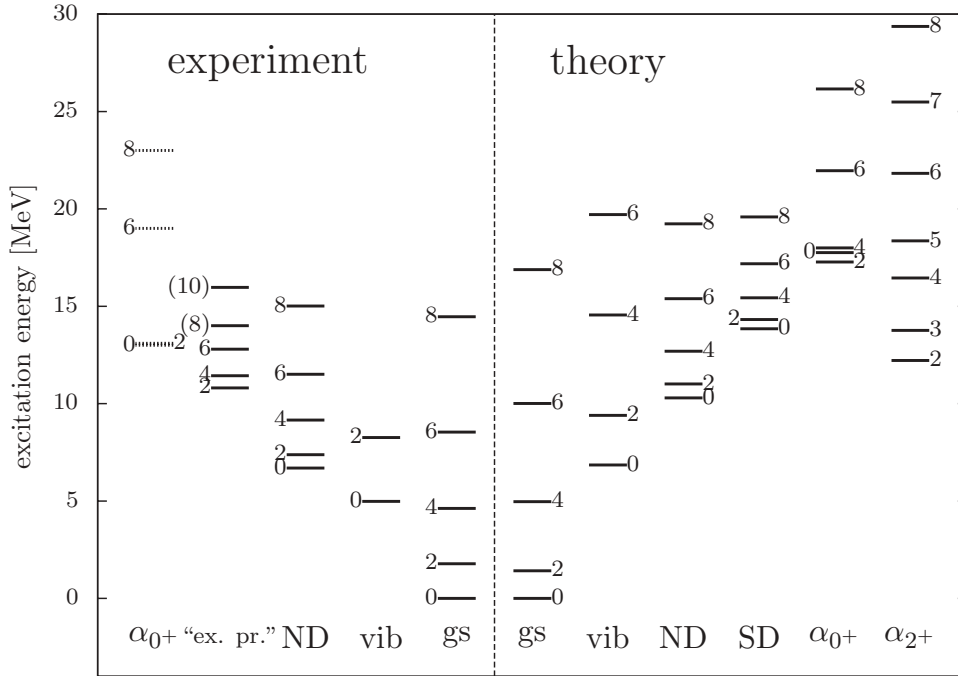


FIG. 7. Level scheme of positive-parity states in ^{28}Si is shown. Left and right panels are for experimental and theoretical values, respectively. The experimental data are taken from Refs. [12] and [32]. The “ex. pr.” indicates “excited prolate” band.

The convergence of the MCM calculation is confirmed by changing the number of the basis wave functions.

As shown in the energy spectra in Fig. 7, many rotational bands are constructed due to the coexistence of various structures. An oblate ground (g) band, a β vibration (vib) band, a ND band, and a SD band are obtained. Moreover, two α cluster bands (α_{2+} and α_{0+}) with α - ^{24}Mg cluster structure are found in high excited states. As for the experimental α_{0+} band, averaged values of the excitation energy are listed because those states are fragmented (dotted lines) [14].

B. Shape coexistence and β vibration

The excitation energies of the ground-state band and β vibration band members have good agreement with experimental data. As for the ND band, the calculated excitation energies are slightly higher than the experimental ones, but the calculations reproduce well the level spacing in the ND band as shown in Fig. 8, which shows moments of inertia as functions of angular momentum. That is, both theoretical and experimental values of moments of inertia of the ND band are almost constant and approximately $4 \hbar^2/\text{MeV}$.

To analyze the wave functions of the ground, β vibration, ND, and SD bands, squared overlaps $S_{\beta=\beta_i} = |\langle \Phi^{\text{MCM}} | \Phi_i^\beta \rangle|^2$ with β -constrained wave functions are used, as shown in Fig. 9, where the β_i is the value of the quadrupole deformation parameter β for the $|\Phi_i^\beta\rangle$. The $S_{\beta=\beta_i}$ values for the band-head 0^+ states are plotted as functions of quadrupole deformation parameter β . The wave functions of both the ground and β vibration bands have large amplitudes in the oblate region, and it is found that the $J^\pi = 0_{\text{vib}}^+$ state appears owing to its orthogonality to the ground state $J^\pi = 0_{\text{gs}}^+$, which shows a β vibration mode. The ground-state band amplitudes a peak at $\beta = -0.4$, which shows a rather large deformation. The ND and SD bands have large amplitudes at prolate regions

($\beta \simeq 0.4$ and 0.8 , respectively). These results suggest the shape coexistence of the oblate and prolate normal deformations and the prolate superdeformation.

C. Superdeformed band

The present result predicts the SD band starting from $J^\pi = 0^+$ state at 13.8 MeV, though the SD band has not been clearly identified. There are experimental works that argue for the existence of the “excited prolate” band with a large moment of inertia starting from the state at around 10 MeV [11–13]. We compare the theoretical SD band and the experimental “excited prolate” band.

As shown in Figs. 1 and 9, SD states are obtained by wave functions around the local minimum at $\beta \simeq 0.8$. Reflecting the large deformation, the moments of inertia are large and take a value of approximately $6 \hbar^2/\text{MeV}$ as shown in Fig. 8. However, the moments of inertia for the “excited prolate”

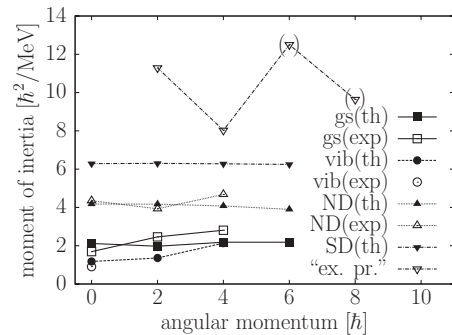


FIG. 8. Moments of inertia for the ground, β vibration, ND, and SD band. Open and closed symbols indicate theoretical and experimental values, respectively. The definition of moment of inertia is $\mathcal{J}(J) = \frac{2J+3}{E(J+2)-E(J)} \hbar^2$, where $E(J)$ is excitation energy of the angular momentum J state.

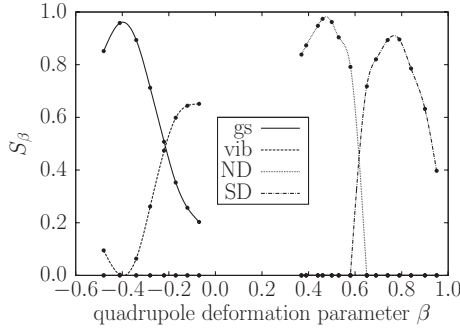


FIG. 9. Squared overlaps with β -constrained wave functions as functions of quadrupole deformation parameter β for ground, β vibration, ND, and SD bands are shown. β values are defined as negative values for oblate shapes.

band deduced from the band assignment of the experimental work are much larger than those of the theoretical SD band. The present results do not support the band assignment of “excited prolate” band. To conclude the correspondence of the theoretical SD band and the “excited prolate” band, more experimental information, such as intraband transitions, are required. As shown in the next subsection, large strengths for the electric quadrupole transition are suggested in the present SD band.

D. Electric quadrupole transition strengths $B(E2)$

Table I shows electric quadrupole transition strengths $B(E2)$ of intra- and interband transitions. Experimental data

TABLE I. Electric quadrupole transition strengths $B(E2)$ are shown. Units are in Weisskopf unit $B(E2)_{W.u.} = 5.05 e^2 \text{ fm}^4$ for ^{28}Si . J_i and J_f are the angular momentum of initial and final states, respectively. Experimental data and the results of the $^{12}\text{C} + ^{16}\text{O}$ potential model (PM) are also listed. The experimental data are taken from Ref. [32].

	J_i	J_f	Experiment	Present	PM
Intra	2_{gs}^+	0_{gs}^+	13.2 ± 0.3	15.0	
	4_{gs}^+	2_{gs}^+	13.8 ± 1.3	23.2	
	6_{gs}^+	4_{gs}^+	9.9 ± 2.5	28.6	
	8_{gs}^+	6_{gs}^+	–	33.3	
	2_{vib}^+	0_{vib}^+	5.5 ± 1.3	8.7	
	4_{vib}^+	2_{vib}^+	–	14.2	
	6_{vib}^+	4_{vib}^+	–	15.2	
	2_{ND}^+	0_{ND}^+	–	41.7	45.9
	4_{ND}^+	2_{ND}^+	29 ± 5	57.4	63.9
	6_{ND}^+	4_{ND}^+	>16	59.1	67.1
	8_{ND}^+	6_{ND}^+	–	56.3	64.9
	2_{SD}^+	0_{SD}^+	–	132.1	
	4_{SD}^+	2_{SD}^+	–	188.1	
	6_{SD}^+	4_{SD}^+	–	205.8	
	8_{SD}^+	6_{SD}^+	–	212.6	
	Inter	0_{vib}^+	2_{gs}^+	8.6 ± 1.6	6.7
2_{vib}^+		0_{gs}^+	0.029 ± 0.009	0.3	
2_{vib}^+		4_{gs}^+	0.8 ± 0.3	3.3	
2_{vib}^+		2_{gs}^+	–	–	–

and theoretical values of the $^{12}\text{C} + ^{16}\text{O}$ potential model [25] are also listed. Reflecting the large deformation of the ND and SD states, the $B(E2)$ values for their intra-band transitions are large.

The $^{12}\text{C} + ^{16}\text{O}$ potential model gives consistent results with the present calculation for the intraband transitions in the ND band. Compared to the experimental data, the $B(E2)$ values are overestimated. Especially, $B(E2; 6_{\text{gs}}^+ \rightarrow 4_{\text{gs}}^+)$ is much overestimated. It might be because structural changes with an increase of angular momentum are not represented enough in the present framework, which uses variation before angular-momentum projection.

V. CLUSTER CORRELATIONS

Table II shows squared overlaps of the MCM wave functions with the oblate (β_{OB}), prolate ND (β_{ND}), and SD (β_{SD}); α - ^{24}Mg type-T (α_{T}) and type-A (α_{A}); and ^{12}C - ^{16}O type-T (C_{T}) and type-A (C_{A}) components. Here, β_{ND} and β_{SD} indicate subsets consisting of the β -constrained wave functions with $\beta = 0.37$ – 0.58 and $\beta = 0.65$ – 0.95 wave functions, respectively. The definition of squared overlap is described in Sec. IID.

The values of squared overlaps of the β_{OB} component in the ground-state band are large and almost unity. However, the band also contains a large amount of the α_{T} component, which that means the degrees of freedom of the α - ^{24}Mg clustering are embedded in the ground-state band. In the β vibration band, the values of squared overlaps of the β_{OB} component are also almost unity, similarly to the ground-state band. The values of squared overlaps in the β vibration band are also large but smaller than those in the ground-state band, which

TABLE II. Squared overlaps of obtained wave functions and oblate (β_{OB}), prolate ND (β_{ND}), and SD (β_{SD}); α - ^{24}Mg type-T (α_{T}) and type-A (α_{A}); and ^{12}C - ^{16}O type-T (C_{T}) and type-A (C_{A}) components are shown. See text about the ND and SD.

Band	J^π	β_{OB}	β_{ND}	β_{SD}	α_{T}	α_{A}	C_{T}	C_{A}
gs	0^+	0.97			0.97			
	2^+	0.97			0.97			
	4^+	0.97			0.93			
	0^+	0.97			0.89			
vib	2^+	0.95			0.86			
	4^+	0.94			0.53			
	0^+		0.99					0.89
ND	2^+		0.99					0.88
	4^+		0.99					0.88
	0^+			0.94		0.88	0.15	
SD	2^+			0.94		0.88	0.16	
	4^+			0.94		0.88	0.16	
	0^+	0.13			0.72			
α_{0^+}	2^+	0.25			0.76			
	4^+	0.05			0.81			
	2^+	0.17			0.98			
	3^+				1.00			
α_{2^+}	4^+	0.07			0.99			

shows that the degrees of freedom of the α - ^{24}Mg clustering are not activated, while the β vibration band appear by β vibration as mentioned in Sec. IV B.

The states in the ND band contains a ^{12}C - ^{16}O cluster component in the present calculation. That presence is consistent with the works of $^{12}\text{C} + ^{16}\text{O}$ cluster models and a $^{12}\text{C} + ^{16}\text{O}$ potential model, where the ND band is interpreted as the lowest band of the $^{12}\text{C} + ^{16}\text{O}$ cluster states [25]. In the present work, the ^{12}C - ^{16}O higher nodal bands are not obtained, because the MCM basis wave functions of the type-A ^{12}C - ^{16}O configuration with large $d_{^{12}\text{C}-^{16}\text{O}}$ are not sufficiently incorporated to describe the excitation of the ^{12}C - ^{16}O relative motion.

The SD band members contain a large α_A component. Because the SD states can be interpreted as the 4p4h states as mentioned before, it is natural that the α -cluster correlation is enhanced in this band. Therefore, the SD states are expected to be observed in α -transfer reactions. It should be noted that the SD state contains only the component of the type-A α - ^{24}Mg structure that has the very longitudinal structure. This property is in contrast to the case of the ground-state band that contains only the type-T α - ^{24}Mg component.

The α_{0^+} and the α_{2^+} bands have the characteristic feature that they have large amounts of the type-T α - ^{24}Mg component, while the other components are small. Especially the amount of the α - ^{24}Mg component in the α_{2^+} band is quite large and nearly equals unity. This value indicates that this band is formed by almost pure type-T α - ^{24}Mg wave functions. That is the reason why we call these two bands the α cluster bands. For limitations of the present model space such as the bound state approximation, the widths or fragmentation of the α_{0^+} are not reproduced.

Recall that the type-T α - ^{24}Mg component is contained in the ground-state band as well as the α_{0^+} and the α_{2^+} bands. Therefore, it is expected that these bands might be understood as cluster excited states built on the ground-state band. To analyze the cluster features of these bands, we here discuss the overlap of the states with the α - ^{24}Mg cluster wave functions in more detail. Figure 10 shows the type-T α - ^{24}Mg cluster structure component S_α of (a) the $J^\pi = 0^+$ and (b) 2^+ states in the ground, α_{0^+} , and α_{2^+} bands as functions of the distance $d_{\alpha-^{24}\text{Mg}}$. As shown in the figure, the amplitudes for the $J^\pi = 0^+$ and 2^+ in the ground-state band are concentrated in the small $d_{\alpha-^{24}\text{Mg}}$ region, while those for the $J^\pi = 0^+$ and 2^+ states are compressed in the small distance region compared to the ground-state band, and they have a peak at $d_{\alpha-^{24}\text{Mg}} \sim 5$ fm. This figure shows the typical α - ^{24}Mg higher-nodal nature of the α_{0^+} band built on the ground-state band owing to the excitation of intercluster motion. As mentioned before, the candidates for the members of the α -cluster band have been observed by $^{24}\text{Mg}(^6\text{Li},d)$ and $^{24}\text{Mg}(\alpha,\alpha)$ reactions. The observed $K^\pi = 0^+$ band may correspond to the α_{0^+} band though the excitation energies are slightly overestimated by the present calculations.

As for the α_{2^+} band, the $2_{\alpha_{2^+}}$ state shows a large amplitude of S_α in the small $d_{\alpha-^{24}\text{Mg}}$ region, similar to the $J^\pi = 2^+$ in the ground-state band. It shows that the α_{2^+} band is regarded as a counterpart of the ground-state band due to K -mixing because of the triaxial deformation of the type-T α - ^{24}Mg cluster structure. In particular, the α_{2^+} band has a $|K| = 2$

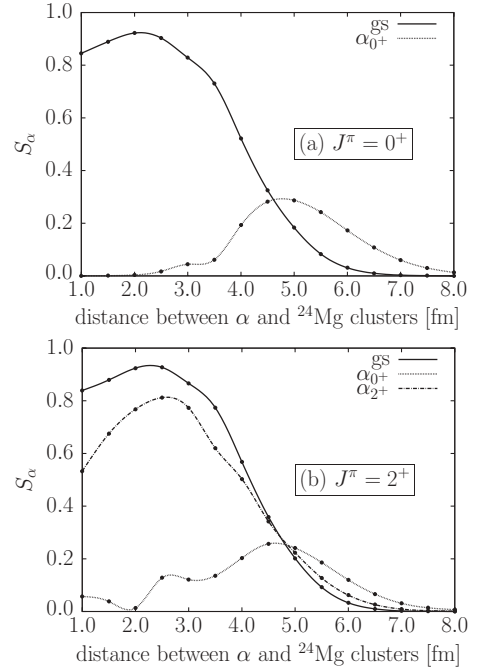


FIG. 10. α - ^{24}Mg cluster structure component S_α of the (a) $J^\pi = 0^+$ states in the gs and α_{0^+} bands and (b) $J^\pi = 2^+$ states in the g, α_{0^+} , and α_{2^+} bands as functions of distance between α and ^{24}Mg clusters.

feature of the type-T α - ^{24}Mg cluster structure. Here, K is defined with respect to the z axis set to the intercluster direction of the α and ^{24}Mg clusters, and the orientation of the prolate ^{24}Mg cluster is perpendicular to the z axis as mentioned before. Therefore, the α_{2^+} band is interpreted as the α - ^{24}Mg cluster band with the cluster core excitation of $^{24}\text{Mg}(2^+)$. On the experimental side, there is no established band with $K^\pi = 2^+$. To search for the α_{2^+} band, observation of unnatural parity states might be helpful.

^{28}Si has a variety of deformed bands, and those states contain cluster components. Other deformed states and cluster correlations such as a hyperdeformed states and α - ^{20}Ne - α clustering are also attractive issues [33,34].

VI. SUMMARY AND CONCLUSIONS

Positive-parity states in ^{28}Si have been studied using the deformed-basis AMD+MCM focusing on clustering and deformation. The experimental energy levels in the low-energy region are reproduced well by the present calculations. The oblatelly deformed ground-state band and prolately deformed excited band are reproduced, and the result shows shape coexistence. The β vibration band also appears because the oblatelly deformed state is soft against quadrupole deformation. A superdeformed band is suggested in the present results. The SD band is described by the $(sd)^8(pf)^4$ configuration. If the suggested SD band of ^{28}Si is observed experimentally it should be the superdeformation of the lightest sd -shell nucleus. Existence of largely deformed band (“excited prolate”) has

been proposed experimentally, however, we cannot assign the experimental band with the theoretical SD band because the experimental moment of inertia is not consistent with that of the calculated SD band. More experimental data such as electric or magnetic transitions are requested.

The cluster bands, α_{0+} and α_{2+} bands also have been obtained. These bands contain significant α - ^{24}Mg cluster structure components. The α_{0+} band is regarded as the higher-nodal band owing to the excitation of intercluster motion, while the α_{2+} band is interpreted as the $K^\pi = 2^+$ band due to the triaxiality of the α - ^{24}Mg cluster structure.

It is found that cluster components are significantly contained in the low-lying deformed states as well as the cluster bands. Namely, the gs and SD bands contain a significant α - ^{24}Mg cluster structure component, and the ND band contains a ^{12}C - ^{16}O cluster structure component. Those results are analogous to situations of other *sd*-shell nuclei such as ^{32}S , for which ^{16}O - ^{16}O correlations in the SD band have been suggested [5,35], and ^{40}Ca where α - ^{36}Ar correlations in the

ND band and ^{12}C - ^{28}Si correlations in the SD band have been discussed [3,6].

We have shown the importance of clustering effects as well as deformation effects in low-lying states of ^{28}Si . That finding is consistent with the recent full-microscopic studies that suggested that both clustering and deformations play important roles in the wide-range *sd*-shell region.

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