Odd *p* isotope ¹¹³In: Measurement of α -induced reactions

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(Received 19 March 2009; published 12 June 2009)

One of the few p nuclei with an odd number of protons is ¹¹³In. Reaction cross sections of ¹¹³In(α , γ)¹¹⁷Sb and ¹¹³In(α , n)¹¹⁶Sb have been measured with the activation method at center-of-mass energies between 8.66 and 13.64 MeV, close to the astrophysically relevant energy range. The experiments were carried out at the cyclotron accelerator of ATOMKI. The activities were determined by off-line detection of the decay γ rays with a HPGe detector. Measured cross sections and astrophysical *S* factor results are presented and compared with statistical model calculations using three different α +nucleus potentials. The comparison indicates that the standard rates used in the majority of network calculations for these reactions were too fast due to the energy dependence of the optical α potential at low energy.

DOI: 10.1103/PhysRevC.79.065801

PACS number(s): 25.55.-e, 26.30.-k, 27.60.+j

I. INTRODUCTION

Astrophysical *s*, *r*, and *p* processes are thought to synthesize all nuclei heavier than the iron group. The *s* (slow neutron capture) and *r* (rapid neutron capture) processes proceed via neutron capture reactions followed by β^- decays until the appearance of stable nuclei. While the *s* process is responsible for the production of nuclei along the main β -stability line only, the *r* process contributes to nuclear abundances on the main stability line as well as its neutron-rich side, not accessible to slow neutron captures.

Additionally, there are 35 rare nuclei along the proton-rich side of the stability line between Se and Hg, the so-called p nuclei that cannot be synthesized by neutron captures. Instead, those nuclei have to be created by an additional process, tentatively called the p process. A number of different ways have been suggested (see, e.g., Refs. [1-5] and references therein) to synthesize p nuclei, including combinations of different processes. Currently the most favored process is photodisintegration in hot (explosive or nonexplosive) shells of massive stars, also called the γ process [1,2]. This γ process is governed by mostly (γ, n) , (γ, p) , and (γ, α) reactions on preexisting s and r seed nuclei in the temperature range between 2 and 3 GK. After an initial production of proton-rich isotopes by (γ, n) reactions, the synthesis path branches to nuclides with lower charge Z at isotopes for which (γ, p) or (γ, α) reactions are faster than the neutron release. The (γ, p) and (p, γ) reactions are important for the production of the lower mass p nuclei while (γ, α) reactions contribute to the abundances of medium and heavy mass p nuclei [1,6-8].

The modeling of p (or γ) process nucleosynthesis requires a large network of thousands of nuclear reactions involving stable and unstable nuclei. The relevant astrophysical reaction rates derived from the reaction cross sections are necessary inputs to this network. Unfortunately, experimental data for charged-particle induced reactions are scarce above iron. So far, while more proton capture reaction cross sections were studied, only a limited number of α -capture reaction cross sections, mostly in the lower mass region, are available [9–17]. The *p* process studies are therefore based mostly on Hauser-Feshbach statistical models to predict the reaction rates. Although the (p, γ) measurements generally agree with the statistical model predictions within less than a factor of 2, the (α, γ) measurements are considerably lower compared to their model predictions and indicate that the measured α -capture reaction cross sections are not correctly described by global parametrizations.

The ¹¹³In *p* nucleus is one of only four *p* nuclei with an odd number of protons and one of only two with an odd mass number. So far, reactions relevant to the *p* process have been investigated only with even *Z* nuclei. Comparisons to Hauser-Feshbach calculations at *p* process energies also have been performed only for even *Z* nuclei. Therefore, only reactions with both target and projectile having spin and parity of $J^{\pi} = 0^+$ have been tested. This is the first time an odd-*Z* target with a nonzero ground-state spin has been used.

Furthermore, ¹¹³In has a special importance for the study of the Cd-In-Sn region. Interpretation of the observed isotopic abundance in this region in terms of the contributing nucleosynthesis mechanisms is quite complex due to the multiplebranched reaction flows in the s, r, and p processes [6,7,18]. Many models show that the initial seed abundance of ¹¹³In is destroyed by the photodissociation (because the destruction channel is much stronger than the production channel) and this leads to the conclusion that ¹¹³In has strong contributions from other processes and even may not be a p nucleus [19]. There are many studies regarding the nucleosynthesis of pnuclei. The results concerning ¹¹³In are controversial. While it was synthesized in sufficient quantities in the model of Ref. [20], it was underproduced in other models [1,2,21,22]. To understand whether these inconsistencies are due to nuclear physics inputs or also problems with astrophysical models, more precisely measured cross sections in the relevant energy

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TABLE I. Decay parameters of the ¹¹³In + α reaction products taken from the literature [24,25] and determined detection efficiency. Only the γ transitions used for the analysis are listed.

Reaction	Half-life (minute)	E_{γ} (keV)	γ emission prob. (%)	Detection eff. (%)
¹¹³ In(α , γ) ¹¹⁷ Sb	168.0 ± 0.6	158.562	85.9 ± 0.4	1.26 ± 0.1
113 In $(\alpha, n)^{116g}$ Sb	15.8 ± 0.8	931.84	24.8 ± 1.9	0.29 ± 0.02
¹¹³ In(α , n) ^{116m} Sb	60.3 ± 0.6	407.351	38.8 ± 1.6	0.58 ± 0.05

range are necessary. In this respect, the measurement of 113 In cross sections also helps to directly understand the problem of the contribution of the *p* process in the production or destruction of 113 In in massive stars.

To extend the experimental database for the astrophysical p process and to test the reliability of statistical model predictions in this mass range, the α capture cross sections of ¹¹³In have been measured in a center-of-mass (c.m.) energy range between 8.66 and 13.64 MeV using the activation method. These energies are close to the astrophysically relevant energy range (the Gamow window) that extends from 5.24 MeV at 2 GK to 10.17 MeV at 3 GK. Reaction rate predictions are very sensitive to the optical model parameters and this introduces a large uncertainty into theoretical rates involving α particles at low energy. Therefore, we also compare our new results with Hauser-Feshbach statistical model calculations using different α +nucleus potentials.

Additionally, ¹¹³In(α , *n*)¹¹⁶Sb reaction cross sections were measured. The (α ,n) cross sections are mainly sensitive to the α width, whereas the (α , γ) cross sections show a more complicated dependence on both α and γ widths at low energy. A detailed comparison of combined (α , γ) and (α , *n*) data with statistical model calculations allows us to better determine the source of possible disagreement between theory and experiment.

Details of our experiment are given in Sec. II. The final results are presented in Sec. III A. A comparison to statistical model calculations and a detailed discussion is given in Sec. III B. Section IV provides conclusions and a summary.

II. EXPERIMENT

Because reaction products are radioactive and their halflives are relatively long, the activation method was used to determine the reaction cross sections. Experimental aspects for the measurements of p process reactions by activation methods are discussed in Ref. [23]. The number of β -unstable isotopes N_I produced after each target irradiation for a time period of t_I can be obtained by

$$N_I = \sum_{i=1}^n \frac{\phi_i \sigma n_T}{\lambda} [1 - e^{-\lambda \Delta t}] e^{-\lambda (t_I - t_i)}, \qquad (1)$$

where, ϕ_i is the number of α particles per second bombarding the target for the time segment *i*, Δt is the time interval that is constant for each segment *i*, σ is the reaction cross section, n_T is the areal number density of the target nuclei, λ is the decay constant of the product, *n* is the total number of time segments in the irradiation period, and t_i is the time length between the beginning of the irradiation and the end of the *i*th segment. If the target is counted between the time t_1 and t_2 after the irradiation, the total number of decays, N_D :

$$N_D = N_I (e^{-\lambda t_1} - e^{-\lambda t_2}).$$
 (2)

Using the decay parameters including the emission probability and the detection efficiency of an appropriate γ transition, the cross section of the reaction can be determined.

In the case of 113 In(α , n), the reaction product 116 Sb has ground and isomeric states. The partial cross sections leading to these states can be determined separately because of the different decay patterns of the isomeric and ground states. The decay parameters used for the analysis are summarized in Table I.

A. Target preparation

The target were produced by evaporating 93.10% isotopically enriched metallic ¹¹³In (obtained from the company ISOFLEX USA, certificate no.: 49-02-113-1312) onto highpurity thin ($d = 2.4 \,\mu$ m) aluminum foils. The In metal piece was evaporated from a carbon crucible heated by DC current. The Al foil was placed 5.4 cm above the crucible in a holder defining a circular spot with a diameter of 12 mm on the foil for In deposition. The weight of the Al foil was measured before and after the evaporation with a precision better than 5 μ g, and then from the difference the ¹¹³In number density could be determined. Altogether five enriched targets were prepared with thicknesses varying between 168 μ g/cm² and 289 μ g/cm², corresponding to the number of ¹¹³In atoms per cm² between 8.3 × 10¹⁷ and 1.4 × 10¹⁸ with uncertainties between 7% and 8%, respectively, governed by the mass measurement and target inhomogeneity.

One of the targets was also measured by Rutherford backscattering spectrometry (RBS) at the nuclear microprobe of ATOMKI to investigate the target homogeneity. The RBS spectra were taken with a 2.0 MeV He⁺ beam of $3 \times 3 \ \mu m^2$ beam spot size and $500 \times 500 \ \mu m^2$ scanning size. Total layer thickness data were extracted from the spectra and their fits. The target thickness varied between its edge and center within 8%.

Due to the relatively low melting point of In, test runs were performed before measurement with natural In targets to determine the maximally allowed α beam current. The experimental setup is given in the following subsection. The current was increased from 200 nA to check the target stability that was defined as the ratio of the number of backscattered particles in the ¹¹³In peak in the α spectrum to the total number of counts on the current integrator within the same time period. If this ratio is constant in time, the target keeps its stability. The overall examination of the target took about 6 h and the target was exposed to the α beam for about 2 h at 1000 nA. When the current was increased from 1000 nA to 1300 nA, the ratio dropped very dramatically. These tests showed that there was no target deterioration up to an α beam current of 1000nA. However, the target stability was monitored during the all irradiation processes by using the technique described in Sec. IB.

B. Activations

The ¹¹³In targets were irradiated with an α beam starting from the beam energy E = 9.00 MeV increasing by about 0.50 MeV laboratory energy steps up to E = 14.14 MeV. Laboratory energies have been converted into the effective center-of-mass energies ($E_{c.m.}^{\text{eff}}$) that correspond to beam energies in the target at which one-half of the yield for the full target thickness is obtained [26], and the measurement results are presented versus the effective center-of-mass energies (Sec. III C).

A diagram of the target chamber is shown in Fig. 1. After the last beam defining aperture, the whole chamber was used as a Faraday cup to measure the beam current. Although the beam current was kept as stable as possible during the irradiation the beam current was recorded using a current integrator to take into account the possible changes in the beam current. The integrated current was recorded every 10 s (Δt) by using multichannel scaler. A surface barrier detector was placed into the target chamber at 150° relative to the beam direction to detect backscattered particles and to monitor the target stability. The elastic backscattering spectra were taken continuously and the number of counts in the ¹¹³In peak in the α spectrum was checked regularly during the irradiation. There were no substantial background peaks in addition to In and Al observed in the spectra. The beam stop was placed 10 cm behind the target from where no backscattered particles could reach the surface barrier detector. The beam stop was directly



FIG. 1. A drawing of the target chamber used for the irradiation.

water cooled during the irradiation. A suppression voltage of -300 V was applied at the entrance of the chamber to suppress secondary electrons. Throughout the irradiations with different α beam energies, the typical current was between 150 and 800 nA. The length of irradiation was chosen based on the longest half-life of the activation products and beam energy, in the range of 2–12 h. Due to steeply decreasing cross sections at low beam energies, the longer irradiation time was applied for low-energy measurements to obtain sufficient statistics.

With each target, the first cross section was measured at the lowest energy; the energy was monotonically increased to its maximum value. Because the cross sections increased with energy, this procedure minimized the residual radiation in the target for the subsequent irradiation. Before usage, each target was checked for activity by counting to ensure that there was no activity remaining from the previous irradiation.

Because the cyclotron at ATOMKI cannot accelerate the α beam in the beam energy range between about 10 and 11 MeV, the energy points of 10.032, 10.565, and 11.111 MeV were measured with energy degrader foils located 3 mm before the target. Aluminum foils with a thickness of 9.57 and 9.70 μ m were used as energy degrader foils. The thickness of a degrader foil was determined by the RBS technique with a microprobe at the Van de Graaff accelerator of ATOMKI. The energies 10.032, 10.565, and 11.111 MeV were reached from the beam energies 11.000, 11.500, and 12.003 MeV, respectively. The measurements were also made at the beam energies 9.923 and 11.000 MeV without degrader foils. By comparison to data at energies of 10.032 and 11.111 MeV obtained with degrader foils, the reliability of the degrader method was tested. Both results were found to be very close values at almost the same energies and to show the same energy dependency, as shown in Figs. 3 and 4.

C. Gamma counting and analysis

After each irradiation, the target was taken from the chamber and placed into a low-background counting area to measure ¹¹⁷Sb and ¹¹⁶Sb activities, which are produced through the ¹¹³In(α , γ)¹¹⁷Sb and ¹¹³In(α , n)¹¹⁶Sb reactions. The target was placed at 3.5 cm from the end cap of a HPGe detector having 40% relative efficiency. To reduce the room background, the detector was shielded with 10-cm-thick lead bricks. As an example, Fig. 2 shows an off-line γ -ray spectrum taken after a 2.88 h irradiation with an α beam of 12 MeV for a counting time of 1.24 h indicating the γ lines (Table I) used for cross-section measurements. For the ¹¹³In(α , γ)¹¹⁷Sb reaction the 158.6-keV γ line with the 85.9% emission probability is the only one that has emission probability larger than 0.3% among the others. In the case of ¹¹³In(α , n)¹¹⁶Sb reaction, the 931.8 and 407.4 keV γ lines were chosen because these two γ transitions are associated exclusively with the decay of ground and isomeric states, respectively.

Absolute efficiency calibration of the detection system was determined at a 10-cm detector-target distance at which the coincidence-summing effect is negligible. The efficiency-ratio method was used to obtain the efficiencies with calibrated ⁶⁰Co and ¹³⁷Cs and uncalibrated ¹⁵²Eu and ⁵⁶Co sources. This method requires a knowledge of the relative emission



FIG. 2. Activation γ spectrum taken after irradiating a target with the α beam of 12 MeV. The γ lines listed in Table I are indicated by arrows. The other peaks are from either laboratory background or the other γ transitions (1 channel = 0.361 keV).

probabilities of the multienergy source of unknown activity and at least one energy to be in an energy range for which the absolute efficiency has already been determined [12,14,27]. The set of relative efficiency values (relative to 122-keV γ efficiency obtained with ¹⁵²Eu source and 847-keV γ efficiency obtained with ⁵⁶Co source) was normalized to fit in with known efficiency values obtained with ⁶⁰Co and ¹³⁷Cs sources. To normalize the counts for the measurements at the 3.5-cm distance to the counts at a 10-cm distance, an extra irradiation was made at 14.142-MeV laboratory energy, and then the target was counted at both 10 and 3.5 cm for the same time period. A factor, which includes geometrical and coincidence-summing ones, was found taking the ratio of the count at 10 cm to one at 3.5 cm for each γ line used for the analysis. Multiplying all measurements at 3.5 cm distance by this factor, the detection efficiency at 10 cm distance can be used and the coincidence-summing effect is eliminated. The detection efficiencies and the decay properties of the reaction products used for the data analysis are listed in Table I. The energy calibration of the detector was done using the efficiency-calibration sources.

III. RESULTS AND DISCUSSION

A. Measured cross sections and S factors

The ¹¹³In(α , γ)¹¹⁷Sb and ¹¹³In(α , n)¹¹⁶Sb reaction cross sections have been measured in the effective center-of-mass energies between 8.66 and 13.64 MeV, which include a part of the astrophysically relevant energy range. The corresponding astrophysical *S* factors have also been obtained from the measured cross sections. The astrophysical *S* factor is useful for the analysis of charged-particle reactions because it removes part of the strong energy dependence by accounting for the *s*-wave Coulomb barrier transmission exp($-2\pi\eta$) at low energies, with η being the Sommerfeld parameter. The *S* factor is defined as [28]

TABLE II. Measured cross sections and S factors of the ¹¹³In(α , γ)¹¹⁷Sb reaction.

E _{beam} (MeV)	$E_{\rm c.m.}^{\rm eff}$ (MeV)	Cross section (µb)	S factor $(\times 10^{21} \text{ MeV b})$
9.000	8.660	3.9 ± 0.5	402 ± 47
9.500	9.153	6.2 ± 0.6	122 ± 11
9.500	9.147	7.4 ± 0.6	144 ± 13
9.923	9.553	15 ± 1	81 ± 7
10.032 ^a	9.660	20 ± 2	74 ± 6
10.565 ^a	10.187	37 ± 3	31 ± 3
11.000	10.606	64 ± 5	17 ± 1
11.111 ^a	10.704	70 ± 6	14 ± 1
11.500	11.085	111 ± 9	8.5 ± 0.7
12.003	11.573	200 ± 16	4.7 ± 0.4
12.612	12.162	341 ± 28	2.2 ± 0.2
13.000	12.536	435 ± 35	1.3 ± 0.1
13.500	13.018	588 ± 47	0.64 ± 0.05
14.142	13.640	745 ± 60	0.25 ± 0.02

^aMeasured with an energy degrader foil.

The experimental results for ¹¹³In(α , γ)¹¹⁷Sb and ¹¹³In(α , n)¹¹⁶Sb are presented in Tables II and III, respectively. These results provide data for the astrophysical *p* process network calculations and for a test of statistical models.

The uncertainty in the measurements is based on the following partial errors: counting statistics (between 0.2% and 38.9%), detection efficiency (~8%), decay parameters (less than 5%), and target thickness (between 7% and 8%). The errors of the beam energy is governed by the energy loss in the targets determined with the SRIM code [29] (between 0.2% and 0.8%), uncertainties in the energy degrader foils (~1%), and the energy calibration and stability of the cyclotron (~0.5%). The (α , γ) reaction of ¹¹³In was carried out at 9.5 MeV with two different targets to check the systematic uncertainties. The cross section results of both measurements are in good agreement (Table II).

The (α, n) reactions of ¹¹³In populated the ground state $(T_{1/2} = 15.8 \text{ min})$ and isomeric state $(T_{1/2} = 60.3 \text{ min})$ of ¹¹⁶Sb. The total cross section of the ¹¹³In (α, n) ¹¹⁶Sb reaction

TABLE III. Measured cross sections and *S* factors of the ¹¹³In(α , *n*)¹¹⁶Sb reaction.

E_{beam} $E_{\text{c.m.}}^{\text{eff}}$ Cross section (MeV) (MeV) (mb) (×	S factor 10^{21} MeV b)
	272 + 02
10.032^{a} 9.660 0.07 ± 0.02	273 ± 93
10.565^{a} 10.187 0.27 ± 0.04	228 ± 35
11.000 10.606 0.82 ± 0.07	216 ± 21
11.111 ^a 10.704 1.00 ± 0.08	199 ± 19
11.500 11.085 2.1 ± 0.2	159 ± 14
12.003 11.573 6.0 ± 0.5	142 ± 14
12.612 12.162 13 ± 1	85 ± 8
13.000 12.536 25 ± 2	72 ± 7
13.500 13.018 50 ± 4	55 ± 5
14.142 13.640 88 ± 6	30 ± 3

^aMeasured with an energy degrader foil.

TABLE IV. Measured cross sections of the ¹¹³In(α , *n*) reactions that produce ground ^{116g}Sb and isomeric ^{116m}Sb states. For the analysis, 931.84- and 407.351-keV γ transitions, respectively, were used. For the decay parameters see Table I.

E _{beam}	$E_{\rm c.m.}^{\rm eff}$	Cross section $(10^{-6} b)$		
(MeV)	(MeV)	^{116m} Sb (407.351 keV)	^{116g} Sb (931.84 keV)	
10.032 ^a	9.660	17.1 ± 2.6	55 ± 22	
10.565 ^a	10.187	68.3 ± 6.3	205 ± 35	
11.000	10.606	173 ± 15	654 ± 66	
11.111 ^a	10.704	213 ± 17	790 ± 76	
11.500	11.085	459 ± 37	1622 ± 150	
12.003	11.573	1324 ± 107	4660 ± 490	
12.612	12.162	3763 ± 306	9657 ± 1030	
13.000	12.536	6662 ± 539	18197 ± 1915	
13.500	13.018	14585 ± 1190	35395 ± 3693	
14.142	13.640	27393 ± 2255	60236 ± 5720	

^aMeasured with an energy degrader foil.

was determined by taking the sum of the partial cross sections of ¹¹³In(α , n)^{116g}Sb and ¹¹³In(α , n)^{116m}Sb measured independently using 931.84- and 407.351-keV γ lines, respectively. The cross sections of these two (α , n) reactions are also listed separately in Table IV.

B. Comparison with Hauser-Feshbach predictions

For the ¹¹³In(α , γ)¹¹⁷Sb and ¹¹³In(α , n)¹¹⁶Sb reactions, the measured astrophysical S factors have been compared with the Hauser-Feshbach statistical model calculations obtained with the statistical model code NON-SMOKER^{WEB} [30] (an update and upgrade of the previous NON-SMOKER code [31-33]), version v5.4.2w, with different, frequently used α +nucleus potentials as seen in Figs. 3 and 4: McFadden-Satchler [34] (which is the standard setting), Fröhlich-Rauscher [35,36], and Avrigeanu et al. [37]. It has to be noted that both the potentials [34] and [37] have been fitted to scattering data at higher energies, [34] to data above 70 MeV for a wide range of nuclei, [37] to data above 14 MeV for nuclei around $A \approx 100$. The potential of Refs. [35,36], however, has been fitted to reaction data for nuclei in the mass range $144 \le A \le 157$ but was found to reproduce α -induced low-energy reaction cross sections well also for targets outside of this range.

Figure 3 shows that the calculations with the potentials of Refs. [34] and [37] overestimate the (α, γ) *S* factors by maximally factors of 1.8 and 8.3, respectively. For the potential of [35], although an agreement is observed at lower energies, the theoretical prediction deviates from the experimental data as the energy increases.

The case of the (α, n) reaction is shown in Fig. 4. The calculations with the potential of [34] have best agreement with the measured *S* factors. The predictions with the potential of Ref. [37] are higher than the experimental results by factors of between 1.5 and 2.1, with closer agreement at higher energy, while the obtained values with the potential of Ref. [35] underestimate the measurements by factors from 3.5 to 4.4, with better agreement at lower energy. The energy dependence



FIG. 3. Measured *S* factors of ¹¹³In(α , γ)¹¹⁷Sb reaction compared to theory using the NON-SMOKER^{WEB} v5.4.2w code [30] with different α +nucleus potentials by McFadden and Satchler [34], Fröhlich [35, 36], and Avrigeanu *et al.* [37]. Also shown is the astrophysically relevant energy range (Gamow window) for a stellar temperature of 3 GK.

of the *S* factor is overall reproduced satisfactorily by the potentials of Refs. [34] and [35] when appropriately scaled. The potential of Ref. [37] yields an increase in the *S* factor that is too steep when going to low energy. Note, however, that the predictions with the potentials of Refs. [34] and [37] become quite similar above 14 MeV.

C. α and γ width sensitivities of the predictions

Hauser-Feshbach cross sections depend on a number of nuclear properties that have to be known experimentally or predicted by a model. NON-SMOKER^{WEB} is a global code in



FIG. 4. Measured *S* factors of ¹¹³In(α , *n*)¹¹⁶Sb reaction compared to theory using the NON-SMOKER^{WEB} v5.4.2w code [30] with different α +nucleus potentials by McFadden and Satchler [34], Fröhlich [35, 36], and Avrigeanu *et al.* [37].

its standard setting, not fine-tuned to any local parameters and aiming at the best global description for a wide range of nuclei although there may be some deviations encountered when looking at individual reactions in an isolated manner. To understand the sensitivities and to disentangle the different contributions of nuclear properties or transitions, it has to be remembered that the central quantities in the statistical model are transmission coefficients T being related to averaged widths $T = 2\pi \rho \langle \Gamma \rangle$, where ρ is a nuclear level density. The cross section (or *S* factor) is related to the transmission coefficients in the entrance and exit channel [38,39]

$$\sigma \propto \frac{\mathcal{T}_{\text{entrance}} \mathcal{T}_{\text{exit}}}{\mathcal{T}_{\text{tot}}} \propto \frac{\langle \Gamma_{\text{entrance}} \rangle \langle \Gamma_{\text{exit}} \rangle}{\langle \Gamma_{\text{tot}} \rangle}, \tag{4}$$

where the subscript "tot" labels the total quantities which include all energetically accessible, "open" channels.

In our case, the entrance channel is always the system formed by an α particle and the target nucleus whereas the exit channel either contains a γ or a neutron plus the final nucleus, depending on the considered reaction. Particle transmission coefficients are calculated by solving the Schrödinger equation using an optical potential, radiative transmission coefficients include a sum of possible γ transitions with strengths derived from theoretical descriptions (see, e.g., Refs. [32,39] for details). In principle, also the nuclear level density enters both types of transmission coefficients via a sum over possible final states. However, the relevant final states are experimentally known for the reactions discussed in this article and no theoretical nuclear level density has to be invoked. For a given reaction and projectile energy it is not always obvious which (energy-dependent) transmission coefficients (or average widths) contribute most to the cross section according to the above equation and thus dominate the sensitivity. Similar considerations apply to Eq. (4) as to the well-known dependence of Breit-Wigner resonant cross sections [39]. When the widths in the numerator are of very different size and the larger width dominates the total width, the larger width will cancel out and the cross section depends only on the smaller width. The dependence is more complicated if both widths are of similar size or another open channel significantly contributes to the total width. Because the widths change with energy, the sensitivity is also energy dependent.

To inspect the sensitivity of the astrophysical *S* factors for the ¹¹³In(α , γ) and ¹¹³In(α , *n*) reactions to changes in the α , neutron, and γ width, additional calculations were performed, starting from the standard prediction (using the potential of McFadden and Satchler [34]) and independently varying the widths by factors 0.5 and 2. Here, the sensitivity δ is defined as the ratio of relative change in the *S* factor to one in the width,

$$\delta = \frac{\Delta S/S}{\Delta \Gamma/\Gamma},\tag{5}$$

where ΔS is the change in the *S* factor and $\Delta \Gamma$ is the change in the width Γ . Figure 5 shows the α , neutron, and γ width sensitivities of the *S* factors for the ¹¹³In(α , γ) and ¹¹³In(α , *n*) reactions: Zero sensitivity means there is no change in the *S* factor when the width is varied while $\delta = 1$ means the *S* factor changes by the same factor as the width.



FIG. 5. (Color online) Sensitivity of the astrophysical *S* factor for the (α, γ) and (α, n) reactions on ¹¹³In to a variation in the α, γ , and neutron widths, as a function of center-of-mass energy.

At low energies, the S factor for the ${}^{113}\text{In}(\alpha, \gamma){}^{117}\text{Sb}$ reaction is mainly sensitive to the variation in α width, as seen in Fig. 5, because the γ width is larger than the α width due to the Coulomb barrier hindering α particles to form a compound nucleus. The Coulomb repulsion is easier overcome at higher energy, leading to an increase in the α width, whereas the γ width is much less energy dependent. Therefore, the sensitivity to the γ width increases with increasing energy. Above the neutron threshold also the neutron width quickly increases and dominates the total width. This leads to a rescaling and keeps the sensitivity to both α and γ width high. Therefore, at the lowest energies (and within the astrophysically relevant Gamow window) the S factor is almost exclusively dependent on the α width while it is sensitive to both α and γ width above the Gamow window. This is why a variation of the γ width will not change the S factor at low energy, as shown in Fig. 6,



FIG. 6. *S* factors for the (α, γ) reaction obtained using NON-SMOKER^{WEB} v5.4.2w code with two different variations of γ width by factors 0.5 and 2, as well as the experimental values. Also shown is the astrophysically relevant energy range (Gamow window) for a stellar temperature of 3 GK.



FIG. 7. *S* factors for the (α, γ) reaction obtained using NON-SMOKER^{WEB} v5.4.2w code with two different variations of α width by factors 0.5 and 2, as well as the experimental values. Also shown is the astrophysically relevant energy range (Gamow window) for a stellar temperature of 3 GK.

whereas a change in the α width will affect the *S* factor almost equally at all energies, as seen in Fig. 7.

The sensitivity of the ¹¹³In(α , n) reaction is less complex than that of the capture reaction. It is also shown in Fig. 5. The *S* factors are sensitive to the α width across the full energy range, similar to the case of the (α , γ) reaction. There is appreciable sensitivity to the neutron width only in a small energy range above the neutron threshold. Toward higher energy, the neutron width becomes large and will dominate the total width and thus cancel out. This can also be seen in Figs. 8 and 9, which show the absolute changes of the predicted *S* factors when varying the α and neutron width, respectively.

Pondering the above sensitivities it becomes obvious that the (α, n) experiment, although astrophysically of minor



FIG. 8. *S* factors for the (α, n) reaction obtained using NON-SMOKER^{WEB} v5.4.2w code with two different variations of α width by factors 0.5 and 2, as well as the experimental values.



FIG. 9. *S* factors for the (α, n) reaction obtained using NON-SMOKER^{WEB} v5.4.2w code with two different variations of n width by factors 0.5 and 2, as well as the experimental values.

importance, is useful to test the optical α potential that enters the calculation of the α widths. The capture reaction shows a more complicated dependence on *three* widths, the α , γ , and neutron width. Unfortunately, the ¹¹³In(α , n) reaction does not allow us to test the optical potential at astrophysically relevant energies because of the neutron threshold at positive energy. Contrary to the first impression one might get from Fig. 3, a combined study of Figs. 4 and 9 reveals that the potential of Ref. [34] actually reproduces the data best. Only a slight rescaling is needed to reproduce the *S* factor of the (α , n) reaction. Only the data point at the lowest energy may hint at an incorrect energy dependence toward even lower energies. However, this may also be caused by an incorrect neutron width just above the channel opening.

Taking into account the above conclusion, the measured capture S factor shows that the γ width seems to have been predicted to be too large. However, a rescaling of the γ width will not affect the S factor at the two lowest measured energies (see Fig. 6). At these two energies, the neutron width is also small and may have an impact. As shown in Fig. 9, to decrease the low-energy S factor of the (α, n) reaction and to bring it in accordance with the data, a decrease of the neutron width is needed. However, a smaller neutron width would lead to an even larger S factor at low energy in the (α, γ) reaction because in the capture reaction the neutron width appears only in the denominator of Eq. (4) as part of the total width. This indicates that the α width is predicted too large at the smallest energies and this can account for the low-energy behavior in both reactions. Interestingly, it appears as if the potential of Ref. [35] provides the required α width at those lowest energies (see Fig. 3) although its energy dependence is not suited to reproduce the (α, n) data at slightly higher energy (see Fig. 4). To explain the (α, γ) across the full range of measured energies, a combination of a smaller α width and a smaller γ width can reproduce the data.

IV. SUMMARY AND CONCLUSION

Using the activation method, the cross sections of the reaction ¹¹³In(α , γ)¹¹⁷Sb was measured from 8.66- to 13.64-MeV effective center-of-mass energy and the ones of the reaction ¹¹³In(α , n)¹¹⁶Sb in the energy range 9.66–13.64 MeV. This is at the upper end of the astrophysically relevant energy window. The results were compared to Hauser-Feshbach calculations with different optical α +nucleus potentials. A sensitivity study was performed by varying particle and γ widths.

We conclude that the combined (α, γ) and (α, n) data is acceptably well described by the potential of Ref. [34], except at the lowest energies where we see an indication that the energy dependence is incorrect and leads to overestimated *S* factors. It appears as if there is a transition from a potential close to the one of Ref. [34] to a potential close to the one of Ref. [35] within the Gamow window. We also found that the predicted γ width is too large but this is irrelevant at astrophysical energies.

Because the reverse rate varies in the same manner as the forward rate according to the principle of detailed balance, the photodisintegration rate will also become lower when the low-energy (α, γ) *S* factors become smaller [32]. Although ¹¹³In can be produced by ¹¹⁷Sb $(\gamma, \alpha)^{113}$ In, as studied here, the direct impact of a changed rate on *p* process calculations will be

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limited because the ¹¹⁷Sb(γ , n)¹¹⁶Sb channel is considerably faster [7]. A similar situation occurs for the destruction of ¹¹³In by (γ , α) and (γ , n) reactions. Nevertheless, problems with the optical α potential and the prediction of low-energy rates similar to the ones found here are expected for nuclei comprising (γ , α) branchings in the p process path. Further measurements to lower energy with ¹¹³In and other targets are required to globally determine the actual energy dependence of the optical α potential at low energy and thus improve the prediction of astrophysical *S* factors and reaction rates.

ACKNOWLEDGMENTS

The authors thank Jim Fitzgerald for giving permission to use FitzPeaks Gamma Analysis Software. This work was supported by The Scientific and Technological Research Council of Turkey TUBITAK [Grant-108T508 and Grant TBAG-U/111(104T2467)], Kocaeli University BAP (Grant 2007/37, 2007/36), Erasmus (LLLP), the European Research Council Grant Agreement No. 203175, the Economic Competitiveness Operative Programme GVOP-3.2.1.-2004-04-0402/3.0, OTKA (K68801, T49245) and the Swiss NSF (Grant 2000-105328). Gy. Gy. acknowledges support from the Bolyai grant.

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