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We present calculations of fission properties for heavy elements. The calculations are based on the macroscopic-microscopic finite-range liquid-drop model with a 2002 parameter set. For each nucleus we have calculated the potential energy in three different shape parametrizations: (1) for 5 009 325 different shapes in a five-dimensional deformation space given by the three-quadratic-surface parametrization, (2) for 10 850 different shapes in a three-dimensional deformation space spanned by  $\epsilon_2$ ,  $\epsilon_4$ , and  $\gamma$  in the Nilsson perturbed-spheroid parametrization, supplemented by a densely spaced grid in  $\epsilon_2$ ,  $\epsilon_3$ ,  $\epsilon_4$ , and  $\epsilon_6$  for axially symmetric deformations in the neighborhood of the ground state, and (3) an axially symmetric multipole expansion of the shape of the nuclear surface using  $\beta_2$ ,  $\beta_3$ ,  $\beta_4$ , and  $\beta_6$  for intermediate deformations. For a fissioning system, it is always possible to define uniquely *one* saddle or fission threshold on the optimum trajectory between the ground state and separated fission fragments. We present such calculated barrier heights for 1585 nuclei from  $Z = 78$  to  $Z = 125$ . Traditionally, actinide barriers have been characterized in terms of a “double-humped” structure. Following this custom we present calculated energies of the first peak, second minimum, and second peak in the barrier for 135 actinide nuclei from Th to Es. However, for some of these nuclei which exhibit a more complex barrier structure, there is no unique way to extract a double-humped structure from the calculations. We give examples of such more complex structures, in particular the structure of the outer barrier region near  $^{232}\text{Th}$  and the occurrence of multiple fission modes. Because our complete results are too extensive to present in a paper of this type, our aim here is limited: (1) to fully present our model and the methods for determining the structure of the potential-energy surface, (2) to present fission thresholds for a large number of heavy elements, (3) to compare our results with the two-humped barrier structure deduced from experiment for actinide nuclei, and (4) to compare to additional fission-related data and other fission models.

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**I. INTRODUCTION**

In fission a nucleus evolves from a single ground-state shape to separated fission fragments. A key question is, what are the optimum trajectories and the associated shapes and energies along these trajectories? In particular, what is the maximum energy along the optimum trajectory (barrier height), what are the symmetries of the nucleus at this saddle point, and what is the level density at the saddle? The saddle-point energy will tell us if thermal-neutron-induced fission is energetically possible. The balance between the neutron separation energy and fission-barrier height is decisive for determining the behavior of different actinide isotopes in a nuclear reactor and the nature of the termination of element synthesis in the r-process. The heaviest nucleus that can be formed in the r-process is determined by where the onset of neutron-induced fission occurs. As another example, if the  $Q$  value for electron capture (EC) is sufficiently large to allow states above or just below the fission barrier in the daughter to be populated, then electron-capture-delayed fission is possible.

Since the discovery of fission, it has been customary to begin the characterization of the process by calculating the

potential energy of a nucleus as a function of shape. Soon after the discovery of fission in 1938 the potential energy was described in terms of a liquid-drop model in which the potential energy is the sum of shape-dependent surface and Coulomb energy terms. This description was first invoked by Meitner and Frisch [1] and soon put on a more quantitative basis in the seminal paper by Bohr and Wheeler [2].

Several subsequent developments led to the much more complete theoretical picture of the fission potential energy that exists today, as is schematically illustrated in Fig. 1. In the first model of the fission barrier, the liquid-drop model, Bohr and Wheeler [2] expanded the Coulomb and surface energies to fourth order in  $\alpha_2$ , the lowest-order coefficient in an expansion of the reflection and axially symmetric shape in Legendre polynomials. With this approximation, they could determine barrier heights and corresponding deformations for very heavy nuclei. By clever formulations, they were also able to deduce the barriers of light nuclei and by interpolation span the region in between, thus obtaining estimates of fission barriers throughout the periodic system. In an important 1947 paper which foreshadowed many of the developments to emerge more than a decade later, Frankel and Metropolis [3] calculated the Coulomb and surface energies of more highly deformed nuclear shapes using numerical integration. This was one of the first basic-physics calculations done on a digital computer.

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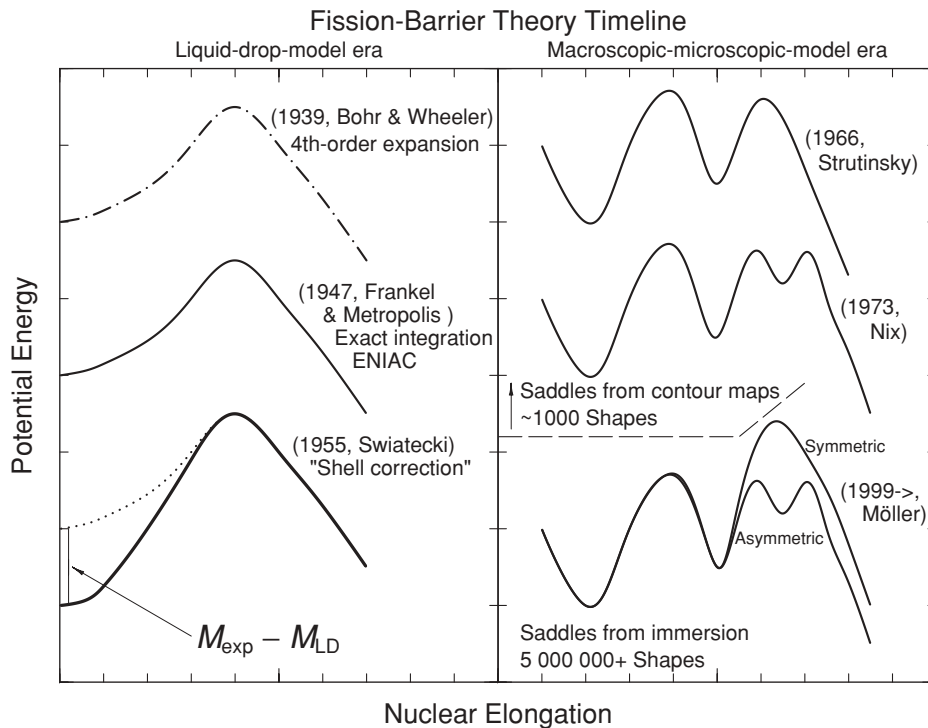


FIG. 1. Historical development of fission-barrier theory. For details, see the text.

For more than a decade afterward, developments reverted to attempting to model the macroscopic energies by more complicated expansions in deformation parameters, which was never completely satisfactory because of convergence difficulties. When numerical calculations were resumed in earnest around 1960, major progress in understanding the liquid-drop model rapidly followed. In a parallel development, in 1955 Swiatecki [4] suggested that more realistic fission barriers could be obtained by adding a “correction energy” to the minimum in the liquid-drop-model barrier. The correction was calculated as the difference between the experimentally observed nuclear ground-state mass and the mass given by the liquid-drop model. Swiatecki obtained much improved theoretical spontaneous-fission half-lives based on these modified liquid-drop-model barriers. These observations formed the basis for the shell-correction method. In the mid-1960s, Strutinsky [5,6] presented a method to theoretically *calculate* these shell corrections. His method and parallel experimental results led to the realization that actinide fission barriers are “double-humped”: beyond the ground-state minimum there are two saddles or maxima in the fission barrier, separated by a fission-isomeric second minimum. Later, Nix [7] proposed that certain experimental data could best be explained if the outer barrier peak were split into two peaks separated by a shallow third minimum. In these early studies, the fission potential energy, was usually calculated only for a few hundred nuclear shapes. However, to obtain a realistic picture of the potential energy, it is necessary to calculate the energy for several million different shapes and use special techniques for identifying relevant saddle points on the paths from the nuclear ground state to the separated fission fragments. We have explored some of the consequences of this approach in a series of papers [8–12].

The complete specification of our macroscopic-microscopic model of potential energy for a given shape has been given elsewhere [13]. Some references in Ref. [13] that give additional background information are included here as Refs. [14–22]. We limit this presentation to the specific details of how we apply the model in the current calculations. Since many issues related to determining fission saddle points appear to be poorly understood, we discuss these crucial issues in some detail. In particular, there is a belief that the Hartree-Fock (HF) method automatically takes all higher shape degrees of freedom into account and that this means that saddle points are well determined in this method. This was first stated in 1973 [23] and repeated in 1980 in a commonly used textbook [24], in which it is also stated that one-constraint HF calculations are superior to macroscopic-microscopic Strutinsky calculations which must calculate multidimensional surfaces. Both assertions are incorrect. Deficiencies that are similar to those of constrained HF calculations, but not identical, are present in macroscopic-microscopic calculations that display results versus two variables, say  $\beta_2$  and  $\beta_3$ , and minimize the energy with respect to additional multipoles rather than calculate the complete deformation space in all the variables considered. We show by specific examples that even in Hartree-Fock-Bogoliubov (HFB) calculations with multiple constraints, saddle-point shapes and energies frequently cannot be determined accurately and are subject to errors of fairly random magnitude. In brief, the source of the errors is that the unconstrained shape variables vary in an uncontrolled fashion and may assume values that lead the method to converge to apparent saddle points that lie high up on a mountain side, rather than to the optimum saddle between the ground state and separated fragments. In contrast, shape variables in our method are completely specified and are

therefore totally controlled. Rather than being a weakness of our method, it is a significant strength. We provide details in Secs. II and III.

We use previously developed techniques to perform a systematic calculation of fission potential-energy surfaces for 5254 nuclei from  $A = 171$  to  $A = 330$  from the proton drip line to the neutron drip line in three different deformation spaces for each nucleus. We calculate potential energies in a five-dimensional (5D) space for 5 009 325 different shapes, in a three-dimensional (3D) space that includes axial asymmetry for 10 850 different shapes, and in a constrained  $\beta$  parametrization; details are given below.

We also devote special attention to the determination of ground-state shapes and energies. The fission-barrier height is the difference between the saddle-point energy and the ground-state energy. We considerably improve the calculations of ground-state shapes and energies compared with those on which our 1995 mass tables [13] are based. In the 1995 work, true minimizations were done in a two-dimensional (2D) coordinate space on a fairly coarse grid. Two extra degrees of freedom were separately investigated in one-dimensional studies with these two coordinates held fixed. We have improved the specification of the ground state in several ways. The determination of the ground-state energy is based on a calculation in a four-dimensional (4D) grid in  $\epsilon_2, \epsilon_3, \epsilon_4$ , and  $\epsilon_6$ , with a spacing of 0.01 in all four coordinates. In addition we study the effect of axial asymmetry in a 3D deformation space. Finally, the decision of which of several minima is the ground state is based on a consideration of the fission-barrier height with respect to the various minima.

Our complete results are too extensive to present in a paper of this type, so we have elected to present here: (1) calculated barrier heights (one number) for 1585 nuclei from  $Z = 78$  to  $Z = 125$  from the proton drip line to about five neutrons beyond  $\beta$  stability, (2) calculated “double-humped” barrier structures for 135 actinide nuclei from Th to Es, and (3) a discussion of how characteristic features of the saddle-point shape, features that only emerge in very detailed fission potential-energy calculations, have substantial influence on saddle-point level densities. More neutron-rich nuclei are not accessible on earth and will be discussed in a subsequent paper with an astrophysical focus. It is expected that the detailed information on the structure of fission barriers that we have uncovered will go beyond “statics” and also be useful in improving and constraining nuclear reaction codes used to model cross sections and support future advances in the ENDF/B-VII cross-section data base [25]. Apart from level-density results, we also give some examples of fission half-life calculations based on our calculated potential-energy surfaces.

## II. MODELS

We calculate the fission potential energy as a function of shape in the macroscopic-microscopic model. Because our model of the nuclear potential energy is not an analytical expression in terms of deformation parameters, there is in a static calculation no *a priori* way to know which shapes we need to study to find the optimum path and saddle point

between a single ground-state shape and separated fragments. We need to calculate the energy for all shapes that could conceivably be relevant. In the nuclear-physics community, there have existed significant misconceptions about the need to calculate the energy for **all** shapes that might be of relevance. Various shortcuts have been employed, such as minimization with respect to additional shape degrees of freedom beyond, for example, the quadrupole and octupole moments in either macroscopic-microscopic calculations, or constrained Hartree-Fock models. These shortcuts are inadequate, as we discuss in Secs. III and IV. The issues involved may be made more clear by use of an analogy, namely, finding the optimal route and lowest saddle point (“pass”) between the U.S. Atlantic and Pacific coasts. This problem cannot be solved by considering only local properties of the topography. The solution requires a global consideration of the entire landscape of the United States [26]. The same is true for the energy landscape relevant to fission. It is necessary to have available for analysis a “topographical map” of the entire landscape. We now define the shape coordinates we have chosen to study in order to obtain a sufficiently complete landscape.

### A. Shape parametrizations

The potential-energy surfaces that serve as the starting point for finding saddle points, minima, and other structures such as fission valleys beyond the outermost saddle are calculated in three different shape parametrizations, depending on the deformation region studied. Our aim is to use an optimal parametrization in each region of deformation. We believe our studies are unique in their capability to use more than one shape parametrization in the same physical model, and to compare them in regions where they overlap (see Sec. IV). The three parametrizations we use are the Nilsson perturbed-spheroid ( $\epsilon$ ) parametrization, the  $\beta$  parametrization, and the Swiatecki-Nix three-quadratic-surface (3QS) parametrization [27,28]. The  $\epsilon$  parametrization is able to describe shapes from somewhat oblate shapes through the sphere out to shapes in the vicinity of the fission-isomeric state. We use it to describe axially asymmetric shapes in the region between the prolate and oblate axes out to  $\epsilon_2 = 0.75$ . The  $\beta$  parametrization, in which the  $\beta$ 's are coefficients in an expansion in spherical harmonics of the nuclear surface function, restricted in this work to axial symmetry, describes shapes in the same region, but is useful for slightly larger deformations. However, because it is an expansion, many terms would be required to describe realistic saddle-point shapes with small necks. Although it is indeed trivially simple to describe shapes with small necks in this parametrization, a major difficulty is that no *independent* control can be exercised over the shapes of the emerging fragments late in the fission process. Thus, it is difficult to generate a smooth shape consisting of, for example, an exactly spherical, partially formed fragment joined by a neck region to a partially formed deformed fragment. In the 3QS parametrization, on the other hand, these exactly spherical and spheroidal partial fragments are easy to describe. The 3QS parametrization is therefore the most suitable of the three for studying shapes from the fission-isomeric minimum to

scission. Shapes and energies of the fission-isomeric state can be calculated accurately in all three parametrizations and the energies and deformations obtained with the three different parametrizations agree very well as we elaborate below. This agreement constitutes an excellent test of our model and computer codes. In making our tables and figures, we compare the results in all three parametrizations and choose the lowest result obtained for the minima and saddle points. We now define the three parametrizations and how we choose our deformation grid in each of them.

### 1. Nilsson perturbed spheroids

For small to moderate deformations, we use the Nilsson perturbed-spheroid ( $\epsilon$ ) parametrization. Because its precise definition is quite lengthy, we refer to our mass paper [13] for complete details. (Some misprints have been corrected in Ref. [29].) We calculate the potential energy in a three-dimensional deformation space versus  $\epsilon_2$  (elongation),  $\epsilon_4$  (neck coordinate), and  $\gamma$  (axial asymmetry) for the deformation space spanned by

$$\begin{aligned}\epsilon_2 &= (0.0, 0.025, \dots, 0.75), \\ \gamma &= (0.0, 2.5, \dots, 60.0), \quad \text{and} \\ \epsilon_4 &= (-0.12, -0.10, \dots, 0.14),\end{aligned}$$

altogether 10850 different shapes for each nucleus. Our immersion method (see Sec. III) is used to investigate the structure of each potential-energy surface we calculate. Specifically we tabulate all minima and the saddle points between all possible pairwise combinations of minima. We use all axially symmetric minima found to calculate improved ground-state energies and deformations. We use the  $\epsilon_2$  and  $\epsilon_4$  values at these minima as starting points for a separate minimization in a four-dimensional space defined by the coordinates  $\epsilon_2$ ,  $\epsilon_3$ ,  $\epsilon_4$ , and  $\epsilon_6$ . Two minimizations are performed, one starting with  $\epsilon_3 = 0.0$  and  $\epsilon_6 = 0.0$  and a second starting with  $\epsilon_3 = 0.1$  and  $\epsilon_6 = 0.0$ . All four coordinates are varied in steps of 0.01.

### 2. Multipole expansion

One commonly used parametrization of nuclear shapes is the  $\beta$  parametrization. It is an expansion of the radius vector in spherical harmonics:

$$r(\theta, \phi) = R_0 \left( 1 + \sum_{l=1}^{\infty} \sum_{m=-l}^l \beta_{lm} Y_l^m \right), \quad (1)$$

where  $R_0$  is deformation-dependent so as to conserve the volume inside the nuclear surface. When only axially symmetric shapes are considered, the notation  $\beta_l$  is normally used for  $\beta_{l0}$ . Since the spherical harmonics  $Y_l^m$  are orthogonal, one may determine the  $\beta$  parameters corresponding to any shape defined by a radius vector  $r(\theta, \phi)$  in any parametrization, including the  $\epsilon$  parametrization, by the use of

$$\beta_{lm} = \sqrt{4\pi} \frac{\int r(\theta, \phi) Y_l^m(\theta, \phi) d\Omega}{\int r(\theta, \phi) Y_0^0(\theta, \phi) d\Omega} \quad (2)$$

where  $r$  is the radius vector in the other parametrization. Higher-order  $\beta$  parameters corresponding to a specific shape

in the  $\epsilon$  parametrization are generally nonzero even if the higher-order  $\epsilon$  parameters are identically zero. A shape in the  $\epsilon$  parametrization for which  $\epsilon_2 \neq 0$  and all higher  $\epsilon_v$  are zero is a pure spheroid, and conversely a shape with only  $\beta_2 \neq 0$  is *not* a pure spheroid.

We use the axially symmetric  $\beta$  parametrization to calculate constrained one-dimensional “fission-barrier” curves that are plotted as a function of the charge quadrupole moment  $Q_2$ . We use two different elongation constraints: (1) the distance  $r$  between mass centers of the two parts of the nucleus [18, 21,30,31] and (2)  $\beta_2$ . For each of the two constraints, we minimize the energy with respect to  $\beta_3$ ,  $\beta_4$ , and  $\beta_6$ , using the values at the previous elongation as starting values. During the minimization iterations using the constraint  $r$ ,  $\beta_2$  is varied so that the constraint is maintained. We do this mainly to illustrate that constrained methods in practice may yield incorrect saddle points. However, minima are usually correctly given by this procedure. As mentioned earlier, when we tabulate or plot a saddle-point energy or the energy of a minimum, we look at the results obtained for this particular point in all three parametrizations and use the lowest value.

### 3. Three quadratic surfaces

From Sec. III, it is clear that to determine the structure of a multidimensional fission potential-energy function, it is necessary to calculate *a complete hypercube or hypervolume* in the multidimensional space. Because nascent-fragment shell effects strongly influence the structure of the fission potential-energy surface long before scission, often by the outer saddle region, it is crucial to include the nascent-fragment deformations as two independent shape degrees of freedom. In addition, elongation, neck diameter, and mass-asymmetry degrees of freedom are also necessary, leading to a minimum of five shape coordinates required to adequately describe the fission potential-energy surface in the region between the fission isomer and scission.

The 3QS parametrization ideally satisfies these requirements. In this parametrization, the shape of the nuclear surface is specified in terms of three smoothly joined portions of quadratic surfaces of revolution. They are completely specified [28] by

$$\rho^2 = \begin{cases} a_1^2 - \frac{a_1^2}{c_1^2}(z - l_1)^2, & l_1 - c_1 \leq z \leq z_1, \\ a_2^2 - \frac{a_2^2}{c_2^2}(z - l_2)^2, & z_2 \leq z \leq l_2 + c_2, \\ a_3^2 - \frac{a_3^2}{c_3^2}(z - l_3)^2, & z_1 \leq z \leq z_2. \end{cases} \quad (3)$$

Here the left-hand surface is denoted by the subscript 1, the right-hand one by 2, and the middle one by 3. Shapes 1 and 2 are spheroids, for which  $c$  is the semi-symmetry axis length,  $a$  is the semi-transverse axis length, and  $l$  specifies the location of the center of the spheroid. The middle body may be a spheroid or a hyperboloid of one sheet, for which  $c_3$  is imaginary. At the left and right intersections of the middle surface with the end surfaces, the value of  $z$  is  $z_1$  and  $z_2$ , respectively. Surfaces

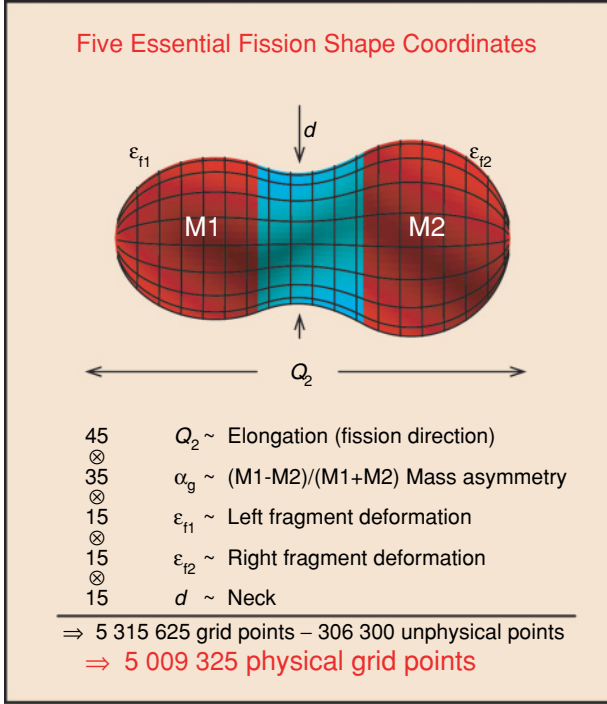


FIG. 2. (Color online) Five-dimensional shape parametrization used in the potential-energy calculations. Different colors indicate the three different quadratic surfaces defined by Eq. (3). The first derivative is continuous where the surfaces meet. Note that everywhere in this paper we give the charge quadrupole moment  $Q_2$  in terms of  $^{240}\text{Pu}$  with the same shape as the nucleus considered, so there is no nuclear size or charge effect in  $Q_2$ . The end-body masses, or equivalently volumes,  $M_1$  and  $M_2$ , refer to the left and right nascent fragments were they completed to closed shapes. For the nascent spheroidal fragments we characterize the deformations by Nilsson's quadrupole  $\epsilon$  parameter.

1 and 2 are also referred to as end bodies and, alternatively, nascent fragments. They are indicated in red in Fig. 2.

There are 11 numbers required to specify the expressions in Eq. (2), but the conditions of constancy of the volume and continuous function and first derivative at  $z_1$  and  $z_2$  eliminate five numbers. The introduction of an auxiliary unit of distance  $u$  through

$$u = \left[ \frac{1}{2} (a_1^2 + a_2^2) \right]^{\frac{1}{2}} \quad (4)$$

permits a natural definition of two sets of shape coordinates. We define three symmetric coordinates  $\sigma_i$  and three reflection-asymmetric coordinates  $\alpha_i$  by

$$\begin{aligned} \sigma_1 &= \frac{(l_2 - l_1)}{u}, \\ \sigma_2 &= \frac{a_3^2}{c_3^2}, \\ \sigma_3 &= \frac{1}{2} \left( \frac{a_1^2}{c_1^2} + \frac{a_2^2}{c_2^2} \right), \\ \alpha_1 &= \frac{1}{2} \frac{(l_1 + l_2)}{u}, \end{aligned}$$

$$\begin{aligned} \alpha_2 &= \frac{(a_1^2 - a_2^2)}{u^2}, \\ \alpha_3 &= \frac{a_1^2}{c_1^2} - \frac{a_2^2}{c_2^2}. \end{aligned} \quad (5)$$

The coordinate  $\alpha_1$  is not varied freely but is determined by the requirement that the center of mass be at the origin, which leaves us with five independent shape coordinates.

When a grid of deformation points is selected in the 3QS parametrization, a substantial practical problem is that not all values of the deformation parameters correspond to a physical shape. Another complication is that some of the shape parameters are rather indirectly related to more familiar quantities associated with nuclear shapes. To generate a reasonable deformation grid in the 3QS parametrization, we therefore select the input deformations by starting from more familiar geometrical concepts.

First, it is reasonable to expect that at some stage of the fission process the shape of the emerging fragments will start to resemble the ground-state shapes of the final fragments. In terms of the Nilsson perturbed-spheroid  $\epsilon_2$  parameter [32–34] we designate the shape of surface 1 by  $\epsilon_{f1}$  and the shape of the other end body by  $\epsilon_{f2}$ . The deformation parameters  $\sigma_3$  and  $\alpha_3$  are connected to  $\epsilon_{f1}$  and  $\epsilon_{f2}$  by the relations

$$\sigma_3 = \frac{1}{2} \left[ \left( \frac{3 - 2\epsilon_{f1}}{3 + \epsilon_{f1}} \right)^2 + \left( \frac{3 - 2\epsilon_{f2}}{3 + \epsilon_{f2}} \right)^2 \right] \quad (6)$$

and

$$\alpha_3 = \left[ \left( \frac{3 - 2\epsilon_{f1}}{3 + \epsilon_{f1}} \right)^2 - \left( \frac{3 - 2\epsilon_{f2}}{3 + \epsilon_{f2}} \right)^2 \right]. \quad (7)$$

In our present calculation we investigate spheroidal shapes of the end bodies that in terms of  $\epsilon_{f1}$  and  $\epsilon_{f2}$  correspond to the set

$$\{-0.2, -0.15, -0.1, 0.00, 0.1, 0.15, 0.175, 0.2, 0.225, 0.25, 0.275, 0.3, 0.35, 0.4, 0.5\}. \quad (8)$$

This set includes most fission-fragment ground-state shapes. It is not necessary to consider higher deformation components such as  $\epsilon_4$ . For ground states of nuclei lighter than the rare earths, that is, in the fission-fragment region relevant to this study, higher shape multipoles usually lower the ground-state energies by considerably less than an MeV. Thus we have 15 left- and 15 right-fragment deformations. Each nascent-fragment deformation set includes the sphere and three oblate shapes.

A common notation used to characterize the fragment mass asymmetry of a fission event is  $M_H/M_L$  where  $M_H$  and  $M_L$  are the masses of the heavy and light fission fragments, respectively. For the purpose of grid generation for the potential-energy calculation, it is convenient to relate a mass-asymmetry shape degree of freedom for the precission nucleus to the final fission-fragment mass asymmetry in some fashion, although the final mass division, strictly speaking, cannot be determined from the static shapes occurring before scission. However, the exact nature of our definition of mass asymmetry for a single shape has no effect on the calculated saddle-point energies and shapes because our 5D

grid covers all the physically relevant space available to the 3QS parametrization, regardless of how we choose to define the “mass-asymmetry” coordinate. So that we obtain equations that are reasonably simple to work with for the purpose of grid generation, we define an auxiliary grid mass-asymmetry parameter  $\alpha_g$

$$\alpha_g = \frac{M_1 - M_2}{M_1 + M_2} \quad (9)$$

where  $M_1$  and  $M_2$  are the volumes inside the end-body quadratic surfaces, were they completed to form closed-surface spheroids. Thus

$$\alpha_g = \frac{a_1^2 c_1 - a_2^2 c_2}{a_1^2 c_1 + a_2^2 c_2}. \quad (10)$$

The 3QS parameter  $\alpha_2$  is then completely determined by the relation

$$\alpha_2 = 2 \frac{\left( \frac{(\alpha_g+1)^2 (2\sigma_3+\alpha_3)}{(\alpha_g-1)^2 (2\sigma_3-\alpha_3)} \right)^{1/3} - 1}{\left( \frac{(\alpha_g+1)^2 (2\sigma_3+\alpha_3)}{(\alpha_g-1)^2 (2\sigma_3-\alpha_3)} \right)^{1/3} + 1} \quad (11)$$

for specific values of  $\varepsilon_{f1}$  and  $\varepsilon_{f2}$  of the end bodies, which determine  $\sigma_3$  and  $\alpha_3$ . With this definition we select 35 grid points corresponding to

$$\alpha_g = -0.02, 0.00, \dots, 0.66. \quad (12)$$

We have closely spaced the asymmetry coordinate so that points on the grid close to fragment magic proton and neutron numbers will be included; in a more sparsely spaced grid they may not appear. For  $^{240}\text{Pu}$  the values 0.00, 0.02, and 0.66 of the mass-asymmetry coordinate  $\alpha_g$  correspond to the mass divisions 120/120, 122.4/117.6, and 199.2/40.8, respectively.

Because of the intuitive appeal of the notation  $M_H/M_L$ , we use it below to characterize the “asymmetry” of a single shape. We then connect  $M_H$  and  $M_L$  to  $\alpha_g$  through

$$M_H = A \frac{1 + \alpha_g}{2} \quad \text{and} \quad M_L = A \frac{1 - \alpha_g}{2} \quad (13)$$

for a nucleus with  $A$  nucleons for which the left fragment is heavier than the right, which is the case for all our examples below. For shapes with a well-developed neck, the ratio obtained with this definition can be expected to be close to but not equal to the final fragment mass-asymmetry ratio. We cannot conveniently use  $M_1$  and  $M_2$  to designate the final fragment mass asymmetries, because they do not sum up to the total nuclear volume or mass. Equation (13) simply represents a scaling of  $M_1$  and  $M_2$  so that their sum after scaling adds up to the total mass number  $A$ .

We select the deformation parameter  $\sigma_1$  so that our grid consists of 45 values of the quadrupole moment  $Q_2$ . That is, for each combination  $d, \alpha_g, \varepsilon_{f1}$ , and  $\varepsilon_{f2}$  we determine by a numerical procedure 45 values of  $\sigma_1$  so that 45 preselected values of  $Q_2$  are obtained.

The electric multipole moment  $Q_\lambda$  for a homogeneously charged, sharp-surfaced volume is defined by

$$Q_\lambda = 2 \left( \frac{3Z}{4\pi r_0^3 A} \right) \int_V r^\lambda P_\lambda(\cos\theta) d^3r \quad (14)$$

In a cylindrical coordinate system, such as the 3QS parametrization, this simplifies to

$$Q_\lambda = \left( \frac{3Z}{r_0^3 A} \right) \int_V \sqrt{\rho^2 + z^2}^\lambda \rho P_\lambda \left( \frac{z}{\sqrt{\rho^2 + z^2}} \right) d\rho dz. \quad (15)$$

To remove a trivial size and charge effect, we normalize the value of  $Q_\lambda$  to that possessed by a  $^{240}\text{Pu}$  nucleus having the same shape.

In the selection of  $\sigma_2$  values, it is useful to observe that for small values of  $\sigma_1$  there is a minimum neck diameter  $d > 0$ . At a certain transition point  $\sigma_1 = \sigma_{1t}$  a zero-width neck  $d = 0$  can form. This transition configuration, for which the middle body is absent, is the scission configuration of completely formed spheroidal fragments, or, alternatively the *polar-parallel* [35] touching configuration of colliding heavy ions. For this configuration we find in the completely general case of arbitrary mass asymmetry and end-body eccentricities:

$$\sigma_{1t} = \frac{\sqrt{\frac{\alpha_2 \alpha_3 - 2\alpha_2 \sigma_3 + 2\alpha_3 - 4\sigma_3}{\alpha_2 \alpha_3 + 2\alpha_2 \sigma_3 - 2\alpha_3 - 4\sigma_3}} + 1}{\sqrt{\frac{\alpha_3 - 2\sigma_3}{\alpha_2 - 2}}}. \quad (16)$$

Because the fission saddle point occurs before scission for heavy systems, we do not investigate the separated-fragment configurations requiring a two-sheet hyperboloid for the middle body that can occur in the region  $\sigma_1 > \sigma_{1t}$ . Thus, for  $\sigma_1 < \sigma_{1t}$  the minimum value of the neck that we consider is the minimum value allowed by the parametrization. For  $\sigma_1 > \sigma_{1t}$  the minimum value of the neck is zero, corresponding to a scission configuration. Based on these considerations we select 15 values of  $\sigma_2$  so that for each combination of  $Q_2, \varepsilon_{f1}, \varepsilon_{f2}$ , and  $\alpha_g$  we obtain a suitable spacing for  $d$  in the range between the smallest and largest value possible for this highly nonlinear variable.

This choice of deformation coordinates would be expected to yield 5 315 625 grid points in the full 5D space of the parametrization. In fact, our study completely exhausts the physically relevant space available in this parametrization. However, shapes corresponding to certain quadrupole moments do not exist for specific combinations of the other shape parameters. For example, zero quadrupole moment cannot be realized for shapes with very prolate end fragments. In our grid, there exist 306 300 such “unphysical” points. Thus we are left with 5 009 325 shapes for which we actually calculate the potential energy.

To summarize, we consider the physically relevant part of the full 5D space of the 3QS parametrization in terms of 45 values of the renormalized charge quadrupole moment  $Q_2$ , 15 values of the neck diameter  $d$ , 15 values of the fragment deformations  $\varepsilon$  for each of the two nascent fragments, and 35 values of the mass asymmetry  $\alpha_g = (M_1 - M_2)/(M_1 + M_2)$ , where  $M_1$  and  $M_2$  are the volumes of the left and right nascent fragments were they completed to closed shapes. The various shape coordinates are enumerated in Fig. 2, where an example of a shape is shown. We have earlier [8] emphasized that it is important to consider a *dense* grid in  $\varepsilon$  and mass asymmetry because fragment shell corrections vary rapidly in a narrow range of these deformation coordinates. For example, near  $^{132}\text{Sn}$ , the microscopic corrections vary by 1 MeV for a change of  $Z$  or  $N$  by one unit [13].

### B. Potential energy

For a specific prescribed shape, the main steps to determine the potential energy are the following:

- (i) The macroscopic surface, Coulomb, and additional shape-dependent energy terms, if any, are calculated and the macroscopic energy is determined.
- (ii) A single-particle potential of the prescribed shape is generated numerically on a spatial grid of sufficient density to yield the required accuracy in numerical calculations of the matrix elements of the Hamiltonian.
- (iii) The single-particle Hamiltonian based on the above spatial part plus a spin-orbit interaction is diagonalized and single-particle levels are obtained.
- (iv) The single-particle levels serve as the starting point for calculating the microscopic shell corrections for protons and neutrons by use of Strutinsky's method [5,6] and microscopic pairing corrections based on the Lipkin-Nogami method in the form implemented in Ref. [19].
- (v) The shell- and pairing-correction terms for neutrons and protons are added to the macroscopic energy to obtain the total potential energy. All total potential energies in this work are expressed with respect to our defined reference point, the macroscopic energy of the spherical shape.

The single-particle model used in our calculation is the folded-Yukawa model completely specified in our 1995 mass paper [13], with additional discussions and investigations in Refs. [14–22]. For the macroscopic model, we use the finite-range liquid-drop model (FRLDM) which is also specified in that paper, supplemented by the explicit inclusion of a shape-dependent Wigner energy [12,18], which only contributes for shapes with necks. However, because the use of many more points in more general deformation spaces systematically leads to lower saddle points than were obtained for fission barriers in the 1995 paper, which used more than 20 000 times fewer points, it was necessary to redetermine the coefficients of the macroscopic FRLDM. This work is described in Ref. [12]. It is noteworthy that the improved barrier modeling led to a model in which calculated barriers agree with data significantly better than in the 1995 model, while the mass-model error also decreased, by more than 2%, although none of the ingredients other than the macroscopic-energy coefficients in the mass model itself were changed. In our studies of large-dimensional deformation spaces we have observed that the other macroscopic model used in the 1995 mass paper, the finite-range droplet model (FRDM), cannot be applied to strongly deformed shapes with narrow neck regions. Such shapes are important for describing saddle points of lighter nuclei, and for modeling the fission process between the saddle and the scission points. The reason is that some of the terms occurring in the FRDM energy expression were derived assuming small deformations around a spherical shape. However, we find that this model is still our preferred model for nuclear masses since it describes masses more accurately than the mass model based on the FRLDM and since no ground states involve such highly deformed shapes.

Our main emphasis is to calculate fission-barrier heights. Fission barriers of actinide nuclei are often “double-humped” and the first saddle, second (fission-isomeric) minimum and second saddle are usually denoted by  $E_A$ ,  $E_{II}$ , and  $E_B$  respectively. Outside a narrow range of actinide nuclei the fission barrier is more complex than a simple double-humped barrier, and the above parameters cannot be uniquely defined from the calculated potential-energy surfaces. We will elaborate later. However, all calculated potential-energy surfaces uniquely possess *one* maximum fission-barrier height, and for most nuclei in our study we therefore present only this unique fission-barrier height.

In a few cases, we present complete fission-barrier curves; that is, we plot the energy along optimal paths in the higher-dimensional energy landscapes versus deformation. In the region beyond the fission-isomeric state, there is often more than one valley in the calculated five-dimensional energy landscape. The valleys are separated by ridges, which can be several MeV high. Sometimes distinctly different saddle points separate these valleys from the fission-isomeric state. In other cases, the additional valleys in the landscape develop beyond the outer saddle so that there is only one saddle between these valleys and the fission-isomeric states. For a few nuclei, we present some of these features in the fission-barrier plots.

In our computer model, most of the time is used to calculate the single-particle levels. Once the levels are determined, the time needed to calculate the shell corrections and macroscopic contributions to the potential energy is almost negligible. The same set of levels can be used to calculate the shell corrections for several neighboring nuclei to satisfactory accuracy. However, excursions too far away would lead to inaccuracies because the single-particle well radius changes with the size of the system, and other parameters such as the spin-orbit strength also change slowly with nuclear size. In our calculation, we consider nuclei between the neutron and proton drip lines (beyond which nuclei are unstable with respect to neutron or proton emission) from  $A = 171$  to  $A = 330$ . We divide this region into 24 subregions, each of which is sufficiently limited so that within each region the shell correction can be calculated from the same set of single-particle levels. These regions are defined by first selecting nuclei lying in eight strips with mass number  $A$  between 171 and 190, 191 and 210 and so on, with each strip including 20 mass chains. We then divide each of these strips into three regions: one region on the proton-rich side of  $\beta$  stability, one region containing  $\beta$ -stable and near- $\beta$ -stable nuclei, and one region on the neutron-rich side of  $\beta$  stability. In each region, we calculate the energy of each nucleus for the entire space of deformations using the single-particle levels found for a central nucleus of the region.

The high-dimensional potential-energy space of each nucleus is then analyzed by immersion techniques described in Sec. III and all minima and all saddle points are identified. The energies at these deformations are recalculated with the precise model parameters for the nucleus under consideration; that is, the potential radii, depths, and other quantities that characterize the single-particle potential and which are all slowly varying functions of  $Z$  and  $N$  assume exactly their proper values for

this nucleus. This means that we assume that the location of a minimum or saddle is less sensitive to parameter variations than is the energy itself. We have performed numerous checks of this assumption and find it is fulfilled to a very high degree.

In the  $\beta$  parametrization, we limit ourselves to constrained calculations. As discussed in Sec. III, such an approach often does not lead to a correct determination of saddle points. However, minima are usually well determined. In the constrained calculation, we vary  $\beta_2$  to fulfill an elongation constraint, and minimize the energy with respect to  $\beta_3$ ,  $\beta_4$ , and  $\beta_6$ . The starting values of the higher multipoles are those of the previous point. Because the minimization approach requires the calculation of energies of so few shapes compared with the immersion approach, in this calculation we do not use a common set of single-particle levels for several nuclei, we instead perform the calculations individually for each system. We investigate two different elongation constraints. One is the distance  $r$  between mass centers of the two halves of the nucleus; for definitions, see Refs. [18,21,30,31]. In a second study we simply use  $\beta_2$  as our elongation constraint.

### C. Level densities

The relative cross sections for reflection-asymmetric and reflection-symmetric fission depend on the relative level densities at the outermost saddles, as well as the barrier heights and widths [36].

We calculate nuclear level densities by microscopic combinatorics based on single-particle levels and generate intrinsic (non-collective) many-particle-many-hole excitations [37]. Pairing is treated individually for *all* configurations within the BCS formalism [38]. The BCS wave function with excited quasiparticles is given by

$$|\tau\rangle = \prod_{v'' \in \tau_2} (-V_{v''} + U_{v''} a_{v''}^\dagger a_{\bar{v}''}^\dagger) \times \prod_{v' \in \tau_1} a_{v'}^\dagger \prod_{v \in \tau_0} (U_v + V_v a_v^\dagger a_{\bar{v}}^\dagger) |0\rangle, \quad (17)$$

where  $\tau_2$ ,  $\tau_1$ , and  $\tau_0$  denote the spaces of double, single, and zero quasiparticle excitations, respectively.  $U_v$  and  $V_v$  are the standard BCS occupation factors and  $|0\rangle$  is the particle vacuum [39].

The pairing gap  $\Delta$  and the Fermi energy  $\lambda$  are found by solving the BCS equations

$$\Delta = G \left[ \sum_{v \in \tau_0} U_v V_v - \sum_{v'' \in \tau_2} U_{v''} V_{v''} \right], \quad (18)$$

$$N = 2 \sum_{v \in \tau_0} V_v^2 + \sum_{v' \in \tau_1} 1 + 2 \sum_{v'' \in \tau_2} U_{v''}^2. \quad (19)$$

The pairing strength  $G$  is adjusted to reproduce the microscopic pairing gap found at the saddle in the fission potential-energy calculation.

Collective excitations, i.e., rotational, vibrational, and discrete symmetry degrees of freedom, contribute substantially to the total level density [40]. The rotational enhancement is taken into account by adding a modified rotor to each of the intrinsic noncollective states obtained from the single-particle

spectrum. The rotational energy is given by

$$E_{\text{rot}} = \frac{I(I+1) - K^2}{2\mathcal{J}_\perp(\Delta)}, \quad (20)$$

where  $I$  is the nuclear spin,  $K$  is the spin projection on the symmetry axis of the intrinsic state upon which the rotational band is built, and  $\mathcal{J}_\perp(\Delta)$  is the moment of inertia around an axis perpendicular to the symmetry axis. The moment of inertia is approximated by the rigid-body moment of inertia modified by the pairing gap as given in Ref. [41]. Given the spin projection  $K$  and parity  $\pi$  of the bandhead, the rotational band includes the following set of levels:

$$I^\pi = \begin{cases} K^\pi, (K+1)^\pi, (K+2)^\pi, \dots & \text{if } K \neq 0, \\ 0^+, 2^+, 4^+, \dots & \text{if } K = 0^+, \\ 1^-, 3^-, 5^-, \dots & \text{if } K = 0^-. \end{cases} \quad (21)$$

Counting all these states, while keeping track of parity and spin, gives the combinatorial level density  $\rho_{\text{comb}}(E, I, \pi)$ .

The total level density is given by

$$\rho_{\text{tot}}(E, I, \pi) = \rho_{\text{comb}}(E, I, \pi) K_{\text{vib}}(E), \quad (22)$$

where  $K_{\text{vib}}$  is the collective vibrational enhancement, which is here approximated by the phenomenological attenuated phonon method [42,43].

This level-density model has been tested by calculating s-wave neutron resonance level spacings for which experimental data are available [44,45]. For the compound nucleus  $(Z, N)$ , the level spacing  $D_0$  is given by

$$D_0 = \frac{1}{\rho_{\text{tot}}(S_n, I_0 + 1/2, \pi_0) + \rho_{\text{tot}}(S_n, I_0 - 1/2, \pi_0)}, \quad \text{for } I_0 > 0, \\ \text{and} \quad (23)$$

$$D_0 = \frac{1}{\rho_{\text{tot}}(S_n, 1/2, \pi_0)}, \quad \text{for } I_0 = 0,$$

where  $I_0$  and  $\pi_0$  are the ground-state spin and parity of the target nucleus  $(Z, N-1)$  and  $S_n$  is the neutron separation energy. The model is compared with data using the rms deviation factor defined in Ref. [42]

$$f_{\text{rms}} = \exp \left[ \frac{1}{N_e} \sum_{i=1}^{N_e} \ln^2 \frac{D_{\text{th}}^i}{D_{\text{exp}}^i} \right]^{1/2}, \quad (24)$$

where  $D_{\text{th}}$  ( $D_{\text{exp}}$ ) is the theoretical (experimental) resonance spacing and  $N_e$  is the number of nuclei in the dataset. In this model,  $f_{\text{rms}} = 3.2$  for 223 axially deformed nuclei ( $|\varepsilon_2| > 0.05$ ), which is somewhat larger than the  $f_{\text{rms}} = 2.13$  obtained in the HFB model in Ref. [42], but this latter model has a number of adjusted parameters. In our study we have not adjusted any parameters to level-density data.

To be able to express our microscopic results in terms of familiar concepts, we will, when we present our results below, adjust the Fermi-gas expression

$$\rho(E) = \frac{\sqrt{\pi}}{12a^{1/4}(E - E_{\text{shift}})^{5/4}} \exp[2\sqrt{a(E - E_{\text{shift}})}] \quad (25)$$

to our microscopic results and tabulate the resulting values for the level-density parameter  $a$  and backshift  $E_{\text{shift}}$ .



### III. ANALYSIS

Optimal saddle points between minima of a function of several variables cannot be determined by any type of local argument [26]. This is often not fully appreciated. The need to use the techniques we describe below is better understood if we start by giving a few examples of how and why the commonly used “minimization” techniques fail.

#### A. Fallacies in finding saddle points

Many in the nuclear physics community have the serious misconception that optimal saddle points between minima of a multidimensional potential-energy surface can be determined by calculating and displaying the potential-energy function as a function of two shape variables, for example,  $\beta_2$  and  $\beta_4$  [46] or  $\beta_2$  and  $\beta_3$  [47,48], where the potential-energy function for each value of the displayed coordinates has been “minimized” with respect to additional multipoles such as  $\beta_4, \beta_5, \beta_6,$  and  $\beta_7,$  or even more multipoles in, for example, Ref. [49]. To the contrary, such a procedure can yield fictitious saddle points that may be either higher or lower than the correct ones. The corresponding “saddle-point shapes” would also be different from the shapes obtained in a correct treatment of the multidimensional problem.

It is *also* a common misconception that *constrained* self-consistent calculations, for example HF or HFB calculations with Skyrme or Gogny forces [50,51], automatically “take into account” all nonconstrained variables in a way that will lead to accurate determination of saddle points. This assumption is incorrect, as is carefully explained in Ref. [52]. Here we reproduce and discuss in more detail the simplified example in Ref. [52] and add some additional examples. Figure 3

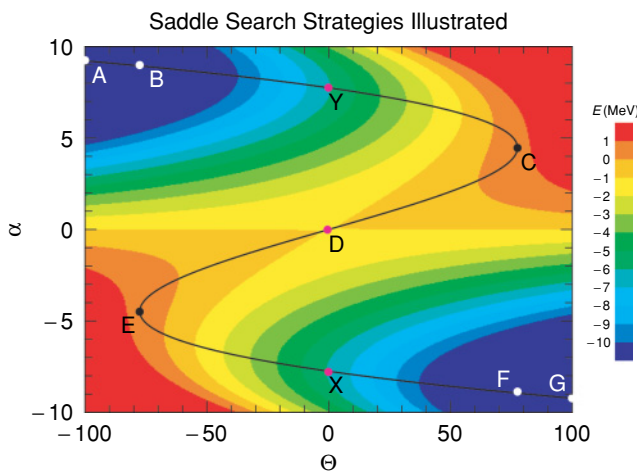


FIG. 3. (Color online) Two-dimensional schematic surface for which “minimizations” or constrained calculations fail. Bluer colors represent lower energies, redder ones higher energies. The parameter  $\Theta$  represents an elongation (fission) coordinate and  $\alpha$  represents all other coordinates. The solid line, defined by the condition of zero derivative of the energy with respect to  $\alpha$  for constrained  $\Theta$ , follows valleys and ridges in the surface, and passes through the true saddle point. The mathematical representation of this surface was given in Ref. [52].

represents in terms of a two-dimensional contour plot features that often occur in multidimensional potential-energy surfaces. Let us assume that  $\Theta$  represents an elongation coordinate such as  $\epsilon_2$  or  $Q_2$  and that  $\alpha$  represents all additional shape degrees of freedom. Often the essential features of such a high-dimensional potential-energy surface are represented by a one-dimensional (fission-barrier) curve. The aim in constructing such a curve is to include the optimal or lowest saddle point between successive minima. We illustrate in Fig. 3 that when using some common strategies, the optimal saddle points are **not** correctly identified. Let us assume we wish to plot in terms of the one variable  $\Theta$  the optimal path and in particular include on this path the saddle point between the lowest point on the left vertical axis of the diagram at  $\Theta = -100$  and  $\alpha = 9.1$  and the lowest point on the right vertical axis at  $\Theta = 100$  and  $\alpha = -9.1$ . It may then sound plausible, in particular if we study a function of more than two variables and cannot easily display it, that this optimal saddle point can be identified by plotting for each value of  $\Theta$  the lowest point with respect to the other variables. This technique has been applied in macroscopic-microscopic calculations and is what effectively occurs in constrained HF calculations.

Why are these minimization techniques used at all? In the macroscopic-microscopic method we are using, prior to about 2000 [8] it was not practical to calculate the potential energy for large numbers of shapes using a relatively large number of shape degrees of freedom. Instead, the lowest point (the ground state) was located, then an elongation (fission) coordinate was incremented and then constrained, with the energy defined as the minimum with respect to all the other coordinates, using their previous values as a starting point. This procedure yields a potential-energy curve as a function of a single fission variable. In constrained, self-consistent calculations, such as constrained Hartree-Fock, this is what happens more or less automatically. We should emphasize that minimization does not necessarily lead to the wrong solution; in many simple problems it will give the correct saddle point. Unfortunately, one cannot know *a priori* whether a true or incorrect solution will be found.

Referring again to Fig. 3, the technique of minimization sounds very plausible, because what we want to find is the optimal, minimum-energy path between the two low points at the edges of the contour diagram. However, if the energy is minimized with respect to  $\alpha$  for various fixed elongations, starting on the left side of the plot then the upper valley will be followed if the previous value of  $\alpha$  is used as a starting coordinate in the minimizations for successive  $\Theta$ 's. Toward the right of the figure the upper valley disappears and a discontinuous jump in energy leading to the lower valley occurs. This is a common situation in constrained Hartree-Fock fission calculations. The sequence of points followed will be A, B, Y, C, F, and G. The true saddle point, D, is located in the center of the figure. Similar difficulties occur when a potential-energy function is minimized with respect to higher multipoles and displayed as a function of  $\beta_2$  and  $\beta_3$ . The barrier curve inferred from the resulting 2D contour plot may once again be very different from one derived from the full multidimensional function.

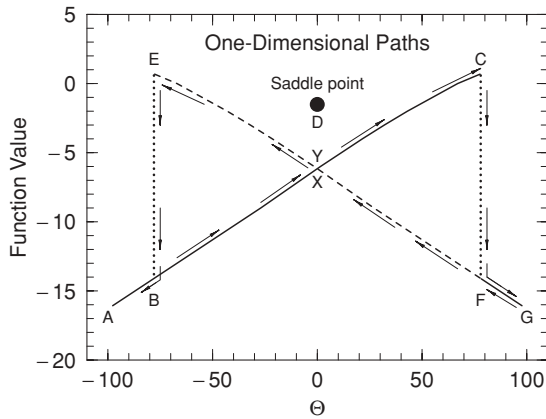


FIG. 4. Potential-energy curves obtained by local minimization of the two-dimensional surface given in Fig. 3. The true saddle point in this two-dimensional surface is represented by the black dot, which does not lie on any of the curves obtained by minimization. The arrows along the curves in the figure follow the time-line of the discussion in the text of Fig. 3, starting with the  $30^\circ$  upward-pointing arrow near the lower left of the figure.

In Fig. 4 we show as a solid black line the monotonically increasing function, the “fission barrier,” we obtain by use of this strategy applied to the function in Fig. 3. At the turnaround point C in the solid curve between the upper valley and the middle ridge, occurring when the upper valley ceases to exist, there is a discontinuous drop in the energy and a transition into the lower valley. The energy along the path now followed in the lower valley is also shown as a solid line in Fig. 4. In constrained calculations, it is sometimes customary to follow the solution in the lower valley backward. We then would follow the lower valley until it also disappears. The continuation of the lower solution backward is indicated by a dashed line in Fig. 4 and would involve points G, F, X, E, B, and A, in that order. Since in this 2D example we actually can display the potential surface, it is clear that the real saddle point D is located at  $\Theta = 0$  and  $\alpha = 0$ . In cases where the surface cannot be as easily displayed, it has previously sometimes been assumed that the high point C on the solid line in Fig. 4 is the saddle, on other occasions that the intersection between the solid and dashed curve in Fig. 4, the overlap between points X and Y, is the saddle point. In reality, the saddle energy is in between these two energies. For one constraint, typically the mass quadrupole moment  $Q_2$ , self-consistent calculations often result in deficient solutions looking very much like those obtained in our example, see for example Ref. [50]. Another important consequence of using this procedure is a possible improper determination of the shape or structure of the true saddle point. In Sec. IV and in the Appendix we discuss actual examples of problems encountered for realistic nuclear potential-energy surfaces.

If instead two constraints are used, a 2D contour map will be created. Then one may attempt to identify the true multidimensional saddle point with an apparent saddle point in the contour plot. In this situation, such as when two constraints are used in self-consistent calculations, as occurs in the study in terms of the quadrupole and octupole constraints in Ref. [51],

two or more solutions, or sheets, may be obtained. The true saddle point lies somewhere in the multidimensional space between these sheets. When surfaces are obtained in terms of two variables in constrained calculations, a new complication relative to calculations versus one constrained variable, is how to decide what starting configuration to use at each grid point. In macroscopic-microscopic calculations, values of the higher multipole parameters at “the previous point” are often used for the next point. In HF calculations, a similar approach is used. But the definition of “the previous point” is not unique, in contrast to the one-dimensional case, because it depends upon the direction from which the particular point is approached. Another strategy employed is to calculate a complete grid in the additional multipoles for each value of  $\Theta$  and plot the absolute minimum in this high-dimensional space. In the example here, this method would yield as the saddle point the intersection point between the points X and Y on the two curves in Fig. 4 at  $\Theta = 0$  and a function value about  $-6.5$ , much lower than the real saddle point at  $-1.5$ . In this situation, one might identify as the fission barrier the A, B, X, F, G curve with an unphysical cusp at the top of the barrier.

For other types of potential-energy surfaces, one may avoid the obvious discontinuities and cusps and obtain continuous functions versus elongation when the function is minimized with respect to additional shape degrees of freedom. But this still does not guarantee that the optimal saddle point is located on the curve obtained. Still sticking to the easy-to-visualize case of two dimensions, we show a more complex surface in Fig. 5. Because of the multiple saddle points, it is not clear *a priori* which one corresponds to the fission threshold. Therefore even if we could determine the location and energies of all points where the first derivatives are zero, we still could not determine which of these is the optimal saddle point. Let us use the minimization strategy and study the outcome. We identify the point  $\Theta = -100, \alpha = 6$  as the ground state or fission-isomeric state and proceed to find a “constrained”

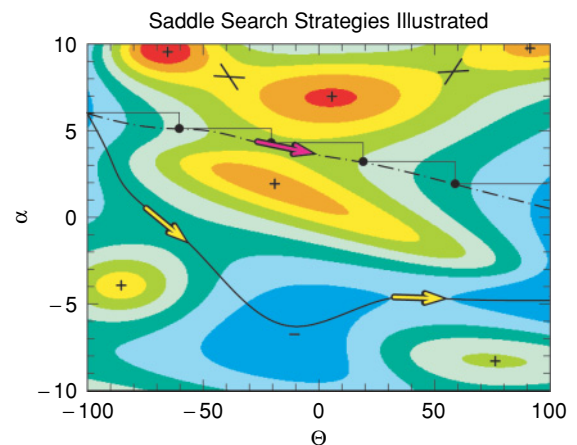


FIG. 5. (Color online) Maxima (+), minima (-), and saddle points (arrows or crossed lines) of a two-dimensional function. As discussed in the text it is not possible to obtain a lower-dimensional representation of the optimal path across this surface by “minimizing” with respect to the “additional” ( $\alpha$ ) shape degree of freedom. The function increases in value as the colors progress from blue to red.

fission barrier. From the starting point, we increase  $\Theta$  by 40 (smaller steps will not alter the result) while keeping  $\alpha$  fixed. From the new position, we then minimize with respect to  $\alpha$  and find ourselves at the first black dot. When we repeat this process we obtain the dot-dashed curve. The energy along this path is a continuous function, and the magenta arrow would be identified as the fission saddle point. However, this saddle is higher than those shown by yellow arrows, which can only be found when the full space is explored and which are located on the optimal fission path in terms of energy height.

### B. Immersion method

A method that allows the unambiguous identification of the optimal saddle point between two minima was described in Ref. [53]. As presented there, the method only allows the determination of the energy of the optimal saddle, which in fission potential-energy surfaces corresponds to the fission threshold energy. It was not discussed how to identify at what deformation the saddle is located. It was apparently unknown to the authors of Ref. [53] that the method of *immersion* had long been used in other fields, in particular in geography [26,54]. A familiar example would be the determination of the location of the “continental divide” in the U.S. Again, the method is best explained by considering a 2D case. We imagine that we have a geographical landscape of minima, maxima and saddle points and that we are looking for the minimum-height pass or saddle point between two minima, of interest, the first being where we are, the second where we want to go. Instead of just searching for a saddle point between two minima, we may also search for a saddle between a minimum, for example the fission-isomeric state, and a valley, for example a valley near the scission point. In this discussion, we will designate the minima or valleys on opposing sides of the searched-for saddle point by “entry point” and “exit point.”

We recall that the energy is defined in a multidimensional space defined by a set of shape coordinates, with each coordinate taking on a specific set of values. In other words, the potential energy is defined on a mesh in coordinate space. Identifying a minimum is very straightforward, as the energy at any local minimum has a lower value than all of its nearest neighbors on the mesh. To start, we fill the minimum where we are with “water” up to some level (we will be concrete about how we immerse our landscapes to facilitate the explanation, but the reader may feel free to imagine some other metaphor). We define the lowest grid point to be “wet.” We then select a filling level above the ground-state energy and scan the entire coordinate grid, at each point determining: (1) if the energy is below the filling level, (2) if the point has a nearest neighbor that is wet. If both are true, that point is set to be wet. This scan is repeated until no new points are switched to wet in the last repetition. The water level is now raised, and the iterations are repeated. At some sufficiently high water level, the exit point will become wet. This level defines an upper limit to the saddle-point energy.

To relatively quickly obtain an accurate value of the saddle-point energy, we start by choosing a large spacing between successive filling levels. Once the level at which the exit point

becomes wet is determined, the process is repeated starting from the previous level, but with a decreased increment, until we have determined the energy of the saddle point to the desired precision.

It remains to determine the *location* of the saddle point, or equivalently the shape of the nucleus at the saddle point. It is also of interest to investigate if there are additional saddle points in the potential and determine if possible their significance. Furthermore, we might wish to determine if different fission modes exist, perhaps expressed as distinctly different valleys in the potential-energy surface, and if they are accessed across different saddle points. We consider these issues in the next section and when we present results of our analysis of the calculated potential-energy surfaces.

### C. Computer model of immersion

In Fig. 6 we illustrate schematically in two dimensions how we simulate immersing the multidimensional energy landscape. The energy landscape is stored in the matrix  $E$ . This matrix has five indices corresponding to the values of the five shape coordinates defined on a grid. Another matrix  $IW$  indicates if a point is wet (1) or dry (0). To determine the saddle-point height between the entry point (solid circle near the lower left of the figure)  $(I_1^a, I_2^a, I_3^a, I_4^a, I_5^a)$  and the exit point (solid circle near the upper right of the figure)  $(I_1^b, I_2^b, I_3^b, I_4^b, I_5^b)$  we start by initializing all elements of  $IW$  to 0. Then we set  $IW(I_1^a, I_2^a, I_3^a, I_4^a, I_5^a) = 1$ , making the entry point wet. We now add water to the ground state until the exit point becomes wet, that is when the water has overflowed the saddle between the entry and exit points. We start by filling the ground state with water to a specified height above the ground state, say 1 MeV. We assume that the edge of the “1 MeV pool” in Fig. 6 is given by the contour line around the ground-state minimum. The filling up to the 1 MeV level is done by checking each location, one by one. At each location, we set  $IW$  equal to 1, if and only if the energy at the

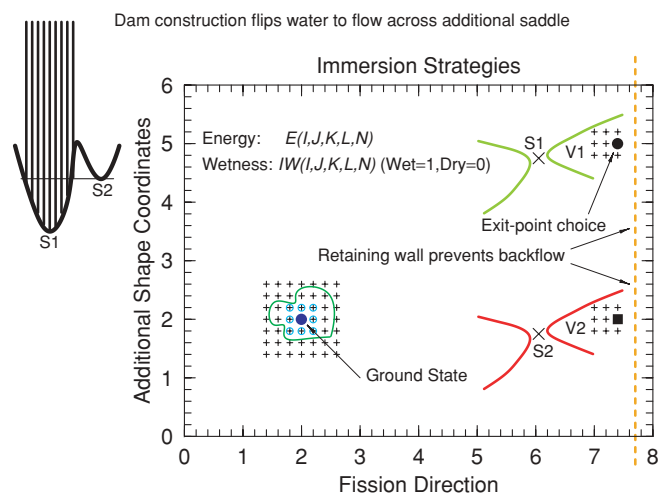


FIG. 6. (Color online) Illustration of our computer implementation of the immersion method for saddle-point identification. The figure is explained in the text.

location is less than 1 MeV above the ground-state energy **and** one of the neighboring points is wet. The plus signs surrounded by small circles are points which have become wet ( $IW=1$ ) at some stage of this first iteration, the other points remaining dry so far. This loop is repeated several times, until we have performed a final iteration in which no elements of  $IW$  change from their previous values. At this point we check the value of  $IW(I_1^b, I_2^b, I_3^b, I_4^b, I_5^b)$ . If it is 0, then the water has not overflowed the saddle and we raise the water by 1 MeV and repeat the procedure. The water level is raised until the exit point becomes wet, that is  $IW(I_1^b, I_2^b, I_3^b, I_4^b, I_5^b) = 1$  at a water level  $E_L$ . The saddle height  $E_H$  is then in the interval  $E_L - 1 < E_H < E_L$ . To determine a more accurate value the procedure is repeated with smaller steps, say 0.1 MeV, starting from  $E_L - 1$ . Ever smaller steps are employed sequentially until the saddle height is determined to the desired accuracy.

The saddle-point **location** or equivalently the nuclear shape at the saddle point is determined by elaborating on this procedure. In principle, the iterations may be repeated until the uncertainty in the saddle-point energy defines a very narrow interval. The saddle is above  $E_L$  but below  $E_L + \Delta E$  where  $\Delta E$  is very small. Adding water starting from  $E_L$ , increasing the level to  $E_L + \Delta E$ , leads to an entire large region between the saddle point and the exit point becoming wet. All of these points, normally hundreds of thousands of them, have energies below  $E_L$ . A very few points may have energies in the interval  $E_L$  to  $E_L + \Delta E$ , this number decreasing as  $\Delta E$  gets smaller. For a small enough increment, only one point will remain. This is the saddle point, and its coordinates define the saddle-point shape.

This relatively simple mathematical procedure must be modified because of the finite precision of the energy values of each shape and the finite precision of numbers stored in computers. We have stored our energy matrices to a precision of 1 keV. The limited precision of numbers stored on a computer and the size of our deformation space has the consequence that there will be several points along the “rim” of the “lake” around the entry-point local minimum and along the rim of the water that has now flowed beyond the saddle that have exactly the same energy to this precision as does the saddle point. One and only one of these points is the saddle point. When we contemplate how to determine which point is the correct one, it turns out that some obvious approaches suggested by two-dimensional intuition end up either being relatively difficult to implement or taking considerably more time than the method outlined below. An example would be to evaluate the topography in the neighborhood of each point in an automated way, allowing for the restricted precision of the energy values for each point, and defining a foolproof algorithm to uniquely identify the true saddle as compared with a point lying on the side of a “hill.”

We adopt the less obvious but efficient approach of adding to each element of  $E$  a different random number, usually  $0.001 \cdot R$  MeV, where  $R$  is a random deviate uniformly distributed on the interval  $[-1, 1]$ . Clearly this will not change the structure of the calculated potential-energy surface significantly, since the calculated energy values are associated with a higher numerical uncertainty, about 0.05 MeV. For the physical

problem of saddle-point energies, such uncertainties are much less than both the uncertainty of experimental barriers, and the average deviations of the model from experiment. If the energy window  $\Delta E$  is sufficiently small, usually 0.00001 MeV, the probability that a point other than the saddle will have an energy inside the window is small. Such a small value of  $\Delta E$  is more precision than is needed in determining the energy of the saddle point, but it allows finding the shape of the saddle. In the rare case of two or more points still being found, the process of identifying the saddle-point energy is repeated with an independent set of random deviates added to  $E$ . The saddle point will then be identifiable as the only point common to both iterations. If several identical locations were to occur, the procedure could be repeated until only one common location remained.

#### D. Alternative immersion strategies

An alternative approach would be to fill both the entry area (a) and exit area (b) simultaneously with water (both water levels always being the same) and store the wet points in area (a) in a matrix  $IW_a$  and the wet points in area (b) in a matrix  $IW_b$ . If this is done “slowly,” water from the two pools will meet in one and only one point when the water level is sufficiently high, i.e., it reaches the saddle point. Computationally what occurs is that an identical location in  $IW_a$  and  $IW_b$  will become 1. Until the water reaches this level, the locations where the matrices  $IW_a$  and  $IW_b$  are 1 are all different. This method is intuitively more appealing than the other method, but in practice more challenging to program.<sup>1</sup>

#### E. Additional saddle points

To find additional higher-energy saddle points between the ground state and an exit point, we “build a dam” at the lowest saddle point. We start by identifying the lowest saddle point, then raise the energy at this location to a very large value, say 1000 MeV. We again find the lowest saddle point. If the new saddle point is located adjacent to a high energy value, the water is just flowing around the partial dam at the lowest mountain pass. We raise the energy at this new location, continuing until eventually the next saddle point found is separated from the dam. This occurs when we have blocked the identified saddle region up to the elevation of the second saddle. The dam method is shown schematically as a small drawing outside the main frame in the very left part of Fig. 6. The thick wavy curve represents a cut through

<sup>1</sup>We are grateful to Andrius Juodagalvis for pointing out this method to us. We originally wrote a code based on filling only the ground-state area and decreasing the magnitude of the filling steps sufficiently to determine the saddle height and location. Juodagalvis subsequently wrote a code using his idea. We have checked that we obtain the same saddle heights and locations with both codes. Later Ludovic Bonneau wrote an independent code based on our original strategy and it also obtains identical saddle points. We are grateful for these independent verifications of our implementation of these algorithms.

the potential-energy surface perpendicular to the direction of fission. When we look into the picture we look toward larger elongations in the fission direction and the minima represent the lowest and second lowest saddle points. The vertical lines represent successive dam sections that have been erected. When the bottom of the dam reaches the elevation of the second saddle point, the water flow will flip from near the dam to cross the second saddle.

What is the significance of the additional saddle? One can sometimes get guidance on this question by looking at the shape associated with the saddle. However, in most cases it is better to proceed differently and to first identify valleys in the outer part of the fission potential-energy surface, for deformations greater than that of all saddle points. Then one can try to establish which saddle-point configurations provide entry points to the different valleys (fission modes). We outline how this is done in Sec. IV and present the actual structures we identify in the potential-energy surfaces of several nuclei.

### F. Identifying the ground state

In our work, we include essential refinements that in practice are not possible to consider in self-consistent HF calculations. To illustrate one issue, namely, that it is non-trivial to determine which of several minima is the ground state, we show in Fig. 7 a comparison of calculated [13] and observed  $Q_\alpha$  values for the first  $^{278}113$   $\alpha$ -decay chain that was observed at RIKEN [55]. The cusps at  $N = 165$  in the FRDM and FRLDM models occur because of a change in ground-state deformation from deformed to spherical. Since

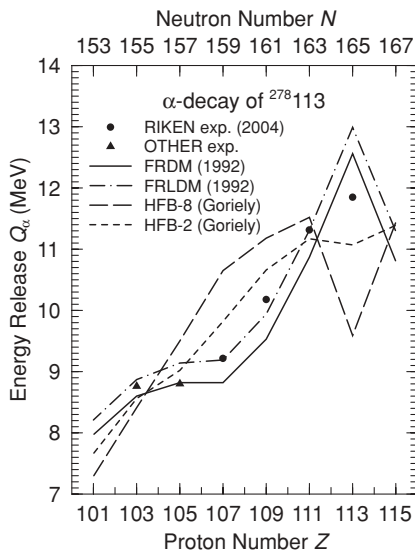


FIG. 7. Calculated and observed  $Q_\alpha$  values in the  $\alpha$  decay of element  $^{278}113$ . The cusps in the FRDM and FRLDM curves occur because the calculated ground-state shape changes from deformed at  $Z = 113$  to spherical at  $Z = 115$ . The cusp at  $Z = 111$  in the HFB-8 curve occurs because the minimum identified as the ground state for  $Z = 111$  has a deformation of  $\beta_2 = 0.21$ , while that for  $Z = 113$  has  $\beta_2 = 0.42$ . As discussed in the text, we believe that for  $^{278}113$  another minimum should have been chosen as the ground state in the HFB-8 calculation.

no decay has been observed from  $^{282}115$ , it is obviously not known at this point if the calculation is correct in this respect. But, the occurrence of such cusps in  $Q_\alpha$  in  $\alpha$ -decay chains that traverse magic numbers, or any substantial gap in level spectra, is well-established experimentally and is understood. In the HFB-8 calculation [56], the origin of the cusp is somewhat different. It occurs because of a deformation change from  $\beta_2 = 0.21$  at  $Z = 111$  to  $\beta_2 = 0.42$  at  $Z = 113$ . However, although the more deformed minimum is the lowest found in the HFB-8 calculation, in our experience, a minimum for such a heavy nucleus with such a large deformation will have a very low barrier with respect to fission. Therefore, if it exists, a higher-energy, less deformed minimum which has a higher barrier to fission should be tabulated as the ground state. An example of this type of situation occurring in our calculation is illustrated in Fig. 8, which shows a contour plot of calculated potential energies for  $^{305}125$ . The deepest axially symmetric minimum is at  $\epsilon_2 = 0.375$  with  $E \approx -7.5$  MeV. However, the saddle at  $\epsilon_2 = 0.500$ ,  $\gamma = 22.5$  indicates a barrier of only about a 2 MeV height. For this isotope, we should instead designate the minimum with the highest barrier with respect to fission as the ground state (an even more sophisticated approach would be to calculate the half-life with respect to all decay modes for all minima, but we do not take this step here). This strategy leads us to assign the minimum at  $\epsilon_2 = 0.25$  and  $\gamma = 60$  and  $E \approx -5.5$  MeV as the ground state. Equivalently, the ground state is oblate with  $\epsilon_2 = -0.25$ . The saddle is at  $\epsilon_2 = 0.425$  and  $\gamma = 40.0$  and  $E \approx -0.5$  MeV. The barrier with respect to fission is therefore about 5.0 MeV, sufficiently

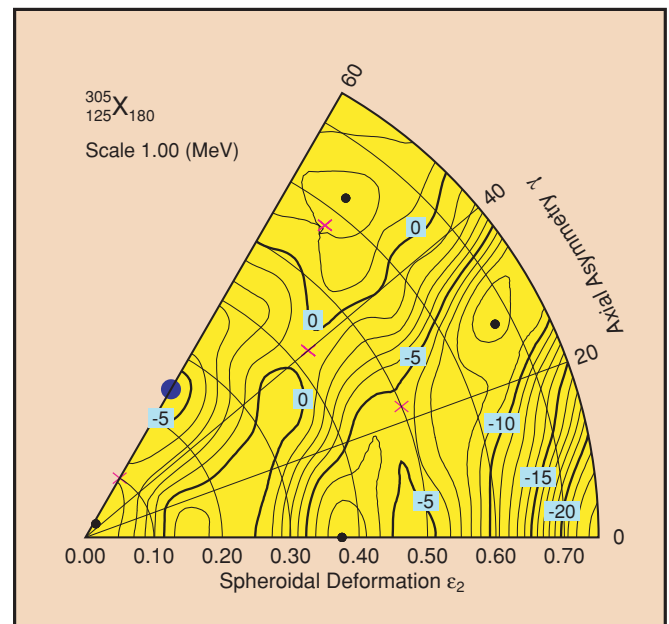


FIG. 8. (Color online) Calculated potential-energy surface for  $^{305}125$ . The filled dots indicate local minima, the X symbols significant saddles. The large (blue) filled dot designates the ground state. Although this minimum is not the lowest minimum it has the highest barrier with respect to fission and is therefore considered to be the ground-state minimum, because it would be the shape most stable to fission decay.

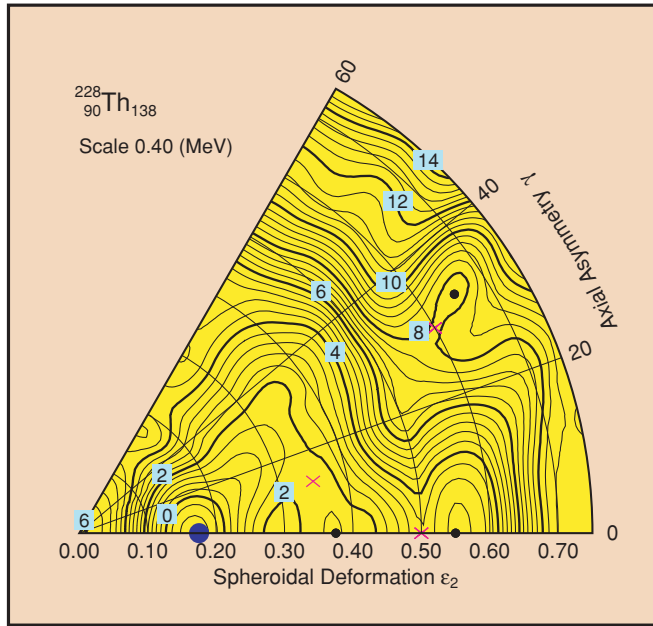


FIG. 9. (Color online) Potential energy for  $^{228}\text{Th}$ . Beyond the ground state at  $\epsilon_2 = 0.175$  there are two additional minima, one at  $\epsilon_2 = 0.375$  and the other at  $\epsilon_2 = 0.525$  and associated saddle points. In situations where there are several minima in addition to the ground state, it is not unambiguous which minimum and associated saddle should be compared with the “experimental” inner saddle and fission isomeric state. See text for details.

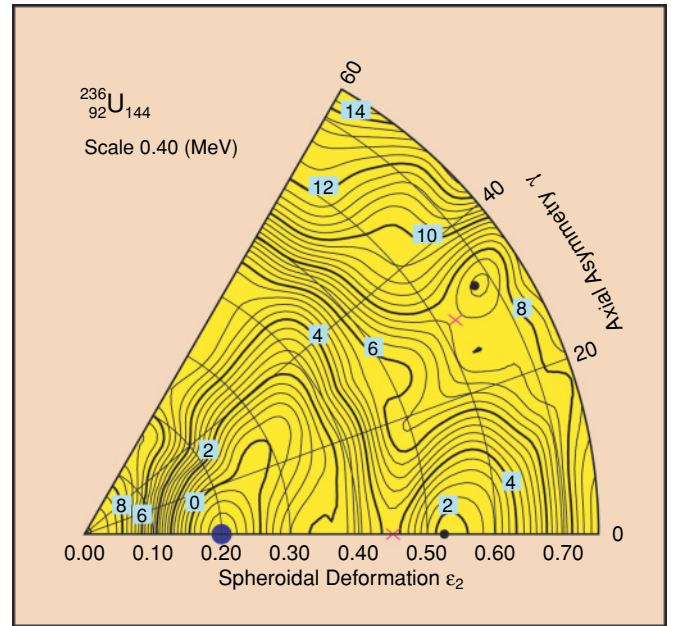


FIG. 10. (Color online) Potential energy for  $^{236}\text{U}$ . The structure of this potential-energy surface is uncomplicated with an unambiguous fission isomeric state at  $\epsilon_2 = 0.525$  and an inner saddle, which is stable with respect to axial asymmetry at  $\epsilon_2 = 0.45$ . The shallow local minimum at an energy around 7 MeV at  $\epsilon_2 = 0.675$  and  $\gamma = 32.5$  is expected to be of little consequence for the properties of this nucleus.

high to result in a fission half-life that is long enough to permit observation. We use for all nuclei in our fission studies and nuclear-mass calculations, the immersion technique to assign the ground state to the correct minimum. So far, HFB mass calculations do not use such techniques and might therefore choose the wrong deformation and energy for the ground state.

#### IV. DETAILED BARRIER STRUCTURE

To study the effect of axial asymmetry in the inner barrier region, we use a three-dimensional deformation space in  $\epsilon_2$ ,  $\epsilon_4$ , and  $\gamma$ . To visualize the results, we generate contour plots for the 5254 nuclei studied, six of which are shown in Figs. 8–13. These contour maps are constructed in the following way. For each point  $\epsilon_2$  and  $\gamma$ , we find the lowest energy obtained for the 14  $\epsilon_4$  values calculated. The contour lines are generated from the 2D energy function defined in this way. We have emphasized in Sec. III A that in many situations such a strategy *does not* give reasonable results. One situation is when the surface contains multiple local minima versus  $\epsilon_4$  [10,12]. However, we are using the method only for the purpose of displaying the results in a way that is relatively simple to understand. As a matter of fact, we find for the relatively modest deformations studied here that this 2D potential-energy-surface approximation to the full 3D potential usually approximates the location of the saddle points and minima of the full 3D space reasonably closely. However, all our barrier parameters, including those of the first barrier peaks displayed in Figs. 23–32, are obtained from a complete

analysis of the full 3D space. If we obtain a lower first saddle in the 5D 3QS parametrization this is used instead. The actual location and energies of the minima and saddles in the contour plots are not defined by the 2D approximate function, but instead come from the actual values determined in the full 3D

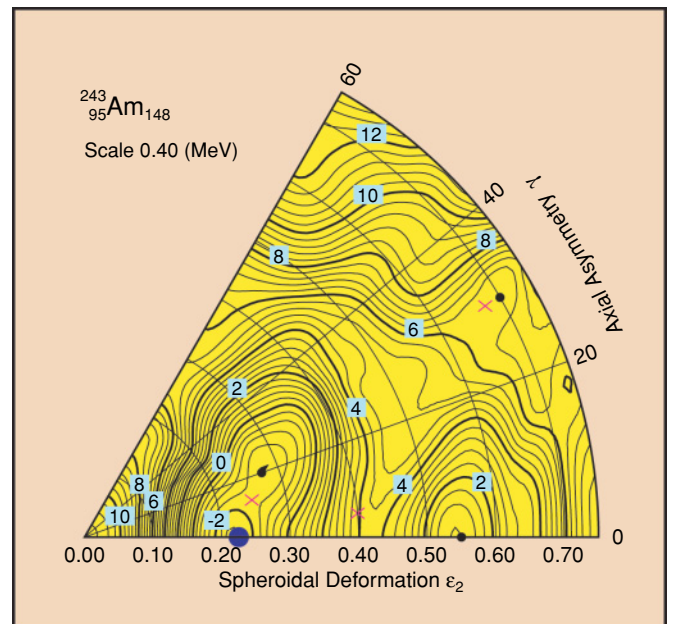


FIG. 11. (Color online) Potential energy for  $^{243}\text{Am}$ . This isotope and some other Am isotopes have an axially asymmetric, low-lying shape isomer near the ground-state minimum.

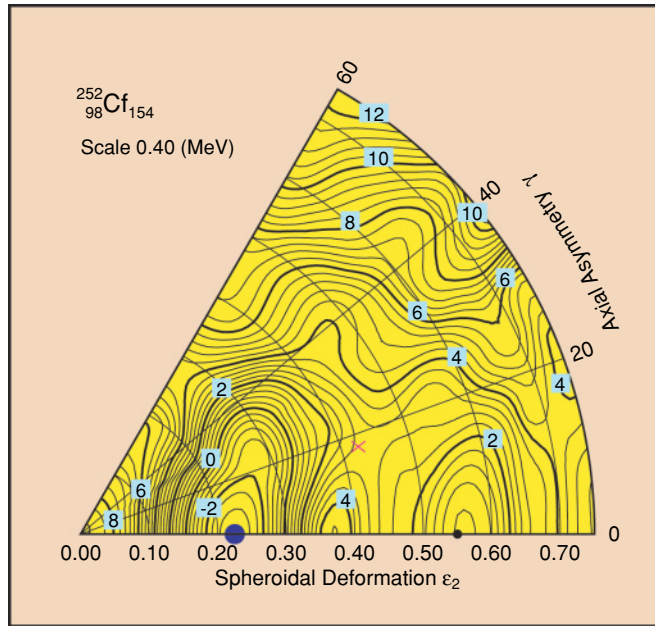


FIG. 12. (Color online) Potential energy for  $^{252}\text{Cf}$ . The height of the inner barrier peak is lowered by about 1.4 MeV due to axial asymmetry.

calculation. The minima in the plots are shown as dots and the saddle points as X symbols. Most potential-energy surfaces in the inner barrier region exhibit more than one minimum, namely, the ground-state minimum and additional minima.

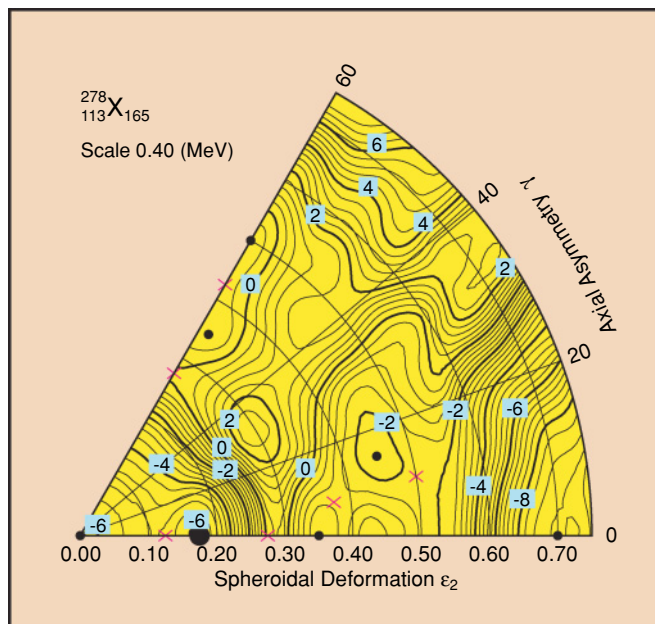


FIG. 13. (Color online) Potential energy for element  $Z = 113$ , currently one of the heaviest known elements; the isotope  $^{278}_{113}\text{X}$  was recently observed for the first time at RIKEN [56]. The structure of this surface is interesting. This nucleus is in a transition region between deformed and spherical shapes. It has two almost equally deep minima below  $-6$  MeV, one at  $\epsilon_2 = 0.175$  and another at  $\epsilon_2 = 0$ , and several additional much shallower, less bound minima.

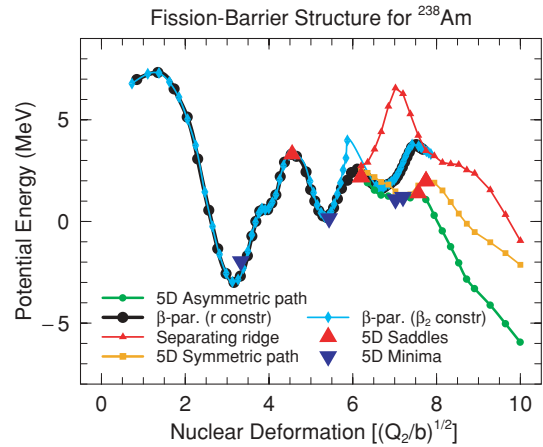


FIG. 14. (Color online) Fission-barrier structure for  $^{238}\text{Am}$  calculated in two parametrizations. The black curve with round solid dots and the cyan curve with diamond symbols give results obtained in the constrained  $\beta$  parametrization with two different elongation constraints as discussed in the text; all other results are obtained in the full 5D 3QS calculation involving deformation spaces of millions of points. The dots and other symbols on the curves show the spacing of the deformation grid.

For actinide nuclei near  $\beta$  stability, there is often just one additional minimum, which is the fission-isomeric state. But a more complex structure is also possible, as our results in Fig. 13 show for one of the heaviest nuclei known,  $^{278}_{113}\text{X}$  [55].

The calculated 5D fission potential-energy surfaces are more complex. In Figs. 14 and 15, we present some aspects of

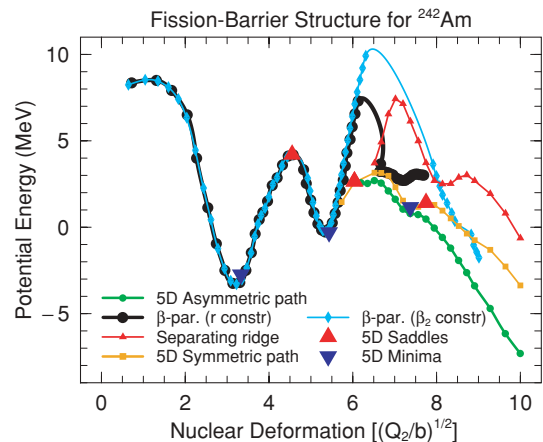


FIG. 15. (Color online) Fission-barrier structure for  $^{242}\text{Am}$  calculated in two parametrizations. The black curve with round solid dots and the cyan curve with diamond symbols give results obtained in the constrained  $\beta$  parametrization with two different elongation constraints as discussed in the text; all other results are obtained in the full 5D 3QS calculation involving deformation spaces of millions of points. It is significant that the constrained calculations overshoot the true saddle by 5 MeV or more and that minor differences in the type of elongation constraint give rise to quite different “saddle” energies. The dots and other symbols on the curves show the spacing of the deformation grid.

our analysis of the multidimensional potential-energy surfaces in two different shape parametrizations: the (constrained)  $\beta$  parametrization and the full 5D 3QS parametrization. For each calculated 5D potential-energy surface, we tabulate minima, saddles between pairs of minima, valleys as functions of  $Q_2$ , and ridges between all pairs of valleys. First we locate all minima in the potential-energy surface. We then determine by immersion the saddles between each pair of minima. We retain as significant only those minima for which all saddles are higher than 0.2 MeV relative to the higher of the two minima. Such minima are indicated by downward-pointing blue triangles and the saddles by upward-pointing red triangles. Interestingly, only a very few minima in the calculated potential are deeper than 0.2 MeV. This makes the interpretation of our results less involved than might otherwise have been the case.

Beyond the fission isomer, we also look for “valleys” in the calculated potential-energy surface. We define a valley in the following manner. We fix  $Q_2$  at one of our grid values and determine minima in the restricted 4D space (“slice”) that results. Saddles between the minima in this 4D slice correspond to ridges between valleys in the full 5D space. We may find several minima, but retain only minima deeper than a prescribed value. Specifically we generate four tables of valleys corresponding to criteria of minimum ridge heights of 2.0, 1.0, 0.5, and 0.2 MeV. Valleys are defined as a sequence of similar minima that persist for successive  $Q_2$  values. By “similar” we mean that shape parameters such as reflection asymmetry, nascent-fragment deformation, and neck radius change only gradually as  $Q_2$  increases.

For simplicity, we display in Figs. 14 and 15 only two of the valleys we find and the corresponding ridge between them. There is a good overlap in both energy and deformation between the fission-isomeric minimum obtained in the  $\beta$  parametrization at  $Q_2/b^{1/2} \approx 5.5$  and the minimum indicated by a downward-pointing blue triangle, which is obtained in the 3QS parametrization. The saddle for  $^{238}\text{Am}$  found beyond the isomer in the  $\beta$  parametrization with the “ $r$ ” elongation constraint overlaps with the saddle obtained in the 3QS parametrization. This overlap between the two parametrizations in the outer saddle region is a further test of the model implementations. When instead  $\beta_2$  is used as the elongation constraint, the “saddle” is more than 1 MeV higher than the correct saddle. For  $^{242}\text{Am}$ , the constrained calculation develops catastrophic problems near the outer barrier. The saddle in the  $r$ -constrained calculation is 4.5 MeV higher than the correct saddle, and with the  $\beta_2$  constraint it is 7 MeV higher than the correct saddle. We give more details of the nature of the discrepancies in the shapes and energies found in the constrained calculation compared with the 5D one in the Appendix. This clearly demonstrates that minimization methods are deficient. In some cases, a reasonable saddle is found; while for a neighboring nucleus, wildly incorrect results can occur. Which will occur for a particular isotope is totally unpredictable *a priori*. It is particularly noteworthy that two reasonable choices of elongation constraints can give very different results. In HFB calculations, it is often assumed that when elongation constraints are “cleverly” chosen, problems like those we demonstrate can be avoided. Since such big

differences occur for slightly different types of elongation constraint, one must conclude that it is not possible to make a clever choice of elongation constraints in such calculations which can be guaranteed to not lead to problems. Regardless of these difficulties, the overlaps between results from different parametrizations, when they do occur, are excellent checks of our calculations and our methods for finding minima and saddles. For the ground state, there is a slight non-overlap between the results obtained in the 5D 3QS parametrization and the  $\beta$  parametrization. But it is well known that the 3QS parametrization cannot access some nuclear shapes that occur as ground-state shapes for some nuclei as well as a multipole expansion can [15]. This poses no difficulty, since we choose for saddle points and minima the lowest-energy result found in the three parametrizations.

In Figs. 16 and 17, we show calculated asymmetric and symmetric fission modes for  $^{232}\text{Th}$  and  $^{243}\text{Am}$  and nuclear shapes associated with these two modes. For  $^{243}\text{Am}$ , we also show a shape on the ridge separating these two modes. In the lowest-energy mode, the mass-asymmetric one, shapes for both fissioning systems evolve toward separation into a large spherical “Sn-like” fragment and a smaller elongated deformed fragment. This is consistent with the long-postulated explanation for the predominantly mass-asymmetric character of fission for actinide nuclei; in fission, the system strives to exploit the 10 MeV or so extra binding of spherical fragments near doubly magic  $^{132}\text{Sn}$ . However, it is only our recent calculations based on large-dimensional deformation spaces that present a sufficiently exact and detailed theoretical description which verifies this basic mechanism in mass-asymmetric fission. Indeed, in our discovery papers [8–10,57,58], we calculated the mean mass division in asymmetric fission to an average accuracy of three nucleons for 31 even-even fissioning systems.

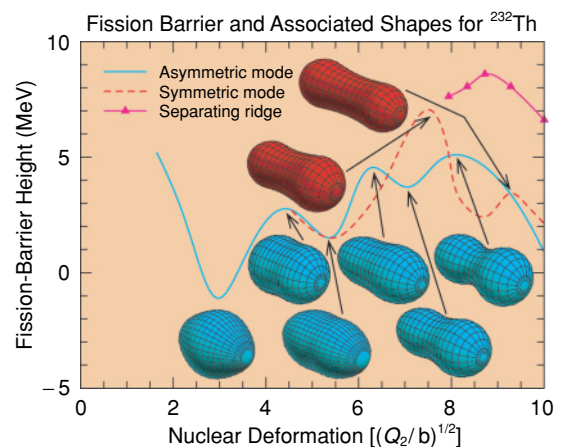


FIG. 16. (Color online) Fission barriers for  $^{232}\text{Th}$  corresponding to different fission modes. The initial part of the barrier starting to the left of the ground state at  $(Q_2/b)^{1/2} = 3.0$  extending to the fission isomeric minimum at  $(Q_2/b)^{1/2} = 5.5$  is calculated in the  $\beta$  parametrization. Beyond the fission isomer the results are obtained in the 3QS parametrization. There are two distinct modes separated by a high ridge. Shapes associated with the barrier curves are displayed for representative points.



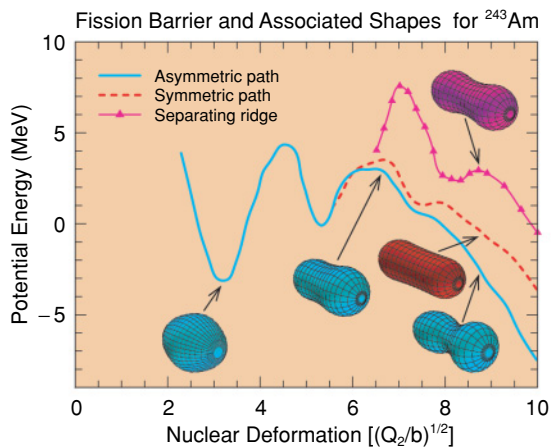


FIG. 17. (Color online) Fission barriers for  $^{243}\text{Am}$  corresponding to different fission modes. The initial part of the barrier to the left of the ground state at  $(Q_2/b)^{1/2} = 3.0$  extending to the fission isomeric minimum at  $(Q_2/b)^{1/2} = 5.5$  is calculated in the  $\beta$  parametrization. Beyond the fission isomer the results are obtained in the 3QS parametrization. We find two distinct modes separated by a ridge. Since the ridge becomes lower than the fission saddle points at rather modest elongations, before the scission configuration is reached, one expects less well separated fission modes for this system than for  $^{232}\text{Th}$ . The symbols on the ridge indicate the spacing along the  $Q_2$  direction of our grid. Shapes associated with the barrier curves are displayed for representative points.

The energy thresholds for the two fission modes (valleys) are different, because different saddles or mountain passes provide access to the different valleys. When the ridge separating the two valleys is higher than the higher of the two saddles, it is straightforward to use our immersion algorithm to establish this. And it is only in such situations that different fission modes are clearly expressed in fission-fragment data such as the variation of mass and kinetic-energy distributions with energy. However, we cannot find the higher of the two saddles by blindly applying our immersion method. Referring again to Fig. 6, there are two distinct valleys V1 and V2 reached by corresponding saddles S1 and S2. The energy at S2 is higher than that at S1. The exit point for V1 is a solid circle, while the exit point for V2 is a solid square. If we check at what level the square point becomes wet when we fill the ground-state minimum, it turns out we find the same energy as we do for V1. This is because the water will flow over S1, down V1, and then back up V2 without encountering S2. To locate the saddle S2 we need to block the water flow down V1 to prevent backflow up V2. We do this by inserting a wall (schematically indicated by the dashed line in Fig. 6) beyond the outer saddles *and then* checking when the square exit point becomes wet as the ground-state minimum is filled. The wall needs to be placed so that at the wall the ridge separating the two valleys has a higher energy than S2. In the computer program, we “build a wall” by restricting the value of  $Q_2$  to be less than or equal to that at the wall. One could equally well define the wall by inserting large values in the energy matrix at points along the wall, as we do when building a “dam.”

A key question is how the valleys we find in the calculated potential-energy surfaces are reflected in observed fission

properties. A reasonable expectation is that in systems for which valleys are persistent, that is, they exist for a large range of  $Q_2$  values and are stabilized by a high ridge, these features will leave more clear signatures on fission than will happen for systems where these features are less prominent. For example, for light actinides, we find two and only two very distinct fission valleys separated by a high ridge beyond the fission-isomeric minimum. One of the valleys corresponds to shapes evolving toward separation with a compact asymmetric mass division while the other valley leads to more elongated symmetric fragments. These results correspond closely to observations of two modes of fission in the light actinide region associated with distinct saddle points of different heights, different mass divisions, and different fragment total kinetic-energy distributions in the two modes [59,60]. A clear case is  $^{232}\text{Th}$  in Fig. 16. In a nuclide like  $^{243}\text{Am}$  shown in Fig. 17 the ridge separating the two modes is less prominent. The ridge becomes sufficiently low before scission that a nucleus starting in the symmetric valley at the energy corresponding to the fission barrier could energetically cross the ridge before scission. This kind of qualitative argument does not allow a definite prediction of where the transition between the obvious bimodal fission of lighter actinides and the predominately asymmetric fission of heavier systems will occur, but our results are definitely consistent with the fact that this transition occurs and with the observed asymmetric mass distribution for  $^{243}\text{Am}$ .

An important task for the future is to understand how to take advantage of our significantly enhanced knowledge of the static fission potential-energy surface to improve modeling of a large number of fission properties, such as fission-fragment mass distributions, kinetic-energy distributions, neutron-emission probability as a function of fragment mass, and neutron-induced fission cross sections. The challenge is to develop global models for these quantities based on the rich structure we observe here. For a limited number of nuclei some steps in this direction have recently been taken, for example, in Ref. [61]. It is not obvious how to take into account the complex fission-barrier structure we now obtain in such calculations. We limit ourselves to giving below one illustration of possible impact, namely, on level densities. The main scope of the paper is to present our methods and consistent results on the fission-barrier heights for a large number of heavy nuclei. This information is valuable for many considerations, for example, the design of experiments to reach superheavy nuclei, for electron-capture delayed fission, and how high in  $Z$  the  $N = 126$  isotope might be populated.

## V. FISSION-BARRIER HEIGHTS

Because the calculated potential-energy surfaces are very complex, it is not always possible to present results of our calculations in a straightforward way. Traditionally, experimental data for actinide nuclei in the range  $90 \leq Z \leq 99$  have been analyzed in terms of a double-humped fission barrier: a ground state, a first barrier peak, a second fission-isomeric minimum, and an outer barrier peak. We

TABLE I. Calculated double-humped fission-barrier energies.

$N$	$A$	$E_A$ (MeV)	$E_{II}$ (MeV)	$E_B$ (MeV)	$N$	$A$	$E_A$ (MeV)	$E_{II}$ (MeV)	$E_B$ (MeV)	$N$	$A$	$E_A$ (MeV)	$E_{II}$ (MeV)	$E_B$ (MeV)
<b>Z = 90 (Th)</b>					<b>Z = 93 (Np)</b>					<b>Z = 96 (Cm)</b>				
135	225	4.18	4.74	7.59	141	234	3.86	3.50	4.63	153	249	6.38	3.87	6.56
136	226	3.68	4.24	7.20	142	235	4.20	3.40	4.83	154	250	5.87	3.71	6.25
137	227	3.39	3.92	6.98	143	236	4.64	3.29	4.80	155	251	5.27	3.55	6.09
138	228	2.94	3.43	6.53	144	237	4.95	3.08	4.86	156	252	4.79	3.37	5.68
139	229	2.85	3.34	6.06	145	238	5.36	3.05	5.20	<b>Z = 97 (Bk)</b>				
140	230	2.65	3.10	5.65	146	239	5.57	2.98	5.42	144	241	6.14	2.63	3.70
141	231	3.00	3.02	5.55	147	240	5.98	3.10	6.01	145	242	6.63	2.71	4.10
142	232	3.18	2.79	5.45	148	241	6.05	3.13	6.15	146	243	6.91	2.70	4.36
143	233	3.56	2.63	5.47	149	242	6.34	3.40	6.75	147	244	7.28	2.86	4.64
144	234	3.59	2.34	5.37	<b>Z = 94 (Pu)</b>					148	245	7.22	2.96	4.95
145	235	4.04	2.39	5.81	141	235	4.04	3.23	4.09	149	246	7.32	3.37	5.39
146	236	4.25	2.39	6.04	142	236	4.49	3.22	4.36	150	247	7.18	3.59	5.68
147	237	4.68	2.56	6.64	143	237	5.00	3.14	4.42	151	248	7.27	3.96	6.28
<b>Z = 91 (Pa)</b>					144	238	5.27	2.99	4.47	152	249	7.00	4.07	6.40
134	225	4.09	4.64	6.83	145	239	5.73	2.97	4.65	153	250	6.59	3.95	6.38
135	226	3.90	4.50	6.91	146	240	5.99	2.94	4.91	154	251	6.05	3.78	6.21
136	227	3.47	4.04	6.52	147	241	6.35	3.05	5.54	155	252	5.45	3.60	6.01
137	228	3.27	3.81	6.26	148	242	6.42	3.07	5.72	156	253	4.93	3.35	5.59
138	229	3.03	3.51	5.59	149	243	6.65	3.34	6.38	157	254	4.52	3.32	5.50
139	230	2.98	3.49	5.28	150	244	6.59	3.45	6.47	<b>Z = 98 (Cf)</b>				
140	231	2.93	3.25	4.99	151	245	6.67	3.77	6.93	147	245	6.98	2.59	4.16
141	232	3.26	3.20	4.97	152	246	6.34	3.86	7.07	148	246	7.15	2.70	4.34
142	233	3.54	2.99	4.99	153	247	6.05	3.84	7.12	149	247	7.35	3.16	4.88
143	234	3.81	2.91	5.14	<b>Z = 95 (Am)</b>					150	248	7.24	3.38	5.18
144	235	4.11	2.65	5.34	142	237	4.80	3.13	4.04	151	249	7.31	3.76	5.74
145	236	4.48	2.68	5.75	143	238	5.34	3.17	4.22	152	250	7.09	3.87	5.92
146	237	4.73	2.64	5.99	144	239	5.67	3.08	4.42	153	251	6.64	3.78	5.94
147	238	5.18	2.79	6.57	145	240	6.12	3.06	4.68	154	252	6.07	3.55	5.83
<b>Z = 92 (U)</b>					146	241	6.34	3.02	4.87	155	253	5.51	3.36	5.62
137	229	3.23	3.74	4.95	147	242	6.73	3.12	5.17	156	254	5.00	3.11	5.27
138	230	3.02	3.52	4.28	148	243	6.80	3.15	5.36	157	255	4.63	3.08	5.21
139	231	3.11	3.52	4.46	149	244	6.99	3.45	6.10	158	256	4.35	2.86	4.82
140	232	3.17	3.39	4.73	150	245	6.80	3.56	6.28	159	257	4.38	2.95	4.56
141	233	3.56	3.36	4.79	151	246	6.88	3.88	6.84	160	258	4.43	2.91	4.23
142	234	3.80	3.22	4.89	152	247	6.62	3.94	6.83	<b>Z = 99 (Es)</b>				
143	235	4.20	3.12	4.87	153	248	6.20	3.90	6.91	145	244	6.28	2.13	3.04
144	236	4.45	2.87	5.03	154	249	5.69	3.79	6.59	146	245	6.53	2.21	3.40
145	237	4.87	2.81	5.44	<b>Z = 96 (Cm)</b>					147	246	6.89	2.41	3.82
146	238	5.08	2.75	5.64	143	239	5.48	2.80	3.64	148	247	7.07	2.56	4.12
147	239	5.52	2.87	6.21	144	240	5.84	2.74	3.92	149	248	7.44	3.06	4.58
148	240	5.65	2.92	6.37	145	241	6.32	2.81	4.27	150	249	7.38	3.29	4.95
149	241	5.98	3.20	6.93	146	242	6.56	2.78	4.45	151	250	7.48	3.68	5.50
150	242	5.95	3.33	7.10	147	243	6.97	2.87	4.85	152	251	7.24	3.81	5.69
<b>Z = 93 (Np)</b>					148	244	6.92	2.94	5.07	153	252	6.79	3.70	5.70
137	230	3.14	3.65	3.81	149	245	7.12	3.33	5.58	154	253	6.22	3.45	5.47
138	231	3.01	3.47	3.81	150	246	7.01	3.50	5.87	155	254	5.67	3.26	5.36
139	232	3.16	3.57	4.14	151	247	7.11	3.82	6.49	156	255	5.04	2.99	5.01
140	233	3.40	3.49	4.36	152	248	6.80	3.95	6.65					

therefore in Table I represent, for 135 nuclei, our calculations in terms of such a double-humped structure for this range of proton number  $Z$  for a range of neutron numbers that corresponds to available data plus some additional proton- and neutron-rich isotopes for each element. Since

most fission barriers cannot be represented in terms of a double-humped fission barrier, but do have a unique fission-barrier height, defined as the maximum height on the optimum path between the ground state and scission, we present in Table II this barrier height for 1585 heavy nuclei.











Because of the finite precision of the storage of energies on the points of the coordinate grid, the energies in Tables I and II sometimes differ by 0.01 MeV.

If we were to calculate potential-energy surfaces in only one deformation space, for example, the 3D space spanned by  $\epsilon_2$ ,  $\epsilon_4$ , and  $\gamma$  discussed in Sec. III F, it would be straightforward to calculate the fission-barrier height. We would just subtract the energy of the minimum identified as the ground state, with zero-point energy added, from the associated saddle-point energy. However, in our current study we make calculations in several different deformation spaces and want to optimally use information from all. We also need to use computer programs to analyze the results from the different deformation spaces and determine the barrier height. There are two reasons for this. First, we need to ensure that we use a consistent decision process; second, it is impractical to deal manually with 5254 nuclei.

As a starting point for obtaining the barrier parameters in Table I, we tabulate a ground-state and a saddle-point energy as obtained in the 3D calculation for each nucleus. We then minimize in a 4D space, as discussed in Sec. II A1, all the minima we find on the oblate and prolate axes in the 3D calculation. For each nucleus we tabulate the lowest minimum. We compare the shape coordinates of the lowest 4D minimum to those of the ground-state minimum determined from the barrier condition in the 3D space. The barrier condition yields a minimum with a significantly different deformation for 270 nuclei. Only seven of the nuclei in this group have  $A \leq 292$ . These are all in the group  $99 \leq Z \leq 102$  and  $128 \leq N \leq 130$ , meaning they are very proton-rich nuclei emerging from the  $N = 126$  shell having very low barriers. For all 270 nuclei in this group, we use only the 3D calculation to determine the barrier height. For some superheavy nuclei in this group, we obtain a substantial barrier, which would not occur if we were to analyze only axially symmetric deformation spaces. In particular for some specific cases, such as that shown in Fig. 8, choosing as the ground state the lowest-energy minimum may lead to a 1 MeV barrier, whereas the actual barrier exceeds 5 MeV.

For the remaining nuclei that are not in the group of 270, we use the 5D 3QS calculation as the starting point to determine the barrier parameters. To generate the barrier parameters for the nuclei in Table I, we start by selecting from those minima having a deformation not too different from those known for fission-isomer states that minimum with the highest saddle-point energy with respect to the ground state. When more than one minimum shares this highest saddle, the lowest-energy minimum is chosen as the fission isomer. If a minimum with a corresponding  $Q_2$  is found in the 3D calculation and/or in the constrained  $\beta$ -parametrization calculation, we tabulate as the energy of the second minimum the lowest of these values. We then determine in the  $\beta$  and 5D spaces the energy of the saddle between the second minimum and the ground state. We compare these saddle energies to the energy of the corresponding saddle in the 3D space and choose the lowest one as the energy of the first saddle. For some nuclei, the axial asymmetry included in the 3D calculation leads to a considerably lower energy for the first saddle compared with the energy obtained in the axially symmetric calculations, a

result which has been known since about 1970. The outer barrier peak is determined using only the 5D calculation as the optimum saddle between the second minimum and the lowest potential-energy point at our highest  $Q_2$  value. The energies tabulated in Table I are:  $E_A$ , the difference between the energies of the inner saddle and the ground state,  $E_{II}$ , the difference between the energies of the second minimum and the ground state, and  $E_B$ , the difference between the energies of the outer saddle and the ground state. In all cases, before the difference is taken, a zero-point energy taken from our FRLDM (1992) model [13] is added to the energies of the ground state and second minimum. For a few nuclei  $E_{II} > E_A$ . This occurs because the calculated *potential energy* at the first saddle may be only marginally higher than the calculated potential energy at the isomeric minimum. To obtain  $E_{II}$  we have added a zero-point energy to the *potential energy* at the second minimum, which sometimes has the consequence that  $E_{II} > E_A$ .

The highest saddle on the optimum path between the ground-state and scission configurations, which is tabulated in Table II, could in principle be obtained as just the larger of  $E_A$  and  $E_B$  determined as described above. However, since it is difficult, and sometimes impossible, to determine a two-humped barrier structure outside the narrow range of nuclei tabulated in Table I, we obtain the barrier heights in Table II from a somewhat different analysis. For the group of 270 nuclei discussed above, we still use only the 3D calculation as the basis for calculating a barrier height. For the other nuclei, we start by determining in the 5D potential-energy calculation the energy of the optimum saddle between the ground state and scission. If the deformation of that saddle is similar to the deformation of the first saddle obtained in the 3D calculation, which includes axial asymmetry, we check if the saddle energy in the 3D calculation is lower. If not, the optimum saddle energy is that found in the 5D calculation. But if the saddle in 3D space is lower (usually because of axial asymmetry), that energy is a tentative candidate for the optimum saddle energy. We then check for a second, outer saddle in the 5D calculation, which will have an energy lower than that of the original 5D inner saddle. If one does not exist, the optimum saddle will be the 3D one already tentatively identified. Otherwise, the saddle point will be the one having the higher energy. We again calculate the barrier height as the difference between the energy of the chosen saddle point and the ground-state energy found in the 4D minimization including the zero-point correction, and tabulate this value in Table II. When the identical nucleus is tabulated in Table I, we expect that the higher of the  $E_A$  and  $E_B$  values in Table I will equal the value in Table II. This is indeed the case. Since different decision pathways are used to generate the numbers, this constitutes a good check on the implementation of this logic. This implementation is nontrivial due to numerous issues we do not feel are very enlightening to discuss here; one example is the occurrence of some very pathological potential-energy surfaces for certain heavy nuclei.

## VI. SHAPES AND LEVEL DENSITIES

Our calculations also give information about the saddle-point symmetry properties and the corresponding microscopic



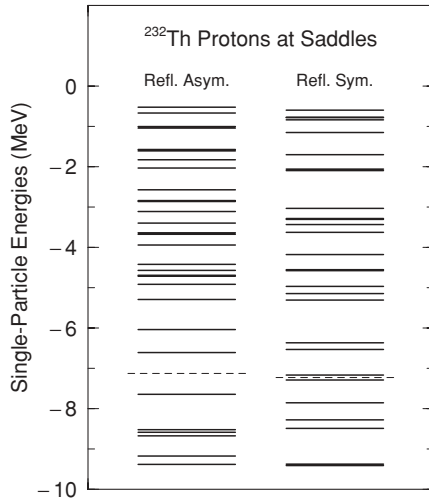


FIG. 18. Calculated proton single-particle levels for  $^{232}\text{Th}$  at the saddles corresponding to the symmetric and asymmetric fission modes. The single-particle level density at the Fermi surface given by the dashed line is much lower at the asymmetric saddle than at the symmetric saddle. This is consistent with the shapes corresponding to these saddles. At the asymmetric saddle, one of the nascent fragments is a spherical nearly doubly magic system, *cf.* Fig. 16, resulting in a lower level density compared with the symmetric saddle.

level structure at the saddles. Since we determine more realistic saddle-point shapes than previously, we investigate the impact of the more realistic shapes on level densities.

We show in Figs. 18 and 19 calculated single-particle levels at the symmetric and asymmetric saddle points of  $^{232}\text{Th}$ , see also Fig. 16. We find that the single-particle level density near the Fermi surface is lower at the asymmetric saddle than at the symmetric saddle. This is as expected, since the partially

TABLE III. Fermi-gas level-density parameters determined from adjustments of parameters of the Fermi-gas model to microscopic calculations of intrinsic level densities. The numbers in parentheses are (1) for an asymmetric saddle, and (2) for a symmetric saddle. B and C refer to the second and third saddle, respectively, for a triple-humped barrier, see Fig. 14.

Nucleus	$Q_2^{1/2}$ (barn $^{1/2}$ )	Density Fit		Log Fit		
		$a$ (MeV) $^{-1}$	$E_{\text{shift}}$ (MeV)	$a$ (MeV) $^{-1}$	$E_{\text{shift}}$ (MeV)	
Even-even systems						
$^{232}\text{Th}$	(1)	7.75	17.708	2.483	15.403	1.177
$^{232}\text{Th}$	(2)	7.56	20.538	2.492	18.963	1.898
Odd-even systems						
$^{239}\text{Am}$	(1)	6.04	19.369	1.275	16.906	0.607
$^{241}\text{Am}$	(1)	6.04	19.879	1.232	19.156	0.980
$^{243}\text{Am}$	(1)	6.04	20.281	1.097	17.828	0.470
Odd-odd systems						
$^{238}\text{Am}$	(1B)	6.20	19.041	0.810	19.125	0.700
$^{238}\text{Am}$	(1C)	7.56	17.259	0.232	17.814	0.420
$^{242}\text{Am}$	(1)	6.04	19.740	0.618	21.961	0.980

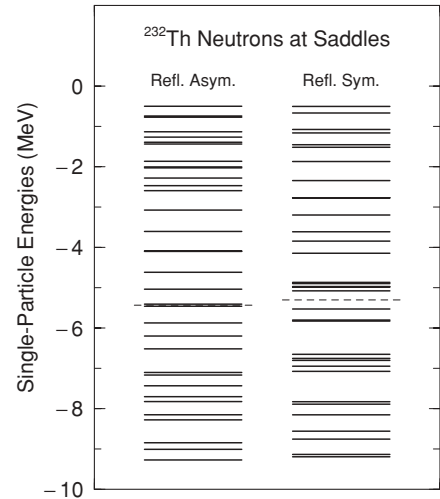


FIG. 19. Calculated neutron single-particle levels for  $^{232}\text{Th}$  at the saddles corresponding to the symmetric and asymmetric fission modes. The single-particle level density near the Fermi surface given by the dashed line is much lower at the asymmetric saddle than at the symmetric saddle. This is consistent with the shapes corresponding to these saddles. At the asymmetric saddle one of the nascent fragments is a spherical nearly doubly-magic system, *cf.* Fig. 16, resulting in a lower level density compared with the symmetric saddle. Some of the levels near the Fermi surface at the symmetric saddle are partially overlapping so the level density is higher than might be inferred from the figure alone.

formed spherical Sn-like fragment at the asymmetric saddle (see Fig. 16) is responsible for this lower level density. We use the approach outlined in Sec. II C to calculate the total level density versus excitation energy for the nucleus  $^{232}\text{Th}$  at the asymmetric and symmetric outer saddle points. The results are shown in Fig. 20, where the solid curve gives the result at the reflection-asymmetric saddle and the dot-dashed curve the reflection-symmetric saddle, respectively. The results are given with respect to both the ground-state energy and the saddle energies. The slopes of the level densities at the two saddles differ considerably, which is a consequence of the underlying single-particle level structure close to the Fermi surface shown in Figs. 18 and 19. The level density is very sensitive to this single-particle structure, since the intrinsic (noncollective) part roughly behaves as  $\rho_{\text{intr}}(E) \sim \exp(2\sqrt{aE})$  where  $a = \frac{\pi^2}{6}(g_p + g_n)$  is the level-density parameter and  $g_p$  and  $g_n$  are the proton and neutron single-particle level densities at the Fermi surface, respectively [39]. For this nucleus, a spherical, nearly doubly magic nascent fragment lowers the single-particle level density at the Fermi surface at the reflection-asymmetric saddle giving rise to a more slowly increasing total level density. As examples of calculated total level densities for odd-even nuclei, we show three isotopes of Am ( $^{239}\text{Am}$ ,  $^{241}\text{Am}$ , and  $^{243}\text{Am}$ ) in Fig. 21; and for odd-odd nuclei we show two other isotopes of Am ( $^{238}\text{Am}$  and  $^{242}\text{Am}$ ) in Fig. 22. We determine equivalent Fermi-gas-model parameters by performing least-squares fits of shifted Fermi-gas models for level densities to our calculated densities; these are tabulated in Table III. By ‘‘Density Fit’’ we mean a fit to the actual calculated level densities, which emphasizes the higher-energy region, while the ‘‘Log Fit’’

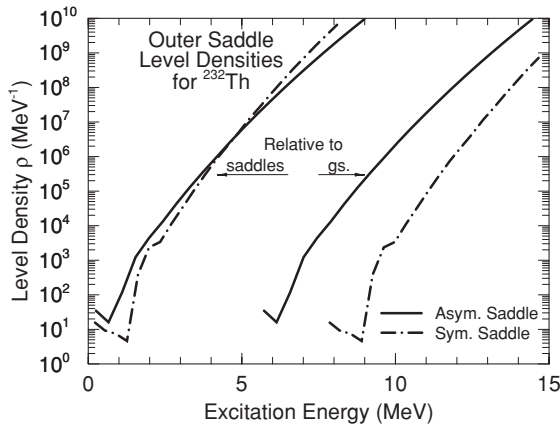


FIG. 20. Calculated total level densities at the mass-asymmetric (lower-energy) and mass-symmetric outer saddles of  $^{232}\text{Th}$ . The different slopes of the total level densities at the two saddles clearly reflect the difference between the intrinsic level structure at the symmetric and asymmetric fission saddle points.

is a fit to the logarithm of the calculated densities. The latter gives roughly equal weight to all excitation energies. Noticeably different Fermi-gas parameters are obtained in the two schemes. The root cause is that the Fermi-gas expression in Eq. (25) is a poor approximation to the microscopic level-density-model results. A significant result is that there is considerable variation between systems, due to differences in the microscopic level structure at the saddle points. See Sec. VII E for a discussion on experimental data on level densities for the asymmetric and symmetric fission modes of  $^{232}\text{Th}$ .

## VII. COMPARISONS WITH DATA AND OTHER MODELS

We present calculated barrier heights for 1585 nuclei. After analysis of additional calculated potential-energy surfaces, we plan to present a data base of barrier heights for all nuclei between the proton and neutron drip lines from  $A = 170$

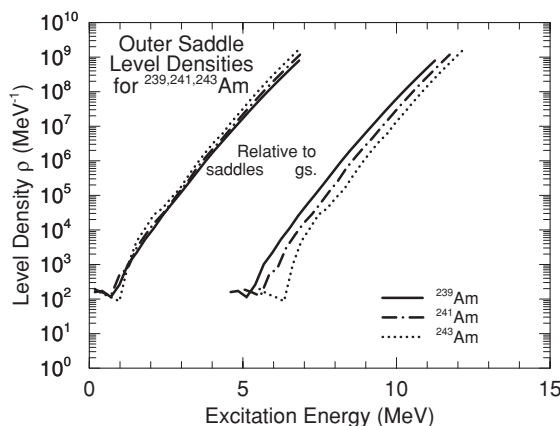


FIG. 21. Calculated total level densities at the outer saddles of  $^{239}\text{Am}$ ,  $^{241}\text{Am}$ , and  $^{243}\text{Am}$ . The slopes of the different total level-density curves are fairly similar. Because these systems are odd-even the level density is higher than at the  $^{232}\text{Th}$  saddles in Fig. 20.

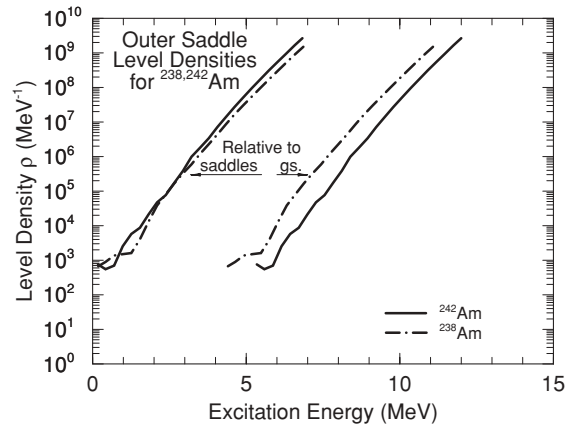


FIG. 22. Calculated total level densities at the outer saddles of  $^{238}\text{Am}$  and  $^{242}\text{Am}$ . The slopes of the different total level-density curves are fairly similar. Because these systems are odd-odd the level density is higher than at the  $^{232}\text{Th}$  saddles in Fig. 20 and at the saddles of the odd-even Am systems in Fig. 21.

to nuclei so heavy that stability with respect to fission is completely lost. This data base will provide barrier heights for more than 5000 nuclei. In this discussion, we would like to investigate whether our calculations can be expected to be reliable for such extrapolations. We therefore compare our results with several different types of data that are affected by fission-barrier heights. First, we compare calculated barrier heights with barrier heights deduced from experimental reaction data. It is not straightforward to compare calculated barrier parameters with experimental data. First, the experimental barrier parameters are determined from fits of models to cross-section data. The “experimental” fission-barrier heights depend strongly on model assumptions. Second, the barrier may have more than two barrier peaks and more than one fission mode; analysis in terms of a two-peaked barrier is therefore only a crude approximation. But on the other hand, analysis in terms of a more complicated structure introduces a large number of additional parameters to be fitted to data, which leads to other difficulties.

Double-humped fission-barrier parameters have only been experimentally determined for a fairly limited number of nuclei, mainly actinide nuclei. To obtain insight about the reliability of our results for larger regions of nuclei, we therefore also discuss how our results compare with other types of experimental data that depend strongly on fission-barrier heights, specifically fission half-lives and  $\beta$ - or electron-capture-delayed fission. We show that our results are consistent with such data. We also discuss how our results compare with other calculations, in particular HFB calculations.

### A. Fission-barrier heights

In Figs. 23–32 we compare our calculated barrier parameters (solid lines) with the experimental evaluations by Madland [62] (black circles) and the RIPL-2 evaluation [44,45] (red squares). The evaluation by Madland is based on data in Refs. [36,63–65].

It is of interest to try to understand if the barrier calculations are reliable outside the region of nuclei to which the model

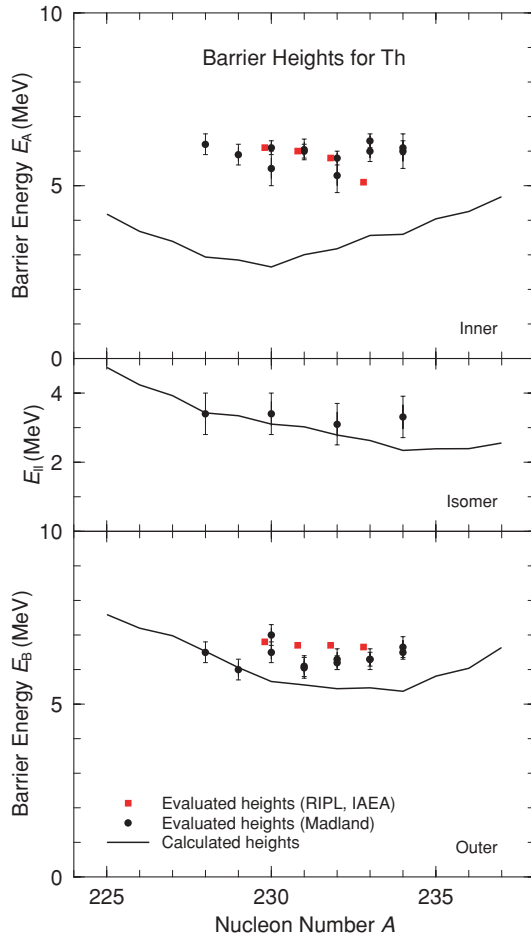


FIG. 23. (Color online) Calculated first and second saddle heights and fission-isomer energies for Th isotopes, compared with experimental data where available.

parameters were adjusted. The FRLDM (2002) parameters we use here were determined in 2002 [12]. It was not reasonable to retain the FRLDM (1992) parameter set because our vastly more general deformation spaces for fission potential-energy calculations now include millions of deformation points compared with 175 deformation points for the potential-energy surfaces on which the FRLDM (1992) was based; a more than 10 000 fold increase. This leads to a systematic lowering of all calculated barrier heights by up to 3 MeV [8,57]. The experimental mass data set [66] used in the 2002 parameter determination is identical to the set used for the 1995 mass table [13]. Thirty-one outer barrier heights were used in the fission-barrier-height data set. The rms error between barrier heights derived from experimental data is 0.999 MeV; the mass-model error for 1654 nuclei is 0.752 MeV. If we assume that the intrinsic model errors are randomly distributed and are of the same magnitude for saddle points as for ground states, and that the saddle and ground-state errors are uncorrelated, then one expects, due to the subtraction required to extract a barrier height from the saddle-point and the ground-state energies, that the error  $\sigma_{\text{bar}}$  should fulfill

$$\sigma_{\text{bar}} = \sqrt{2}\sigma_{\text{mass}}. \quad (26)$$

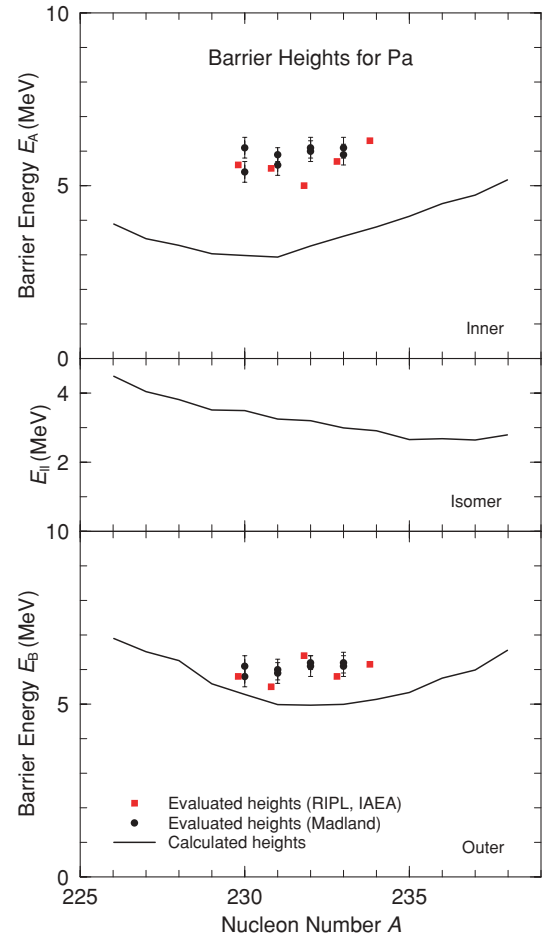


FIG. 24. (Color online) Calculated first and second saddle heights and fission-isomer energies for Pa isotopes, compared with experimental data where available.

This requirement is consistent with the error estimates in the FRLDM (2002) model, but was not for the FRLDM (1992) model, for which the estimate for  $\sigma_{\text{bar}}$  was about 1.4 MeV.

For light actinide nuclei, we consistently obtain that the outer peak, beyond the fission isomeric minimum, is split into two about equally high peaks (denoted  $E_B$  and  $E_C$ ), separated by a shallow minimum (denoted  $E_{III}$ ) which is 0.5 to 1 MeV deep. It has been argued [7] that it is the inner of these peaks that should be compared with what in experimental evaluations has been tabulated as the first barrier peak. That would bring the calculated and experimental values for the height of the first barrier peak into much better agreement with each other. However, experimental (n,f) data for  $^{232}\text{Th}$  and nearby nuclei were subsequently analyzed in terms of a three-humped barrier structure [67]. A general feature of the results of this analysis for  $^{230}\text{Th}$ ,  $^{231}\text{Th}$ ,  $^{233}\text{Th}$ , and  $^{237}\text{U}$  is that the barriers of these nuclei do exhibit a triple-humped structure. The heights of the saddles and minima depend on the  $K$  quantum number of the fission channel. For the first peak, the results in MeV are 5.40–6.14, 5.11–5.50, 5.10–6.00, and 5.00–5.20, for  $^{230}\text{Th}$ ,  $^{231}\text{Th}$ ,  $^{233}\text{Th}$ , and  $^{237}\text{U}$ , respectively. At the lower end of the range of values, these experimental values are up to one MeV lower than those given by the experimental evaluations used in

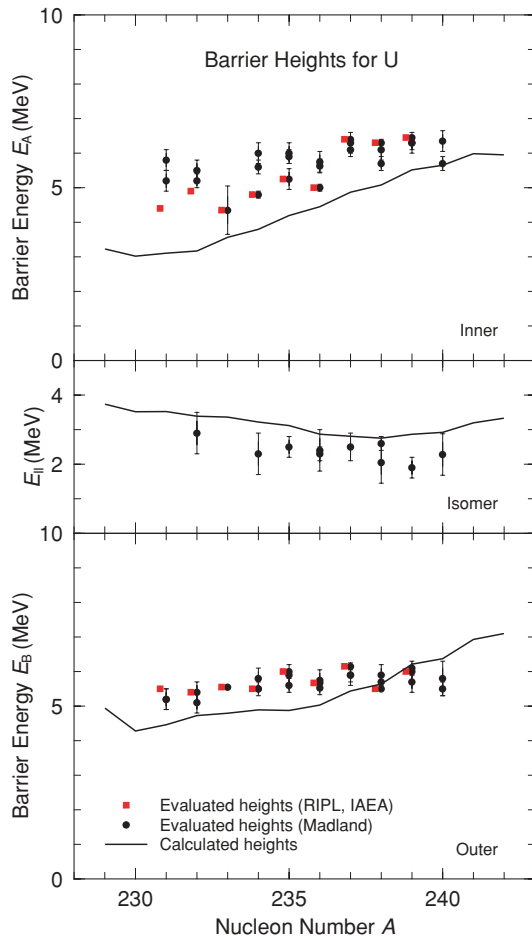


FIG. 25. (Color online) Calculated first and second saddle heights and fission-isomer energies for U isotopes, compared with experimental data where available.

Figs. 23 and 25. In the outer barrier region this experimental study deduces that the conventional outer saddle is split into two peaks by a shallow “third” minimum about 0.5 MeV deep. Each of the outer peaks is about 6 MeV high. For the lighter nuclei in this sequence, it is the outer of the two peaks that is the higher by 0.5 MeV or so; for  $^{237}\text{U}$ , it is the inner of the two peaks that is the higher. For details, see Ref. [67]. Our results for the outer-barrier region are in very good agreement with this analysis, as can be seen in Fig. 16. We also reproduce the experimentally deduced trend of the relative height of  $E_B$  and  $E_C$  with neutron number. However, our inner barrier  $E_A$  is still lower than those resulting from this refined analysis of the experimental data. Calculated first barrier peaks that are lower than values obtained from analysis of experimental data for light actinides is a well-known, still unresolved issue, and are obtained in many theoretical studies, for example, in Refs. [7,53,68–74].

For Pu, Am, Cm, and Cf, the calculated second barrier heights for neutron number  $N$  in the range 150–155 appear too high compared with experimental data. The lighter of the fission fragments in fission of these nuclei corresponds to a region near  $^{108}\text{Ru}$  where we have recently shown that the ground-state mass is lowered by up to 0.7 MeV by

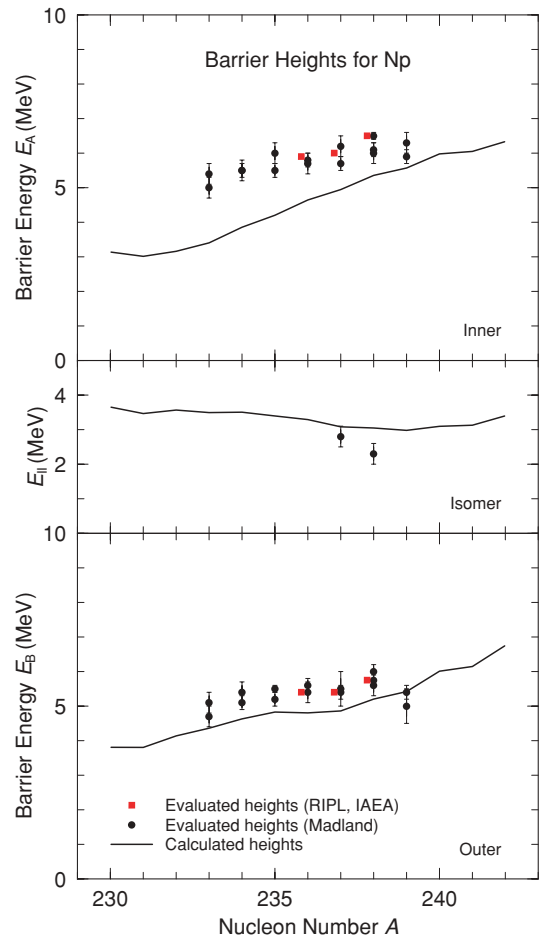


FIG. 26. (Color online) Calculated first and second saddle heights and fission-isomer energies for Np isotopes, compared with experimental data where available.

allowing axially asymmetric shapes [75]. We know that some fragment properties already begin to emerge at the outer saddle point in fission. It would be interesting to include triaxial shape degrees of freedom at the outer saddle point, since the fragments are already partially formed there. This will be a formidable task, since it requires that the three-quadratic-surface parametrization be extended to allow triaxial shapes, and that in order to allow different amounts of triaxiality for each nascent fragment, the dimensionality of the deformation space must increase from five to seven.

## B. Other models

To gain additional insight into the reliability of our results and those of other models, we wish to compare them with other efforts that study several nuclear properties (universality) such as nuclear ground-state masses, deformations, and fission barriers for a large number of nuclei (globality). We are aware of only one other set of studies that fit into this category, namely, the Brussels/Montreal HFB-1, . . . , HFB- $n$  (where  $n$  stands for model version and currently is about 15) [56,76,77]. We compare our fission-barrier results with studies based on the HFB- $n$  framework. This work is often presented as “self-consistent,” “fundamental,” and “microscopic,” and our work

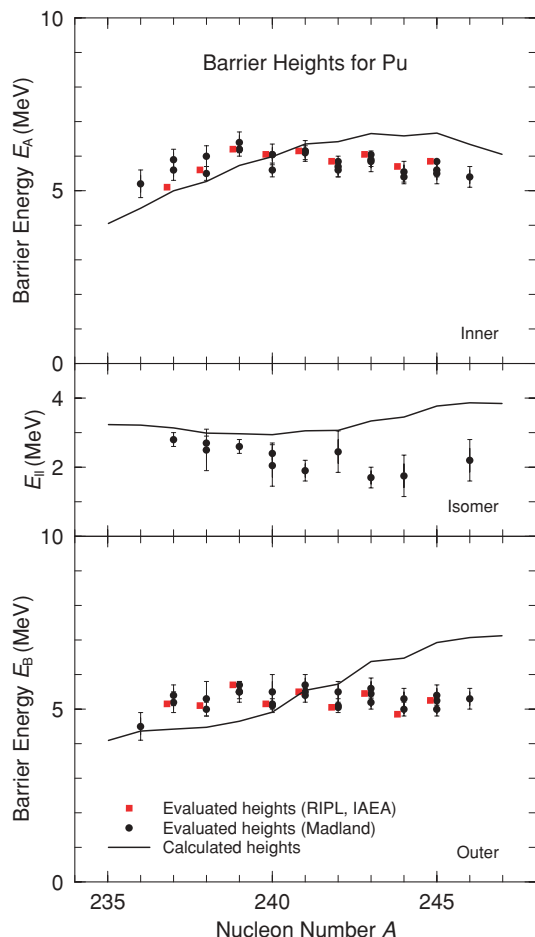


FIG. 27. (Color online) Calculated first and second saddle heights and fission-isomer energies for Pu isotopes, compared with experimental data where available.

is referred to as “phenomenological;” this characterization is given as evidence that our work must be expected to be less reliable when used to predict properties of currently unknown nuclei. This claim is misleading. To begin with, the values of the parameters defining the effective two-body interaction used in this type of model are adjusted to nuclear properties, including masses (phenomenological). Second, in mass studies, the HFB calculations use other phenomenological terms with adjustable parameters, for example, terms to describe what is referred to as the Wigner energy. Third, in the latest work on barriers [77], an admittedly phenomenological “collective correction” term with three or four parameters is added. The authors of this work mention that the good reproduction of experimental barriers in this model “is entirely the result of the three extra fitting parameters provided by this term.” Therefore, the HFB work is also phenomenological, no longer self-consistent, and actually a type of macroscopic-microscopic model. Certainly, its microscopic content is higher than that in our model, with the effective volume, surface, and Coulomb energies arising from the microscopically calculated densities. Both the HFB model and our model have spin-orbit effective interactions that are not derived from fundamental considerations. The form and strength of the spin-orbit term

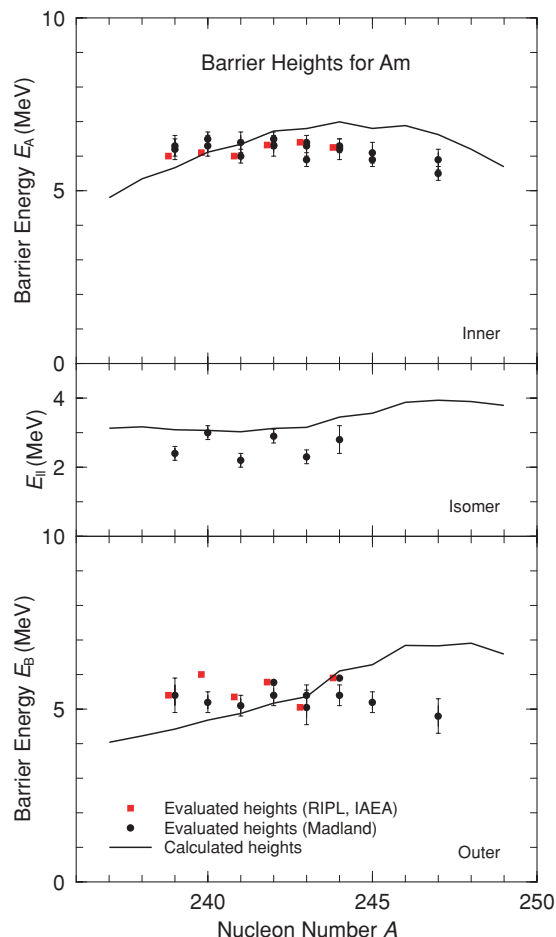


FIG. 28. (Color online) Calculated first and second saddle heights and fission-isomer energies for Am isotopes, compared with experimental data where available.

provide some of the major sources of uncertainty for any model applied to nuclei far from stability.

This being said, what are the current accomplishments of the two efforts and what kind of reliability can we expect of our fission-barrier calculations? It may seem straightforward to compare calculated barrier heights with “experimental” barrier heights. However, the experimental barrier heights are not measured as directly as nuclear masses can be measured. They are, as we have discussed, deduced from modeling measured fission cross-section excitation functions. But it is a standard comparison. Both our calculation and the HFB-14 calculation yield good agreement with data for actinides, with about a 1 MeV average error. It is difficult to evaluate the reliability of extrapolation of the models to extremely neutron-rich nuclides that are relevant for the r-process. The only direction of extrapolation directly accessible is to lower values of  $Z$ . From Fig. 5 of [77], one can infer a systematic overestimation of the barrier heights in the  $Z = 80-87$  region. This is typical of self-consistent microscopic models, all of which have a very large effective curvature energy [78,79], which tends to increase the energy of the more deformed saddle points characteristic of lighter nuclei. HFB-14 results for systems with  $Z < 80$  are not given. Our model, on the other hand,

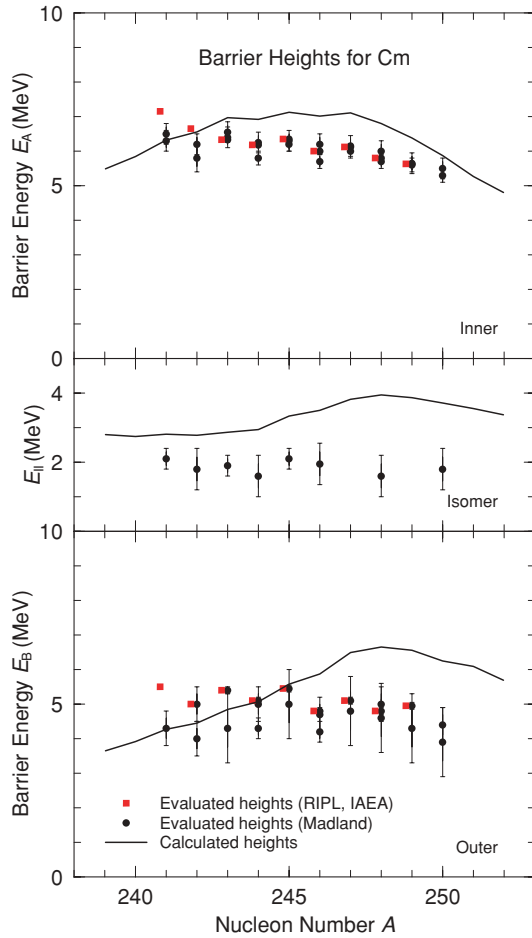


FIG. 29. (Color online) Calculated first and second saddle heights and fission-isomer energies for Cm isotopes, compared with experimental data where available.

has been compared with barriers down to  $Z = 34$ , with no apparent increase of average deviation for the lighter nuclei [12]. It is also possible to make indirect comparisons of calculated barrier heights with other types of data. For example, the calculated barrier heights should be sufficiently high that they are compatible with observed half-lives. In the HFB and the somewhat related ETFSI studies in the region  $Z \geq 107$  [53,55], many of the fission barriers for nuclei that have been observed are in the 2–3 MeV range, which is too low to be compatible with observations of 100%  $\alpha$ -decay branches with half-lives in the ms range. Another area in which our model has been shown to extrapolate well compared with HFB- $n$  is in predicting the masses of superheavy elements, which although not yet measured, do lead to the observed  $\alpha$ -decay  $Q$  values for the elements with  $Z = 110$  to  $Z = 113$ . Our predictions for these elements [13,22], which predate the measurements, give a good reproduction of the  $Q$  values for all  $\alpha$ -decay chains which have so far been measured in this mass region; an example is shown in Fig. 7. Since barriers in the HFB model do not extrapolate as well as those in our model, either in the direction of lower  $Z$  and  $A$  or to the superheavy region, we remain skeptical of how well it may extrapolate in the large- $N$  direction. There is no evidence presented in Ref. [77] for the

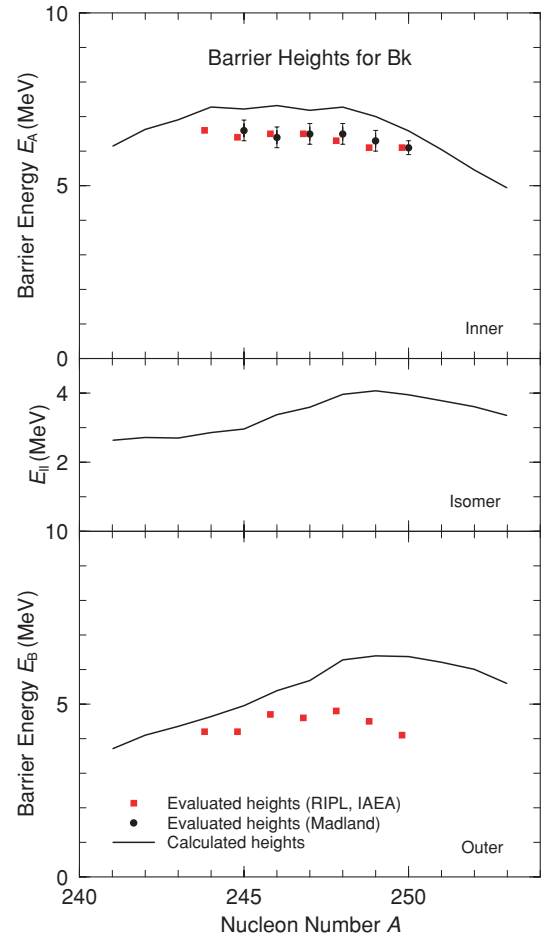


FIG. 30. (Color online) Calculated first and second saddle heights and fission-isomer energies for Bk isotopes, compared with experimental data where available.

claim “Model HFB-14 . . . is well suited for a new calculation of all the barriers involved in the  $r$ -process.” We cannot claim to know how well our model extrapolates to fission barriers in the  $r$ -process region, but have at least some confidence because of the above points, and because of its good historical record of extrapolating to regions of nuclear masses that were not known when the mass tables were published [20,80].

### C. Heavy-element stability

We display 1122 of the barrier heights tabulated in Table II in terms of a contour diagram in Fig. 33. Our calculated barrier heights agree well with experimentally deduced barrier heights as we discussed above. We now discuss a number of other observed fission-related properties and how they compare with our calculations.

Heavy nuclei primarily decay by  $\alpha$  and  $\beta$  decay and by fission. We have earlier provided extensive tables of  $\alpha$ - and  $\beta$ -decay half-lives [81]. The  $\beta$  half-lives were calculated in a microscopic model which is accurate to about a factor of three [82]. If parent and daughter masses are known the  $\alpha$ - and  $\beta$ -decay half-lives can be estimated from simple  $Q$ -value systematics to within one or two orders of magnitude. However, theoretical fission half-lives are more difficult to

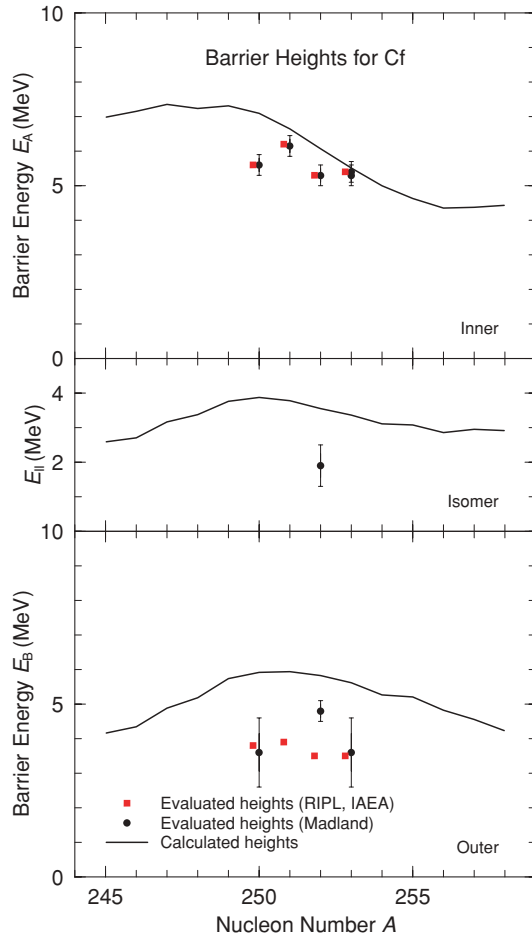


FIG. 31. (Color online) Calculated first and second saddle heights and fission-isomer energies for Cf isotopes, compared with experimental data where available.

calculate than  $\alpha$ -decay half-lives and are subject to greater uncertainties.

To calculate spontaneous-fission half-lives, it is necessary to know the fission potential energy, the inertia associated with the motion through the barrier and the path from the ground state through the barrier. Conceptually it is appealing to calculate the potential energy and inertia tensors in terms of several shape variables and then determine the least-action trajectory for barrier penetration by use of dynamical programming techniques; see, for example, Ref. [83]. Although this technique is theoretically very appealing, it has in practice had results which are not an improvement over simpler, semiempirical techniques [18,31,84–88]. In the semiempirical technique, optimum saddle points and minima are obtained from the multidimensional potential-energy surface. A one-dimensional fission barrier is then constructed by connecting neighboring minima by third-degree polynomials whose first derivatives are zero at the maxima and minima. This completely defines the barrier. The inertia is given by a semiempirical model in which certain boundary conditions are imposed on the inertia. The model was introduced 35 years ago [84] and has been used in many studies; see Ref. [21] for a more recent review. It turns out that the

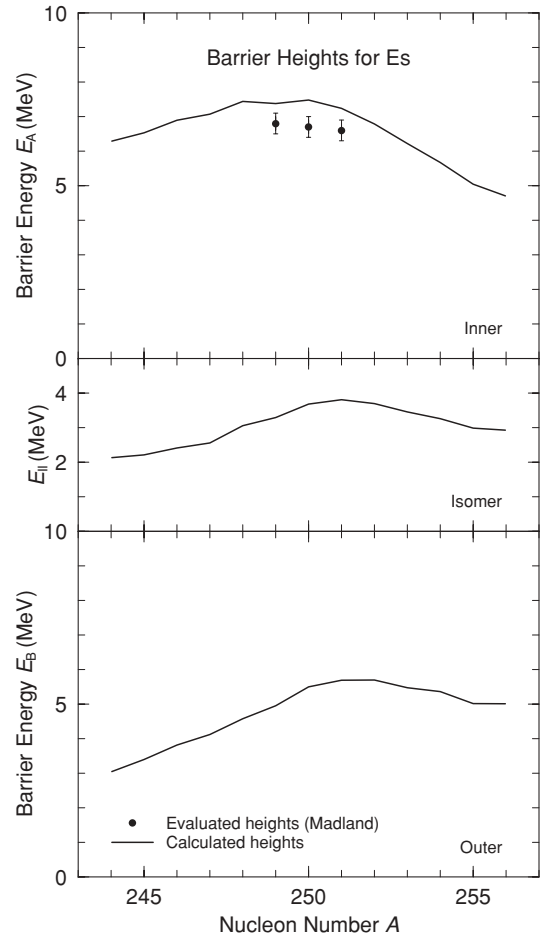


FIG. 32. Calculated first and second saddle heights and fission-isomer energies for Es isotopes, compared with experimental data where available.

semiempirical inertia in practice agrees well with microscopic cranking-model results [83,89]. Another reason for not using the microscopic approach here is that it has never been applied in five dimensions, which would be extremely cumbersome. We therefore use the semiempirical method here to predict a few fission half-lives based on our current potential-energy surfaces. The parameters of the semiempirical inertia are those determined in 1976 [87] with generalizations introduced in 1987 [31] to allow studies of compact symmetric fission modes. We tabulate the calculated fission and  $\alpha$  half-lives in Table IV. We also provide experimental values where available. For the first five entries in Table IV, we have selected representative actinide nuclei to benchmark our calculations. We find excellent agreement between calculated and experimental half-lives, especially considering the extreme sensitivity of the calculated fission half-lives to small changes in calculated barrier energies. Results are especially sensitive to changes in the ground-state energy. A 1 MeV change in this energy will give rise to a change in the calculated half-life of six orders of magnitude. It was stated in Refs. [21,30] that one could expect average deviations between calculated and experimental fission half-lives of about three orders of magnitude. For Fm, the calculated half-lives are

TABLE IV. Fission and  $\alpha$ -decay half-lives for selected nuclei. The experimental fission half-lives are from Ref. [90]. The calculated and experimental  $\alpha$  half-lives are from Refs. [22] and [91], respectively. The top five entries are comparisons between calculations and experimentally available fission and  $\alpha$ -decay half-lives for representative (and somewhat challenging) actinide nuclei. The three middle entries represent predicted stability for even-even nuclides with  $N = 126$  heavier than the last observed  $N = 126$  nuclide ( $^{218}\text{U}$ ). The next three entries illustrate the much shorter half-lives just beyond the  $N = 126$  shell in the transition region between spherical and well-deformed nuclei. The last entry is for a  $Z = 112$  deformed “superheavy” nucleus.

Nuclide			$\text{Log}_{10}(T_{1/2}^f/y)$		$\text{Log}_{10}(T_{1/2}^\alpha/y)$	
Z	N	A	Calc.	Exp.	Calc.	Exp.
92	144	236	14.31	16.39	8.18	7.37
94	138	232	-1.29		-3.21	-4.19
94	146	240	9.22	11.05	4.51	3.93
100	152	252	6.06	2.09	-1.14	-2.54
100	158	258	-7.34	-10.91		
96	126	222	9.41		-4.12	
98	126	224	1.65		-4.70	
100	126	226	-3.03		-5.29	
96	128	224	-2.16		-8.35	
96	134	230	-10.76		-1.48	
98	132	230	-15.96		-4.52	
112	165	277	-5.37		-11.91	-11.11

three to four orders of magnitude too large. However, the average deviation for the five cases is within the expected three-order-of-magnitude accuracy of the approach. And it is noteworthy that we get quite reasonable results over the 27(!) order-of-magnitude variation of the experimental half-lives. For  $^{258}\text{Fm}$ , we have used the mass parameter we associate with the compact fission valley [31]. For  $^{232}\text{Pu}$ , fission has not been observed experimentally; the  $\alpha$ -decay half-life is 34 min. Our calculated fission half-life is 19 days. This is compatible with observing only an  $\alpha$  branch at almost 1000 times the calculated fission decay rate. We were surprised by this long fission half-life because the fission-barrier height in Table II is only 3.23 MeV. However, in the fission half-life calculations, we use additional information from our potential-energy surfaces. The barrier for  $^{232}\text{Pu}$  is double-humped and both the inner and outer peak are about 3 MeV. The fairly long fission half-life is a consequence of the barrier being relatively wide.

There is some interest in experimentally investigating stability for constant  $N = 126$ . Currently the heaviest known  $N = 126$  isotone is  $^{218}\text{U}$ . After our satisfactory benchmarking of our methods, we have some confidence in applying the model to unknown nuclei and show on the next three lines of Table IV predicted half-lives for fission and  $\alpha$  decay of  $^{222}\text{Cm}$ ,  $^{224}\text{Cf}$ , and  $^{226}\text{Fm}$ . Clearly these nuclei would be fairly stable and decay mainly by  $\alpha$  decay according to our predictions, if there were a sufficiently large evaporation-residue cross section in reactions that might be employed to produce them.

Although fission barriers or fission half-lives have not been systematically determined for nuclei with  $107 \leq Z \leq 113$

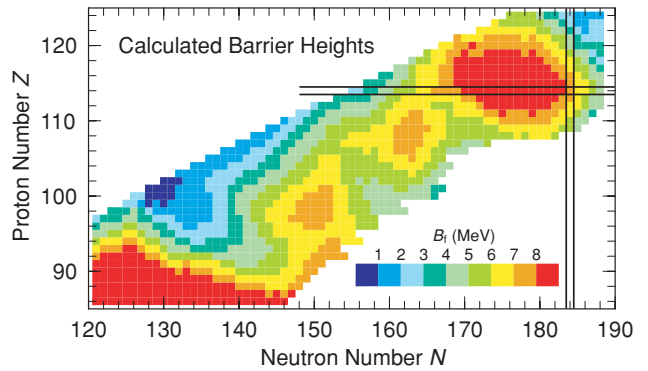


FIG. 33. (Color online) Calculated fission-barrier heights for 1122 heavy nuclei. As discussed in the text we find that the calculated barrier heights agree well with experimental data related to barrier heights (for example half-lives and regions of EC-delayed fission occurrence) for nuclei throughout the heavy region. Red color indicates barrier heights above 8 MeV (9 MeV and higher may be included).

discovered during the last 25 years or so [55,92–97], we know that  $\alpha$  decay usually is the dominant mode of decay. Consequently, fission barriers have to be sufficiently high to correspond to fission-decay half-lives that are longer than the observed  $\alpha$  half-lives. We compare the calculated fission half-life of  $^{277}112$  to its calculated and measured  $\alpha$  half-life in the last entry in Table IV. It is many orders of magnitude larger than the observed  $\alpha$  half-life, so our results are consistent with the observations. The HFB and ETFSI 2 MeV barriers obtained for many such heavy systems are not consistent with these results. By artificially raising the ground state in our calculated potential barrier, we find that we need at least a 4.5 MeV barrier to obtain 1 ms or longer half-lives for fission. This may seem contradictory to our result for  $^{232}\text{Pu}$ , for which we obtained a 19 day half-life with only a 3.23 MeV barrier. However, that system has a two-peaked barrier whereas  $^{277}112$  only has a first barrier peak, which leads to a much narrower barrier and consequently a much higher penetrability for a given barrier height. Our current results remain consistent with this explanation.

#### D. EC-delayed fission

An additional way to indirectly compare calculated fission-barrier heights with data is to consider EC-delayed fission data. Electron-capture delayed fission (ECdf) can be expected to be an observable decay branch if  $Q_{\text{EC}}$  is sufficiently high compared with the barrier height in the daughter of the EC decay. A rough rule-of-thumb is that

$$Q_{\text{EC}} \gtrsim B_f - 2 \text{ MeV}. \quad (27)$$

In Fig. 34 we show the magnitude of the window for ECdf and indicate where ECdf has been observed experimentally. There is limited experimental data available in the light Pb region [98–101]. More extensive and systematic studies have been carried out in the actinide region [102–112].

In Table V, we compare  $Q_{\text{EC}}$  values obtained in the FRDM (1992) mass model [13] (experimental masses are not



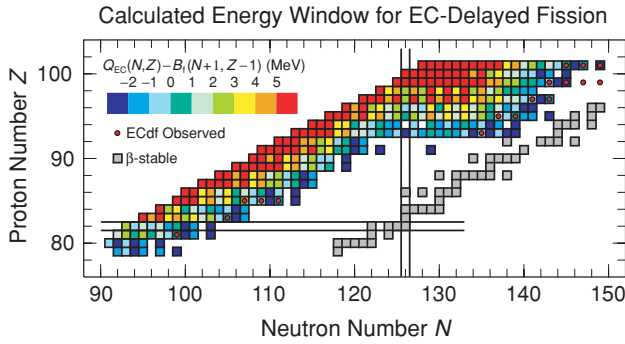


FIG. 34. (Color online) Window for fission in the daughter of EC decay. When the window is negative electron capture only populates daughter states at energies below the barrier saddle point. For states not too far below the barrier saddle some fission branching is still possible, see text for further discussion. New data in the neutron-deficient Pb region provide challenging tests for fission theory, far from the well-studied actinide region. Function values below  $-3$  MeV are not plotted; red color indicates function values  $5$  MeV and higher (6 MeV and higher may be included).

available) with our calculated barrier heights in the daughters following EC capture, for systems in which EC-delayed fission has been observed. Thirteen of the seventeen entries in the table fulfill the simple rule given by Eq. (27). All five deviations occur for nuclei near  $Z \approx 100$  and  $146 \leq N \leq 154$ , and the possibility exists that our calculated ground states here are too

TABLE V. Calculated  $Q$  values  $Q_{EC}$  for electron capture and calculated fission-barrier heights  $B_f$  in the daughter of the EC decay for reactions where EC-delayed fission has been observed experimentally.

Reaction	$Q_{EC}$ (MeV)	$B_f$ (MeV)	$Q_{EC} - B_f$ (MeV)
${}^{180}_{81}\text{Tl} \xrightarrow{EC} {}^{180}_{80}\text{Hg}$	10.44	9.81	0.63
${}^{188}_{83}\text{Bi} \xrightarrow{EC} {}^{188}_{82}\text{Pb}$	10.83	10.32	0.51
${}^{192}_{85}\text{At} \xrightarrow{EC} {}^{192}_{84}\text{Po}$	10.33	8.25	2.08
${}^{194}_{85}\text{At} \xrightarrow{EC} {}^{194}_{84}\text{Po}$	9.42	9.46	-0.04
${}^{196}_{85}\text{At} \xrightarrow{EC} {}^{196}_{84}\text{Po}$	9.10	10.29	-1.19
${}^{228}_{93}\text{Np} \xrightarrow{EC} {}^{228}_{92}\text{U}$	4.26	5.13	-0.87
${}^{232}_{95}\text{Am} \xrightarrow{EC} {}^{232}_{94}\text{Pu}$	4.88	3.23	1.65
${}^{234}_{95}\text{Am} \xrightarrow{EC} {}^{234}_{94}\text{Pu}$	4.12	3.83	0.29
${}^{238}_{97}\text{Bk} \xrightarrow{EC} {}^{238}_{96}\text{Cm}$	4.77	4.92	-0.15
${}^{240}_{97}\text{Bk} \xrightarrow{EC} {}^{240}_{96}\text{Cm}$	3.85	5.85	-1.99
${}^{242}_{99}\text{Es} \xrightarrow{EC} {}^{242}_{98}\text{Cf}$	5.22	6.16	-0.94
${}^{244}_{99}\text{Es} \xrightarrow{EC} {}^{244}_{98}\text{Cf}$	4.45	6.69	-2.24
${}^{246}_{99}\text{Es} \xrightarrow{EC} {}^{246}_{98}\text{Cf}$	3.69	7.16	-3.47
${}^{248}_{99}\text{Es} \xrightarrow{EC} {}^{248}_{98}\text{Cf}$	2.98	7.24	-4.26
${}^{246}_{101}\text{Md} \xrightarrow{EC} {}^{246}_{100}\text{Fm}$	6.28	6.13	0.14
${}^{248}_{101}\text{Md} \xrightarrow{EC} {}^{248}_{100}\text{Fm}$	5.28	6.73	-1.45
${}^{250}_{101}\text{Md} \xrightarrow{EC} {}^{250}_{100}\text{Fm}$	4.58	7.22	-2.64

deep by 1 MeV or so. Figure 31 hints at this possibility. This would also be consistent with our four-order-of-magnitude too long half-life for  ${}^{252}\text{Fm}$  in Table IV. Raising the ground-state energy by 1 MeV decreases the calculated half-life by six orders of magnitude. However, in general, this comparison supports the overall reliability of our results. We are consistent with the data for EC delayed fission in the Pb region, so there is no obvious indication of divergence from data for very proton-rich nuclei near the proton drip line.

### E. Bimodal fission

Fission data for some nuclei have been interpreted as showing the presence of at least two separate *modes* of fission. Such coexistence in the data of two modes can involve, for example, two separated peaks in kinetic-energy distributions, symmetric and asymmetric peaks in fragment mass distributions, and separate thresholds for the onset of asymmetric and symmetric fission. Of particular interest are the correlations that can be observed among such properties. Early detailed observations of such features in the Ra region are in Refs. [59,113–115]. Later, different coexisting fission modes were also observed in nuclei near  ${}^{258}\text{Fm}$  [116,117]. These authors coined the phrase “bimodal fission” for this type of fission.

We previously showed [10] that our potential-energy surfaces for  ${}^{228}\text{Ra}$  are very consistent with the experimental observation of well-separated symmetric and asymmetric fission modes, the symmetric mode having an about 2 MeV higher fission threshold and lower fragment kinetic energies than the asymmetric mode. Similar results were obtained for  ${}^{234}\text{U}$ ; in this case the ridge separating the two modes is lower. In Fig. 16 we show that two well-separated modes are also present in the results for  ${}^{232}\text{Th}$ . We find that the existence of two well-separated fission modes is a quite general feature in our results for light actinide nuclei.

A large Japanese collaboration has more recently performed extensive and systematic studies of bimodality in fission of a substantial number of other light actinide nuclei; see, for example, Refs. [60,118–123]. In their analysis, they see evidence of bimodal fission in many systems, from  ${}^{232}\text{Th}$  to  ${}^{244}\text{Cm}$ . Our calculations here are consistent with several aspects of their results. We find that our scission shapes are more elongated for the symmetric fission mode, compared with the asymmetric fission mode. This is consistent with the observation that the fission-fragment kinetic energies are higher in asymmetric fission than in symmetric fission. Furthermore, in the analysis of their data in terms of two modes of fission, they also observe that they must assume that the level-density parameter is 3–15% larger in the symmetric mode than in the asymmetric mode [120]. We find for  ${}^{232}\text{Th}$  that the symmetric level-density parameter is 15% higher than the asymmetric level-density parameter with the weights implied by a density fit, and 23% with the log fit, see Table III. Thus, we are consistent with the result of a larger level-density parameter in the symmetric mode than in the asymmetric mode. The analysis of bimodal aspects of actinide fission [120], furthermore concludes that the barrier corresponding to the symmetric mode is about 2 MeV higher than the barrier corresponding to the asymmetric

mode. This is in contrast to our results. We find that the barrier leading to the symmetric mode becomes more nearly equal to the asymmetric barrier in Am isotopes, see Figs. 14, 15, and 17. However, in the analysis of Ref. [120], many simplifying assumptions are made that may have a significant impact on the barrier parameters that are obtained. For example, both the symmetric and asymmetric barriers are assumed to be *single-peaked* parabolic barriers. The barrier curvature parameters are not fitted, they are imposed, and simple phenomenological Fermi-gas models are used for level densities. A definite conclusion on how realistic the parameters of our calculated barriers for the symmetric and asymmetric modes are can probably not be drawn until a more refined analysis of the experimental data is carried out. At this point there is no standard approach available for such an analysis. It is our hope that our more detailed potential-energy-surface predictions can stimulate developments in this direction.

For nuclei near  $^{258}\text{Fm}$ , the bimodal features are somewhat reversed compared with the observations for the lighter actinides. In particular, in spontaneous fission, two peaks in the kinetic-energy distributions are observed simultaneously, and it is the symmetric mode that is associated with the higher kinetic energy. It had been assumed that the two modes would correspond to different fission barriers, one corresponding to symmetric fission and high fission-fragment kinetic energy and a second mode corresponding to asymmetric fission and lower kinetic energy. A perceived mystery at the time of these studies was why different barriers could yield similar probabilities for spontaneous fission, as both modes were observed in the same experiment with not too different probabilities. This was taken to mean that the spontaneous fission half-lives for the different barriers had to be similar. However, just adding two neutrons to  $^{256}\text{Fm}$ , which is predominantly asymmetric, decreased the half-life from 2.63 days to 0.37 ms in  $^{258}\text{Fm}$ , possessing a significant symmetric component. This corresponds to a seven order-of-magnitude change. So it was assumed that the mystery was why there was not a similar difference in the probabilities of the two modes when they were observed in the same nucleus, because barriers corresponding to the different modes must surely differ just as substantially as the barrier difference between  $^{256}\text{Fm}$  and  $^{258}\text{Fm}$ . In Ref. [117], the Abstract stated that “. . . no physical grounds have been advanced that would allow the near equal populations traveling each path. We suggest that this failure to find a reason for the somewhat equal branching may be a fundamental flaw of current fission models.”

It is unfortunate that such a strong statement was made, when in fact physical grounds had been advanced some three years previously in Ref. [31]. As is common in mysteries, a large part of a mystery arises because the problem is (unknowingly) deceptively presented. The question was asked, “How can two (different, totally uncorrelated) barriers correspond to similar half-lives?” The parenthesized words were not always explicitly expressed but were implied. The answer is, of course, they cannot. But the fact here is that the barriers are not uncorrelated nor are they totally different. The calculations of potential-energy surfaces for  $^{258}\text{Fm}$  and nearby nuclei in Ref. [31] were only based on about 300 deformation points, but were sufficiently informative to lead to the explanation.

The key observation is that the barriers or paths defining the asymmetric and symmetric barriers only become separate after the second minimum, and the barrier penetrability is dominated by the first peak. From Ref. [31], “. . . the same barrier is penetrated in the two cases except for a tiny portion at the end of the penetration process.”

In our current calculations, the essential features of the previous results are retained (see Ref. [10], especially Fig. 6 and the associated discussion.) The principle behind the simultaneous observation of the characteristic signatures of both modes in the same experiment is further elucidated in Refs. [18,21,124]. We illustrate by a test half-life calculation the insensitivity of the half-lives to realistic differences in the two relatively tiny sections of the outer barrier that define the two fission modes. In the fifth line of Table IV, we present the calculated half-life for compact fission into the new valley (cf. Ref. [31] for terminology), and note that we agree to within four orders of magnitude. The first barrier, second minimum, and second barrier with respect to the ground state are 5.32, 2.27, and 4.69 MeV. We add a zero-point energy of 0.8 to the ground-state energy leading in Table IV to the barrier height of 4.52 MeV. To study the sensitivity of the half-life to changes in the outer barrier where the only difference between the two modes originates, we now calculate the half-life for a 1 MeV higher outer barrier and obtain for the log of the lifetime  $-6.82$ , compared with  $-7.34$ ; for the barrier we actually calculated. In Ref. [31], the barrier along the switchback path, the tiny outer part of the barrier that takes you into the “old” valley leading to elongated fragments and low-kinetic-energy events, was found to be only 0.3 MeV higher than the compact-mode barrier. Of course the switchback path is associated with a different inertia than is the compact path, and possibly a different path length, but since the parts of the barrier that differ between compact and elongated fission modes are such small fractions of the complete barrier, we expect that these differences, just like differences in barrier height, will only have a small influence on the total barrier penetrabilities. Thus, 20 years ago we presented a physical picture that allows nearly equal populations of the two fission modes. Since the paths associated with the two modes only separate along a tiny portion of the barrier, where the inertia is much lower than in the common section of the barrier, this explanation is relatively model-insensitive and robust.

#### ACKNOWLEDGMENTS

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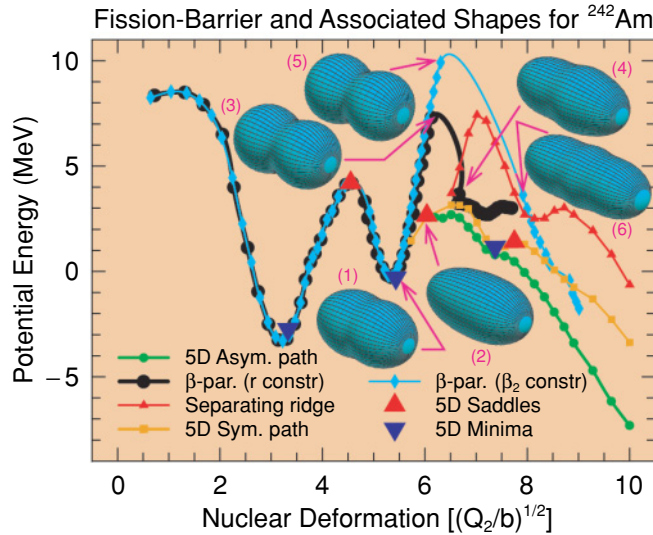


FIG. 35. (Color online) The same fission-barrier structure for  $^{242}\text{Am}$  shown in Fig. 15, with shapes for selected points displayed.

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## APPENDIX

In this appendix, we discuss in more detail the nature of the difficulties encountered when applying the minimization method in the neighborhood of the second barrier in  $^{242}\text{Am}$ ,

shown in Fig. 15. In the calculation in which  $\beta_2$  is constrained, we increase it in steps of 0.02. In the calculation in which  $r$  is constrained, it is increased in steps of 0.015. In Fig. 35, we reproduce the barrier structure from Fig. 15 along with some selected shapes. The isomer shape (1) is essentially the same in all three calculations. It is a reflection-symmetric shape comprising prolate spheroids with a neck having a large curvature joining them. The second saddle uncovered by immersion in the 3QS analysis has a very different shape (2), exhibiting reflection asymmetry and no neck. Both constrained calculations follow a sequence of similar reflection symmetric shapes with end bodies of decreasing eccentricity and necks with increasing curvature. In the  $r$ -constrained calculation, the last shape in this sequence (3) is found at  $r = 1.335$ , which has  $Q_2 = 37.6$ . The shape that immediately follows (4), with  $r = 1.350$ , lies in a different family, being asymmetric with no neck and having  $Q_2 = 44.5$ . Even though the energy for increasing  $Q_2$  values is very close to that of the 5D symmetric path (gold squares), the shapes possess an increasing asymmetry, and are different from those along the 5D path. The solid black line connecting the two points before and after the jump is only to guide the eye, as the energies in the intermediate region are not defined in this constrained calculation. When  $\beta_2$  is the constraint, the last shape in the initial family (5) occurs at  $\beta_2 = 0.98$ , with  $Q_2 = 39.8$ . The  $\beta_2 = 1.00$  shape (6) jumps to still another family with reflection symmetry and  $Q_2 = 62.6$ , having an equatorial bulge in place of a neck. This solution jumps again between  $\beta_2 = 1.12$  and  $\beta_2 = 1.14$  to a still different symmetric family having larger  $\beta_4$  and  $\beta_6$  values and with  $Q_2$  jumping from 71.6 to 77.9. The cyan line is again only to guide the eye, with the energies from  $Q_2 = 40$  to 60 not defined.

We discussed above that there is a more systematic way of identifying the proliferation of valleys which can lead any constrained calculation astray. Using this method we find that the 4D space corresponding to  $Q_2 = 80$  is intersected by five valleys, each at least 0.2 MeV deep, one of which is reflection symmetric.

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