

# Photo- and electroproduction of the hypertriton on ${}^3\text{He}$

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Differential cross sections of the photo- and electroproduction of the hypertriton have been calculated by utilizing modern nuclear wave functions and the elementary operator of KAON-MAID. It is found that a proper treatment of Fermi motion is essential for the two processes. Whereas the average momentum approximation can partly simulate the Fermi motion in the process, the “frozen nucleon” assumption yields very different results, especially at lower energies. The Coulomb effect induced by the interaction between the positively charged kaon and the hypertriton is found to be negligible. The influence of higher partial waves is also found to be relatively small, in contrast to the finding of the previous work. Electroproduction is found to be much more sensitive to the off-shell assumption than is photoproduction. It is shown that the few available experimental data favor the assumption that the initial nucleon is off-shell and the final hyperon is on-shell. This seems to be reasonable, since the hyperon in the hypertriton is less bound than the nucleon in the initial  ${}^3\text{He}$  nucleus. The effect of the missing resonance  $D_{13}(1895)$  is more profound in the longitudinal cross sections. Excluding this resonance reduces the longitudinal cross sections by one order of magnitude but does not change the effects of various off-shell assumptions on the cross sections.

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## I. INTRODUCTION

The hypertriton is a bound state consisting of a proton, a neutron, and a  $\Lambda$  hyperon. Although a hypertriton consisting of a proton, a neutron, and a  $\Sigma^0$  hyperon could exist, no experimental information is available at present [1–3]. Therefore, we will use the term “hypertriton” to denote the  $\Lambda$  hypertriton in the following. Interest in the hypertriton comes mainly from the fact that it is the lightest and the most loosely bound hypernucleus. The separation energy into a  $\Lambda$  and a deuteron is only  $0.13 \pm 0.05$  MeV [4], whereas the total binding energy is 2.35 MeV. Being the lightest hypernucleus, the hypertriton is obviously the first system in which the  $YN$  potential, including the interesting  $\Lambda$ - $\Sigma$  conversion, can be tested in the nuclear medium. This is also supported by the fact that neither the  $\Lambda N$  nor the  $\Sigma N$  interaction possesses sufficient strength to produce a bound two-body system, the available  $YN$  scattering data are still extremely poor. Therefore the hypertriton is expected to play an important role in hypernuclear physics similar to that of the deuteron in conventional nuclear physics. Because of experimental difficulties, however, the existing information on the hypertriton is mostly from old measurements [5].

Recently, theoretical investigation of the hypertriton properties have drawn considerable attentions in the nuclear physics community [6–8]. The Bochum group [6] has investigated the hypertriton by using various  $YN$  and  $NN$  potentials. Interestingly, when the Jülich hyperon-nucleon potential in the one-boson-exchange (OBE) parametrization (model  $\tilde{A}$  of Ref. [9]) combined with various realistic  $NN$  interactions was used, then the hypertriton turned out to be unbound. Only an increase by about 4% in the Jülich potential can bring the hypertriton back to a bound state. However, the use of the Nijmegen hyperon-nucleon potential in the same calculation [10] leads to a bound hypertriton. This fact indicates that

significant improvement in the hyperon-nucleon force sector is strongly needed.

In principle, the hypertriton could be produced by employing hadronic properties such as stopped and low-momentum kaon-induced reactions,  $A(K, \pi)B$  and  $A(\pi, K)B$ . Another possible way to obtain a hypertriton is by utilizing the proton-deuteron collision

$$p + d \rightarrow K^+ + {}^3_{\Lambda}\text{H}. \quad (1)$$

Komarov *et al.* have studied this process theoretically at incident proton energies  $T_p = 1.13$ – $3.0$  GeV and found that the cross section is on the order of 1 nb, at most [11]. This result has been refined in Ref. [12] by using a two-step model and the differential cross section is found to be much smaller than 1 nb/sr.

Because the electromagnetic beams (electrons or real photons) are well understood, clean, and well under control, the use of the electromagnetic processes has, however, a competing advantage compared to the hadronic ones. More than a decade ago one of the authors has estimated the cross section of the hypertriton photoproduction via

$$\gamma + {}^3\text{He} \rightarrow K^+ + {}^3_{\Lambda}\text{H} \quad (2)$$

and investigated the effects of the off-shell assumption and Fermi motion on this process [13,14]. This has been performed by using the wave function of  ${}^3\text{He}$  obtained as a solution of the Faddeev equations with the Reid soft core potential [15] and a simple hypertriton wave function developed in Ref. [16], along with the elementary operator from Williams *et al.* [17]. The result showed that the cross sections are predicted to be on the order of 1 nb and drop quickly as a function of the kaon scattering angle. Eight years later three experimental data points on the hypertriton electroproduction via

$$e + {}^3\text{He} \rightarrow e' + K^+ + {}^3_{\Lambda}\text{H}, \quad (3)$$

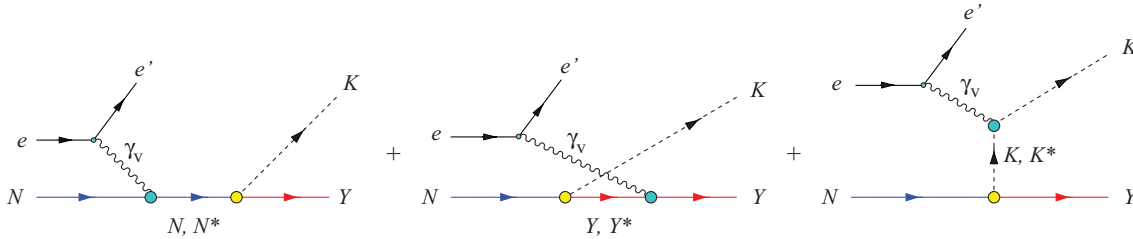
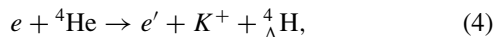


FIG. 1. (Color online) The basic Feynman diagrams in the elementary operator.

at  $\theta_K^{\text{c.m.}} = 2.7^\circ, 9.5^\circ$ , and  $18.9^\circ$ , were published by Dohrmann *et al.* [18]. Although this process utilizes electrons (virtual photons), and therefore is different from the process given by Eq. (2), the result shows a surprising phenomenon; that is, the angular distribution of the differential cross section shows an almost flat structure. An extrapolation of the photoproduction result to the finite  $k^2$  region is only able to reproduce the trend of the first two data points and underpredicts the last data point by one order of magnitude [19]. This is in contrast to the process



reported in the same experiment [18], for which the cross section decreases smoothly and nicely fits the prediction [20].

The present work has been greatly motivated by the facts just described. In the present work we shall only focus on the photo- and electroproduction of the hypertriton and leave the electroproduction of  ${}^4_{\Lambda}\text{H}$  for future consideration. For this purpose we shall use the modern nuclear wave functions [6,21] as well as the frequently used elementary operator KAON-MAID [22] to study the effects of the various off-shell assumptions, Fermi motion, and Coulomb interaction between the exited kaon and the hypertriton on the calculated differential cross sections. Recently, this elementary operator has been used to investigate the final-state  $YN$  and  $KN$  interactions in kaon photoproduction off a deuteron as well as to investigate the possibility of extracting the elementary process  $N(\gamma, K^0)Y$  from this process at quasi-free-scattering kinematics [23]. The elementary operator is given in a unique form that is completely frame independent, since it can be expressed in terms of the Mandelstam variables  $s$ ,  $u$ , and  $t$ , or the four-momenta of the photon, nucleon, kaon, and hyperon. Furthermore, the operator does not contain the photon-polarization-vector  $\epsilon^\mu$  and spin-operator  $\sigma^{(n)}$  terms. This guarantees the analytical continuation of the elementary amplitude and enables us to use different off-shell assumptions. Thus, the result would provide us with a refined calculation of the hypertriton photoproduction and a direct comparison with the electroproduction data.

This paper is organized as follows: In Sec. II we shall briefly review the properties of the elementary operator used in this work. Section III presents the formalism of the nuclear operator along with its relation to the elementary operator and to the nuclear cross sections. We shall present and discuss the results of our calculations in Sec. IV. Section V summarizes our findings. A few important notes on the elementary amplitudes, the antisymmetry factor of the nuclear wave functions, and some kinematical relations are given in the Appendices.

## II. PROPERTIES OF THE ELEMENTARY OPERATOR

Since photoproduction is only a special case of electroproduction, we will only consider the latter in our formalism. The results for photoproduction are obtained by setting the virtual photon momentum to zero. The elementary process for electroproduction of a kaon and a hyperon on the nucleon target can be written as

$$e(k_i) + N(p_N) \longrightarrow e'(k_f) + K(q_K) + Y(p_Y). \quad (5)$$

To describe this process we make use of an isobar model, because by utilizing this model the elementary amplitudes can be written in terms of a frame-independent operator, which is required to include the Fermi motion in the nucleus. The process is schematically shown in Fig. 1, where it is assumed that the electromagnetic interaction is mediated by one photon exchange. The elementary transition operator can be written as

$$M_{fi} = \epsilon_\mu J^\mu = \bar{u}(p_Y) \sum_{i=1}^6 A_i(k^2, s, t, u) M_i u(p_N), \quad (6)$$

where the virtual photon momentum  $k = k_i - k_f$  and the Mandelstam variables are defined as

$$s = (k + p_N)^2, \quad t = (k - q_K)^2, \quad u = (k - p_Y)^2. \quad (7)$$

The gauge and Lorentz invariant matrices  $M_i$  in Eq. (6) are given by

$$M_1 = \frac{1}{2} \gamma_5 (\not{\epsilon} \not{k} - \not{k} \not{\epsilon}), \quad (8)$$

$$M_2 = \gamma_5 [(2q_K - k) \cdot \epsilon P \cdot k - (2q_K - k) \cdot k P \cdot \epsilon], \quad (9)$$

$$M_3 = \gamma_5 (q_K \cdot k \not{\epsilon} - q_K \cdot \epsilon \not{k}), \quad (10)$$

$$M_4 = i \epsilon_{\mu\nu\rho\sigma} \gamma^\mu q_K^\nu \epsilon^\rho k^\sigma, \quad (11)$$

$$M_5 = \gamma_5 (q_K \cdot \epsilon k^2 - q_K \cdot k k \cdot \epsilon), \quad (12)$$

$$M_6 = \gamma_5 (k \cdot \epsilon \not{k} - k^2 \not{\epsilon}), \quad (13)$$

where  $P = \frac{1}{2}(p_N + p_Y)$  and  $\epsilon_{\mu\nu\rho\sigma}$  represents the four-dimensional Levi-Civita tensor with  $\epsilon_{0123} = 1$ . The coefficient functions  $A_i$  are obtained from the Feynman diagrams shown in Fig. 1.

For the purpose of the nuclear operator, the relativistic elementary operator must be decomposed into its nonrelativistic form. In the case of free Dirac spinors, the operator in Eq. (6)

can be decomposed into Pauli space as

$$\begin{aligned}
\bar{u}(\mathbf{p}_Y) \sum_{i=1}^6 A_i M_i u(\mathbf{p}_N) &= N \chi_f^\dagger [\mathcal{F}_1 \boldsymbol{\sigma} \cdot \boldsymbol{\epsilon} + \mathcal{F}_2 \boldsymbol{\sigma} \cdot \mathbf{k} \epsilon_0 + \mathcal{F}_3 \boldsymbol{\sigma} \cdot \mathbf{k} \mathbf{k} \cdot \boldsymbol{\epsilon} \\
&+ \mathcal{F}_4 \boldsymbol{\sigma} \cdot \mathbf{k} \mathbf{p}_N \cdot \boldsymbol{\epsilon} + \mathcal{F}_5 \boldsymbol{\sigma} \cdot \mathbf{k} \mathbf{p}_Y \cdot \boldsymbol{\epsilon} + \mathcal{F}_6 \boldsymbol{\sigma} \cdot \mathbf{p}_N \epsilon_0 \\
&+ \mathcal{F}_7 \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{k} \cdot \boldsymbol{\epsilon} + \mathcal{F}_8 \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{p}_N \cdot \boldsymbol{\epsilon} + \mathcal{F}_9 \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{p}_Y \cdot \boldsymbol{\epsilon} \\
&+ \mathcal{F}_{10} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \epsilon_0 + \mathcal{F}_{11} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \mathbf{k} \cdot \boldsymbol{\epsilon} + \mathcal{F}_{12} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \mathbf{p}_N \cdot \boldsymbol{\epsilon} \\
&+ \mathcal{F}_{13} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \mathbf{p}_Y \cdot \boldsymbol{\epsilon} + \mathcal{F}_{14} \boldsymbol{\sigma} \cdot \boldsymbol{\epsilon} \boldsymbol{\sigma} \cdot \mathbf{k} \boldsymbol{\sigma} \cdot \mathbf{p}_N \\
&+ \mathcal{F}_{15} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \boldsymbol{\epsilon} \boldsymbol{\sigma} \cdot \mathbf{k} + \mathcal{F}_{16} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \boldsymbol{\epsilon} \boldsymbol{\sigma} \cdot \mathbf{p}_N \\
&+ \mathcal{F}_{17} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \mathbf{k} \boldsymbol{\sigma} \cdot \mathbf{p}_N \epsilon_0 \\
&+ \mathcal{F}_{18} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \mathbf{k} \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{k} \cdot \boldsymbol{\epsilon} \\
&+ \mathcal{F}_{19} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \mathbf{k} \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{p}_N \cdot \boldsymbol{\epsilon} \\
&+ \mathcal{F}_{20} \boldsymbol{\sigma} \cdot \mathbf{p}_Y \boldsymbol{\sigma} \cdot \mathbf{k} \boldsymbol{\sigma} \cdot \mathbf{p}_N \mathbf{p}_Y \cdot \boldsymbol{\epsilon}] \chi_i, \tag{14}
\end{aligned}$$

where

$$N = \left( \frac{E_N + m_N}{2m_N} \right)^{\frac{1}{2}} \left( \frac{E_Y + m_Y}{2m_Y} \right)^{\frac{1}{2}}, \tag{15}$$

and the individual amplitudes  $\mathcal{F}_i$  are given in Appendix A

We will recast the elementary operator into a suitable form for the nuclear process in the next section. As shown in Ref. [13] the terms of order  $p^2/m^2$ , that is,  $\mathcal{F}_{16}$ – $\mathcal{F}_{20}$ , can be dropped from the elementary operator, since they come from the small spinor components. Furthermore, this will not disturb the gauge invariance of the operator. Nevertheless, for the sake of accuracy, the omission of these terms should be done carefully. Moreover, unlike the situation in pion production, the particle momenta in our case are always higher than those of the pion.

In this calculation we use the KAON-MAID parametrization [22]. The model consists of gauge-invariant background and resonances terms. The background terms include the standard  $s$ -,  $u$ -, and  $t$ -channel contributions along with a contact term required to restore gauge invariance after hadronic form factors have been introduced [24]. The resonance part consists of three nucleon resonances that have been found in the coupled-channels approach to decay into the  $K\Lambda$  channel, that is, the  $S_{11}(1650)$ ,  $P_{11}(1710)$ , and  $P_{13}(1720)$ . Furthermore, the model also includes the  $D_{13}(1895)$  state that is found to be important in the description of SAPHIR data [25].

At finite  $k^2$  the calculated transverse and longitudinal cross sections obtained from this model are shown in Fig. 2. Since the model was fitted to the data of Niculescu *et al.* [26], a sizable discrepancy with the reanalyzed data [27] appears in this figure. However, we note that the model can also nicely describe the old measurement and photoproduction data. As reported in Ref. [22], the inclusion of the  $D_{13}(1895)$  state is important for the description of the structure found in the  $\gamma p \rightarrow K^+ \Lambda$  total cross section [25]. We will also investigate the influence of this state in the electroproduction of the hypertriton. To this end we show in Fig. 2 the calculated cross sections when this state was excluded. Obviously, the magnitude of the cross sections is greatly reduced once we omit this state, especially in the case of the longitudinal one, where we can see from Fig. 2 that at  $k^2 = -0.5 \text{ GeV}^2$  the cross section is about one-quarter of the value in this case.

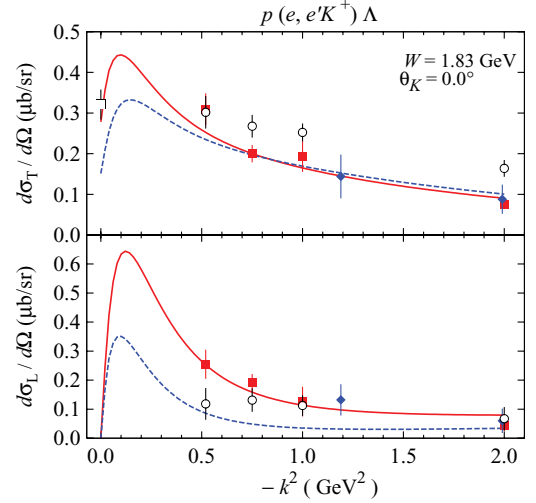


FIG. 2. (Color online) Comparison between calculated cross sections obtained by including (solid lines) and excluding (dotted lines) the  $D_{13}(1895)$  state with experimental data. Solid squares display the experimental measurement of Niculescu *et al.* [26]. This measurement has been reanalyzed by Mohring *et al.* [27] and is shown here by the open circles. The solid diamonds are from the old measurement by Brauel *et al.* [28]. At the photon point a photoproduction datum [29] (open square) is shown for comparison with the transverse cross section.

In the case of photoproduction, a sample of the angular distribution of the differential cross section is displayed in Fig. 3, where we compare the prediction of KAON-MAID and that obtained from Ref. [17] with experimental data from various measurements. It is obvious from this figure that there exist some discrepancies among the experimental data, especially between the new SAPHIR [30] and CLAS [32] data. The discrepancy and its physics consequences have been thoroughly investigated in Ref. [34] by means of a multipole model. In spite of this problem, however, Fig. 3 indicates that KAON-MAID still gives a reliable prediction for kaon photoproduction. This becomes more obvious when

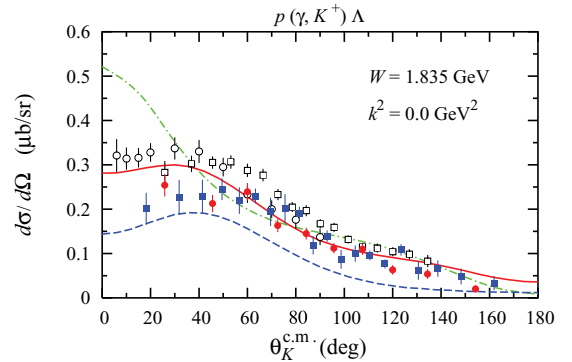


FIG. 3. (Color online) As in Fig. 2, but for photoproduction ( $k^2 = 0$ ). As a comparison, the calculated cross section from Ref. [17] is also shown by the dash-dotted line. Solid squares and solid circles represent the experimental data from Refs. [30] and [31], respectively. Open squares and open circles represent CLAS [32] and older data [33], respectively.

we compare its prediction with the prediction of Ref. [17], where the latter clearly overestimates the experimental data at the very forward kaon angle. Incidentally, in this region the result of the hypernuclear production is found to be very sensitive to the elementary operator model used [35].

### III. THE NUCLEAR OPERATOR AND CROSS SECTIONS

In analogy to the case of photoproduction [13], we write the nuclear transition matrix element in the laboratory frame as (see Fig. 4)

$$\begin{aligned} \langle f | J^\mu | i \rangle &= \sqrt{3} \int d^3 p d^3 q \Psi_f^*(\mathbf{p}, \mathbf{q}') \\ &\times J^\mu(k^0, \mathbf{k}, k_1^0, \mathbf{k}_1, k_1'^0, \mathbf{k}_1', q_K^0, \mathbf{q}_K) \Psi_i(\mathbf{p}, \mathbf{q}), \end{aligned} \quad (16)$$

where the integrations are taken over the three-body momentum coordinates

$$\mathbf{p} = \frac{1}{2}(\mathbf{k}_2 - \mathbf{k}_3), \quad \mathbf{q} = \mathbf{k}_1, \quad (17)$$

and the hyperon momentum in the hypertriton is given by

$$\mathbf{q}' \equiv \mathbf{k}'_1 = \mathbf{k}_1 + \frac{m_2 + m_3}{m_1 + m_2 + m_3} \mathbf{Q}, \quad (18)$$

with the momentum transfer  $\mathbf{Q} = \mathbf{k} - \mathbf{q}_K$ . The factor of  $\sqrt{3}$  on the right-hand side of Eq. (16) comes from the antisymmetry of the initial state. The derivation of this factor is given in Appendix B. Note that in the following we will also use the notation  $\mathbf{p}_N \equiv \mathbf{k}_1$  and  $\mathbf{p}_Y \equiv \mathbf{k}'_1$  to facilitate the discussion of the elementary operator.

The  $^3\text{He}$  wave functions may be written as

$$\begin{aligned} \Psi_i(\mathbf{p}, \mathbf{q}) &= \sum_{\alpha=(LSJl_jT)} \phi_\alpha(p, q) \left| \left\{ (LS)J, \left( l \frac{1}{2} \right) j \right\} \frac{1}{2} M_i \right\rangle \left| \left( T \frac{1}{2} \right) \frac{1}{2} M_i \right\rangle \\ &= \sum_{\alpha=(LSJl_jT)} \sum_{\substack{m_L m_S m_l \\ m_s m_j m_j}} \phi_\alpha(p, q) (L m_L S m_S | J m_J) (l m_l \frac{1}{2} m_s | j m_j) \\ &\times (J m_J j m_j | \frac{1}{2} M_i) Y_{m_L}^L(\hat{\mathbf{p}}) Y_{m_l}^l(\hat{\mathbf{q}}) \chi_{m_S}^S \chi_{m_s}^{\frac{1}{2}} \left| \left( T \frac{1}{2} \right) \frac{1}{2} M_i \right\rangle, \end{aligned} \quad (19)$$

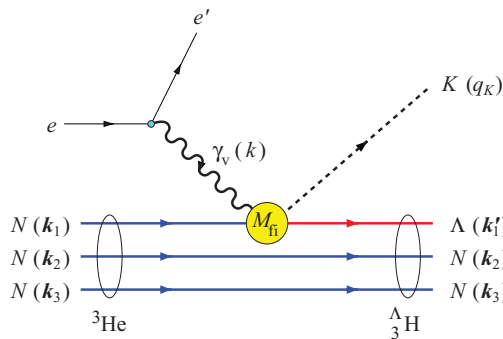


FIG. 4. (Color online) Electroproduction of the hypertriton on a  $^3\text{He}$  target in the impulse approximation, where the virtual photon interacts with only one nucleon inside the  $^3\text{He}$  target. The elementary operator  $M_{fi} = \epsilon_\mu J^\mu$  is given in Fig. 1 and Eq. (6).

TABLE I. Quantum numbers and probabilities (in percent) of the  $^3\text{He}$  and the hypertriton wave functions.

$\alpha$	$L$	$S$	$J$	$l$	$2j$	$2T$	$P(^3\text{He})$	$P(\Lambda^3\text{H})$ [6]
1	0	0	0	0	1	1	44.580	–
2	0	1	1	0	1	0	44.899	93.491
3	2	1	1	0	1	0	2.848	5.794
4	0	1	1	2	3	0	0.960	0.034
5	2	1	1	2	3	0	0.189	0.027
6	1	0	1	1	1	0	0.089	0.004
7	1	0	1	1	3	0	0.198	0.008
8	1	1	0	1	1	1	1.107	–
9	1	1	1	1	1	1	1.113	–
10	1	1	1	1	3	1	0.439	–
11	1	1	2	1	3	1	0.064	–
12	3	1	2	1	3	1	0.306	–
13	1	1	2	3	5	1	1.018	–
14	3	1	2	3	5	1	0.024	–
15	2	0	2	2	3	1	0.274	–
16	2	0	2	2	5	1	0.425	–
17	2	1	2	2	3	0	0.122	0.024
18	2	1	2	2	5	0	0.095	0.018
19	2	1	3	2	5	0	0.205	0.053
20	4	1	3	2	5	0	0.053	0.006
21	2	1	3	4	7	0	0.126	0.010
22	4	1	3	4	7	0	0.038	0.007
23	3	0	3	3	5	0	0.005	0.001
24	3	0	3	3	7	0	0.008	0.001
25	3	1	3	3	5	1	0.051	–
26	3	1	3	3	7	1	0.045	–
27	3	1	4	3	7	1	0.008	–
28	5	1	4	3	7	1	0.074	–
29	3	1	4	5	9	1	0.178	–
30	5	1	4	5	9	1	0.006	–
31	4	0	4	4	7	1	0.053	–
32	4	0	4	4	9	1	0.059	–
33	4	1	4	4	7	0	0.011	0.004
34	4	1	4	4	9	0	0.009	0.003

where we have used the notation of Ref. [36] for the Clebsch-Gordan coefficients. The hypertriton wave functions can also be written in the form of Eq. (19).

In Eq. (19) we have introduced  $\alpha = (LSJl_jT)$  to shorten the notation, where  $L$ ,  $S$ , and  $T$  are the total angular momentum, spin, and isospin of the pair (2,3), respectively, and for particle (1) the corresponding quantum numbers are labeled by  $l$ ,  $\frac{1}{2}$ , and  $\frac{1}{2}$ , respectively. Their quantum numbers, along with the probabilities for the 34 partial waves, are listed in Table I, where we have used the Nijmegen93 version of the  $^3\text{He}$  wave functions [21] and the advanced model for the hypertriton wave functions given in Ref. [6]. Clearly, most contributions will come from the second partial wave ( $\alpha = 2$ ), which corresponds to the  $s$  wave with isospin 0.

The elementary operator  $J^\mu = (J^0, \mathbf{J})$  is obtained from Eq. (14), that is,

$$\begin{aligned} J^0 &= N \{ i \mathcal{F}_{17} \mathbf{p}_N \cdot (\mathbf{p}_Y \times \mathbf{k}) + (\mathcal{F}_2 - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{17}) \boldsymbol{\sigma} \cdot \mathbf{k} \\ &\quad + (\mathcal{F}_6 + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{17}) \boldsymbol{\sigma} \cdot \mathbf{p}_N \\ &\quad + (\mathcal{F}_{10} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{17}) \boldsymbol{\sigma} \cdot \mathbf{p}_Y \} \end{aligned} \quad (20)$$

and

$$\begin{aligned}
\mathbf{J} = & -N[(\mathcal{F}_1 + \mathcal{F}_{14} \mathbf{p}_N \cdot \mathbf{k} - \mathcal{F}_{15} \mathbf{p}_Y \cdot \mathbf{k} - \mathcal{F}_{16} \mathbf{p}_N \cdot \mathbf{p}_Y) \boldsymbol{\sigma} \\
& + \boldsymbol{\sigma} \cdot \mathbf{k} \{(\mathcal{F}_3 - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_4 - \mathcal{F}_{14} - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_5 + \mathcal{F}_{15} - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{20}) \mathbf{p}_Y\} \\
& + \boldsymbol{\sigma} \cdot \mathbf{p}_N \{(\mathcal{F}_7 + \mathcal{F}_{14} + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_8 + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_9 + \mathcal{F}_{16} + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{20}) \mathbf{p}_Y\} \\
& + \boldsymbol{\sigma} \cdot \mathbf{p}_Y \{(\mathcal{F}_{11} + \mathcal{F}_{15} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_{12} + \mathcal{F}_{16} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_{13} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{20}) \mathbf{p}_Y\} \\
& + i \{-\mathcal{F}_{14} \mathbf{p}_N \times \mathbf{k} - \mathcal{F}_{15} \mathbf{p}_Y \times \mathbf{k} + \mathcal{F}_{16} \mathbf{p}_N \times \mathbf{p}_Y \\
& + \mathbf{p}_N \cdot (\mathbf{p}_Y \times \mathbf{k}) (\mathcal{F}_{18} \mathbf{k} + \mathcal{F}_{19} \mathbf{p}_N + \mathcal{F}_{20} \mathbf{p}_Y)\}]. \quad (21)
\end{aligned}$$

It is obvious from Eqs. (8)–(13) that the gauge invariance of the elementary operator relates Eq. (20) and Eq. (21) by

$$\mathbf{J}_0 = \mathbf{k} \cdot \mathbf{J} / k_0, \quad (22)$$

which slightly simplifies the numerical calculation since we can eliminate either  $J^0$  or  $J_z$  by  $\mathbf{k} \cdot \mathbf{J} = |\mathbf{k}| J_z$ .

For the purpose of calculating the observables it is useful to rewrite the elementary operator in the form of a matrix  $[j]$ , through the relation  $J^\mu = [\sigma][j]$ , that is,

$$J^\mu = (1, \sigma_x, \sigma_y, \sigma_z) \begin{pmatrix} j_{00} & j_{x0} & j_{y0} & j_{z0} \\ j_{0x} & j_{xx} & j_{yx} & j_{zx} \\ j_{0y} & j_{xy} & j_{yy} & j_{zy} \\ j_{0z} & j_{xz} & j_{yz} & j_{zz} \end{pmatrix}, \quad (23)$$

where the individual components are given in Appendix C.

Since the hypertriton has isospin 0, we may drop the isospin part of the wave functions. By inserting the two nuclear wave functions in Eq. (16) and writing symbolically  $\mathbf{m} = (m_L m_S m_l m_s m_J m_j)$  for the sake of brevity, we can recast the transition matrix element in the form

$$\begin{aligned}
\langle f | J^\mu | i \rangle & = \sqrt{3} \sum_{\alpha, \alpha'} \sum_{\mathbf{m} \mathbf{m}'} (L m_L S m_S | J m_J) (L m_L S m_S | J' m_{J'}) \\
& \times (l m_l \frac{1}{2} m_s | j m_j) (l' m_{l'} \frac{1}{2} m_{s'} | j' m_{j'}) \\
& \times (J m_J j m_j | \frac{1}{2} M_i) (J' m_{J'} j' m_{j'} | \frac{1}{2} M_f) \\
& \times \delta_{LL'} \delta_{m_L m_{L'}} \delta_{SS'} \delta_{m_S m_{S'}} \delta_{T0} \\
& \times \int p^2 dp d^3 \mathbf{q} \phi_{\alpha'}(p, q') \phi_{\alpha}(p, q) \\
& \times Y_{m_{l'}}^{l'}(\hat{\mathbf{q}}') Y_{m_l}^l(\hat{\mathbf{q}}) \langle \frac{1}{2}, m_{s'} | J^\mu | \frac{1}{2}, m_s \rangle, \quad (24)
\end{aligned}$$

where we have performed the integration over the spectator solid angle,

$$\int d\hat{\mathbf{p}} Y_{m_{l'}}^{L'}(\hat{\mathbf{p}}) Y_{m_L}^L(\hat{\mathbf{p}}) = \delta_{LL'} \delta_{m_L m_{L'}}, \quad (25)$$

as the relative momentum of the two spectators  $\mathbf{p}$  does not change. By using

$$\begin{aligned}
J^\mu & = j_0^\mu + \sigma_x j_x^\mu + \sigma_y j_y^\mu + \sigma_z j_z^\mu \\
& = \sum_{n=0,1} \sum_{m_n=-n}^{+n} (-1)^{m_n} \sigma_{-m_n}^{(n)} [j^\mu]_{m_n}^{(n)}, \quad (26)
\end{aligned}$$

where the components of  $[j^\mu]_{m_n}^{(n)}$  are given in Eq. (23) and Appendix C, with

$$[j^\mu]^{(0)} = j_0^\mu, \quad (27)$$

$$[j^\mu]_{\pm 1}^{(1)} = \mp \frac{1}{\sqrt{2}} (j_x^\mu \pm i j_y^\mu), \quad (28)$$

$$[j^\mu]_0^{(1)} = j_z^\mu, \quad (29)$$

$$\sigma^{(0)} = 1, \quad (30)$$

$$\sigma^{(1)} = \boldsymbol{\sigma}, \quad (31)$$

and

$$\begin{aligned}
& \langle \frac{1}{2}, m_{s'} | \sigma_{-m_n}^{(n)} | \frac{1}{2}, m_s \rangle \\
& = \sqrt{2} (-1)^{n-\frac{1}{2}-m_{s'}+m_n} \langle \frac{1}{2} - m_{s'} \frac{1}{2} m_s | n m_n \rangle, \quad (32)
\end{aligned}$$

we can rewrite Eq. (24) in the form

$$\begin{aligned}
\langle f | J^\mu | i \rangle & = \sqrt{6} \sum_{\alpha, \alpha'} \sum_{\mathbf{m}, \mathbf{m}'} \sum_{n, m_n} (L m_L S m_S | J m_J) (L m_L S m_S | J' m_{J'}) \\
& \times (l m_l \frac{1}{2} m_s | j m_j) (l' m_{l'} \frac{1}{2} m_{s'} | j' m_{j'}) (J m_J j m_j | \frac{1}{2} M_i) \\
& \times (J' m_{J'} j' m_{j'} | \frac{1}{2} M_f) (\frac{1}{2} - m_{s'} \frac{1}{2} m_s | n m_n) \\
& \times (-1)^{n-\frac{1}{2}-m_{s'}} \delta_{LL'} \delta_{m_L m_{L'}} \delta_{SS'} \delta_{m_S m_{S'}} \delta_{T0} \\
& \times \int p^2 dp d^3 \mathbf{q} \phi_{\alpha'}(p, q') \phi_{\alpha}(p, q) Y_{m_{l'}}^{l'}(\hat{\mathbf{q}}') \\
& \times Y_{m_l}^l(\hat{\mathbf{q}}) [j^\mu]_{m_n}^{(n)}. \quad (33)
\end{aligned}$$

Note that the elementary operator  $[j^\mu]_{m_n}^{(n)}$  is completely frame independent, since it is independent from the frame where  $\epsilon^\mu$  and  $\sigma^{(n)}$  are defined. Hence, by summing and averaging over the nuclear spins we can construct the spin-averaged Lorentz tensor [37]

$$W^{\mu\nu} = \frac{1}{2} \sum_{M_i, M_f} \langle f | J^\mu | i \rangle \langle f | J^\nu | i \rangle^*, \quad (34)$$

which is related to the nuclear structure functions by

$$W_T = \frac{1}{4\pi} (W_{xx} + W_{yy}), \quad (35)$$

$$W_L = \frac{1}{4\pi} W_{00}, \quad (36)$$

$$W_{TT} = \frac{1}{4\pi} (W_{xx} - W_{yy}), \quad (37)$$

$$W_{LT} = \frac{1}{4\pi} (W_{0x} + W_{x0}). \quad (38)$$

The exclusive cross section  ${}^3\text{He}(e, e'K^+)_{\Lambda}^3\text{H}$  can be written as

$$\frac{d^5\sigma}{d\varepsilon_f d\Omega_{e'} d\Omega_K} = \Gamma \frac{d\sigma_v}{d\Omega_K}, \quad (39)$$

where the flux of virtual photons is given by

$$\Gamma = \frac{\alpha}{2\pi^2} \frac{\varepsilon_f}{\varepsilon_i} K_L \frac{1}{-k^2} \frac{1}{1-\epsilon}, \quad (40)$$

and the differential cross section for kaons produced by virtual photons can be written as

$$\begin{aligned} \frac{d\sigma_v}{d\Omega_K} &= \frac{d\sigma_T}{d\Omega_K} + \epsilon_L \frac{d\sigma_L}{d\Omega_K} + \epsilon \frac{d\sigma_{TT}}{d\Omega_K} \cos 2\phi_K \\ &+ \sqrt{2\epsilon_L(1+\epsilon)} \frac{d\sigma_{LT}}{d\Omega_K} \cos \phi_K, \end{aligned} \quad (41)$$

with the virtual photon polarization of

$$\epsilon = \left(1 - 2 \frac{k^2}{k^2} \tan^2 \frac{1}{2} \theta_e\right)^{-1}, \quad (42)$$

and

$$\epsilon_L = -\frac{k^2}{k^2} \epsilon. \quad (43)$$

The cross sections are conventionally measured in the c.m. system. In this frame of reference the individual cross sections are given by

$$\frac{d\sigma_T}{d\Omega_K^{c.m.}} = \alpha_e \frac{q_K^{c.m.}}{K_L} \frac{M_{\Lambda H}^3}{2W} W_T^{c.m.}, \quad (44)$$

$$\frac{d\sigma_L}{d\Omega_K^{c.m.}} = \alpha_e \frac{q_K^{c.m.}}{K_L} \frac{M_{\Lambda H}^3}{W} W_L^{c.m.}, \quad (45)$$

$$\frac{d\sigma_{TT}}{d\Omega_K^{c.m.}} = \alpha_e \frac{q_K^{c.m.}}{K_L} \frac{M_{\Lambda H}^3}{2W} W_{TT}^{c.m.}, \quad (46)$$

$$\frac{d\sigma_{LT}}{d\Omega_K^{c.m.}} = -\alpha_e \frac{q_K^{c.m.}}{K_L} \frac{M_{\Lambda H}^3}{2W} W_{LT}^{c.m.}, \quad (47)$$

where  $\alpha_e = e^2/4\pi$  is the fine structure constant and we have defined the photon equivalent energy [also in Eq. (40)]

$$K_L = \frac{W^2 - M_{\text{He}}^2}{2M_{\text{He}}}. \quad (48)$$

The transformation from the laboratory to the c.m. frame affects the longitudinal structure functions only and leaves the transverse ones unchanged, that is,

$$W_T^{c.m.} = W_T^{\text{lab}}, \quad (49)$$

$$W_L^{c.m.} = W_L^{\text{lab}} k_{c.m.}^2 / k^2, \quad (50)$$

$$W_{TT}^{c.m.} = W_{TT}^{\text{lab}}, \quad (51)$$

$$W_{LT}^{c.m.} = W_{LT}^{\text{lab}} \sqrt{k_{c.m.}^2 / k^2}. \quad (52)$$

#### IV. RESULTS AND DISCUSSION

The summations over  $m$  and  $m'$  in Eq. (33) are significantly reduced by the properties of the Clebsch-Gordan coefficient. As a result, we only need to sum over the angular-momentum

and spin projections  $m_J, m_{J'}, m_S$ , and  $m_s$ , since the other projections are fixed by the relations

$$m_{s'} = m_s - m_n, \quad (53)$$

$$m_{j'} = M_f - m_{J'}, \quad (54)$$

$$m_j = M_i - m_J, \quad (55)$$

$$m_L = m_{j'} - m_S, \quad (56)$$

$$m_{l'} = m_{j'} - m_{s'}, \quad (57)$$

$$m_l = m_j - m_s. \quad (58)$$

As the first step, we need to check our FORTRAN code. This has been performed by calculating the elementary cross sections and comparing the results with those obtained from the original elementary code. For this purpose we replace the wave functions in Eq. (33) by unity. As a consequence, Eq. (33) is greatly reduced to

$$\begin{aligned} \langle f | J^\mu | i \rangle &= \sqrt{2} \sum_{n, m_n} (-1)^{n-1/2-M_f} \\ &\times \left( \frac{1}{2} - M_f \frac{1}{2} M_i | n m_n \right) [j^\mu]_{m_n}^{(n)}, \end{aligned} \quad (59)$$

and the transverse and longitudinal cross sections can expressed in terms of

$$\frac{d\sigma_T}{d\Omega_K^{c.m.}} = \frac{q_K^{c.m.}}{k^{c.m.}} \frac{m_p m_\Lambda}{32\pi^2 W^2} \sum_{i=0}^3 (|j_{ix}|^2 + |j_{iy}|^2), \quad (60)$$

$$\frac{d\sigma_L}{d\Omega_K^{c.m.}} = \frac{q_K^{c.m.}}{k^{c.m.}} \frac{m_p m_\Lambda}{32\pi^2 W^2} \sum_{i=0}^3 2|j_{i0}|^2, \quad (61)$$

which can be shown to be identical with the standard definitions of the transverse and longitudinal cross sections in the elementary process. However, we do not use Eqs. (60) and (61) to check the code. Instead, we calculate the elementary cross sections by using the main code that is used to compute the nuclear cross sections, but we replace the wave functions in Eq. (33) by unity. The output of the FORTRAN code shows a precise agreement with the cross sections calculated directly by using the CGLN amplitudes [38] (i.e., the solid lines in Figs. 2 and 3), which is the standard way of calculating the cross sections in KAON-MAID. This result convinces us that our code has calculated the cross sections properly.

#### A. Photoproduction of the hypertriton

As shown in Table I the initial  ${}^3\text{He}$  wave function contains 34 components and the final hypertriton wave function contains 16 components. Since we use the impulse approximation, the quantum numbers of the pair remain unchanged, which is represented by the three Kronecker delta functions  $\delta_{LL'} \delta_{SS'} \delta_{T0}$  in Eq. (33). This selection rule significantly reduces the number of nonzero diagonal and interference terms for the components of wave functions from  $34 \times 16$  to just 64 components. In both wave functions the number of supporting points for the  $p$  and  $q$  momenta are 34 and 20, respectively.

To calculate the four-dimensional integrals in Eq. (33) we have used Gaussian integration. We first carried out the

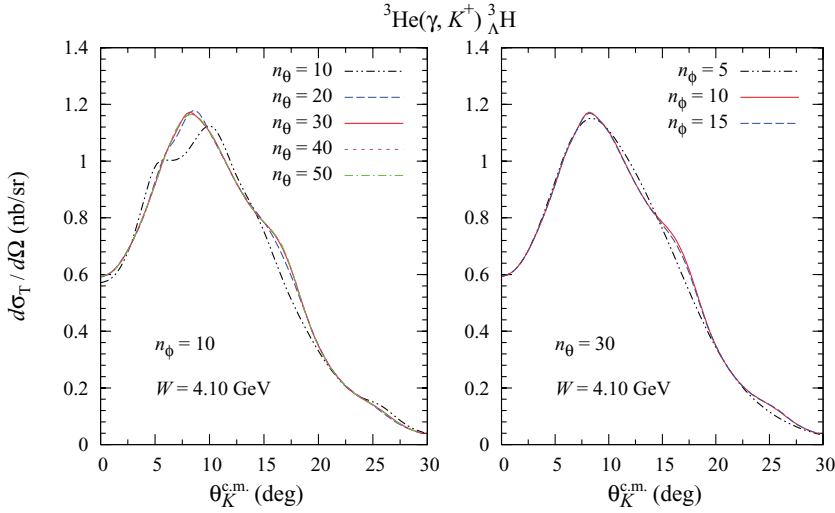


FIG. 5. (Color online) Variations of the hypertriton photoproduction cross section for different values of the number of Gauss supporting points  $n_\theta$  and  $n_\phi$  in the angular integration of  $d\hat{q}$ . Only  $s$ -wave parts of the nuclear wave functions have been used to obtain these curves.

overlap integral in  $p$ , because it is easier, and stored the result in a  $20 \times 20 \times 64$  array, where the last component is intended for the index of the nonzero overlap integrals. Since the computation of the integrals is very time consuming, the number of supporting points should be limited to as few as possible, without sacrificing the numerical stability of the integration. To this end in Fig. 5 we display the variations of the cross sections as functions of the number of Gauss supporting points for the angular integration in Eq. (33), that is,  $n_\theta$  and  $n_\phi$ . It is obvious from this figure that the integration results start to become stable for  $n_\theta \geq 30$  and  $n_\phi \geq 10$ . Therefore, in the following discussion we shall only use the results with  $n_\theta = 30$  and  $n_\phi = 10$ . For the full calculation at every point of the cross sections of interest we have carried out an integration over  $34 \times 20 \times 64 \times 30 \times 10 = 13,056,000$  grid points. The numerical computation becomes more challenging because the integrand consists of the elementary operator  $[j^\mu]_{m_n}^{(n)}$  in the form of a  $4 \times 4$  complex-component matrix. Fortunately, current conservation given by Eq. (22) reduces the required information to  $4 \times 3 = 12$  components. The result, which is equivalent to an integration over 156 million grid points, is then summed over angular momentum and spin projections  $m_J, m_{J'}, m_S,$  and  $m_s$ , as previously described.

As in the previous study [13] we have also investigated the contribution of nonlocalities generated by Fermi motion in the initial and final nuclei. The exact treatment of Fermi motion is included in the integrations over the wave functions in Eq. (33), whereas a local approximation can be carried out by freezing the operator at an average nucleon momentum

$$\langle \mathbf{k}_1 \rangle = -\kappa \frac{A-1}{2A} \mathbf{Q} = -\frac{\kappa}{3} \mathbf{Q}, \quad (62)$$

since  $A = 3$ . For  $\kappa = 0$ , Eq. (62) corresponds to the ‘‘frozen nucleon’’ approximation, whereas  $\kappa = 1$  yields the average momentum approximation.

Figure 6 displays the effect of Fermi motion on the differential cross sections at three different total c.m. energies. Note that these energies correspond to the photon laboratory energies  $E_\gamma = 1.5, 1.8,$  and  $2.2$  GeV used in our previous work [13] for making the comparison easier. Reference [39] has shown that the effect of Fermi motion in the pion

photoproduction in the  $s$  and  $p$  shells is in part simulated by the average momentum assumption  $\langle \mathbf{k}_1 \rangle = -\frac{1}{3} \mathbf{Q}$ . Figure 6 obviously shows that this phenomenon is also found in the hypertriton photoproduction, whereas the use of the ‘‘frozen nucleon’’ approximation ( $\langle \mathbf{k}_1 \rangle = 0$ ) leads to significantly different results. Although the average momentum assumption can approximate the exact treatment of Fermi motion, for the sake of accuracy we will use the exact treatment of Fermi motion in the following discussion.

In the final state the positively charged kaon interacts with the hypertriton by means of the Coulomb force. Therefore,

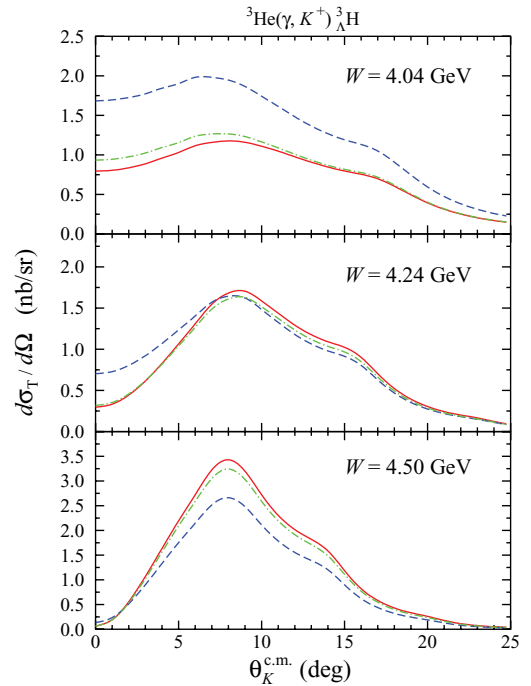


FIG. 6. (Color online) Effects of Fermi motion on the differential cross sections at three different total c.m. energies. The dashed curve is obtained from the ‘‘frozen nucleon’’ approximation ( $\langle \mathbf{k}_1 \rangle = 0$ ), the dash-dotted curve is obtained with an average momentum of  $\langle \mathbf{k}_1 \rangle = -\frac{1}{3} \mathbf{Q}$ , and the solid curve shows the exact treatment of Fermi motion.

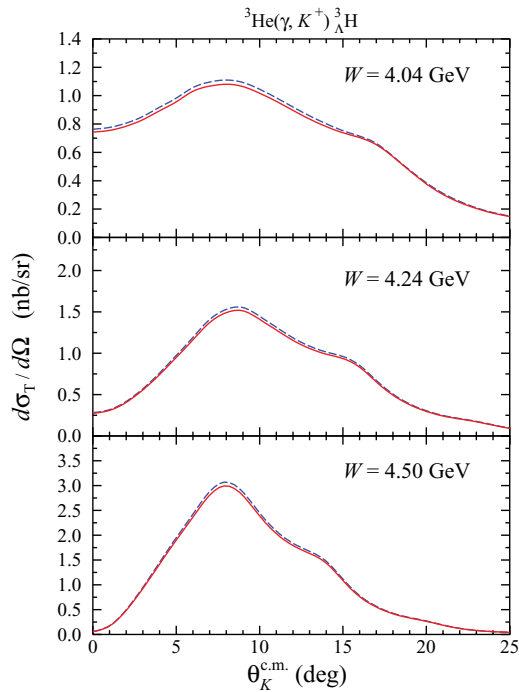


FIG. 7. (Color online) The effect of the Coulomb correction on the differential cross sections at three different total c.m. energies. The dashed curves show the results without Coulomb correction, whereas the solid lines are obtained after including this correction.

in our calculation a Coulomb correction factor must be taken into account. For this purpose we follow Ref. [40], where the Gamow factor

$$F_G(|\mathbf{q}_K|) = \frac{2\pi\zeta}{\exp[2\pi\zeta] - 1} \quad (63)$$

was introduced, with

$$\zeta = \frac{\alpha_e}{v_K} = \alpha_e \frac{E_K}{|\mathbf{q}_K|} \quad (64)$$

and  $\alpha_e = e^2/4\pi$ , to account for the Coulomb effect in pion photoproduction off  ${}^3\text{He}$  at threshold. In Ref. [40] it has been shown that this factor is important for describing experimental data at threshold. In the case of hypertriton production this factor is found to be negligible, as shown in Fig. 7. The same finding has been also reported in a previous study [13]. This result can be understood because the corresponding photon energy in the present work (as well as in Ref [13]) is much higher than the threshold energy of pion photoproduction on  ${}^3\text{He}$ .

The influence of higher partial waves on the cross section is shown in Fig. 8. As shown in this figure the effect is only essential at the cross section bumps ( $\theta_K^{\text{c.m.}} \approx 8^\circ$ ), whereas at very small (and very large) kaon scattering angle the effect vanishes. Nevertheless, for the sake of accuracy, the following results have been obtained from calculations by using all available partial waves given in Table I.

As in the elementary process, the contribution of the missing resonance  $D_{13}(1895)$  in hypertriton production is also found to be significant, especially at  $W = 4.04$  GeV (see Fig. 9). We feel that this is reasonable, because the energy corresponds to the elementary total c.m. energy  $W_{\gamma p \rightarrow K\Lambda} =$

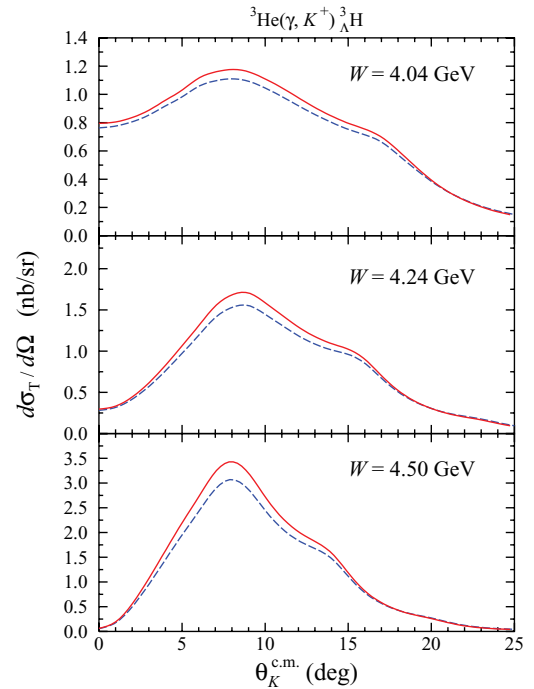


FIG. 8. (Color online) Effects of the higher partial waves in the  ${}^3\text{He}(\gamma, K^+)_{\Lambda}{}^3\text{H}$  process at three different total c.m. energies. Dashed curves are the cross sections obtained by using only the  $s$ -wave contribution, whereas solid curves exhibit the results after including all partial waves listed in Table I.

1.9 GeV, that is, almost at the resonance pole position. As shown in Fig. 9, the effect gradually disappears at higher energies. We note that, owing to the strong nuclear suppression at large kaon scattering angles, this effect also vanishes for  $\theta_K^{\text{c.m.}} > 25^\circ$ . Therefore,  $W \approx 4.04$  and  $0^\circ \lesssim \theta_K^{\text{c.m.}} \lesssim 20^\circ$  represent an example of the recommended kinematics for the measurement of hypertriton photoproduction. This conclusion is apparently also supported by Fig. 6, where for this kinematics the variation of differential cross sections resulting from the effect of nonlocalities is found to be remarkable.

From Fig. 9 we also observe that the present calculation yields considerable discrepancy with the result of the previous calculation [13]. We estimate that this discrepancy originates from the different nuclear wave functions and elementary operator used. Previous calculation [13] used the wave function of  ${}^3\text{He}$  obtained as a solution of the Faddeev equations with the Reid soft core potential [15] and the simple hypertriton wave function developed in Ref. [16], which consists of only two partial waves. Furthermore, we note that in Ref. [13] the calculated differential cross section would increase by a factor of about 3 if only the  $s$  wave were used (see Fig. 5 of Ref. [13]), in spite of the fact that the contribution from other partial waves is less than 6% (see Table I of Ref. [13]). We conclude that the present calculation provides a more reliable result, since it uses more accurate nuclear wave functions [6,21] and a more accurate elementary operator [22], and the effect of the high-momentum partial waves seems to be more reasonable.

It is obvious that all baryons involved in the hypertriton productions are off-shell. The elementary operator has been,



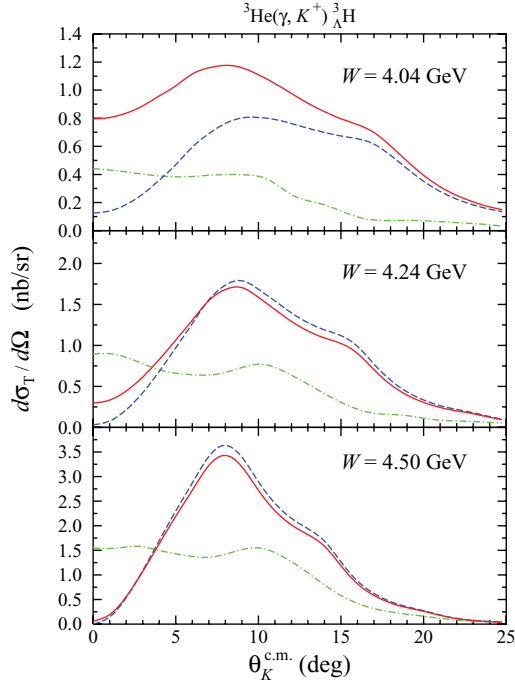


FIG. 9. (Color online) Comparison between differential cross sections of hypertriton photoproduction obtained in the present work with the missing resonance  $D_{13}(1895)$  included in the elementary operator (solid lines) and those obtained by excluding this resonance (dashed lines). Results from the previous work [13], which have been obtained by using different nuclear wave functions and different elementary operator [17], are shown by the dash-dotted lines. In all cases all available partial waves have been taken into account.

however, constructed and fitted to experimental data where both initial nucleon and final hyperon are on-shell. In view of this, it is of interest to study the influence of the off-shell behavior of these baryons on the calculated cross sections. For this purpose we make four assumptions:

- (i) Both initial and final baryons are on-shell,

$$k_1^0 = (m_N^2 + \mathbf{k}_1^2)^{1/2}, \quad k_1^0 = (m_Y^2 + \mathbf{k}_1^2)^{1/2}. \quad (65)$$

- (ii) The initial nucleon is on-shell and the final hyperon is off-shell,

$$k_1^0 = (m_N^2 + \mathbf{k}_1^2)^{1/2}, \quad k_1^0 = k_1^0 + k_0 - E_K. \quad (66)$$

- (iii) The initial nucleon is off-shell and the final hyperon is on-shell,

$$k_1^0 = k_1^0 + E_K - k_0, \quad k_1^0 = (m_Y^2 + \mathbf{k}_1^2)^{1/2}. \quad (67)$$

- (iv) Both initial and final baryons are off-shell. In this case the static approximation,

$$k_1^0 = m_N, \quad k_1^0 = k_1^0 + k_0 - E_K, \quad (68)$$

is used.

Note that we have used the first assumption in the previous figures for the sake of simplicity. These four different off-shell assumptions result in complicated variations of the differential cross sections, as depicted in Fig. 10. At  $W = 4.04$  GeV the

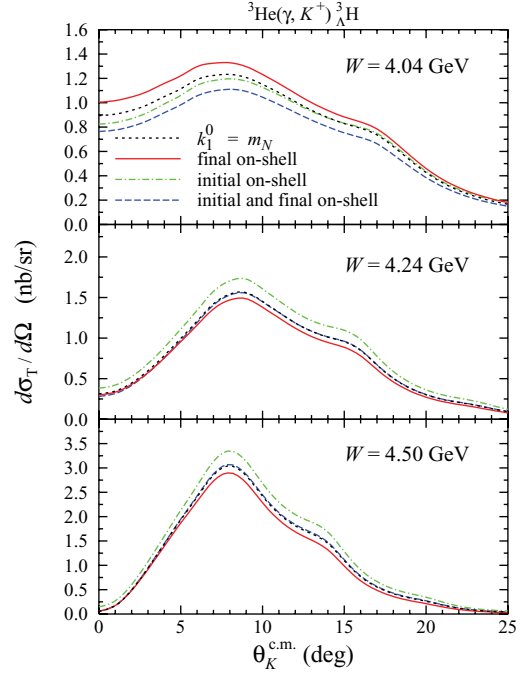


FIG. 10. (Color online) The effect of different off-shell assumptions on the differential cross section calculated at three different total c.m. energies. The dash-dotted curves illustrate the calculation with the initial nucleon in  ${}^3\text{He}$  on-shell, that is,  $[k_1^0 = (m_N^2 + \mathbf{k}_1^2)^{1/2}]$ ; solid curves are obtained by assuming the final hyperon in the hypertriton to be on-shell  $[k_1^0 = (m_Y^2 + \mathbf{k}_1^2)^{1/2}]$ . The dashed curves show the calculation with both initial and final baryons being on-shell, whereas the dotted curves are obtained by assuming  $k_1^0 = m_N$  (with both initial and final baryons being off-shell).

first assumption yields the smallest cross section, whereas the third assumption leads to the largest cross section. However, at higher  $W$  the situation changes, with the latter giving in fact the smallest cross sections. At  $W = 4.04$  GeV we estimate that experimental data at forward angles with about 10% error bars would be able to check these off-shell assumptions. For other kinematics (higher  $W$ ) the cross section differences are presumably too small in view of the present technology [18].

## B. Electroproduction of the hypertriton

It has been widely known that the electroproduction process offers more possibilities for studying the structure of nucleons and nuclei. Furthermore, in Ref. [37], for example, it has been shown that the electroproduction of pions off  ${}^3\text{He}$  reveals different phenomena, compared to the photoproduction of pions on  ${}^3\text{He}$ . The effect of Fermi motion, for instance, is found to be more profound in electroproduction than in photoproduction. The effects of different off-shell assumptions are also found to be more considerable in electroproduction, especially in the transverse cross section. Motivated by these observations, here we extend our investigation described in Sec. IV A to the finite  $k^2$  regions.

The result for hypertriton electroproduction shows, however, different behavior compared to the case of pion electroproduction of  ${}^3\text{He}$ . This is demonstrated by Fig. 11, where

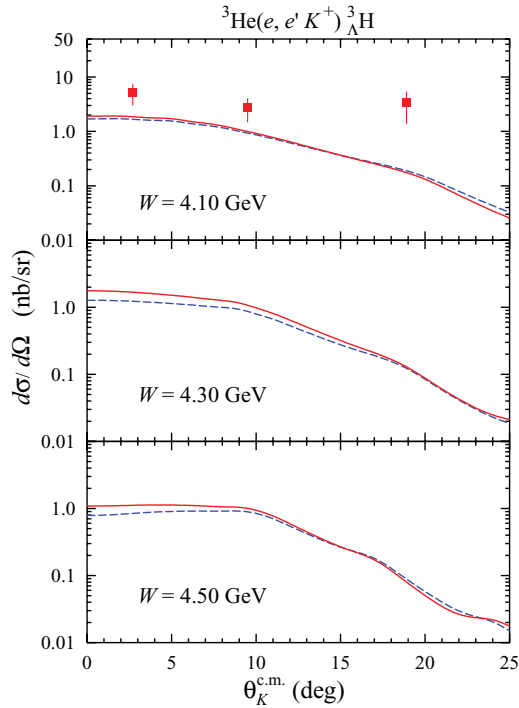


FIG. 11. (Color online) The effect of the  $s$ -wave approximation on the differential cross section of the hypertriton electroproduction. The dashed curves are the cross sections obtained by using only the  $s$ -wave contribution, whereas the solid curves exhibit the results after including all partial waves listed in Table I. The curves have been obtained from Eq. (41) with  $k^2 = -0.35 \text{ GeV}^2$  and  $\epsilon = 0.762$ , whereas the azimuth angle  $\phi$  cross has been averaged. Experimental data are from Ref. [18].

we can see that the effect of the higher partial waves is considerably smaller than in the case of photoproduction (cf. Fig. 8). Only at higher  $W$  and very forward directions, where the momentum transfer  $Q$  is significantly large, are the effects sizable. Note that the electroproduction cross sections exhibit quite different shapes compared to the photoproduction ones. This indicates that the longitudinal terms dominate other contributions in all three kinematics shown in Fig. 11. This conjecture is proven by Fig. 12, from which it is obvious that the fall-off structure of the longitudinal cross sections drives the whole shape of the cross sections shown in Fig. 11, whereas the behavior of the transverse cross sections (with peaks at  $\theta_K^{\text{c.m.}} \approx 8^\circ$ ) is similar to that of the photoproduction cross sections given in Fig. 8.

We have found that the dominant behavior of the longitudinal cross sections originate from the missing resonance  $D_{13}(1895)$ . Excluding this resonance results in a reduction of the longitudinal cross sections by one order of magnitude, whereas the transverse ones decreases only by a factor of 2. This result indicates that the behavior of the longitudinal terms in the elementary operator (see Fig. 2) is persistent and even gets amplified in the nuclear cross sections.

The  $k^2$  evolutions of both transverse and longitudinal cross sections are displayed in Fig. 13. Both Figs. 12 and 13 reveal the different behaviors of the longitudinal and transverse (along with other) cross sections at the forward direction and at

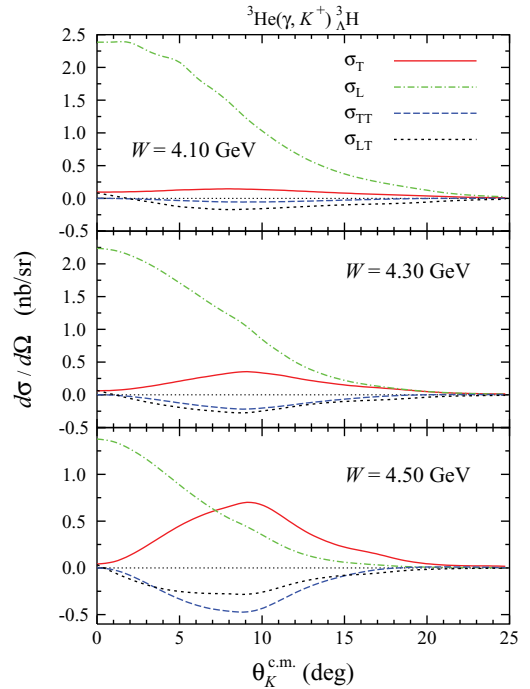


FIG. 12. (Color online) Individual differential cross sections,  $d\sigma_T/d\Omega$ ,  $d\sigma_L/d\Omega$ ,  $d\sigma_{TT}/d\Omega$ , and  $d\sigma_{LT}/d\Omega$ , as a function of the kaon scattering angle at three different total c.m. energies.

$\theta_K^{\text{c.m.}} \approx 10^\circ$ . The result demonstrates the possibility of isolating the longitudinal cross section from other contributions by measuring the process at forward directions. Measurements at  $\theta_K^{\text{c.m.}} \approx 10^\circ$  (with averaged  $\phi$ ) can give us the transverse cross section.

Off-shell effects are also found to be important in the case of electroproduction. This fact is clearly exhibited in Fig. 14, where we can see that the “final hyperon on-shell” assumption can nicely shift the cross section upward to reproduce the

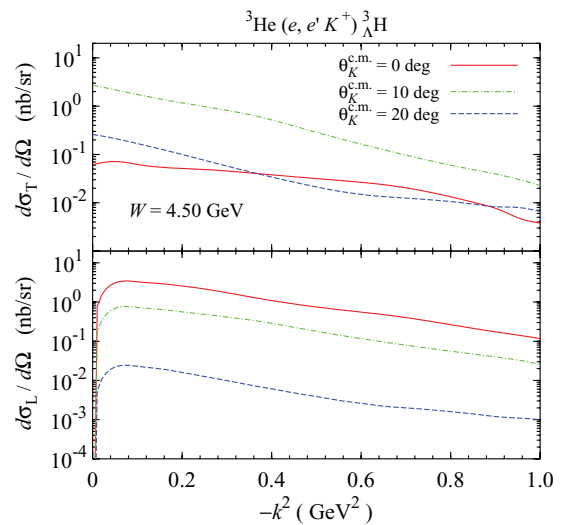


FIG. 13. (Color online) Transverse and longitudinal cross sections as a function of the virtual photon momentum squared at three different kaon scattering angles.

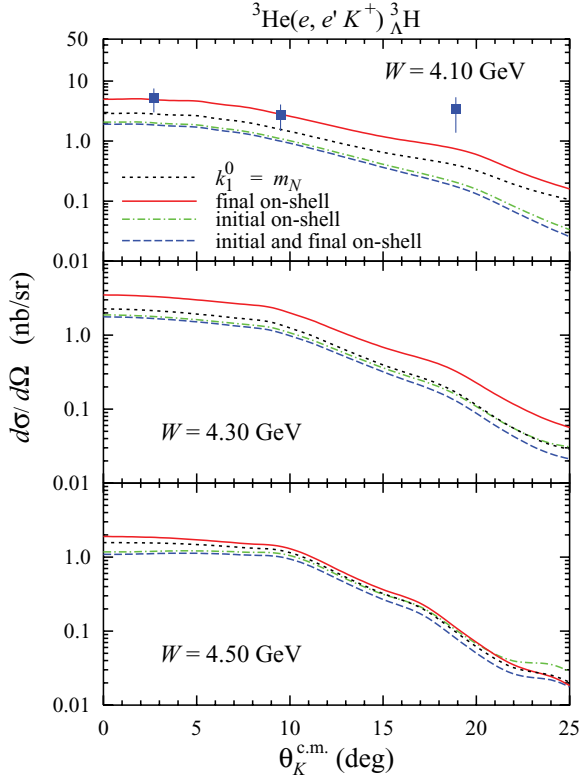


FIG. 14. (Color online) Off-shell effect in hyperon electroproduction. The notation for the curves and data is as in Fig. 10.

experimental data at  $\theta_K^{\text{c.m.}} = 2.7^\circ$  and  $9.5^\circ$ . Obviously, this finding is in contrast to the phenomenon observed in pion photoproduction off  ${}^3\text{He}$  [39], where the assumption that the initial nucleon is on-shell yields a better agreement with experimental data. However, the present finding can be understood as follows: The hyperon binding energy in the hypertriton is much weaker than the binding energy of the nucleon in  ${}^3\text{He}$ . Therefore, shifting the hyperon in the final state closer to its mass shell moves the model closer to reality. The experimental data point at  $\theta_K^{\text{c.m.}} = 18.9^\circ$  seems, however, to be very difficult to reproduce. Although the elementary cross section at this kinematics slightly increases, the nuclear suppression from the two nuclear wave functions is sufficiently strong to reduce the cross sections at  $\theta_K^{\text{c.m.}} \geq 10^\circ$ .

At  $W = 4.30$  GeV and  $W = 4.50$  GeV the various off-shell assumptions yield quite different phenomena compared to those in the case of photoproduction (compare the two lower panels of Fig. 14 and Fig. 10). In the case of photoproduction the assumption that the final hyperon is on-shell yields the smallest cross sections, whereas the opposite situation occurs in the case of electroproduction. Again, this behavior originates from the longitudinal terms. As shown by Fig. 15, for all  $W$  shown, the longitudinal cross sections are larger than the transverse ones. In the former, the off-shell effects are more profound and the assumption that the hyperon in the final state is on-shell always yields the largest cross section. Such behavior does not show up in the transverse cross sections. In fact, by comparing the transverse cross sections shown in

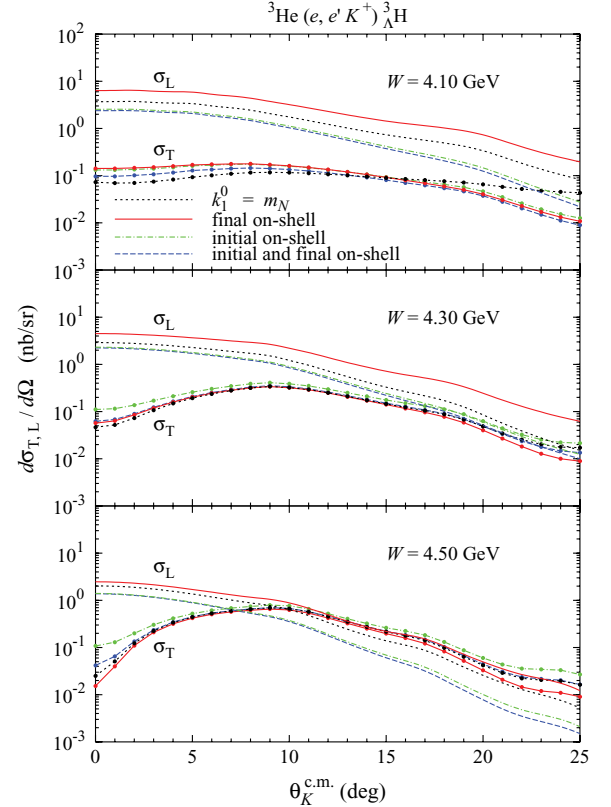


FIG. 15. (Color online) Comparison between off-shell effects on the longitudinal and on the transverse cross sections at different values of  $W$  and  $k^2 = -0.35$  GeV $^2$ . Note that for the sake of visibility the transverse cross sections are plotted with lines and points; the convention for the line types is as in the longitudinal cross sections.

Fig. 15 and in Fig. 10 we can clearly see that the result presented here is still consistent with that of the photoproduction.

We have also found that, although the phenomenon of the dominant longitudinal cross sections originates from the contribution of the missing resonance  $D_{13}(1895)$ , the fact that the final-hyperon on-shell assumption always yields the largest cross section is not affected by the omission of this resonance.

### C. Future consideration

The massive numerical integrations in the full calculation using all partial waves described here requires special attention in the future. One way to reduce this task is by limiting the number of the involved elementary amplitudes  $\mathcal{F}_i$  given in Appendix A. It has been shown in Ref. [41] that to a good approximation the “big-big parts” of the Dirac spinors (in our case,  $\mathcal{F}_{16}$ – $\mathcal{F}_{20}$ ) of a special isobar model can be safely neglected. However, the discrepancies between the full calculation and this approximation depends critically on both photon and nucleon energies (see, for instance, Fig. 2 of Ref. [41]). Thus, careful inspections in a wide range of kinematics should be performed before we can apply this approximation in hypertriton production.

Another method that might be of interest is to limit the number of partial waves used in the calculation. As shown

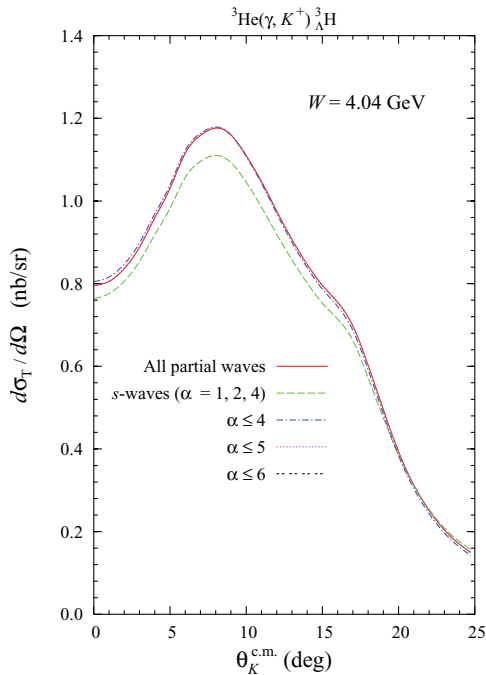


FIG. 16. (Color online) Variations of the hypertriton photoproduction cross section at  $W = 4.04$  GeV as functions of the number of partial waves used and the kaon c.m. angle. Note that the cross sections for  $\alpha \leq 5$  and  $\alpha \leq 6$  coincide with that of the full calculation (using all partial waves).

in Fig. 8, the discrepancy between the results of the full calculation and the  $s$ -wave approximation can reach about 10%. Therefore, the use of only the  $s$  wave would not be recommended for a precise calculation. However, the ultimate question is the following: What is the minimum value of  $\alpha$  for which we would obtain the best approximation? To answer this question we have calculated the cross sections at  $W = 0.4$  GeV by using different numbers of partial waves, from the  $s$ -wave approximation up to  $\alpha = 6$ , and we demonstrate the result in Fig. 16. From this figure we can immediately conclude that the calculation with  $\alpha \leq 4$  would provide a good approximation, whereas by using  $\alpha \leq 5$  we could achieve the best result. We note that in the latter the number of nonzero diagonal and interference terms of the components of the wave functions turns out to be eight. Obviously, this method provides a significant CPU-time reduction compared to the full calculation, which has 64 nonzero components. In spite of this encouraging result, however, an extensive investigation of this approximation in a wide range of kinematics will need to be addressed in the future, before we can draw the firm conclusion that we can really use the triton and hypertriton wave functions with only five partial waves to obtain a precise calculation of hypertriton production.

## V. CONCLUSIONS AND OUTLOOK

We have investigated the photo- and electroproduction of the hypertriton on the  ${}^3\text{He}$  nucleus by utilizing the modern nuclear wave functions obtained as a solution of the Faddeev

equations and the elementary operator KAON-MAID. It has been shown that the proper treatment of the Fermi motion is essential in this process. Although the average momentum approximation  $\langle \mathbf{k}_1 \rangle = -\frac{1}{3} \mathbf{Q}$  can partly simulate the Fermi motion, the “frozen nucleon” assumption yields very different results, especially at lower energies. This indicates that the effect of nonlocalities generated by Fermi motion is important in the electromagnetic production of the hypertriton. However, although the excited meson is a positive kaon, the Coulomb effect induced by its interaction with the hypertriton is found to be negligible. The influence of higher partial waves is also found to be small, in contrast to the finding in the previous work. The off-shell assumption is found to be more important in the case of electroproduction than in photoproduction. Our finding indicates that the available experimental data favor the assumption that the initial nucleon is off-shell, whereas the final hyperon is on-shell. This seems to be reasonable, since the hyperon in the hypertriton is less bound than the nucleon in the initial  ${}^3\text{He}$  nucleus. The longitudinal cross sections are dominant in the electroproduction process. This originates from the longitudinal terms of the missing resonance  $D_{13}(1895)$  in the elementary operator. Nevertheless, the influence of various off-shell assumptions on the longitudinal cross sections is not affected by the exclusion of this resonance. Experimental measurements are strongly required, especially in the case of photoproduction, where we can partly settle the problems of the elementary operator owing to the lack of data consistency and knowledge of the nucleon resonances as well as hadronic coupling constants. For this case,  $W \approx 4.04$  and forward directions represent the recommended kinematics, for which the effects of nonlocalities, missing resonance  $D_{13}(1895)$ , and various off-shell assumptions are found to be quite significant. Further measurements of hypertriton electroproduction are obviously useful, especially if we want to explore the role of the longitudinal terms in the elementary operator and to recheck the trend of the angular distribution of the differential cross sections.

## ACKNOWLEDGMENTS

The authors thank K. Miyagawa for providing the hypertriton and  ${}^3\text{He}$  wave functions and W. Glöckle for explaining the normalization of the hypertriton wave function. T.M. thanks the Physics Department of Stellenbosch University for the hospitality extended to him during his stay in Stellenbosch, where part of this work was carried out. T.M. also acknowledges the support from the University of Indonesia. The work of B.I.S.v.d.V. has been supported by the South African National Research Foundation under Grant No. GUN 2048567.

## APPENDIX A: THE ELEMENTARY AMPLITUDES $\mathcal{F}_i$

Here we list the elementary amplitudes  $\mathcal{F}_1$ – $\mathcal{F}_{20}$  defined by Eq. (14):

$$\mathcal{F}_1 = k_0 A_1 + k \cdot q_K A_3 + \{2P \cdot k - k_0(m_N + m_Y)\} A_4 - k^2 A_6, \quad (\text{A1})$$

$$\mathcal{F}_2 = -A_1 - E_K A_3 - (E_N + E_Y - m_N - m_Y)A_4 + k_0 A_6, \quad (\text{A2})$$

$$\mathcal{F}_3 = A_3 - A_6, \quad (\text{A3})$$

$$\mathcal{F}_4 = A_3 + A_4, \quad (\text{A4})$$

$$\mathcal{F}_5 = -A_3 + A_4, \quad (\text{A5})$$

$$\mathcal{F}_6 = \frac{1}{E_N + m_N} \left[ \left\{ 2P \cdot k (E_N - E_Y) + \left( \frac{1}{2} k^2 - k \cdot q_K \right) \times (E_N + E_Y) + P \cdot k k_0 \right\} A_2 + (k_0 E_K - k \cdot q_K) A_3 + \{k_0 (E_N + E_Y) - 2P \cdot k\} A_4 - (k_0 k \cdot q_K - k^2 E_K) A_5 + (k^2 - k_0^2) A_6 \right], \quad (\text{A6})$$

$$\mathcal{F}_7 = \frac{1}{E_N + m_N} [A_1 - P \cdot k A_2 - k_0 A_3 - (m_N + m_Y) A_4 - (k^2 - k \cdot q_K) A_5 + k_0 A_6], \quad (\text{A7})$$

$$\mathcal{F}_8 = \frac{1}{E_N + m_N} \left[ - \left( 2P \cdot k + \frac{1}{2} k^2 - k \cdot q_K \right) A_2 - k_0 (A_3 + A_4) - k^2 A_5 \right], \quad (\text{A8})$$

$$\mathcal{F}_9 = \frac{1}{E_N + m_N} \left[ \left( 2P \cdot k - \frac{1}{2} k^2 + k \cdot q_K \right) A_2 + k_0 (A_3 - A_4) + k^2 A_5 \right], \quad (\text{A9})$$

$$\mathcal{F}_{10} = \frac{1}{E_Y + m_Y} \left[ - \left\{ 2P \cdot k (E_N - E_Y) + \left( \frac{1}{2} k^2 - k \cdot q_K \right) (E_N + E_Y) + P \cdot k k_0 \right\} A_2 + (k_0 E_K - k \cdot q_K) A_3 + \{k_0 (E_N + E_Y) - 2P \cdot k\} A_4 + (k_0 k \cdot q_K - k^2 E_K) A_5 + (k^2 - k_0^2) A_6 \right], \quad (\text{A10})$$

$$\mathcal{F}_{11} = \frac{1}{E_Y + m_Y} [-A_1 + P \cdot k A_2 - k_0 A_3 + (m_N + m_Y) A_4 + (k^2 - k \cdot q_K) A_5 + k_0 A_6], \quad (\text{A11})$$

$$\mathcal{F}_{12} = \frac{1}{E_Y + m_Y} \left[ \left( 2P \cdot k + \frac{1}{2} k^2 - k \cdot q_K \right) A_2 - k_0 (A_3 + A_4) + k^2 A_5 \right], \quad (\text{A12})$$

$$\mathcal{F}_{13} = \frac{1}{E_Y + m_Y} \left[ - \left( 2P \cdot k + k \cdot q_K - \frac{1}{2} k^2 \right) A_2 + k_0 (A_3 - A_4) - k^2 A_5 \right], \quad (\text{A13})$$

$$\mathcal{F}_{14} = \frac{1}{E_N + m_N} [-A_1 + (m_N + m_Y) A_4], \quad (\text{A14})$$

$$\mathcal{F}_{15} = \frac{1}{E_Y + m_Y} [A_1 - (m_N + m_Y) A_4], \quad (\text{A15})$$

$$\mathcal{F}_{16} = \frac{1}{(E_N + m_N)(E_Y + m_Y)} [-k_0 A_1 + k \cdot q_K A_3 + \{2P \cdot k + k_0 (m_N + m_Y)\} A_4 - k^2 A_6], \quad (\text{A16})$$

$$\mathcal{F}_{17} = \frac{1}{(E_N + m_N)(E_Y + m_Y)} [A_1 - E_K A_3 - (E_N + E_Y + m_N + m_Y) A_4 + k_0 A_6], \quad (\text{A17})$$

$$\mathcal{F}_{18} = \frac{1}{(E_N + m_N)(E_Y + m_Y)} [A_3 - A_6], \quad (\text{A18})$$

$$\mathcal{F}_{19} = \frac{1}{(E_N + m_N)(E_Y + m_Y)} [A_3 + A_4], \quad (\text{A19})$$

$$\mathcal{F}_{20} = \frac{1}{(E_N + m_N)(E_Y + m_Y)} [-A_3 + A_4]. \quad (\text{A20})$$

Note that all energies and three-momenta are given in the  $\gamma$ - $N$  c.m. or laboratory system.

## APPENDIX B: NORMALIZATION OF THE THREE-BODY WAVE FUNCTIONS

In the  $\gamma + {}^3\text{He} \rightarrow \pi + {}^3\text{H}$  system the initial and final nuclear wave functions are antisymmetrized. Therefore, in this case it is sufficient to evaluate the elementary production on one of the nucleons and the nuclear amplitude is multiplied with an antisymmetry factor 3 [39]. In the hypertriton productions [see Eqs. (2) and (3)], the final nucleus consists of two nucleons and one hyperon. As a consequence, a proper normalization is required, and the antisymmetry factor has to be recalculated. To this end, we will make use of the method of second quantization, that is,

$$\{N^\dagger(x), N(y)\} = \delta_{x,y}, \quad (\text{B1})$$

$$\{N^\dagger(x), \Lambda(y)\} = 0. \quad (\text{B2})$$

We can show that the normalized  ${}^3\text{He}$  and hypertriton wave functions can be written as

$$|{}^3\text{He}\rangle = \frac{1}{\sqrt{3!}} \int dx dy dz N^\dagger(x) N^\dagger(y) N^\dagger(z) |0\rangle \times \Phi_{NNN}(x, y, z), \quad (\text{B3})$$

$$|{}^3\text{H}\rangle = \frac{1}{\sqrt{2!}} \int dx dy dz N^\dagger(x) N^\dagger(y) \Lambda^\dagger(z) |0\rangle \times \Phi_{NN\Lambda}(x, y, z), \quad (\text{B4})$$

where  $N^\dagger(x)$  [ $\Lambda^\dagger(z)$ ] represents the nucleon [ $\Lambda$ ] creation operator at the point  $x$  [ $z$ ], and  $\Phi_{NNN}$  and  $\Phi_{NN\Lambda}$  denote the spatial  ${}^3\text{He}$  and hypertriton wave functions, respectively.

To create a  $\Lambda$  hyperon from a nucleon we need the one-body operator

$$\mathcal{O}(x) = \int dx \Lambda^\dagger(x) h(x) N(x), \quad (\text{B5})$$

where  $h(x)$  indicates the elementary operator, which can be sandwiched between the  ${}^3\text{He}$  and the hypertriton wave functions to give

$$\begin{aligned} & \langle {}^3\text{H} | \mathcal{O}(x) | {}^3\text{He} \rangle \\ &= \frac{1}{\sqrt{12}} \int dx dy dz \{ \Phi_{NN\Lambda}^*(y, z, x) h(x) \Phi_{NNN}(x, y, z) \\ & \quad - \Phi_{NN\Lambda}^*(z, y, x) h(x) \Phi_{NNN}(x, y, z) \\ & \quad - \Phi_{NN\Lambda}^*(x, z, y) h(y) \Phi_{NNN}(x, y, z) \} \end{aligned}$$

$$\begin{aligned}
& + \Phi_{NN\Lambda}^*(z, x, y) h(y) \Phi_{NNN}(x, y, z) \\
& + \Phi_{NN\Lambda}^*(x, y, z) h(z) \Phi_{NNN}(x, y, z) \\
& - \Phi_{NN\Lambda}^*(y, x, z) h(z) \Phi_{NNN}(x, y, z). \quad (\text{B6})
\end{aligned}$$

Note that every term in Eq. (B6) is integrated over  $x, y$ , and  $z$ . By making use of the antisymmetric behavior of  $\Phi_{NNN}(x, y, z)$ , we can recast Eq. (B6) as

$$\begin{aligned}
& \langle {}^3\Lambda\text{H} | \mathcal{O}(x) | {}^3\text{He} \rangle \\
& = \frac{1}{\sqrt{12}} \int dx dy dz \{ \Phi_{NN\Lambda}^*(y, z, x) h(x) \Phi_{NNN}(y, z, x) \\
& + \Phi_{NN\Lambda}^*(z, y, x) h(x) \Phi_{NNN}(z, y, x) \\
& + \Phi_{NN\Lambda}^*(x, z, y) h(y) \Phi_{NNN}(x, z, y) \\
& + \Phi_{NN\Lambda}^*(z, x, y) h(y) \Phi_{NNN}(z, x, y) \\
& + \Phi_{NN\Lambda}^*(x, y, z) h(z) \Phi_{NNN}(x, y, z) \\
& + \Phi_{NN\Lambda}^*(y, x, z) h(z) \Phi_{NNN}(y, x, z) \} \\
& = \sqrt{3} \int dx dy dz \Phi_{NN\Lambda}^*(x, y, z) h(z) \Phi_{NNN}(x, y, z), \quad (\text{B7})
\end{aligned}$$

which shows that the required antisymmetry factor for the hypertriton production off  ${}^3\text{He}$  is  $\sqrt{3}$ . If we used this prescription to calculate the amplitude of pion photoproduction on  ${}^3\text{He}$ , where the one-body operator in this case may be written as

$$\mathcal{O}(x) = \int dx N^\dagger(x) h(x) N(x), \quad (\text{B8})$$

we would obtain

$$\begin{aligned}
& \langle {}^3\text{H} | \mathcal{O}(x) | {}^3\text{He} \rangle \\
& = 3 \int dx dy dz \Phi_{NNN}^*(x, y, z) h(z) \Phi_{NNN}(x, y, z), \quad (\text{B9})
\end{aligned}$$

which is consistent with previous work [39].

### APPENDIX C: COMPONENTS OF THE ELEMENTARY OPERATOR MATRIX

Here we give the individual components of the matrix  $[j]$ , defined in Eq. (23) through the relation  $J^\mu = [\sigma][j]$ , which are useful for the numerical calculation of the observables:

$$j_{00} = iN\mathcal{F}_{17} \mathbf{p}_N \cdot (\mathbf{p}_Y \times \mathbf{k}), \quad (\text{C1})$$

$$\begin{aligned}
j_{\ell 0} = iN[ & \mathcal{F}_{14} \mathbf{p}_N \times \mathbf{k} + \mathcal{F}_{15} \mathbf{p}_Y \times \mathbf{k} - \mathcal{F}_{16} \mathbf{p}_N \times \mathbf{p}_Y \\
& - \mathbf{p}_N \cdot \mathbf{p}_Y \times \mathbf{k} (\mathcal{F}_{18} \mathbf{k} + \mathcal{F}_{19} \mathbf{p}_N + \mathcal{F}_{20} \mathbf{p}_Y)]_\ell, \quad (\text{C2})
\end{aligned}$$

$$j_{0m} = N\{(\mathcal{F}_2 - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{17}) k_m + (\mathcal{F}_6 + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{17}) p_{N,m} + (\mathcal{F}_{10} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{17}) p_{Y,m}\}, \quad (\text{C3})$$

$$j_{\ell m} = A \delta_{\ell m} + B_\ell k_m + C_\ell p_{N,m} + D_\ell p_{Y,m}, \quad (\text{C4})$$

where  $\ell, m = x, y, z$ , and

$$A = -N[\mathcal{F}_1 + \mathcal{F}_{14} \mathbf{p}_N \cdot \mathbf{k} - \mathcal{F}_{15} \mathbf{p}_Y \cdot \mathbf{k} - \mathcal{F}_{16} \mathbf{p}_N \cdot \mathbf{p}_Y], \quad (\text{C5})$$

$$\begin{aligned}
\mathbf{B} = & -N[(\mathcal{F}_3 - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_4 - \mathcal{F}_{14} - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_5 + \mathcal{F}_{15} - \mathbf{p}_N \cdot \mathbf{p}_Y \mathcal{F}_{20}) \mathbf{p}_Y], \quad (\text{C6})
\end{aligned}$$

$$\begin{aligned}
\mathbf{C} = & -N[(\mathcal{F}_7 + \mathcal{F}_{14} + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_8 + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_9 + \mathcal{F}_{16} + \mathbf{p}_Y \cdot \mathbf{k} \mathcal{F}_{20}) \mathbf{p}_Y], \quad (\text{C7})
\end{aligned}$$

$$\begin{aligned}
\mathbf{D} = & -N[(\mathcal{F}_{11} + \mathcal{F}_{15} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{18}) \mathbf{k} \\
& + (\mathcal{F}_{12} + \mathcal{F}_{16} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{19}) \mathbf{p}_N \\
& + (\mathcal{F}_{13} + \mathbf{p}_N \cdot \mathbf{k} \mathcal{F}_{20}) \mathbf{p}_Y]. \quad (\text{C8})
\end{aligned}$$

### APPENDIX D: USEFUL KINEMATICAL RELATIONS IN THE NUCLEAR SYSTEM

In the  $\gamma$ - ${}^3\text{He}$  laboratory system the energy and momentum of the virtual photon are obtained from

$$k_0 = (W^2 - M_{\text{He}}^2 - k^2)/(2M_{\text{He}}), \quad |\mathbf{k}| = (k_0^2 - k^2)^{1/2}, \quad (\text{D1})$$

where we denote the total c.m. energy by  $W$ . The energy and momentum of the kaon in the c.m. frame are given by

$$\begin{aligned}
E_K^{\text{c.m.}} & = (W^2 - m_\Lambda^2 + m_K^2)/(2W), \quad (\text{D2}) \\
|\mathbf{q}_K^{\text{c.m.}}| & = \{(E_K^{\text{c.m.}})^2 - m_K^2\}^{1/2}.
\end{aligned}$$

The corresponding energy and momentum in the laboratory frame are obtained from the following transformation:

$$\begin{aligned}
E_K & = \gamma(E_K^{\text{c.m.}} + v|\mathbf{q}_K^{\text{c.m.}}| \cos \theta_{\text{c.m.}}), \quad (\text{D3}) \\
|\mathbf{q}_K| & = (E_K^2 - m_K^2)^{1/2},
\end{aligned}$$

whereas the kaon scattering angle in the laboratory frame is given by

$$\cos \theta = \gamma(|\mathbf{q}_K^{\text{c.m.}}| \cos \theta_{\text{c.m.}} + vE_K^{\text{c.m.}}), \quad (\text{D4})$$

where

$$\gamma = (k_0 + M_{\text{He}})/W, \quad v = k/(k_0 + M_{\text{He}}). \quad (\text{D5})$$

The formulas that follow are derived according to Fig. 17 in the laboratory system with Jacobi coordinates. These relations have been used in the numerical calculations. We choose the kaon scattering plane as the  $xz$  plane, whereas the direction of virtual photon three-momentum defines the  $z$  direction, that is,

$$\mathbf{k} = k\mathbf{e}_z. \quad (\text{D6})$$

Consequently, the initial momentum of the first nucleon and the momentum of the kaon are given by

$$\mathbf{k}_1 = k_1(\sin \theta_1 \cos \phi_1 \mathbf{e}_x + \sin \theta_1 \sin \phi_1 \mathbf{e}_y + \cos \theta_1 \mathbf{e}_z), \quad (\text{D7})$$

$$\mathbf{q}_K = q_K(\sin \theta_K \mathbf{e}_x + \cos \theta_K \mathbf{e}_z), \quad (\text{D8})$$

and the momentum of the produced hyperon is given by

$$\begin{aligned}
\mathbf{k}'_1 & = \mathbf{k}_1 + \frac{2}{3}(\mathbf{k} - \mathbf{q}_K) \\
& = (k_1 \sin \theta_1 \cos \phi_1 - \frac{2}{3}q_K \sin \theta_K) \mathbf{e}_x + (k_1 \sin \theta_1 \sin \phi_1) \mathbf{e}_y \\
& \quad + (k_1 \cos \theta_1 + \frac{2}{3}k - \frac{2}{3}q_K \cos \theta_K) \mathbf{e}_z \\
& \equiv k'_1(\sin \theta'_1 \cos \phi'_1 \mathbf{e}_x + \sin \theta'_1 \sin \phi'_1 \mathbf{e}_y + \cos \theta'_1 \mathbf{e}_z). \quad (\text{D9})
\end{aligned}$$

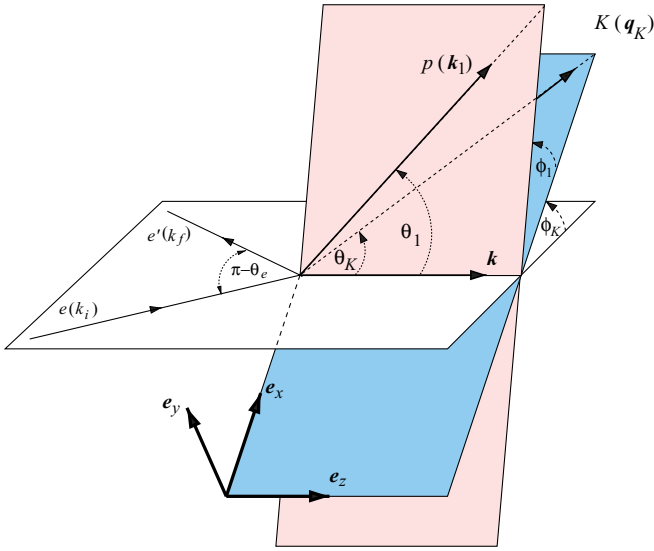


FIG. 17. (Color online) Kinematics of the hypertriton electroproduction on  ${}^3\text{He}$ . Note that the  $x - z$  plane is defined as the  $\gamma_\nu - K$  production plane.

From this we can derive the following expressions for vector and scalar products of the photon ( $\mathbf{k}$ ), nucleon ( $\mathbf{k}_1$ ), kaon ( $\mathbf{q}_K$ ),

and hyperon ( $\mathbf{k}'_1$ ) momenta:

$$\mathbf{q}_K \cdot \mathbf{k} = q_K k \cos \theta_K, \quad (\text{D10})$$

$$\mathbf{k}_1 \cdot \mathbf{k} = k_1 k \cos \theta_1, \quad (\text{D11})$$

$$\mathbf{q}_K \cdot \mathbf{k}_1 = q_K k_1 (\sin \theta_K \sin \theta_1 \cos \phi_1 + \cos \theta_K \cos \theta_1), \quad (\text{D12})$$

$$\mathbf{k}_1 \cdot (\mathbf{k} - \mathbf{q}_K) = k_1 k \cos \theta_1 - k_1 q_K (\sin \theta_K \sin \theta_1 \cos \phi_1 + \cos \theta_K \cos \theta_1), \quad (\text{D13})$$

$$\mathbf{q}_K \times \mathbf{k}_1 = q_K k_1 [-\sin \theta_1 \sin \phi_1 \cos \theta_K \mathbf{e}_x + (\sin \theta_1 \cos \phi_1 \cos \theta_K - \sin \theta_K \cos \theta_1) \mathbf{e}_y + \sin \theta_1 \sin \phi_1 \sin \theta_K \mathbf{e}_z], \quad (\text{D14})$$

$$\mathbf{k} \times \mathbf{q}_K = k q_K \sin \theta_K \mathbf{e}_y, \quad (\text{D15})$$

$$\mathbf{k}_1 \times \mathbf{k} = k_1 k \sin \theta_1 (\sin \phi_1 \mathbf{e}_x - \cos \phi_1 \mathbf{e}_y), \quad (\text{D16})$$

$$\mathbf{k}'_1 \times \mathbf{k}_1 = \frac{2}{3} (\mathbf{k} \times \mathbf{k}_1 - \mathbf{q}_K \times \mathbf{k}_1), \quad (\text{D17})$$

$$k'_1 = \left\{ k_1^2 + \frac{4}{3} (\mathbf{k}_1 \cdot \mathbf{k} - \mathbf{k}_1 \cdot \mathbf{q}_K) + \frac{4}{9} (k^2 + q_K^2 - 2\mathbf{k} \cdot \mathbf{q}_K) \right\}^{1/2}, \quad (\text{D18})$$

$$\theta'_1 = \cos^{-1} \left[ \frac{k_1 \cos \theta_1 + \frac{2}{3} (k - q_K \cos \theta_K)}{k'_1} \right], \quad (\text{D19})$$

$$\phi'_1 = \sin^{-1} \left\{ \frac{k_1 \sin \theta_1 \sin \phi_1}{k'_1 \sin \theta'_1} \right\}. \quad (\text{D20})$$

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