No-recoil approximation to the knock-on exchange potential in the double folding model for heavy-ion collisions

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We propose the no-recoil approximation, which is valid for heavy systems, for a double folding nucleus-nucleus potential. With this approximation, the nonlocal knock-on exchange contribution becomes a local form. We discuss the applicability of this approximation for elastic scattering of the ${}^6Li + {}^{40}Ca$ system. We find that, for this and heavier systems , the no-recoil approximation works as good as another widely used local approximation that employs a local plane wave for the relative motion between the colliding nuclei. We also compare the results of the no-recoil calculations with those of the zero-range approximation often used to handle the knock-on exchange effect.

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The double folding model has been widely used to describe the real part of the optical potential for heavy-ion collisions $[1–3]$. The direct part of the double folding potential is constructed by convoluting an effective nucleon-nucleon interaction with the ground-state density distributions of the projectile and target nuclei. In the double folding model, the exchange contribution originating from the antisymmetrization of the total wave function of the system is customarily taken into account simply through the single-nucleon knock-on exchange term. The exchange term leads to a nonlocal potential. Since it is cumbersome to handle the resultant integro-differential equation, a local approximation has usually been employed. In the past, many calculations have been performed along this line by introducing a pseudo zero-range nucleon-nucleon interaction to mock up the knock-on exchange effect $[1,2,4]$. The strength of the pseudo interaction has been tuned so as to reproduce exact results of the integro-differential equation for proton scattering from various target nuclei at several incident energies [\[4\]](#page-2-0). This approach, in conjunction with the (density-dependent) Michigan-three-range Yukawa (M3Y) interaction [\[5,6\]](#page-2-0), has successfully accounted for observed elastic and inelastic scattering for many colliding systems [\[1,2\]](#page-2-0).

Recently, a more consistent treatment for the exchange term has also been considered $[3,7–10]$. This approach obtains a local potential by employing a local approximation to the momentum operator (local momentum approximation) [\[11,12\]](#page-2-0). Since the local momentum depends explicitly on the potential itself, this leads to a self-consistency problem, which however can be solved iteratively. Because the exchange potential is directly constructed from a given nucleon-nucleon interaction of finite range, this approach is more favorable than the zero-range approximation. In fact, the finite-range treatment for the exchange term has been successful in reproducing the experimental angular distributions for light heavy-ion scattering where the zero-range approximation fails [\[7,8,13\]](#page-2-0).

Despite its success, however, there is a potential difficulty in this approach. That is, the iterative procedure for the selfconsistent problem may not work in the classically forbidden region, where the local momentum is imaginary. Although

the frozen density approximation used in the double folding model could be questionable at these low energies, one may still attempt to construct a nucleus-nucleus potential with the double folding procedure.

The aim of this Brief Report is to propose an alternative local approximation to the knock-on exchange term in the double folding model, which is applicable even in the classically forbidden region. To this end, we exploit the fact that the nonlocality of the exchange potential arises from the recoil effect and thus its range is not large for heavy systems [\[2,14\]](#page-2-0). We simply ignore the recoil effect (i.e., introduce the no-recoil approximation) and obtain a local nucleus-nucleus potential. As in the local momentum approximation, the only ingredients needed in our approach are a nucleon-nucleon interaction and the one-body density matrices of the colliding nuclei. Our approach is thus complementary to the local momentum approximation, which is valid even for light systems but may not work at very low energies, especially at energies below the Coulomb barrier. A similar no-recoil approximation has been discussed in Refs. $[14–16]$, as well as for heavy-ion transfer reactions in Ref. [\[17\]](#page-2-0). Here, we systematically investigate the applicability of the no-recoil approximation by comparing to the exact result as well as to the result in the local momentum approximation.

We begin with the Schrödinger equation based on the double folding model for the relative motion between the colliding nuclei,

$$
\begin{bmatrix} -\frac{\hbar^2}{2\mu} \nabla^2 + V_d(r) + V_C(r) - i W(r) - E \end{bmatrix}
$$

$$
\times \psi(r) + [V_{ex} \psi](r) = 0,
$$
 (1)

where μ and V_C are the reduced mass and the Coulomb potential, respectively, and −*iW* is the imaginary potential that simulates the inelastic and fusion processes. V_d is the direct contribution of the double folding potential given by [\[1,2\]](#page-2-0)

$$
V_d(r) = \int dr_P dr_T \rho_P(r_P) \rho_T(r_T) v(r_T - r_P - r), \quad (2)
$$

and the exchange part is given by [\[18\]](#page-3-0)

$$
[V_{\rm ex}\psi](r) = \int dr_P dr_T \rho_T(r_T - s, r_T)\rho_P(r_P + s, r_P)
$$

$$
\times v(s)\psi(r + \alpha s),
$$
 (3)

where $s = r_T - r_P - r$ and $\alpha = (A_P + A_T)/A_P A_T =$ $1/A_P + 1/A_T$. Here, $v(s)$ is an effective nucleon-nucleon interaction, ρ_T and ρ_P are the one-body density matrix for the target and projectile nuclei, respectively, and $\rho_i(\mathbf{r})$ in Eq. [\(2\)](#page-0-0) is their diagonal component ($i = P$ or *T*). To evaluate those density matrices, we use the local density approximation [\[8,](#page-2-0)[18,19\]](#page-3-0),

$$
\rho(\bar{r} + s/2, \bar{r} - s/2) \sim \rho(\bar{r}) \hat{j}_1[k_F(\bar{r})s], \tag{4}
$$

where $\hat{j}_1(x) = 3(\sin x - x \cos x)/x^3$, and evaluate the local Fermi momentum $k_F(r)$ in the extended Thomas-Fermi approximation.

One can obtain a local approximation to Eq. (3) by noticing

$$
\psi(\mathbf{r} + \alpha s) = e^{i\alpha s \cdot \hat{\mathbf{p}}/\hbar} \psi(\mathbf{r}) \tag{5}
$$

and evaluating the momentum operator \hat{p} in the local WKB approximation $k(r)$ *h* (*local momentum approximation*) [\[3,7–](#page-2-0) [12\]](#page-2-0). This yields a local exchange potential

$$
[V_{\rm ex}\psi](\boldsymbol{r})=V_{\rm ex}(r)\psi(\boldsymbol{r})\qquad(6)
$$

with

$$
V_{\text{ex}}(r) = \int dr_P dr_T \rho_T (\mathbf{r}_T - \mathbf{s}, \mathbf{r}_T) \rho_P (\mathbf{r}_P + \mathbf{s}, \mathbf{r}_P)
$$

$$
\times v(\mathbf{s}) e^{i\alpha k(r) \cdot \mathbf{s}}, \tag{7}
$$

where the magnitude of the local momentum is given by

$$
k(r) = \sqrt{\frac{2\mu}{\hbar^2} \left[E - V_d(r) - V_C(r) - V_{\text{ex}}(r) \right]}.
$$
 (8)

Notice that the local momentum $k(r)$ has to be determined consistently with the exchange potential V_{ex} , as it appears both on the right- and left-hand sides of Eq. (7). One can also obtain the same expression for the exchange potential (7) by constructing the trivially equivalent local potential for Eq. (3) and approximating the relative wave functions with those in the WKB approximation, that is, $\psi(\mathbf{r}) \sim e^{i\mathbf{k}(\mathbf{r})\cdot\mathbf{r}}/\sqrt{k(\mathbf{r})}$.

A further simplification for the exchange term can be achieved for heavy systems. To this end, we remark that α in Eq. (3) arises from the variation of center of mass as a consequence of the exchange of nucleons between the projectile and target nuclei. It is nothing more than the recoil effect from the nucleon exchange [\[2,14\]](#page-2-0) and may be neglected for heavy systems. For instance, *α* takes values of 0.0673 and 0.192 for $^{16}O + {}^{208}Pb$ and ${}^{6}Li + {}^{40}Ca$, respectively. If one neglects α in Eqs. (3) and (7), the exchange potential V_{ex} is simply given by

$$
V_{\rm ex}(r) = \int dr_P dr_T \rho_T(\mathbf{r}_T - \mathbf{s}, \mathbf{r}_T) \rho_P(\mathbf{r}_P + \mathbf{s}, \mathbf{r}_P) v(\mathbf{s}). \quad (9)
$$

We call this approximation the *no-recoil approximation*. Notice that the self-consistency problem is not involved in this approximation, in contrast to the local momentum approximation.

Let us now investigate numerically the applicability of the no-recoil approximation. For this purpose, we choose the ${}^{6}Li +$ 40 Ca system. We use a version of the density-dependent M3Y (DDM3Y) interaction, CDM3Y6 [\[9\]](#page-2-0), as the nucleon-nucleon interaction, *v*. It is given by

$$
v(r) = F_d(\rho) \left[11061.625 \frac{e^{-4r}}{4r} - 2537.5 \frac{e^{-2.5r}}{2.5r} \right] (\text{MeV}), \quad (10)
$$

for the direct part, [\(2\)](#page-0-0), and

$$
v(r) = F_{\text{ex}}(\rho) \left[-1524.25 \frac{e^{-4r}}{4r} - 518.75 \frac{e^{-2.5r}}{2.5r} -7.8474 \frac{e^{-0.7072r}}{0.7072r} \right] (\text{MeV}), \tag{11}
$$

for the exchange part, (3) . Here, the length is units of femtometers, and the density-dependent strength is given by

$$
F(\rho) = C[1 + \alpha e^{-\beta \rho} - \gamma \rho],\tag{12}
$$

with $\rho = \rho_P(r_P) + \rho_T(r_T)$ and $\rho = \rho_P(r_P + s/2) + \rho_T$ $(r_T - s/2)$ for the direct and the exchange contributions, F_d and F_{ex} , respectively. The value for C, α, β , and γ can be found in Ref. [\[9\]](#page-2-0). We assume that the imaginary potential *W* is proportional to the double folding potential with the knock-on exchange term estimated in the zero-range approximation. For the projectile and target densities, we use the same densities as in Refs. [\[1\]](#page-2-0) and [\[20\]](#page-3-0), respectively. The normalization factor is set to be unity for all the calculations reported in the following. The barrier height thus obtained is 8.44 MeV in the no-recoil approximation, whereas it is 8.45, 8.46, and 8.50 MeV at $E_{\text{lab}} = 30, 50.6,$ and 156 MeV, respectively, in the local momentum approximation.

Figure [1](#page-2-0) shows the angular distribution of elastic ${}^{6}Li +{}^{6}Li +{}$ ⁴⁰Ca scattering at $E_{\text{lab}} = 156.0, 50.6,$ and 30.0 MeV. The filled circles are the exact results of the integro-differential equation, which fully retains the nonlocality of the exchange potential. The solid and dashed lines are obtained in the present no-recoil approximation and in the local momentum approximation, respectively. For comparison, the figure also shows the results of the zero-range approximation (see the dotted line), which is obtained by replacing the nucleon-nucleon potential for the exchange term given by Eq. (11) with

$$
v(\mathbf{r}) = F_{\text{ex}}(\rho) \cdot J(E)\delta(\mathbf{r}) \tag{13}
$$

where $J(E) = -590(1 - 0.002 E_{\text{lab}}/A_P)$ (MeV fm³) [\[1,2,4\]](#page-2-0). The strength was tailored particularly for proton scattering, but it has been used for heavy-ion scattering as well by introducing the dependence on the incident energy per projectile nucleon.

The figure shows that the no-recoil approximation leads to results similar to those for the local momentum approximation for this system at the lowest two energies. Both approximations well reproduce the exact results. We have confirmed that this is the case also for heavier systems such as ${}^{16}O + {}^{208}Pb$, as is expected. In contrast, we observe significant difference between the exact results and the results of the zero-range approximation.

At the highest energy, $E_{lab} = 156.0$ MeV, the no-recoil approximation does not work well. This is because the local momentum $k(r)$ is relatively large at this high energy, and

FIG. 1. The angular distribution of elastic ${}^{6}Li + {}^{40}Ca$ scattering at $E_{lab} = 156.0, 50.6,$ and 30.0 MeV obtained with several methods. The filled circles are the exact results of the integro-differential equation with the full nonlocal potential. The solid, dashed, and dotted lines are obtained in the no-recoil, local momentum, and zero-range approximations, respectively.

the exponent in Eq. [\(7\)](#page-1-0) cannot be neglected even if the value of α itself is small. For a lighter system, $\alpha + {}^{90}Zr$, where $\alpha = 0.261$ and the barrier height is around 11.7 MeV, we find that the no-recoil approximation does not work even at $E_{\text{lab}} = 40.0$ MeV. It is thus clear that, for the no-recoil approximation to work well, the inverse reduced mass *α* needs to be small and at the same time the bombarding energy has to be relatively low. A similar overestimate of cross sections in

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the no-recoil approximation has been found also in Ref. [16] for a charge-exchange reaction at intermediate energies.

In summary, we proposed the no-recoil approximation for the double folding model. It neglects the recoil effect from the knock-on exchange of nucleons between the projectile and target nuclei. The resultant exchange potential has a simple local form. We examined its applicability for heavy-ion reactions by studying the angular distribution of elastic ${}^{6}Li +$ 40Ca scattering. We found that the no-recoil approximation reproduces reasonably well the exact results with the full nonlocal exchange potential, and it works as well as the local momentum approximation for this system unless the bombarding energy is much above the Coulomb barrier. The performance of the no-recoil approximation improves for heavier systems. The zero-range approximation, in contrast, does not reproduce well the results of the original nonlocal potential. Since the no-recoil approximation does not involve the iterative procedure, it is much simpler than the local momentum approximation. We thus advocate the use of the no-recoil approximation in analyzing heavy-ion scattering.

In the double folding model, the exchange effect has been conventionally taken into account only through the knock-on exchange term. This is reasonable for peripheral collisions, since the knock-on exchange has the longest range among other exchange terms [\[21\]](#page-3-0). However, it is not obvious at all whether other exchange terms are negligible when the potential in the inner region plays a role, such as in rainbow scattering or in fusion reactions. In this connection, we mention that an idea similar to the no-recoil approximation proposed in this paper enables us to follow the idea of the resonating group method (RGM), which fully incorporates the exchange effect, relatively easily even for heavy systems (see Ref. [\[22\]](#page-3-0) for an early attempt). We will report on such studies in a separate paper [\[23\]](#page-3-0).

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