## Local scale transformations and extended matter distributions in nuclei

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Local scale transformations are made to vary the long-range properties of harmonic oscillator orbitals conventionally used in model structure calculations of nuclear systems. The transformations ensure that those oscillator states asymptotically have exponentially decaying forms consistent with a set of chosen single-nucleon energies, leaving the structure essentially unchanged within the body of the nucleus. Application has been made to the radioactive nuclei <sup>6.8</sup> He and <sup>11</sup>Li, and the resulting wave functions are used to generate *g*-folding optical potentials for elastic scattering of those ions from hydrogen. As a consistency test, application has been made to form wave functions for <sup>40</sup>Ca, and they have been used also to specify relevant proton-<sup>40</sup>Ca optical potentials with which elastic scattering has been predicted.

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# I. INTRODUCTION

A topic of current interest is the description of the structures of exotic nuclei, especially as one approaches the drip lines. The light mass neutron/proton rich nuclei are particularly suited for study as a number of these nuclei can be formed as radioactive beams with which experiments to determine their scattering cross sections can be made. Their scattering from hydrogen targets is of special interest because this is currently one of the best means by which the densities of such nuclei can be studied microscopically. That is achievable because predictions can be made of nucleon-nucleus (NA) scattering (elastic and low excitation inelastic) with a folding model scheme [1,2], in a manner consistent with that employed for electron scattering. Such allows for a sensitive assessment of the related matter densities of nuclei, as was demonstrated in the case of <sup>208</sup>Pb [2]. That is the case also for the scattering of radioactive ions from hydrogen, as inverse kinematics equates the process to the scattering of energetic protons from the ions as targets. However, to make such predictions [1], three basic aspects of the system under investigation are required. Where possible, these properties must be determined independently of the proton-nucleus (pA) scattering system being studied.

One must start with a credible effective (in-medium) twonucleon (NN) interaction. Numerous analyses (to 300 MeV) now suggest that such can be deduced from NN g matrices, solutions of Bruckner-Bethe-Goldstone (BBG) equations based upon any realistic (free) NN potential. With such effective interactions, analyses of NA scattering data become tests of the description of the target nucleus, namely, of its proton and neutron densities.

The two other ingredients come from the chosen model of spectroscopy. In the procedure we adopt, they are determined

from the folding of one-body density matrix elements (OBDME) and single-particle (SP) wave functions, both of which should be obtained from credible models of structure. Such are normally large-scale structure models that describe well the ground state properties (and low-lying excitation spectra if pertinent) of the nucleus in question.

The third ingredient is the specification of the SP wave functions, and it is that with which this paper is concerned. For the moment, let us presume that SP wave functions can be specified appropriately so that in making a g-folding optical potential [1] there is nothing left to be parametrized as such. Note that the g-folding method used requires single-particle wave functions and not simply densities since the method defines the nonlocality due to antisymmetrization and uses the result without localization.

When all elements have been chosen with care, that is, when appropriate modifications to the (free space) interactions between the projectile nucleon and each and every target nucleon caused by the nuclear medium are made, and when OBDME and SP wave functions that describe the target well are used (for stable nuclei that means spectra, electromagnetic moments and transition rates, and electron scattering form factors), then predictions of the scattering of nucleons from such nuclear targets can be, and have been, made of angular and integral observables [1]. That includes spin-dependent angular observables. Furthermore, analyses of data from the scattering of protons from <sup>208</sup>Pb [2] clearly indicated a preferential model of the structure of that nucleus so that <sup>208</sup>Pb should have a neutron skin thickness of 0.17 fm.

For radioactive nuclei, however, few static properties are known and no electron scattering data exist to complement, and to constrain analyses of, the existing limited hadron scattering data. Structure models for those nuclei are currently a major field of study and, of note for the studies we report, several groups have made shell model calculations of the light-mass radioactive nuclei, <sup>6,8</sup>He and <sup>11</sup>Li. For example, Navrátil and Barrett [3,4] have made large-space calculations (up to  $6\hbar\omega$  in the model space) using interactions obtained directly from

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the NN G matrices which have the Reid93 NN interaction as their base. Also Karataglidis et al. [5] calculated wave functions for <sup>6,8</sup>He within a complete  $(0 + 2 + 4)\hbar\omega$  model space using the G matrix interaction of Zheng et al. [6] based on the Nijmegen III NN interaction. They [7] also defined wave functions for <sup>11</sup>Li using a complete  $(0+2)\hbar\omega$  model space and fitted potentials. From those wave functions the OBDME to use in the descriptions of both proton elastic scattering and of the  $(\gamma, \pi^+)$  reaction (in the case of <sup>6</sup>He only [5]) were determined. Both elastic proton scattering and charged pion photoproduction reactions probe the microscopic structure of the nucleus in a way that preserves initial states in the reaction so that the analyses of scattering or reaction data should not be complicated by the need to describe details of reaction products. With that assumption, the analyses [5] confirmed <sup>11</sup>Li to be a halo nucleus while both <sup>8</sup>He and <sup>9</sup>Li are not. The analysis of the (then) available data on <sup>6</sup>He did not allow a conclusion on the halo structure in <sup>6</sup>He to be made. But the subsequent measurement and analysis of p-<sup>6</sup>He scattering by Lagoyannis et al. [8], and later by Stepantsov et al. [9], confirmed that <sup>6</sup>He has an extended neutron distribution consistent with a halo.

Frequently, in analyses of scattering data, harmonic oscillator (HO) wave functions have been chosen to describe single-nucleon bound states in nuclei. A more utilitarian representation may be Woods-Saxon (WS) functions, as found for <sup>12</sup>C [10], for example. With the OBDME determined from  $(0+2)\hbar\omega$  shell model wave functions and the single-nucleon bound states appropriately specified, electron scattering form factors from both the elastic and inelastic scattering of electrons from <sup>12</sup>C then were well fit [10]. But all SP states of import in that case could not be specified by a single defined WS potential, in order to account for a complete shell model SP spectrum within the specified model space. Nevertheless, to estimate effects of any halo attribute in the nucleus requires variation of the SP wave functions from the HO set defined by (large-space) shell model calculations. Such has been attempted using WS wave functions, as originally used in the analysis of the strong E1 transition in <sup>11</sup>Be by Millener et al. [11]. In such cases, no constraining electron scattering data exist. Even if there were, electron scattering data primarily are a measure of the proton distribution of the nucleus. Little information is obtained directly about the neutron densities from such data.

In the case of a neutron halo, a specification of the optical potential requires the use of wave functions with the appropriate long-range behavior. This has been done with the use of WS functions, somewhat artificially. Indeed, forcing a halo structure on nuclei within the traditional (bound state) shell model, with no coupling to the continuum, requires bound state WS potentials to be adjusted so that certain shell model states are weakly bound. A halo structure was given to <sup>6</sup>He [5], for example, by setting the neutron 0*p* shell binding at 2 MeV (near the single-neutron separation energy of 1.8 MeV [12]) and the *sd* shell and higher states at 0.5 MeV, as dictated within the spirit of the shell model single-particle spectrum. No single WS potential parametrization can give all of those bound states having the relevant binding energies [10].

TABLE I. Estimated binding energies (in MeV) for singlenucleon shell model orbits in <sup>6,8</sup>He and <sup>11</sup>Li.

Orbit	<sup>6</sup> He		<sup>8</sup> He		<sup>11</sup> Li	
	Proton	Neutron	Proton	Neutron	Proton	Neutron
$0s_{\frac{1}{2}}$	24	24	24	24	33	33
$0p_{\frac{3}{2}}$	16.5	4.0	16.5	14.5	15.7	7.7
$0p_{\frac{1}{2}}^{2}$	15.5	2.0	15.5	13.5	13.8	5.0
$0d_{\frac{5}{2}}$	7.0	2.0	7.0	5.0	2.0	0.8
$0d_{\frac{3}{2}}^{2}$	5.0	2.0	5.0	4.0	1.5	0.8
$1s_{\frac{1}{2}}^{2}$	7.0	2.0	7.0	5.0	2.8	0.8
$0f^{2}-1p$	2.0	2.0	2.0	2.0	0.8	0.8

However, a procedure exists that ensures bound state wave functions will have asymptotically an appropriate exponential behavior [13–15] whatever its originating form and without sacrificing, too severely, bulk internal character of the shell model structure. That involves making a local scale transformation (LST) of the coordinate variable of the bound state wave functions used in structure calculations (even if they have been so used only implicitly). Namely, given large-space shell model wave functions, we modify the tails of HO SP wave functions in the least artificial way to ensure compatibility with whatever choice we make for single-nucleon binding energies. This is of special interest for "halo" nuclei, or candidates for such. Note that within this context, binding energy refers to the energy of each orbit within the shell model SP spectrum. That does not equate to single-nucleon separation energies.

Herein, Sec. II briefly recalls the properties of some such special nuclei. Then in Secs. III and IV we explain the formalism of the scale transform and give its justification. The results of application of the LST wave functions to an analysis of proton-nucleus (nucleus-hydrogen) scattering are presented in Sec. V. Concluding remarks follow thereafter.

# II. SOME ASPECTS OF THE NUCLEI 6,8HE AND <sup>11</sup>LI

Shell model calculations of <sup>6,8</sup>He and <sup>11</sup>Li have been made to determine the nucleon shell occupancies  $n_i$  to be used in calculations of the optical potentials for the elastic scattering of beams of those ions from hydrogen targets. By inverse kinematics, that equates to proton scattering from the ions themselves. We have used the information from shell model calculations made for earlier studies [1,5,7], in which all the nucleons of  $^{6,8}$ He and  $^{11}$ Li were taken as active (the so-called no core shell model). Specifically we use the structure information given from those calculations of  $^{6,8}$ He made in a complete  $(0 + 2 + 4)\hbar\omega$  model space, and of <sup>11</sup>Li made in the smaller  $(0+2)\hbar\omega$  model space. The latter space limitation arose from the dimensionality increasing with mass for a given space. While the <sup>6,8</sup>He information came from calculations made using the G matrix interaction of Zheng *et al.* [6], the WBP interaction of Warburton and Brown [16] was used for <sup>11</sup>Li.

To utilize the LST, we list, in Table I, a set of *estimated* SP binding energies for nucleons in the 0s to 0f-1p orbits of the

Orbit	<sup>6</sup> He		<sup>8</sup> He		<sup>11</sup> Li	
	Proton	Neutron	Proton	Neutron	Proton	Neutron
0s1	1.821	1.886	1.836	1.915	1.994	1.998
$0p_{\frac{3}{2}}^{2}$	0.036	1.718	0.035	3.575	0.929	3.699
$0p_{\frac{1}{2}}^{2}$	0.036	0.262	0.038	0.329	0.037	1.474
$0d_{\frac{5}{2}}^{2}$	0.023	0.017	0.016	0.028	0.014	0.383
$0d_{\frac{3}{2}}^{2}$	0.029	0.024	0.018	0.027	0.019	0.068
$1s_{\frac{1}{2}}^{2}$	0.031	0.034	0.035	0.036	0.006	0.373
higher	0.024	0.059	0.022	0.090	0.001	0.005
$r_{\rm rms}$ (fm)	1.88 (2.27)	2.30 (3.58)	1.86 (2.20)	2.39 (2.79)	2.16 (2.37)	2.46 (4.45)
Matter $r_{\rm rms}$ (fm)	2.17 (3.21)		2.27 (2.66)		2.38 (3.99)	

TABLE II. Shell occupancies and rms radii from shell model calculations, with b = 1.6 fm.

shell model for the exotic nuclei of interest. We stress that this set is used for illustration; it should not be taken as definitive. In defining this set we were guided by the systematics of single-particle energies [17], on what WS functions were needed to match form factors from electron scattering from <sup>6,7</sup>Li [18], and from seeking rms radii, as consistent with those assessed from other data analyses. We were also guided by our previous work involving the use of WS functions in the descriptions of exotic nuclei [5,7]. Note also that the choice is dictated by the ordering of the single-particle states in the underlying shell model; this approach differs from that taken by Millener et al. [11], where the factorization of the OBDME in terms of spectroscopic factors connecting to the spectrum of the (A-1) nucleus make the energies change with the relevant component configurations of the wave function.

In Table II the orbit occupancies determined from our chosen shell model calculations, and up to the *sd* shell, are listed. With those occupancies and with a set of SP (proton or neutron) radial wave functions  $\varphi_i(r)$ , we define a (proton or neutron) density profile by

$$\rho_{p/n}(r) = \sum_{i} n_{i} \int d\Omega \, \varphi_{i}^{*}(r) \varphi_{i}(r), \qquad (1)$$

where these densities are normalized according to

$$\int_0^\infty \rho_p(r)r^2 dr = Z \quad \text{and} \quad \int_0^\infty \rho_n(r)r^2 dr = N.$$
 (2)

With the oscillator length of b = 1.6 fm, we obtain the rms radii given in the second last line of the table. The numbers given in brackets are the rms radius values found using the LST functions, which we define (and discuss) later, using the energies in Table I. The calculations of all radii are obtained from the shell model wave functions using standard methods [19]. In the bottom line, we list the rms radii for the entire nuclear mass, again with the values resulting from using the LST wave functions shown in the brackets. We consider first the shell model results, here noting that the proton and neutron rms radii differ for each nuclei thereby naturally identifying a neutron skin for each. However, the rms radii obtained for <sup>6</sup>He and <sup>11</sup>Li do

not define the neutron halo character that both are expected to have. (That will always be the case when HO functions are used.)

Most recently, the charge radius of <sup>6</sup>He was measured to be  $2.054 \pm 0.014$  fm [20]. The calculated charge radius from our models are 1.85 and 2.25 fm for the HO and LST models, respectively. To obtain agreement between the measured charge radius and the LST, we need to set b =1.3 fm, from which we obtain a charge radius of 2.06 fm. The charge radius from the HO model with that choice of oscillator parameter (1.3 fm) is 1.57 fm. The matter radius in the LST model is 3.07 fm for this choice. The effect on the differential cross section will be investigated below, but we note that while there may be a noticeable effect, the ground state radii alone are not sufficient constraints with which to estimate single-particle wave functions [2].

The neutron rms radii for <sup>6</sup>He and <sup>11</sup>Li as obtained from the LST model are higher than the oscillator result but commensurate with those obtained from the WS and Glauber models [1]. There is agreement in the neutron radii obtained for <sup>8</sup>He from both the oscillator and LST as consistent with this nucleus being a neutron skin [5]. The reaction cross sections for each nucleus are listed in Table III, with the energies listed reflecting the results for the differential cross sections discussed later. In the case of <sup>6</sup>He, there is an experimental value [21] of  $410 \pm 21$  mb at 36.2 MeV. The (concocted halo) WS result at 40 MeV is 406 mb [8]. The LST result of 441 mb remains in better agreement with these values than the HO result (353 mb [8]); the slight discrepancy is due to the larger rms radius compared to that found from a Glauber model analysis of the interaction cross section (2.71 ± 0.04 fm

TABLE III. Reaction cross sections (in mb) at the list energy (MeV) as obtained from the HO and LST (m = 8) model calculations.

Nucleus	Energy	НО	LST
<sup>6</sup> He	40	321	441
<sup>8</sup> He	71	280	293
<sup>11</sup> Li	62	343	447

[22]). Using b = 1.3 fm as required to fit the observed charge radius [20] gives a reaction cross section of 392 mb, somewhat worse than the value found with b = 1.6 fm. This confirms that the ground state rms radii alone are not a sufficient constraint on the SP wave functions. A similarly small overestimation in the rms radius is observed for <sup>11</sup>Li, for which the radius estimated from the interaction cross section is  $3.53 \pm 0.10$  fm [22], and we expect that a measurement of that reaction cross section would fall below our prediction. Nevertheless, we are encouraged by the result for <sup>8</sup>He, where the reaction cross section from the LST model is similar to the HO result as consistent with <sup>8</sup>He being a skin nucleus.

Thus, the choice of SP wave function is clearly crucial to explaining observed scattering data, and an important factor with that choice is the energy for each and every bound nucleon. For the halo orbits, that energy will be small and the contributions from those orbits will be small, commensurate with the (usually) small occupation numbers associated with them. Some control is available by requiring that the rms radii be well predicted. Only with the <sup>11</sup>Li case is the 1s wave function of some importance, but it is more significant to have a form for this that is extended noticeably from the Gaussian function than it is to have a precise energy—at least within the context of the present paper. As has been noted [1,8,9], it is the reduction of the neutron density within the core of the neutron halo nuclei, from that which is found by using oscillators, that is significant in the analyses of proton scattering. Heavy-ion scattering reflects longer range properties, and so we look forward to use of the LST scheme to define density profiles, etc., that can be used in such (heavy-ion) reaction studies. The tabulated values thus are a base input in a study of LSTs to see if the HO functions, used in the shell model calculations to give the OBDME, may be adapted to better describe the matter profiles and properties of these nuclei. The present study of the LST is exploratory, and the calculated matter densities associated with this model are not given as "final" determinations.

#### **III. THE LOCAL SCALE TRANSFORMATION**

As given previously [14,15], an LST [13] of the form r = f(s) replaces an original wave functions u(r) by a new one v(r) defined by the isometric transform,

$$v(r) = \sqrt{\frac{df}{dr}}u[f(r)], \qquad (3)$$

where f(r) must be real and monotonically increasing when r runs from 0 to  $\infty$ . Also, two boundary conditions are in order, namely f(0) = 0 and  $f(r) \rightarrow \infty$  as  $r \rightarrow \infty$ . The isometry of this mapping of wave functions u into wave functions v is obvious since scalar products are conserved. Indeed, let u and u' be two initial wave functions, and consider their respective images v and v' under the transform. Then, trivially,

$$\int_0^\infty ds v(s) v'(s) = \int_0^\infty ds \frac{df}{ds} u[f(s)] u'[f(s)]$$
$$= \int_0^\infty dr u(r) u'(r), \tag{4}$$

under the obvious change of integration variable r = f(s). With metrics for radial wave functions where one uses an integral  $\int_0^{\infty} r^2 dr$ , the transform, Eq. (3), must be slightly modified to

$$v(r) = \frac{f(r)}{r} \sqrt{\frac{df}{dr}} u[f(r)] = s(r)u[f(r)], \qquad (5)$$

where s(r) is the wave function scale.

We are interested specifically in converting the usual shell model (HO) orbitals into ones that have a physical, exponential decrease. Let *b* and  $\mu$  denote the HO length and the (bare) nucleon mass, respectively, and consider an orbital that is bound by an energy  $\varepsilon$ ; that energy being counted as a positive number. If we neglect sub-dominant modulations brought by the polynomials present in the HO functions and, possibly, by the derivative df/dr, the choice of *f* must induce the change in structure

$$\exp\left(-\frac{r^2}{2b^2}\right) \Rightarrow \exp\left(-r\sqrt{\frac{2\mu\varepsilon}{\hbar^2}}\right).$$
 (6)

Hence, when  $r \to \infty$ , we must constrain f by

$$f(r) \to \gamma \sqrt{r}$$
, with  $\gamma = b \left[ \frac{8\mu\varepsilon}{\hbar^2} \right]^{\frac{1}{4}}$ . (7)

Simultaneously, it seems best to set  $f(r) \rightarrow r$  when  $r \rightarrow 0$ . This choice leaves the interior of the orbitals essentially unchanged. Accordingly, the transition between the "inner, intact" regime, f(r) = r, and the "outer, tail compatibility" regime,  $f(r) = \gamma \sqrt{r}$ , must occur about the point  $r = r_t = \gamma^2$ , which we define as the transition radius. But a choice will need to be made between two solution conditions:

- (i) Extend the linear regime to respect the initial wave function as much as possible, and
- (ii) Fix the transition according to the SP binding energies, as soon as r is of the order  $\gamma^2$  for each individual orbital.

Geometrically, condition (i) involves keeping a straight line for f(r), overshooting the  $\gamma \sqrt{r}$  parabola, then bending the formerly straight line slowly to reach the parabola from upper values. The second choice consists of an unbiased interpolation between the straight line and parabola, thus deviating earlier from the straight line. In that case f(r) will always lie below both the line and the parabola limits and its derivative will remain positive definite and monotonically decreasing. Thus, under condition (ii) the normalization in Eq. (5) is always real and the transform gives a new function that gains the larger orbit probability amplitude at long range (exponential rather than Gaussian) at the expense primarily of the surface region Gaussian amplitudes. We believe that the condition (ii) features are sensible ones to have with the transform, especially as a negative gradient (and thereby indeterminate normalization) is not prevented with condition (i).



FIG. 1. m = 4 harmonic mean transform results for energies of 1 MeV (solid curves) compared with those for an energy of 20 MeV (dashed curves). The limit transform functions also are shown in the top panel by the dotted curve [f(r) = r] and by the two dot-dashed curves  $[f(r) = \gamma \sqrt{r}]$ .

### IV. THE HARMONIC MEAN FORM

Condition (ii) is met if we use a harmonic mean form for the LST, namely,

$$f(r) = \left[\frac{1}{\left(\frac{1}{r}\right)^m + \left(\frac{1}{\gamma\sqrt{r}}\right)^m}\right]^{\frac{1}{m}}.$$
(8)

This form has the added attractive character in that it depends primarily upon the chosen SP energies. The order *m* controls how sharply the transform alters the coordinate between the limits. We present, empirically, the results for f(r), its derivative df(r)/dr, and the wave function scale s(r) for harmonic mean forms with m = 4 and 8 in Figs .1 and 2. These test calculations were made using b = 1.6 fm and a mass of 1. In both figures, the results shown by the solid and dashed curves, respectively, are for energies of 1 and 20 MeV. In the top segment of these figures, the dot-dash curves display the parabolas  $f = \gamma \sqrt{r}$  for each orbital while the dotted line is the central limit of f = r. In the middle segment of each figure, the derivatives of the transformations are displayed. The bottom panel shows the scaling function with which the transformed wave function u [f(r)] is multiplied in Eq. (5).

For both the m = 4 and m = 8 harmonic mean cases, portrayed in Figs. 1 and 2, respectively, a weaker binding induces an earlier transition from the linear to the parabolic regime. The derivatives also vary monotonically to give scaling functions that do so as well. (For the sake of completeness, we investigated several values of m, of which only m = 4 and m = 8 were chosen for the figures.) Therein it is readily seen that with larger m, the interpolating curve follows initially the straight line limit from the origin before smoothly, but more quickly, varying as the parabola. Actually, if  $m \to \infty$ , then f(r) becomes strictly linear until the intersection point between the two regimes and then strictly parabolic beyond.





FIG. 2. Transform functions as given in Fig. 1, but for m = 8.

At this limit, however, the continuity in the derivative of f is lost. That loss would make our transformed wave function have a discontinuity as well, and was a reason for our choice of moderate values of m for calculations of the nuclear wave functions to be used later.

The key role played by the energy in modulating the wave functions is apparent from these diagrams as well. Besides the transform effect of changing Gaussian radial distributions to exponentials with the appropriately defined exponents, the scaling functions depicted in the bottom segments show that, with deeper binding, the interior character of a shell model wave function would be retained more than those for weaker binding. Also the increase of power (from m = 4 to 8) causes the variation to be more surface oriented. That is a consequence of the transform remaining closer to the linear limit until the break point, which increases in radius with energy (larger  $\gamma$ ). It is important to note that the normalizing scale function, s(r), tends slowly to zero as r increases, which has a consequence for the densities obtained.

We show now the cases for three exotic nuclei, <sup>6,8</sup>He and <sup>11</sup>Li. The last, <sup>11</sup>Li, is a special case because we need to address with it a question of nonorthogonality. Of the three exotic light-mass nuclei considered, it has a sizable  $1s_{\frac{1}{2}}$  neutron shell occupancy, as consistent with its *s*-wave halo.

### A. The case of <sup>6</sup>He

In Figs. 3–6, we show the harmonic mean (m = 8) results for the transformation functions and their derivatives, the individual wave functions, and the densities for <sup>6</sup>He. In Figs. 3 and 4, the coordinate transform functions f(r) and their derivatives df(r)/dr for the  $0s_{\frac{1}{2}}$  through  $0p_{\frac{1}{2}}$  wave functions for <sup>6</sup>He with the energies listed in Table I, are shown. The identification of the different orbit results is given in the figure caption. Since the scale factor is quite similar to the derivative functions for most radii of interest, they are not shown. However, from the shapes of the derivatives, the 0*s* orbit



FIG. 3. m = 8 harmonic mean coordinate transform functions for the orbits of <sup>6</sup>He found using the energies listed in Table I. The transforms for the  $0s_{\frac{1}{2}}, 0p_{\frac{3}{2}}$ , and  $0p_{\frac{1}{2}}$  protons are shown from the top by the solid, dashed, and dotted curves, while those of 0p-shell neutrons bound by 4 and 2 MeV are depicted by the dot-dashed and double-dot-dashed lines, respectively.

will remain essentially unchanged inside the nuclear volume, such as it is for <sup>6</sup>He, while the 0p orbits will be influenced more, especially the neutron orbits. The degree to which this is the case is shown in Fig. 5. The top panel gives two 0p wave functions generated from that oscillator function using the m = 8 harmonic mean LSTs with energies of Table I. Note that for the protons (top panel) there is only a very slight change to effect the exponential forms with a reduction of the amplitudes for radii in the range 1 to 3 fm. The weaker bound (neutron) orbits, in contrast, are much varied from the starting HO form with a reduction through the nuclear interior to give the strong enhancement asymptotically.



FIG. 4. Same as Fig. 3, but for the derivatives df(r)/dr.



FIG. 5. *p*-wave orbit functions for <sup>6</sup>He. LST results are the solid  $(0p_{\frac{3}{2}})$  and dot-dashed  $(0p_{\frac{1}{2}})$  curves for various energy values as stated in the text; HO wave functions are the dashed curves.

Thus an extended neutron (halo) distribution can be formed by summation over the orbit occupancies. The results are shown in Fig. 6 with proton and neutron matter densities in the top and bottom segments, respectively. The neutron halo is clearly established by both the WS and LST model results as compared to that from the HO model. Note that the asymptotic properties of the wave functions, and therefore densities, tend slowly toward an exponential form. From the LST, this is due to the behavior of the scaling function for each orbital s(r) tending to zero only as  $r^{-3/4}$ . The consequence of that extension in the neutron density is an extension also for the proton density, though not quite as strong. This stems from the addition of small contributions from the loosely bound proton SP orbits. That dilution of the proton density by an extensive neutron



FIG. 6. The proton (top) and neutron (bottom) densities for  $^{6}$ He. The HO, LST, and WS results are portrayed by the dashed, solid, and dot-dashed curves, respectively.



FIG. 7. Same as Fig. 6, but for <sup>8</sup>He.

density, due to the effects of the *NN* force, is expected in heavy, neutron-rich nuclei. This is consistent with the slightly larger proton rms radius obtained from the LST model, as compared to the oscillator result.

## B. The case of <sup>8</sup>He

We consider <sup>8</sup>He a test case since it is reasonably well established that this nucleus does not have a neutron halo. Rather, the excess neutron number creates a skin, whose properties have been established in analyses of proton and heavy-ion scattering data ([5] and references cited therein). Starting with the shell model results (OBDME and SP wave functions) and an oscillator length of 1.6 fm, the density profiles for <sup>8</sup>He given the energies listed in Table I are shown in Fig. 7. Proton (neutron) densities are shown in the top (bottom) segment with those found using the HO, WS, and LST functions displayed by the dashed, dot-dashed, and solid lines, respectively. As with the WS and LST densities in <sup>6</sup>He, extensions of both the neutron and proton densities are observed, although the neutron densities are not as strong at 10 fm as they are with <sup>6</sup>He. This is consistent with the understanding of <sup>6</sup>He having a neutron halo and <sup>8</sup>He having a neutron skin. Note that the results for the rms radius and reaction cross section for <sup>8</sup>He obtained from the LST model are also consistent with a neutron skin description of <sup>8</sup>He.

# C. The case of <sup>11</sup>Li—a two *s*-orbit problem

For the case of <sup>11</sup>Li, the shell model calculations [10] give dominant occupancies for the orbitals as listed in Table II. In this case there are two *s* orbitals, and the schemes used previously to define a halo did not retain orthogonality of those orbits, nor does the LST process set out above. But that can be rectified.

The case where there are several orbitals with the same  $\{ljm\}$  quantum numbers can be handled as follows. Assume,





FIG. 8. LSTs for states in <sup>11</sup>Li obtained using the energies of Table I. Curves are identified in the text.

for the sake of argument, that there are three  $s_{1/2}$  orbitals, namely,  $0s_{1/2}$ ,  $1s_{1/2}$ , and  $2s_{1/2}$ , with respective (positive) energies  $\varepsilon_0 > \varepsilon_1 > \varepsilon_2$ , and corresponding parameters  $\gamma_0 > \gamma_1 > \gamma_2$ , according to Eq. (7). Then LSTs parametrized independently by  $\gamma_0$ ,  $\gamma_1$ , and  $\gamma_2$  convert the HO functions into orbitals  $|0\rangle$ ,  $|1\rangle$ , and  $|2\rangle$ , which are normalized but are not orthogonal. It is a trivial matter to subtract from  $|1\rangle$  that amount of  $|0\rangle$  necessary to regain orthogonality and to renormalize the resultant new orbit vector  $|1'\rangle$ . Notice that this resultant state will have a long-range aspect still driven by  $\gamma_1$  since the subtraction of a component proportional to  $|0\rangle$  contains a (much) shorter range tail driven by  $\gamma_0$ . In turn, it is trivial to orthogonalize  $|2\rangle$  to  $|0\rangle$  and  $|1'\rangle$  and renormalize the result into an orbital  $|2'\rangle$ , the tail of which is still governed by  $\gamma_2$ . This process is iterative.

The LST functions for the set of energies for <sup>11</sup>Li listed in Table I and for m = 8 are shown in Fig. 8. For the 0s case, the proton and neutron transform function is identical and is the top (solid) curve in this figure. The transform functions for the 0p shell are different, as indicated by the smaller energies for the neutrons. The functions for the  $0p_{\frac{3}{2}}$  and  $0p_{\frac{1}{2}}$ orbits are represented by the dashed and dot-dashed lines, respectively. The higher lying set are those for the protons. The remaining curves are the transforms for the proton: the *sd* states as shown in descending sequence for the  $1s_{\frac{1}{2}}$  (dotted), the  $0d_{\frac{5}{2}}$  (double-dot-dashed), and the  $0d_{\frac{3}{2}}$  (dot-double-dashed) proton states. The lowest (long-dashed) curve is the transform function for the three 1s–0d neutron states as each was chosen to have an energy of 0.8 MeV in these calculations.

The *s*-state wave functions that result after reorthogonalization are shown in Fig. 9. Because the proton and neutron 0*s* orbits were both chosen to be bound by 33 MeV, there is little change to their wave functions from that of the starting HO function. Of course the long-range form differs: the transformed wave functions have an exponential character, whereas the HO is Gaussian. But those differences cannot be discerned on the scales used in Fig. 9. Those 0*s* HO wave



FIG. 9. *s*-wave functions for <sup>11</sup>Li. Harmonic oscillator functions are the dot-dashed (0s) and double-dot-dashed (1s) curves in both segments. Proton and neutron LST-transformed 1*s* functions are the solid and dashed lines, respectively. Use of the LST alone gives the wave functions displayed in the bottom segment which, after orthogonalization, become those given in the upper segment.

functions are shown in both the upper and lower parts of this figure by the dot-dashed curve. The 1s states change markedly not only by virtue of the LST but also with reorthogonalization. Due to the LST alone, wave functions shown in the bottom panel of Fig. 9 result. After orthogonalization, the wave functions displayed in the top panel result. In both panels, the transformed 1s wave functions determined with an energy of 2.8 (proton) and 0.8 MeV (neutron) are shown by the solid and dashed curves, respectively. Not only are the spatial variations of the transformed wave functions guite different from that of the initial 1s oscillator (bottom panel) as the transform varies the HO to get the relatively smaller energy form of the exponentials, but also those changes are altered with the central radial values of the LST functions markedly reduced under the constraint that the 0s and 1s results be orthonormal. Indeed, both the proton and neutron 1s orbit functions are extended, though by virtue of its weaker binding the neutron one is the more so. Then with the rather large occupancy of neutrons in the 1s orbit, the neutron matter profile has the character of a neutron halo.

Diverse neutron matter densities are shown in Fig.10 in a linear plot (top) and in a semilogarithmic plot (bottom) to stress the short- and long-range properties differently. Clearly, the power used in the harmonic mean form of transform makes a significant difference. The m = 4 transforms all vary from the linear limit condition at a rather small radius since the resulting wave functions are reduced to effect the quite small value of the central neutron density. As with the He isotopes, the LST densities are more similar to those obtained from the WS model. The main difference lies near the centre; the WS density is higher. That is compensated by a sharper fall-off compared to the LST up to 4 fm after which both the LST and WS results exhibit a somewhat similar extension compared to the HO density.





FIG. 10. Neutron matter densities in <sup>11</sup>Li given by the HO (double-dot-dashed), the m = 8 harmonic mean transformations (solid), the WS (dot-dashed), and the m = 4 harmonic mean transformations (dashed) models.

# D. A stable nucleus—<sup>40</sup>Ca

When used in the descriptions of a stable nucleus, for which standard models have had success as found in scattering and reaction analyses (see, for example, [1]), we expect that the LST should not provide any difference in the descriptions of data from those found using standard SP functions. That may be seen given that, within a shell model description, there are no orbits with significant nucleon occupancies which are weakly bound, hence the transformation will not modify the radial wave function within the nuclear interior. Of course, at a large distance the wave functions will be transformed to exponential forms. As a test, we consider the case of <sup>40</sup>Ca, the structure of which has been determined by both a standard shell model approach [23] and by a Skyrme-Hartree-Fock (SHF) prescription using the SKX interaction [24]. While the SHF model may be the more consistent with analyses of elastic electron scattering data, our purpose is to compare the (naive) shell model and the LST. Within the oscillator model, while Karataglidis and Chadwick [23] used an oscillator length of 2.0 fm, we found that better scattering results were obtained with the shell model wave functions by allowing a small reduction of that length to 1.9 fm.

We applied the LST to the shell model wave functions to obtain a new set with exponential tails consistent with the energies listed in Table IV. In that table we also give the rms values for each occupied orbit.

Clearly, with regard to the rms radii of each orbit, the modulation of the long-range character of the HO functions is not severe as the energies are all reasonably large. In all cases, the transform radius  $r_t$  is quite large, as is evident in Fig. 11.

From this figure, the linearity of all of the transform terms is well retained to near 4 fm, by which distance the matter density is less than 10% of its central value. Thus we do not expect any major difference in results obtained using the LST functions

TABLE IV. Adopted energies (MeV) for nucleon orbits in  $^{40}$ Ca and their rms radii.

Orbit	B.E. (proton)	B.E. (neutron)	$rms_{HO} (b = 1.9 \text{ fm})$	rms (proton)	rms (neutron)
$0s_{\frac{1}{2}}$	67.0	67.0	2.33	2.33	2.33
$0p_{\frac{3}{2}}^{2}$	39.2	39.2	3.00	3.01	3.01
$0p_{\frac{1}{2}}^{2}$	39.0	39.0	3.00	3.01	3.01
$0d_{\frac{5}{2}}^{2}$	21.7	15.3	3.55	3.61	3.59
$0d_{\frac{3}{2}}^{2}$	15.3	8.3	3.55	3.66	3.99
$1s_{\frac{1}{2}}^{2}$	17.9	11.4	3.55	3.66	3.81

in calculations from those found when the HO functions themselves are used. That expectation is heightened by a study of the matter density. Considering the proton distributions only, we compare in Fig. 12 the results obtained from the shell model (b = 1.9 fm), from the LST functions deduced from that shell model, and from the SHF/SKX description of the ground state of  $^{40}$ Ca.

In this case, the LST density is similar to that of the input shell model function, the surface being slightly extended. Both differ most noticeably from the SHF/SKX in the nuclear interior, and the SHF/SKX model density extends further still. But the large interior difference is not very important in the analyses we make because the volume integral contribution of that region is not large. When these wave functions are used to define optical potentials, that volume integration contribution as well as the inherent absorption makes the region inside about 2 fm of small import for most scattering. One may expect the results to be most influenced by surface differences.



FIG. 11. m = 8 harmonic mean coordinate transform functions for the orbits of <sup>40</sup>Ca found using the energies listed in Table IV. Transforms for the six orbits of the 0s, 0p, and 1s–0d shells are identified in the legend.



FIG. 12. Proton matter densities in  ${}^{40}$ Ca given by the HO (dashed), m = 8 harmonic mean transformations (solid), and SHF/SKX (dot-dashed) models.

# V. APPLICATIONS IN SCATTERING ANALYSES

The harmonic mean LST wave functions determined from the m = 8 formulation have been used as input into calculations of elastic scattering of the radioactive ions from hydrogen targets as well as of proton scattering from the stable nucleus <sup>40</sup>Ca. A modified version of the code DWBA98 [25] has been used with appropriate effective *NN* interactions for each energy considered and with OBDME obtained for each nucleus as outlined earlier.

# A. Scattering of <sup>6,8</sup>He and <sup>11</sup>Li

Elastic scattering of 24.5*A*, 40.9*A*, and 70.5*A* MeV <sup>6</sup>He ions from hydrogen has been measured and analyzed [8,9,26, 27] revealing that this nucleus has a neutron matter distribution more consistent with a neutron halo than a neutron skin, as the naive shell model predicts. That was definitely the case considering the 24.5*A* MeV elastic scattering data. At 40.9*A* MeV, the distorted wave approximation analysis of the scattering data for excitation of the  $2_1^+$  state was the prime evidence for a halo. The 70.5*A* MeV elastic scattering data do not extend to large enough momentum transfer to clearly distinguish the halo aspect, but we include it to show a set of data for which the method used to predict the cross sections is reliable. In those previous studies, the neutron halo was created by choosing weak binding for the 0*p* neutron orbits and using WS potentials to define the radial wave functions.

Differential cross sections for the scattering of 24.5*A*, 40.9*A*, and 70.5*A* MeV <sup>6</sup>He ions from hydrogen, as obtained using the m = 8 LST on the HO functions with energies listed in Table I, are shown by the solid curves in Fig. 13. The data are shown by the open circles (24.5*A* MeV), the filled circles (40.9*A* MeV), and the open squares (70.5*A* MeV). The previous halo results [5,8,9] are shown by the dashed curves for comparison. Our transformed



FIG. 13. Elastic scattering differential cross sections for 24.5*A*, 40.9*A*, and 70.5*A* MeV <sup>6</sup>He ions from hydrogen. For each energy, the LST (m = 8) result is portrayed by the solid line while the result obtained from the WS SP wave functions is given by the dashed line.

wave functions serve to correct the description of these data as does the more *ad hoc* selection of disparate WS functions [8] for the occupied orbits, by being distinctively different from those obtained when no extension to neutron matter was considered. The data at 24.5*A* and 40.9*A* MeV extend beyond the first minimum into the region where one may distinguish between the halo and nonhalo regions [8,9]. Both the WS and LST results agree well with those data, indicating that our modifications to the HO wave functions with the LST make the necessary corrections to explain the data. This is consistent with our results concerning both the rms radius and the reaction cross section. Both the WS and LST results agree equally well with the available data at 70.5*A* MeV.

We have also analyzed the 24.5*A* and 40.9*A* MeV data using two alternative LST sets of wave functions whose calculated rms charge radius agreed with the observed value [20] (see Sec. IV A). Specifically, both sets of LST functions in this case used b = 1.3 fm for the proton set of wave functions, while the neutron set used b = 1.3 fm (model II) and b =1.6 fm (model III). The original set of LST functions we denote as model I. The results found using all three sets of LST wave functions are displayed in Fig. 14.

While all three models agree reasonably well with the elastic scattering data, the results of model II exhibit a different dependence with momentum transfer, particularly at small and large angles. As illustrated in the inset of Fig. 14, at small angles the 40.9*A* MeV result found using model II falls below the data. Also, the 24.5*A* MeV result found using model II falls significantly below the results from the other two models. Note that there is no difference at forward angles between models I and III, indicating that changing the proton SP functions to fit the charge radius alone does not produce a change in the forward angle cross section. While there is a ~20% change in the cross section induced by the change in the proton density



FIG. 14. The elastic scattering differential cross section for 24.5A and 40.9A MeV <sup>6</sup>He ions from hydrogen. Results using LST models I, II, and III, as defined in the text, are denoted for each energy by the solid, dot-dashed, and double-dot-dashed lines, respectively. The inset shows the cross sections at small angles.

at larger angles, the far more significant change in the cross section, by up to a factor of 2, is found with the change in neutron density. This is consistent with proton scattering being more sensitive to the neutron (than the proton) density [1]. It is worth noting that the result of the reaction cross section at 40.9A MeV using model III is 420 mb, which is in far better agreement with the observed value than are the other model calculated values.

Previous scattering data analyses confirmed what had been expected from heavy-ion collision studies that <sup>8</sup>He has a neutron skin but not the extended distribution one now identifies as a halo. The appropriate LST for SP wave functions for this nucleus, again predicated upon an oscillator length of 1.6 fm, retains a skin attribute and results in the differential cross section for 72A MeV <sup>8</sup>He ions from hydrogen shown in Fig. 15 by the solid line. The data were taken from Refs. [26,27], and the dashed curve is the result that was obtained previously [5] when those SP wave functions were taken as the earlier published WS set. Both results do well in describing the available data, given that they are both predictions based on our microscopic model and not fits from phenomenology.

The nucleus <sup>11</sup>Li is known to have an extended neutron (halo) density. That was confirmed from the analyses of elastic scattering of <sup>11</sup>Li ions from hydrogen at 62A MeV [5] and those results are displayed again in Fig. 16 along with our new results obtained by using the same approach, with the same effective force, but with the transformed HO wave functions.

As noted previously, the difference between using WS wave functions with energies chosen to obtain a halo in the neutron matter density in this nucleus and those wave functions that set it to have only a skin is striking. Only with the halo specification does a good prediction of the data result. That is true also for the transformed HO functions, with the



FIG. 15. Elastic scattering differential cross sections for 72*A* MeV <sup>8</sup>He ions from hydrogen. Results of the calculations made using the LST (m = 8) and WS sets of SP wave functions are displayed by the solid and dashed lines, respectively.

m = 4 LST providing reasonable reproduction of the data. While the results obtained from the LST transformation with m = 8 provides good reproduction up to 50°, it overestimates the larger angle data.

# B. Scattering from <sup>40</sup>Ca

Finally we consider the use of the LST functions for <sup>40</sup>Ca in generating optical potentials. With those functions, we have made predictions of the elastic scattering of 65 and



FIG. 16. Data from the elastic scattering  $62A \text{ MeV}^{11}\text{Li}$  ions from hydrogen compared to the predictions made using the basic shell model wave functions (dotted curve), the WS (halo) functions (dotdashed curve), and the m = 4 (dashed curve) and m = 8 (solid curve) harmonic mean transformed wave functions.



FIG. 17. Data from the elastic scattering of 65 MeV (a) and 200 MeV (b) protons from <sup>40</sup>Ca compared with predictions made using the basic shell model wave functions (dashed curve), with the SHF/SKX functions (dot-dashed curve), and with the m = 8 harmonic mean transformed wave functions (solid curve).

200 MeV protons. Such data were analyzed recently [2] and very good differential cross-section results were obtained for both energies; especially when the SHF/SKX model wave functions were used. Those SHF/SKX results are shown again in Fig. 17 for both energies by the dot-dashed curves.

The shell model (b = 1.9 fm) results are those portrayed by the dashed curves while the LST function results are given by the solid curves. Note that the shell model results are varied from those found earlier [2], the result of our changing the oscillator length slightly from that defined by Karataglidis and Chadwick [2]. The adjustment was made specifically to obtain the best possible agreement with the data from the shell model. That allows for the most sensitivity to changes wrought by the LST. The changes are slight, but they in fact improve agreement with observation. But neither our shell model nor the LST built from it give results as good as the SHF/SKX model of structure. Clearly, while the LST may give more reasonable matter profiles to a model of the ground state structure, it is not a panacea for a too limited initial guess. Use of the LST approach with "best model" structures of nuclei are in progress.

## VI. SUMMARY AND CONCLUSIONS

Using local scale transformations of the radial coordinate within the harmonic oscillator wave functions assumed to describe bound nucleons in shell model studies gives new descriptions of those nucleon functions that have exponentially decreasing forms consistent with selected values for their energies. Orthonormality of those transformed wave functions can be ensured quite easily. Herein we have considered a harmonic mean form of local scale transforms.

As an empirical example, the harmonic mean LST (of rank 8) was used to specify a set of single-nucleon bound

state orbitals for use in defining optical potentials to describe the elastic scattering of light-mass radioactive ions (<sup>6,8</sup>He and <sup>11</sup>Li specifically) from hydrogen as well as for the scattering of protons from the stable nucleus <sup>40</sup>Ca. Those optical potentials were formed by g folding: folding of complex effective NN interactions in which medium modification due to both Pauli blocking and a background mean field had been taken into consideration with the LST-generated single-nucleon wave functions weighted by the OBDME given by shell model calculations. The resultant nonlocality of those optical potentials was treated exactly. The results for the elastic scattering of 24.5A, 40.9A, and of 70.5A MeV <sup>6</sup>He ions from hydrogen agreed well with both the data and previous calculations in which WS wave functions were used. With both the WS and the LST formed wave functions, <sup>6</sup>He has a neutron distribution so extended from that associated with the shell model (HO functions) as to be consistent with a halo. Notably, the WS and LST densities are very much alike. However, it is also of note that in finding such agreement in the densities, the chosen sets of SP energies are not the same, as the underlying potentials (WS and HO) are different. For <sup>8</sup>He, the LST (and WS) functions involved also give good results in comparison with scattering data taken at 72A MeV. In this case, the neutron extension is not as large as that for <sup>6</sup>He resulting in this nucleus defined to have a neutron skin rather than a halo. Yet with both nuclei, we find an extension of the proton density beyond the HO result. That dilution of the proton density is influenced by the extension of the neutron density, as expected for neutron-rich nuclei.

We also compared results found using various sets of LST functions adjusted to best fit the observed charge radius in <sup>6</sup>He. Adjusting the proton SP functions alone from our initial estimated set of functions does not produce a significant change to the cross section. Significant changes in the differential cross sections are produced by changes in the neutron set of LST functions. Reaction data that probe the neutron density in the core may be required to constrain the LST functions further. While such is provided herein with the WS densities, complementary analyses are required to

remove one aspect of arbitrariness in the WS model. It is hoped that transverse electron scattering data may be obtained to provide such complementary data, even though such form factors will be difficult to measure, in the proposed electron-ion collider facilities at RIKEN and GSI.

A good result in comparison to data is obtained when cross sections for the elastic scattering of 62A MeV <sup>11</sup>Li ions from hydrogen are considered. The LST wave functions again extend the neutron distribution for this nucleus so much that we deem it to be a neutron halo. Moreover, the halo was due mainly to the neutron occupancy of the  $0p_{1/2}$  and  $1s_{1/2}$  orbits, and we took care to ensure that the  $1s_{1/2}$  orbit was orthogonal to the  $0s_{1/2}$ . In this case, we noted that the rank of the harmonic mean had some import regarding the quality of the agreement of the results with data.

Finally, as a control case, we found that using the LST to vary the shell model single-nucleon wave functions for a stable nucleus case did not vitiate the good results previously found for scattering cross sections with potentials formed by g folding with the shell model wave functions themselves. The cross sections from 65 and 200 MeV protons elastically scattered from <sup>40</sup>Ca were considered. <sup>40</sup>Ca was considered also because SHF wave functions exist to describe its ground state and its use in g folding gave potentials and scattering results also in very good agreement with the data. However, the densities formed by the shell and the SHF models are different, most noticeably in the central region and also in the surface. The LST modifications vary the shell model density mostly through the surface region and therefore does not give changes inside the nucleus to match the SHF results. Of course, as the SHF wave functions need not have appropriate exponential tails either, it is feasible to apply the LST scheme to those. That application is under investigation.

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