

# Axially symmetric Hartree-Fock-Bogoliubov calculations for nuclei near the drip lines

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Nuclei far from stability are studied by solving the Hartree-Fock-Bogoliubov (HFB) equations, which describe the self-consistent mean field theory with pairing interaction. Calculations for even-even nuclei are carried out on a two-dimensional axially symmetric lattice, in coordinate space. The quasiparticle continuum wave functions are considered for energies up to 60 MeV. Nuclei near the drip lines have a strong coupling between weakly bound states and the particle continuum. This method gives a proper description of the ground state properties of such nuclei. High accuracy is achieved by representing the operators and wave functions using the technique of basis splines. The detailed representation of the HFB equations in cylindrical coordinates is discussed. Calculations of observables for nuclei near the neutron drip line are presented to demonstrate the reliability of the method.

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## I. INTRODUCTION

The latest experimental developments [1] as well as recent advances in computational physics have sparked renewed interest in nuclear structure theory. In contrast to the well-understood behavior near the valley of stability, there are many open questions as we move towards the proton and neutron drip lines and towards the limits in mass number (superheavy region). The neutron drip line represents mostly “terra incognita.” In these exotic regions of the nuclear chart, one expects to see several new phenomena [2,3]: near the neutron drip line, the neutron-matter distribution will be very diffuse and of large size giving rise to “neutron halos” and “neutrons skins.” There are also expected collective modes associated with this neutron skin, e.g., the “scissors” vibrational mode [4,5] or the “pygmy” resonance [6]. In proton-rich nuclei, we have recently seen both spherical and deformed proton emitters; this “proton radioactivity” is caused by the tunneling of weakly bound protons through the Coulomb barrier. With RIB facilities, nuclear theorists see an opportunity to study the effective  $N$ - $N$  interaction at large isospin, as well as large pairing correlations.

It is generally acknowledged that an accurate treatment of the pairing interaction is essential for describing exotic nuclei [7,8]. This work is specifically aimed at calculating ground state observables such as the total binding energy, charge radii, proton and neutron densities, separation energies for neutrons and protons, and pairing gaps. There are several types of approaches in nuclear structure theory [3]: for the lightest nuclei, *ab initio* calculations (Green’s function Monte Carlo, no-core shell model) based on the bare  $N$ - $N$  interaction are possible [9]. Medium-mass nuclei up to  $A \sim 60$  may be treated in the large-scale shell model approach [10]. For heavier nuclei one utilizes either nonrelativistic [7,11,12] or relativistic [13–15] mean field theories. The large pairing correlations near the drip lines can no longer be

described by a small residual interaction. It becomes necessary to treat the mean field and the pairing field in a single self-consistent theory. Furthermore, the outermost nucleons are weakly bound, which implies a large spatial extent, and they are strongly coupled to the particle continuum. These features represent major challenges for the mean field theories. We overcome these difficulties by solving the Hartree-Fock-Bogoliubov (HFB) equations for deformed, axially symmetric even-even nuclei on a two-dimensional (2D) lattice, without any further approximations. So far, most of HFB calculations are based on spherical symmetry or up to a limited energy in the quasiparticle spectrum continuum. The importance of the axial symmetry lies in the ability to emulate a big range of nuclei that are not spherical in nature, e.g., nuclei that have a nontrivial intrinsic deformation. We have developed and tested a new mean-field nuclear structure code that specifically addresses the computational challenges and opportunities presented by nuclei near the drip lines.

The present work represents an introduction of the splines method to the solution of the HFB approach in axial symmetry. For now, we will focus on the methodology of our approach. We outline here briefly the theoretical and computational details. We also present results for a few nuclear systems to demonstrate the convergence of the results.

## II. STANDARD HFB FORMALISM

The many-body Hamiltonian in occupation number representation has the form

$$\hat{H} = \sum_{i,j} \langle i|t|j \rangle \hat{c}_i^\dagger \hat{c}_j + \frac{1}{4} \sum_{i,j,m,n} \langle ij|\bar{v}^{(2)}|mn \rangle \hat{c}_i^\dagger \hat{c}_j^\dagger \hat{c}_n \hat{c}_m, \quad (2.1)$$

where  $\langle ij|\bar{v}^{(2)}|mn \rangle$  is the antisymmetrized matrix element of the two-body effective  $N$ - $N$  interaction (see Appendix). The general linear transformation from particle operators  $\hat{c}, \hat{c}^\dagger$  to quasiparticle operators  $\hat{\beta}, \hat{\beta}^\dagger$  takes the form [16]

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$$\begin{pmatrix} \hat{\beta} \\ \hat{\beta}^\dagger \end{pmatrix} = \begin{pmatrix} U^\dagger & V^\dagger \\ V^T & U^T \end{pmatrix} \begin{pmatrix} \hat{c} \\ \hat{c}^\dagger \end{pmatrix}. \quad (2.2)$$

The HFB approximate ground state of the many-body system is defined as a vacuum with respect to quasiparticles

$$\hat{\beta}_k |\Phi_0\rangle = 0.$$

The basic building blocks of the theory are the density matrix

$$\rho_{ij} = \langle \Phi_0 | \hat{c}_j^\dagger \hat{c}_i | \Phi_0 \rangle = (V^* V^T)_{ij}, \quad (2.3)$$

and the pairing tensor

$$\kappa_{ij} = \langle \Phi_0 | \hat{c}_j \hat{c}_i | \Phi_0 \rangle = (V^* U^T)_{ij}. \quad (2.4)$$

which give form to the generalized density matrix

$$\mathcal{R} = \begin{pmatrix} \rho & \kappa \\ -\kappa^* & 1 - \rho^* \end{pmatrix}.$$

The equations of motion are derived from the variational principle,

$$\delta[E(\mathcal{R}) - \text{tr} \Lambda (\mathcal{R}^2 - \mathcal{R})] = 0, \quad (2.5)$$

where

$$E(\mathcal{R}) = \langle \Phi_0 | \hat{H} - \lambda \hat{N} | \Phi_0 \rangle, \quad (2.6)$$

which corresponds to a variation under the constraint on the particle number  $N$  and on the generalized density matrix to satisfy the relation  $\mathcal{R}^2 = \mathcal{R}$ . This results in the standard HFB formulation

$$[\mathcal{H}, \mathcal{R}] = 0, \quad (2.7)$$

with the generalized single-particle Hamiltonian

$$\mathcal{H} = \begin{pmatrix} (h - \lambda) & \Delta \\ -\Delta^* & -(h - \lambda)^* \end{pmatrix}, \quad (2.8)$$

where  $h$  and  $\Delta$  denote the mean-field Hamiltonian and pairing potential, respectively, and the Lagrange multiplier  $\lambda$  is the Fermi energy of the system.

### A. Quasiparticle wave functions in coordinate space

In practice, it is convenient to transform the standard HFB equations into a coordinate space representation and solve the resulting differential equations on a lattice. For this purpose, we define two types of quasiparticle wave functions,  $\phi_1$  and  $\phi_2$ , corresponding to each quasiparticle energy state  $E_\alpha$ :

$$\phi_1^*(E_\alpha, \mathbf{r}\sigma q) = \sum_i U_{i\alpha}(2\sigma) \phi_i(\mathbf{r} - \sigma q), \quad (2.9a)$$

$$\phi_2(E_\alpha, \mathbf{r}\sigma q) = \sum_i V_{i\alpha}^* \phi_i(\mathbf{r}\sigma q). \quad (2.9b)$$

The basis wave functions  $\phi_i$  depend on the coordinate vector  $\mathbf{r}$ , the spin projection  $\sigma = \pm \frac{1}{2}$ , and the isospin projection  $q$  ( $q = +\frac{1}{2}$  corresponds to protons and  $q = -\frac{1}{2}$  to neutrons). The quasiparticle energy spectrum is discrete for  $|E| < -\lambda$  and continuous for  $|E| > -\lambda$  [8]. For practical calculations the continuum states are discretized, thus replacing integration over continuum energies with a summation [8]. With this approximation the particle density matrix for the HFB ground state assumes a very simple mathematical structure in terms of  $\phi_1$  and  $\phi_2$  [8]:

$$\begin{aligned} \rho(\mathbf{r}\sigma q, \mathbf{r}'\sigma'q') &= \langle \Phi_0 | \hat{\psi}^\dagger(\mathbf{r}'\sigma'q') \hat{\psi}(\mathbf{r}\sigma q) | \Phi_0 \rangle \\ &= \sum_{i,j} \rho_{ij} \phi_i(\mathbf{r}\sigma q) \phi_j^*(\mathbf{r}'\sigma'q') \\ &= \sum_{E_\alpha > 0}^\infty \phi_2(E_\alpha, \mathbf{r}\sigma q) \phi_2^*(E_\alpha, \mathbf{r}'\sigma'q'). \end{aligned} \quad (2.10)$$

Instead of the standard antisymmetric pairing tensor  $\kappa$  defined as

$$\kappa(\mathbf{r}\sigma q, \mathbf{r}'\sigma'q') = \langle \Phi_0 | \hat{\psi}(\mathbf{r}'\sigma'q') \hat{\psi}(\mathbf{r}\sigma q) | \Phi_0 \rangle, \quad (2.11)$$

we introduce the pairing density matrix  $\tilde{\rho}$  which is Hermitian for a time-reversal invariant ground state and hence more convenient to use [8]:

$$\begin{aligned} \tilde{\rho}(\mathbf{r}\sigma q, \mathbf{r}'\sigma'q') &= (-2\sigma') \kappa(\mathbf{r}\sigma q, \mathbf{r}' - \sigma'q') \\ &= (-2\sigma') \sum_{i,j} \kappa_{ij} \phi_i(\mathbf{r}\sigma q) \phi_j(\mathbf{r}' - \sigma'q') \\ &= - \sum_{E_\alpha > 0}^\infty \phi_2(E_\alpha, \mathbf{r}\sigma q) \phi_1^*(E_\alpha, \mathbf{r}'\sigma'q'). \end{aligned} \quad (2.12)$$

In principle, the sums go over all the energy states, but in practice a cutoff in the number of states is done up to a reasonable number ( $\sim 60$  MeV).

Proceeding in analogy to the pairing density matrix, we replace the antisymmetric pairing potential  $\Delta$  in Eq. (2.8) with the Hermitian pairing field  $\tilde{h}$ ,

$$\tilde{h}(\mathbf{r}\sigma q, \mathbf{r}'\sigma'q') = (-2\sigma') \Delta(\mathbf{r}\sigma q, \mathbf{r}' - \sigma'q'). \quad (2.13)$$

### B. Normal density and pairing density

From expressions (2.10) and (2.12) for the density matrices we obtain the following expressions for the normal density  $\rho_q(\mathbf{r})$  and pairing density  $\tilde{\rho}_q(\mathbf{r})$ , which are defined as the spin-averaged diagonal elements of their corresponding matrices:

$$\rho_q(\mathbf{r}) = \sum_\sigma \rho(\mathbf{r}\sigma q, \mathbf{r}\sigma q) = \sum_\sigma \sum_\alpha \phi_{2,\alpha}(\mathbf{r}\sigma q) \phi_{2,\alpha}^*(\mathbf{r}\sigma q), \quad (2.14)$$

$$\tilde{\rho}_q(\mathbf{r}) = \sum_{\sigma} \tilde{\rho}(\mathbf{r}\sigma q, \mathbf{r}\sigma q) = - \sum_{\sigma} \sum_{\alpha} \phi_{2,\alpha}(\mathbf{r}\sigma q) \phi_{1,\alpha}^*(\mathbf{r}\sigma q). \quad (2.15)$$

The quasiparticle energy  $E_{\alpha}$  is denoted by index  $\alpha$  for simplicity. The physical interpretation of  $\tilde{\rho}_q$  has been discussed in Ref. [8]: the quantity  $[\tilde{\rho}_q(\mathbf{r})\Delta V/2]^2$  gives the probability to find a *correlated* pair of nucleons with opposite spin projection in the volume element  $\Delta V$ .

### C. Kinetic and spin-orbit densities

The kinetic energy density  $\tau_q(\mathbf{r})$  is defined as a functional of wave functions  $\phi_2$ ,

$$\begin{aligned} \tau_q(\mathbf{r}) &= \nabla \cdot \nabla' \rho_q(\mathbf{r}, \mathbf{r}') \Big|_{\mathbf{r}=\mathbf{r}'} \\ &= \nabla \cdot \nabla' \left( \sum_{\sigma} \rho(\mathbf{r}\sigma q, \mathbf{r}'\sigma q) \right) \Big|_{\mathbf{r}=\mathbf{r}'} \\ &= \sum_{\sigma} \sum_{\alpha} |\nabla \phi_{2,\alpha}(\mathbf{r}\sigma q)|^2. \end{aligned} \quad (2.16)$$

The spin-orbit density does not appear directly in the nuclear potential, but rather its divergence

$$\nabla \cdot \mathbf{J}_q(\mathbf{r}) = -i \sum_{\alpha} (\nabla \phi_{2,\alpha}^*(\mathbf{r}, q) \cdot (\nabla \times \sigma) \phi_{2,\alpha}(\mathbf{r}, q)). \quad (2.17)$$

### D. Energy functional and mean fields

Standard HFB theory yields the following expression for the total binding energy of the nucleus in its ground state, with contributions from the mean field and the pairing field:

$$E_{HFB} = \langle \Phi_{HFB} | \hat{H} | \Phi_{HFB} \rangle = E_{mf} + E_{pair}.$$

To simplify the notation, we drop the isospin indices  $q, q'$  in this section and in the following section. In coordinate space, the mean-field contribution is given by [8]

$$\begin{aligned} E_{mf} &= \frac{1}{2} \int d^3r \int d^3r' \sum_{\sigma, \sigma'} [t(\mathbf{r}\sigma, \mathbf{r}'\sigma') \\ &\quad + h(\mathbf{r}\sigma, \mathbf{r}'\sigma')] \rho(\mathbf{r}'\sigma', \mathbf{r}\sigma), \end{aligned} \quad (2.18)$$

and pairing energy contribution has the form

$$E_{pair} = \frac{1}{2} \int d^3r \int d^3r' \sum_{\sigma, \sigma'} \tilde{h}(\mathbf{r}\sigma, \mathbf{r}'\sigma') \tilde{\rho}(\mathbf{r}'\sigma', \mathbf{r}\sigma). \quad (2.19)$$

The quantity  $h$  denotes the mean field, i.e., the particle-hole ( $p$ - $h$ ) channel of the interaction

$$\begin{aligned} h(\mathbf{r}\sigma, \mathbf{r}'\sigma') &= t(\mathbf{r}\sigma, \mathbf{r}'\sigma') + \int d^3r_2 \int d^3r'_2 \\ &\quad \times \sum_{\sigma_2, \sigma'_2} \bar{v}^{(2)}(\mathbf{r}\sigma, \mathbf{r}_2\sigma_2; \mathbf{r}'\sigma', \mathbf{r}'_2\sigma'_2) \\ &\quad \times \rho(\mathbf{r}'_2\sigma'_2, \mathbf{r}_2\sigma_2). \end{aligned} \quad (2.20)$$

The kinetic energy matrix elements are given by

$$t(\mathbf{r}\sigma, \mathbf{r}'\sigma') = \delta(\mathbf{r}-\mathbf{r}') \delta_{\sigma, \sigma'} \left( -\frac{\hbar^2}{2m} \nabla^2 \right). \quad (2.21)$$

In a similar way, we find for the pairing mean field  $\tilde{h}$ , i.e., for the  $p$ - $h$  and  $h$ - $h$  channels of the interaction

$$\begin{aligned} \tilde{h}(\mathbf{r}\sigma, \mathbf{r}'\sigma') &= \int d^3r'_1 \int d^3r'_2 \sum_{\sigma'_1, \sigma'_2} 2\sigma' \\ &\quad \times \sigma'_2 \bar{v}_{pair}^{(2)}(\mathbf{r}\sigma, \mathbf{r}'-\sigma'; \mathbf{r}'_1\sigma'_1, \mathbf{r}'_2-\sigma'_2) \\ &\quad \times \tilde{\rho}(\mathbf{r}'_1\sigma'_1, \mathbf{r}'_2\sigma'_2). \end{aligned} \quad (2.22)$$

### E. Pairing interaction

In practice, we utilize *different* effective  $N$ - $N$  interactions for the  $p$ - $h$  and for the  $p$ - $p$  channels. If one assumes that the effective interaction  $\bar{v}_{pair}^{(2)}$  is local

$$\begin{aligned} \bar{v}_{pair}^{(2)}(\mathbf{r}\sigma, \mathbf{r}'-\sigma'; \mathbf{r}'_1\sigma'_1, \mathbf{r}'_2-\sigma'_2) \\ = \delta(\mathbf{r}'_1-\mathbf{r}) \delta_{\sigma'_1, \sigma} \delta(\mathbf{r}'_2-\mathbf{r}') \delta_{\sigma'_2, \sigma'} V_p(\mathbf{r}\sigma, \mathbf{r}'-\sigma'), \end{aligned}$$

the pairing mean field Hamiltonian becomes

$$\tilde{h}(\mathbf{r}\sigma, \mathbf{r}'\sigma') = V_p(\mathbf{r}\sigma, \mathbf{r}'-\sigma') \tilde{\rho}(\mathbf{r}\sigma, \mathbf{r}'\sigma').$$

For the pairing interaction  $V_p$  we utilize the form

$$V_p(\mathbf{r}\sigma, \mathbf{r}'-\sigma') = V_0 \delta(\mathbf{r}-\mathbf{r}') \delta_{\sigma, \sigma'} F(\mathbf{r}).$$

This parametrization describes two primary pairing forces: a pure  $\delta$  interaction ( $F=1$ ) that gives rise to *volume pairing*, and a density dependent  $\delta$  interaction (DDDI) that gives rise to *surface pairing*. In the latter case, one uses the following phenomenological ansatz [17] for the factor  $F$ :

$$F(\mathbf{r}) = 1 - \left( \frac{\rho(\mathbf{r})}{\rho_0} \right)^{\gamma}, \quad (2.23)$$

where  $\rho(\mathbf{r})$  is the mass density.

The DDDI interaction generates the following pairing mean field for the two isospin orientations  $q = \pm \frac{1}{2}$ :

$$\tilde{h}_q(\mathbf{r}\sigma, \mathbf{r}'\sigma') = \frac{1}{2} V_0^{(q)} \tilde{\rho}_q(\mathbf{r}) F(\mathbf{r}) \delta(\mathbf{r}-\mathbf{r}') \delta_{\sigma, \sigma'}. \quad (2.24)$$

The pairing contribution to the nuclear binding energy is then

$$E_{pair} = E_{pair}^{(p)} + E_{pair}^{(n)} = \int d^3r \left[ \frac{V_0^{(p)}}{4} \tilde{\rho}_p^2(\mathbf{r}) + \frac{V_0^{(n)}}{4} \tilde{\rho}_n^2(\mathbf{r}) \right] F(\mathbf{r}).$$

An important related quantity is the average pairing gap for protons and neutrons, which is defined as [7,8]

$$\begin{aligned} \langle \Delta_q \rangle &= -\frac{1}{N_q} \text{trace}(\tilde{h}_q \rho_q) \\ &= -\frac{1}{N_q} \int d^3r \int d^3r' \sum_{\sigma, \sigma'} \tilde{h}_q(\mathbf{r}\sigma, \mathbf{r}'\sigma') \rho_q(\mathbf{r}'\sigma', \mathbf{r}\sigma), \end{aligned}$$

where  $N_q$  denotes the number of protons or neutrons. Inserting the expression derived earlier for the mean pairing field, we arrive at

$$\langle \Delta_q \rangle = -\frac{1}{2} \frac{V_0^{(q)}}{N_q} \int d^3r \tilde{\rho}_q(\mathbf{r}) \rho_q(\mathbf{r}) F(\mathbf{r}). \quad (2.25)$$

Note that the pairing gap is a positive quantity because  $V_0^{(q)} < 0$ .

### F. HFB equations in coordinate space

For certain types of effective interactions (e.g., Skyrme mean field and pairing  $\delta$  interactions) the particle Hamiltonian  $h$  and the pairing Hamiltonian  $\tilde{h}$  are diagonal in isospin space and local in position space,

$$h(\mathbf{r}\sigma q, \mathbf{r}'\sigma' q') = \delta_{q,q'} \delta(\mathbf{r}-\mathbf{r}') h_{\sigma,\sigma'}^q(\mathbf{r}) \quad (2.26a)$$

and

$$\tilde{h}(\mathbf{r}\sigma q, \mathbf{r}'\sigma' q') = \delta_{q,q'} \delta(\mathbf{r}-\mathbf{r}') \tilde{h}_{\sigma,\sigma'}^q(\mathbf{r}). \quad (2.26b)$$

Inserting these into the above HFB equations results in a 4  $\times$  4 structure in spin space:

$$\begin{pmatrix} (h^q - \lambda) & \tilde{h}^q \\ \tilde{h}^q & -(h^q - \lambda) \end{pmatrix} \begin{pmatrix} \phi_{1,\alpha}^q \\ \phi_{2,\alpha}^q \end{pmatrix} = E_\alpha \begin{pmatrix} \phi_{1,\alpha}^q \\ \phi_{2,\alpha}^q \end{pmatrix} \quad (2.27)$$

with

$$h^q = \begin{pmatrix} h_{\uparrow\uparrow}^q(\mathbf{r}) & h_{\uparrow\downarrow}^q(\mathbf{r}) \\ h_{\downarrow\uparrow}^q(\mathbf{r}) & h_{\downarrow\downarrow}^q(\mathbf{r}) \end{pmatrix}, \quad \tilde{h}^q = \begin{pmatrix} \tilde{h}_{\uparrow\uparrow}^q(\mathbf{r}) & \tilde{h}_{\uparrow\downarrow}^q(\mathbf{r}) \\ \tilde{h}_{\downarrow\uparrow}^q(\mathbf{r}) & \tilde{h}_{\downarrow\downarrow}^q(\mathbf{r}) \end{pmatrix}.$$

Because of the structural similarity between the Dirac equation and the HFB equation in coordinate space, we encounter here similar computational challenges: for example, the spectrum of quasiparticle energies  $E$  is unbounded from above and below. The spectrum is discrete for  $|E| < -\lambda$  and continuous for  $|E| > -\lambda$ . For even-even nuclei it is customary to solve the HFB equations with a positive quasiparticle energy spectrum  $+E_\alpha$  and consider all negative energy states as occupied in the HFB ground state.

### III. 2D REDUCTION FOR AXIALLY SYMMETRIC SYSTEMS

For simplicity, we assume that the HFB quasiparticle Hamiltonian is invariant under rotations  $\hat{R}_z$  around the  $z$  axis, i.e.,  $[\mathcal{H}, \hat{R}_z] = 0$ . Due to the axial symmetry of the problem, it is advantageous to introduce cylindrical coordinates  $(\varphi, r, z)$ . It is possible to construct simultaneous eigenfunctions of the generalized Hamiltonian  $\mathcal{H}$  and the  $z$  component of the angular momentum,  $\hat{j}_z$ ,

$$\mathcal{H} \psi_{n,\Omega,q}(\varphi, r, z) = E_{n,\Omega,q} \psi_{n,\Omega,q}(\varphi, r, z), \quad (3.1a)$$

$$\hat{j}_z \psi_{n,\Omega,q}(\varphi, r, z) = \hbar \Omega \psi_{n,\Omega,q}(\varphi, r, z), \quad (3.1b)$$

with quantum numbers  $\Omega = \pm \frac{1}{2}, \pm \frac{3}{2}, \pm \frac{5}{2}, \dots$  corresponding to each  $n$ th energy state. The simultaneous quasiparticle eigenfunctions take the form

$$\begin{aligned} \psi_{n,\Omega,q}(\varphi, r, z) &= \begin{pmatrix} \phi_{n,\Omega,q}^{(1)}(\varphi, r, z) \\ \phi_{n,\Omega,q}^{(2)}(\varphi, r, z) \end{pmatrix} \\ &= \frac{1}{\sqrt{2\pi}} \begin{pmatrix} e^{i(\Omega-1/2)\varphi} \phi_{n,\Omega,q}^{(1)}(r, z, \uparrow) \\ e^{i(\Omega+1/2)\varphi} \phi_{n,\Omega,q}^{(1)}(r, z, \downarrow) \\ e^{i(\Omega-1/2)\varphi} \phi_{n,\Omega,q}^{(2)}(r, z, \uparrow) \\ e^{i(\Omega+1/2)\varphi} \phi_{n,\Omega,q}^{(2)}(r, z, \downarrow) \end{pmatrix}. \end{aligned} \quad (3.2)$$

We introduce the following useful notation:

$$U_{n\Omega q}^{(1,2)}(r, z) = \phi_{n,\Omega,q}^{(1,2)}(r, z, \uparrow), \quad (3.3a)$$

$$L_{n\Omega q}^{(1,2)}(r, z) = \phi_{n,\Omega,q}^{(1,2)}(r, z, \downarrow). \quad (3.3b)$$

From the vanishing commutator  $[\mathcal{H}, j_z]$ , we can determine the  $\varphi$  dependence of the HFB quasiparticle Hamiltonian and arrive at the following structure for the Hamiltonian:

$$h(\varphi, r, z) = \begin{pmatrix} h'_{\uparrow\uparrow}(r, z) & e^{-i\varphi} h'_{\uparrow\downarrow}(r, z) \\ e^{+i\varphi} h'_{\downarrow\uparrow}(r, z) & h'_{\downarrow\downarrow}(r, z) \end{pmatrix} \quad (3.4)$$

and the pairing Hamiltonian

$$\tilde{h}(\varphi, r, z) = \begin{pmatrix} \tilde{h}'_{\uparrow\uparrow}(r, z) & e^{-i\varphi} \tilde{h}'_{\uparrow\downarrow}(r, z) \\ e^{+i\varphi} \tilde{h}'_{\downarrow\uparrow}(r, z) & \tilde{h}'_{\downarrow\downarrow}(r, z) \end{pmatrix}. \quad (3.5)$$

Inserting Eqs. (3.4) and (3.5) into the eigenvalue Eq. (2.27), we arrive at the *reduced 2D problem* in cylindrical coordinates:

$$\begin{pmatrix} (h'_{\uparrow\uparrow} - \lambda) & h'_{\uparrow\downarrow} & \tilde{h}'_{\uparrow\uparrow} & \tilde{h}'_{\uparrow\downarrow} \\ h'_{\downarrow\uparrow} & (h'_{\downarrow\downarrow} - \lambda) & \tilde{h}'_{\downarrow\uparrow} & \tilde{h}'_{\downarrow\downarrow} \\ \tilde{h}'_{\uparrow\uparrow} & \tilde{h}'_{\uparrow\downarrow} & -(h'_{\uparrow\uparrow} - \lambda) & -h'_{\uparrow\downarrow} \\ \tilde{h}'_{\downarrow\uparrow} & \tilde{h}'_{\downarrow\downarrow} & -h'_{\downarrow\uparrow} & -(h'_{\downarrow\downarrow} - \lambda) \end{pmatrix} \times \begin{pmatrix} U_{n,\Omega,q}^{(1)} \\ L_{n,\Omega,q}^{(1)} \\ U_{n,\Omega,q}^{(2)} \\ L_{n,\Omega,q}^{(2)} \end{pmatrix} = E_{n,\Omega,q} \begin{pmatrix} U_{n,\Omega,q}^{(1)} \\ L_{n,\Omega,q}^{(1)} \\ U_{n,\Omega,q}^{(2)} \\ L_{n,\Omega,q}^{(2)} \end{pmatrix}. \quad (3.6)$$

Here, quantities  $\tilde{h}'$ ,  $h'$ ,  $U$ , and  $L$  are all functions of  $(r, z)$  only. Also,  $\tilde{h}'$  and  $h'$  contain the implicit isospin dependence  $q$ . This is the main mathematical structure that we implement in computational calculations. For a given angular momentum projection quantum number  $\Omega$ , we solve the eigenvalue problem to obtain energy eigenvalues  $E_{n,\Omega,q}$  and eigenvectors  $\psi_{n,\Omega,q}$  for the corresponding HFB quasiparticle states.

### A. Representation of operators

The Hartree-Fock Hamiltonian using the Skyrme effective interaction can be written [29] (assuming time-reversal invariance)

$$h_q = -\nabla \cdot \frac{\hbar^2}{2m_q^*} \nabla + U_q + U_C \delta_{q1/2} - i\mathbf{B}_q \cdot (\nabla \times \sigma), \quad (3.7)$$

where  $U_q$  is the nuclear central field,  $U_C$  the Coulomb interaction, and the spin-orbit field part is given by  $\mathbf{B}_q \cdot (\nabla \times \sigma)$ . The explicit form of these expressions for the case of the Skyrme interaction are included in the Appendix. Starting from the kinetic energy we apply the cylindrical form of the Laplacian operator to the standard form of the wave function in Eq. (3.2), to find

$$\hat{t}_q = \begin{pmatrix} t_{11} & 0 \\ 0 & t_{22} \end{pmatrix}, \quad (3.8)$$

whose elements are given by

$$t_{11} = f \left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \left( \frac{(\Omega - 1/2)}{r} \right)^2 + \frac{\partial^2}{\partial z^2} \right] + \frac{\partial f}{\partial r} \frac{\partial}{\partial r} + \frac{\partial f}{\partial z} \frac{\partial}{\partial z}, \quad (3.9a)$$

$$t_{22} = f \left[ \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} - \left( \frac{(\Omega + 1/2)}{r} \right)^2 + \frac{\partial^2}{\partial z^2} \right] + \frac{\partial f}{\partial r} \frac{\partial}{\partial r} + \frac{\partial f}{\partial z} \frac{\partial}{\partial z}, \quad (3.9b)$$

$f$  being the effective mass given in Eq. (A9). The local potential terms could also be cast into a matrix form,

$$\hat{v}_q = \begin{pmatrix} v_{11} & 0 \\ 0 & v_{22} \end{pmatrix}, \quad (3.10)$$

where

$$v_{11} = v_{22} = U_q + U_C \delta_{q1/2}. \quad (3.11)$$

Expressions for  $U_q$  and  $U_C$  are given in the Appendix. The Hartree-Fock spin-orbit operator

$$-i\mathbf{B}_q \cdot (\nabla \times \sigma) \rightarrow \hat{w}_q, \quad (3.12)$$

can similarly be written into the form

$$\hat{w}_q = \begin{pmatrix} w_{11} & w_{12} \\ w_{21} & w_{22} \end{pmatrix}, \quad (3.13)$$

with [18]

$$w_{11} = \mathcal{B}_r \frac{\Omega - 1/2}{r}, \quad (3.14a)$$

$$w_{12} = \left[ -\mathcal{B}_z \frac{\Omega + 1/2}{r} - \mathcal{B}_z \frac{\partial}{\partial r} + \mathcal{B}_r \frac{\partial}{\partial z} \right], \quad (3.14b)$$

$$w_{21} = \left[ -\mathcal{B}_z \frac{\Omega - 1/2}{r} + \mathcal{B}_z \frac{\partial}{\partial r} - \mathcal{B}_r \frac{\partial}{\partial z} \right], \quad (3.14c)$$

$$w_{22} = -\mathcal{B}_r \frac{\Omega + 1/2}{r}, \quad (3.14d)$$

where  $\mathcal{B}_r, \mathcal{B}_z$  are defined in the Appendix for the Skyrme force.

### B. Densities

Making use of the definitions for the normal density and pairing density, Eqs. (2.14) and (2.15), we apply the bispinor structure of the quasiparticle wave functions to find the corresponding expressions in axial symmetry:

$$\rho_q(r, z) = \frac{1}{2\pi} \left( 2 \sum_{\Omega > 0}^{\Omega_{max}} \right) \times \sum_{E_n > 0}^{E_{max}} [ |U_{n\Omega q}^{(2)}(r, z)|^2 + |L_{n\Omega q}^{(2)}(r, z)|^2 ], \quad (3.15)$$

$$\tilde{\rho}_q(r, z) = -\frac{1}{2\pi} \left( 2 \sum_{\Omega > 0}^{\Omega_{max}} \right) \times \sum_{E_n > 0}^{E_{max}} [ U_{n\Omega q}^{(2)}(r, z) U_{n\Omega q}^{(1)*}(r, z) + L_{n\Omega q}^{(2)}(r, z) L_{n\Omega q}^{(1)*}(r, z) ]. \quad (3.16)$$

Similarly, starting from definitions (2.16) and (2.17), we obtain expressions for the kinetic energy density and the divergence of the spin density,

$$\begin{aligned} \tau_q(r, z) = & \frac{1}{2\pi} \left( 2 \sum_{\Omega>0}^{\Omega_{max}} \right) \sum_{E_n>0}^{E_{max}} \left[ \frac{(\Omega-1/2)^2}{r^2} |U_{n\Omega q}^{(2)}(r, z)|^2 \right. \\ & + \frac{(\Omega+1/2)^2}{r^2} |L_{n\Omega q}^{(2)}(r, z)|^2 + \left| \frac{\partial U_{n\Omega q}^{(2)}}{\partial r} \right|^2 + \left| \frac{\partial L_{n\Omega q}^{(2)}}{\partial r} \right|^2 \\ & \left. + \left| \frac{\partial U_{n\Omega q}^{(2)}}{\partial z} \right|^2 + \left| \frac{\partial L_{n\Omega q}^{(2)}}{\partial z} \right|^2 \right], \end{aligned} \quad (3.17)$$

$$\begin{aligned} \nabla \cdot \mathbf{J}_q(\mathbf{r}) = & \frac{1}{2\pi} \left( 2 \sum_{\Omega>0}^{\Omega_{max}} \right) \sum_{E_n>0}^{E_{max}} 2 \left[ \frac{\partial U_{n\Omega q}^{(2)}}{\partial r} \frac{\partial L_{n\Omega q}^{(2)}}{\partial z} \right. \\ & - \frac{\partial L_{n\Omega q}^{(2)}}{\partial r} \frac{\partial U_{n\Omega q}^{(2)}}{\partial z} + \frac{\Omega-1/2}{r} \\ & \times U_{n\Omega q}^{(2)} \left( \frac{\partial U_{n\Omega q}^{(2)}}{\partial r} - \frac{\partial L_{n\Omega q}^{(2)}}{\partial z} \right) \\ & \left. - \frac{\Omega+1/2}{r} L_{n\Omega q}^{(2)} \left( \frac{\partial U_{n\Omega q}^{(2)}}{\partial z} + \frac{\partial L_{n\Omega q}^{(2)}}{\partial r} \right) \right]. \end{aligned} \quad (3.18)$$

The total number of protons or neutrons is obtained by integrating their densities

$$N_q = \int d^3r \rho_q(\mathbf{r}) = \left( 2 \sum_{\Omega>0}^{\Omega_{max}} \right) \sum_{E_n>0}^{E_{max}} N_{n\Omega q} \quad (3.19)$$

with

$$N_{n\Omega q} = \int_0^\infty r dr \int_{-\infty}^\infty dz [ |U_{n\Omega q}^{(2)}(r, z)|^2 + |L_{n\Omega q}^{(2)}(r, z)|^2 ], \quad (3.20)$$

which gives the contribution of the quasiparticle state  $|n\Omega q\rangle$  to the proton or neutron density. In the HF+BCS limit,  $N_{n\Omega q} \rightarrow v_{n\Omega q}^2$ . An analogous treatment of the pairing density yields

$$P_q = \int d^3r \tilde{\rho}_q(\mathbf{r}) = \left( 2 \sum_{\Omega>0}^{\Omega_{max}} \right) \sum_{E_n>0}^{E_{max}} P_{n\Omega q} \quad (3.21)$$

with the ‘‘pairing density spectral distribution’’ (with respect to energy and angular momentum)

$$\begin{aligned} P_{n\Omega q} = & - \int_0^\infty r dr \int_{-\infty}^\infty dz [ U_{n\Omega q}^{(2)}(r, z) U_{n\Omega q}^{(1)*}(r, z) \\ & + L_{n\Omega q}^{(2)}(r, z) L_{n\Omega q}^{(1)*}(r, z) ]. \end{aligned} \quad (3.22)$$

In the HF+BCS limit,  $P_{n\Omega q} \rightarrow (uv)_{n\Omega q}$ . Finally, we state the normalization condition for the four-spinor quasiparticle wave functions as

$$\int d^3r \psi_{n\Omega q}^\dagger(\mathbf{r}) \psi_{n\Omega q}(\mathbf{r}) = 1, \quad (3.23)$$

which leads to

$$\begin{aligned} \int_0^\infty r dr \int_{-\infty}^\infty dz [ |U_{n\Omega q}^{(1)}(r, z)|^2 + |L_{n\Omega q}^{(1)}(r, z)|^2 \\ + |U_{n\Omega q}^{(2)}(r, z)|^2 + |L_{n\Omega q}^{(2)}(r, z)|^2 ] = 1. \end{aligned} \quad (3.24)$$

#### IV. LATTICE REPRESENTATION OF SPINOR WAVE FUNCTIONS AND HAMILTONIAN

For axially symmetric nuclei, we diagonalize the HFB Hamiltonian (3.6) separately for fixed isospin projection  $q$  and angular momentum quantum number  $\Omega$ . We solve the eigenvalue problem by direct diagonalization on a two-dimensional grid  $(r_\alpha, z_\beta)$ , where  $\alpha=1, \dots, N_r$  and  $\beta=1, \dots, N_z$ . In practice, we do not assume left-right symmetry, thus allowing the possibility for octupole shapes. Consequently, the grid extends from  $-z$  to  $+z$ , and we have  $N_z \approx 2N_r$  (so when referring to the number of points in the mesh we only mention the value of  $N_r$ ). The four components of the spinor wave function  $\psi(r, z)$  are represented on the two-dimensional lattice by an expansion in basis-spline functions  $B_i(x)$  evaluated at the lattice support points. Further details about the basis-spline technique are given in Refs. [19,20]. For the lattice representation of the Hamiltonian, we use a hybrid method [18,21,22] in which derivative operators are constructed using the Galerkin method; this amounts to a global error reduction. Local potentials are represented by the basis-spline collocation method (local error reduction). The lattice representation transforms the differential operator equation into a matrix form

$$\sum_{\nu=1}^N \mathcal{H}_n^\nu \psi_\nu^\Omega = E_n^\Omega \psi_n^\Omega \quad (n=1, \dots, N). \quad (4.1)$$

The HFB calculations are initialized using the density output from a prior HF+BCS run which results in fast convergence of the HFB code. Because the HFB problem is self-consistent we use an iterative method for the solution, and at every iteration the full HFB Hamiltonian is diagonalized. Typically 15–20 iterations are sufficient for convergence at the level of one part in  $10^5$  for the total binding energy. The Fermi levels  $\lambda_q$  for protons and neutrons are calculated in every iteration by means of a simple root search using the equations [7]

$$f(\lambda_q) = \bar{N}_q(\lambda_q) - N_q = 0,$$

$$\bar{N}_q(\lambda_q) = \left( 2 \sum_{\Omega>0}^{\Omega_{max}} \right) \sum_{E_n>0}^{E_{max}} \bar{N}_{n\Omega q}(\lambda_q),$$

$$\bar{N}_{n\Omega q}(\lambda_q) = \frac{1}{2} \left[ 1 - \frac{(\mathcal{E}_{n\Omega q} - \lambda_q)}{[(\mathcal{E}_{n\Omega q} - \lambda_q)^2 + \Delta_{n\Omega q}^2]^{1/2}} \right],$$

$$\Delta_{n\Omega q} = 2E_{n\Omega q} \sqrt{N_{n\Omega q}(1 - N_{n\Omega q})}, \quad (4.2)$$

where  $E$  denotes the quasiparticle energy, and  $\mathcal{E}$  is the equivalent single-particle energy (as defined by the BCS formalism). The quantity  $N$  in the last line of the equation denotes the spectral norm of the density as defined in Eq.

(3.20). The calculated value for  $\lambda_q$  is used in the next iteration. This process is repeated until convergence is achieved.

## V. NUMERICAL PARAMETERS: $^{22}\text{O}$ CALCULATIONS

In this section, we present a series of studies of the numerical parameters in axially symmetric HFB calculations. In particular, we study the dependence of observables on the equivalent single-particle energy cutoff, the lattice box size, the number of mesh points, and the maximum angular momentum quantum number  $\Omega_{max}$ . The numerical tests are carried out for  $^{22}\text{O}$ . This neutron-rich isotope has an  $N/Z$  ratio of 1.75 and is close to the experimentally confirmed drip line nucleus  $^{24}\text{O}$ .

### A. Energy cutoff

The numerical solution of the HFB equations on a 2D lattice results in a set of quasiparticle wave functions and energies. The quasiparticle energy spectrum contains both bound and (discretized) continuum states. The number of eigenstates is determined by the dimensionality of the discrete HFB Hamiltonian, which is  $N = (4N_r N_z)^2$ , for fixed isospin projection  $q$  and angular momentum projection  $\Omega$ . In our calculations, we typically obtain quasiparticle energies up to about 1 GeV. It is well known that zero-range pairing forces require a limited configuration space in the  $p$ - $p$  channel because the interaction matrix elements decrease too slowly with excitation energy [8]. One therefore introduces an energy cutoff, either in the quasiparticle energy ( $E_{max}$ ) or in the equivalent single-particle energy ( $\mathcal{E}_{max}$ ). Hence, in the case of zero-range pairing forces the infinite summations over quasiparticle energies in the expressions for the densities  $\rho$ ,  $\tau$ , and  $\mathbf{J}$  are terminated at a maximum quasiparticle energy.

The quantity  $E_{max}$  has to be chosen such that the maximum quasiparticle energy exceeds the depth of the mean-field nuclear potential, and all of the bound states have to be included in the sums [7]. We follow the prescription of Refs. [7,23] to set the cutoff energy in terms of the equivalent single-particle energy spectrum  $\mathcal{E}_n$ . For the Skyrme SLy4 force with pure *delta* pairing, Dobaczewski [24] deduced a pairing strength of  $V_0 = -218.5 \text{ MeV fm}^3$ , with  $\mathcal{E}_{max} = 60 \text{ MeV}$ . We utilize the same parameters in all of our 2D calculations.

Even though  $\mathcal{E}_{max}$  is a fixed parameter in the HFB calculations, it is interesting to analyze the sensitivity of observables to the value of the energy cutoff. In Fig. 1 we plot the total nuclear binding energy for cutoff values of  $\mathcal{E}_{max}$  between 10 and 60 MeV and the same for  $E_{max}$  from 20 to 60 MeV. We find that in both cases, the binding energy remains essentially constant for cutoff values of 40 MeV and above. Clearly, a cutoff below 40 MeV results in significant changes in the binding energy because quasiparticle levels with large occupation probabilities are left out. In terms of the equivalent single-particle energies this corresponds to levels near the bottom of the central potential being left out. This result is in agreement with the 1D radial calculations of Ref. [8].

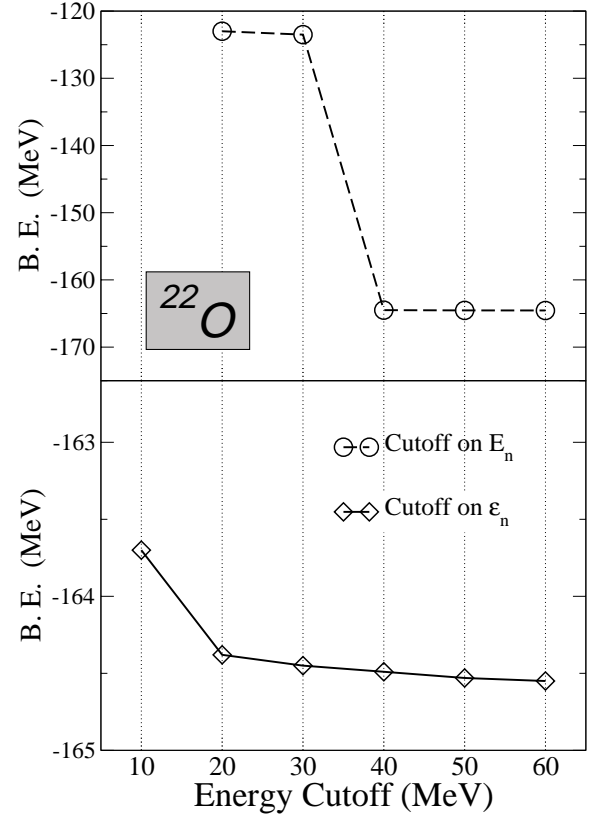


FIG. 1. Binding energy of  $^{22}\text{O}$  vs energy cutoff. Top, cutoff in the quasiparticle spectrum, bottom, cutoff in the equivalent single particle spectrum (absolute value). All calculations were performed with  $B$ -spline order  $M=7$ ,  $N_r=18$  lattice points, angular momentum projection  $\Omega_{max}=5/2$ , and box size  $R=10 \text{ fm}$ .

### B. Lattice box size

Using cylindrical coordinates, the lattice box size  $R$  defines the boundary in radial ( $r$ ) direction; the box size in  $z$  direction is  $2R$ . The value of  $R$  must be chosen large enough for the wave functions to vanish at the outer edges of the box and needs to be adjusted for optimal accuracy and computing time. Figure 2 shows the dependence of the binding energy on  $R$  for  $^{22}\text{O}$ . The mesh spacing was kept at a constant value of  $\Delta r \approx 1 \text{ fm}$ . Figure 2 also presents some of the quasiparticle energy levels  $E_{n\Omega q}$  with large occupation probability  $N_n$ ; these levels correspond to low-lying states in the equivalent single-particle spectrum. Evidently, the quasiparticle energies and the total binding energy converge in essentially the same way with increasing box size. Figure 2 shows that convergence is reached at  $R=10 \text{ fm}$ . The behavior of the quasiparticle states with respect to the mesh boundaries has also been discussed in Ref. [8]. For heavier systems, the box size has to be increased. A safe initial guess for  $R$  is about three times the classical nuclear mass radius.

### C. Number of mesh points

One of the major advantages of the  $B$ -spline technique is that one can utilize a relatively coarse grid resulting in a lattice Hamiltonian matrix of low dimension. Figure 3 shows

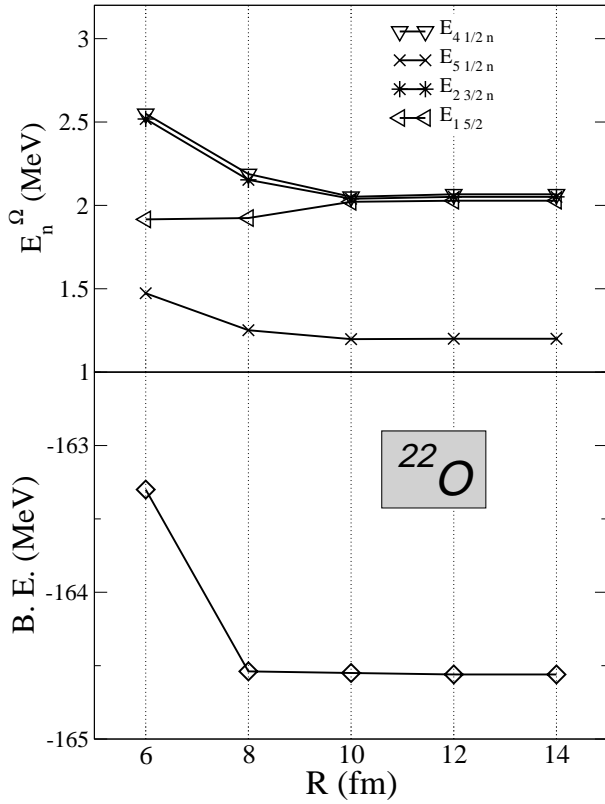


FIG. 2. Bottom: Total binding energy of  $^{22}\text{O}$  as a function of the box size  $R$ . Top: Quasiparticle energies for neutron states with large occupation probability ( $N_n$ ) as a function of  $R$ . The spline order used was  $M=9$ ,  $N_r=19$  grid points,  $\Omega_{max}=\frac{9}{2}$ , and cutoff energy  $\mathcal{E}_{max}=60$  MeV.

several observables as a function of the number of radial mesh points, for a fixed box size  $R=10$  fm. The binding energy, neutron Fermi level, and pairing gap for  $^{22}\text{O}$  reach their asymptotic values at about 18 grid points in radial direction. For the fixed  $(r,z)$  boundary conditions utilized in our work, the  $B$ -spline lattice points show a (slightly) non-linear distribution, with more points in the vicinity of the boundaries. In the central region, the grid spacing for 18 radial points is 0.75 fm. The choice of the spacing depends on the desired accuracy and the computational cost. In order to achieve an accuracy in the total binding energy of a few tens of keV a mesh spacing of 0.50–0.75 fm seems to be sufficient. Mesh spacings of 0.80–0.90 fm result in accuracies of a few hundred keV, while mesh spacings over 1.0 fm are not accurate enough for detailed predictions. Thus, the number of points is determined by two criteria: The box size is chosen to be approximately three times the classical mass radius, while the mesh spacing depends on the desired accuracy of the binding energy.

#### D. Projection of the angular momentum, $\Omega$

It has been mentioned in the formalism section that all observables can be expressed by sums over *positive*  $j_z$  quantum numbers  $\Omega>0$ . The maximum value  $\Omega_{max}$  increases, in general, with the number of protons and neutrons ( $Z,N$ ) and also depends on the nuclear deformation. There is no *a priori*

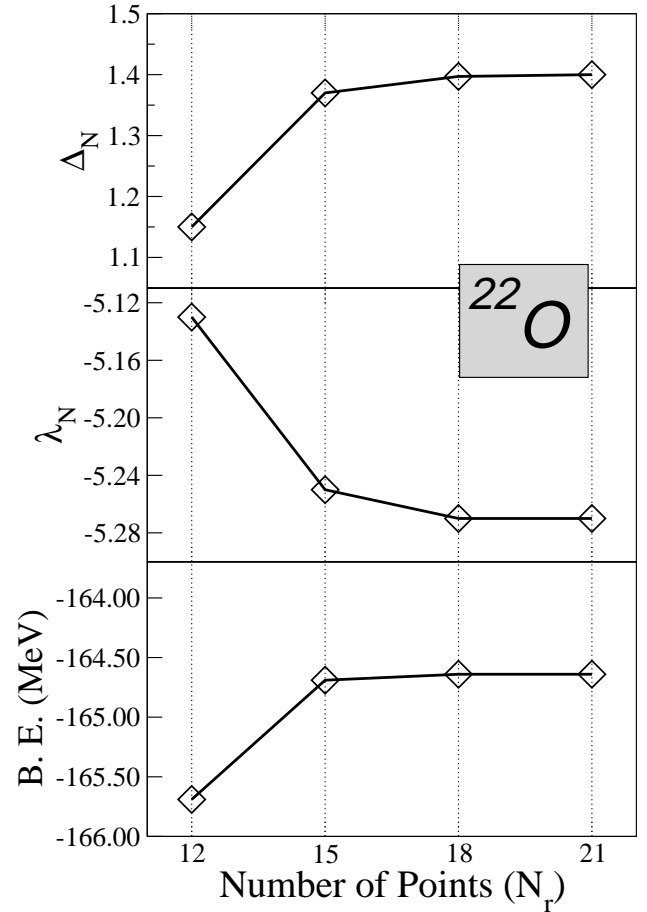


FIG. 3. Total binding energy, Fermi level, and pairing gap for neutrons in  $^{22}\text{O}$  vs number of mesh points in radial direction, for fixed box size  $R=10$  fm. The quantities  $\Omega_{max}$  and  $\mathcal{E}_{max}$  are the same as in Fig. 2.

criterion to fix  $\Omega_{max}$ ; this numerical parameter needs to be determined from test calculations in various mass regions. We have performed calculations for  $^{22}\text{O}$  using  $\Omega_{max}$  values from  $5/2$  to  $13/2$ . Figure 4 displays the results for the total binding energy, neutron Fermi energy, and neutron pairing gap. All three observables converge at  $\Omega=9/2$ .

The general procedure for determining  $\Omega_{max}$  is to start filling single-particle levels either in the spherical or deformed shell model. Because of fractional occupation of levels due to pairing, states above the Fermi level must be considered. In the case of  $^{150}\text{Sn}$  with 100 neutrons, without pairing the  $j=11/2$  level is the highest occupied angular momentum. In this case we have used  $\Omega_{max}=13/2$  because  $j=15/2$  contributes for particle numbers between 168–184. Similar considerations apply for  $^{102}\text{Zr}$  except that we examine the levels in the deformed shell-model. We also note that for a fixed  $\Omega$  the diagonalization of the HFB Hamiltonian on the lattice results in  $4N_r N_z$  eigenstates. Many of these originate from the substates of higher  $j$  values, for example, the  $\Omega=13/2$  substate of  $j=21/2$ .

## VI. RESULTS

In this section we present converged numerical results of our 2D-HFB code. Our main goal is to demonstrate the ac-



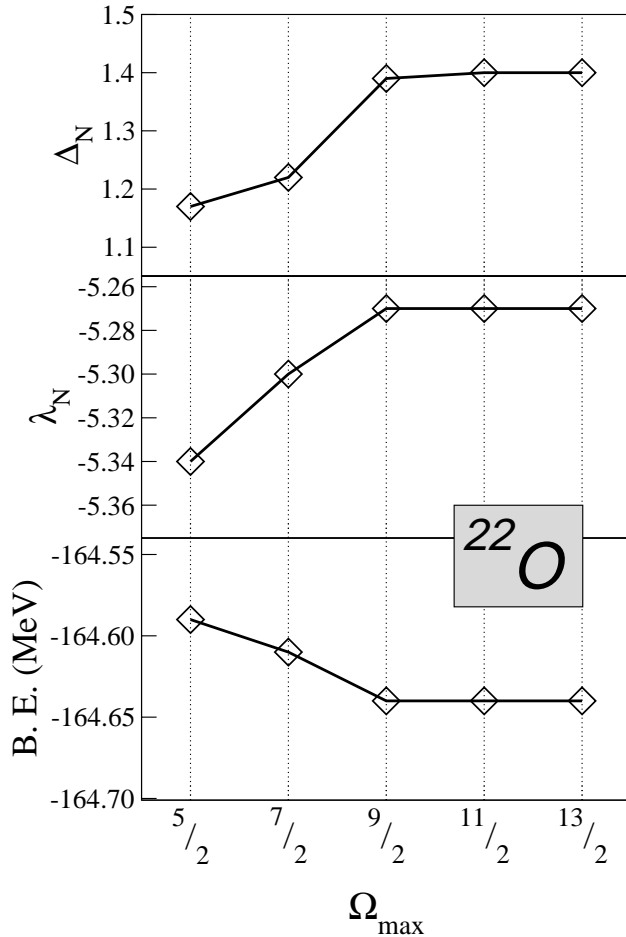


FIG. 4. Binding energy, neutron Fermi level, and average neutron pairing gap for  $^{22}\text{O}$  vs maximum angular momentum projection  $\Omega_{max}$ . Box size  $R = 10$  fm,  $N_r = 18$ , and an energy cutoff of 60 MeV were used.

curacy of our basis-spline expansion technique on a 2D coordinate lattice by comparison with the 1D coordinate space results of Dobaczewski *et al.* [8,24] for spherical nuclei. For this purpose we have chosen two very neutron-rich spherical nuclei: a light nucleus  $^{22}\text{O}$  with  $N/Z = 1.75$  and a heavy system  $^{150}\text{Sn}$  with  $N/Z = 2.0$ . Finally, we will also present results for a strongly deformed medium-heavy system  $^{102}\text{Zr}$  with  $N/Z = 1.55$ . This system was chosen because it allows us to compare our lattice results (which treat the continuum states accurately) to the “transformed harmonic oscillator” (THO) expansion technique recently developed by Stoitsov *et al.* [23]. In this framework, a local-scaling point transformation of the spherical harmonic oscillator is used to expand the quasiparticle wave functions in a set of bound single-particle wave functions.

#### A. Exotic spherical nuclei: $^{22}\text{O}$ and $^{150}\text{Sn}$

In Table I we compare our 2D HFB results for the spherical isotope  $^{22}\text{O}$  with the 1D radial HFB method of Ref. [7]. Corresponding results in the 2D THO basis with 20 oscillator shells are also given. All calculations were performed with the Skyrme SLy4 force in the  $p$ - $h$  channel and a pure  $\delta$

TABLE I. Calculations for  $^{22}\text{O}$  for HFB+SLy4. The axially symmetric calculations (2D) of this work used a box size  $R = 10$  fm with maximum  $\Omega = \frac{9}{2}$  and an energy cutoff of 60 MeV. The spherical calculation of Ref. [24] was made with  $R = 25$  fm and a  $j = 21/2$ . All calculations were made with a cutoff at 60 MeV.

|                     | 1D [24] | 2D (THO) [25] | 2D (this work) |
|---------------------|---------|---------------|----------------|
| B.E. (MeV)          | -164.60 | -164.52       | -164.64        |
| $\lambda_n$ (MeV)   | -5.26   | -5.27         | -5.27          |
| $\lambda_p$ (MeV)   | -18.88  | -18.85        | -18.16         |
| $\Delta_n$ (MeV)    | 1.42    | 1.41          | 1.40           |
| $\Delta_p$ (MeV)    | 0.00    | 0.00          | 0.00           |
| $R_{rms}$ (fm)      | 2.92    | 2.92          | 2.92           |
| $\beta_2$           |         | 0.00002       | 0.0008         |
| $E_{pair}(n)$ (MeV) | -2.85   | -2.78         | -2.75          |

interaction (strength  $V_0 = -218.5$  MeV fm $^3$  for  $^{22}\text{O}$  and  $V_0 = -170.0$  MeV fm $^3$  for  $^{150}\text{Sn}$ ) in the  $p$ - $p$  channel, corresponding to volume pairing. The table lists several observables: the total binding energy (for comparison, the experimental value is -162.03 MeV), the Fermi level for protons and neutrons, the neutron energy gap (for protons, the gap is exactly zero in all three calculations), the rms mass radius, and the charge quadrupole deformation (note that both 2D calculations predict essentially zero deformation). Overall, the results of the axially symmetric code of the present work agree with the other two calculations in all the observables. The binding energy predicted by our 2D-lattice code is very close (within 40 keV) to the 1D lattice result, while the THO method result differs by 80 keV. The difference in the Fermi level for protons is due to different conventions in choosing this energy for magic numbers. We choose the Fermi energy to be the midpoint of the energy of the last occupied level and the first unoccupied level. We now present results for the tin isotope  $^{150}\text{Sn}$ , a heavy nucleus far away from the valley of  $\beta$  stability which is close to the two-neutron drip line. Table II gives a comparison of our 2D results (which predict a very small charge quadrupole deformation  $\beta_2 = 0.01$ ) with

TABLE II. Comparison of calculations for spherical nucleus  $^{150}\text{Sn}$  with HFB+SLy4. The 1D calculations were made by Ref. [24], using a box size  $R = 30$  fm and a linear spacing of points of 0.25 fm, with  $j_{max} = 21/2$ . Calculations by the axially symmetric HFB 2D code were made using a box size  $R = 20$  fm with  $N_r = 23$ , maximum  $\Omega = 13/2$ . In both calculations the pairing strength  $V_0$  was set to  $-170.0$  MeV fm $^3$ , and the energy cutoff to 60 MeV.

|                     | 1D      | 2D      |
|---------------------|---------|---------|
| B.E. (MeV)          | -1129.0 | -1129.6 |
| $\lambda_n$ (MeV)   | -0.96   | -0.94   |
| $\lambda_p$ (MeV)   | -17.54  | -17.69  |
| $\Delta_n$ (MeV)    | 1.02    | 1.00    |
| $\Delta_p$ (MeV)    | 0.00    | 0.02    |
| $R_{rms}$ (fm)      | 5.12    | 5.13    |
| $\beta_2$           |         | 0.01    |
| $E_{pair}(n)$ (MeV) | -10.452 | -10.057 |

TABLE III. Comparison of calculations HFB+SLy4 for  $^{102}\text{Zr}$  with two different methods in the axial symmetry. The configuration space calculations (THO) were made by Ref. [25] with 20 oscillator shells and pairing strength of  $-187.10 \text{ MeV fm}^3$ . Calculations by the coordinate space HFB 2-D code were made using a box size  $R=12 \text{ fm}$  with  $N_r=19$ , maximum  $\Omega=11/2$ ,  $V_0=-170.0 \text{ MeV fm}^3$  and the energy cutoff of  $60 \text{ MeV}$ .

|                   | 2D (THO) | 2D (this work) |
|-------------------|----------|----------------|
| B.E. (MeV)        | -859.40  | -859.61        |
| $\lambda_n$ (MeV) | -5.42    | -5.46          |
| $\lambda_p$ (MeV) | -12.10   | -12.08         |
| $\Delta_n$ (MeV)  | 0.56     | 0.31           |
| $\Delta_p$ (MeV)  | 0.62     | 0.34           |
| $R_{rms}$ (fm)    | 4.58     | 4.58           |
| $\beta_2$         | 0.429    | 0.431          |

Dobaczewski's 1D radial HFB calculations [24]. The box size used in the axially symmetric calculations was  $20 \text{ fm}$  in  $r$  direction and  $40 \text{ fm}$  in the  $z$  axis, whereas the 1D code had a  $30\text{-fm}$  radial box. Also, the density of points has a different meaning in the radial code, since it uses a different grid than the one used in the  $B$ -splines technique for our 2D code. For these calculations the resulting mesh spacing in the 1D code was  $0.25 \text{ fm}$ , whereas the maximum mesh spacing in the 2D one was  $1.1 \text{ fm}$ . In the 2D calculations an approximately  $3000 \times 3000$  matrix was diagonalized for each  $\Omega$  and isospin value, and for each major HFB iteration. The full calculation required about 30 HFB iterations. Like in the oxygen isotope, the agreement is very good; a possible source of small discrepancies is the fact that our 2D code yields  $\beta_2=0.01$ , whereas the 1D code *assumes* an exactly spherical shape. Table II also contains another interesting piece of information on  $^{150}\text{Sn}$ : the neutron Fermi level  $\lambda_n$  is located less than  $1 \text{ MeV}$  below the continuum, which shows the proximity of this nucleus to the two-neutron drip line.

### B. Deformed neutron-rich nucleus: $^{102}\text{Zr}$

Our main motivation for developing an axially symmetric code is to perform highly accurate calculations for deformed nuclei, including the continuum states. The zirconium isotope  $^{102}\text{Zr}$  is a heavy nucleus with strong prolate quadrupole deformation in its ground state. Its neutron to proton ratio of  $N/Z=1.55$  places it into the neutron-rich domain, although it is likely far away from the neutron drip line (in the 1D spherical HFB calculations [26], using the SkP interaction [7], the last bound nucleus in the chain is predicted to be  $^{136}\text{Zr}$ ). We have chosen this isotope primarily because our results can be compared to the stretched harmonic oscillator expansion (THO) method mentioned above which does not involve any continuum states.

In Table III we present the results of our 2D HFB calculations in coordinate space with the results obtained by the THO method. A comparison of the total binding energy of the system in both methods shows a difference of about  $210 \text{ keV}$ , which can be considered small in comparison to the absolute value of the energy (the experimental binding

energy value is  $-863.7 \text{ MeV}$ ). The pairing strength parameter  $V_0$  used in each calculation also makes a difference. In THO approach this parameter is adjusted to reproduce the coordinate space 1D spherical results for a given number of oscillator shells. Other observables (Fermi levels, rms mass radius, and charge deformation  $\beta_2$ ) agree quite well, also. However, we find differences in the energy gap values ( $\Delta_n, \Delta_p$ ); these may be attributed to the different density of states used in the two methods [see Eq. (2.25)] or to the extrapolation of the oscillator parameter in the THO approach to deformed systems.

## VII. CONCLUSIONS

In this paper, we have solved for the first time the HFB continuum problem in coordinate space for deformed nuclei in two spatial dimensions without any approximations. The novel feature of our new HFB code is that it takes into account high-energy continuum states with an equivalent single-particle energy of  $60 \text{ MeV}$  or more. In the past, this has only been possible in 1D calculations for spherical nuclei [8]. Current 3D HFB codes in coordinate space, e.g., Ref. [27], utilize an expansion of the quasiparticle wave functions in a truncated HF basis which is limited to continuum states up to about  $5 \text{ MeV}$  of excitation energy.

The Vanderbilt HFB code has been specifically designed to study ground state properties of deformed axially symmetric even-even nuclei near the neutron and proton drip lines. The large pairing correlations near the drip lines and the strong coupling to the continuum represent major challenges for the numerical solution. We have solved the HFB problem on a two-dimensional grid in cylindrical coordinates  $(r, z)$  using a basis-spline representation of wave functions and operators.  $B$ -splines are a generalization of the well-known finite element technique. By using  $B$ -splines of order  $M=9$  (corresponding to polynomials of up to eight order) we are able to represent derivative operators very accurately on a relatively coarse grid with a lattice spacing of about  $0.8 \text{ fm}$ . While our current 2D lattices are linear, a major advantage of the  $B$ -spline technique is that it can be extended to nonlinear lattices [18,21] which will be particularly useful for an accurate and efficient calculation of neutron skins in heavy nuclei.

In this work, we have used the Skyrme (SLy4) effective  $N$ - $N$  interaction in the  $p$ - $h$  channel, and a pure  $\delta$  interaction (corresponding to volume pairing) in the  $p$ - $p$  channel. We present results for binding energies, charge deformations, rms mass radii, pairing energies, Fermi levels, and pairing gaps.

We have investigated the numerical convergence of several observables as a function of lattice box size, grid spacing, angular momentum  $\Omega_{max}$ , and we have studied the sensitivity of the observables to the continuum cutoff. These test calculations were carried out for the neutron-rich isotope  $^{22}\text{O}$  with  $N/Z=1.75$  which is close to the drip line nucleus  $^{24}\text{O}$ .

Our HFB-2D code predicts a spherical shape for the neutron-rich nuclei  $^{22}\text{O}$  and  $^{150}\text{Sn}$ . In this case, our calculations can be compared with the 1D radial HFB results of Dobaczewski *et al.* [8], and indeed there is good agreement

TABLE IV. Skyrme force parameters. Values for new parameters,  $b_0, b'_0, b_1, b'_1, b_2, b'_2, b_3, b'_3, b_4,$  and  $b'_4$ , used in Ref. [17], have been calculated using relations (A1) and (A2), which relate the old parametrization (Refs. [29,30]) to the new one. Numbers have been rounded to three decimal places.

| Force           | $b_0$     | $b'_0$    | $b_1$  | $b'_1$   | $b_2$    | $b'_2$    | $b_3$    | $b'_3$    | $b_4$   | $b'_4$   |
|-----------------|-----------|-----------|--------|----------|----------|-----------|----------|-----------|---------|----------|
| SkM* [30]       | -2764.025 | -1560.55  | 68.75  | 68.125   | 170.625  | 68.437    | 3898.75  | 1949.375  | 65.0    | 65.0     |
| $Z_\sigma$ [34] | -3145.945 | -3316.251 | 64.495 | 58.315   | 148.877  | 61.405    | 5577.823 | 6707.621  | 61.845  | 61.845   |
| SkT6 [33]       | -2145.863 | -1600.426 | 0.0    | 0.0      | 110.25   | 0.0       | 4005.312 | 3204.25   | 53.5    | 53.5     |
| SLy4 [31]       | -3526.790 | -3320.210 | 32.484 | -49.289  | 185.325  | 62.665    | 5776.007 | 6385.639  | 61.5    | 61.5     |
| SkI1 [32]       | 1000.310  | 869.809   | 32.354 | -49.803  | -432.059 | -1136.719 | 580.693  | -2810.714 | 62.13   | 62.13    |
| SkI3 [32]       | -2034.628 | -1424.936 | 32.301 | -127.914 | 100.074  | -124.799  | 3336.309 | 3632.793  | 94.254  | 0.0      |
| SkI4 [32]       | -2231.708 | -1679.676 | 32.271 | -75.310  | -121.462 | -528.369  | 3814.977 | 3991.101  | 183.097 | -180.351 |
| SkP [7]         | -3359.948 | -2322.346 | 44.642 | 89.284   | 190.343  | 140.223   | 5100.600 | 3185.341  | 50.0    | 50.0     |
| SkO [17]        | -1882.032 | -608.585  | 22.537 | 15.075   | -72.754  | -358.023  | 2660.027 | 237.585   | 176.578 | -198.749 |
| SkO' [17]       | -2068.449 | -987.770  | 19.156 | 8.312    | 41.250   | -128.648  | 3132.384 | 1192.344  | 143.895 | -82.889  |

between the two. We also present results for a strongly deformed system,  $^{102}\text{Zr}$ , in which case we present a comparison with the stretched oscillator expansion method of Stoitsov *et al.* [23,25].

We have implemented our code on an IBM-SP massively parallel supercomputer. Parallelization is possible for different angular momentum states  $\Omega$  and isospins ( $p/n$ ). We will also study alternative numerical techniques; in particular, damping methods that we have utilized for solving the Dirac equation on a 3D lattice [20].

In the near future, we plan to investigate several isotope chains, with particular concentration on deformed nuclei. We also plan to study a variety of Skyrme parametrizations for the mean field, and both volume and surface pairing. As more data from existing RIB facilities become available, it is likely that it will become necessary to develop new effective  $N$ - $N$  interactions to describe these exotic nuclei. Furthermore, our 2D HFB ground state wave functions can be used as input into coordinate-space based quasiparticle random-phase approximation (QRPA) calculations [28] to investigate collective excited states of nuclei near the drip lines.

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#### APPENDIX: SKYRME PARAMETRIZATION

The (density dependent) two-body effective  $N$ - $N$  interaction is given by

$$v_{12}^{(2)} = t_0(1 + x_0 \hat{P}_\sigma) \delta(\mathbf{r}_1 - \mathbf{r}_2) + \frac{1}{2} t_1(1 + x_1 \hat{P}_\sigma) \times \{ \delta(\mathbf{r}_1 - \mathbf{r}_2) \hat{k}^2 + \hat{k}'^2 \delta(\mathbf{r}_1 - \mathbf{r}_2) \}$$

$$+ t_2(1 + x_2 \hat{P}_\sigma) \hat{\mathbf{k}}' \cdot \delta(\mathbf{r}_1 - \mathbf{r}_2) \hat{\mathbf{k}} + \frac{1}{6} t_3(1 + x_3 \hat{P}_\sigma)$$

$$\times \rho^\alpha \delta(\mathbf{r}_1 - \mathbf{r}_2) + i W_0(\hat{\sigma}_1 + \hat{\sigma}_2) \{ \hat{\mathbf{k}}' \times \delta(\mathbf{r}_1 - \mathbf{r}_2) \hat{\mathbf{k}} \},$$

$\hat{P}_\sigma$  being the exchange operator, and  $\hat{\mathbf{k}}, \hat{\mathbf{k}}'$  relative momentum operators. This form of the interaction with parameters  $x_0, x_1, x_2, x_3, t_0, t_1, t_2, t_3, t_4$  has been changed to an equivalent one with  $b_1, b'_1, b_2, b'_2, b_3, b'_3, b_4, b'_4$  parameters [17]. This is done through the transformation

$$\begin{pmatrix} t_1 \\ t_1 x_1 \\ t_2 \\ t_2 x_2 \end{pmatrix} = \begin{pmatrix} 4/3 & 8/3 & -2/3 & -4/3 \\ -2/3 & -4/3 & 4/3 & 8/3 \\ 4 & -8/3 & 2 & -4/3 \\ -2 & 4/3 & -4 & 8/3 \end{pmatrix} \begin{pmatrix} b_1 \\ b_2 \\ b'_1 \\ b'_2 \end{pmatrix}, \quad (\text{A1})$$

and

$$t_0 = \frac{4}{3} b_0 - \frac{2}{3} b'_0,$$

$$t_0 x_0 = -\frac{2}{3} b_0 + \frac{4}{3} b'_0,$$

$$t_3 = \frac{16}{3} b_3 - \frac{8}{3} b'_3,$$

$$t_3 x_3 = -\frac{8}{3} b_3 + \frac{16}{3} b'_3,$$

$$t_4 = 2b_4 = 2b'_4. \quad (\text{A2})$$

The last equation only holds for certain forces, as shown in Table IV. For forces like SKI and SKO,  $b_4$  and  $b'_4$  get different values.

#### 1. Energy density

Calculation of the energy expectation value for an arbitrary interaction involves carrying out an integration over six dimensions in coordinate space. One of the primary advantages of an interaction that contains a  $\delta$  function, like the

Skyrme one, is that the evaluation of such integral becomes substantially simplified, and it is reduced to a three-dimensional evaluation

$$E = \langle \Phi | H | \Phi \rangle = \int d^3r \mathcal{H}(\mathbf{r}). \quad (\text{A3})$$

The Hamiltonian density  $\mathcal{H}(\mathbf{r})$  is composed of several terms,

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{LS} + \mathcal{H}_C. \quad (\text{A4})$$

The kinetic energy and some of the density dependent terms in the Skyrme interaction are included in

$$\begin{aligned} \mathcal{H}_0 = & \frac{\hbar^2}{2m} \tau + \frac{b_0}{2} \rho^2 - \frac{b'_0}{2} \sum_q \rho_q^2 + \frac{b_3}{3} \rho^{\alpha+2} - \frac{b'_3}{3} \rho^\alpha \sum_q \rho_q^2 \\ & + b_1(\rho\tau - j^2) - b'_1 \sum_q (\rho_q \tau_q - j_q^2) - \frac{b_2}{2} \rho \nabla^2 \rho \\ & + \frac{b'_2}{2} \sum_q \rho_q \nabla^2 \rho_q. \end{aligned} \quad (\text{A5})$$

The current densities ( $\mathbf{j}$ ,  $\mathbf{j}_q$ ) appearing in this term are identically zero for time independent states. The finite range spin-orbit terms have the form

$$\mathcal{H}_{LS} = -b_4 \rho \nabla \cdot \mathbf{J} - b'_4 \sum_q \rho_q (\nabla \cdot \mathbf{J}_q). \quad (\text{A6})$$

The Coulomb term contains an integral over the proton density as well as the Slater exchange term,

$$\mathcal{H}_C = \frac{e^2}{2} \int d^3r' \rho_p(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \rho_p(\mathbf{r}') - \frac{3}{4} e^2 \left( \frac{3}{\pi} \right)^{1/3} [\rho_p(\mathbf{r})]^{4/3}. \quad (\text{A7})$$

## 2. Single particle Hamiltonian

The Hartree-Fock Hamiltonian using the Skyrme effective interaction can be written as (assuming time-reversal invariance)

$$h_q = -\nabla \cdot \frac{\hbar^2}{2m_q^*} \nabla + U_q + U_C \delta_{q,p} - i \mathbf{B}_q (\nabla \times \sigma). \quad (\text{A8})$$

Several effective quantities appear in this equation. The effective mass is defined by

$$\frac{\hbar^2}{2m_q^*} = \frac{\hbar^2}{2m} + b_1 \rho - b'_1 \rho_q, \quad (\text{A9})$$

and the effective spin density

$$\mathbf{B}_q = b'_1 \mathbf{J}_q + b_4 \nabla \rho + b'_4 \nabla \rho_q. \quad (\text{A10})$$

The first term in Eq. (A10) is often ignored [31].

The effective nuclear potential for the Skyrme force is given by

$$\begin{aligned} U_q = & b_0 \rho - b'_0 \rho_q + b_1 \tau - b'_1 \tau_q + \frac{b_3}{3} (\alpha + 2) \rho^{\alpha+1} \\ & - \frac{b'_3}{3} \left[ \alpha \rho^{\alpha-1} \sum_q \rho_q^2 + 2 \rho^\alpha \rho_q \right] - b_4 \nabla \cdot \mathbf{J} - b'_4 \nabla \cdot \mathbf{J}_q \\ & + b'_2 \nabla^2 \rho_q - b_2 \nabla^2 \rho, \end{aligned} \quad (\text{A11})$$

and the Coulomb field is

$$U_C = e^2 \int d^3r' \frac{\rho_p(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} - e^2 \left( \frac{3}{\pi} \right)^{1/3} [\rho_p(\mathbf{r})]^{1/3}. \quad (\text{A12})$$

$\mathcal{B}_r$  and  $\mathcal{B}_z$  from Eqs. (3.13) for the spin-orbit part representation of the potential operator are given by

$$\mathcal{B}_r \equiv \mathbf{B}_q \cdot \mathbf{e}_r = \nabla_r (b_4 \rho + b'_4 \rho_q), \quad (\text{A13a})$$

$$\mathcal{B}_z \equiv \mathbf{B}_q \cdot \mathbf{e}_z = \nabla_z (b_4 \rho + b'_4 \rho_q), \quad (\text{A13b})$$

$b_4$  and  $b'_4$  values are shown in Table IV for different forces.

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