# Semiclassical character and optical model description of heavy ion scattering, direct reactions, and fusion at near-barrier energies

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An approach is proposed to calculate the direct reaction (DR) and fusion probabilities for heavy ion collisions at near-Coulomb-barrier energies as functions of the distance of the closest approach D within the framework of the optical model that introduces two types of imaginary potentials, DR and fusion. The probabilities are calculated by using partial DR and fusion cross sections, together with the classical relations associated with the Coulomb trajectory. Such an approach makes it possible to analyze the data for angular distributions of the inclusive DR cross section, facilitating the determination of the radius parameters of the imaginary DR potential in a less ambiguous manner. Simultaneous  $\chi^2$  analyses are performed of relevant data for the <sup>16</sup>O+<sup>208</sup>Pb system near the Coulomb-barrier energy.

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I. INTRODUCTION

Collisions between heavy ions at near-Coulomb-barrier energies are very much governed by the Coulomb potential involved, and thus the general features of the elastic scattering and direct reaction (DR) data can be understood based on the idea that the colliding ions primarily travel along a classical Coulomb trajectory [1]. These features are seen most dramatically in plots of the ratios of the elastic differential cross section  $(d\sigma_E/d\Omega)$  or the inclusive (sum of all different) DR one  $(d\sigma_D/d\Omega)$  to the Rutherford differential cross section  $(d\sigma_c/d\Omega)$ , i.e.,

$$P_{i} \equiv \frac{d\sigma_{i}}{d\Omega} \middle/ \frac{d\sigma_{c}}{d\Omega} \biggl| = \frac{d\sigma_{i}}{d\sigma_{c}} \biggr) \quad (i = E \text{ or } D), \qquad (1)$$

as a function of the distance of the closest approach D (or the reduced distance d) [1,2] that is related to the scattering angle  $\theta$  through

$$D = d(A_1^{1/3} + A_2^{1/3}) = \frac{1}{2} D_0 \left( 1 + \frac{1}{\sin(\theta/2)} \right)$$
  
with  $D_0 = \frac{Z_1 Z_2 e^2}{E_{cm}}$ . (2)

Here  $D_0$  is the distance of the closest approach in a head-on collision (*s* wave). Further,  $(A_1, Z_1)$  and  $(A_2, Z_2)$  are the mass and charge of the projectile and target ions, respectively, and  $E_{c.m.}$  ( $E_{lab}$ ) is the incident energy in the center-of-mass (laboratory) system.  $P_E$  and  $P_D$  thus defined may be called the elastic and DR probabilities, respectively. The impact parameter *b* and orbital angular momentum *l*, specifying the trajectory, are related to  $\theta$  and *D* by

$$b = \frac{l}{k} = \frac{D_0}{2} \cot \frac{\theta}{2} = \sqrt{D(D - D_0)},$$
 (3)

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where k is the wave number.

As an illustration, we show in Fig. 1 such plots of  $P_E$  and  $P_D$  for the  ${}^{16}\text{O}+{}^{208}\text{Pb}$  system [3,4] at five different incident energies of  $E_{lab}$ =80, 84, 90, 96, and 102 MeV for  $P_E$  and at a single energy of  $E_{lab}$ =90 MeV for  $P_D$ , where the data are available. As seen, the values of  $P_E$  at different energies line up to form a very narrow band and take a value very close to unity for, say, d > 1.65 fm ( $\equiv d_I$ , interaction distance). When d becomes smaller than  $d_I$ ,  $P_E$  falls off very rapidly, approximately exponentially.

The observed behavior of  $P_E$  may easily be explained based on the physical picture that the projectile ion moves primarily along a Coulomb trajectory. For the case  $d > d_I$ , the trajectory is far away from the target and the projectile is scattered at the Coulomb scattering angle  $\theta$  without being



FIG. 1. Experimental elastic probabilities  $P_E$  as a function of the reduced distance *d* for the <sup>16</sup>O+<sup>208</sup>Pb system at  $E_{lab}$ =80, 84, 90, 96, and 102 MeV. The measured DR probabilities  $P_D$  are also plotted at  $E_{lab}$ =90 MeV. The data are taken from Refs. [3,4].

influenced at all by the nuclear force. The resultant scattering cross section is thus equal to the Rutherford cross section, and  $P_E$  becomes unity. When *d* becomes smaller than  $d_I$ , however, the incident ion gets under the influence of the strong nuclear interaction, and absorption takes place, reducing the  $P_E$  value below unity.

In accordance with the observed behavior of  $P_E$ ,  $P_D$ starts to have a significant value at  $d \approx d_I$ . It reaches its maximum value ( $P_D \approx 0.24$ ) at  $d \approx 1.58$  fm, where  $P_E$  becomes approximately 0.7. In the region of d= 1.58–1.65 fm, the sum  $P_E + P_D$  thus stays close to unity. This indicates that in that region, the main cause of absorption in the elastic channel is DR processes. When d becomes still smaller, the sum  $P_E + P_D$  falls off rapidly from unity, showing that absorption due to more complicated processes eventually leading to fusion takes place. It is remarkable that the sum  $P_E + P_D$  becomes extremely small, say,  $10^{-3}$  and thus essentially zero, at around  $d = d_c = 1.30$  fm, which corresponds to the radial distance for the s-wave Coulombbarrier top. This means that the incident flux is almost completely absorbed when it reaches that distance. It is worth noting that the same picture holds irrespective of the incident energy, so long as it is not far away from the Coulombbarrier energy.

Theoretically, we have a very well established optical model for evaluating  $P_E$ . This is not the case for  $P_D$ . There is a variety of theoretical methods proposed for calculating contributions from inelastic scattering and transfer reaction processes to  $P_D$  by means of either semiclassical or classical approximations [1]. It is, however, still a formidable task to carry out calculations including all possible processes to obtain a theoretical value of  $P_D$ . The aim of the present work is to propose a simple approach to calculate  $P_D$  within the framework of an optical model that introduces two types of the imaginary potentials; one for DR, the other fusion [5-7]. We propose to evaluate  $P_D$  from the partial absorptive DR cross sections generated from the optical model calculation. The underlying assumption is that even after the reaction (removal from the elastic channel) the projectile ion still moves along the Coulomb trajectory, being eventually emitted at the Coulomb scattering angle. Under the assumption, we may use the classical relation Eq. (3) to convert the partial wave cross section to  $P_D$ .

The conventional wisdom assumes [2] that

$$P_E + P_D + P_F \approx 1, \tag{4}$$

which expresses a simple physical idea that what is absorbed in the elastic channel at r=D goes into either DR or fusion channels. Since there is no measured angular distribution available for the fusion probability  $P_F$ , it has been impossible to test the above relation experimentally. However, it is possible to examine it by using the theoretical  $P_F$ , which we may generate from the partial wave fusion cross section as we calculate  $P_D$  described above.

In the present work, theoretical expressions for  $P_D$  and  $P_F$  are derived in Sec. II, where we also perform numerical calculations of  $P_D$  and  $P_F$  along with  $P_E$  and examine the validity of the relation Eq. (4). The feasibility of evaluating

 $P_D$  enables us to analyze the angular distribution of the inclusive DR cross section. This facilitates the determination of the DR part of the optical potential with less ambiguity. We shall demonstrate this also in Sec. II. In Sec. III, we repeat the simultaneous  $\chi^2$  analyses that we made several years ago [7] for the data on the  ${}^{16}\text{O}+{}^{208}\text{Pb}$  system shown in Fig. 1. The reanalyses are needed since the fusion data have been revised [8] after Ref. [7] was published. Section IV will then be devoted to our conclusions.

#### II. OPTICAL MODEL CALCULATIONS OF $P_D$ AND $P_F$

## A. Derivation of theoretical expressions for $P_D$ and $P_F$

In this section, we try to derive theoretical expressions for  $P_D$  and  $P_F$  within the framework of the optical model. We follow the approach proposed some time ago to calculate the total DR and fusion cross sections within the optical model by using imaginary, surface-type DR and volume-type fusion, potentials,  $W_D(r)$  and  $W_F(r)$ , respectively, [5–7]. The total DR and fusion cross sections are then calculated as [9,10]

$$\sigma_i = \frac{2}{\hbar v} \langle \chi^{(+)} | W_i(r) | \chi^{(+)} \rangle \quad (i = D \text{ or } F), \qquad (5)$$

where  $\chi^{(+)}$  is the usual distorted wave function that satisfies the Schrödinger equation with the full optical model potential U(r).  $\sigma_D$  and  $\sigma_F$  are thus calculated within the same framework as the differential elastic scattering cross section,  $d\sigma_E/d\Omega$ , is calculated. Such a unified description enables us to describe all different types of reactions on the same footing.

The basic ingredients for obtaining theoretical expressions for  $P_D$  and  $P_F$  are the partial wave cross sections,  $\sigma_{i;l}$ (i=D or F), which are obtained by simply expanding the cross sections, Eq. (5), into the partial wave components;  $\sigma_i = \Sigma_l \sigma_{i;l}$ .  $\sigma_{i;l}$  can explicitly be given as [9]

$$\sigma_{i;l} = \frac{\pi}{k^2} (2l+1) T_{i;l} \quad (i=D \text{ or } F), \tag{6}$$

where

$$\Gamma_{i;l} = \frac{8}{\hbar v} \int_0^\infty |\chi_l(r)|^2 W_i(r) dr.$$
(7)

In the above equation,  $\chi_l(r)$  is the partial distorted wave function and v is the relative velocity.

Equation (6) with Eq. (7) is still a quantum-mechanical expression, where l takes only integer values. In what follows, we introduce a few semiclassical approximations customarily used [1,2,11,12]. The first is to treat l as a continuous variable and to assume that

$$\frac{d\sigma_i(l)}{dl} = \sigma_{i;l} \,. \tag{8}$$

We then use the classical relation Eq. (3) that relates l to  $\theta$ . It is then straightforward to get

$$\frac{d\sigma_i(l)}{d\Omega} = \frac{1}{2\pi\sin\theta} \frac{dl}{d\theta} \frac{d\sigma_i(l)}{dl} = \frac{kD_0}{16\pi} \frac{1}{\cos(\theta/2)\sin^3(\theta/2)} \sigma_{i;l}.$$
(9)

Inserting further Eq. (6) into Eq. (9), and dividing the resultant expression by the Rutherford cross section  $\sigma_c$ , one finally obtains

$$P_{i} = \frac{2l+1}{kD_{0}} \tan(\theta/2) T_{i;l} \approx T_{i;l}.$$
 (10)

We used the approximation  $2l+1 \approx 2l$  to obtain the last expression.

In order that  $P_i$  can be a probability, it should satisfy

$$P_i \leqslant 1. \tag{11}$$

This requirement is indeed satisfied; in fact we have

$$P_D + P_F = T_{D;l} + T_{F;l} \equiv T_l = 1 - |S_l|^2,$$
(12)

where  $T_l$  is the transmission factor and  $S_l$  is the partial wave S matrix. Since both  $P_D$  and  $P_F$  are positive quantities, it is clear from the above relation that  $P_D$  and  $P_F$  should be less than unity. (Note that there is no reason that  $P_E$  should be smaller than unity. Quantum effects such as interference and diffraction, may cause the value to be greater than unity.) Now, for very small l values, we expect that  $P_D \rightarrow 0$ , hence

$$P_F \approx 1 - |S_l|^2 \to 1 \quad \text{for small} \quad l. \tag{13}$$

The last relation follows from the fact that for such a strong absorptive case as in heavy ion collisions,  $S_l$  becomes essentially zero for small *l*. Since  $P_E + P_D \rightarrow 0$  for small *l*,  $P_E + P_D + P_F \rightarrow 1$  as expected earlier in Eq. (4). In the Sec. II B we further study this point numerically.

In passing, we remark that the procedure we have proposed can also be used to reduce the quantum-mechanical Rutherford cross section to the classical one. As is well known, this reduction has been given by using a set of semiclassical approximations [11,12]. The quantum-mechanical Rutherford cross section has the well-known form

$$\frac{d\sigma_c}{d\Omega} = \left| \frac{1}{2ik} \sum_{l} (2l+1)(e^{2i\eta_l}-1)P_l(\theta) \right|^2, \quad (14)$$

where  $\eta_l$  is the Coulomb phase shift and  $P_l$  is the Legendre function. One of the approximations introduced in the reduction process is to ignore the term  $-(1/2ik)\Sigma_l(2l+1)P_l(\theta)$ . This term gives rise to a divergent contribution at extremely forward angles and we ignore it as is usually done (see Refs. [11,12]). We then integrate Eq. (14) over angles to obtain the total elastic cross section expressed as a sum of the partial cross sections, which is in turn converted to an integral over *l*. The resultant total elastic scattering cross section takes a very simple form, namely,

$$\sigma_c = \sum_{l} \sigma_{c;l} = \int \frac{d\sigma_c}{dl} dl \text{ with}$$
$$\frac{d\sigma_c}{dl} = \sigma_{c;l} = \frac{\pi}{k^2} (2l+1) \approx \frac{\pi}{k^2} (2l). \tag{15}$$

By inserting the last expression in Eq. (15) into Eq. (9), we obtain the Rutherford differential cross section.

It appears that the procedure used for reducing the quantum-mechanical Rutherford cross section to the classical one involves a contradictory element; we first integrate the differential cross section over angle, but then recover it from the partial wave cross sections we obtained as a result of the angle integration. However, the procedure can be justified. The quantum-mechanical cross section Eq. (14) is given as a coherent sum over l. As has been demonstrated in a number of semiclassical treatments of Eq. (14) [11,12], the dominant contribution to the differential cross section for a given scattering angle  $\theta$  comes from the partial waves around  $l = l_{\theta}$ , where  $l_{\theta}$  is related to  $\theta$  by Eq. (3). The contribution becomes  $\delta$ -function-like in the classical limit of  $\hbar \rightarrow 0$ . In the present procedure, we carry out an integration over  $\theta$  first, but from what has been discussed above, it is seen that the contribution from the angle  $\theta$  is stored into the partial wave cross section of  $l \approx l_{\theta}$ . It is thus justifiable to recover the differential cross section at an angle  $\theta$  from the partial wave cross section for  $l = l_{\theta}$ . This procedure is most justified when the scattering is closest to classical one.

#### **B.** Numerical Examples

Calculations of  $P_E$ ,  $P_D$ , and  $P_F$  are performed for the  ${}^{16}\text{O} + {}^{208}\text{Pb}$  system with incident energy  $E_{lab} = 90$  MeV, using the optical model potential as fixed in our previous study [7]. We present the results in Fig. 2, where the solid, (thick and thin) dotted, and (thick and thin) dashed curves are the calculated values of  $P_E$ ,  $P_D$  and  $P_F$ , respectively. The experimental data for  $P_E$  and  $P_D$  are also plotted as the solid and open circles, respectively. As seen, the calculated  $P_E$ reproduces the experimental  $P_E$  very well. This is not the case, however, for  $P_D$ ; the calculated  $P_D$  is shifted to the smaller d region by about 0.05 fm as compared to the experimental data, particularly in the region of  $d \ge 1.6$  fm. Thus, the comparison of the calculated  $P_D$  with the data provides an additional test of the parameters used in Ref. [7]. In fact, this shift means the radius parameter  $r_D = 1.50$  fm used in Ref. [7] is too small to describe the data. We thus repeated the calculation with a larger radius parameter of  $r_D$ = 1.55 fm. The calculated  $P_D$  thus obtained is plotted as the thin dotted curve shown in Fig. 2. The fit to the data is improved. It is worth noting that the recalculated  $P_D$  shifts toward the larger d region by 0.05 fm, the same amount as the increase of the radius parameter  $r_D$ . This shows that the experimental  $P_D$  provides a very sensitive test of the  $r_D$ value. Based on the result obtained above, we use the value  $r_D = 1.55$  fm in the  $\chi^2$  analysis discussed in the following section.



FIG. 2. Optical model predictions of elastic, DR, and fusion probabilities as a function of the reduced distance *d* for the <sup>16</sup>O + <sup>208</sup>Pb system at  $E_{lab}$ =90 MeV are shown in comparison with the experimental ones. The theoretical total probabilities are also shown. The thick dotted curve denotes  $P_D$  calculated with the dispersive optical potential determined in Ref. [7]. The thin dotted curve represents  $P_D$  calculated with the same potential but with modified  $r_D$  (=1.55 fm). The thick (thin) dashed curve denotes  $P_F$  calculated with  $a_F$ =0.25 fm ( $a_F$ =0.45 fm).

In the calculation of  $P_F$  shown in Fig. 2,  $r_F = 1.40$  fm has been used. Thus the  $P_F$  curve lies in much smaller *d* region than the  $P_D$ . We also observe that the slope of  $P_F$  is much steeper than that of  $P_D$ . This reflects the fact that the diffuseness parameter used for  $W_F(r;E)$  ( $a_F=0.25$  fm) is smaller than that of  $W_D(r;E)$  ( $a_D=0.45$  fm). To show the effects of the  $a_F$  value, we present as the thin dashed curve another  $P_F$  calculated with  $a_F=0.45$  fm. It is seen that the slope of  $P_F$  at large distances is almost the same as that of  $P_D$  with  $a_D=0.45$  fm.

Let us now turn to the sum  $P_E + P_D + P_F$  shown by the dash-dotted curve. As expected, it stays very close to unity, confirming that the relation Eq. (4) is fairly well satisfied, within the accuracy of, say, 20%. The sum shows some oscillations around unity, which may be ascribed to quantum interference effects. The oscillation is also visible in the experimental  $P_E$  values. Accumulation of more accurate data may enable us to test this explanation in a more detailed manner.

The  $P_E$  values calculated for the incident energies considered in Fig. 1 are presented in Fig. 3. The optical potential determined from the  $\chi^2$  analysis discussed in the following section has been used. Since we use such a potential as determined from the  $\chi^2$  fit, the calculated  $P_E$  fit the data given in Fig. 1 very well and thus they form a band very much similar to that seen in Fig. 1.

# III. $\chi^2$ ANALYSES

We have repeated simultaneous  $\chi^2$  analyses as in Ref. [7] for the elastic scattering, DR, and fusion data for the <sup>16</sup>O + <sup>208</sup>Pb system. This is motivated for two reasons: The first



FIG. 3. Results of calculations for elastic probabilities  $P_E$  as a function of the reduced distance *d* for the <sup>16</sup>O+<sup>208</sup>Pb system at  $E_{lab}$ =80, 84, 90, 96, and 102 MeV, using the final fully dispersive optical potential.

is that the fusion data have been revised [8], after Ref. [7] was published. The second is that we are now able to test the calculations against the data for  $P_D$ . As described in the preceding section, the value of the radius parameter  $r_D$  = 1.50 fm used in Ref. [7] is too small to explain the data. A better  $r_D$  value is  $r_D$ =1.55 fm. Other parameters must be fixed with this more appropriate value of  $r_D$ . As in Ref. [7], we utilize a dispersive-type optical potential [13]

$$U = U_C(r) - [V_0(r) + V(r;E) + iW(r;E)], \quad (16)$$

where  $U_C(r)$  is the Coulomb potential and  $V_0(r)$  is the energy-independent Hartree-Fock part of the potential, while V(r;E) + iW(r;E) is the polarization part of the potential [14,15] that originates from couplings to reaction channels. They are assumed to have volume-type fusion and surfacederivative-type DR parts. Explicitly,  $V_0(r)$ , V(r;E) and W(r;E) are given, respectively, by

$$V_0(r) = V_0 f(X_0), \tag{17}$$

$$V(r;E) = V_F(r;E) + V_D(r;E)$$
  
=  $V_F(E)f(X_F) + 4V_D(E)a_D \frac{df(X_D)}{dR_D}$ , (18)

$$W(r;E) = W_F(r;E) + W_D(r;E) = W_F(E)f(X_F) + 4W_D(E)a_D \frac{df(X_D)}{dR_D}, \quad (19)$$

where  $f(X_i) = [1 + \exp(X_i)]^{-1}$  with  $X_i = (r - R_i)/a_i$  (*i* = 0, *D* or *F*) is the usual Woods-Saxon function. Use is made of the values used in Ref. [7] for the parameters of the bare potential  $V_0(r)$ , and the geometrical parameters of V(r;E) and W(r;E) (except  $r_D$  as discussed above);  $V_0 = 60.4$  MeV,  $r_0 = 1.176$  fm,  $a_0 = 0.658$  fm,  $r_F = 1.40$  fm,  $a_F = 0.25$  fm,  $r_D = 1.55$  fm, and  $a_D = 0.45$  fm. Once the

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![](_page_4_Figure_1.jpeg)

FIG. 4. The Stelson plot of  $S_i = \sqrt{E_{cm}\sigma_i}$  for direct reaction (*i* = *D*, solid circles) and fusion (*i*=*F*, open circles) cross sections. The straight lines are drawn to show the extraction of the threshold energies. Thin lines connecting the circles are only to guide the eyes.

geometrical parameters are fixed, the dispersion relation is reduced to a relation for the strength parameters  $V_i(E)$  and  $W_i(E)$  (*i*=D and F). The relation now reads [13]

$$V_{i}(E) = V_{i}(E_{s}) + \frac{E - E_{s}}{\pi} P \int_{0}^{\infty} dE' \frac{W_{i}(E')}{(E' - E_{s})(E' - E)},$$
(20)

where P stands for the principal value and  $V_i(E_s)$  is the potential value at a reference energy point  $E = E_s$ .

As was done in Ref. [7], we approximate the *E* dependence of  $W_i(E)$  just above the threshold energy  $E_{0;i}$  [defined as  $W_i(E_{0;i})=0$ ] by a linear function of *E*. [See the forthcoming Eqs. (22) and (23).] We then identify this threshold energy as that determined from the linear representation of the quantity  $S_i(E)$  introduced by Stelson *et al.* [16] as

$$S_i \equiv \sqrt{E\sigma_i(E)} \propto (E - E_{0;i}) \quad (i = D \text{ or } F).$$
(21)

The threshold energies  $E_{0;i}$  thus defined are essentially the threshold energies of the DR (i=D) and fusion (i=F) cross sections, and it is plausible to identify the two threshold energies to be the same. The authors of Ref. [16] considered the quantity  $S_i$  only for the i=F case, but we extend it to DR. Originally, two threshold phenomena in the imaginary part of the optical potential and the fusion cross section data were found independently, but it was noticed later [17] that the two are very close to one another. Once we have separated the imaginary potential into the DR and fusion parts, it is physically plausible to require that the two thresholds should be the same. In Fig. 4, we present the  $S_i$  values for i=D and F. There we find that  $E_{0;D}=73.0$  MeV and  $E_{0;F}=76.0$  MeV, which will be used later as the threshold energies of  $W_D(E)$  and  $W_F(E)$ , respectively.

![](_page_4_Figure_10.jpeg)

FIG. 5. Strength parameters  $V_D(E)$  and  $W_D(E)$  for the direct reaction potential as functions of *E*. The open and solid circles are the values extracted from the  $\chi^2$  analyses. The solid lines denote  $W_D(E)$  and  $V_D(E)$  calculated, respectively, from Eq. (22) and from Eq. (20) together with Eq. (22). The thin lines connecting the circles are only to guide the eyes.

In an attempt to determine the polarization potential, simultaneous  $\chi^2$  analyses were performed, treating all four strength parameters  $V_D$ ,  $V_F$ ,  $W_D$ , and  $W_F$  as the adjustable parameters. We took into account all the data [3,4] available for incident energies between  $E_{lab}$ =80 MeV and 104 MeV. We included the total DR and fusion cross sections in the analyses.

The values of the parameters thus extracted are presented in Fig. 5 for  $V_D$  and  $W_D$  and in Fig. 6 for  $V_F$  and  $W_F$ . Let us consider first the results for  $V_D$  and  $W_D$ . A considerable fluctuation is seen in the values of  $V_D$ , but  $W_D$  changes smoothly as a function of E. The fact that  $W_D$  could be fixed as a smooth function of E indicates that these values are reliable. There is a reason that  $W_D$  can be determined rather unambiguously and becomes a smooth function of E. It is because  $W_D$  is the dominant absorptive term in the peripheral region. Therefore, the elastic scattering cross section is quite sensitive to the value of  $W_D$ . This is not the case for  $V_D$ ; at the strong absorption radius, where the elastic scattering cross section is sensitive to the real potential,  $V_D$  is generally much smaller than the bare potential  $V_0(r)$ , resulting in some difficulty in determining  $V_D$  unambiguously. The fluctuation seen in Fig. 5(a) may be understood to arise from this difficulty.

The  $W_D$  values determined from the  $\chi^2$  analyses can be well represented by the following function of *E* (in units of MeV):

$$W_D(E) = \begin{cases} 0 & \text{for } E \leq 73.0 \\ 0.015(E - 73.0) & \text{for } 73.0 < E \leq 92.5 \\ 0.2925 & \text{for } E > 92.5, \end{cases}$$
(22)

![](_page_5_Figure_1.jpeg)

FIG. 6. Strength parameters  $V_F(E)$  and  $W_F(E)$  for the fusion potential as functions of *E*. The open and solid circles are the values extracted from the  $\chi^2$  analyses. The solid lines denote  $W_F(E)$  and  $V_F(E)$  calculated, respectively, with Eqs. (23) and (20). The thin lines are to guide the eyes.

where  $E_{0;D} = 73.0$  MeV is used as extracted in Fig. 4. The solid line shown in Fig. 5(b) is  $W_D$  given by Eq. (22). The line fits the empirical values quite well.

Since a reliable value of  $W_D$  is now available, one can calculate  $V_D$  by using the dispersion relation Eq. (20). In doing this, we need to know one more parameter, i.e., the value of  $V_D$  at  $E = E_s$ . We may fix this  $V_D(E_s)$  by fitting the average of the resultant  $V_D$  to that of the empirically determined  $V_D$ . The solid curve shown in Fig. 5(a) shows the  $V_D$ values thus calculated. The  $V_D(E_s)$  value used is  $V_D(E_s)$ = 0.4 MeV at  $E_s$ =92.5 MeV.

As seen in Fig. 6,  $V_F$  and  $W_F$  are both determined as fairly smooth functions of *E*. The  $W_F$  values may be represented (in units of MeV) as

$$W_F(E) = \begin{cases} 0 & \text{for } E \leq 76.0 \\ 0.32(E - 76.0) & \text{for } 76.0 < E \leq 86.0 \\ 3.2 & \text{for } E > 86.0. \end{cases}$$
(23)

Again we took the threshold energy of  $E_{0;F}$ =76.0 MeV determined from  $S_F$ . The solid line shown in Fig. 6(b) represents  $W_F$  in Eq. (23). We then calculated the  $V_F(E)$  by using the dispersion relation Eq. (20) with  $W_F$  given by Eq. (23). The reference potential  $V_F(E_s)$  involved was chosen as  $V_F(E_s)$ =3.50 MeV at  $E_s$ =86.0 MeV. As shown by the solid curve in Fig. 6(a), the predicted  $V_F$  values again agree reasonably well with the empirically determined values.

We take as our final potential parameters  $W_D$  and  $W_F$ given, respectively, by Eqs. (22) and (23), and also  $V_D$  and  $V_F$  generated from the dispersion relation Eq. (20). The potential with such parameters then fully satisfies the dispersion relation. Using such an optical potential, we calculated the final theoretical  $P_E$ ,  $\sigma_D$ , and  $\sigma_F$  and presented them in

![](_page_5_Figure_10.jpeg)

FIG. 7. Ratios of the elastic scattering cross sections to Rutherford cross sections calculated with our final optical potential for the  ${}^{16}\text{O}+{}^{208}\text{Pb}$  system are shown in comparison with the experimental data. The data are taken from Refs. [3,4].

Figs. 7 and 8 in comparison with the experimental data. As seen, all experimental  $P_E$ ,  $\sigma_D$ , and  $\sigma_F$  are well reproduced by the calculations.

We now wish to make some remarks on the polarization potential we have obtained. First, there is a remarkable difference in the the energy dependences between the DR and fusion potentials. A very rapid change is seen only in the fusion part of the potential. The slope of  $W_F(E)$  given by Eq. (23) in the threshold region is 0.32, while that in  $W_D(E)$ given by Eq. (22) is only 0.015. As a result, we see a significant energy variation of about 2 MeV in  $V_F(E)$  in the inter-

![](_page_5_Figure_14.jpeg)

FIG. 8. Direct reaction and fusion cross sections calculated with our final optical potential for the  ${}^{16}O+{}^{208}Pb$  system are shown in comparison with the experimental data. The direct reaction data are taken from Refs. [3,4], while the fusion ones are from Ref. [8].

val of  $\sim 10$  MeV, but the change in  $V_D$  is only 0.1 MeV in the energy range of  $\sim 20$  MeV. We may thus conclude that the threshold anomaly exists in the fusion part of the potential, but not in the DR part.

## **IV. CONCLUDING REMARKS**

We have presented a simple method to calculate the DR and fusion probabilities within the optical model by introducing two types of imaginary potentials, DR and fusion. These probabilities are calculated by using the partial DR and fusion cross sections generated from the corresponding imaginary potentials with the help of the classical relation between the orbital angular momentum l and the scattering angle  $\theta$ . The probabilities thus calculated were shown to satisfy the condition that the value should be equal to or less than unity.

Based on the expressions derived, numerical calculations of these probability were performed. We found that the sum of the DR, fusion and elastic probabilities stays close to unity. We also analyzed the angular distribution data of the

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inclusive DR cross section, demonstrating that the data provide some useful information for determining the radius parameters of the DR potential. It was observed that a very rapid energy variation (threshold anomaly) was in the fusion part of the potential, but it is hardly seen in the DR part, particularly in the real part of the potential.

Simultaneous  $\chi^2$  analyses of elastic scattering, DR and fusion cross sections for the  ${}^{16}\text{O}+{}^{208}\text{Pb}$  system at nearbarrier energies were performed for determining the polarization part of the optical potential that satisfies the dispersion relation over all space. The potential thus determined is found to reproduce the data well.

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