Final state interaction effects in μ -capture induced two-body decay of ³He

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The μ -capture process on ³He leading to a neutron, a deuteron, and a μ -neutrino in the final state is studied. Three-nucleon Faddeev wave functions for the initial ³He bound and the final neutron-deuteron scattering states are calculated using the Bonn B and Paris nucleon-nucleon potentials. The nuclear weak current operator is restricted to the impulse approximation. Large effects on the decay rates of the final state interaction are found. The comparison to recent experimental data shows that the inclusion of final state interactions drastically improves the description of the data. [S0556-2813(99)04805-0]

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I. INTRODUCTION

Recently considerable progress has been achieved in the calculations of three nucleon (3N) continuum states. It is now possible to generate exact 3N bound [1] and scattering [2] states for any realistic nucleon-nucleon interaction even with inclusion of a three-nucleon force (3NF). This opens now the possibility to study the interaction of electromagnetic and/or weak probes with the 3N systems (³He or ³H nuclei) without introducing into the analysis the uncertainties due to inadequate approximate 3N states. Only using such exact states nuclear dynamics can be tested with such probes and important information can be gained on the corresponding hadronic current operators.

Elastic and inelastic electron scattering on ³He (³H) as well as photodisintegration of ³He or *pd* capture are prominent examples of such processes and have been studied since many years with the hope to get insights into 3N bound state wave functions and into the hadronic current operator. As was shown in a series of recent papers on electromagnetic processes [3–5] a very important and unavoidable ingredient for their analysis is the exact treatment of the interaction among the three nucleons in the continuum states. It is also important, that the three-nucleon wave functions should be based on realistic nuclear forces. In this paper we would like to study the importance of final state interactions (FSI) between the nucleons in muon capture on ³He.

There are three final channels following the capture of a negative muon on 3 He:

$$\mu^{-} + {}^{3}\text{He} \rightarrow {}^{3}\text{H} + \nu_{\mu},$$

$$\mu^{-} + {}^{3}\text{He} \rightarrow d + n + \nu_{\mu},$$

$$\mu^{-} + {}^{3}\text{He} \rightarrow p + n + n + \nu_{\mu}.$$
(1)

The capture rate for the ${}^{3}\text{H}\nu_{\mu}$ channel has been extensively studied using the elementary particle method and the impulse approximation [6,7]. When removing the nuclear uncertainties by using accurate three-body bound wave functions, this reaction offers the possibility to extract the induced pseudo-scalar coupling constant with nearly the same precision as from the capture process by a free proton [7].

The old calculations of the total decay rates for the $dn \nu_{\mu}$ (two-body breakup of ³He) and $pnn\nu_{\mu}$ (three-body breakup of ³He) channels performed in Ref. [6] using the impulse approximation and 3N bound and scattering states generated within the Amado model with separable ${}^{1}S_{0}$ and ${}^{3}S_{1}$ two-nucleon interactions showed that scattering effects are large. The resulting total rates were in agreement with some of the limited and rather inaccurate experimental results while they disagreed with others [6].

Recently the first measurement of energy spectra for deuteron and proton leaving ³He after nuclear muon capture leading to two-body and three-body breakup, respectively, has been reported [8,9]. The partial capture rates were compared in Ref. [9] to simple plane wave impulse approximation calculations yielding fair agreement with the measured proton energy spectrum but underpredicting the measured rate of deuteron production by a large factor. It is the aim of the present paper to study if the inclusion of FSI by using an exact *n*-*d* scattering state can account for this discrepancy. In Sec. II we describe our way to fully include FSI. In Sec. III predictions for decay rates obtained with two realistic *NN* interactions: Bonn B [10] and Paris [11] are shown and compared to the experimental values. We conclude in Sec. IV.

II. THEORETICAL FORMALISM

Figure 1 depicts the kinematics of the reaction. Our basis of the muon capture on ³He forms the more fundamental weak-interaction capture process on hydrogen: $\mu^- + p \rightarrow n + \nu_{\mu}$. The initial state

$$|i\rangle = |\Psi s_{\mu}\rangle |\Psi_{^{3}\text{He}}mP\rangle$$

is composed of the atomic *K*-shell muon wave function $|\Psi s_{\mu}\rangle$ with spin projection s_{μ} and the ³He state $|\Psi_{^{3}\text{He}}mP\rangle$ with spin projection *m* and four momentum *P*. We choose the lab system with $\vec{P} = 0$. The transition leads to the final state

$$|f\rangle = |\nu_{\mu}s_{\nu}\rangle |\Psi^{(-)}P'\rangle,$$

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FIG. 1. Kinematics for the $\mu^- + {}^{3}\text{He} \rightarrow n + d + \nu_{\mu}$ reaction.

where ν_{μ} is the four momentum of the neutrino and s_{ν} its spin projection. The state $|\Psi^{(-)}P'\rangle$ is the interacting neutron-deuteron state with overall four momentum P'.

The corresponding *S*-matrix element S_{fi} is given in first order perturbation theory and assuming the Fermi form for the interaction Lagrangian by

$$S_{fi} = i \int d^{4}x \langle f | \mathcal{L}(x) | i \rangle$$

$$= i(2\pi)^{4} \delta(P_{f} - P_{i}) \frac{G}{\sqrt{2}} \langle \Psi^{(-)}P' | I^{\lambda}(0) | \Psi_{^{3}\text{He}} mP \rangle$$

$$\times \langle \nu s_{\nu} | L^{\dagger}_{\lambda}(0) | \Psi s_{\mu} \rangle$$

$$\equiv i(2\pi)^{4} \delta(P_{f} - P_{i}) \frac{G}{\sqrt{2}} L_{\lambda} I^{\lambda}. \qquad (2)$$

The leptonic matrix element L_{λ} is known and is expressed in terms of the corresponding Dirac spinors for the neutrino $u(\vec{\nu}, s_{\nu})$ and the muon $u(\vec{\mu}, s_{\mu})$ by

$$L_{\lambda} = \frac{1}{(2\pi)^{3}} \bar{u}(\vec{\nu}, s_{\nu}) \gamma_{\lambda} (1 - \gamma_{5}) u(\vec{\mu}, s_{\mu}).$$
(3)

In the nuclear matrix element neither the current operator $I^{\lambda}(0)$ nor the nuclear wave functions are equally well under control. In the following we restrict ourselves to the impulse approximation (IA) and neglect the exchange current effects. The single nucleon current operator is parametrized in terms of weak-interaction form factors $g_i^{V,A}$ [12]

$$I^{\lambda}(0) = \frac{1}{(2\pi)^{3}} \sum_{s,s'} \sum_{\tau,\tau'} \int d\vec{p} d\vec{p}' a^{\dagger}(\vec{p},s,\tau) \bar{u}(\vec{p},s) \\ \times \{ (g_{1}^{V} - 2mg_{2}^{V}) \gamma^{\lambda} + g_{2}^{V}(p+p')^{\lambda} + g_{1}^{A} \gamma^{\lambda} \gamma^{5} \\ + g_{2}^{A} k^{\lambda} \gamma^{5} \} \tau_{-} u(\vec{p}',s') a(\vec{p}',s',\tau'),$$
(4)

where a, a^{\dagger} are standard nucleon creation and anihilation operators, τ_{-} is the isospin lowering operator, and $k \equiv p' - p$. For the nucleon weak form factors we use the standard values [13]

$$g_{1}^{V}(k^{2}) = \frac{1}{(1+k^{2}/0.71 \ [\text{GeV}]^{2})^{2}},$$
(5)
$$g_{1}^{A}(k^{2}) = \frac{-1.262}{(1+k^{2}/1.19 \ [\text{GeV}]^{2})^{2}},$$

$$2mg_{2}^{V}(k^{2}) = \frac{-3.7}{(1+k^{2}/0.71 \ [\text{GeV}]^{2})^{2}},$$

$$g_{2}^{A}(k^{2}) = \frac{-2mg_{1}^{A}(k^{2})}{k^{2}+m_{\pi}^{2}},$$

with $m_{\pi} = 138.13$ MeV.

Nuclear wave functions are generated by the nonrelativistic Schrödinger equation with realistic nuclear forces. Therefore to be consistent, the nuclear-current should also be chosen nonrelativistically. After a nonrelativistic reduction of Eq. (4) and introducing standard Jacobi momenta \vec{p}, \vec{q} one gets for I^{λ} defined in Eq. (2)

$$I^{\lambda} = \langle \Psi^{(-)} | i^{\lambda} | \Psi_{^{3}\text{He}} m \rangle, \qquad (6)$$

where the momentum space matrix elements of i^{λ} are

$$\langle \vec{p}\vec{q} | i^{\lambda}(\vec{Q}) | \vec{p}'\vec{q}' \rangle = \delta(\vec{p}' - \vec{p}) \delta \left[\vec{q}' - \left(\vec{q} - \frac{2}{3}\vec{Q} \right) \right] I^{\lambda}(\vec{q}, \vec{Q}),$$
$$I^{0}(\vec{q}, \vec{Q}) = \frac{3}{(2\pi)^{3}} \left\{ g_{1}^{V} + g_{1}^{A} \left(\frac{\vec{\sigma}\vec{\pi}}{m} - \frac{\vec{\sigma}\vec{\nu}}{2m} \right) \right\}, \tag{7}$$

$$\vec{l}(\vec{q},\vec{Q}) = \frac{3}{(2\pi)^3} \left\{ g_1^V \left(\frac{\vec{\pi}}{m} - \frac{\vec{\nu}}{2m} \right) - \frac{\nu}{2m} (g_1^V + g_2^V 2m) i(\vec{\sigma} \times \hat{\nu}) \right. \\ \left. + g_1^A \vec{\sigma} - g_2^A \frac{1}{2m} m_\mu \hat{\nu}(\vec{\sigma} \vec{\nu}) \right\},$$

with $\vec{\pi} \equiv \frac{2}{3}\vec{\nu} + \vec{q}$, $\vec{\nu} = -\vec{Q}$. The treatment of the final state follows Refs. [3,4]. For the convenience of the reader we repeat the most important steps.

The final scattering state $|\Psi^{(-)}\rangle = |\Psi^{(-)}_{nd}\rangle$ is decomposed into Faddeev components $|F_1\rangle$

$$|\Psi_{nd}^{(-)}\rangle = \frac{1}{\sqrt{3}}(1+P)|F_1\rangle,$$
 (8)

where P is a sum of cyclical and anticyclical permutations of three nucleons. The Faddeev component obeys the equation

$$|F_{1}\rangle = |\Phi_{nd}\rangle + G_{0}^{(-)}t_{1}^{(-)}P|F_{1}\rangle, \qquad (9)$$

and the state $|\Phi_{nd}\rangle$ describes the free relative motion of the final nucleon and the deuteron. Inserting Eqs. (8) and (9) into Eq. (6) yields

$$I^{\mu} = I^{\mu}_{\text{PWIAS}} + I^{\mu}_{\text{rescatt}}, \qquad (10)$$

where $I^{\mu}_{\rm PWIAS}$ (symmetrized plane wave impulse approximation) corresponds to the case that in Eq. (6) $\langle \Psi^{(-)} |$ is re-



FIG. 2. Kinematically allowed neutrino and deuteron energies.

placed by $1/\sqrt{3}\langle \Phi_{nd}|(1+P)$ and therefore no interactions between the outgoing nucleon and the deuteron are present. For the I^{μ}_{rescatt} term, which contains all rescattering, $\langle \Psi^{(-)}|$ is replaced by $1/\sqrt{3}\langle F_1|Pt_1G_0(1+P)$. The rescattering term can be written as [3,4]

$$I_{\text{rescatt}}^{\mu} \equiv \frac{1}{\sqrt{3}} \langle \Phi_{nd} | P | U^{\mu} \rangle, \qquad (11)$$

with

$$|U^{\mu}\rangle = t_1 G_0 (1+P) i^{\mu}(\vec{Q}) |\Psi_{^{3}\text{He}}\rangle + t_1 G_0 P |U^{\mu}\rangle.$$
(12)

This integral equation has the same integral kernel which one also finds in the 3N continuum [2] and the same numerical methods can be used to solve it for any NN interaction. We solve Eq. (12) in a partial wave decomposition and in momentum space. For details we refer to Refs. [2,14–16]. The decay rate follows from S_{fi} in a standard way [17] and for the capture process with an unpolarized initial state and without polarization of the outgoing particles, it is given by

$$d\Gamma = (2\pi)^2 \frac{1}{2} \frac{1}{2} \frac{(2m'\alpha)^3}{\pi} \int d\vec{\nu} d\vec{p}_d d\vec{p}_n \delta(P - \nu - p_d - p_n) \\ \times (2\pi)^8 \frac{G^2}{2} \sum_{\substack{m_{3_{\rm He}} \\ m_{s_{\mu}}}} \sum_{\substack{m_{s_{\nu}} \\ m_n, m_d}} |L_{\lambda} I^{\lambda}|^2$$
(13)

$$= 8 \pi^{2} (2 \pi)^{2} \frac{1}{2} \frac{1}{2} \frac{(2m' \alpha)^{3}}{\pi}$$

$$\times \int E_{\nu} m_{n} m_{d} dE_{\nu} dE_{d} (2 \pi)^{8} \frac{G^{2}}{2} \sum_{\substack{m_{3} \text{He} \\ m_{s_{\mu}}}} \sum_{\substack{m_{s_{\nu}} \\ m_{d}}} |L_{\lambda} I^{\lambda}|^{2},$$



FIG. 3. The decay rate $d^2\Gamma/dE_ddE_\nu$ at two values of the deuteron energy $E_d = 18$ MeV and $E_d = 29$ MeV. The dashed and dotted lines are the PWIAS predictions for the Bonn B and Paris potentials, respectively. The solid and dashed-dotted lines are the Bonn B and Paris potential predictions when in the final state all NN force components up to $j_{max} = 1$ are included.

with $P = (m_{\mu} + m_{^{3}\text{He}}\tilde{0})$ in the lab system and $m' = m_{\mu}m_{^{3}\text{He}}/m_{\mu} + m_{^{3}\text{He}}$. The factors in Eq. (13) come from averaging over the spin of the initial particles $(\frac{1}{2}\frac{1}{2})$ from the states normalizations $[(2\pi)^{2}(2\pi)^{8}]$, and from the muon wave function (which is taken as an atomic *K*-shell wave function) $[(2m'\alpha)^{3}/\pi]$, with $\alpha = 1/137.036$.

III. RESULTS AND COMPARISON WITH EXPERIMENTAL DATA

To calculate the decay rates one has to evaluate the nuclear matrix elements I^{λ} . The initial 3N bound state of ³He is always based on a 34 channel Faddeev calculation. In treating the 3N continuum we included all 3N partial waves with total NN angular momenta up to $j_{max}=2$. In order to check the convergence of the results also calculations restricted to 3N states with ${}^{1}S_{0} + {}^{3}S_{1} - {}^{3}D_{1}NN$ force compo-



FIG. 4. The convergence in j_{max} of the decay rate $d^2\Gamma/dE_d dE_\nu$ at two deuteron energies as in Fig. 3. The dotted, dashed, and solid lines correspond to ${}^1S_0 + {}^3S_1 - {}^3D_1$, $j_{\text{max}} = 1$, and $j_{\text{max}} = 2$ Bonn B calculations, respectively.

nents only and with $j_{\text{max}} = 1$ have been performed. As NN force we use the Bonn B [10] and Paris [11] potentials. Let us first consider the case of a kinematically complete situation in the outgoing *n*-*d* channel. Since the outgoing channel contains three particles and the decay originates from an initial state of zero momentum one needs only two kinematical parameters to completely define the momenta of the outgoing particles. For these two parameters the neutrino and deuteron energies E_{ν} and E_d can be taken. The kinematically allowed range of neutrino energies is $E_{\nu} \in [0, E_{\nu}^{\text{max}}]$ with

$$E_{\nu}^{\max} = \frac{(m_{\mu} + m_{^{3}\mathrm{He}})^{2} - (3m_{n} - |E_{D}|)^{2}}{2(m_{\mu} + m_{^{3}\mathrm{He}})}.$$
 (14)

For each neutrino energy there is a range of allowed deuteron energies as shown in Fig. 2. Each deuteron energy corresponds to a unique angle between neutrino and deuteron momenta. In Fig. 3 we show decay rates $d^2\Gamma/dE_d dE_v$ for two values of the deuteron energy. There is a drastic increase in



FIG. 5. The decay rate $d\Gamma/dE_d$ for the case when neutrino is not observed. For the description of lines see Fig. 3. The squares are experimental points from Refs. [8,9].

decay rates by a factor of ≈ 200 , when the interactions between the neutron and the deuteron in the final state are included. The increase is practically independent from the deuteron and neutrino energies. Figure 4 shows that the results obtained restricting to $j_{\text{max}}=2\text{NN}$ force components might be not yet fully converged. Nevertheless as is clear from Fig. 5 they are sufficient to analyze the existing data. The drastic effects of FSI show that any analysis of the experimental data should be based on realistic continuum wave functions.

Up to now only one data set for the μ capture on ³He leading to the $n+d+\nu_{\mu}$ outgoing channel exists [8,9]. In



FIG. 6. The convergence in j_{max} of the decay rate $d\Gamma/dE_d$. For the decription of lines see Fig. 4. The squares are experimental points as in Fig. 5.

order to compare our results to those data one has to numerically integrate the decay rate at every deuteron energy over the unobserved neutrino energy. This leads to the results presented in Fig. 5. It is cleary seen that while the PWIAS predictions drastically underestimate the data, the inclusion of FSI leads to a rather good agreement, which is fairly independent from the NN interaction used. Also the covergence in j_{max} is similar to the kinematically complete cases as can be seen in Fig. 6.

IV. SUMMARY AND CONCLUSIONS

We calculated the decay rates for muon capture on ³He leading to the $n+d+\nu_{\mu}$ channel. Realistic NN forces have been used (Bonn B and Paris potentials) and both the 3N bound state and the 3N continuum scattering wave functions were evaluated consistently solving the corresponding Faddeev equations. We used the most simple nonrelativistic single nucleon weak-current operator parametrized by the standard nucleon weak form factors. Both in the kinematically complete and the uncomplete situations the predictions

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of the plane-wave impulse approximation for the final neutron-deuteron state drastically differ by a factor of about ~ 200 from the full result including final state interaction. The comparison to the only existing uncomplete data for this process reveals, that a good description of the data only results when the FSI is taken into account. The total failure of PWIAS in describing those data shows that any future analysis of this process should be performed with bound and scattering states generated consistently from a realistic 3N Hamiltonian. From the theoretical point of view mesonic exchange currents should also be added in future analysis.

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