## Measurement and theoretical analysis of neutron elastic scattering and inelastic reactions leading to a three-body final state for <sup>6</sup>Li at 10 to 20 MeV

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The neutron elastic and inelastic scattering double-differential cross sections of <sup>6</sup>Li were measured at incident neutron energies of 11.5, 14.1, and 18.0 MeV. A phenomenological neutron optical model potential of <sup>6</sup>Li was constructed to describe the total and elastic scattering cross sections from 5 MeV to several tens MeV, based on the present data together with information from other works. This potential was found to describe the inelastic scattering to the first excited state ( $E_x=2.186$  MeV) well via the distorted-wave Born approximation (DWBA) calculation with the macroscopic vibrational model. The continuum neutron energy spectra and angular distributions were then analyzed by the theory of final-state interaction extended to the DWBA form, with an assumption that the *d*- $\alpha$  interaction is dominant in the three-body final state consisting of *n*, *d*, and  $\alpha$  particles. Such a calculation was found to be successful in explaining the major part of the low-excitation neutron spectra and angular distribution down to the *Q*-value region of -9 MeV, except for the *Q*-value range where the *n*- $\alpha$  quasifree scattering will give a non-negligible contribution at forward angles. [S0556-2813(98)04810-9]

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### I. INTRODUCTION

The <sup>6</sup>Li nucleus is known to have a dominant cluster structure, with the d- $\alpha$  probability in the ground state of around 0.70 [1–4]. Furthermore, the d- $\alpha$  breakup threshold is very low (1.475 MeV) due to a weak-binding between these two particles in the 2*S* state. Because of those features, the breakup of <sup>6</sup>Li into *d* and  $\alpha$  is one of the major reaction channels involving this nucleus from very low to high energies. Consequently, the structure and breakup reaction of <sup>6</sup>Li have been a subject of long and intensive studies as described in, e.g., Refs. [2–15] and references therein.

The breakup of <sup>6</sup>Li nucleus into  $d + \alpha$  in the low relative energy region is also of a great interest from the astrophysics point of view, because the radiative capture reaction  $d + \alpha$  $\rightarrow$  <sup>6</sup>Li +  $\gamma$  at very low energies ( $E_{\rm cm} \le 10-100$  keV) is one of the key reactions in the nucleosynthesis in the early Universe or during stellar evolution [16]. Baur *et al.* [17] has suggested using the Coulomb breakup of the <sup>6</sup>Li projectile to study the <sup>6</sup>Li +  $\gamma \rightarrow d + \alpha$  process by absorption of a virtual photon, which is the time-reversed process of the radiative capture. A couple of experiments have been already carried out to extract the astrophysical S factor according to this concept [18]. They have argued that the nuclear breakup contribution is negligible at extremely forward angles. However, it was pointed out by Hirabayashi and Sakuragi [19] that the nuclear contribution is as important as the Coulomb breakup even at very forward angles. Therefore, an accurate treatment of the nuclear breakup of <sup>6</sup>Li is essential to interpret the data from such experiments. In this sense, the neutron-<sup>6</sup>Li reaction provides a unique testing ground for theories since only the nuclear breakup is present there.

The few-body, especially three-body, problem is still an open (and therefore interesting) subject in nuclear physics, and has been studied intensively by various theoretical frameworks [20-25]. At low-bombarding energies, such a multiparticle decay is known to proceed as a sequential reaction via intermediate states, which are definite states of particle-unstable systems [26-29]. For example, a reaction sequence like

$$n + {}^{6}\text{Li} \rightarrow n' + {}^{6}\text{Li}^{*},$$
  
 ${}^{6}\text{Li}^{*} \rightarrow d + \alpha.$ 

will be the dominant one for  $n + {}^{6}\text{Li}$  reaction, while other intermediate states such as  ${}^{5}\text{He}{}^{*}$  or *t* are also possible. In this mechanism, the final-state interaction (FSI) effect, in other words the interaction between, e.g., *d* and  $\alpha$ , is particularly important to comprehend the particle spectra at the final states. In the Watson theory [20], the effect of the FSI is factored out from the *T*-matrix element so that the wave function of the two particles interacting in the finalstate determines the essential cross-section dependence on the secondary particle energy. This method, which we will use in the present work, has been applied to analyze breakup reactions of light nuclei at low projectile-energy region because of its simplicity, and has been able to extract the basic reaction mechanisms dominating a certain reaction channel [26–35].

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Beside these basic interests, the neutron interaction with <sup>6</sup>Li is important from the application point of view, since lithium is the major tritium breeding material in thermonuclear fusion reactor system. The data for the  $(n,n')d\alpha$  reaction is particularly important because the statistical model, which is used often in evaluation of nuclear data for medium to heavy nuclei, cannot be applied to the few-body breakup process of light nuclei [36,37].

In spite of the importance of the  $n + {}^{6}Li$  reaction as described above, the <sup>6</sup>Li(n,n') reaction data leading to continuum breakup process is extremely rare. Except for several data at 14 MeV or below [38-40], there is only one data point reported in our previous work at 18 MeV [41]. In ef. [41], we measured the (n,n') spectra at 18 MeV, and have shown that the FSI formula given by Werntz et al. [30], with the assumption of  $d-\alpha$  FSI, can explain the major part of the neutron spectra at low  $d-\alpha$  relative energy domain by taking account of the S- and D-waves in  $d-\alpha$  system. That work, however, suffered from several deficiencies. Firstly, the experimental resolution was not good enough, so there was a considerable "tail" of the elastic scattering in the continuum spectra. Secondly, there was an appreciable background coming from the D(d,np)d neutrons produced from the neutron source, elastic scattering of which coincides with the (n,n') continuum part. Because of these reasons, their data could not be considered to be very reliable. Furthermore, they have used the d- $\alpha$  phase-shift data read from an article graphically, which may not necessarily be very accurate.

The purpose of this work is to carry out a series of neutron-induced neutron-producing data measurement on <sup>6</sup>Li in the 11–18 MeV region with improved energy resolution compared with our previous works [40,41] to enhance the database on this nucleus. Then, an optical model potential (OMP) is developed, to describe the neutron elastic and total cross sections of <sup>6</sup>Li in a broad energy range, and is utilized in the analysis of the inelastic data via the macroscopic-vibrational DWBA formalism. Secondly, the measured data leading to the continuous final states are employed to test the Watson FSI theory extended to DWBA form by Pong-Austern [33] and Datta *et al.* [35], which will be of particular importance for nuclear data evaluation purposes [36,37] due to its simplicity in practical computation.

In the following sections we describe the experimental details, development of the optical model and DWBA analysis of inelastic scattering data, and FSI theory analysis of the (n,n') continuum spectra.

## II. EXPERIMENTAL PROCEDURE AND DATA REDUCTION

Experiments were carried out for 11.5, 14.1, and 18.0 MeV incident neutrons using the Tohoku University Dynamitron time-of-flight (TOF) spectrometer. The details of the experimental procedure are described in Refs. [42–48] and will be further described in Ref. [48], so only a brief explanation will be given here.

In the 14.1 and 18 MeV measurements, an improved energy resolution was achieved by the post-acceleration chopping system [45] and by using a thinner target compared with our previous works [40,41]. Source neutrons were produced via the T(d,n) reaction for these incident energies, and the effect of low-energy background neutrons were corrected by the Monte Carlo method [49]. The data for 11.5 MeV neutrons were obtained by using the <sup>15</sup>N(d,n) source and a "double-time-of-flight method" [43,44] (inverse scattering geometry) to eliminate background events due to nonmonoenergetic components in the source. A pulsed deuteron beam with a 1.5–2.0 ns duration [full width at half maximum (FWHM)] and a 2.0 MHz repetition rate was provided by a 4.5 MV Dynamitron accelerator. The energy spread of primary neutrons were around 400 keV for 18 and 11.5 MeV, 150 keV for 14.1 MeV measurement in FWHM.

The scattering sample was a cylinder of enriched metallic <sup>6</sup>Li (95% in <sup>6</sup>Li), 3.2-cm-diam×4-cm-long and was placed 12 cm from the neutron target. The secondary neutron detector was a cylindrical NE213 scintillation detector, 14-cm-diam×10-cm-long for 14.1 MeV neutrons and a long-liquid scintillation detector of NE213 [46,47], 80-cm-long×10-cm-wide×6.5-cm-thick for 11.5 and 18 MeV neutrons. The flight path was around 5 m for 11.5 MeV, and 6 m for 14.1 and 18 MeV measurements. In double-TOF, the target-sample distance was extended to ~3.2 m and secondary neutrons were detected by the NE213 detector, 14-cm-diam×10-cm-long and 12-cm-diam×5-cm-long, at 80 cm flight path.

The TOF spectra were measured at 12 or 13 scattering angles between  $20^{\circ}$  and  $150^{\circ}$  at 14.1 and 18.0 MeV. On the other hand, the TOF spectra were measured at 11.5 MeV for the continuum part at  $60^{\circ}$  and  $120^{\circ}$  only by the double-TOF method, while the elastic scattering and inelastic scattering to the first excited state were measured at 13 angles from  $20^{\circ}$  to  $150^{\circ}$  with the normal TOF method. The energy spectra were obtained from the measured TOF spectra.

The sample-independent background was measured without the sample, and was subtracted. The detection efficiency was deduced from the measurements of the <sup>252</sup>Cf spontaneous fission spectrum for the low-energy region (below about 4 MeV) and the calculations with the SCINFUL code [50] for the high-energy part (above about 4 MeV). Absolute cross sections were determined relative to the  ${}^{1}H(n,n)$  cross section. The energy spectra were corrected for the finite sample-size effect by the Monte Carlo code SYNTHIA [49]. In the Monte Carlo calculation, we used the JENDL-3.2 data [51] as input data for neutron interaction with the <sup>6</sup>Li. The calculation results reproduced the experimental data generally well over the energy and angle and therefore provided reasonable correction factors. The angular-differential cross sections of the elastic and inelastic  $(E_r = 2.186 \text{ MeV})$  scattering were deduced from the doubledifferential cross sections by integrating them over the corresponding peak regions.

## **III. EXPERIMENTAL RESULTS**

Examples of the measured double-differential cross sections are shown in Fig. 1 for pairs of incident energy and emission angle of (11.5 MeV, 60-deg.), (14.1 MeV, 30-deg.), and (18.0 MeV, 30-deg.). Arrows show the positions of secondary neutron energies for elastic ( $E_x=0$ )



FIG. 1. Observed neutron energy spectra for projectile energy and emission angle of 11.5-MeV, 60-deg. (left), 14.1-MeV, 30-deg. (middle), and 18.0-MeV, 30-deg (right). The smooth curves show the three-body phase-space factor, and the broken curves denote the spectra of neutron coming from the intermediate <sup>5</sup>He nucleus. Positions of neutrons corresponding to various excited states in <sup>6</sup>Li and n- $\alpha$  quasifree scattering with 0 relative d- $\alpha$  momentum (q=0) are shown by arrows.

and inelastic scattering corresponding to the excited states of <sup>6</sup>Li at  $E_x=2.186$  MeV(3<sup>+</sup>), 3.563 MeV (0<sup>+</sup>, T=1), 4.31 MeV(2<sup>+</sup>), 5.37 MeV (2<sup>+</sup>, T=1) and 5.64 MeV (1<sup>+</sup>). It must be noted that these excited states, except for those with T=1, are all particle unbound, and they break up mostly to d and  $\alpha$ . In other words, they are the resonant states of these two particles.

The solid curves in Fig. 1 represent the three-body phasespace distribution corresponding to a final state consisting of neutron, d and  $\alpha$  [52]

$$\rho_{3}^{\text{lab}}(E_{n'}^{\text{lab}},\theta_{n'}^{\text{lab}}) = \frac{2}{h^{6}} \frac{\sqrt{M}(m_{n}m_{d}m_{\alpha})^{3/2}}{(m_{d}+m_{\alpha})^{2}} \sqrt{E_{n'}^{\text{lab}} \left(\frac{m_{d}+m_{\alpha}}{M}E_{\text{tot}}^{\text{cm}} - E_{n'}^{\text{lab}} + 2a_{1}\sqrt{E_{n'}^{\text{lab}}}\cos\theta_{n'}^{\text{lab}} - a_{1}^{2}\right)},\tag{1}$$

where

$$a_1 = \frac{\sqrt{m_n m_p E_p^{\text{lab}}}}{m_p + m_T},\tag{2}$$

$$E_{\text{tot}}^{\text{cm}} = Q + \frac{m_T E_p^{\text{lab}}}{m_p + m_T},$$
(3)

$$M = m_n + m_d + m_\alpha, \tag{4}$$

and m denotes the mass of a particle represented by the subscript (p denotes the projectile, T denotes the target). On the other hand, the broken curves show the neutron spectra from the process

<sup>6</sup>Li+
$$n \rightarrow d$$
+<sup>5</sup>He,  
<sup>5</sup>He $\rightarrow n' + \alpha$ ,

which was calculated by Beynon's kinematics formula [34]. The phase-space factor and Beynon's function are normalized to the data at each energy/angle point.

Figure 1 shows that there is a noticeable deviation from the phase-space distribution at the excitation energy region up to 6 MeV or higher, which corresponds to the known excited <sup>6</sup>Li states, showing a clear evidence of the  $d-\alpha$  finalstate interaction. However, those states with T=1 will not be contributing significantly, because the 3.563 MeV state does not enhance the spectra. The process leading to the intermediate <sup>5</sup>He nucleus results in neutron energies much smaller than the d- $\alpha$  FSI domain.

There is a possibility that the  $n - \alpha$  quasifree scattering (QFS) contributes to the spectra at the  $d - \alpha$  FSI region, because the neutron energy corresponding to QFS coincides with the excitation of about 4.3 MeV, as indicated in the same figure. Here, the  $n - \alpha$  QFS (q=0) denotes the elastic scattering of neutrons with the  $\alpha$  particle in the <sup>6</sup>Li target with 0 relative  $d - \alpha$  momentum, after the binding energy is corrected, and treating the deuterium as the spectator. However, it is known that such QFS process leads to a rather flat particle distribution [12], so it will not produce an appreciable structure as seen in the present data at this energy region. We will return to this point later.

Other processes, such as the (n,2n) reaction, are known to produce very soft neutron spectra [40]. The bump toward the lower energy below 4 MeV at the projectile energy of 14.1 and 18.0 MeV will probably be due to such a process, which is again well separated from the  $d-\alpha$  FSI region.

Therefore, we can conclude that the  $d-\alpha$  FSI is the dominant reaction mechanism at the low relative energy region in the residual  $d-\alpha$  system which causes the neutron spectra to deviate significantly from the phase-space distribution.

## **IV. THEORETICAL INTERPRETATIONS**

#### A. Optical and DWBA model analysis

A spherical optical model analysis was carried out by taking account of the total cross section data and elastic scattering angular distribution data simultaneously. We have included our elastic scattering data at 11.5, 14.1, and 18.0 MeV. Other data [53–59] were retrieved from the EXFOR system at the NEA Data Bank, and a grid search procedure based on the Metropolis method was performed to seek for the minimum  $\chi$  square. The results were then put into the ECISVIEW system by Koning [60] and the parameters were slightly adjusted to get a better result. This result was further fed into a search procedure based on the Bayesian method [61] to get the final parameter set.

We have adopted a following form of the potential:

$$U = -(V_r + iW_v)f(r, r_v, a_v) + 4ia_d W_d \frac{d}{dr}f(r, r_d, a_d) + \left(\frac{\hbar}{m_{\pi}c}\right)^2 \mathscr{N} \cdot \mathbf{s} \quad V_{so} \frac{1}{r} \frac{d}{dr}f(r, r_{so}, a_{so}),$$
(5)

where the form factors f are of the standard Woods-Saxon shape

$$f(r, r_x, a_x) = \frac{1}{1 + \exp((r - r_x A^{1/3})/a_x)}.$$
 (6)

Here, the  $a_x$  is the diffuseness parameter, and A the target mass number. The potential depths were assumed to have the energy dependence similar to the one used by Delaroche *et al.* [62]:

$$V_r = V_0 e^{-\lambda_v (E - E_F)} + V_1, \qquad (7)$$

$$W_d = W_{d_0} e^{-\lambda_{W_d}(E-E_F)} \frac{(E-E_F)^4}{(E-E_F)^4 + W_{d_1}^4},$$
(8)

$$W_v = W_{v_0} \frac{(E - E_F)^4}{(E - E_F)^4 + W_{v_1}^4},$$
(9)

$$V_{\rm so} = V_{\rm so} e^{-\lambda_{\rm so}(E-E_F)}.$$
 (10)

The symbol  $E_F$  denotes the Fermi energy, and was calculated as

$$E_F = -\frac{1}{2} [S_n(A) + S_n(A+n)], \qquad (11)$$

where  $S_n(A)$ ,  $S_n(A+n)$  denote the neutron separation energy from the target nucleus (A) and target + n nucleus (A+n), respectively. The calculation was done with the ECIS96 optical model code [63] with the relativistic kinematics option turned on.

We have found two sets of potentials that equally describe the experimental data. Those parameters are given in Table I as Set-1 and Set-2. The results of the present analysis are shown in Figs. 2 (total cross section) and 3 (elastic scattering). Both potentials give almost indistinguishable results for the total cross section, except for the several MeV region where Set-2 gives slightly smaller cross sections than the bulk of the data.

TABLE I. Optical potential parameters for  $n + {}^{6}Li$  interaction.

Parameter	Set-1	Set-2
$V_0$	65.64	71.68
$V_1$	-26.71	-29.69
$\lambda_v$	0.00486	0.00495
$r_v$	1.34	1.22
$a_v$	0.707	0.822
$W_{v_0}$	10.19	10.06
$W_{v_1}$	18.42	14.03
$W_{d_0}$	74.69	321.4
$W_{d_1}^{0}$	15.69	18.76
$\lambda_{W}$	0.207	0.315
$r_d$	1.59	1.37
$a_d$	0.899	0.699
$V_{\rm so}$	8.374	8.702
$\lambda_{so}$	0.01407	0.01407
r <sub>so</sub>	1.58	1.64
$a_{\rm so}$	0.427	0.311

The inelastic scattering cross section to the first excited  $3^+$  state was calculated with the DWBA mode of ECIS96, assuming the collective-vibrational model, and by using the present optical potentials. The result is given in Fig. 4. Both potentials give a fair description of the experimental results except at the lowest energy point.

The normalization constants for the DWBA cross section to reproduce the measured excitation of the 2.186-MeV level was found to be 0.95 for Set-1, and 1.15 for Set-2 OMP's. These values are too large to be interpreted as the square of the deformation parameters in the normal vibrational model. Instead, it should be interpreted to be more of a nature of the proportionality factor for the effective nucleon-nucleon interaction in  $n + {}^{6}Li$  reactions. Indeed, the fact that the normalization constants obtained in the present analysis are close to unity shows that the effective nucleon-nucleon strength is almost similar for the diagonal part (OMP) and the offdiagonal part (transition form factor) of the interaction potential, as is the case for the coupled-discretized continuum channels (CDCC) formalism, where a common  $N_R$  and  $N_I$ parameters are multiplied to both the diagonal and offdiagonal potentials constructed from the M3Y interaction [10].



FIG. 2. Neutron total cross section of <sup>6</sup>Li. The two curves, marked as Set-1 and Set-2, denote the values calculated by the optical model with the potential sets given in Table I.



FIG. 3. Neutron elastic scattering angular distribution of <sup>6</sup>Li. The two curves, marked as OMP Set-1 and OMP Set-2, show the values calculated by the optical model with the potential sets given in Table I. The numerical values denote the projectile energy in MeV. The data for  $8.96, 9.96, \ldots$  were subsequently shifted downward by a factor of 0.1, 0.01, ..., respectively.

# B. Analysis of the continuum spectra by the Watson theory of final-state interaction

It was shown in the previous sections that the final-state interaction (FSI) between deuteron and  $\alpha$  particles, originally confined in the target <sup>6</sup>Li nucleus, plays an essential role in producing the continuum part of the neutron spectra at relatively high neutron energy, corresponding to the excitation energy below several MeV. Therefore, the FSI effect, together with the three-body kinematics have to be considered for a quantitative understanding of this part of the continuum neutron spectra produced via the <sup>6</sup>Li(*n*,*n'd*) $\alpha$  reac-



FIG. 4. Neutron inelastic scattering angular distribution to the first excited state of <sup>6</sup>Li. The two curves, marked as OMP Set-1 and OMP Set-2, show the values calculated by the optical model with the potential sets given in Table I. The numerical values denote the projectile energy in MeV. The data for 14.1 and 18.0 MeV were shifted downward by a factor of 0.1 and 0.01, respectively.

tion. Here, we follow the basic framework established by Watson [20], which was extended by Pong and Austern [33] and Datta *et al.* [35] to the DWBA form. For the purpose of completeness, we give first the essence of the theory that we will utilize for the analysis.

#### 1. Essence of the FSI theory

The total Hamiltonian (*H*) for the three-particle system is assumed as  $H=H_0+U+V$ , where  $H_0$  is the free Hamiltonian for three particles, *U* is the potential acting between the two particles (*d* and  $\alpha$  in our case) at the final state, and *V* is the residual interaction. The transition matrix element  $T_{ba}$  between the initial channel  $a({}^{6}\text{Li}+n)$  and the final channel *b*  $(n+d+\alpha)$  is therefore given by

$$T_{ba} = \langle \chi_b^- | (U+V) | \psi_a^+ \rangle, \qquad (12)$$

where  $\psi^{\pm}$  and  $\chi^{\pm}$  denote the complete three-particle wave function and free three-particle wave function, respectively, with the appropriate boundary condition corresponding to the subscript  $\pm$ .

The Lippman-Schwinger equation for  $\psi$  is given by

$$\psi^{\pm} = \chi^{\pm} + (E - H_0 \pm i\epsilon)^{-1} (U + V) \psi^{\pm}.$$
 (13)

Here, E is the total energy of the system. In the Watson theory, the transition matrix element Eq. (12) is modified in the following way so that the FSI effect is easily factored out from the *T*-matrix element.

The exit channel three-particle wave-function  $\phi$  is defined in a way that a pair of d and  $\alpha$  interacts via potential U while the neutron stays free,

$$\phi^{\pm} = \chi^{\pm} + (E - H_0 \pm i\epsilon)^{-1} U \phi^{\pm}.$$
(14)

On the other hand, the entrance channel wave function  $\psi_0$  consists of three particles ( ${}^{6}\text{Li}=d+\alpha$  bound state and neutron in our case) interacting via the effective potential *V* alone, i.e., U=0:

$$\psi_0^{\pm} = \chi^{\pm} + (E - H_0 \pm i\epsilon)^{-1} V \psi_0^{\pm}.$$
(15)

The *T*-matrix element of Eq. (12), together with Eqs. (13) and (14) yields

$$T_{ba} = \langle \psi_b^- | V | \psi_a^+ \rangle + \langle \chi_b^- | U | \phi_a^+ \rangle.$$
(16)

It is Watson's argument that the FSI potential U is chosen so that the second term of this expression vanishes. The first term is then further modified, by utilizing Eq. (15), as

$$T_{ba} = \langle \phi_b^- | V | \psi_{0_a}^+ \rangle + \langle \psi_b^- - \phi_b^- | U | \psi_{0_a}^+ \rangle.$$
(17)

Now, the residual interaction V is assumed to be weak, so  $\psi_b$  tends to  $\phi_b$ , and consequently the second term in the last equation can be omitted. The final form of the *T*-matrix element is thus written

$$T_{ba} = \langle \phi_b^- | V | \psi_{0_a}^+ \rangle. \tag{18}$$

In this expression, the effect of the final-state interaction U is contained only in  $\phi_b$ .

The exit channel wave function  $\phi_b$  can be separated into two parts, ignoring the spin function of neutron:

$$\phi_b = \phi_{d\alpha}(\mathbf{k}_{d\alpha}, \mathbf{r}_{d\alpha}) X(\mathbf{k}_n, \mathbf{R}_n), \qquad (19)$$

where  $\phi_{d\alpha}(\mathbf{k}_{d\alpha})$  is the unbound wave function for the d- $\alpha$  system,  $X(\mathbf{k}_n, \mathbf{R}_n)$  is a wave function for the relative motion between neutron and center-of-mass of the d- $\alpha$  system. By employing the usual DWBA approximation for the transition matrix, we get for  $T_{b\alpha}$  an expression

$$T_{ba} = \langle \phi_{d\alpha}^{-} X^{-} | V | R^{+} \phi_{^{6}\mathrm{Li}} \rangle, \qquad (20)$$

where  $R^+$  is the relative wave function between the projectile and <sup>6</sup>Li in the entrance channel, and  $\phi_{^6\text{Li}}$  is the intrinsic wave function of the target <sup>6</sup>Li nucleus.

According to Pong and Austern, we now make the following approximation to factor out the dependence of the T matrix on the final-state particle energy with an assumption of the short-range nature of the residual interaction V:

$$V\phi_{d\alpha}(\mathbf{k}_{d\alpha}) \sim A(E)VW(\mathbf{k}_{d\alpha},\mathbf{r}),$$
 (21)

where A(E) carries the bulk of the energy dependence,  $E = \hbar^2 k_{d\alpha}^2 (2\mu_{d\alpha})$ , and  $W(\mathbf{r}, \mathbf{k}_{d\alpha})$  is a relatively energy independent d- $\alpha$  bound wave function, having a specific spin structure and normalized to unity within a certain radius  $r = R_0$ . With this form inserted in Eq. (20), we obtain

$$T_{ba} = A(E) \langle W_{da}^{-} X^{-} | V | R^{+} \phi_{^{6}Li} \rangle = A(E) T_{ba}^{DW}, \quad (22)$$

where  $T_{ba}^{DW}$  denotes the normal DWBA T matrix with the bound-state final-state wave function.

The enhancement factor  $|A(E)|^2$  is calculated by utilizing the relation

$$|A(E)|^{2} = |A(E)|^{2} \int_{0}^{R_{0}} r^{2} dr \int d\Omega |W(\mathbf{k}_{d\alpha}, \mathbf{r})|^{2}$$
$$= \int_{0}^{R_{0}} r^{2} dr \int d\Omega |\phi_{d\alpha}^{-}(\mathbf{k}_{d\alpha}, \mathbf{r})|^{2}, \qquad (23)$$

where  $d\Omega$  denotes an integral with respect to angles and any internal coordinates (such as spin). Writing  $\phi_{d\alpha}^{-}(\mathbf{r}, \mathbf{k}_{d\alpha}) = [\chi(k, r)/r]Y$  where Y represents a proper (normalized) spin-angle wave function, we get

$$|A(E)|^{2} = \int_{0}^{R_{0}} dr |\chi(k,r)|^{2}.$$
 (24)

Now, we adopt two methods to evaluate the right-hand side of this equation.

#### 2. Pong-Austern method

Pong and Austern had transformed the integral in the right-hand side of the above equation to a surface limit using the radial Schrödinger equation. Furthermore, by assuming that the energy derivative of the Coulomb function is small compared with the derivative of the nuclear phase shift  $\delta$ , they have arrived at the following formula:

$$|A(E)|_c^2 \sim \frac{d\delta_c}{dE},\tag{25}$$

where subscript c specifies the partial wave state, i.e., c represents  ${}^{2S+1}L_J$  in the usual notation.

#### 3. Werntz method

If we make the assumption that the residual interaction V is of the surface-peaked  $\delta$ -function form, only the wave function value at the nuclear surface (a) is relevant. Therefore, Eq. (24) can be written in this case to be

$$|A(E)|_{c}^{2} \sim |\chi(k,a)_{c}|^{2}.$$
 (26)

The wave function value at the surface  $\chi(k,a)$  may be evaluated by using the external solution

$$\chi(k,r)_c = (k)^{-1} [\cos(\beta_c) F_L(kr) + \sin(\beta_c) G_L(kr)],$$
(27)

where  $\beta_c$  is a nuclear phase shift for elastic d- $\alpha$  scattering, and  $G_L$  and  $F_L$  are the Coulomb wave functions which are irregular and regular at the origin. Then, we arrive at the following expression:

$$|A(E)|_{c}^{2} \sim \sin^{2}(\delta_{c}) \frac{G_{L}^{2}(ka) + F_{L}^{2}(ka)}{k^{2}}, \qquad (28)$$

where  $\delta_c = \alpha_c + \beta_c$ , and  $\alpha_c = \tan^{-1}[F_L(ka)/G_L(ka)]$ .

The two expressions [Eqs. (25) and (28)] give essentially the same energy dependence for the enhancement factor around an isolated resonance. For example, if we assume a resonance of the form

$$\delta(E_c) = \tan^{-1} \left( \frac{1/2\Gamma_c}{E - E_{0_c}} \right), \tag{29}$$

where  $\Gamma_c$  and  $E_{0_c}$  denote the resonance width and resonance energy for partial wave *c* respectively, Eq. (25) leads to the following Breit-Wigner type enhancement factor:

$$|A(E)|_{c}^{2} = \frac{1/2\Gamma_{c}}{(E - E_{0_{c}})^{2} + (1/2\Gamma_{c})^{2}}.$$
 (30)

This shape is also reproduced by the  $\sin^2(\delta)$  part in Eq. (28). The main difference between these two formulas comes from the presence of the second factor in Eq. (28), which may not be significant around sharp resonances (this corresponds to taking the  $\Gamma_c$  constant). Phillips *et al.* [22] has also derived essentially the same expressions from discussion on the generalized density of states functions. In this work, we will use the formula Eq. (28) because the Coulomb function present in Werntz formula will be important due to the broadness of resonances in the d- $\alpha$  system.

#### 4. Cross section formula

The double-differential cross section  $\sigma_c$  for a specific final partial-wave state c is related to the transition amplitude by

$$\sigma_c \equiv \frac{d^2 \sigma_c}{dE_n d\Omega_n} = \frac{2\pi}{\hbar v} |T_{ba}|_c^2 \rho_n \rho_{d\alpha}, \qquad (31)$$

where  $\rho_n$  and the  $\rho_{d\alpha}$  are the phase-space density for the residual  $n + {}^6\text{Li}*$  system and  $d + \alpha$  system, respectively, and v is the projectile velocity. It is known that these two-body phase-space densities are proportional to the velocity (or the wave number) of the relative motion in each pair:

$$\rho_n = \frac{\mu_{n^6 Li^*} \hbar k_{n^6 Li^*}}{(2\pi\hbar)^3},\tag{32}$$

$$\rho_{d\alpha} = \frac{\mu_{d\alpha} \hbar k_{d\alpha}}{\left(2 \,\pi \hbar\right)^3} \tag{33}$$

with the obvious condition coming from the energy conservation

$$\frac{(\hbar k_{n^6 Li^*})^2}{2\mu_{n^6 Li^*}} + \frac{(\hbar k_{d\alpha})^2}{2\mu_{d\alpha}} = E_{\text{tot}}^{\text{cm}}, \qquad (34)$$

where  $E_{\text{tot}}$  is the total available energy in the cm system,  $\mu$  denotes the reduced mass in the system specified by the subscript. With the condition of Eq. (34), the product of two phase-space volumes in Eq. (31),  $\rho_n \rho_{d\alpha}$  combines to produce the three-body phase-space factor  $\rho_3$  [52]. By putting Eq. (22) into Eq. (31), the cross section is now written as

$$\sigma_{c} = \frac{2\pi}{\hbar v} |A(E)|_{c}^{2} |T_{ba}^{DW}|_{c}^{2} \rho_{3}$$
(35)

$$=|A(E)|_{c}^{2}\sigma_{c}^{DW}\rho_{d\alpha},$$
(36)

where

$$\sigma_c^{DW} = \frac{2\pi}{\hbar v} |T_{ba}^{DW}|_c^2 \rho_n \tag{37}$$

is the cross section calculated by the DWBA formalism assuming <sup>6</sup>Li<sup>\*</sup> to be a bound system of d and  $\alpha$  in partial wave state c.

We assume that this formula holds for each c independently, so the total cross section is an incoherent sum of the contributions from various partial-wave states:

$$\sigma^{\rm cm} = \sum_{c} N_c \sigma_c \,, \tag{38}$$

where  $N_c$  is the normalization constant for each state c to take account of the possible difference in the transition strength to each channel  $c \equiv {}^{2S+1}L_J$ . This expression is then converted to the laboratory frame to compare with the experimental data:

$$\sigma^{\rm lab} = J \sigma^{\rm cm}.$$
 (39)

The Jacobian of transformation *J* is given by [52]

$$J \equiv \frac{\partial (E_n^{\rm cm}, \cos \theta_n^{\rm cm})}{\partial (E_n^{\rm lab}, \cos \theta_n^{\rm lab})} = \sqrt{\frac{E_n^{\rm lab}}{E_n^{\rm cm}}}.$$
 (40)

If we take the rather radical assumption that the T-matrix element in Eq. (35) is constant, the partial-wave cross section becomes of the form

$$\sigma_c^{\text{lab}} \sim |A(E)|_c^2 \rho_3^{\text{lab}}, \qquad (41)$$

where  $\rho_3^{\text{lab}} \sim J\rho_3$ . This is the form employed in many works with Werntz enhancement formula [31,32], including our previous one [41]. In the present work, however, we will adopt the form of Eqs. (36) and (37).

#### 5. Gaussian broadening

The calculated cross section must be broadened by considering the finite resolution of the experimental apparatus to be compared with the measured data. We assume that the energy resolution function G is expressed by a Gaussian form,

$$G(E,E',w) = \frac{1}{\sqrt{2\pi w}} e^{-(E-E')^2/2w^2},$$
 (42)

where the standard deviation w consists of two components

$$w = \frac{1}{2\sqrt{2}\ln(2)}\sqrt{w_E^2 + w_T^2}.$$
 (43)

Here, the first term  $(w_E)$  denotes the contribution from the finite-energy resolution (in FWHM) of the neutron source due mostly to the energy reduction of the deuterium beam in the neutron producing target, while the second term  $(w_T)$  represents the energy spread caused by the finite timing resolution expressed in FWHM. The latter term is written more explicitly by using the time-of-flight resolving power  $R = \Delta_T/L$ , where  $\Delta_T$  denotes the timing resolution and *L* the flight path,

$$w_T = \frac{2}{72.3} E^{3/2} R. \tag{44}$$

In the above expression, energy E is expressed in MeV,  $\Delta_T$  in ns, and L in m.

The double-differential cross section,  $\sigma(E,\Omega)^{\text{broad}}$ , which includes the resolution broadening and can be compared with the experiment, at secondary energy *E* and angle  $\Omega$ , is then calculated as

$$\sigma(E,\Omega)^{\text{broad}} = \int dE' G(E,E',gw(E'))\sigma(E',\Omega), \quad (45)$$

where the Gaussian width w, Eq. (43), is calculated at energy E'. In actually applying this formula, the Gaussian width w was multiplied by an adjustable parameter g to account for other sources, such as angular resolution, which contributes to broaden further the spectra. The parameter g was determined to reproduce the width of the observed elastic scattering peak.

The effect of the Gaussian broadening was found to be significant for  ${}^{3}D_{3}$ , less significant but noticeable for the  ${}^{3}D_{2}$  partial waves. For other partial waves, the effect was almost negligible.



FIG. 5. The phase-shift data to reproduce the  $d-\alpha$  elastic scattering. The curves were obtained by a R-matrix analysis of the <sup>b</sup>Li system. The symbols show the experimental data.

#### 6. The d- $\alpha$ phase shift

The d- $\alpha$  phase shift data  $\delta_c$  were obtained from the Rmatrix analysis of the <sup>6</sup>Li system by Hale *et al.* [64] up to deuteron energy of 7 MeV. Over 1000 data points, including the elastic d- $\alpha$  scattering and deuteron analyzing power data, have been included in this analysis. The results of the Rmatrix analysis was found to be essentially good even for higher energy except for the partial waves of  ${}^{3}S_{1}$ ,  ${}^{3}D_{1}$ , and  ${}^{3}D_{3}$ . Therefore, the phase shifts for these partial waves were slightly modified to match the data above relative d- $\alpha$  energy of 7 MeV. The results are shown in Fig. 5. Here, the partial waves  ${}^{3}S_{1}$ ,  ${}^{3}D_{1}$ ,  ${}^{3}D_{2}$ , and  ${}^{3}D_{3}$  show remarkable resonant behaviors, i.e., crossing of  $\delta = 90^{\circ}$ , corresponding to the excited states in <sup>6</sup>Li, while <sup>3</sup> $P_0$ , <sup>3</sup> $P_1$ , <sup>3</sup> $P_2$ , <sup>3</sup> $F_2$ , <sup>3</sup> $F_3$ , <sup>3</sup> $F_4$ , <sup>3</sup> $G_3$ , <sup>3</sup> $G_4$ , and <sup>3</sup> $G_5$  partial waves have more off-resonant behaviors. The main structure in the secondary neutron spectra, therefore, is expected to come from the contributions from the former four partial waves, while the rest will form more or less "background" components. The channel radius for the d- $\alpha$  system was chosen to be 4.62 fm.

#### 7. Comparison with the data

We have included the following five partial waves,  ${}^{3}S_{1}$ ,  ${}^{3}P_{0}$ ,  ${}^{3}D_{1}$ ,  ${}^{3}D_{2}$ , and  ${}^{3}D_{3}$  in the FSI formula given previously. Contributions from the other states were replaced by the three-body phase-space factor  $\rho_3^{\text{lab}}$  given the smooth nature of the phase shifts for these partial waves. A  $\delta$  function was also inserted to represent the elastic scattering peak, which leads to a usual Gaussian function after Gaussian broadening. Therefore, the fitting formula becomes

$$\sigma = G \left[ N_{\text{ela}} \delta(E - E_{\text{ela}}) + \sum_{c} N_{c} \sigma_{c}^{\text{lab}} + N_{ps} \rho_{3}^{\text{lab}} \right], \quad (46)$$

where  $G[\ldots]$  denotes the Gaussian broadening,  $\delta(E)$  $-E_{ela}$ ) is for the elastic scattering peak located at  $E = E_{ela}$ , and  $c = {}^{3}S_{1}$ ,  ${}^{3}P_{0}$ ,  ${}^{3}D_{1}$ ,  ${}^{3}D_{2}$ , and  ${}^{3}D_{3}$ . The DWBA cross sections contained in the  $\sigma_{c}^{\text{lab}}$  were cal-

culated by ECIS96 with the macroscopic-vibrational model

with optical potential Set-1, at the excitation energy interval of 0.5 MeV and angular interval of 1°, and for the orbital angular momentum transfer of 0, 1, and 2. Such a twodimensional table was employed to interpolate the values needed at a specific excitation energy and angular point. The normalization coefficients,  $N_{ela}$ ,  $N_c$ , and  $N_{ps}$  were determined by the least-squares method. These normalization coefficients were firstly determined at each projectile energy and angle point, and then they were averaged over angles at each projectile energy. As a result, it turned out that the contribution from the  ${}^{3}P_{0}$  and  ${}^{3}D_{1}$  partial waves were almost negligible at all projectile energies, so we ignored these partial waves in the final analysis.

The calculated values are compared with the measured data at selected angles in Fig. 6. In this figure, the experimental data are shown as open circles, the elastic scattering peak as the broken curves, the  ${}^{3}S_{1}$  contribution by the dashdotted curves, the  ${}^{3}D_{2}$  by the thin solid curves with a dot,  ${}^{3}D_{3}$  by the thin solid curves, and the phase-space (PS) component by the long broken curves. The present calculation reproduces the general feature of the measured data very well, except for the very low secondary neutron energy region, where the contribution other than the d- $\alpha$  FSI, such as the (n,2n) or  $n-\alpha$  FSI, will be dominant. It is obvious that, at all projectile energies, the  ${}^{3}D_{2}$  and  ${}^{3}D_{3}$  partial waves are the major channel in the neutron inelastic scattering, while the  ${}^{3}S_{1}$  and PS contributes as the background.

#### V. DISCUSSION

The two OMP's, Set-1 and Set-2 in Table I, were found to be able to describe the elastic scattering and total cross section of <sup>6</sup>Li above 7 MeV very well. Indeed, a preliminary result of a measurement by Dietrich carried out at WNR indicates that the present OMP's can describe the total cross section of <sup>6</sup>Li up to 500 MeV [65]. The possibility of predicting the data up to such a high energy is an important feature in application fields.

The present potentials are characterized by large diffuseness parameters, i.e., a of about 0.7 (Set-1) or 0.8 (Set-2). This will probably be a reflection of the loose bound "2S"



FIG. 6. Comparison of the neutron spectra calculated by the final-state interaction theory (as described in the text) and measured data at selected angular points.

nature of the <sup>6</sup>Li ground state. The three-body calculations [1,3] show that the *s*-wave  $d-\alpha$  wave function has a node around 1.7 fm, and have a very long tail. The thickness of the surface predicted by these calculations is in good agreement with the diffuseness parameter obtained in the present work.

The root-mean-square radius  $R_{\rm OMP}$  are calculated from the presently obtained real central OMP to be 3.2 fm (Set-1). This value yields the  $R_c$  (root-mean square radius for charge distribution) of 2.6 fm if the difference between the  $R_{\rm OMP}$ and  $R_c$  is corrected based on the improved local-density approximation of Jeukennd-Lejeune-Mahaux prescription [67,68] with the matter and charge distributions calculated by the Hartree-Fock theory [69]. The value of  $R_c = 2.6$  fm is in good agreement with the measured one of 2.56  $\pm 0.05$  fm [3]. Therefore, the form factor of the optical potential obtained in the present work is consistent with the major properties of the ground state of <sup>6</sup>Li.

The inelastic scattering to the first excited  $3^+$  state was also reproduced well by the DWBA calculation based on the macroscopic-vibrational model employing the present poten-



FIG. 7. Comparison of the transition form factor for the transition from the ground state to the first excited  $3^+$  state in <sup>6</sup>Li. The solid curve was obtained on the assumption of the macroscopic vibrational model (derivative of the Woods-Saxon potential) with the optical potential Set-1 in Table I, while the broken curve was calculated by the fully microscopic coupled-discretized continuum channel theory by Sakuragi *et al.* 

tials. The normalization factor was found to be almost unity, which is consistent with the CDCC method if it is interpreted as the proportionality factor of the effective nucleon-nucleon interaction. However, it was shown by Hirabayashi and Sakuragi [19] that the use of a simple collective-vibrational form factor, i.e., derivative Woods-Saxon shape, is not consistent with the full-microscopic form factor derived by the CDCC theory [24]. The form factor calculated by the CDCC theory is wider than the collective one by about a factor of 1.5, due to the unbound nature of the  $3^+$  state. On the other hand, the difference between these two form factors is not very significant except for the width. Indeed, the calculated results are similar in the angular-dependent shape except for the overall magnitude, which may be adjusted to match by changing the normalization constant of the transition form factors. We have also made a comparison of the real part of the transition form factor, and it is shown in Fig. 7. Here, the CDCC form factor was calculated for neutron-<sup>6</sup>Li interaction [66], and was normalized to the present one. Obviously, the present form factor coincides with the one derived by the microscopic theory both in the peak position and peak width very well. This is again due mainly to the large diffuseness parameter in our potential. The difference in the interior region is not important because most of the reaction takes place at the exterior region, where the two methods yield very similar results. It must be stressed that we did not force the OMP search to coincide with the CDCC form factor. Therefore, the fact that the <sup>6</sup>Li have a diffuse ground state and unbound excited states seem to be implicitly embedded in the large diffuseness parameter, which our search gave us automatically. Just a simple prescription of making the aparameter larger than the normal values would be then an effective way in calculating cross sections for other light nuclei which have similar features. Anyway, it was confirmed that use of the simple macroscopic-vibrational model in calculation of inelastic neutron scattering from <sup>6</sup>Li with a large diffuseness parameter is a good approximation of the microscopic approach such as the CDCC theory.

Ideally one would do a miscroscopic calculation of inelas-



FIG. 8. Angular distributions of continuum neutrons for various Q-value bins at projectile energies of 14.1 MeV (left) and 18.0 MeV (right). The open circles show the experimental data, while the solid curves were calculated by the FSI theory as described in the text. The data for Q = -3 to -4 MeV, -4 to -5 MeV, ... are shifted downward by a factor of 0.1, 0.01, ..., respectively.

tic scattering, but such calculations are also fraught with parameter ambiguities and the difficulty of choosing a reasonable imaginary part for the microscoptic interaction. Nucleon exchange would also contribute to inelastic scattering significantly at these energies, and the exchange amplitudes often have somwhat different angular distributions from the direct. These differences are masked by destructive interferences among the many configurational amplitudes of a medium or heavy nucleus. For such a light nucleus with relatively few important shell-model configurations, such interference need not diminish the effects of exchange.

As shown in Fig. 6, the present assumption of the d- $\alpha$  FSI and the Watson's theory extended to DWBA form gives a fairly good description of the experimental doubledifferential cross sections for the low-excitation energy regions at all projectile energies. To show how the present model describes the angular distribution of neutrons corresponding to various Q values, we have plotted the angular distribution of inelastically scattered neutrons with 1-MeV Q-value intervals in Fig. 8. They have been converted to the center-of-mass system assuming the two-body kinematics. The present model gives a very good overall agreement with the measured data, except for the Q-value bins from -3 to -4 MeV, and from -4 to -5 MeV. One possible explanation of this discrepancy is the neutrons from the inelastic scattering to the 3.563 MeV (T=1) state, which was not considered in our model but falls in these Q value bins. However, we do not think it is likely, because the cross section of exciting this state is estimated to be about 1 to 2 mb at this energy region. Another possibility is the n- $\alpha$  quasifree scattering. The differential elastic n- $\alpha$  scattering cross section has a very large value of around 100 to 200 mb/sr at this projectile energy region, and is very forward peaked. Probably, the  $n-\alpha$  QFS is the reason for the discrepancy between the present calculation and the data for the Q= -3-5 MeV region at the forward angular range. Except for these Q-value bins, however, the present model describes the data up to Q = -8 MeV for 14.1 MeV incident neutrons, and to -9 MeV for 18.0 MeV neutrons, covering the major part of the low-excitation regions.

## VI. SUMMARY

A phenomenological optical model potential was constructed which can describe the neutron total cross section, elastic scattering angular distribution of <sup>6</sup>Li from 5 MeV to several tens MeV. This potential was also found to describe the inelastic scattering to the first excited state very well via the macroscopic DWBA formalism. Therefore, this OMP will be a valuable tool for the future analysis of neutron interaction with <sup>6</sup>Li, which will be important from both the fundamental and applied points of view.

The analysis for the continuum neutron spectra, based on the Watson's final-state interaction theory extended to the DWBA form, was found to be of modest success. Probably we miss the contribution from the n- $\alpha$  quasifree scattering. Such a contribution may be calculated by the PWIA or DWIA in principle, and added to the present calculation. However, we dare not to try such a calculation in this work, because the ability of the DWIA calculation for the QFS process of <sup>6</sup>Li itself is still a matter of open question [12]. Nevertheless, the model proposed in this work was able to describe the major part of the continuum neutron spectra to the *Q*-value range as deep as -9 MeV.

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