

## Thomas-Fermi theory of the breathing mode and nuclear incompressibility

C. S. Wang,\* K. C. Chung, and A. J. Santiago

*Departamento de Física Nuclear e Altas Energias, Instituto de Física, Universidade do Estado do Rio de Janeiro, Rio de Janeiro-RJ 20559-900, Brazil*

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A Thomas-Fermi theory with a linear scaling assumption is proposed for the breathing mode of nuclear collective motion. It leads to a general result  $K_A = \langle K(\rho, \delta) \rangle + K_{GD} - 2E_C/A$  which states that the incompressibility  $K_A$  of a finite nucleus  $A$  mainly equals the nuclear matter incompressibility  $K(\rho, \delta)$  averaged over the nucleon density distribution  $\rho(\mathbf{r})$  of nucleus  $A$ , added to a term  $K_{GD}$  contributed from the gradients of nucleon densities, with twice the Coulomb energy per nucleon  $E_C/A$  subtracted. The nuclear matter equation of state given by the Thomas-Fermi statistical model with a Seyler-Blanchard-type interaction is employed to calculate the nuclear matter incompressibility  $K(\rho, \delta)$  and a localized approximation of the Seyler-Blanchard-type interaction, which is shown to be similar to the Skyrme-type interaction, is developed to calculate the value of  $K_{GD}$ .  $K_{GD}$  and  $-2E_C/A$  contribute about 20–10 % and 1–5 %, respectively, to the nuclear incompressibility  $K_A$ , from the light to the heavy nuclei. The shell and the even-odd effects are discussed by a scaling model which shows that these effects can be neglected for medium and heavy nuclei. The anharmonic effect is shown to be significant only for light nuclei. The leptodermous expansion of  $K_A$  is obtained and the contribution from the curvature term proportional to  $A^{-2/3}$  is discussed. The calculated isoscalar giant monopole resonance energy  $E_M$  for a variety of nuclei are shown to be in agreement with experimental measurements. [S0556-2813(97)01406-4]

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### I. INTRODUCTION

The isoscalar giant monopole resonance of nuclei, well known as the breathing mode of nuclear collective motion, has been studied extensively in the context of various models, since it is expected to be able to provide very important information for the incompressibility  $K$  of nuclear matter, especially for the incompressibility  $K_0$  of standard nuclear matter. By nuclear matter we mean the uncharged nucleon system distributed uniformly in the space, and by standard nuclear matter we mean the ground-state nuclear matter with equal neutron and proton numbers [1]. Theoretically, there are two separate problems. The first problem is how to relate the measured isoscalar giant monopole resonance energy  $E_M$  to the incompressibility  $K_A$  of a finite nucleus. This is a dynamic problem, and its solution requires a model on the breathing mode of nuclear collective motion. It is believed that the model based on the scaling assumption is an appropriate candidate [2]. The second problem is how to relate the incompressibility  $K_A$  of a finite nucleus to the incompressibility  $K$  of nuclear matter. This is a static problem in the adiabatic approximation, its solution depends on the model of nuclei and the model of the breathing mode, and the fundamental argument here is that the matter in a finite nucleus is different from the nuclear matter due to the electric charge and the finite size of nuclei. Therefore, the information about the incompressibility  $K$  of nuclear matter extracted from the isoscalar giant monopole resonance of nuclei is model dependent and even interaction dependent, since both the model of nuclei and the model of the breathing mode depend

on the choice of nuclear interaction in general.

Serious attempts in order to minimize the model and the interaction dependence of the  $K$  extracted from the measured  $E_M$  have been made. One of these attempts is to consider the nuclear matter as a limit of the matter in finite nuclei. In this case, one is justified in expanding the incompressibility  $K_A$  of finite nuclei in a series of power of  $A^{-1/3}$  [2], similar to the leptodermous expansion used in the droplet model of nuclei [3], i.e.,

$$K_A = K_0 - k_S A^{-1/3} + k_{cS} A^{-2/3} + [k_{sB} + k_{sS} A^{-1/3}] \left( \frac{N-Z}{A} \right)^2 - \kappa_C \frac{E_C}{A}, \quad (1)$$

where  $K_0$ ,  $k_S$ ,  $k_{cS}$ ,  $k_{sB}$ ,  $k_{sS}$ , and  $\kappa_C$  are adjustable parameters fitted to measured  $E_M$ ,  $A = N + Z$ ,  $N$  and  $Z$  are the neutron number and the proton number, respectively, and  $E_C$  is the Coulomb energy. The parameter  $K_0$  in the expansion (1) can be identified as the incompressibility of standard nuclear matter in the scaling model [4,5], its value  $K_0 = 220 \pm 20$  MeV obtained in this way [2] was accepted for a long time.

However, a reanalysis of the experimental data of  $E_M$  by a least-squares fit of the above semiempirical expansion gives  $K_0$  ranging from 200 to 350 MeV [6,7]. It is shown that these adjustable parameters in the expansion (1) are not completely free and the physics involved in these parameters is important in this analysis [8]. This is emphasized also by the fact that the value of  $K_0$  obtained from different nuclear measurements and astrophysical observations are spread over a large range from 180 to 800 MeV [9]. Therefore, theoret-

\*Permanent address: Department of Technical Physics, Peking University, Beijing 100871, China.

ical attempts in order to clarify the model and the interaction dependence of the relationship between  $K_A$  and  $K$  are required [10].

In this regard, a general relationship between  $K_A$  and  $K_0$  has been proposed recently [11]:

$$K_A = \frac{E_N}{-a_1 A} K_0 - \left( 7 - 30 \frac{a_1}{K_0} \right) \frac{E_C}{A}, \quad (2)$$

where  $a_1$  is the binding energy coefficient and  $E_N$  the nuclear energy of the nucleus. This result depends only on the nuclear equation of state in the sense that only the general functional form of the equation of state given by the nuclear Thomas-Fermi (TF) model with a generalized Seyler-Blanchard interaction [12] has been used. In addition, it is interesting to note that Eq. (2) is also a nonperturbative formula, as no expansion in power of  $A^{-1/3}$  has been used.

Therefore, a general relationship between  $K_A$  and  $K$  (not only  $K_0$ ) is to be worked out, which is expected to depend mainly on the nuclear equation of state, within the TF model of nuclei and the scaling model of the breathing mode. In addition, an approximate expansion similar to Eq. (1) is expected to be derived from this general relation. This expectation is supported also by the numerical result based on microscopically constrained Hartree-Fock and random-phase approximation (RPA) sum rule calculations. As a matter of fact, independent of the chosen interactions,  $K_A \approx 0.64K_0 - 3.5$  for  $^{208}\text{Pb}$  and a similar relationship for  $^{116}\text{Sn}$  are obtained [8]. The reason why we use the TF model of nuclei is that the incompressibility is essentially a macroscopic concept and the TF model is appropriate to describe the macroscopic properties of nuclei.

The purpose of the present paper is to derive this general relationship between the incompressibility  $K_A$  of finite nuclei and the incompressibility  $K$  of nuclear matter, by using the nuclear TF model and the linear scaling assumption, and to show that the expansion (1) holds approximately, independent of the choice of interaction. Section II describes the main model assumption and the general TF model treatment, where the TF nuclear energy is separated into two parts, one of which depends only on the local nucleon densities and the other on the gradients of densities. The result given in this section is interaction independent, since only the general formulation of the TF model and the linear scaling assumption are used. The specific interaction and model of nuclei are needed only when practical calculation is performed. In this context, the localized approximation of the generalized Seyler-Blanchard interaction is given in Sec. III. In applying the above theory to calculate the isoscalar giant monopole resonance energy  $E_M$  of nuclei, the constrained TF model calculation is given in Sec. IV. Section V discusses the leptodermous expansion of the incompressibility  $K_A$  of finite nuclei which gives a theoretical justification for the expansion (1). In Sec. VI a short discussion and summary are presented. The theoretical foundation of the scaling assumption based on a quantum mechanical model is discussed in Appendix A, the specific expressions of the quantities appeared in the numerical calculation of Secs. III and IV are collected in Appendix B, while some formulas for integrals involving Fermi function which appeared in the leptodermous expansion are given in Appendix C.

## II. MODEL AND THEORY

The fact that there is only one isoscalar giant monopole resonance energy  $E_M$  for each nucleus experimentally provides a strong evidence that this resonance is a kind of simple collective harmonic oscillation with only one degree of freedom. This collective motion of nuclei corresponds to the breathing mode of radial oscillation. The most intuitive choice of the variable for the breathing mode is the radius of the nuclei [8,11]. But there are at least three characteristic radii for a spherically symmetric leptodermous distribution of nucleons: the central radius  $C$ , the equivalent sharp radius  $R$ , and the equivalent root mean square radius  $Q$  [13]. In order to avoid an obvious dependence of the theory on the specific choice of the radius, the scaling factor  $\eta$  in the radial linear scaling transformation

$$\mathbf{r} \rightarrow \mathbf{r}_s = \eta \mathbf{r} \quad (3)$$

is chosen as the dimensionless collective coordinate for the breathing mode in the present work.

In a TF model of nuclei, one of the basic quantities is the nucleon density  $\rho(\mathbf{r})$  which can be normalized to the number of nucleons  $A$ . Under the scaling transformation (3), the scaled density  $\rho_s(\mathbf{r})$  is given by

$$\rho(\mathbf{r}) \rightarrow \rho_s(\mathbf{r}) = \eta^3 \rho(\eta \mathbf{r}). \quad (4)$$

Similar relations hold for the neutron density  $\rho_n(\mathbf{r})$  and the proton density  $\rho_p(\mathbf{r})$ .

The kinetic energy of the breathing mode oscillation can be written as

$$E_k = \frac{1}{2} m_N \int d^3 r \rho_s(\mathbf{r}) [\mathbf{v}(\mathbf{r})]^2 = \frac{1}{2} m_N A \langle r^2 \rangle_s \left( \frac{\dot{\eta}}{\eta} \right)^2, \quad (5)$$

where  $m_N$  is the nucleon mass,

$$\langle r^2 \rangle_s = \frac{1}{A} \int d^3 r \rho_s(\mathbf{r}) r^2 = \frac{1}{\eta^2} \frac{1}{A} \int d^3 r \rho(\mathbf{r}) r^2 = \frac{1}{\eta^2} \langle r^2 \rangle, \quad (6)$$

and  $\mathbf{v}(\mathbf{r}) = -\mathbf{r} \dot{\eta} / \eta$  is the velocity field of the breathing mode defined by the equation of continuity  $\partial \rho_s / \partial t + \nabla \cdot (\rho_s \mathbf{v}) = 0$ . By introducing [14]  $s = (\eta - 1) / \eta$ , the kinetic energy can be rewritten as

$$E_k = \frac{1}{2} m_N A \langle r^2 \rangle (\dot{s})^2. \quad (7)$$

The ground-state energy  $E_A$  of nucleus  $(A, Z)$  can be written in the TF model as

$$E_A = E_N + E_C + E_{\text{res}}, \quad (8)$$

where  $E_N$  is the nuclear energy,  $E_C$  the Coulomb energy, and  $E_{\text{res}}$  the residual energy which includes mainly the shell correction and the even-odd energy [15]. Furthermore, the nuclear energy  $E_N$  can be separated generally into a term  $E_{\text{LD}}$  depending on the local densities and a term  $E_{\text{GD}}$  depending on the gradients of densities,

$$E_N = E_{\text{LD}} + E_{\text{GD}}. \quad (9)$$

Specifically, the local density dependent term  $E_{\text{LD}}$  can be written generally as

$$E_{\text{LD}} = \int d^3r \rho(\mathbf{r}) e(\rho, \delta), \quad (10)$$

where  $e(\rho, \delta)$ , the energy per nucleon, is the nuclear matter equation of state, and the relative neutron excess  $\delta$  of nuclear matter is

$$\delta = \frac{\rho_n - \rho_p}{\rho}. \quad (11)$$

The Coulomb energy  $E_C$  can be divided into Coulomb direct energy  $E_{\text{Coul}}$  and Coulomb exchange energy  $E_{\text{ex}}$  [16], i.e.,  $E_C = E_{\text{Coul}} + E_{\text{ex}}$ , where

$$E_{\text{Coul}} = \frac{1}{2} \int \frac{d^3r d^3r' e^2 \rho_p(\mathbf{r}) \rho_p(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|},$$

$$E_{\text{ex}} = -e^2 \frac{3}{4} \left( \frac{3}{\pi} \right)^{1/3} \int d^3r \rho_p^{4/3}(\mathbf{r}). \quad (12)$$

The potential energy of the breathing mode can be assumed, except by a constant, to be the scaling transformed energy  $E_A(\eta)$  of nuclei, in an adiabatic approximation,

$$E_A(\eta) = E_N(\eta) + E_C(\eta) + E_{\text{res}}(\eta), \quad (13)$$

where  $E_N(\eta) = E_{\text{LD}}(\eta) + E_{\text{GD}}(\eta)$ ,

$$E_{\text{LD}}(\eta) = \int d^3r \rho_s(\mathbf{r}) e(\rho_s, \delta_s) = \int d^3r \rho(\mathbf{r}) e(\eta^3 \rho, \delta), \quad (14)$$

$$E_C(\eta) = \frac{1}{2} \int \frac{d^3r d^3r' e^2 \rho_p^s(\mathbf{r}) \rho_p^s(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} - e^2 \frac{3}{4} \left( \frac{3}{\pi} \right)^{1/3} \int d^3r \rho_p^{s4/3}(\mathbf{r}) = \eta E_C, \quad (15)$$

and

$$E_{\text{res}}(\eta) = E_{\text{shell}}(\eta) + E_{\text{even-odd}}(\eta), \quad (16)$$

where  $E_{\text{shell}}(\eta)$  and  $E_{\text{even-odd}}(\eta)$  are the scaled shell correction and even-odd energy, respectively.

For a small vibration of the breathing mode, the scaling variable  $\eta$  oscillates around its stable value  $\eta=1$  with a small amplitude  $|s| \ll 1$ ,  $E_A(\eta)$  can be written approximately as

$$E_A(\eta) = E_A + \frac{1}{2} A K_A s^2 + \frac{1}{6} A K_3 s^3 + \frac{1}{24} A K_4 s^4, \quad (17)$$

where

$$K_A = \frac{1}{A} \left. \frac{d^2 E_A(\eta)}{d\eta^2} \right|_{\eta=1} \quad (18)$$

is the incompressibility of the finite nucleus  $A$  [4], while

$$K_3 = \frac{1}{A} \left[ \frac{d^3 E_A(\eta)}{d\eta^3} + 6 \frac{d^2 E_A(\eta)}{d\eta^2} \right]_{\eta=1},$$

$$K_4 = \frac{1}{A} \left[ \frac{d^4 E_A(\eta)}{d\eta^4} + 12 \frac{d^3 E_A(\eta)}{d\eta^3} + 36 \frac{d^2 E_A(\eta)}{d\eta^2} \right]_{\eta=1}. \quad (19)$$

In writing Eq. (17), the stability condition of the breathing mode vibration  $[dE_A(\eta)/d\eta]_{\eta=1} = 0$  has been applied. From Eqs. (14) and (15), this condition can be written explicitly as

$$\int d^3r \rho(\mathbf{r}) 3\rho(\mathbf{r}) \left. \frac{\partial e}{\partial \rho} + \frac{dE_{\text{GD}}(\eta)}{d\eta} \right|_{\eta=1} + E_C + \left. \frac{dE_{\text{res}}(\eta)}{d\eta} \right|_{\eta=1} = 0. \quad (20)$$

In an harmonic approximation, Eq. (17) is reduced to

$$E_A(\eta) \approx E_A + \frac{1}{2} A K_A s^2, \quad (21)$$

the isoscalar giant monopole resonance energy  $E_M$  is given as the harmonic oscillator energy  $E_M \approx \hbar \omega$ , and from Eqs. (7) and (21),

$$\hbar \omega = \sqrt{\frac{\hbar^2 K_A}{m_N \langle r^2 \rangle}}. \quad (22)$$

From Eq. (13), the incompressibility  $K_A$  can be divided into three parts  $K_N$ ,  $K_C$ , and  $K_{\text{res}}$ ,

$$K_A = K_N + K_C + K_{\text{res}}, \quad (23)$$

where

$$K_N = \frac{1}{A} \left. \frac{d^2 E_N(\eta)}{d\eta^2} \right|_{\eta=1}, \quad K_C = \frac{1}{A} \left. \frac{d^2 E_C(\eta)}{d\eta^2} \right|_{\eta=1},$$

$$K_{\text{res}} = \frac{1}{A} \left. \frac{d^2 E_{\text{res}}(\eta)}{d\eta^2} \right|_{\eta=1}. \quad (24)$$

The main contribution to the incompressibility  $K_A$  comes from the nuclear energy  $E_N$ . From Eq. (14), the following expression can be derived,

$$K_N = \frac{1}{A} \int d^3r \rho(\mathbf{r}) \left[ K(\rho, \delta) + 6\rho(\mathbf{r}) \frac{\partial e}{\partial \rho} \right] + \frac{1}{A} \left. \frac{d^2 E_{\text{GD}}(\eta)}{d\eta^2} \right|_{\eta=1}, \quad (25)$$

where

$$K(\rho, \delta) = 9\rho^2 \frac{\partial^2 e}{\partial \rho^2} \quad (26)$$

is the incompressibility of nuclear matter. Applying the stability condition (20), the following expression of  $K_N$  results:

$$K_N = \langle K(\rho, \delta) \rangle + K_{\text{GD}} - 2 \frac{E_C}{A} - 2 \left. \frac{dE_{\text{res}}(\eta)}{d\eta} \right|_{\eta=1}, \quad (27)$$

where

$$\langle K(\rho, \delta) \rangle = \frac{1}{A} \int d^3r \rho(\mathbf{r}) K(\rho, \delta) \quad (28)$$

is the nuclear matter incompressibility averaged over the nucleon distribution  $\rho(\mathbf{r})$  of nuclei, and

$$K_{\text{GD}} = \frac{1}{A} \left[ \frac{d^2 E_{\text{GD}}(\eta)}{d\eta^2} - 2 \frac{dE_{\text{GD}}(\eta)}{d\eta} \right]_{\eta=1} \quad (29)$$

is the contribution from the gradients of densities.

Due to the scaling property (15) of the Coulomb energy, there is no contribution from the Coulomb energy directly to the incompressibility of finite nuclei, i.e.,  $K_C = 0$ , and due to the scaling assumption (16) of the residual energy, the contribution from the residual energy can be divided into two distinct parts, i.e.,  $K_{\text{res}} = K_{\text{shell}} + K_{\text{even-odd}}$ , where

$$K_{\text{shell}} = \frac{1}{A} \left. \frac{d^2 E_{\text{shell}}(\eta)}{d\eta^2} \right|_{\eta=1},$$

$$K_{\text{even-odd}} = \frac{1}{A} \left. \frac{d^2 E_{\text{even-odd}}(\eta)}{d\eta^2} \right|_{\eta=1}. \quad (30)$$

In a quantum mechanical model discussed in Appendix A, the following scaling properties are obtained:

$$E_{\text{shell}}(\eta) = \eta^2 E_{\text{shell}}, \quad E_{\text{even-odd}}(\eta) = \eta^2 E_{\text{even-odd}}, \quad (31)$$

so we have

$$E_{\text{res}}(\eta) = \eta^2 E_{\text{res}}, \quad K_{\text{res}} = 2 \frac{E_{\text{res}}}{A}. \quad (32)$$

Substituting Eqs. (27), (32), and  $K_C = 0$  into Eq. (23), the following expression for  $K_A$  results:

$$K_A = \langle K(\rho, \delta) \rangle + K_{\text{GD}} - 2 \frac{E_C + E_{\text{res}}}{A}. \quad (33)$$

Numerically, the residual energy term  $-2E_{\text{res}}/A$  can be neglected within an error of less than 1%, so we have finally

$$K_A = \langle K(\rho, \delta) \rangle + K_{\text{GD}} - 2 \frac{E_C}{A}. \quad (34)$$

The formula (33) or (34), similar to the Myers-Swiatecki's formula (2), is our main result. This formula is general, as only the general expression of the TF model energy together with the linear scaling assumption are employed. In the practical application of this formula, the specific nuclear matter equation of state  $e(\rho, \delta)$ , the nucleon distribution  $\rho(\mathbf{r})$  of nuclei, the relative neutron excess  $\delta$  of nuclear matter in the nuclei, the energy  $E_{\text{GD}}$  depending on the gradients of nucleon densities, and the specific expression of Coulomb energy  $E_C$  are needed, and all of them are model and interaction dependent.

In order to calculate the anharmonic effect given by the terms beyond the second order in Eq. (17), the following perturbation result is obtained, by neglecting higher order terms in the second order correction:

$$E_M = \hbar \omega + \frac{1}{8} \frac{K_4}{A \langle r^2 \rangle^2} \frac{\hbar^4}{m_N^2} \frac{1}{(\hbar \omega)^2} - \frac{5}{24} \frac{K_3^2}{A \langle r^2 \rangle^3} \frac{\hbar^6}{m_N^3} \frac{1}{(\hbar \omega)^4}. \quad (35)$$

It can be seen easily that, as the mean square of radius  $\langle r^2 \rangle$  is proportional to  $A^{2/3}$  while  $K_3$  and  $K_4$  as well as  $\hbar \omega$  have no serious changes with the nucleon number  $A$ , the anharmonic effect is significant only for light nuclei.

### III. LOCALIZED APPROXIMATION OF SEYLER-BLANCHARD-TYPE INTERACTION

In the TF or the extended TF theory, the nuclear energy can be written generally as

$$E_N = \int d^3r h[\rho_n(\mathbf{r}), \rho_p(\mathbf{r})], \quad (36)$$

where the Hamiltonian density  $h[\rho_n(\mathbf{r}), \rho_p(\mathbf{r})]$  is a functional of nucleon densities  $\rho_n(\mathbf{r})$  and  $\rho_p(\mathbf{r})$ . For the extended TF theory or the TF theory with Skyrme-type interaction [17],  $h[\rho_n(\mathbf{r}), \rho_p(\mathbf{r})]$  depends also on the gradients of  $\rho_n(\mathbf{r})$  and  $\rho_p(\mathbf{r})$ , while for the TF theory with the Seyler-Blanchard-type interaction  $h[\rho_n(\mathbf{r}), \rho_p(\mathbf{r})]$  is expressed as a space integral as

$$h[\rho_n(\mathbf{r}), \rho_p(\mathbf{r})] = \int d^3r' Y(\mathbf{r}, \mathbf{r}') F(\mathbf{r}, \mathbf{r}'), \quad (37)$$

where  $F(\mathbf{r}, \mathbf{r}')$  is the functional of  $\rho_n(\mathbf{r})$  and  $\rho_p(\mathbf{r})$  given at points  $\mathbf{r}$  and  $\mathbf{r}'$ , and  $Y(\mathbf{r}, \mathbf{r}')$  is the Yukawa potential with the force range  $a$ :

$$Y(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi a^3} \frac{e^{-|\mathbf{r}-\mathbf{r}'|/a}}{|\mathbf{r}-\mathbf{r}'|/a}. \quad (38)$$

For finite nuclei, the nucleon distribution spreads over a finite region whose radius is much larger than  $a$  and the integral of Eq. (37) can be calculated approximately, for the spherically symmetric distribution of nucleons, by using the Taylor expansion of  $F(r, r')$  at the point  $r' = r$ . It gives

$$\int d^3r' Y(\mathbf{r}, \mathbf{r}') F(r, r') = \sum_{n=0}^{\infty} \frac{a^n}{n!} I_n(r/a) F^{(n)}(r), \quad (39)$$

where

$$F^{(n)}(r) = \left. \frac{d^n F(r, r')}{dr'^n} \right|_{r'=r}, \quad (40)$$

$$I_n(x) = \frac{1}{x} [P_n(x) - Q_n(x) e^{-x}], \quad (41)$$

$$P_n(x) = \sum_{k=0}^n \binom{n}{k} (-x)^k \tilde{P}_m(x),$$

$$Q_n(x) = \sum_{k=0}^n \binom{n}{k} (-x)^k m! \frac{1}{2} [1 + (-1)^m], \quad (42)$$

$$\begin{aligned} \bar{P}_m(x) &= x^m + m(m-1)x^{m-2} + \dots + m!x^{(1-\cos m\pi)/2}, \\ m &= n-k+1. \end{aligned} \quad (43)$$

As examples which will be used in the present calculation, we have

$$I_0(x) = 1, \quad I_1(x) = \frac{2}{x}(1 - e^{-x}), \quad I_2(x) = 2(1 + 2e^{-x}). \quad (44)$$

Substituting Eqs. (37) and (39) into Eq. (36), the nuclear energy  $E_N$  can be expressed as an expansion where the zero order term corresponds to the local density-dependent energy,

$$E_{\text{LD}} = \int d^3r F(r, r) = \int d^3r \rho(r) e(\rho, \delta), \quad (45)$$

while the higher order terms contribute to the energy depending on the gradients of densities,

$$E_{\text{GD}} = \sum_{n=1}^{\infty} E_{\text{GD}n} = E_{\text{GD}1} + E_{\text{GD}2} + \dots, \quad (46)$$

where

$$E_{\text{GD}n} = \frac{a^n}{n!} \int d^3r I_n(r/a) F^{(n)}(r), \quad n = 1, 2, \dots \quad (47)$$

For finite nuclei, since the nucleon distribution presents a well-established uniform central region and a falloff surface region and the  $F^{(n)}(r)$  is a function of  $r$  with sharp peaks located around the central radius  $C$ , the above expansion converges as a series of power of  $a/C$ . In this case, keeping the first few terms is enough for most purposes. We call this the localized approximation of the Seyler-Blanchard-type interaction. In this sense, the local density approximation is the special case of the localized approximation when keeping only the leading term  $E_{\text{LD}}$  in the expansion. In the present work we keep the terms up to  $n = 2$ .

The specific form of the functional  $F(r, r')$  depends on the type of interaction. As an example, we will use in the present work the generalized Seyler-Blanchard interaction which is introduced by Myers and Swiatecki [12] and thus will be referred to hereafter as the Myers-Swiatecki interaction.

The Myers-Swiatecki effective nuclear interaction  $V(\mathbf{r}, \mathbf{r}')$  is written as

$$V(\mathbf{r}, \mathbf{r}') = \frac{T_0}{\hat{\rho}_0} Y(\mathbf{r}, \mathbf{r}') \left[ -\alpha + \beta \left( \frac{p}{p_0} \right)^2 - \gamma \frac{p_0}{p} + \sigma \left( \frac{\bar{\rho}}{\hat{\rho}_0} \right)^{2/3} \right], \quad (48)$$

where  $p$  is the relative momentum of two nucleons situated at  $\mathbf{r}$  and  $\mathbf{r}'$ , respectively,  $\bar{\rho}$  is the average density defined as  $\bar{\rho}^{2/3} = [\rho^{2/3}(\mathbf{r}) + \rho^{2/3}(\mathbf{r}')]/2$ , and  $\hat{\rho}_0 = \rho_0/2$ . The quantities  $T_0$ ,  $p_0$ , and  $\rho_0$  are the Fermi energy, the Fermi momentum, and the standard nuclear matter density. The dimensionless interaction strength parameters  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\sigma$  may be differ-

ent for interactions between like and unlike nucleons. The difference is described by a parameter  $\xi$  for the leading part of the interaction

$$\alpha_{l,u} = \frac{1}{2} (1 \mp \xi) \alpha \quad (49)$$

and by  $\zeta$  for the remaining parts of the interaction

$$\begin{aligned} \beta_{l,u} &= \frac{1}{2} (1 \mp \zeta) \beta, \quad \gamma_{l,u} = \frac{1}{2} (1 \mp \zeta) \gamma, \\ \sigma_{l,u} &= \frac{1}{2} (1 \mp \zeta) \sigma, \end{aligned} \quad (50)$$

where  $l, u$  refer to ‘‘like’’ and ‘‘unlike,’’ and are associated with the minus and plus signs, respectively.

The first two terms in the square bracket of Eq. (48), with  $\xi = \zeta$ , are the original Seyler-Blanchard attractions with momentum-dependent repulsion [18]. The third term is an additional attraction, while the fourth term is an additional repulsion.

Calculating the potential energy with the above interaction (48), together with the kinetic energy calculated by the TF model, the  $F(r, r')$  can be obtained [12,15]. From this  $F(r, r')$ , the nuclear equation of state  $e(\rho, \delta)$  can be obtained as

$$e(\rho, \delta) = T_0 \left[ B(\delta) \left( \frac{\rho}{\rho_0} \right)^{2/3} - C(\delta) \left( \frac{\rho}{\rho_0} \right)^{3/3} + D(\delta) \left( \frac{\rho}{\rho_0} \right)^{5/3} \right], \quad (51)$$

where

$$\begin{aligned} B(\delta) &= \frac{3}{10} (1 - \gamma_l) [(1 + \delta)^{5/3} + (1 - \delta)^{5/3}] \\ &\quad - \frac{3}{20} \gamma_u \begin{cases} 5(1 + \delta)^{2/3}(1 - \delta) - (1 - \delta)^{5/3}, & \text{for } \delta \geq 0, \\ 5(1 + \delta)(1 - \delta)^{2/3} - (1 + \delta)^{5/3}, & \text{for } \delta \leq 0, \end{cases} \end{aligned} \quad (52)$$

$$C(\delta) = \frac{1}{2} \alpha (1 - \xi \delta^2), \quad (53)$$

$$\begin{aligned} D(\delta) &= \frac{3}{10} \{ B_l [(1 + \delta)^{8/3} + (1 - \delta)^{8/3}] \\ &\quad + B_u (1 - \delta^2) [(1 + \delta)^{2/3} + (1 - \delta)^{2/3}] \}, \end{aligned} \quad (54)$$

where

$$B_{l,u} = \frac{1}{2} (1 \mp \zeta) B, \quad B = \beta + \frac{5}{6} \sigma. \quad (55)$$

The term in  $\rho^{2/3}$  in the equation of state (51) is related to the Fermi gas kinetic energy with an extra contribution from the  $1/p$  attraction, the term in  $\rho$  is related to the normal Yukawa attraction, and the term in  $\rho^{5/3}$  is due to the momentum-dependent repulsion with an additional contribution from the  $\bar{\rho}^{2/3}$  repulsion.

The parameters  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\sigma$ ,  $\xi$ ,  $\zeta$ , and  $a$  can be determined by fitting to the nuclear masses, the nuclear fission barriers as well as the nuclear optical potential, when the radius constant  $r_0$  of standard nuclear matter and the Süssmann width  $b$  [13] are kept as two geometrical constraints, giving [15]

$$\begin{aligned}\alpha &= 1.94684, & \beta &= 0.15311, & \gamma &= 1.13672, \\ \sigma &= 1.05, & \xi &= 0.27976, & \zeta &= 0.55665, \\ a &= 0.59294 \text{ fm}, & r_0 &= 1.14 \text{ fm}, & b &= 1.0 \text{ fm}.\end{aligned}\quad (56)$$

A relation between parameters  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\sigma$  can be obtained from the stability of standard nuclear matter based on the above equation of state as [12]

$$10B - 5\alpha + 4(1 - \gamma) = 0. \quad (57)$$

This means that only six of the seven parameters  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\sigma$ ,  $\xi$ ,  $\zeta$ , and  $a$  are free. Furthermore, the following relationship between the incompressibility  $K_0$  of standard nuclear matter and the volume binding coefficient  $a_1$  as well as the Fermi energy  $T_0$  can be derived from the above equation of state [12]:

$$K_0 = 15 a_1 + \frac{9}{5} (1 - \gamma) T_0. \quad (58)$$

As  $a_1 \approx 16 \pm 0.5$  MeV is well established, and  $T_0$  is about  $36.4 \pm 3.2$  MeV when  $r_0 \approx 1.15 \pm 0.05$  fm, it can be seen from the above relationship with  $\gamma = 0$  that  $K_0$  is in the range of  $306 \pm 13$  MeV for the original Seyler-Blanchard interaction. However, this range of  $K_0$  is too narrow for the adjustment of parameters, so the original Seyler-Blanchard interaction is not able to give a value of  $K_0$  as low as around 220 MeV.

The higher order term  $E_{\text{GD}}$  contributes to the nuclear energy as the correction due to the gradients of nucleon densities. For the interaction (48), the first two terms in  $E_{\text{GD}}$  can be derived as

$$\begin{aligned}F^{(1)}(r) &= T_0 \left[ \epsilon_{1n} \frac{d\rho_n}{dr} + \epsilon_{1p} \frac{d\rho_p}{dr} \right], \quad (59) \\ F^{(2)}(r) &= T_0 \left[ \epsilon_{1n} \frac{d^2\rho_n}{dr^2} + \epsilon_{1p} \frac{d^2\rho_p}{dr^2} + \frac{\epsilon_{2n}}{\hat{\rho}_0} \left( \frac{d\rho_n}{dr} \right)^2 \right. \\ &\quad \left. + \frac{\epsilon_{2p}}{\hat{\rho}_0} \left( \frac{d\rho_p}{dr} \right)^2 \right], \quad (60)\end{aligned}$$

where  $\epsilon_{1n}$ ,  $\epsilon_{1p}$ ,  $\epsilon_{2n}$ , and  $\epsilon_{2p}$  are the functionals of nucleon densities  $\rho_n(r)$  and  $\rho_p(r)$  whose specific expressions are given in Appendix B.

$E_{\text{GD1}}$  depends on the first order derivatives of nucleon densities, while  $E_{\text{GD2}}$  depends on the second order derivatives as well as on the square of the first order derivatives of nucleon densities. Therefore, the localized approximation of the Seyler-Blanchard-type interaction, including the local density approximation term  $E_{\text{LD}}$  together with the two correction terms  $E_{\text{GD1}}$  and  $E_{\text{GD2}}$ , is shown to be similar to the Skyrme-type interaction with the gradient-dependent terms.

This is the reason why the Seyler-Blanchard-type interaction can reproduce the nuclear measurement data so well, even if it has no gradient-dependent term explicitly.

For simplifying the analytical derivation of the leptodermous expansion given in Sec. V, the simplified Myers-Swiatecki interaction will be used in what follows. In the simplified Myers-Swiatecki interaction, the Yukawa potential (38) is applied only to the leading part of the interaction (with the interaction parameter  $\alpha$ ), while the Dirac delta function  $\delta(\mathbf{r}-\mathbf{r}')$  is employed for the remaining part of the interaction (with the interaction parameters  $\beta$ ,  $\gamma$ , and  $\sigma$ ) [19]. In this case, only the leading part of the interaction is the Seyler-Blanchard-type one, for which the above localized approximation is applied, while the remaining part is reduced to the usual local interaction, which contributes only to the local-density dependent term. Therefore, in the simplified interaction, the terms with the parameters  $\beta$ ,  $\gamma$ , and  $\sigma$  disappear in  $F^{(n)}(r)$ ; Eq. (59) keeps the same form but Eq. (60) is reduced to

$$F^{(2)}(r) = T_0 \left[ \epsilon_{1n} \frac{d^2\rho_n}{dr^2} + \epsilon_{1p} \frac{d^2\rho_p}{dr^2} \right] \quad (61)$$

and  $\epsilon_{1n}$  as well as  $\epsilon_{1p}$  are simplified to

$$\begin{aligned}\epsilon_{1n} &= -\epsilon_{1n\alpha} = -\frac{1}{2} \left[ \alpha_l \frac{\rho_n}{\hat{\rho}_0} + \alpha_u \frac{\rho_p}{\hat{\rho}_0} \right], \\ \epsilon_{1p} &= -\epsilon_{1p\alpha} = -\frac{1}{2} \left[ \alpha_l \frac{\rho_p}{\hat{\rho}_0} + \alpha_u \frac{\rho_n}{\hat{\rho}_0} \right].\end{aligned}\quad (62)$$

In the TF theory, the total binding energy  $E_{\text{TF}}$  is the sum of the nuclear energy  $E_N$  and the Coulomb energy  $E_C$ :

$$E_{\text{TF}} = E_N + E_C \quad (63)$$

and the nucleon densities  $\rho_n(r)$  and  $\rho_p(r)$  are determined by the minimization of  $E_{\text{TF}}$  with respect to their variations  $\delta\rho_n(r)$  and  $\delta\rho_p(r)$ . In the present work, the following two-parameter Fermi distribution is taken for  $\rho_n(r)$  and  $\rho_p(r)$ :

$$\rho_q(r) = \frac{\rho_{qc}}{1 + \exp[(r - C_q)/d]}, \quad (64)$$

where  $q = n$  or  $p$ . The  $\rho_{qc}$  can be determined by normalizing  $\rho_n(r)$  and  $\rho_p(r)$  to  $N$  and  $Z$ , respectively. The surface diffuseness  $d$  can be related to the Süssmann width  $b$  as [13]  $d = \sqrt{3}b/\pi$ . The central radius  $C_n$  and  $C_p$  are left as the free parameters to be determined by minimizing  $E_{\text{TF}}$ . As the densities are constrained to be Fermi distributions, the present calculation is similar to the constrained extended TF model calculation given in Ref. [5], where the densities are constrained to be generalized Fermi distributions.

In expressing the densities as the Fermi distribution (64), the Coulomb energy  $E_{\text{Coul}}$  and  $E_{\text{ex}}$  can be written, respectively, as [20]

$$E_{\text{Coul}} \approx \frac{3}{5} \frac{Z^2 e^2}{C_p} \left[ 1 - \frac{7\pi^2}{6} \left( \frac{d}{C_p} \right)^2 + 18.031 \left( \frac{d}{C_p} \right)^3 \right],$$

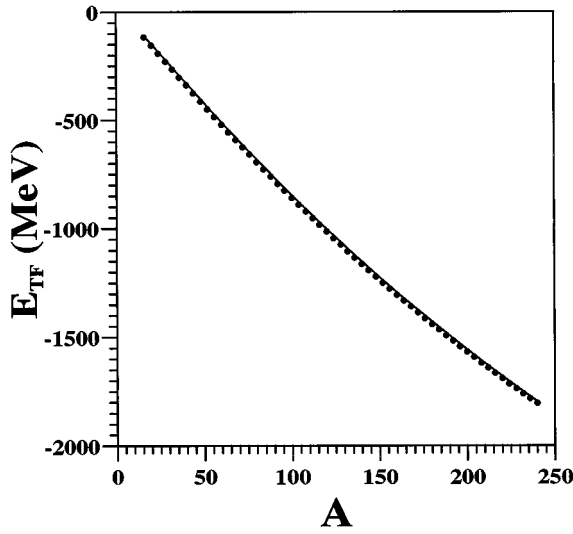


FIG. 1. The TF binding energy  $E_{\text{TF}}$  of the nuclei along the  $\beta$ -stability line. The solid curve is calculated by the present localized approximation and the full dots are taken from the exact numerical calculation given in Ref. [21]. The difference between them is within 1% for medium and heavy nuclei while less than 5% for light nuclei.

$$E_{\text{ex}} \approx -\frac{3}{4} \left( \frac{3}{2\pi} \right)^{2/3} \frac{e^2 Z^{4/3}}{C_p} \left[ 1 - 1.3355 \frac{d}{C_p} \right]. \quad (65)$$

Figure 1 plots the calculated TF binding energy  $E_{\text{TF}}$  of nuclei along the  $\beta$ -stability line. The solid curve is calculated by the present localized approximation and the full dots are taken from the exact numerical calculation given in Ref. [21]. It can be seen that the present localized approximation is good enough, as the deviation from the exact TF calculation is within 1% for medium and heavy nuclei and less than 5% for light nuclei.

Since the inclusion of the gradient density dependent term  $E_{\text{GD}}$  represents an improvement to the local density approximation term  $E_{\text{LD}}$ , it is interesting to evaluate its contribution. In order to do that, we anticipate that the surface energy  $E_S$ , which will be defined in Sec. V, is just given by the sum of this new term  $E_{\text{GD}}$  and the term  $E_{S0}$  depending on the local density. In Fig. 2, we display  $E_S$  (solid curve),  $E_{S0}$  (full dots),  $E_{\text{GD}}$  (crosses), and the droplet model surface energy  $E_S$  (DLM) [15] (triangles). The Coulomb energy  $E_C$  is plotted also for comparison (dashed curve). All of these curves are for nuclei along the  $\beta$ -stability line. It can be seen that the energy  $E_{\text{GD}}$  depending on the gradients of densities contributes about 34–38% of the total surface energy. This means that  $E_{\text{GD}}$  cannot be neglected at all in a realistic calculation.

#### IV. ISOSCALAR GIANT MONOPOLE RESONANCE ENERGY

In applying the general formula (33) to calculate the isoscalar giant monopole resonance energy  $E_M$  of nuclei, the constrained TF model calculation is given as an example in this section. The nuclear matter incompressibility  $K(\rho, \delta)$  corresponding to the equation of state (51) is

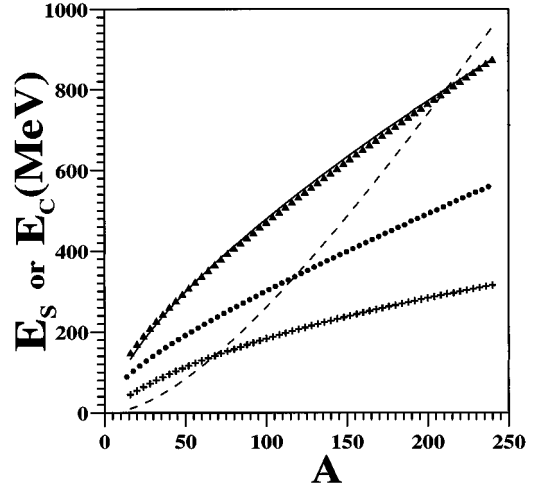


FIG. 2. The contributions to the TF surface energy  $E_S$  of finite nuclei along the  $\beta$ -stability line, calculated by the present localized approximation. The full dots are the energy  $E_{S0}$  of the local density approximation, the crosses are the density-gradient-dependent energy  $E_{\text{GD}}$ , the solid curve is the total TF surface energy  $E_S$ , and the triangles are the droplet model surface energy  $E_S$  (DLM) [15]. The Coulomb energy  $E_C$  is plotted also as the dashed curve for comparison. It can be seen that  $E_{\text{GD}}$  contributes about 34–38% of the total surface energy.

$$K(\rho, \delta) = T_0 \left[ -2B(\delta) \left( \frac{\rho}{\rho_0} \right)^{2/3} + 10D(\delta) \left( \frac{\rho}{\rho_0} \right)^{5/3} \right]. \quad (66)$$

Corresponding to the expansion (46), the second term in the general formula (33) can be written as

$$K_{\text{GD}} = \sum_{n=1}^{\infty} K_{\text{GD}n} = \sum_{n=1}^{\infty} \frac{1}{A} \left[ \frac{d^2 E_{\text{GD}n}(\eta)}{d\eta^2} - 2 \frac{dE_{\text{GD}n}(\eta)}{d\eta} \right]_{\eta=1}. \quad (67)$$

In the simplified interaction, substituting Eqs. (59) and (61) into Eq. (47), the first two terms of the above expansion can be obtained as

$$K_{\text{GD}1} = \frac{aT_0}{A} \int d^3r \left\{ -10 [I_1(r/a) - f(r/a)] \times \left[ \epsilon_{1n\alpha} \frac{d\rho_n}{dr} + \epsilon_{1p\alpha} \frac{d\rho_p}{dr} \right] \right\}, \quad (68)$$

$$K_{\text{GD}2} = \frac{a^2 T_0}{2A} \int d^3r \left\{ -10 \left[ I_2(r/a) + 2 \frac{r}{a} f(r/a) \right] \times \left[ \epsilon_{1n\alpha} \frac{d^2 \rho_n}{dr^2} + \epsilon_{1p\alpha} \frac{d^2 \rho_p}{dr^2} \right] \right\}, \quad (69)$$

where

$$f(x) = \frac{1}{5} (x+6) e^{-x}. \quad (70)$$

In the calculation of residual energy effects, the table given in Ref. [21] is used for the shell correction and the

TABLE I. The relevant quantities calculated for some nuclei, all in MeV except  $\delta$  and  $\rho_C$  (in  $\text{fm}^{-3}$ ).

|                   | $\delta$ | $\rho_C$ | $K_A$   | $\langle K(\rho, \delta) \rangle$ | $K_{\text{GD}}$ | $2E_C/A$ | $K_{\text{res}}$ | $\hbar\omega$ | $E_M$  | $E_{M \text{ exp}}$ |
|-------------------|----------|----------|---------|-----------------------------------|-----------------|----------|------------------|---------------|--------|---------------------|
| $^{16}\text{O}$   | 0.0042   | 0.1385   | 101.191 | 72.808                            | 28.446          | 1.369    | 1.306            | 22.196        | 19.559 |                     |
| $^{40}\text{Ca}$  | 0.0176   | 0.1471   | 125.508 | 103.804                           | 24.608          | 3.319    | 0.414            | 20.225        | 19.501 | 14.11               |
| $^{58}\text{Ni}$  | 0.0371   | 0.1487   | 133.307 | 114.938                           | 22.242          | 4.227    | 0.353            | 18.974        | 18.558 | 17.23               |
| $^{90}\text{Zr}$  | 0.0825   | 0.1488   | 139.222 | 124.874                           | 19.264          | 5.091    | 0.176            | 17.187        | 16.976 | 16.13               |
| $^{112}\text{Sn}$ | 0.0866   | 0.1483   | 141.168 | 129.216                           | 17.893          | 6.050    | 0.107            | 16.247        | 16.097 | 15.87               |
| $^{114}\text{Sn}$ | 0.0952   | 0.1481   | 141.069 | 129.161                           | 17.744          | 5.926    | 0.091            | 16.154        | 16.008 | 15.73               |
| $^{140}\text{Ce}$ | 0.1277   | 0.1470   | 141.074 | 130.796                           | 16.331          | 6.178    | 0.125            | 15.180        | 15.075 | 15.11               |
| $^{208}\text{Pb}$ | 0.1649   | 0.1444   | 140.059 | 133.376                           | 13.965          | 7.445    | 0.163            | 13.369        | 13.313 | 13.86               |
| $^{238}\text{U}$  | 0.1791   | 0.1433   | 138.854 | 133.485                           | 13.199          | 7.873    | 0.043            | 12.746        | 12.702 | 13.88               |

even-odd energy. The formulas for the anharmonic coefficients  $K_3$  and  $K_4$  are given in Appendix B.

The relevant quantities calculated for some nuclei are shown in Table I. First, it can be seen that the central density  $\rho_C$  is almost a constant near the standard nuclear matter density  $\rho_0$ , while the relative neutron excess  $\delta$  is close to zero, i.e.,  $\rho_C \approx \rho_0$ ,  $0 < \delta < 0.18$ . Secondly, the average incompressibility  $\langle K(\rho, \delta) \rangle$  increases slowly from about 73 to about 133 MeV, the gradient density dependent term  $K_{\text{GD}}$  decreases from about 28 to 13 MeV, the Coulomb energy term  $-2E_C/A$  decreases from  $-1.4$  to  $-7.9$  MeV, while the residual energy term  $K_{\text{res}}$  decreases from 1.3 to 0.04 MeV, as the incompressibility  $K_A$  of the nuclei increase slowly from 101 to 140 MeV when the nucleon number increases from  $^{16}\text{O}$  to  $^{238}\text{U}$ . As the Coulomb energy contributes to  $K_A$  by less than 5%, while the residual energy contributes by less than 1%, the main contribution of  $K_A$  comes from the average incompressibility  $\langle K(\rho, \delta) \rangle$  and the gradient density-dependent term  $K_{\text{GD}}$  which contributes with about 10–20%. At last, it can be seen also that the anharmonic effect, as given by the difference between  $E_M$  and  $\hbar\omega$ , is about 6% for light nuclei while it is less than 0.5% for heavy nuclei. As the contribution  $-2E_{\text{res}}/A$  of the residual energy is very small for most of the nuclei, this term can be safely neglected in the practical calculation.

The contributions to the incompressibility  $K_A$  of finite nuclei along the  $\beta$ -stability line, calculated by the present constrained TF minimization, are shown in Fig. 3. The full dots are the average incompressibility  $\langle K(\rho, \delta) \rangle$ , the crosses are the gradient density-dependent term  $K_{\text{GD}}$ , the triangles are the Coulomb energy term  $-2E_C/A$ , and the solid curve is the total incompressibility  $K_A$ .

Figure 4 shows the isoscalar giant monopole resonance energy  $E_M$  times  $A^{1/3}$  calculated along the  $\beta$ -stability line in comparison with the measured data. The lower solid curve is calculated by the present calculation with the simplified Myers-Swiatecki interaction, the dashed curve by the approximate calculation which will be explained in the next section, the upper solid curve by Myers and Swiatecki's formula (2) with their parameters [11], the triangles by Nayak *et al.*'s leptodermous expansion of  $K_A$  with their parameters for the SkM\* interaction [5], while the experimental data (full dots) are taken from the compilation of Shlomo and Youngblood [7] with the prescription [2,6,7,10]  $E_M^2 = E_0^2 + 3(\Gamma/2.35)^2$ , where  $E_0$  is the measured centroid energy and  $\Gamma$  the experimental width. It can be seen from

this figure that the agreement between the two calculated curves (the lower solid and the dashed curves) and the experimental data is satisfactory, since there is no parameter being adjusted in the present work.

In calculating  $E_M$  by Myers and Swiatecki's and Nayak *et al.*'s expression of  $K_A$ , the harmonic approximation (22) is applied, where  $\langle r^2 \rangle$  is the same as that used in our calculation. However, as the anharmonic effect is included in our  $E_M$ , the comparison between our  $E_M$  and that of Myers and Swiatecki as well as that of Nayak *et al.* is not fully in the same base.

The calculated energy  $E_M$  for some spherical nuclei is given in Table II in comparison with other calculations. MS is the present result using the simplified Myers-Swiatecki interaction, JJ the result of Jennings and Jackson by using the hydrodynamic model [4], GBMQ the TF model calculation given by Gleissl *et al.* [22] with the Skyrme force, and BMS the relativistic mean-field calculation by Boersma *et al.* [14].

As our calculation is a constrained minimization, it would be interesting to make a comparison with the result based on an absolute minimization, where the nucleon density distribution is not restricted to assume a specific form but is ob-

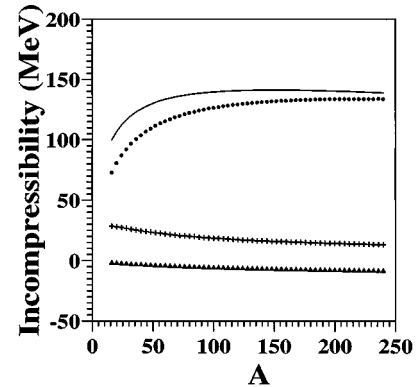


FIG. 3. The contributions to the incompressibility  $K_A$  of finite nuclei along the  $\beta$ -stability line, calculated by the present constrained TF minimization. The full dots are the average incompressibility  $\langle K(\rho, \delta) \rangle$ , the crosses are the gradient-density-dependent term  $K_{\text{GD}}$ , the triangles are the Coulomb energy term  $-2E_C/A$ , and the solid curve is the total incompressibility  $K_A$ . It can be seen that the main contribution of  $K_A$  comes from the average incompressibility  $\langle K(\rho, \delta) \rangle$ , the gradient-density-dependent term  $K_{\text{GD}}$  contributes with about 10–20%, while the Coulomb energy term  $-2E_C/A$  contributes by less than 5%.



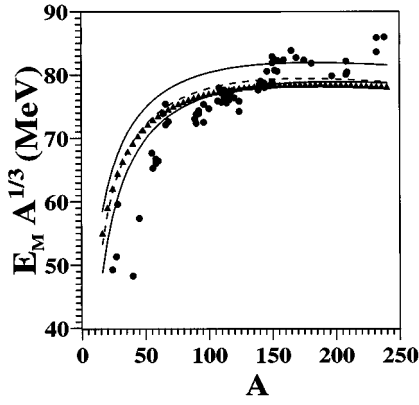


FIG. 4. Isoscalar giant monopole resonance energy  $E_M$  times  $A^{1/3}$  versus  $A$  along the  $\beta$ -stability line. The lower solid curve is calculated by the present constrained TF minimization, the dashed curve by the approximate calculation based on the droplet-model-like formulas (94) and (95), the upper solid curve by Myers and Swiatecki's formula (2) with their parameters [11], the triangles by the Nayak *et al.*'s leptodermous expansion of  $K_A$  with their parameters for the SkM\* interaction [5], while the full dots are the experimental data taken from the compilation of Shlomo and Youngblood with their prescription [7].

tained by a numerical procedure. However, this is out of the scope of the present work and we leave it as a future study.

## V. LEPTODERMOUS EXPANSION

We will derive in this section Eq. (1) from our general formula (34), by its leptodermous expansion similar to that used in the droplet model of nuclei where the nuclear energy  $E_N(A, Z)$  of the nucleus  $(A, Z)$  can be written as

$$E_N(A, Z) = E_B + E_S, \quad (71)$$

where

$$E_B = \int d^3r \rho(\mathbf{r}) e(\rho_b, \delta_b) = e(\rho_b, \delta_b) A \quad (72)$$

is the bulk energy, and

$$E_S = E_{S0} + E_{GD} = \int d^3r \rho(\mathbf{r}) [e(\rho, \delta) - e(\rho_b, \delta_b)] + E_{GD} \quad (73)$$

TABLE II. Isoscalar giant monopole resonance energy  $E_M$  (in MeV) for some spherical nuclei.

| Nucleus           | $E_0$ | $\Gamma$ | MS    | JJ   | GBMQ | BMS  |
|-------------------|-------|----------|-------|------|------|------|
| $^{16}\text{O}$   |       |          | 19.56 | 22.4 | 18.6 | 18.0 |
| $^{40}\text{Ca}$  | 14.11 |          | 19.50 | 18.2 | 18.6 | 19.0 |
| $^{58}\text{Ni}$  | 17.06 | 3.28     | 18.56 |      | 18.9 |      |
| $^{90}\text{Zr}$  | 15.95 | 3.29     | 16.98 | 15.0 | 17.7 | 22.7 |
| $^{112}\text{Sn}$ | 15.64 | 3.67     | 16.10 |      | 16.7 |      |
| $^{114}\text{Sn}$ | 15.51 | 3.52     | 16.01 |      |      | 22.5 |
| $^{140}\text{Ce}$ | 14.95 | 3.00     | 15.08 |      | 15.6 |      |
| $^{208}\text{Pb}$ | 13.73 | 2.58     | 13.31 | 11.7 | 13.5 | 21.0 |

is the surface energy [23].

For a leptodermous distribution  $\rho(\mathbf{r})$  of nucleons, the bulk density  $\rho_b$  equals approximately the central density  $\rho_C$  which is close to  $\rho_0$ . For nuclei not far from the  $\beta$ -stability line, the bulk neutron excess  $\delta_b$  is small. Therefore, the equation of state  $e(\rho_b, \delta_b)$  in Eq. (72) can be expanded at the point  $(\rho_0, 0)$  as

$$e(\rho_b, \delta_b) = -a_1 + \frac{1}{2} K_0 \epsilon^2 + J \delta_b^2 - L \epsilon \delta_b^2 + \frac{1}{2} M \delta_b^4 + \dots, \quad (74)$$

where  $\epsilon$  is the density variance

$$\epsilon = -\frac{\rho_b - \rho_0}{3\rho_0}, \quad (75)$$

while  $a_1$ ,  $K_0$ ,  $J$ ,  $L$ , and  $M$  are the droplet model parameters

$$a_1 = -e(\rho_0, 0), \quad K_0 = K(\rho_0, 0), \quad J = \frac{1}{2} \left. \frac{\partial^2 e}{\partial \delta^2} \right|_0, \\ L = \frac{3}{2} \rho_0 \left. \frac{\partial^3 e}{\partial \rho \partial \delta^2} \right|_0, \quad M = \frac{1}{12} \left. \frac{\partial^4 e}{\partial \delta^4} \right|_0. \quad (76)$$

The subscript 0 here stands for the standard nuclear matter  $\rho = \rho_0$ ,  $\delta = 0$ . As  $(\rho_0, 0)$  is the stable point of nuclear matter, the condition  $\partial e / \partial \rho|_0 = 0$  has been applied in the expansion (74). As the nuclear force is symmetric in the proton and neutron, the equation of state  $e(\rho, \delta)$  is an even function of  $\delta$ , there is no odd order terms in  $\delta_b$ .

For the surface energy  $E_S$ , we can expand the equation of state  $e(\rho, \delta)$  at  $(\rho_b, \delta_b)$ :

$$E_S \approx \rho_b \left. \frac{\partial e}{\partial \rho} \right|_b \int d^3r \rho(\mathbf{r}) \frac{\rho - \rho_b}{\rho_b} + \frac{1}{18} K(\rho_b, \delta) \int d^3r \rho(\mathbf{r}) \\ \times \left( \frac{\rho - \rho_b}{\rho_b} \right)^2 + \frac{1}{2} \left. \frac{\partial^2 e}{\partial \delta^2} \right|_b \int d^3r \rho(\mathbf{r}) [\delta(r) - \delta_b]^2 + E_{GD}, \quad (77)$$

where the subscript  $b$  stands for the bulk point  $(\rho_b, \delta_b)$ . Since the integrals in the above expansion as well as those involved in the expression of  $E_{GD}$  depend on the characteristic radius  $R$  of the nuclei and the relative neutron excess  $\delta_b$ ;  $E_S$  is generally a function of  $A^{1/3}$  and  $\delta_b$ . For a leptodermous distribution  $\rho(\mathbf{r})$ , these integrals will essentially be performed around the surface area, and the surface energy can be expressed as

$$E_S = 4\pi R^2 \left[ \frac{1}{4\pi r_0^2} (a_2 + F\epsilon + H\tau^2 + 2P\tau\delta_b - G\delta_b^2) \right] \\ + 8\pi R \left[ \frac{a_3}{8\pi r_0} \right] + \dots, \quad (78)$$

where  $a_2$ ,  $a_3$ ,  $F$ ,  $H$ ,  $P$ , and  $G$  are the droplet model parameters and  $\tau = (C_n - C_p)/r_0$  is the reduced neutron skin thickness.  $R$  is defined as  $4\pi R^3 \rho_b/3 = A$ .

If the Fermi distribution (64) is assumed for the nucleon density  $\rho_q(r)$ , the above integrals can be performed analytically. Dropping higher order terms, the result is

$$\int d^3r \rho(\mathbf{r}) \frac{\rho - \rho_b}{\rho_b} = -4\pi R^2 d \rho_b \left[ 1 + \frac{r_0^2}{24d^2} \tau^2 \right], \quad (79)$$

$$\int d^3r \rho(\mathbf{r}) \left( \frac{\rho - \rho_b}{\rho_b} \right)^2 = 4\pi R^2 d \rho_b \left[ \frac{1}{2} + \frac{r_0^2}{48d^2} \tau^2 \right] + 8\pi R d^2 \rho_b \left[ \frac{1}{2} + \frac{r_0^2}{32d^2} \tau^2 \right], \quad (80)$$

$$\int d^3r \rho(\mathbf{r}) [\delta(r) - \delta_b]^2 = 8\pi R d^2 \rho_b \frac{1}{8} \frac{r_0^2}{d^2} \tau^2, \quad (81)$$

$$E_{\text{GD1}} = \frac{2\pi R a^2 \rho_b^2 T_0}{\rho_0} \alpha (1 - \xi \delta_b^2), \quad (82)$$

$$E_{\text{GD2}} = \frac{\pi R^2 d \rho_b^2 T_0}{3\rho_0} \frac{a^2}{d^2} \left[ \alpha - \xi \alpha \delta_b^2 - \frac{r_0^2}{20d^2} (1 + \xi) \alpha \tau^2 \right] - \frac{2\pi R a^2 \rho_b^2 T_0}{\rho_0} \left[ \alpha - \xi \alpha \delta_b^2 + \frac{r_0}{6d} \xi \alpha \tau \delta_b \right]. \quad (83)$$

In performing the integrals of  $E_{\text{GD1}}$  and  $E_{\text{GD2}}$ , the approximation of  $I_1(x) \approx 2/x$  and  $I_2(x) \approx 2$  is employed as the integrals are mainly in the surface area where  $\exp(-x) \ll 1$ . Here we omit the details of the derivation of the above formulas, and give only some formulas used in this derivation in Appendix C.

Substituting the above result into the Eq. (77), the following formulas can be obtained:

$$a_2 = \frac{1}{12} \frac{d}{r_0} K_0 \left( 1 + 3\alpha \frac{a^2}{d^2} \frac{T_0}{K_0} \right), \quad (84)$$

$$a_3 = \frac{1}{6} \frac{d^2}{r_0^2} K_0, \quad (85)$$

$$F = \frac{3}{4} \frac{d}{r_0} K_0 \left( 1 - \frac{1}{3} \kappa_{10} - 2\alpha \frac{a^2}{d^2} \frac{T_0}{K_0} \right), \quad (86)$$

$$G = \frac{d}{r_0} K_0 \left( \frac{L}{K_0} - \frac{1}{24} \kappa_{02} + \frac{1}{4} \xi \alpha \frac{a^2}{d^2} \frac{T_0}{K_0} \right), \quad (87)$$

$$H = \frac{1}{288} \frac{r_0}{d} K_0 \left[ 1 - \frac{18}{5} (1 + \xi) \alpha \frac{a^2}{d^2} \frac{T_0}{K_0} \right], \quad (88)$$

$$P = 0, \quad (89)$$

where

$$\kappa_{\mu\nu} = \frac{\rho_0^\mu}{K_0} \left. \frac{\partial^{\mu+\nu} K}{\partial \rho^\mu \partial \delta^\nu} \right|_0, \quad \mu, \nu = 0, 1, 2, \dots \quad (90)$$

In these formulas, the terms involving the Yukawa range  $a$  come from the gradient dependent part of energy  $E_{\text{GD}}$ , while

the others come from the local density approximation. Especially, it is worthwhile to note that the surface energy coefficient  $a_2$  involves the contributions both from the local density dependent energy  $E_{\text{LD}}$  as well as the gradient density-dependent energy  $E_{\text{GD}}$ . Numerically, each of them contributes about half  $a_2$  if the parameters (56) are used. On the other hand, the curvature energy coefficient  $a_3$  comes only from  $E_{\text{LD}}$  in the present model.

We have to note also that, different than the usual droplet model result,  $F$  is not 0 but  $P$  is 0. This is so because in the present case the nucleon density is restricted to be the Fermi distribution (64), similar to the case of Nayak *et al.*'s work where the nucleon density is restricted to be the generalized Fermi distribution and the  $F$  term is not 0 [5]. This situation means that the Fermi distribution and even the generalized Fermi distribution are not very appropriate for expressing the nucleon distribution in a calculation with high accuracy. However, keeping this in mind, we can still expect to get some general ideas from the simplified analytical derivation by using these distributions.

Thus we have the leptodermous expansion of the total energy  $E_{\text{TF}}$  as

$$E_{\text{TF}}(A, Z) = \left[ -a_1 + \frac{1}{2} K_0 \epsilon^2 + J \delta_b^2 - L \epsilon \delta_b^2 + \frac{1}{2} M \delta_b^4 \right] A + [a_2 + (2a_2 + F) \epsilon + H \tau^2 + 2P \tau \delta_b - G \delta_b^2] A^{2/3} + a_3 A^{1/3} + c_1 \frac{Z^2}{A^{1/3}} \left[ 1 - \epsilon + \frac{\tau}{2A^{1/3}} \right], \quad (91)$$

where the first term is the volume energy, the next two terms the surface energy, and the last term the Coulomb energy. In obtaining the above expression of Coulomb energy, the geometrical relation [23]

$$\tau = \frac{2}{3} (I - \delta_b) A^{1/3} \quad (92)$$

and the approximation

$$E_C \approx \frac{3}{5} \frac{Z^2 e^2}{R_p} \quad (93)$$

are employed, where  $I = (N - Z)/A$ ,  $R_p = (3Z/4\pi\rho_{pb})^{1/3}$  is the equivalent sharp charge radius, and  $\rho_{pb} = (1 - \delta_b)\rho_b/2$ .

Minimizing the total energy with respect to  $\epsilon$  and  $\delta_b$ , the following droplet-model-like relations can be obtained:

$$\epsilon = \frac{L \delta_b^2 - (2a_2 + F) A^{-1/3} + c_1 Z^2 A^{-4/3}}{K_0}, \quad (94)$$

$$\delta_b = \frac{I + (3/8)(c_1/H)Z^2 A^{-5/3}}{1 + (9/4)(J/H)A^{-1/3} - (9/4)(G/H)A^{-2/3}}. \quad (95)$$

As the above formulas can be used to calculate the nucleon densities  $\rho_q(r)$  approximately, when the Fermi distribution (64) is assumed, we can also perform the calculation explained in the last section by using the  $\rho_q(r)$  obtained in this way. The calculated  $E_M A^{1/3}$  and  $K_A$  are shown in Figs. 4 and 5, respectively, by the dashed curves.

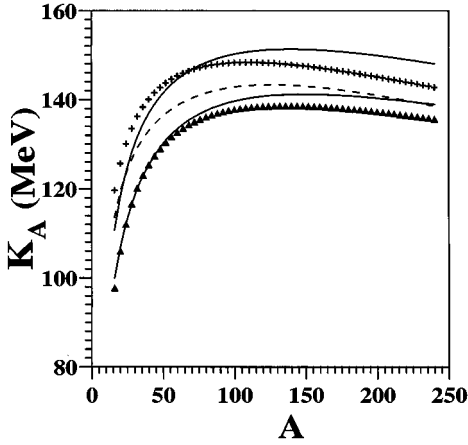


FIG. 5. The calculated incompressibility  $K_A$  of finite nuclei along the  $\beta$ -stability line by different methods. The lower solid curve is calculated by the present constrained TF minimization, the dashed curve by the approximate calculation based on the droplet-model-like formulas (94) and (95), the crosses by the present leptodermous expansion (110), the upper solid curve by Myers and Swiatecki's formula (2) with their parameters [11], and the triangles by Nayak *et al.*'s leptodermous expansion with their parameters for the SkM\* interaction [5].

Let us now consider the leptodermous expansion of the incompressibility  $K_A$ . Similarly, the nuclear part  $K_N$  of the finite nuclei incompressibility can be written as

$$K_N = \frac{1}{A} \int d^3r \rho(\mathbf{r}) K(\rho, \delta) + K_{GD} = \frac{1}{A} \int d^3r \rho(\mathbf{r}) [K(\rho_b, \delta_b) + K(\rho, \delta) - K(\rho_b, \delta_b)] + K_{GD} = K_B + K_S, \quad (96)$$

where  $K_B$  and  $K_S$  are the bulk and surface incompressibility, respectively:

$$K_B = \frac{1}{A} \int d^3r \rho(\mathbf{r}) K(\rho_b, \delta_b) = K(\rho_b, \delta_b), \quad (97)$$

$$K_S = \frac{1}{A} \int d^3r \rho(\mathbf{r}) [K(\rho, \delta) - K(\rho_b, \delta_b)] + K_{GD} = \langle K(\rho, \delta) \rangle - K(\rho_b, \delta_b) + K_{GD}. \quad (98)$$

The bulk incompressibility  $K_B$  can be expanded also at the point  $(\rho_0, 0)$ . As the bulk point  $(\rho_b, \delta_b)$  is close to  $(\rho_0, 0)$ , the variation of  $K_B$  is very small around  $K_0$ . Therefore, it is a good approximation to keep only the following five terms in the expansion:

$$K_B \approx K_0 \left( 1 - 3\kappa_{10}\epsilon + \frac{1}{2}\kappa_{02}\delta_b^2 - \frac{3}{2}\kappa_{12}\epsilon\delta_b^2 + \frac{1}{24}\kappa_{04}\delta_b^4 \right). \quad (99)$$

Substituting the formula (94) and  $\delta_b \approx I$  into the above equation, we have

$$K_B \approx K_0 + 3\kappa_{10}(2a_2 + F)A^{-1/3} + \left( \frac{1}{2}\kappa_{02}K_0 - 3\kappa_{10}L \right) \times \left( \frac{N-Z}{A} \right)^2 - 3\kappa_{10}c_1Z^2A^{-4/3} + \frac{3}{2}\kappa_{12}(2a_2 + F) \times \left( \frac{N-Z}{A} \right)^2 A^{-1/3} + \left( \frac{1}{24}\kappa_{04}K_0 - \frac{3}{2}\kappa_{12}L \right) \left( \frac{N-Z}{A} \right)^4, \quad (100)$$

where the last two terms come from the last two terms in the expansion (99) and will be shown to be negligibly small.

For the surface incompressibility  $K_S$ , we can expand the incompressibility  $K(\rho, \delta)$  at  $(\rho_b, \delta_b)$ :

$$K_S = \rho_b \frac{\partial K}{\partial \rho} \bigg|_{\rho_b} \frac{1}{A} \int d^3r \rho(\mathbf{r}) \frac{\rho - \rho_b}{\rho_b} + \frac{1}{2} \rho_b^2 \frac{\partial^2 K}{\partial \rho^2} \bigg|_{\rho_b} \frac{1}{A} \int d^3r \rho(\mathbf{r}) \times \left( \frac{\rho - \rho_b}{\rho_b} \right)^2 + \frac{1}{2} \frac{\partial^2 K}{\partial \delta^2} \bigg|_{\rho_b} \int d^3r \rho(\mathbf{r}) [\delta(r) - \delta_b]^2 + K_{GD}. \quad (101)$$

As the integrals are exactly the same as those in Eq. (77), we can use the results given in the Eqs. (79)–(81). Besides, similar to the approximation used in performing the integrals of  $E_{GD}$ , the term involving  $f(x)$  in  $K_{GD}$  [Eqs. (68) and (69)] can be neglected and the following relations are obtained:

$$K_{GD1} \approx \frac{10}{A} E_{GD1}, \quad K_{GD2} \approx \frac{10}{A} E_{GD2}, \quad K_{GD} \approx \frac{10}{A} E_{GD}. \quad (102)$$

Therefore, we can have

$$K_S = -k_S^0 A^{-1/3} + k_{cS} A^{-2/3} + k_{sS}^0 \left( \frac{N-Z}{A} \right)^2 A^{-1/3} + \dots, \quad (103)$$

where  $k_S^0$ ,  $k_{cS}$ , and  $k_{sS}^0$  can be expressed in the present model as

$$k_S^0 = \frac{d}{r_0} K_0 \left[ 3\kappa_{10} - \frac{3}{4}\kappa_{20} - \frac{5}{2}\alpha \frac{a^2 T_0}{d^2 K_0} \right], \quad (104)$$

$$k_{cS} = -\frac{d}{r_0} K_0 \left[ 3\kappa_{10} + 6\kappa_{20} - \frac{9}{4}\kappa_{30} - 15\alpha \frac{a^2 T_0}{d^2 K_0} \right] \frac{2a_2 + F}{K_0} + \frac{3}{2} \frac{d^2}{r_0^2} \kappa_{20} K_0, \quad (105)$$

$$k_{sS}^0 = \frac{d}{r_0} K_0 \left\{ \left[ \frac{3}{8}\kappa_{22} - \frac{3}{2}\kappa_{12} + \left( 3\kappa_{10} + 6\kappa_{20} - \frac{9}{4}\kappa_{30} \right) \frac{L}{K_0} \right] - \left[ 15 \frac{L}{K_0} + \frac{5}{2}\xi \right] \alpha \frac{a^2 T_0}{d^2 K_0} \right\}. \quad (106)$$

Thus, we have the following leptodermous expansion for the incompressibility  $K_A$ :

$$\begin{aligned}
K_A \approx & K_0 - [k_S^0 - 3\kappa_{10}(2a_2 + F)]A^{-1/3} + k_{cS}A^{-2/3} \\
& + \left( \frac{1}{2}\kappa_{02}K_0 - 3\kappa_{10}L \right) \left( \frac{N-Z}{A} \right)^2 \\
& + \left[ k_{sS}^0 + \frac{3}{2}\kappa_{12}(2a_2 + F) \right] \left( \frac{N-Z}{A} \right)^2 A^{-1/3} \\
& - (2 + 3\kappa_{10})c_1Z^2A^{-4/3} + \left( \frac{1}{24}\kappa_{04}K_0 - \frac{3}{2}\kappa_{12}L \right) \\
& \times \left( \frac{N-Z}{A} \right)^4. \tag{107}
\end{aligned}$$

The expansion (107), except the term in  $I^4$ , is exactly the same as Eq. (1) formally. It is worthwhile to note that the coefficient  $2 + 3\kappa_{10}$  of the Coulomb energy term  $c_1Z^2A^{-4/3}$  and the coefficient  $(1/2)\kappa_{02}K_0 - 3\kappa_{10}L$  of the asymmetry term  $[(N-Z)/A]^2$  in the above equation are identical to Blaizot's formulas [24,25]. It is worthwhile also to note that, as the variation of the incompressibility  $K_A$  comes essentially from the surface incompressibility  $K_S$ , the contribution from the terms involving  $k_{cS}$  and  $k_{sS}^0$  are as important as that from the term involving  $k_S^0$  only, so the term proportional to  $A^{-2/3}$  should be taken into account in the data fitting.

The coefficients  $\kappa_{\mu\nu}$  can be easily calculated with the help of Eqs. (52), (54), and (66), based on the Myers-Swiatecki interaction, and the use of the corresponding parameters (56). In particular, our value  $3\kappa_{10} = 4.92$  is in the range  $3 \sim 5$  given by Blaizot *et al.* [8] where the symbol  $S/K_{nm}$  is used. This stresses the point that the Seyler-Blanchard-like nonlocal interaction is similar to the Skyrme-type interaction in the description of nuclear matter equation of state.

Finally, we have

$$\begin{aligned}
K_B \approx & 234.422 + 84.206A^{-1/3} - 392.62 \left( \frac{N-Z}{A} \right)^2 \\
& - 3.7305Z^2A^{-4/3} - 40.08A^{-1/3} \left( \frac{N-Z}{A} \right)^2 \\
& + 124.67 \left( \frac{N-Z}{A} \right)^4, \tag{108}
\end{aligned}$$

$$K_S \approx -365.725A^{-1/3} + 31.930A^{-2/3} + 373.21A^{-1/3} \left( \frac{N-Z}{A} \right)^2, \tag{109}$$

$$\begin{aligned}
K_A \approx & 234.422 - 281.518A^{-1/3} + 31.930A^{-2/3} \\
& - 392.62 \left( \frac{N-Z}{A} \right)^2 + 333.13A^{-1/3} \left( \frac{N-Z}{A} \right)^2 \\
& - 5.2462Z^2A^{-4/3} + 124.67 \left( \frac{N-Z}{A} \right)^4. \tag{110}
\end{aligned}$$

The last two terms in Eq. (108) come from the last two terms in the expansion (99). Numerically, it can be seen that the contributions of these two terms are negligible in comparing

with the other terms. This means that at least the term in  $I^4$  can be omitted in the final result [5].

Figure 5 gives the comparison between the incompressibility  $K_A$  obtained by different methods. The lower solid curve is calculated by the present constrained TF minimization, the dashed curve by the approximate calculation based on the droplet-model-like formulas (94) and (95), the crosses by the present leptodermous expansion (110), the upper solid curve by Myers and Swiatecki's formula (2) with their parameters [11], and the triangles by the Nayak *et al.*'s leptodermous expansion with their parameters for the SkM\* interaction [5]. The calculations are along the  $\beta$ -stability line nuclei. The relatively big deviation of the present leptodermous expansion from the exact result is due to the defect of the Fermi distribution discussed in the second paragraph after Eq. (90).

## VI. DISCUSSION AND SUMMARY

In the present work, the calculation is made under the spherical symmetry approximation only. However, it can be seen from Fig. 4 that there are "bumps" in the regions of deformed nuclei where the spherical symmetry distribution is obviously not a good approximation. Therefore, it would be interesting to work out a more realistic theory for deformed nuclei, to see if this kind of bump is due to the effect of deformation or not.

As the trend of the experimental data shown in Fig. 4 can be well reproduced by the present calculation without adjustment of any existing parameter, the nuclear TF model with the simplified Myers-Swiatecki interaction is shown to be able to give a consistent description for the isoscalar giant monopole resonance, besides the nuclear masses and the other properties of nuclei. This suggests that the measurement data of the isoscalar giant monopole resonance energy  $E_M$  should be included in the fitting of the model parameters when the calculation for deformed nuclei is included.

Experimentally, it is well known that the measurements of the nuclear masses and the fission barriers can provide information about the nuclear matter equation of state  $e(\rho, \delta)$ , such as that given by the droplet model parameters  $a_1$ ,  $K_0$ ,  $J$ ,  $L$ , and  $M$ . Now the present work shows that the measurement of the energy  $E_M$  can provide information about the nuclear matter incompressibility  $K(\rho, \delta)$ , such as that given by the coefficients  $K_0$  and  $\kappa_{\mu\nu}$  in the leptodermous expansion of  $K_A$ . However, in order to extract this information of nuclear matter, high precision measurements for closed shell nuclei and new data for nuclei away from the  $\beta$ -stability line are needed. Even our purpose in the present work is not to extract this information of nuclear matter; we can say that the value of the standard nuclear matter incompressibility  $K_0 = 234$  MeV, given by Myers and Swiatecki [15], is supported by the present calculation, and this value is close to that given by Blaizot *et al.* recently [8].

In summary, the main results and conclusions of the present work are as follows.

(1) The finite nucleus incompressibility  $K_A$  is essentially the nuclear matter incompressibility  $K(\rho, \delta)$  averaged over its nucleon distribution  $\rho(\mathbf{r})$ , added to a term  $K_{GD}$  contributed from the gradients of nucleon densities, and with twice the Coulomb energy per nucleon  $E_C/A$  subtracted.  $K_{GD}$  and

$-2E_C/A$  contribute about 20–10 % and 1–5 %, respectively, to the nuclear incompressibility  $K_A$ , from the light to the heavy nuclei, in this order, whereas the shell and the even-odd energy corrections are negligibly small. It is interesting to note that the relation (34) is interaction independent, and interaction-independent information of nuclear matter incompressibility is expected to be extracted by using this relation.

(2) The leptodermous expansion (1) used in the data fitting is justified again and is shown to be an approximation to the exact result by the present TF theory with the linear scaling assumption. The approximation is good for heavy nuclei but not for light ones. It is shown also by the present work that the curvature term  $k_{cSA}^{-2/3}$  cannot be neglected, as it is very important in the description of the surface incompressibility  $K_S$ . All of these results are also interaction independent. The reason why the curvature term has not been included in some data fitting should be carefully investigated further.

(3) The anharmonic effect of the breathing mode contributes to the isoscalar giant monopole resonance energy  $E_M$  in about 6% of light nuclei and less than 0.5% of heavy nuclei. However, as this effect depends on the anharmonic terms  $K_3$  and  $K_4$ , and the latter depends on the choice of interaction as well as the values of the model parameters, the evaluation of the anharmonic effect is both model and interaction dependent.

(4) In developing a localized approximation, the Seyler-Blanchard-type interaction is shown to be similar to the Skyrme-type interaction, in the sense that the density-gradient-dependent terms appear in the Hamiltonian functional.

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## APPENDIX A: A QUANTUM MECHANICAL MODEL FOR SCALING

The Schrödinger equation for a nucleon with mass  $m_N$  moving in the potential  $V(\mathbf{r})$  is

$$-\frac{\hbar^2}{2m_N} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \varphi_k(\mathbf{r}) + V(\mathbf{r}) \varphi_k(\mathbf{r}) = \varepsilon_k \varphi_k(\mathbf{r}), \quad (\text{A1})$$

where  $\varphi_k(\mathbf{r})$  is the single-particle wave function and  $\varepsilon_k$  the single-particle energy of nucleon. If the potential  $V(\mathbf{r})$  is changed into  $V_s(\mathbf{r})$ , the wave function  $\varphi_k(\mathbf{r})$  and the energy  $\varepsilon_k$  will be changed into  $\varphi_k^s(\mathbf{r})$  and  $\varepsilon_k^s$ , respectively, according to the changed equation

$$-\frac{\hbar^2}{2m_N} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) \varphi_k^s(\mathbf{r}) + V_s(\mathbf{r}) \varphi_k^s(\mathbf{r}) = \varepsilon_k^s \varphi_k^s(\mathbf{r}). \quad (\text{A2})$$

If the potential obeys a two-dimensional scaling

$$V(\mathbf{r}) \rightarrow V_s(\mathbf{r}) = \eta^2 V(\eta \mathbf{r}), \quad (\text{A3})$$

where  $\eta$  is the scaling parameter, Eq. (A2) can be rewritten as

$$\begin{aligned} & -\frac{\hbar^2}{2m_N} \left( \frac{\partial^2}{\partial x_s^2} + \frac{\partial^2}{\partial y_s^2} + \frac{\partial^2}{\partial z_s^2} \right) \varphi_k^s(\mathbf{r}) + V(\mathbf{r}_s) \varphi_k^s(\mathbf{r}) \\ & = \frac{1}{\eta^2} \varepsilon_k^s \varphi_k^s(\mathbf{r}), \end{aligned} \quad (\text{A4})$$

where  $\mathbf{r}_s = \eta \mathbf{r}$ . Comparing the above equation to the original one (A1), it can be seen that

$$\varphi_k^s(\mathbf{r}) \propto \varphi_k(\eta \mathbf{r}) \quad (\text{A5})$$

and

$$\varepsilon_k^s = \eta^2 \varepsilon_k. \quad (\text{A6})$$

Up to an arbitrary phase factor, the proportional constant in Eq. (A5) can be determined by the normalization of wave functions  $\varphi_k(\mathbf{r})$  and  $\varphi_k^s(\mathbf{r})$ . It gives

$$\varphi_k^s(\mathbf{r}) = \eta^{3/2} \varphi_k(\eta \mathbf{r}). \quad (\text{A7})$$

Therefore, we have the three-dimensional scaling of density

$$\rho(\mathbf{r}) \rightarrow \rho_s(\mathbf{r}) = \eta^3 \rho(\eta \mathbf{r}). \quad (\text{A8})$$

The spherical harmonic potential  $V(\mathbf{r}) = \frac{1}{2} m_N \omega^2 r^2$  is an example for this scaling transformation. If the potential parameter  $\omega$  has a change as

$$\omega \rightarrow \omega_s = \eta^2 \omega, \quad (\text{A9})$$

it can be shown easily that the potential and the energy eigenvalues have the two-dimensional scaling property (A3) and (A6), i.e.,

$$V(\mathbf{r}) \rightarrow V_s(\mathbf{r}) = \frac{1}{2} m_N \omega_s^2 r^2 = \eta^2 \frac{1}{2} m_N \omega^2 (\eta r)^2 = \eta^2 V(\eta \mathbf{r}), \quad (\text{A10})$$

$$\varepsilon_k \rightarrow \varepsilon_k^s = \hbar \omega_s \left( n_k + \frac{1}{2} \right) = \eta^2 \hbar \omega \left( n_k + \frac{1}{2} \right) = \eta^2 \varepsilon_k. \quad (\text{A11})$$

The change of the potential parameter  $\omega$  (A9) means a squeezing (for  $\eta > 1$ ) or an extension (for  $\eta < 1$ ) of the potential radially, so it results in a squeezing (for  $\eta > 1$ ) or an extension (for  $\eta < 1$ ) of the nuclear density radially, as shown by Eq. (A8). In this way, the scaling assumption assumed in the text is suitable to describe the breathing mode.

The scaling of the shell correction  $E_{\text{shell}}$  and the even-odd energy  $E_{\text{even-odd}}$  can be determined by the scaling (A6) of the single-particle energy of nucleons. The shell correction  $E_{\text{shell}}$  can be defined, according to the Strutinsky method [26], as [1]

$$E_{\text{shell}} = E - \tilde{E}, \quad (\text{A12})$$

where  $E = \sum_{i=1}^A \varepsilon_{ki}$ , and  $\tilde{E}$  is the smooth part of the above summation  $E$  after some arithmetic smoothing procedure. As both  $E$  and  $\tilde{E}$  are linear in  $\varepsilon_k$ , the summations as well as their difference (A12) will have the same scaling as  $\varepsilon_k$  (A6), i.e.,

$$E_{\text{shell}} \rightarrow E_{\text{shell}}(\eta) = \eta^2 E_{\text{shell}}, \quad (\text{A13})$$

where  $E_{\text{shell}}(\eta)$  is the scaled shell correction.

The even-odd energy  $E_{\text{even-odd}}$  is the double of the energy gap  $\Delta$  which is determined according to BCS theory [27] by the following gap equation [1]:

$$\frac{2}{G} = \int_{\varepsilon_F - S}^{\varepsilon_F + S} \frac{1}{2} \frac{g(\varepsilon) d\varepsilon}{\sqrt{(\varepsilon - \varepsilon_F)^2 + \Delta^2}}, \quad (\text{A14})$$

where  $G$  is the interaction constant,  $g(\varepsilon)$  the single-particle energy level density,  $\varepsilon_F$  the Fermi energy of energy level, and  $S$  is the energy interval around the Fermi energy which is relevant for the pair correlation of nucleons. In case the single-particle potential of the mean field has the two-dimensional scaling (A3), it is consistent to assume that the residual interaction between the nucleons also has the two-dimensional scaling

$$G \rightarrow G_s = \eta^2 G. \quad (\text{A15})$$

In addition, as the single-particle energy  $\varepsilon_k$  has the two-dimensional scaling (A6), it can be seen easily that the Fermi energy  $\varepsilon_F$  and the energy interval  $S$  also have the two-dimensional scaling

$$\varepsilon_F \rightarrow \varepsilon_F^s = \eta^2 \varepsilon_F, \quad S \rightarrow S_s = \eta^2 S, \quad (\text{A16})$$

whereas the energy level density  $g(\varepsilon)$  has the following inverse two-dimensional scaling:

$$g(\varepsilon) \rightarrow g_s(\varepsilon_s) = \frac{f}{\Delta \varepsilon_s} = \frac{f}{\eta^2 \Delta \varepsilon} = \frac{1}{\eta^2} g(\varepsilon), \quad (\text{A17})$$

where  $f$  is the degeneracy of the single-particle energy level. Therefore, it can be shown from Eq. (A14) that the energy gap has two-dimensional scaling

$$\Delta \rightarrow \Delta_s = \Delta(\eta) = \eta^2 \Delta. \quad (\text{A18})$$

Accordingly, the even-odd energy  $E_{\text{even-odd}}$  also has the two-dimensional scaling

$$E_{\text{even-odd}}^s = E_{\text{even-odd}}(\eta) = \eta^2 E_{\text{even-odd}} \quad (\text{A19})$$

where  $E_{\text{even-odd}}(\eta)$  is the scaled even-odd energy. All of the results given above are based on the model assumption (A3).

#### APPENDIX B: SOME FORMULAS FOR $E_{\text{GD}}$ , $K_3$ , AND $K_4$

The following are the functionals  $\epsilon_{1n}$  and  $\epsilon_{1p}$  that appear in the  $E_{\text{GD}}$ :

$$\epsilon_{1n} = -\epsilon_{1n\gamma} - \epsilon_{1n\alpha} + \epsilon_{1n\beta}, \quad (\text{B1})$$

$$\epsilon_{1p} = -\epsilon_{1p\gamma} - \epsilon_{1p\alpha} + \epsilon_{1p\beta}, \quad (\text{B2})$$

where

$$\begin{aligned} \epsilon_{1n\gamma} = & \frac{1}{2} \gamma_l \left( \frac{\rho_n}{\hat{\rho}_0} \right)^{2/3} \\ & + \frac{1}{4} \gamma_u \begin{cases} 3 \left( \frac{\rho_p}{\hat{\rho}_0} \right)^{2/3} - \left( \frac{\rho_n}{\hat{\rho}_0} \right)^{2/3}, & \text{for } \rho_n < \rho_p \\ 2 \frac{\rho_p}{\hat{\rho}_0} \left( \frac{\rho_n}{\hat{\rho}_0} \right)^{-1/3}, & \text{for } \rho_n > \rho_p, \end{cases} \end{aligned} \quad (\text{B3})$$

$$\epsilon_{1n\alpha} = \frac{1}{2} \left[ \alpha_l \frac{\rho_n}{\hat{\rho}_0} + \alpha_u \frac{\rho_p}{\hat{\rho}_0} \right], \quad (\text{B4})$$

$$\epsilon_{1n\beta} = \frac{3}{5} \left[ B_l \left( \frac{\rho_n}{\hat{\rho}_0} \right)^{5/3} + B_u \left( \frac{\rho_p}{\hat{\rho}_0} \right)^{5/3} \right]; \quad (\text{B5})$$

the expressions of  $\epsilon_{1p\gamma}$ ,  $\epsilon_{1p\alpha}$ , and  $\epsilon_{1p\beta}$  can be obtained from Eqs. (B3), (B4), and (B5), respectively, by interchanging the subscript  $n$  and  $p$ .

The following is the functional  $\epsilon_{2n}$  that appears in the  $E_{\text{GD}}$ :

$$\epsilon_{2n} = \frac{1}{6} \left( \frac{\rho_n}{\hat{\rho}_0} \right)^{-1/3} \left[ \gamma_l + \gamma_u \begin{cases} 1, & \text{for } \rho_n < \rho_p \\ \frac{\rho_p}{\rho_n}, & \text{for } \rho_n > \rho_p \end{cases} \right]. \quad (\text{B6})$$

The expression of  $\epsilon_{2p}$  can be obtained by interchanging the subscript  $n$  and  $p$ .

The following formulas are used for calculating the anharmonic coefficients  $K_3$  and  $K_4$ :

$$\begin{aligned} \frac{1}{A} \frac{d^3 E_A(\eta)}{d\eta^3} \Big|_{\eta=1} = & \frac{\rho_0 T_0}{A} \int d^3 r \left[ -6C(\delta) \left( \frac{\rho}{\rho_0} \right)^{6/3} + 60D(\delta) \right. \\ & \left. \times \left( \frac{\rho}{\rho_0} \right)^{8/3} \right] + \frac{1}{A} \frac{d^3 E_{\text{GD}}(\eta)}{d\eta^3} \Big|_{\eta=1}, \end{aligned} \quad (\text{B7})$$

$$\begin{aligned} \frac{1}{A} \frac{d^4 E_A(\eta)}{d\eta^4} \Big|_{\eta=1} = & \frac{\rho_0 T_0}{A} \int d^3 r \left[ 120D(\delta) \left( \frac{\rho}{\rho_0} \right)^{8/3} \right] \\ & + \frac{1}{A} \frac{d^4 E_{\text{GD}}(\eta)}{d\eta^4} \Big|_{\eta=1}, \end{aligned} \quad (\text{B8})$$

where  $[d^3 E_{\text{GD}}(\eta)/d\eta^3]_{\eta=1}$  and  $[d^4 E_{\text{GD}}(\eta)/d\eta^4]_{\eta=1}$  can be obtained by the standard scaling calculation.

#### APPENDIX C: SOME FORMULAS FOR INTEGRALS INVOLVING FERMI FUNCTION

Let us consider the integral

$$G_\mu(c) = \int_0^\infty dx f^\mu(x, c) g(x), \quad \mu > 0, \quad (\text{C1})$$

where  $f(x, c)$  is the Fermi function

$$f(x,c) = \frac{1}{1+e^{x-c}}, \quad 0 \leq x < \infty, \quad 0 \leq c < \infty, \quad (C2)$$

which has the following symmetry properties:

$$f(-x, -c) = f(c, x), \quad f(x, c) + f(c, x) = 1, \quad (C3)$$

$$\frac{\partial^n f}{\partial x^n} = (-1)^n \frac{\partial^n f}{\partial c^n}. \quad (C4)$$

The  $n$ th order derivative of  $f$  can be expressed as a  $n + 1$  order polynomial of  $f$  as

$$\frac{\partial f}{\partial x} = f^2 - f, \quad \frac{\partial^2 f}{\partial x^2} = 2f^3 - 3f^2 + f, \quad (C5)$$

and so on. For a well behaved function  $g(x)$ , the following formulas can be obtained by using Eqs. (C4) and (C5):

$$G_{\mu+1}(c) = G_{\mu}(c) - \frac{1}{\mu} \frac{dG_{\mu}(c)}{dc}, \quad (C6)$$

$$\int_0^{\infty} dx \frac{\partial f^{\mu}(x,c)}{\partial x} f^{\nu}(x,c) g(x) = \mu [G_{\mu+\nu+1}(c) - G_{\mu+\nu}(c)], \quad (C7)$$

$$\begin{aligned} \int_0^{\infty} dx \frac{\partial^2 f^{\mu}(x,c)}{\partial x^2} f^{\nu}(x,c) g(x) &= \mu(\mu+1)G_{\mu+\nu+2}(c) \\ &\quad - \mu(2\mu+1)G_{\mu+\nu+1}(c) \\ &\quad + \mu^2 G_{\mu+\nu}(c). \end{aligned} \quad (C8)$$

Applying Eq. (C6), the above Eqs. (C7) and (C8) can be rewritten as

$$\int_0^{\infty} dx \frac{\partial f^{\mu}(x,c)}{\partial x} f^{\nu}(x,c) g(x) = -\frac{\mu}{\mu+\nu} \frac{dG_{\mu+\nu}(c)}{dc}, \quad (C9)$$

$$\begin{aligned} \int_0^{\infty} dx \frac{\partial^2 f^{\mu}(x,c)}{\partial x^2} f^{\nu}(x,c) g(x) &= -\frac{\mu(\mu+1)}{\mu+\nu+1} \frac{dG_{\mu+\nu+1}(c)}{dc} \\ &\quad + \frac{\mu^2}{\mu+\nu} \frac{dG_{\mu+\nu}(c)}{dc}. \end{aligned} \quad (C10)$$

Furthermore, if  $g(0)=0$ ,  $h(x)=dg(x)/dx$ , and  $\lim_{x \rightarrow \infty} f^{\mu}(x,c)g(x)=0$ , it can be shown that

$$\frac{dG_{\mu}(c)}{dc} = H_{\mu}(c), \quad G_{\mu}(c) = G_{\mu}(0) + \int_0^c dc H_{\mu}(c), \quad (C11)$$

where

$$H_{\mu}(c) = \int_0^{\infty} dx f^{\mu}(x,c) h(x). \quad (C12)$$

Similarly, we also have

$$\int_0^{\infty} dx \frac{\partial f^{\mu}(x,c)}{\partial x} f^{\nu}(x,c) g(x) = -\frac{\mu}{\mu+\nu} H_{\mu+\nu}(c). \quad (C13)$$

Using Eq. (C7), we can obtain another recursion relation

$$G_{\mu+1}(c) - G_{\mu}(c) = -\frac{1}{\mu} H_{\mu}(c). \quad (C14)$$

In the present application, the following integral with  $g(x)=x^{\nu}$  is needed:

$$I_{\mu\nu}(c) = \int_0^{\infty} dx f^{\mu}(x,c) x^{\nu}, \quad \mu > 0, \quad \nu > -1. \quad (C15)$$

According to Eqs. (C6), (C11), and (C14), the following recursion relations of  $I_{\mu\nu}(c)$  can be obtained, respectively:

$$I_{\mu\nu}(c) = I_{\mu-1,\nu}(c) - \frac{1}{\mu-1} \frac{dI_{\mu-1,\nu}(c)}{dc}, \quad (C16)$$

$$\frac{dI_{\mu\nu}(c)}{dc} = \nu I_{\mu\nu-1}(c), \quad I_{\mu\nu}(c) = I_{\mu\nu}(0) + \nu \int_0^c dc I_{\mu\nu-1}(c), \quad (C17)$$

$$I_{\mu+1,\nu}(c) = I_{\mu\nu}(c) - \frac{\nu}{\mu} I_{\mu,\nu-1}(c). \quad (C18)$$

The general expression of  $I_{\mu\nu}(c)$  for integer  $\nu \geq 0$  can be found in Refs. [28–30]. We will give some simple relations here for both  $\mu = m$  and  $\nu = n$  being integers. In this case, the specific expression of  $I_{mn}(c)$  can be obtained from the simplest  $I_{10}(c)$  and the value of  $I_{1n}(0)$ :

$$\begin{aligned} I_{10}(c) &= \int_0^{\infty} \frac{dx}{1+e^{x-c}} = c + \omega_{10}(c), \\ \omega_{10}(c) &= -\sum_{k=1}^{\infty} \frac{(-1)^k}{k} e^{-kc}, \end{aligned} \quad (C19)$$

$$I_{1n}(0) = \int_0^{\infty} \frac{x^n dx}{1+e^x} = \Gamma(n+1) \left(1 - \frac{1}{2^n}\right) \zeta(n+1), \quad n > -1, \quad (C20)$$

where  $\Gamma(p)$  is the gamma function, and  $\zeta(p)$  is the Riemann function.

The expression of  $I_{1n}(c)$  can be obtained from  $I_{10}(c)$  and  $I_{1n}(0)$  by Eq. (C17):

$$I_{1n}(c) = I_{1n}(0) + n \int_0^c dc I_{1n-1}(c) = P_{1n}(c) + \omega_{1n}(c), \quad (C21)$$

where

$$P_{1n}(c) = n \int_0^c dc P_{1n-1}(c) + P_{1n}(0) \quad (C22)$$

is a  $n + 1$  order polynomial of  $c$  and

$$\begin{aligned}\omega_{1n}(c) &= -n \int_c^\infty dc \omega_{1n-1}(c) \\ &= (-1)^{n-1} n! \sum_{k=1}^{\infty} (-1)^k \frac{1}{k^{n+1}} e^{-kc}. \quad (\text{C23})\end{aligned}$$

The integral constant  $P_{1n}(0)$  in Eq. (C22) can be calculated as

$$\begin{aligned}P_{1n}(0) &= I_{1n}(0) - \omega_{1n}(0) \\ &= [1 - (-1)^n] (1 - 2^{-n}) n! \zeta(n+1). \quad (\text{C24})\end{aligned}$$

The expression of  $I_{mn}(c)$  can be obtained from  $I_{1n}(c)$  by Eq. (C16):

$$I_{mn}(c) = I_{m-1,n}(c) - \frac{1}{m-1} \frac{dI_{m-1,n}(c)}{dc} = P_{mn}(c) + \omega_{mn}(c), \quad (\text{C25})$$

where

$$P_{mn}(c) = P_{m-1,n}(c) - \frac{1}{m-1} \frac{dP_{m-1,n}(c)}{dc} \quad (\text{C26})$$

is a  $n+1$  order polynomial of  $c$  and

$$\omega_{mn}(c) = \omega_{m-1,n}(c) - \frac{1}{m-1} \frac{d\omega_{m-1,n}(c)}{dc} \quad (\text{C27})$$

is an infinite series in power of  $\exp(-c)$ .

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