

## Systematic study of Coulomb distortion effects in exclusive $(e, e'p)$ reactions

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(Received 2 October 1996)

A technique to deal with Coulomb electron distortions in the analysis of  $(e, e'p)$  reactions is presented. Thereby, no approximations are made. The suggested technique relies on a partial-wave expansion of the electron wave functions and a multipole decomposition of the electron and nuclear current in momentum space. In that way, we succeed in keeping the computational times within reasonable limits. This theoretical framework is used to calculate the quasielastic  $(e, e'p)$  reduced cross sections for proton knockout from the valence shells in  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{90}\text{Zr}$ , and  $^{208}\text{Pb}$ . The final-state interaction of the ejected proton with the residual nucleus is treated within an optical potential model. The role of electron distortion on the extracted spectroscopic factors is discussed. [S0556-2813(97)03604-2]

PACS number(s): 25.30.Fj, 21.10.Jx, 21.60.Jz, 24.10.Eq

### I. INTRODUCTION

For a long time it has been recognized that the exclusive  $(e, e'N)$  reaction in the quasielastic (QE) region is a powerful tool for studying the single-particle motion inside the nucleus, and is a testing ground for the different available nuclear models. One of the principal interests in the exclusive  $(e, e'N)$  reaction is to extract the nucleon spectral function  $P(\vec{p}, E)$  from the cross section. This spectral function can be interpreted as the joint probability of removing a nucleon with momentum  $\vec{p}$  from the target nucleus and to find the residual system at an excitation energy  $E$ . Related to these spectral functions, spectroscopic factors, and occupation numbers are often studied. They are a measure for the validity of the independent particle model (IPM). The spectroscopic factor  $S_{nljm}(E)$  gives the *probability* of reaching the single-particle state specified by the quantum numbers  $nljm$  in the residual nucleus at an excitation energy  $E$ . The occupation number  $N_{nljm}$  gives the number of nucleons in the single-particle state  $nljm$  in the target nucleus and involves an integration of the spectroscopic factors over the complete excitation energy range [1]. In the IPM the states above (under) the Fermi level are completely empty (filled) and the total hole (particle) strength is situated at a fixed single-particle energy. The deviation from full (no) occupancy for the orbits below (above) the Fermi level is a measure for correlations neglected in this mean-field approach.

The occupation probabilities in even-even nuclei have been calculated within several theoretical frameworks. Most models go beyond the mean-field approach and partially account for short- and/or long-range nucleon-nucleon correlations [1–6]. Occupation probabilities for the single-particle states which considerably deviate from the IPM value were obtained. Moreover, it is demonstrated that the single-particle hole strength is fragmented over a broad range of energy. In particular, occupation numbers for the proton  $3s_{1/2}$  orbit in  $^{208}\text{Pb}$  have been calculated varying from 1.42 [3] to 1.66 [7] pointing towards a strong depletion of this hole state in the ground state of  $^{208}\text{Pb}$ . From an experi-

mental point of view, the CERES method [8] was developed in an attempt to obtain absolute occupation numbers from experimental data. The model uses only relative spectroscopic factors and allows us to account, in an approximate way, for the strengths at high missing energies, not accessible for experiment. With this method, the  $3s_{1/2}$  occupation number in  $^{208}\text{Pb}$  is found to be 1.57(10).

Although the advantages of the quasielastic  $(e, e'N)$  process to study spectroscopic factors are widely recognized, the extraction of these factors from experiment is still not free of ambiguities. For example, depending on the model used in the analysis of the  $^{208}\text{Pb}(e, e'p)$  reaction, the spectroscopic factor for the transition to the ground state in  $^{207}\text{Tl}$  ( $3s_{1/2}$  hole) varies from 0.40 [9] to 0.71 [10]. A reliable determination of spectroscopic factors requires an accurate knowledge of the  $(e, e'N)$  reaction mechanism [photoabsorption mechanism, final-state interaction (FSI) of the ejected nucleon with the residual nucleus] and the exact treatment of the Coulomb distortion of the scattered electrons, especially for heavy nuclei.

In this paper we present results from systematic calculations of  $(e, e'p)$  cross sections for a number of even-even target nuclei and various kinematical conditions and confront them with data taken at NIKHEF. The extracted spectroscopic factors are compared with the corresponding values deduced within other theoretical approaches [10–13]. Much attention is paid to the effect of electron distortion on the calculated cross section. It is pointed out that, especially for scattering off heavy nuclei, an exact treatment of these effects is highly needed in order to reproduce the shape of the measured cross sections and, consequently, to obtain reliable spectroscopic factors.

This paper is organized as follows. In Sec. II the theoretical formalism for the  $(e, e'N)$  reaction is outlined. The derivation of the cross section is divided in two subsections treating the electron and the nuclear aspect of the  $(e, e'N)$  reaction. The technical details are dealt with in the Appendix. The numerical details of the adopted approach are discussed in Sec. III. The formalism is applied to electroinduced one-proton knockout reactions from a number of medium-

heavy target nuclei in Sec. IV. Finally, some conclusions are drawn in Sec. V.

## II. FORMALISM

### A. Cross section

In this paper we describe the process in which an electron with four momentum  $k(\epsilon, \vec{k})$  and spin polarization  $m_{s_k}$  is scattered from a target nucleus at rest with a rest mass  $M_A$ . The detected electron is characterized by its four momentum  $k'(\epsilon', \vec{k}')$  and spin polarization  $m_{s_{k'}}$ . The energy transfer to the nucleus  $\omega = \epsilon - \epsilon'$  is supposed to be sufficient to eject a nucleon  $N$  (proton or neutron) with four momentum  $p_N(E_N, \vec{p}_N)$  and spin projection  $m_{s_N}$  out of the target nucleus leaving the residual nucleus with four momentum  $p_B(E_B, \vec{p}_B)$ . The differential cross section and the Feynman amplitude  $m_{fi}$  for this process are related as

$$\frac{d^4\sigma}{d\epsilon' d\Omega_e d\Omega_N dE_N} = \frac{1}{(2\pi)^5} \epsilon'^2 |\vec{p}_N| E_N \sum_{i,f} |m_{fi}|^2 \delta(\omega - S_N - E_x - E_N - E_B + M_N + M_B). \quad (1)$$

Throughout this paper we adopt natural and unrationalized Gaussian ( $\alpha = e^2$ ) units. In this relation  $S_N$  stands for the separation energy of a nucleon out of the target nucleus and  $E_x$  denotes the excitation energy of the residual nucleus. The rest masses of the ejected nucleon and the residual nucleus are given by  $M_N$  and  $M_B$ . The angles  $\Omega_e(\theta_e, \phi_e)$  and  $\Omega_N(\theta_N, \phi_N)$  specify the scattered electron and ejected nucleon with respect to the chosen reference frame. At this point this reference frame is not further specified. The sum  $\sum_{i,f}$  implies a summation over all final states (electron and nuclear) and an average over the initial states (electron and nuclear). We only have to sum over these final states which satisfy the energy conservation relation.

In the Born approximation the transition amplitude  $m_{fi}$  can be written in terms of matrix elements of the electron  $J_{el}^\mu$  and nuclear  $J_{nuc}^\mu$  charge-current four vector in momentum space in the following way:

$$m_{fi} = -\frac{1}{2\pi^2} \int d\vec{q} \frac{1}{\omega^2 - |\vec{q}|^2 + i\eta} \sum_\mu \langle f_e | J_{el,\mu}(-\vec{q}) | i_e \rangle \langle f_n | J_{nuc}^\mu(\vec{q}) | i_n \rangle. \quad (2)$$

The initial and final electron states are denoted by  $|i_e\rangle$  and  $|f_e\rangle$ . The target nucleus and final nuclear state consisting of a residual nucleus and an ejected nucleon are represented by  $|i_n\rangle$  and  $|f_n\rangle$ .

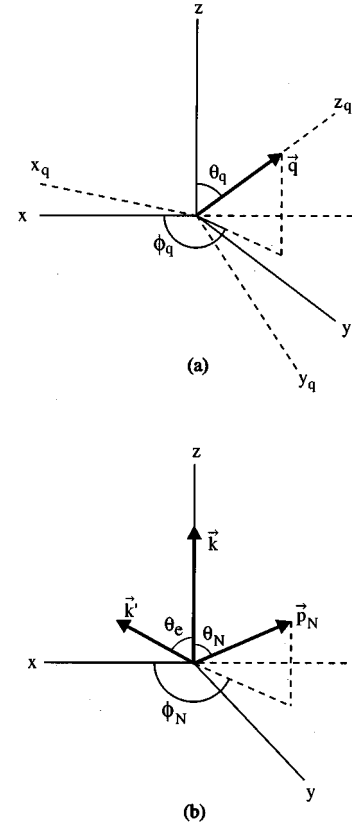


FIG. 1. Kinematics for the  $(e, e'N)$  reaction in the CDWBA.

The Feynman amplitude  $m_{fi}$  can further be rewritten as follows:

$$m_{fi} = \frac{1}{2\pi^2} \int d\vec{q} \left\{ \frac{1}{|\vec{q}|^2} \langle f_e | \rho_{el}(-\vec{q}) | i_e \rangle \langle f_n | \rho_{nuc}(\vec{q}) | i_n \rangle + \frac{1}{\omega^2 - |\vec{q}|^2 + i\eta} \left[ \sum_{\lambda_q = \pm 1} (-1)^{\lambda_q} \langle f_e | J_{el,\lambda_q}(-\vec{q}) | i_e \rangle \times \langle f_n | J_{nuc,-\lambda_q}(\vec{q}) | i_n \rangle \right] \right\}. \quad (3)$$

The spherical components of the electron and nuclear current operators are taken with respect to the rotating reference frame  $(x_q, y_q, z_q)$  [Fig. 1 (a)]. In this way the third component of the current operator is directly related to the charge operator through the charge-current conservation relation.

### B. The leptonic part

In this section we elaborate on the electron matrix element  $\langle f_e | J_{el,\mu}(-\vec{q}) | i_e \rangle$  in the expression for the Feynman amplitude. The relativistic electron charge-current operator in coordinate space reads

$$\begin{cases} J_{el}^0(\vec{r}) = -e \hat{\Psi}^{e\dagger}(\vec{r}) \hat{\Psi}^e(\vec{r}), \\ \vec{J}_{el}(\vec{r}) = -e \hat{\Psi}^{e\dagger}(\vec{r}) \vec{\alpha} \hat{\Psi}^e(\vec{r}), \end{cases} \quad (4)$$

with  $\hat{\Psi}^e(\vec{r})$  the electron field operator in coordinate space. The initial and final electron wave functions are defined according to

$$\langle \vec{r} | i_e \rangle = \Psi_{\vec{k}}^e(\vec{r}), \quad (5)$$

$$\langle \vec{r} | f_e \rangle = \Psi_{\vec{k}'}^e(\vec{r}), \quad (6)$$

and stand for four-dimensional Dirac spinors. They are solutions of the stationary electron Dirac equation

$$[\vec{\alpha} \cdot (-i\vec{\nabla}) + \beta m_e + V] \Psi_{\vec{k} m_{s_k}}^e(\vec{r}) = \epsilon \Psi_{\vec{k} m_{s_k}}^e(\vec{r}), \quad (7)$$

where  $m_e$  is the rest mass of the electron and  $V$  is the scattering potential. The additional quantum number  $m_{s_k}$  uniquely determines the electron wave function.

Dealing with high-energy electrons the electron mass can be neglected with respect to its total energy and the Dirac equation can be written down in the ultrarelativistic limit ( $\epsilon = |\vec{k}|$ ). In the Dirac-Pauli representation for the  $\vec{\alpha}$  and  $\beta$  matrices and in the absence of an external potential  $V$  the solutions of Eq. (7) are given by

$$\Psi_{\vec{k} m_{s_k}}^e(\vec{r}) = u_e(\vec{k}, m_{s_k}) e^{i\vec{k} \cdot \vec{r}} = \frac{1}{\sqrt{2}} \begin{pmatrix} \chi_{m_{s_k}}^{1/2}(\Omega_k) \\ (\vec{\sigma} \cdot \vec{k}) / |\vec{k}| \chi_{m_{s_k}}^{1/2}(\Omega_k) \end{pmatrix} e^{i\vec{k} \cdot \vec{r}}. \quad (8)$$

The spinors  $\chi_{m_{s_k}}^{1/2}(\Omega_k)$  can be expressed in terms of the Pauli spinors and the matrix elements of the Wigner  $\mathcal{D}^{1/2}$  matrix, i.e.,

$$\chi_{m_{s_k}}^{1/2}(\Omega_k) = \sum_{m_s} \chi_{m_s}^{1/2}(\sigma) \mathcal{D}_{m_s m_{s_k}}^{1/2}(\varphi_k, \theta_k, 0). \quad (9)$$

The angles  $\Omega_k = (\theta_k, \varphi_k)$  specify the momentum  $\vec{k}$  with respect to the chosen reference frame  $(x, y, z)$ . The Wigner  $\mathcal{D}^{1/2}(R_k)$  matrix represents the rotation of the reference frame  $(x, y, z)$  over the Euler angles  $R_k = (\varphi_k, \theta_k, 0)$  in the basis spanned by the eigenvectors of the operators  $\hat{S}^2$  and  $\hat{S}_z$ .

Assuming a central potential  $V = V(r)$ , the electron wave functions are evaluated by a phase shift analysis based on a partial-wave expansion. Indeed, the Dirac Hamiltonian  $[\hat{H} = \vec{\alpha} \cdot \vec{k} + V(r)]$  commutes with the angular momentum operators  $\hat{J}^2$  and  $\hat{J}_z$  and with the operator  $\hat{K} = \beta\{\vec{\sigma} \cdot \vec{L} + 1\}$  but not with the orbital momentum operator  $\hat{L}^2$ . As such, we derived a complete set of operators with common eigenfunctions represented by  $\tilde{\Psi}_{\kappa j m}^e(\vec{r})$ :

$$\begin{cases} \hat{H} \tilde{\Psi}_{\kappa j m}^e(\vec{r}) = \epsilon \tilde{\Psi}_{\kappa j m}^e(\vec{r}), \\ \hat{J}^2 \tilde{\Psi}_{\kappa j m}^e(\vec{r}) = j(j+1) \tilde{\Psi}_{\kappa j m}^e(\vec{r}), \\ \hat{J}_z \tilde{\Psi}_{\kappa j m}^e(\vec{r}) = m \tilde{\Psi}_{\kappa j m}^e(\vec{r}), \\ \hat{K} \tilde{\Psi}_{\kappa j m}^e(\vec{r}) = -\kappa \tilde{\Psi}_{\kappa j m}^e(\vec{r}). \end{cases} \quad (10)$$

We can construct the partial waves  $\tilde{\Psi}_{\kappa j m}^e(\vec{r})$  as

$$\tilde{\Psi}_{\kappa j m}^e(\vec{r}) = \Psi_{l j m}^e(\vec{r}) = \begin{pmatrix} [G_{l j}^e(r)/r] \mathcal{Y}_{l 1/2}^{j m}(\Omega_r, \sigma) \\ i [F_{l j}^e(r)/r] \mathcal{Y}_{l 1/2}^{j m}(\Omega_r, \sigma) \end{pmatrix} \quad (11)$$

with

$$\begin{cases} l = j - 1/2, & \text{if } \kappa = -(j + 1/2), \\ l = j + 1/2, & \text{if } \kappa = j + 1/2. \end{cases}$$

We introduce the common notation  $\bar{l}$

$$\begin{cases} l = j + \frac{1}{2} \Rightarrow \bar{l} = j - \frac{1}{2}, \\ l = j - \frac{1}{2} \Rightarrow \bar{l} = j + \frac{1}{2}. \end{cases} \quad (12)$$

The spherical spin-orbit eigenspinor  $\mathcal{Y}_{l 1/2}^{j m}(\Omega_r, \sigma)$  is defined in the following way:

$$\mathcal{Y}_{l 1/2}^{j m}(\Omega_r, \sigma) = \sum_{m_l m_s} \langle l m_l 1/2 m_s | j m \rangle Y_{l m_l}(\Omega_r) \chi_{m_s}^{1/2}(\sigma). \quad (13)$$

Each partial wave (11) can be easily proved to satisfy the eigenvalue equations (10) under the condition that the radial electron wave functions  $G_{l j}^e(r)$  and  $F_{l j}^e(r)$  are solutions of the following second-order differential equations:

$$\begin{cases} (d^2/dr^2) G_{l j}^e(r) + [(dV(r)/dr)/[E - V(r)]](d/dr) G_{l j}^e(r) \\ \quad + \{[E - V(r)]^2 - [\kappa(\kappa + 1)/r^2] + (\kappa/r)[(dV(r)/dr)/[E - V(r)]]\} G_{l j}^e(r) = 0, \\ (d^2/dr^2) F_{l j}^e(r) + [(dV(r)/dr)/[E - V(r)]](d/dr) F_{l j}^e(r) \\ \quad + \{[E - V(r)]^2 - [\kappa(\kappa - 1)/r^2] - (\kappa/r)[(dV(r)/dr)/(E - V(r))]\} F_{l j}^e(r) = 0. \end{cases} \quad (14)$$

For each partial wave  $lj$  the second-order differential equation for  $G_{lj}^\epsilon(r)$  has to be solved numerically. For the regular solutions one imposes the following boundary conditions:

$$\begin{aligned} \lim_{r \rightarrow 0} G_{lj}^\epsilon(r) &= 0, \\ \lim_{r \rightarrow 0} \frac{d}{dr} G_{lj}^\epsilon(r) &= 0, \text{ for } l > 0, \end{aligned} \quad (15)$$

and one obtains the corresponding solution for  $F_{\bar{l}j}^\epsilon(r)$  through the relation

$$G_{lj}^\epsilon(r) = (l - \bar{l}) F_{\bar{l}j}^\epsilon(r). \quad (16)$$

The asymptotic behavior of the radial electron wave functions for Coulomb potential scattering are given by ( $k \equiv |\vec{k}|$ )

$$\lim_{r \rightarrow \infty} G_{lj}^\epsilon(r) = (\bar{l} - l) \frac{\sin[kr - l\pi/2 + \delta_{lj}^{\epsilon, \epsilon(\text{tot})} - \eta \ln(2kr)]}{k}, \quad (17)$$

$$\lim_{r \rightarrow \infty} F_{\bar{l}j}^\epsilon(r) = - \frac{\sin[kr - \bar{l}\pi/2 + \delta_{\bar{l}j}^{\epsilon, \epsilon(\text{tot})} - \eta \ln(2kr)]}{k}. \quad (18)$$

The phase shift  $\delta_{lj}^{\epsilon, \epsilon(\text{tot})}$  reflects the influence of the scattering potential  $V$ . It consists of two parts, i.e., the Coulomb phase shift  $\sigma_l^\epsilon$  and an additional phase shift  $\delta_{lj}^{\epsilon, \epsilon}$ . For a Coulomb potential generated by the  $Z$  protons in the nucleus, the Coulomb phase shift is defined according to ( $\eta = -Ze^2$ )

$$\sigma_l^\epsilon = \arg \Gamma(l + 1 + i\eta). \quad (19)$$

Due to the fact that the scattering potential  $V$  is spin independent, one can easily verify that the total phase shift is  $l$  independent, i.e.,

$$\delta_j^{\epsilon, \epsilon(\text{tot})} = \delta_{lj}^{\epsilon, \epsilon(\text{tot})} = \delta_{\bar{l}j}^{\epsilon, \epsilon(\text{tot})}. \quad (20)$$

Finally, the electron wave function  $\Psi_{km_s k}^{e(\pm)}(\vec{r})$  is expanded in terms of the partial waves  $\Psi_{ljm}^\epsilon(\vec{r})$

$$\Psi_{km_s k}^{e(\pm)}(\vec{r}) = \sum_{ljm} a_{ljm}^{\epsilon m_s k(\pm)} \Psi_{ljm}^\epsilon(\vec{r}). \quad (21)$$

The initial and final electron wave functions have to satisfy the outgoing (+) and incoming (-) boundary conditions, respectively. Knowing the asymptotic behavior of the radial electron wave functions, the coefficients  $a_{ljm}^{\epsilon m_s k(\pm)}$  are fixed by

$$\begin{aligned} a_{ljm}^{\epsilon m_s k(\pm)} &= \sum_{m_s m_l} \mathcal{D}_{m_s m_l}^{l/2}(R_k) \frac{4\pi}{\sqrt{2}} i^l e^{\pm i \delta_j^{\epsilon, \epsilon(\text{tot})}} (\bar{l} - l) Y_{lm_l}^*(\Omega_k) \\ &\times \langle lm_l 1/2 m_s | jm \rangle. \end{aligned} \quad (22)$$

At this point only the scattering potential  $V$  remains to be specified. In general the central Coulomb scattering potential generated by  $Z$  protons is given by

$$V(r) = -4\pi Z\alpha \frac{1}{r} \int_0^r \rho(r') r'^2 dr' - 4\pi Z\alpha \int_r^\infty \rho(r') r' dr', \quad (23)$$

with  $\rho(r)$  the nuclear charge density normalized according to  $4\pi \int_0^\infty \rho(r) r^2 dr = 1$ . In the forthcoming discussion we have taken this charge density to correspond with a homogeneous spherical charge distribution of  $Z$  protons within the nuclear radius  $R$ .

By switching off the scattering potential  $V$  one can easily verify that the solution (21) coincides with the free electron wave function (8) since the differential equations (14) reduce to the differential equations for the spherical Bessel functions. In this way a sensitive testing case for our numerical approach is found.

We want to stress that the problem of Coulomb distortion of the initial and final electron in the electron scattering process is solved to all orders. Earlier work in this field by Boffi *et al.* [11] handled the electron distortion in an approximate way through a high-energy expansion of the electron wave functions combined with an expansion in powers of  $Z\alpha$ . The DWEEPY code [11] used in the analysis of the NIKHEF data adopts this approximate treatment of electron distortion. To lowest order in  $Z\alpha$  it was proved that electron distortion effects could be approximated by an effective momentum approach (EMA). This means that the plane wave in Eq. (8) has to be replaced by

$$e^{i\vec{k} \cdot \vec{r}} \rightarrow \frac{k^{\text{eff}}}{k} e^{i\vec{k}^{\text{eff}} \cdot \vec{r}}, \quad (24)$$

with

$$\vec{k}^{\text{eff}} = \left( k + \frac{3Z\alpha}{2R} \right) \vec{e}_k. \quad (25)$$

Clearly this approach is very easy to handle and worth comparing with the complete distorted wave approach so that its degree of accuracy can be estimated.

### C. The nuclear part

In a previous paper [14], we have shown that at low values of the missing momentum, meson-exchange currents (MEC) and long-range effects only slightly affect the calculated ( $e, e'p$ ) cross section. As we will restrict ourselves to QE ( $e, e'p$ ) reactions at low missing momenta only the one-body part of the nuclear four current is retained. Hereby we adopt the operator as dictated in the nonrelativistic impulse approximation:

$$\rho_{\text{nucl}}(\vec{r}) = \sum_{i=1 \dots A} e G_E^i(\vec{r}, \omega) \delta(\vec{r} - \vec{r}_i), \quad (26)$$

$$\vec{J}_{\text{nuc}}(\vec{r}) = \sum_{i=1 \dots A} \left\{ \frac{eG_E^i(\vec{r}, \omega)}{i2M_i} [\vec{\nabla}_i \delta(\vec{r} - \vec{r}_i) + \delta(\vec{r} - \vec{r}_i) \vec{\nabla}_i] + \frac{eG_M^i(\vec{r}, \omega)}{2M_i} \delta(\vec{r} - \vec{r}_i) \vec{\nabla}_i \times \vec{\sigma}_i \right\}.$$

This nuclear charge-current four vector refers to  $A$  noninteracting pointlike nucleons with mass  $M_i$ . To correct for the finite extent of the nucleons, the Sachs electromagnetic form-factors  $G_E$  and  $G_M$  are introduced.

As for the electron wave functions, the final nuclear wave function is determined through a phase shift analysis after an expansion in partial waves. The final nuclear state is taken to be a linear combination of one-particle-one-hole excitations  $|C; \omega JM\rangle$  out of the  $A$ -particle ground state  $|i_n\rangle$  with  $C \equiv \{h, p\}$ . The hole state  $h$  is characterized by the quantum numbers  $n_h, l_h, j_h$ , and energy  $\epsilon_h$ . The continuum particle state is specified by the quantum numbers  $p = (l, j)$  and the energy  $\epsilon_p = E_N - M_N$ . The isospin nature of the particle-hole

state is denoted by  $t_q$ . The particle-hole state in the coupled scheme is defined according to

$$|C; \omega JM\rangle = \sum_{m_h m} \langle j_h - m_h j m | JM \rangle (-1)^{j_h - m_h} |ph^{-1}(\omega)\rangle, \quad (27)$$

with the uncoupled particle-hole state defined as

$$|ph^{-1}(\omega)\rangle = c_p^\dagger(\epsilon_p) c_h |i_n\rangle, \quad (28)$$

and  $\omega = \epsilon_p - \epsilon_h$ . The operators  $c^\dagger$  and  $c$  denote single-particle creation and annihilation operators. The radial wave functions for the bound hole states are solutions of the Schrödinger equation with a Hartree-Fock potential generated with an effective interaction of the Skyrme type (SkE2) [15]. The continuum particle states are evaluated within an optical potential model (OPM) [11]. The physical radial wave functions are regular in the origin and behave asymptotically ( $r \rightarrow \infty$ ) according to

$$\begin{cases} \phi_p(r) \xrightarrow{r \rightarrow \infty} \sqrt{\frac{2\mu_N}{\pi k_p}} \frac{\sin(k_p r - l\pi/2 - \eta \ln 2k_p r + \delta_{lj}^{n, \epsilon_p(\text{tot})})}{r}, & \epsilon_p > 0, \\ \phi_h(r) \xrightarrow{r \rightarrow \infty} 0, & \epsilon_h < 0, \end{cases} \quad (29)$$

where  $\eta$  and the momentum  $k_p \equiv |\vec{k}_p|$  stand for

$$k_p^2 = 2\mu_N \epsilon_p$$

with  $\mu_N = M_N(A-1)/A$  the reduced mass of the nucleon, (30)

$$\eta = \frac{(Z-1)\alpha\mu_N}{k_p}.$$

The complex phase shifts caused by the nuclear and Coulomb part of the optical potential are denoted by  $\delta_{lj}^{n, \epsilon_p}$  and  $\sigma_l^n$  ( $\delta_{lj}^{n, \epsilon_p(\text{tot})} = \delta_{lj}^{n, \epsilon_p} + \sigma_l^n$ ).

Given the asymptotic behavior for the radial single-particle wave functions and imposing that the ejected nucleon wave function satisfies the incoming boundary conditions, the final nuclear state  $|f_n\rangle$  is given by

$$\begin{aligned} |f_n\rangle &= \sum_{l_j m_j} \sum_{JM} 4\pi i^l \sqrt{\frac{\pi}{2\mu_N k_p}} \langle j_h m_h j m | JM \rangle \\ &\quad \times \langle l m_l \frac{1}{2} m_s | j m \rangle e^{-i\delta_{lj}^{n, \epsilon_p(\text{tot})}} Y_{l m_l}^*(\Omega_N) \\ &\quad \times |(l_h j_h, l j); \omega JM\rangle. \end{aligned} \quad (31)$$

In order to derive this expression the target nucleus is considered to be a spherical nucleus in the  $J^\pi = 0^+$  ground state. In addition, the residual nucleus is described by a pure hole state  $h$  with respect to this target nucleus ground state.

#### D. The Feynman amplitude

As the initial and final electron wave function and the final nuclear state are expanded in partial waves, it is common to decompose the electron and nuclear charge-current operators in the Coulomb, electric, and magnetic multipole operators of rank  $JM$  ( $q = |\vec{q}|$ ):

$$T_{JM}^{\text{el}}(q) = \frac{1}{q} \int d\vec{r} \vec{\nabla} \times [j_J(qr) \vec{\mathcal{Y}}_{J(J,1)}^M(\Omega_r)] \cdot \vec{J}(\vec{r}),$$

$$T_{JM}^{\text{mag}}(q) = \int d\vec{r} j_J(qr) \vec{\mathcal{Y}}_{J(J,1)}^M(\Omega_r) \cdot \vec{J}(\vec{r}),$$

$$M_{JM}^{\text{coul}}(q) = \int d\vec{r} j_J(qr) Y_{JM}(\Omega_r) \rho(\vec{r}), \quad (32)$$

with the vector spherical harmonics defined according to

$$\vec{\mathcal{Y}}_{J(L,1)}^M(\Omega) = \sum_{\lambda M_L} \langle LM_L 1 \lambda | JM \rangle Y_{LM_L}(\Omega) \vec{e}_\lambda, \quad (33)$$

and  $\vec{e}_\lambda$  ( $\lambda = 0, \pm 1$ ) the standard spherical unit vectors corresponding with the unit vectors ( $\vec{e}_x, \vec{e}_y, \vec{e}_z$ ) in the  $(x, y, z)$  reference frame [Fig. 1 (a)].

Accordingly, in momentum space the charge-current operators can be written as

$$\rho(\vec{q}) = 4\pi \sum_{JM} i^J Y_{JM}^*(\Omega_q) M_{JM}^{\text{coul}}(q),$$

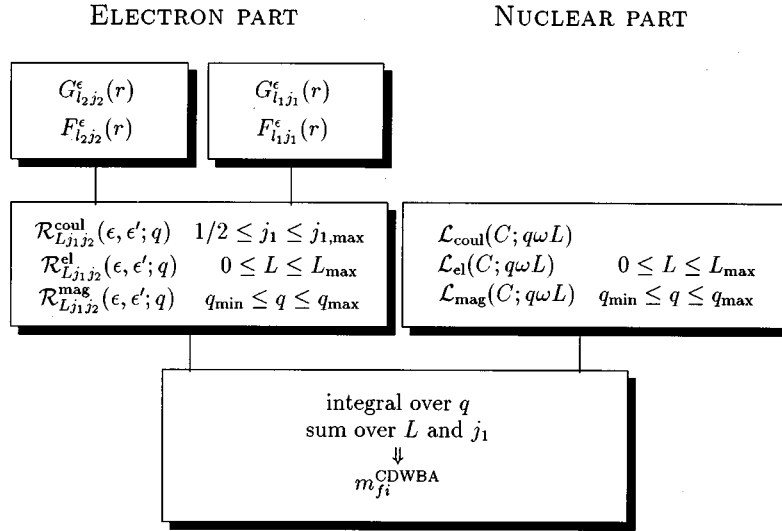


FIG. 2. Schematic representation of the CDWBA approach for the exclusive  $(e, e'p)$  cross section.

$$J_{\lambda q}(\vec{q}) = -\sqrt{2\pi} \sum_{J \geq 1, M} i^J \hat{J} [T_{JM}^{el}(q) + \lambda T_{JM}^{mag}(q)] \mathcal{D}_{M\lambda}^J(R_q) \quad (34)$$

with  $\hat{J} = \sqrt{2J+1}$  and the Euler angles  $R_q = (\phi_q, \theta_q, -\phi_q)$  defined in Fig. 1(a).

It is well known that when neglecting electron distortion effects, the differential  $(e, e'N)$  cross section can be written in terms of four structure functions containing all the nuclear information. In such a distorted wave born approximation (DWBA) approach each structure function is multiplied with an analytical factor containing the leptonic information. This is no longer valid in the Coulomb distorted wave born approximation (CDWBA) approach as the electron part can no longer be separated from the nuclear part. Consequently, when accounting for Coulomb distortion effects one has to perform a multipole expansion for both the electron and nuclear charge-current operators.

Combining Eqs. (3) and (34) and applying some basic properties of the Wigner  $\mathcal{D}^J(R_q)$  matrices the Feynman amplitude  $m_{fi}$  reads as  $(q_\mu q^\mu \equiv \omega^2 - q^2)$

$$\begin{aligned} m_{fi} = & \sum_{LM_L} (-1)^{M_L} \frac{(4\pi)^3}{(2\pi)^3} \int_0^\infty dq \left[ \langle f_e | M_{LM_L}^{e, \text{coul}}(q) | i_e \rangle \right. \\ & \times \langle f_n | M_{L-M_L}^{n, \text{coul}}(q) | i_n \rangle - \frac{q^2}{q_\mu q^\mu + i\eta} \{ \langle f_e | T_{LM_L}^{e, \text{mag}}(q) | i_e \rangle \\ & \times \langle f_n | T_{L-M_L}^{n, \text{mag}}(q) | i_n \rangle + \langle f_e | T_{LM_L}^{e, \text{el}}(q) | i_e \rangle \\ & \left. \times \langle f_n | T_{L-M_L}^{n, \text{el}}(q) | i_n \rangle \right]. \quad (35) \end{aligned}$$

The superscript  $e$  and  $n$  refer to the electron and the nuclear multipole operators. We have deliberately chosen to work out the leptonic and nuclear matrix elements in momentum space. Earlier electron distortion calculations by Jin *et al.* [10] and Udías *et al.* [12] evaluate the transition matrix elements in coordinate space. In order to make their calculations feasible the nucleon form factors are evaluated at the asymptotic value  $\vec{q} = \vec{k} - \vec{k}'$ . The major advantage of our ap-

proach is that the momentum dependence of the nucleon form factors can be handled exactly.

Here we will solely calculate the unpolarized  $(e, e'N)$  cross section (1) so we need to evaluate

$$\overline{\sum_{i,f} |m_{fi}|^2} = \frac{1}{2} \sum_{m_s^e m_s^k} \sum_{m_B m_{s_N}} |m_{fi}|^2. \quad (36)$$

The summation over the initial and final states involves a sum over the initial and final electron polarizations and a sum over the polarizations of the recoiling nucleus and the ejected nucleon. In the appendix this Feynman amplitude is further worked out. Summarizing from the appendix, one can state that the calculation of the  $(e, e'N)$  cross section is reduced to the evaluation of a large number of leptonic radial integrals  $\mathcal{R}_{L j_1 j_2}(\epsilon, \epsilon'; q)$  and a set of reduced transition matrix elements  $\mathcal{L}(C; q\omega J)$  containing all nuclear information. We stress that the technique developed here can be easily extended to polarization processes.

### III. NUMERICAL PROCEDURE

In order to derive the exclusive  $(e, e'p)$  cross section (1) in the CDWBA we need to evaluate the Feynman amplitude  $m_{fi}$  discussed in the previous section and the appendix. The numerical procedure is schematically sketched in Fig. 2. From a numerical point of view the evaluation of this transition amplitude is cumbersome as it involves an integration over the complete  $q$  range and two infinite sums, i.e., the sum over the different multiplicities  $L$  in the multipole expansion of the leptonic and hadronic current and the sum over the angular momentum  $j_1$  originating from the partial-wave expansion of the scattered electron state. Angular momentum selection rules make sure that the other summations in the equations (A3) and (A10) have a finite range for fixed values of  $j_1$  and  $L$ .

When accounting for electron distortion effects, the integrand in the integral over  $q$  peaks at the effective momentum transfer  $q^{\text{eff}} = |\vec{k}^{\text{eff}} - \vec{k}'^{\text{eff}}|$ . As the EMA is only an approximation of electron distortion effects the integrand is spread around this value and the integration in  $q$  space has to

be performed in an interval  $[q_{\min}, q_{\max}]$  around  $q^{\text{eff}}$ . It is worth noting that in the absence of electron distortion effects, the integral over  $q$  vanishes and the standard DWBA expressions are retained. The integrandum then reduces to a  $\delta$  function representing the momentum conservation relation  $q = |\vec{k} - \vec{k}'|$ .

The finite extent of the nucleus puts a constraint on the number of multiplicities  $L$  which have to be retained in the multipole expansion of the nuclear current given in Eq. (34). In the calculations we systematically observe convergence when including multiplicities up to  $L_{\max} \approx 2qR$ , where  $R$  denotes the radius of the considered target nucleus. In a similar way the number of electron partial waves which contribute to the  $(e, e'p)$  cross section, is restricted by an upper limit  $j_{1, \max}$ . It can be easily verified that the electron partial waves  $G_{lj}^\epsilon(r)$  and  $F_{lj}^\epsilon(r)$  corresponding with large values for  $lj$  are negligible for values of  $r$  within the nucleus range. For that reason, these electrons can cause no nuclear transitions. Consequently, to a required accuracy, only a finite number of the electron partial waves contributes to the electron scattering cross section. The number of electron partial waves actually contributing to the cross section depends also on the electron energy. The higher the electron energy the more partial waves will be required. The numerical evaluation of the  $(e, e'p)$  cross sections is getting complicated due to the large number of electron partial waves to consider. This is a result of the long-range character of the Coulomb interaction. The limit  $Z \rightarrow 0$  (equivalent with turning off the electron distortions) can be considered as a severe test of the accuracy of the numerical techniques and a convergence test for the electron partial waves. For  $Z=0$ , the electron wave functions reduce to plane waves. Accordingly, the DWBA cross section should be retained. As will be demonstrated in the forthcoming sections, our code has been checked to comply with this requirement.

Another important feature of our CDWBA approach is that the radial integrals  $\mathcal{R}_{Lj_1j_2}$  (A4), which are the heart of our numerical procedure, do not depend on the scattering angles  $\theta_e$  and  $\theta_p$ . Consequently, our numerical procedure is optimized for calculating the  $(e, e'p)$  cross section for these specific kinematical conditions where the electron and proton scattering angles are varied and the other electron characteristics are kept fixed. The complete missing momentum range of the  $(e, e'p)$  cross section for proton knockout from the different hole states can then be calculated with a stored set of radial integrals.

#### IV. RESULTS

Up to now, most of the high-resolution  $(e, e'p)$  experiments performed at NIKHEF (Amsterdam), Saclay, Mainz, and MIT-Bates have been carried out by using either parallel or constant  $\vec{q} - \omega$  kinematics. Both correspond with in-plane experiments: the ejected proton is detected in the scattering plane spanned by the initial and final electron. In *parallel kinematics* the proton is detected in the direction of the momentum transfer. By varying the incoming  $\epsilon$  and outgoing  $\epsilon'$  electron energies or/and the scattering angle  $\theta_e$ , different values for the momentum transfer  $\vec{q} = \vec{k} - \vec{k}'$  and consequently the missing momentum  $\vec{p}_m = \vec{p}_p - \vec{q}$  are reached. For

*constant  $\vec{q} - \omega$  kinematics* the energy-momentum transfer is kept fixed and the proton angular distribution is measured. The missing momentum is defined positive when the ejected proton lies in the half plane of the initial electron momentum and bordered by the momentum transfer. In the other half plane the missing momentum is negative.

Most of the experimental data are presented in terms of the reduced cross section extracted from the measured cross section in the following way ( $p_m = |\vec{p}_m|$ ,  $p_p = |\vec{p}_p|$ ):

$$\rho_m(p_m, E_x) = \frac{1}{p_p E_p \sigma_{ep}} \frac{d^4 \sigma}{d\epsilon' d\Omega_e d\Omega_p dE_p} \quad (37)$$

with  $\sigma_{ep}$  the off-shell electron-proton cross section. We stress that only in the plane-wave impulse approximation (PWIA) the reduced cross section coincides with the nucleon spectral function  $P(p_m, E_x)$ , i.e., the probability to eject a nucleon with momentum  $p_m$  from the target nucleus while leaving the residual nucleus at an excitation energy  $E_x$ . As soon as the FSI, electron distortion, and many-body nuclear current effects come into play this quantity can no longer be interpreted as the nucleon spectral function. In comparing our  $(e, e'p)$  results with the available data we have divided the calculated cross sections with the *cc1* prescription [16] for  $\sigma_{ep}$ . The same procedure was applied to the experimental cross sections presented in this paper. Moreover, the calculated curves are scaled with a spectroscopic factor which accounts for the fragmentation of the single-particle strength.

The results of our model calculation are compared with the predictions from three other model calculations. First, we confront our results with the nonrelativistic CDWBA model of Boffi *et al.* [11]. This model is at the basis of the DWEOPY code often used in the analysis of the NIKHEF  $(e, e'p)$  data. In the latter model the FSI is treated in a nonrelativistic optical potential calculation similar to ours. In contrast with our model, the bound state wave functions are calculated in a Wood-Saxon well. The rms radius of the bound state wave function is fitted to reproduce the shape of the measured reduced cross section and the well depth is adjusted to reproduce the experimentally observed separation energy. In our calculation, we use the bound state wave functions as obtained from a Hartree-Fock calculation with a density-dependent effective interaction. Accordingly, in our approach the spectroscopic factor is the only parameter adjusted to the data. Concerning the treatment of electron distortion effects the two models are very different. Whereas in our calculation Coulomb electron distortion effects are treated to all orders, the CDWBA model of Boffi *et al.* implements electron distortion effects within the high-energy expansion as briefly mentioned in the theoretical discussion of Sec. II B. In comparing the results obtained with these two nonrelativistic models one can study to what extent an exact treatment of electron distortion effects is required in the analysis of  $(e, e'p)$  reactions.

Our results for the reduced cross sections and the corresponding spectroscopic factors are also confronted with the completely relativistic calculations of Jin *et al.* [10] and Udías *et al.* [12]. In line with our approach, the two models handle the electron distortion in an exact distorted wave calculation. The main difference with our model occurs in the description of the photoabsorption process and the initial and

TABLE I. Kinematical conditions for the considered reactions.

	$\epsilon$ (MeV)	$\omega$ (MeV)	$p_m$ (MeV/c)
$^{16}\text{O}(e,e'p)^a$	455.8	115	-177 ... 265
$^{40}\text{Ca}(e,e'p)^b$	460	114	-225 ... 285
$^{90}\text{Zr}(e,e'p)^c$	346.5	81	27 ... 168
$^{90}\text{Zr}(e,e'p)^c$	350.7	114	62 ... 298
$^{208}\text{Pb}(e,e'p)^d$	412.3	113	-50 ... 300

<sup>a</sup>Reference [17].<sup>b</sup>References [18,19].<sup>c</sup>References [20,21].<sup>d</sup>Reference [9].

final nuclear system in the  $(e,e'p)$  process. Jin *et al.* and Udías *et al.* work in a totally relativistic framework. The bound state wave functions are calculated from the Dirac equation with a scalar and vector potential which are parametrized fits to relativistic Hartree potentials. The wave function of the knocked out nucleon is the solution of the Dirac equation with a relativistic optical potential. Two different prescriptions for the relativistic nuclear current operator are considered. They are referred to as the *cc1* and *cc2* current operators and follow the conventions of Ref. [16].

### A. Parallel kinematics

In this section we deal with the quasielastic  $(e,e'p)$  reaction from  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{90}\text{Zr}$ , and  $^{208}\text{Pb}$  in parallel kinematics. In Table I we specify the studied kinematical conditions. They all correspond with measurements performed at the NIKHEF electron accelerator. The  $(e,e'p)$  cross section for these different target nuclei are calculated in the CDWBA framework as outlined in the previous sections. The FSI of the ejected proton with the residual nucleus is handled within an OPM. For the medium-heavy nuclei  $^{40}\text{Ca}$ ,  $^{90}\text{Zr}$ , and  $^{208}\text{Pb}$  the potential as derived from the Schwandt parametrization [22] is considered. This optical potential is known to provide a good description of the elastic  $(p,p')$  scattering data over a large range of target mass and incident proton energies. The target nucleus  $^{16}\text{O}$  is out of the range of nuclei used in the parametrization of this global optical potential. Therefore, for the  $^{16}\text{O}(e,e'p)$  calculations, we adopt the optical potential which is directly extracted from a recent analysis of elastic  $^{16}\text{O}(p,p')$  scattering data at  $T_p=100$  MeV and use the parametrization quoted as “WS” in Ref. [17]. In order to study the effect of Coulomb distortions the  $(e,e'p)$  predictions from the DWBA and CDWBA model are compared. We stress that these two models only differ in the way the Coulomb distortions are described. In the DWBA they are completely neglected, whereas in the CDWBA they are treated exactly.

The CDWBA reduced cross sections for electroinduced proton knockout from the  $1p_{1/2}$  and  $1p_{3/2}$  shell in  $^{16}\text{O}$  are confronted with the NIKHEF data in Fig. 3. The DWBA and CDWBA curves for each state are multiplied with one and the same spectroscopic factor. This spectroscopic factor is extracted from a least-square fit of the CDWBA reduced cross section to the data. The multiplication factors as extracted from our calculation adopting the WS optical potential are given in Table II. Table II also lists the spectroscopic

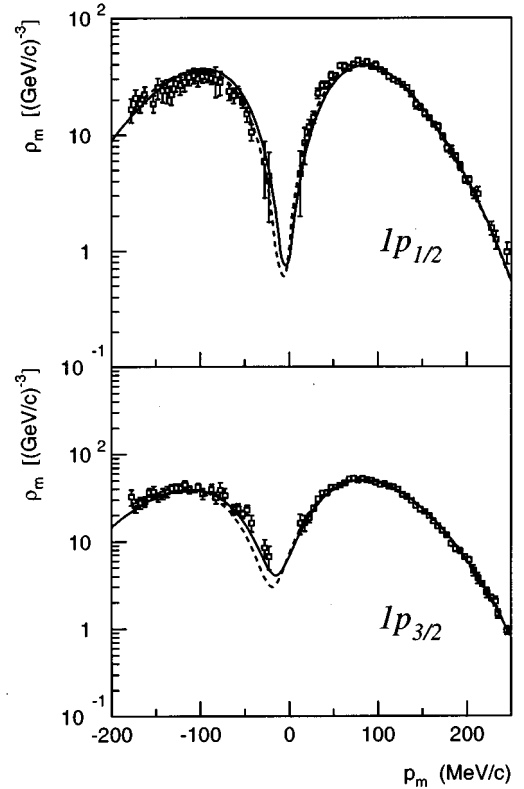


FIG. 3. Comparison of the DWBA (dashed line) and CDWBA (solid line) results for proton knockout from  $^{16}\text{O}$  for parallel kinematics. The curves are multiplied with the appropriate spectroscopic factors from Table II. The data are from Ref. [17].

factors obtained within the nonrelativistic CDWBA model of the Pavia group [11] as reported in Ref. [17]. Comparing the results presented in this work and the predictions outlined in Ref. [17], a similar degree of agreement with the data is reached. The extracted spectroscopic factors agree within 10%. From Fig. 3 it is clearly seen that the calculated reduced cross sections reproduce the measurements very well and electron distortion effects, although small, improve the agreement with the data especially for knockout from the  $1p_{3/2}$  orbit in  $^{16}\text{O}$ .

We also performed calculations for electroinduced one-proton knockout from the  $1d_{3/2}$  and  $2s_{1/2}$  shell in  $^{40}\text{Ca}$ . The results are plotted in Fig. 4. Electron distortion effects seem to follow the same pattern as observed for electron scattering from  $^{16}\text{O}$ , but the effect is now more pronounced. From the  $^{16}\text{O}(e,e'p)$  and  $^{40}\text{Ca}(e,e'p)$  results one can already trace the main effects of electron distortion on the reduced cross section in parallel kinematics.

(i) Electron distortion shifts the reduced cross section towards higher missing momenta. This can be explained by

TABLE II. Spectroscopic factors for the  $^{16}\text{O}(e,e'p)^{15}\text{N}$  reaction.

	$E_x$ (MeV)	CDWBA (this work)	CDWBA <sup>a</sup>
$1p_{1/2}$	0	0.66	0.64
$1p_{3/2}$	6.3	0.54	0.51

<sup>a</sup>Reference [17].



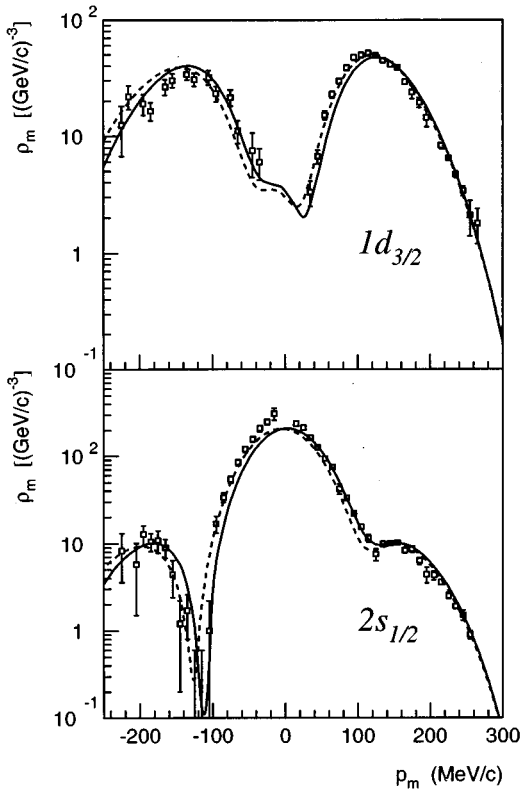


FIG. 4. Comparison of the DWBA (dashed line) and CDWBA (solid line) results for proton knockout from  $^{40}\text{Ca}$  under parallel kinematics. The curves are multiplied with the appropriate spectroscopic factors (see Table III). The data are from Ref. [19].

considering that a virtual photon exchanged between the electron and the nucleus will carry a momentum  $\vec{q}^{\text{eff}}$  instead of  $\vec{q}$  ( $q^{\text{eff}} > q$ ). From Eq. (25) and the definition of  $p_m$  one deduces that this shift will be decreasing with increasing  $p_m$ .

(ii) The shape of the reduced cross section is mainly modified at the minima and maxima. Clearly, electron distortion not only manifests itself in an effective momentum shift but also in a focusing effect of the electron beam onto the target nucleus.

The curves in Fig. 4 are scaled with a spectroscopic factor obtained from a least-square fit of the CDWBA results to the data. In Table III we compare the spectroscopic factors from our analysis with those obtained from the nonrelativistic analysis with the DWEEPY code [11] and those extracted from

the two complete relativistic calculations by Jin *et al.* [10] and Udías *et al.* [12].

Comparing the spectroscopic factors for proton knockout from the  $1d_{3/2}$  shell two main features can be observed.

(i) The spectroscopic factors obtained with the nonrelativistic models are in very good agreement with each other but are considerably smaller than the relativistic values obtained with the *cc2* nuclear current operator.

(ii) The spectroscopic factors extracted within the relativistic models seem to be very sensitive to the prescription for the off-shell nuclear current operator. The *cc2* current operator results in a spectroscopic factor for the  $2d_{3/2}$  state that differs with more than 20% from the *cc1* result. The *cc1* current operator is obtained from the *cc2* current operator using the Gordon decomposition and should produce similar results for on-shell nucleons.

The appreciable difference between the relativistic and nonrelativistic approaches is rather surprising considering that the proton kinetic energies dealt with are typically of the order of 100 MeV. According to Jin *et al.* [23] and Udías *et al.* [12,24] the noticeable difference between the relativistic and nonrelativistic spectroscopic factors is caused by the stronger absorptive part in the relativistic potentials. Even though all optical potentials reproduce the elastic proton-nucleus scattering data to a more or less similar degree, the quenching of the reduced cross section due to the final-state interaction of the ejected proton with the residual nucleus can differ by 15% adopting a relativistic or nonrelativistic optical potential. This can be attributed to the behavior of the optical potential in the nuclear interior. One could however doubt whether the interior part of the optical potential can be constrained in elastic proton scattering processes that are typical surface events.

Hedayati-Poor *et al.* [25] attribute the difference between the relativistic and nonrelativistic spectroscopic factors to the nuclear current operator. They show that the nonrelativistic reduction of the relativistic transition amplitude results in an effective nonrelativistic current operator which depends on the strong scalar and vector potentials [26] for the bound and the continuum single-particle states. Instead of using this medium-modified nonrelativistic nuclear current, we adopt the standard nonrelativistic nuclear current operator in our calculations. In our opinion, this is justified as long as the sensitivity of the relativistic results to the choice of the relativistic nuclear current operator is not cleared up.

Concerning the spectroscopic factors obtained for proton knockout from the  $2s_{1/2}$  shell (see Table III), the different models give very different predictions. In conformity with

TABLE III. Spectroscopic factors for the  $^{40}\text{Ca}(e,e'p)^{39}\text{K}$  reaction. The spectroscopic factors between brackets are obtained with the *cc1* nuclear current operator instead of the *cc2* operator usually adopted in the relativistic calculations.

	$E_x$ (MeV)	CDWBA (this work)	CDWBA <sup>a</sup>	rel. CDWBA <sup>b</sup>	rel. CDWBA <sup>c</sup>
$1d_{3/2}$	0	0.60	0.65	0.80	0.76 (0.60)
$2s_{1/2}$	2.522	0.48	0.51	0.75	0.51(0.44)

<sup>a</sup>Reference [18].

<sup>b</sup>Reference [10].

<sup>c</sup>Reference [12].

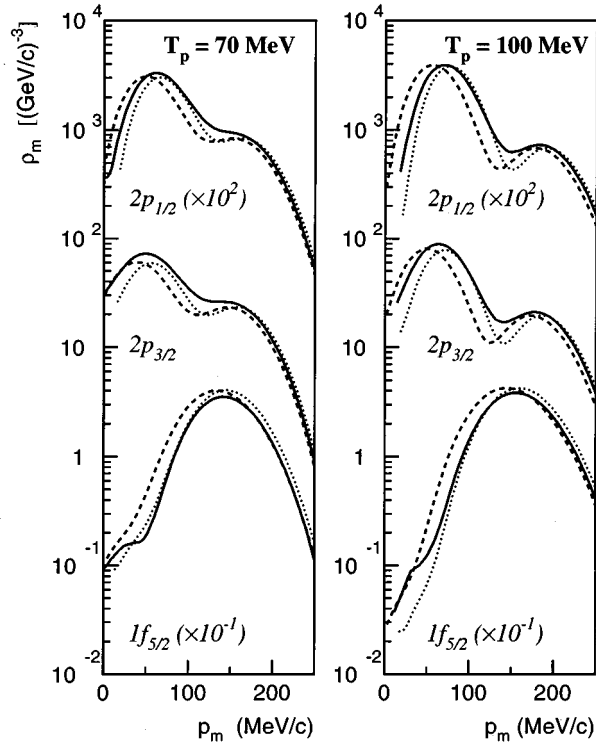


FIG. 5. Effect of Coulomb distortion on the reduced cross sections for proton knockout from the three valence shells in  $^{90}\text{Zr}$  at  $T_p = 70$  MeV and  $T_p = 100$  MeV. The dashed line stands for the DWBA result, the dotted line the EMA result and the solid line the complete CDWBA calculation.

the calculation of Udías *et al.* we describe rather poorly the reduced cross section around  $p_m = 0$ , especially in the negative missing momentum region. This results in a spectroscopic factor which is not very reliable. However, it has to be stressed that, in contrast with what was done in the analysis of Ref. [18], no attempt has been made to improve the results by adjusting the parameters of the optical potential and/or by adjusting the bound-state wave characteristics (rms radii and binding energies).

The next target nucleus we considered is  $^{90}\text{Zr}$ . The calculations cover knockout from the different valence shells in  $^{90}\text{Zr}$  for two different proton kinetic energies ( $T_p = 70$  and  $100$  MeV). We investigate to what extent the reduced cross sections for knockout from the outermost shells ( $2p_{1/2}, 2p_{3/2}, 1f_{5/2}$ ) are affected by electron Coulomb distortion effects. Secondly the results of the complete calculation are confronted with the available data. In Fig. 5 the reduced cross sections derived within the DWBA (neglecting electron distortion) and the complete CDWBA framework are compared with the predictions adopting the EMA.

The gross features which were pointed out in the previous sections again show up. For the two proton kinetic energies, electron distortion shifts the reduced cross section towards higher missing momenta. However, this shift is less pronounced in the CDWBA calculation than in the EMA approach. In Table IV we list the missing momenta corresponding with the first maxima in the reduced cross section for knockout from the  $2p_{1/2}$  orbit for the different steps in the formalism. We note a general behavior for the two proton

TABLE IV. The missing momentum corresponding with the first peak in the  $2p_{1/2}$  reduced cross section for the different approaches.

	$p_m$ (MeV/c) $T_p = 70$ MeV	$p_m$ (MeV/c) $T_p = 100$ MeV
PWIA	61	61
DWBA	50	56
EMA	66	77
CDWBA	61	66

kinetic energies. Including FSI effects which are equivalent to going from a PWIA to a DWBA approach, a shift towards lower  $p_m$  is noticed. This shift, opposite to the shift due to electron distortion, can be easily explained on the basis of an effective proton momentum. The ejected proton feels an attractive potential (real part of the optical potential) which causes the detected proton to have a smaller asymptotic momentum  $p_p$  than the momentum  $p_p^{\text{eff}}$  of the initially struck proton. Table IV also shows that this shift towards lower  $p_m$  is increasing with decreasing proton energy. The latter is easily explained as the real part of the optical potential induces a shift in the average measured proton momentum approximately given by [11]

$$\vec{p}_p^{\text{eff}} \approx \left( 1 + \frac{E_p}{p_p^2} \langle V \rangle \right) \vec{p}_p, \quad (38)$$

where  $\langle V \rangle$  is the average value of the real part of the optical potential over the interaction region.

The inclusion of electron distortion effects in the model shifts the reduced cross section towards higher  $p_m$ . The shift obtained from the EMA is larger for  $T_p = 100$  MeV than for  $T_p = 70$  MeV since for the latter the reduced cross section at the peak position corresponds to a smaller momentum transfer. The complete CDWBA calculation produces more than just a shift towards higher  $p_m$ . The focusing of the electron beam in the vicinity of the target nucleus strongly modifies the maxima and minima of the reduced cross section with respect to the DWBA results. Since the extracted spectroscopic factors are sensitive to the behavior of the reduced cross section at the peaks, an accurate prediction of this focusing effect is extremely important for an accurate deduction of these quantities.

In Fig. 6 the CDWBA results are confronted with the data. The different curves are multiplied with the spectroscopic factors that are determined from the  $T_p = 70$  MeV data (Table V). First, it is clear that for  $T_p = 70$  MeV the calculated cross sections are in very good agreement with the data. On the other hand, for the  $T_p = 100$  MeV data the shape of the measured reduced cross sections is not well reproduced by the CDWBA calculations and, as such, the extracted spectroscopic factors cannot be considered as reliable. This conclusion agrees with the findings of den Herder in Ref. [20]. In Ref. [20] it was shown that a slight reduction of the depth of the central imaginary part of the optical potential resulted in a much better agreement with the data for  $T_p = 100$  MeV. With this modified optical potential an equally good fit of the elastic proton scattering data was obtained. This indicates

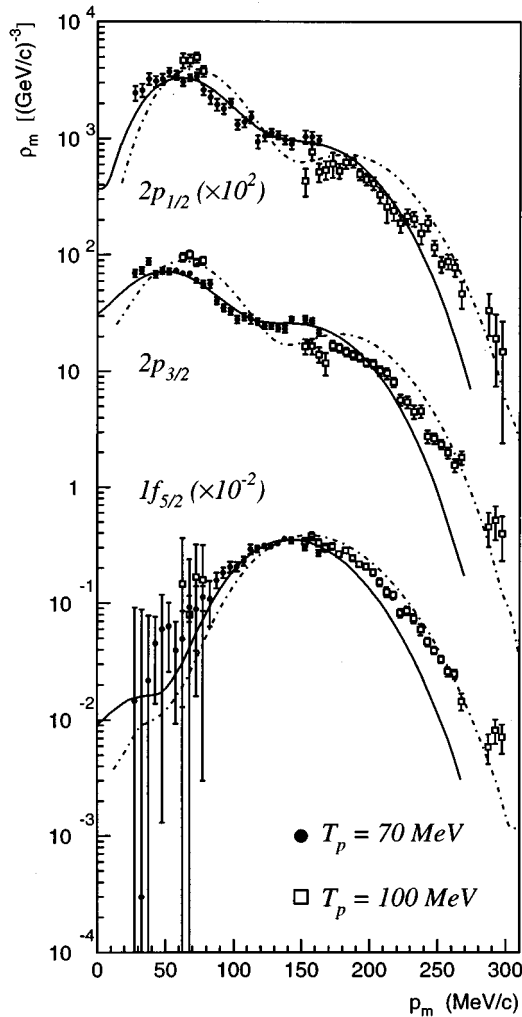


FIG. 6. Comparison of the CDWBA calculation with the NIKHEF data for proton knockout from  $^{90}\text{Zr}$  [20] (solid line:  $T_p=70$  MeV; dotted-dashed line:  $T_p=100$  MeV). The curves are multiplied with the spectroscopic factors derived for  $T_p=70$  MeV (Table V).

that low-energy ( $p, p'$ ) reactions are rather insensitive to the depth of the imaginary part in the nuclear interior. The ( $e, e'p$ ) results, however, are sensitive to this part of the optical potential. The second maximum in the  $2p$  reduced cross section reflects the behavior of the  $2p$  single-particle wave function in the nuclear interior. Since the overlap is taken with the continuum wave functions, the second maximum is sensitive to the shape of the continuum wave func-

TABLE V. Spectroscopic factors for the  $^{90}\text{Zr}(e, e'p)^{89}\text{Y}$  reaction.

$E_x$ (MeV)	CDWBA	CDWBA	CDWBA <sup>a</sup>
	(this work) ( $T_p=70$ MeV)	(this work) ( $T_p=100$ MeV)	
$2p_{1/2}$	0	0.31	0.34
$2p_{3/2}$	1.507	0.36	0.44
$1f_{5/2}$	1.745	0.44	0.33

<sup>a</sup>Reference [20].

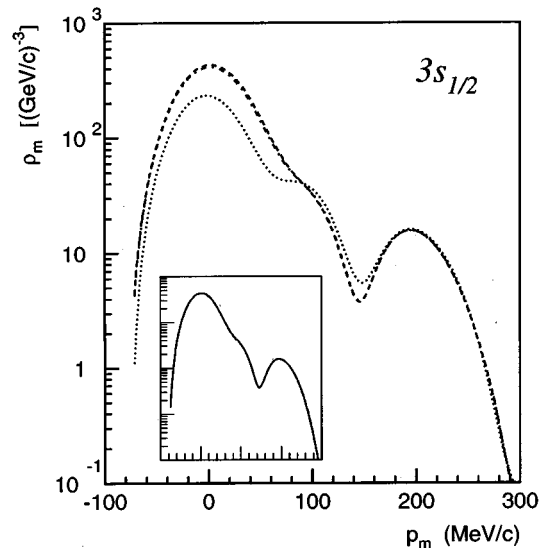


FIG. 7. Convergence check of the CDWBA  $^{208}\text{Pb}(e, e'p)$  calculation in parallel kinematics. The electron wave functions are described by spherical Bessel functions. For the dotted, dot-dashed, and dashed line electron partial waves up to  $l=30, 40$ , and  $50$  are considered. In the insert the DWBA calculation (solid line) is compared with the CDWBA calculation when convergence is reached (dashed line).

tion in the nuclear interior. Given the uncertainties in the optical potential, this behavior is not very accurately determined. As the  $1f_{5/2}$  single-particle wave function is more surface peaked, this also explains why the  $1f_{5/2}$  reduced cross section is not that sensitive to the depth of the imaginary part of the optical potential. Clearly, the sensitivity of the reduced cross section to the parametrization of the optical potential is a general weakness of CDWBA models but does not affect the general conclusions with respect to the role of electron distortion on the reduced cross section.

The spectroscopic factors extracted from our  $^{90}\text{Zr}(e, e'p)$  calculation are systematically larger than the values obtained by den Herder [20]. This deviation can be partly attributed to the fact that in Ref. [20] a different optical potential is considered. Furthermore, the analysis performed by den Herder accounts for electron distortion effects in an approximate way, thus overestimating the focusing effect of the electron beam.

The electroinduced one-proton knockout reaction from  $^{208}\text{Pb}$  is the ultimate testing case to study electron distortion effects. The 82 protons in  $^{208}\text{Pb}$  generate a strong Coulomb potential felt by the initial and final electron. We have calculated the  $^{208}\text{Pb}(e, e'p)$  reduced cross sections for proton emission from the  $3s_{1/2}$ ,  $2d_{3/2}$ ,  $2d_{5/2}$ ,  $1g_{7/2}$ , and  $1h_{11/2}$  shells.

As the effect of Coulomb distortions increases with proton number  $Z$ , we consider  $^{208}\text{Pb}$  the ideal target nucleus to illustrate the numerical accuracy of our technique. The convergence rate for the electron partial waves is illustrated in Fig. 7. Convergence is reached for  $l=50$  and the code is verified to produce gradually converging results, which is not evident, considering the large number of partial waves that has to be considered. The convergence tests were performed with electron wave functions of the spherical Bessel type.

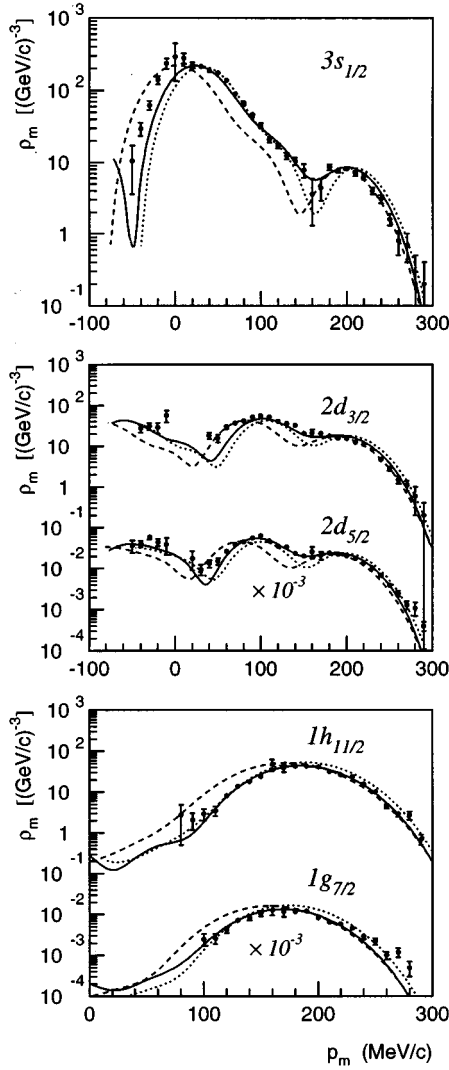


FIG. 8. The reduced cross sections for electroinduced one-proton knockout from the valence shells in  $^{208}\text{Pb}$  for parallel kinematics. The dashed, dotted, and solid curve give the DWBA, EMA, and CDWBA results. The calculations are compared with the data from Ref. [9] and are multiplied with the spectroscopic factors from Table VI.

Accordingly, when convergence is reached the resulting cross section should coincide with the one obtained in a DWBA approach, provided that similar model assumptions with respect to the bound state wave functions and the FSI are adopted. In the insert of Fig. 7 it is verified that our CDWBA code bears this thorough test.

Figure 8 shows a comparison of the CDWBA reduced cross sections with the DWBA and EMA results. All curves are multiplied with the spectroscopic factors as listed in Table VI. The spectroscopic factors are derived from a best fit of the CDWBA curve to the data. The data are well reproduced in the CDWBA, especially for the positive  $p_m$  side. We remark that electron distortion effects considerably improve on the agreement with the data. Besides a shift towards higher  $p_m$ , the minima and the maxima of the DWBA reduced cross section are strongly modified when including electron distortion effects in the model.

In Table VII some characteristics of the first and second

TABLE VI. Spectroscopic factors for the  $^{208}\text{Pb}(e,e'p)^{207}\text{Tl}$  reaction.

$E_x$ (MeV)	CDWBA				
	(this work)	CDWBA <sup>a</sup>	rel. CDWBA <sup>b</sup>	rel. CDWBA <sup>c</sup>	
$3s_{1/2}$	0	0.51	0.40	0.71	0.70 (0.65)
$2d_{3/2}$	0.35	0.54	0.46		0.73 (0.66)
$2d_{5/2}$	1.67	0.41	0.39		0.60
$1h_{11/2}$	1.35	0.43	0.42		0.64
$1g_{7/2}$	3.47	0.21	0.19		0.30

<sup>a</sup>Reference [9].

<sup>b</sup>Reference [10].

<sup>c</sup>Reference [12,27].

maximum of the  $3s_{1/2}$  reduced cross section are listed. The numbers clearly demonstrate that the final-state interaction of the ejected nucleon with the residual nucleus causes a small shift of the reduced cross section towards higher  $p_m$ . Electron distortion effects also show up in a shift towards higher  $p_m$ . The EMA again overestimates this feature compared with the complete distorted wave calculation. Moreover, in the CDWBA model, the shift in  $p_m$  related to electron distortion is more pronounced for the first than for the second peak. This can be easily understood by considering that the two peaks in the  $3s_{1/2}$  reduced cross section correspond with different values for the momentum transfer  $q$ . The focusing of the electron beam onto the target nucleus is reflected in an enhancement of the cross section at the peak positions with respect to the EMA cross section. The relativistic calculation by Udías *et al.* [12] predicts a relative enhancement which is somewhat larger than our estimate. Nevertheless, it is clear that the two complete distorted wave calculations do not reproduce the strong focusing effect of electron distortion as observed with the DWEEPY code [28,29]. The CDWBA model of the Pavia group predicts an enhancement for the first peak in the  $3s_{1/2}$  reduced cross section of about 20% due to the focusing of the electron beam onto the nucleus. This model accounts for electron distortion effects up to second order in the high-energy approximation. It has to be stressed that apart from the treatment of electron distortion effects, the Pavia and our model are very similar. Accordingly, the procedure of treating electron distortion effects is the only plausible explanation for the considerably different spectroscopic factors extracted with the two models.

TABLE VII. The missing momentum and the value of the reduced cross section relative to the DWBA result corresponding with the first and second peak of the  $3s_{1/2}$  reduced cross section. The corresponding values obtained by Udías *et al.* [12] and Giusti *et al.* [28,29] are listed between brackets.

	$p_m$ (MeV/c)		focusing effect	
	first peak	second peak	first peak	second peak
PWIA	0		2.04	
DWBA	3	195	1.00 (1.00 ; 1.00)	1.00 (1.00 ; 1.00)
EMA	32	209	0.96	1.04
CDWBA	24	200	0.99 (1.08 ; 1.21)	1.08 (1.14 ; -)

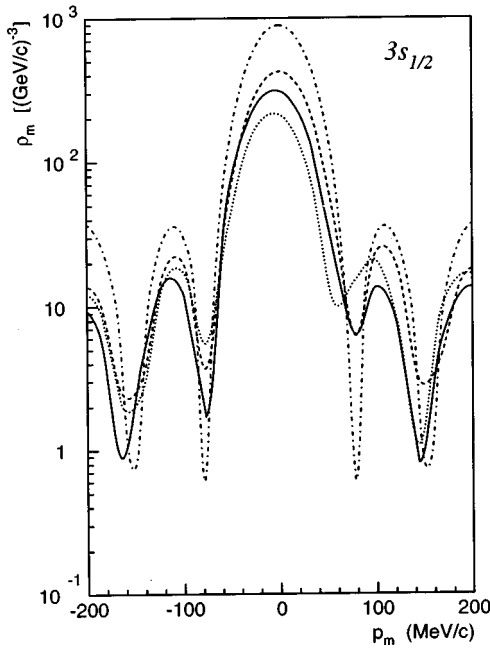


FIG. 9. The reduced cross section for proton knockout from the  $3s_{1/2}$  shell in  $^{208}\text{Pb}$  for constant  $\vec{q}-\omega$  kinematics ( $\epsilon=412.3$  MeV,  $q=444$  MeV/c,  $\omega=113$  MeV). The dotted-dashed, dashed, dotted, and solid line represent the PWIA, DWBA, EMA, and CDWBA results. The curves are not multiplied with a spectroscopic factor.

Summarizing, the effect of Coulomb distortion in parallel kinematics can be understood in terms of a shift of the reduced cross section towards higher  $p_m$  and a small enhancement of the reduced cross section due to the focusing of the electron beam onto the nucleus. It is also demonstrated that electron distortion effects become more important for heavier nuclei and need to be treated in a complete distorted wave calculation in order to extract reliable spectroscopic factors.

### B. Constant $\vec{q}-\omega$ kinematics

We find that for constant  $\vec{q}-\omega$  kinematics electron distortion effects act in a different way than for parallel kinematics. This is illustrated in Fig. 9 where we investigate electroinduced proton knockout from the  $3s_{1/2}$  shell in  $^{208}\text{Pb}$  for quasielastic kinematics ( $p_p \approx q$ ). In this calculation, the electron energy is the same as the one for the parallel kinematics case considered in the previous section. The EMA no longer causes a shift of the reduced cross section towards higher  $p_m$  but now results in a strong quenching of the reduced cross section around  $p_m=0$ . The peak of the reduced cross section at  $p_m=0$  in Fig. 9 coincides with the reduced cross section for parallel kinematics at  $p_m=0$  displayed in Fig. 8. Moreover, the reduced cross section in parallel kinematics around  $p_m=0$  shows a strong dependence on  $q$ , i.e., the slightest modification of  $q$  considerably affects the value for the reduced cross section. Consequently, a different prescription of  $q^{\text{eff}}$  than the one extracted from Eq. (25) can cause a considerable modification of the EMA reduced cross section at  $p_m=0$  for constant  $\vec{q}-\omega$  kinematics.

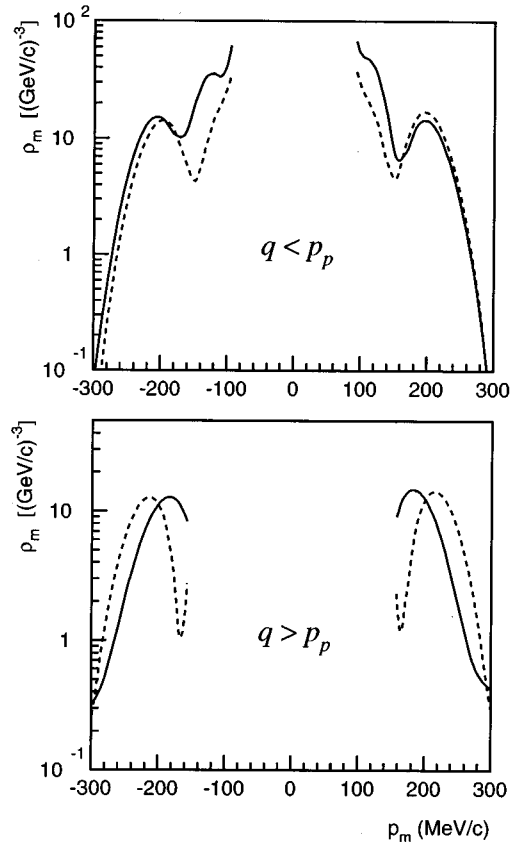


FIG. 10. The reduced cross section for proton knockout from the  $3s_{1/2}$  shell in  $^{208}\text{Pb}$  for constant  $\vec{q}-\omega$  kinematics. *Upper figure:*  $\epsilon=412.3$  MeV,  $q=350$  MeV/c,  $\omega=113$  MeV; *bottom figure:*  $\epsilon=412.3$  MeV,  $q=600$  MeV/c,  $\omega=113$  MeV. The dashed and solid line represent the DWBA and CDWBA results. The curves are not multiplied with a spectroscopic factor.

In going from the EMA to the CDWBA, a strong enhancement of the  $3s_{1/2}$  reduced cross section around  $p_m=0$  MeV/c is observed. From the previous considerations, this can be ascribed to a smaller  $q^{\text{eff}}$  value than the one adopted in the EMA approach and the focusing effect of the electron beam onto the nucleus. For constant  $\vec{q}-\omega$  kinematics it is therefore more difficult to disentangle the different contributions from electron distortion as the momentum transfer shift and the focusing effect both might cause either an enhancement or quenching of the reduced cross section.

In order to give a complete picture of the role of Coulomb distortion on the exclusive  $(e, e'p)$  reaction, the  $^{208}\text{Pb}$  reduced cross section for constant  $\vec{q}-\omega$  kinematics is also studied for nonquasielastic kinematics. Two different kinematics are considered, one in the low-energy ( $q > p_p$ ) and one in the high-energy ( $q < p_p$ ) side of the quasielastic peak. The results are plotted in Fig. 10.

The reduced cross sections show a specific pattern regarding electron distortion effects. The DWBA results are shifted towards higher (lower) missing momenta for  $q < p_p$  ( $q > p_p$ ). This feature can be easily explained within the EMA. We stress that this EMA shift is only a first estimate of the role of electron distortion on the exclusive  $(e, e'p)$  reduced cross section for nonquasielastic kinematics. It is clear from Fig. 10 that a complete distorted wave calculation

is required to completely account for electron distortion effects.

## V. CONCLUSIONS

We have analyzed the quasielastic ( $e, e'p$ ) reaction from various target nuclei. A technique is presented to deal with Coulomb distortion effects in an exact manner keeping the computational time within reasonable limits. We presented results for reduced cross sections as a function of missing momentum, corresponding to proton knockout from the outermost shells in  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ,  $^{90}\text{Zr}$ , and  $^{208}\text{Pb}$  considering two different types of kinematical arrangements.

For parallel kinematics, Coulomb electron distortion causes a shift of the cross section towards higher missing momenta. This shift can be partially reproduced by the use of an effective momentum transfer. Furthermore, the focusing effect of the electron beam onto the nucleus mainly affects the maxima and minima of the reduced cross section. It has been shown that in order to extract realistic spectroscopic factors an accurate determination of this effect is highly needed.

The role of electron distortion in the ( $e, e'p$ ) reaction for constant  $\vec{q}-\omega$  kinematics was investigated on the basis of the one-proton knockout reaction from the  $3s1/2$  shell in  $^{208}\text{Pb}$ . For quasielastic kinematics the focusing effect and the effective momentum transfer effect caused by Coulomb electron distortion are reflected in an enhancement, respectively, quenching of the DWBA reduced cross section around  $p_m=0$ . For non-quasi-elastic kinematics, electron distortion effects cause a shift of the DWBA reduced cross section towards higher or lower missing momentum depending on whether we probe the high- or low-energy side of the quasi-elastic peak.

The spectroscopic factors extracted in our model agree within 20% with the corresponding spectroscopic factors derived from an analysis applying the CDWBA code of the Pavia group. As can be seen from Fig. 11, we mostly obtain larger values. This can be partially attributed to the way of treating electron distortion effects. The approximate treat-

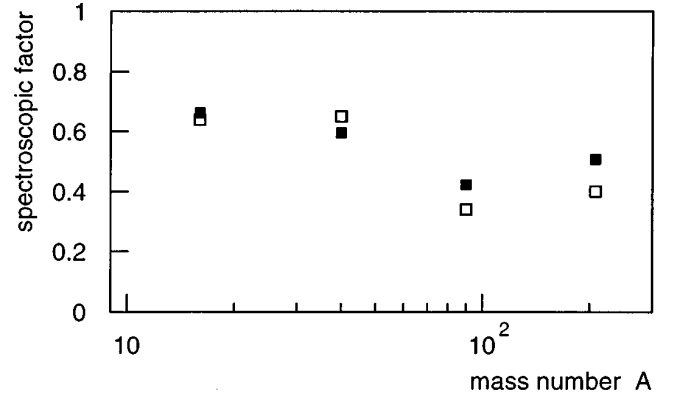


FIG. 11. Spectroscopic factors derived from the  $A(e, e'p)$  reaction to the ground state of the residual nucleus. The black squares give the results within the presented model, whereas the open squares are the values obtained with the DWEEPY code [11] which incorporates electron distortion effects in an approximate manner.

ment of electron distortion by the Pavia group [11] tends to overestimate the focusing effect of the electron beam onto the nucleus and produces in this way smaller spectroscopic factors than our values.

## ACKNOWLEDGMENT

This work has been supported by the Fund for Scientific Research–Flanders (FWO).

## APPENDIX: FEYNMAN AMPLITUDE IN THE CDWBA

In this appendix we work out the CDWBA Feynman amplitude (36) for the electroinduced one-nucleon knockout process. Combining Eqs. (32) and (35) with the expressions for the electron charge-current four vector (4), the distorted electron wave functions (21), and the distorted nuclear wave function (31) and applying some basic properties of the Wigner  $\mathcal{D}$  matrices, the summation (36) can be rewritten as

$$\frac{1}{2} \sum_{m_{s_k} m_s} \sum_{m_h m_{s_N}} \left| \sum_{LM_L} (-1)^{M_L} (4\pi)^3 \int_0^\infty dq \mathcal{A}_{LM_L}(m_{s_k} m_s; m_h m_{s_N}; \epsilon \epsilon'; q) \right|^2 \quad (\text{A1})$$

with

$$\begin{aligned} \mathcal{A}_{LM_L}(m_{s_k} m_s; m_h m_{s_N}; \epsilon \epsilon'; q) = & \mathcal{E}_{LM_L}^{\text{coul}}(m_{s_k} m_s; \epsilon \epsilon'; q) \mathcal{N}_{L-M_L}^{\text{coul}}(m_h m_{s_N}; \omega; q) - \frac{q^2}{q_\mu q^\mu + i\eta} \{ \mathcal{E}_{LM_L}^{\text{mag}}(m_{s_k} m_s; \epsilon \epsilon'; q) \\ & \times \mathcal{N}_{L-M_L}^{\text{mag}}(m_h m_{s_N}; \omega; q) + \mathcal{E}_{LM_L}^{\text{el}}(m_{s_k} m_s; \epsilon \epsilon'; q) \mathcal{N}_{L-M_L}^{\text{el}}(m_h m_{s_N}; \omega; q) \}. \end{aligned} \quad (\text{A2})$$

For the derivation of this expression we have chosen the reference frame ( $x, y, z$ ) and the electron and nucleon scattering angles according to the definitions fixed in Fig. 1(b). The *electron part*  $\mathcal{E}$  reads

$$\begin{aligned}
\begin{pmatrix} \mathcal{E}_{LM_L}^{\text{coul}}(m_{s_k} m_s; \epsilon \epsilon'; q) \\ \mathcal{E}_{LM_L}^{\text{el}}(m_{s_k} m_s; \epsilon \epsilon'; q) \\ \mathcal{E}_{LM_L}^{\text{mag}}(m_{s_k} m_s; \epsilon \epsilon'; q) \end{pmatrix} &= -\frac{e}{\pi} \sum_{j_1 j_2} \sum_{l_1 l_2} \left\{ e^{i(\delta_{j_1}^{\epsilon, \epsilon'}(\text{tot}) + \delta_{j_2}^{\epsilon, \epsilon'}(\text{tot}))} i^{-L} \frac{\hat{l}_2}{\sqrt{4\pi}} (-1)^{j_1 + m_{s_k}} \langle l_2 0 1/2 m_{s_k} | j_2 m_{s_k} \rangle \frac{(-1)^L}{\hat{L}} \right. \\
&\quad \times \langle j_1 - (M_L + m_{s_k}) j_2 m_{s_k} | L - M_L \rangle \langle l_1 (M_L + m_{s_k} - m_s) 1/2 m_s | j_1 M_L + m_{s_k} \rangle Y_{l_1 (M_L + m_{s_k} - m_s)}(\Omega_e) \\
&\quad \left. \times \frac{1}{2} \begin{pmatrix} [1 + (-1)^{(l_1 + l_2 + L)}] \mathcal{R}_{L j_1 j_2}^{\text{coul}}(\epsilon, \epsilon'; q) \\ [1 + (-1)^{(l_1 + l_2 + L)}] \mathcal{R}_{L j_1 j_2}^{\text{el}}(\epsilon, \epsilon'; q) \\ [1 - (-1)^{(l_1 + l_2 + L)}] \mathcal{R}_{L j_1 j_2}^{\text{mag}}(\epsilon, \epsilon'; q) \end{pmatrix} \right\}. \tag{A3}
\end{aligned}$$

The radial integrals  $\mathcal{R}_{L j_1 j_2}$  in this expression are evaluated in the following way:

$$\begin{pmatrix} \mathcal{R}_{L j_1 j_2}^{\text{coul}}(\epsilon, \epsilon'; q) \\ \mathcal{R}_{L j_1 j_2}^{\text{el}}(\epsilon, \epsilon'; q) \\ \mathcal{R}_{L j_1 j_2}^{\text{mag}}(\epsilon, \epsilon'; q) \end{pmatrix} = (-1)^{n-l_1} (\bar{l}_2 - l_2) (\bar{l}_1 - l_1) \begin{pmatrix} R_{L j_1 j_2 l_1 l_2}^{\text{coul}}(\epsilon, \epsilon'; q) \\ R_{L j_1 j_2 l_1 l_2}^{\text{el}}(\epsilon, \epsilon'; q) \\ i R_{L j_1 j_2 l_1 l_2}^{\text{mag}}(\epsilon, \epsilon'; q) \end{pmatrix}, \tag{A4}$$

where  $n$  stands for  $(l_1 + l_2 + L)/2$  in the Coulomb and electric radial integrals and for  $(l_1 + l_2 + L - 1)/2$  in the magnetic radial integrals. It can easily be verified that in the case  $l_1, l_2$  satisfies  $l_1 + l_2 + L$  even (odd) than  $\bar{l}_1, \bar{l}_2$  satisfies  $\bar{l}_1 + \bar{l}_2 + L$  even (odd). Moreover,  $R_{L j_1 j_2}(\epsilon, \epsilon'; q)$  can be evaluated with either of the two choices  $(l_1, l_2)$  or  $(\bar{l}_1, \bar{l}_2)$  since for both sets the same result is obtained. The initial and final radial electron partial waves occur in the expressions for the radial integrals  $R_{L j_1 j_2 l_1 l_2}$  given by

$$R_{L j_1 j_2 l_1 l_2}^{\text{coul}}(\epsilon, \epsilon'; q) = \int_0^\infty dr j_L(qr) [G_{l_1 j_1}^{\epsilon'}(r) G_{l_2 j_2}^\epsilon(r) \langle (l_1 1/2) j_1 || Y_L || (l_2 1/2) j_2 \rangle + F_{l_1 j_1}^{\epsilon'}(r) F_{l_2 j_2}^\epsilon(r) \langle (\bar{l}_1 1/2) j_1 || Y_L || (\bar{l}_2 1/2) j_2 \rangle], \tag{A5}$$

$$\begin{aligned}
R_{L j_1 j_2 l_1 l_2}^{\text{mag}}(\epsilon, \epsilon'; q) &= i \int_0^\infty dr j_L(qr) [G_{l_1 j_1}^{\epsilon'}(r) F_{l_2 j_2}^\epsilon(r) \langle (l_1 1/2) j_1 || (Y_L \otimes \vec{\sigma})_L || (\bar{l}_2 1/2) j_2 \rangle \\
&\quad - F_{l_1 j_1}^{\epsilon'}(r) G_{l_2 j_2}^\epsilon(r) \langle (\bar{l}_1 1/2) j_1 || (Y_L \otimes \vec{\sigma})_L || (l_2 1/2) j_2 \rangle], \tag{A6}
\end{aligned}$$

$$\begin{aligned}
R_{L j_1 j_2 l_1 l_2}^{\text{el}}(\epsilon, \epsilon'; q) &= - \int_0^\infty dr \frac{\sqrt{L+1}}{\sqrt{2L+1}} j_{L-1}(qr) [G_{l_1 j_1}^{\epsilon'}(r) F_{l_2 j_2}^\epsilon(r) \langle (l_1 1/2) j_1 || (Y_{L-1} \otimes \vec{\sigma})_L || (\bar{l}_2 1/2) j_2 \rangle \\
&\quad - F_{l_1 j_1}^{\epsilon'}(r) G_{l_2 j_2}^\epsilon(r) \langle (\bar{l}_1 1/2) j_1 || (Y_{L-1} \otimes \vec{\sigma})_L || (l_2 1/2) j_2 \rangle] \\
&\quad + \int_0^\infty dr \frac{\sqrt{L}}{\sqrt{2L+1}} j_{L+1}(qr) [G_{l_1 j_1}^{\epsilon'}(r) F_{l_2 j_2}^\epsilon(r) \langle (l_1 1/2) j_1 || (Y_{L+1} \otimes \vec{\sigma})_L || (\bar{l}_2 1/2) j_2 \rangle \\
&\quad - F_{l_1 j_1}^{\epsilon'}(r) G_{l_2 j_2}^\epsilon(r) \langle (\bar{l}_1 1/2) j_1 || (Y_{L+1} \otimes \vec{\sigma})_L || (l_2 1/2) j_2 \rangle]. \tag{A7}
\end{aligned}$$

The following reduced matrix elements are useful in the evaluation of these integrals

$$\begin{aligned}
\langle (l_1 1/2) j_1 || Y_L || (l_2 1/2) j_2 \rangle &= (-1)^{j_1 - (1/2)} \frac{\hat{j}_1 \hat{L} \hat{j}_2}{\sqrt{4\pi}} \begin{pmatrix} j_1 & L & j_2 \\ -1/2 & 0 & 1/2 \end{pmatrix} \frac{[1 + (-1)^{l_1 + L + l_2}]}{2}, \\
\langle (l_1 1/2) j_1 || (Y_L \otimes \vec{\sigma})_L || (l_2 1/2) j_2 \rangle &= \hat{j}_1 \hat{j}_2 \begin{Bmatrix} l_1 & 1/2 & j_1 \\ l_2 & 1/2 & j_2 \\ L & 1 & J \end{Bmatrix} (-1)^{l_1} \frac{\sqrt{6} \hat{l}_1 \hat{L} \hat{l}_2}{\sqrt{4\pi}} \begin{pmatrix} l_1 & L & l_2 \\ 0 & 0 & 0 \end{pmatrix}. \tag{A8}
\end{aligned}$$

The nuclear part  $\mathcal{N}$  in Eq. (A2) is written in terms of the reduced matrix elements  $\mathcal{L}_{\text{coul}}$ ,  $\mathcal{L}_{\text{el}}$ , and  $\mathcal{L}_{\text{mag}}$  defined as

$$\begin{aligned}
\mathcal{L}_{\text{coul}}(C; q\omega J) &= \langle 0^+ || M_J^{\text{n,coul}}(q) || (l_h j_h, l_j); \omega J \rangle, \\
\mathcal{L}_{\text{el}}(C; q\omega J) &= \langle 0^+ || T_J^{\text{n,el}}(q) || (l_h j_h, l_j); \omega J \rangle, \\
\mathcal{L}_{\text{mag}}(C; q\omega J) &= \langle 0^+ || T_J^{\text{n,mag}}(q) || (l_h j_h, l_j); \omega J \rangle.
\end{aligned} \tag{A9}$$

We get

$$\begin{aligned}
\begin{pmatrix} \mathcal{N}_{L-M_L}^{\text{coul}}(m_h m_{s_N}; \omega; q) \\ \mathcal{N}_{L-M_L}^{\text{el}}(m_h m_{s_N}; \omega; q) \\ \mathcal{N}_{L-M_L}^{\text{mag}}(m_h m_{s_N}; \omega; q) \end{pmatrix} &= \sum_{l_j m_{j_1}} 4\pi i^{-l} \sqrt{\frac{\pi}{2\mu_N k_p}} e^{i\delta_{l_j}^{\text{n},\epsilon_p(\text{tot})}} Y_{l m_l}(\Omega_N) \frac{(-1)^L}{\hat{L}} \langle j_h m_h j m | L-M_L \rangle \langle l m_l 1/2 m_{s_N} | j m \rangle \\
&\times \begin{pmatrix} \mathcal{L}_{\text{coul}}^*(C; q\omega L) \\ -\mathcal{L}_{\text{el}}^*(C; q\omega L) \\ -\mathcal{L}_{\text{mag}}^*(C; q\omega L) \end{pmatrix}.
\end{aligned} \tag{A10}$$

At this stage we described the  $(e, e'N)$  process in its most general form. All approximations with respect to the photoabsorption mechanism and the final-state interaction (FSI) of the ejected nucleon with the nucleus are contained in the matrix elements  $\mathcal{L}_{\text{coul}}(C; q\omega L)$ ,  $\mathcal{L}_{\text{el}}(C; q\omega L)$ , and  $\mathcal{L}_{\text{mag}}(C; q\omega L)$ . Moreover, besides the fact that we consider the ultrarelativistic limit, electron distortion effects are accounted for exactly. For the one-body nuclear current operator of the impulse approximation (26), these reduced matrix elements are evaluated in Ref. [30].

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