# **Studies in the statistical and thermal properties of hadronic matter under some extreme conditions**

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The thermal and statistical properties of hadronic matter under some extreme conditions are investigated using an exactly solvable canonical ensemble model. A unified model describing both the fragmentation of nuclei and the thermal properties of hadronic matter is developed. Simple expressions are obtained for quantities such as the hadronic equation of state, specific heat, compressibility, entropy, and excitation energy as a function of temperature and density. These expressions encompass the fermionic aspect of nucleons, such as degeneracy pressure and Fermi energy at low temperatures and the ideal gas laws at high temperatures and low density. Expressions are developed which connect these two extremes with behavior that resembles an ideal Bose gas with its associated Bose condensation. In the thermodynamic limit, an infinite cluster exists below a certain critical condition in a manner similar to the sudden appearance of the infinite cluster in percolation theory. The importance of multiplicity fluctuations is discussed and some recent data from the EOS collaboration on critical point behavior of nuclei can be accounted for using simple expressions obtained from the model. [S0556-2813(97)05202-3]

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## **I. INTRODUCTION**

One of the goals of nuclear physics is to characterize the thermal properties of nuclear matter, described by its equation of state. Investigating heavy-ion collisions offers one experimental method of probing nuclei away from the typical low temperature and density, and a number of experiments are underway studying the breakup of nuclei, contributing greatly to our understanding  $[1-6]$ . Several theoretical investigations of these properties have been instigated, thermal  $[7–10]$ , statistical  $[11–15]$ , transport  $[16–20]$ , and percolative  $[21–26]$  models all offering insights into the properties of fragmenting nuclei.

In a previous set of papers  $[27-30]$  an exactly solvable canonical ensemble model was developed for studying the multifragmentation of nuclei induced by high-energy collisions. Detailed properties of the cluster distributions such as inclusive yields and correlations were explored. In this paper, we study the statistical and thermal properties of nuclei using the same model, extending results presented in [31]. This model gives a unified description of multifragmentation phenomena and the thermodynamic properties of hadronic matter, such as its equation of state and the nuclear compressibility. Simple expressions for these quantities are obtained which extrapolate or connect between a lowtemperature nearly degenerate Fermi gas to a hightemperature ideal Maxwell-Boltzmann gas of nucleons. Importantly, the effects of the fragmentation degrees of freedom on the thermodynamics is manifestly included. Questions related to very high temperatures and particle and antiparticle production will be developed in a subsequent paper.

## **II. MODEL STUDIES**

Given the partition function  $Z_A(V,T)$  for a system of *A* nucleons at a given volume *V* and temperature *T* we can determine the thermodynamic functions by taking various partial derivatives, i.e., the internal energy and pressure are given by  $U = k_B T^2 [(\partial/\partial T) \ln Z_A]_V$ ,  $P = k_B T [(\partial/\partial V) \ln Z_A]_T$ . Additional partial derivatives lead to such important quantities as the specific heat and isothermal compressibility,  $C_V = (\partial U/\partial T)_V$ ,  $1/\kappa_T = -[V(\partial P/\partial V)]_T$ , and thermodynamic potentials such as the entropy and Gibbs free energy can be related to these quantities,  $S = U/T + k_B \ln Z_A$ ,  $G = -k_B T \ln Z_A + PV$ . Our interest of course is not in the partition function itself, but rather in these derived functions, and as such the partition function is merely a means to that end.

This section constructs a partition function for fragmenting nuclear matter. It does so by investigating the thermodynamic functions at both low- and high-temperatures and densities. At low temperature/high density, the nucleons coalesce into a single nucleus which can be modeled as a degenerate Fermi gas with Skyrme interactions. At high temperature/low density, the nucleons evaporate into a Maxwell-Boltzmann gas of individual nucleons. With these results firmly in mind, a statistical fragmentation model is introduced whose parameters depend on the thermodynamics in a way consistent with the high- and low-temperature limits, yet allowing more complicated fragmentation patterns at intermediate temperatures. The remaining freedom in the model is eliminated by assuming that the cluster yields follow a power law. Interestingly these minimal assumptions lead to a model surprisingly rich in physics as we shall see in the next section.

#### **A. Low-temperature behavior and ideal Fermi gas laws**

At zero temperature, the nucleus can be treated as a degenerate Fermi gas, with Fermi momentum  $p_F$  given by

$$
g_{\rm SI} \frac{4}{3} \pi p_F^3 = h^3 \rho, \tag{1}
$$

where  $g_{\text{SI}}=4$  is the spin-isospin degeneracy factor and  $\rho = A/V$  is the density. At normal density  $\rho_0 = A/V_0 = 0.17$ nucleons  $\text{fm}^{-3}$ , nucleons are nonrelativistic and the Fermi energy and momentum are related by  $\varepsilon_F = p_F^2 / 2m$ . From the above expression for the Fermi momentum, we see that  $\varepsilon_F$  $\propto \rho^{2/3}$ . At low temperatures, the total kinetic energy for such a Fermi gas is given by [32]

$$
E_K = \frac{3}{5} A \varepsilon_F \left[ 1 + \frac{5 \pi^2}{12} \left( \frac{k_B T}{\varepsilon_F} \right)^2 \right].
$$
 (2)

However, the nucleons do interact. Interactions between the nucleons can be taken into account by using a densitydependent Skyrme interaction [33,34]. In this approach, the internal energy of a nucleus is given by

$$
U_{LT} = E_K - A \bigg[ a_0 \frac{\rho}{\rho_0} - a_3 \bigg( \frac{\rho}{\rho_0} \bigg)^{1+\sigma} \bigg],
$$
 (3)

where  $a_0$  and  $a_3$  are Skyrme parameters (for simplicity, tem-<br>perature  $a_0$  independent) determined by fixing perature independent) determined by fixing  $a_V(\rho) = -U_{LT}(T=0)/A$  the binding energy per particle at zero temperature

$$
a_V(\rho) = -\frac{3}{5}\epsilon_F(\rho) + a_0 \left(\frac{\rho}{\rho_0}\right) - a_3 \left(\frac{\rho}{\rho_0}\right)^{1+\sigma}
$$
 (4)

to the empirical value for  $a_V$  at  $\rho = \rho_0$  (about 8.0 MeV/ nucleon at zero temperature) and having this as the maximum of  $a_V$ . The density dependent repulsive term should appear with a higher power of  $\rho$  than the attractive part, so that the nucleus does not collapse, and thus requires  $\sigma > 0$ . Commonly used values for  $\sigma$  are  $\sigma=1$  (three body interaction) and  $\sigma$ =2/3 (finite range term) [35].

Having specified the internal energy, we can now determine any other thermodynamic function. The specific heat  $C_V = (\partial U/\partial T)_V$ , and entropy  $S = \int^T C_V/T' dT'$  are therefore

$$
\left(\frac{C_V}{k_B}\right)_{LT} = \left(\frac{S}{k_B}\right)_{LT} = A\frac{\pi^2}{2}\left(\frac{k_B T}{\varepsilon_F}\right). \tag{5}
$$

The partition function can now be determined from  $Z_A = \exp\{(ST-U)/k_BT\}$ , which gives

$$
Z_A = y^A,\tag{6}
$$

where

$$
y = \exp\left\{\frac{a_V}{k_B T} + \frac{k_B T}{\varepsilon_0}\right\},\tag{7}
$$

and  $\varepsilon_0 = (4/\pi^2) \varepsilon_F$ . From this partition function it is easy to determine the pressure and compressibility.

$$
P_{LT} = \frac{2}{5} \rho \varepsilon_F(\rho) \left[ 1 + \frac{5\pi^2}{12} \left( \frac{k_B T}{\varepsilon_F} \right)^2 \right]
$$

$$
- a_0 \rho \frac{\rho}{\rho_0} + (1 + \sigma) a_3 \rho \left( \frac{\rho}{\rho_0} \right)^{1 + \sigma}, \qquad (8)
$$

$$
\left(\frac{1}{\kappa_T}\right)_{LT} = \frac{2}{3}\rho \varepsilon_F \left[1 + \frac{\pi^2}{12} \left(\frac{k_B T}{\varepsilon_F}\right)^2\right]
$$

$$
-2a_0 \frac{\rho^2}{\rho_0} + (1 + \sigma)(2 + \sigma)a_3 \rho \left(\frac{\rho}{\rho_0}\right)^{1 + \sigma}.
$$
 (9)

## **B. High-temperature, low-density ideal Maxwell-Boltzmann gas laws**

At high temperature and/or low density, but below meson and particle-antiparticle production thresholds, the hadronic properties are that of an ideal Maxwell-Boltzmann gas, with

$$
U_{\text{HT}} = \frac{3}{2} A k_B T, \qquad (10)
$$

$$
\left(\frac{C_V}{k_B}\right)_{\rm HT} = \frac{3}{2}A,\tag{11}
$$

$$
P_{\rm HT} = \rho k_B T,\tag{12}
$$

$$
\left(\frac{1}{\kappa_T}\right)_{\text{HT}} = \rho k_B T,\tag{13}
$$

$$
\left(\frac{S}{k_B}\right)_{\text{HT}} = A \ln \left\{ e^{5/2} \frac{V}{A \lambda_T^3} g_{S,I} \right\},\tag{14}
$$

where the entropy *S* is given by the Sackur-Tetrode law and  $\lambda_T = h / \sqrt{2 \pi m k_B T}$ . We expect that nuclei vaporize into individual nucleons at  $k_B T \ge a_V$ , the binding energy per particle. The partition function consistent with these thermodynamic functions is  $Z_A = x^A/A!$ , where  $x = V/\lambda_T^3$ 

## **C. Hadronic matter at moderate temperatures and densities and ideal Bose gaslike structure**

In the region between the ideal Fermi gas limit and the ideal Maxwell-Boltzmann gas limit, the effects of the fragmentation of the initial nucleus must be taken into account when considering thermodynamic issues. To account for these effects, we employ a model developed initially to study multifragmentation phenomena  $[36]$ . This model has the correct high- and low-temperature limits, as determined in the previous sections, but has features similar to that of a Bose gas in the intermediate range.

To describe the fragmentation of a nucleus into all possible modes of breakup, a weight is given to each possibility. The weight chosen is

$$
W(\mathbf{n}) = \prod_{k \ge 1} \frac{x_k^{n_k}}{n_k!} = \prod_{k \ge 1} \frac{1}{n_k!} \left(\frac{xy^{k-1}}{\beta_k}\right)^{n_k},\tag{15}
$$

where *x* and *y* are functions of the thermodynamic variables  $(V, T)$ ,  $\beta_k$  is the cluster size dependence of the weight and  $\mathbf{n}=(n_1, n_2, \dots)$  is the fragmentation vector, with  $n_k$  the number of fragments with *k* nucleons such that  $\sum_k kn_k = A$ . Such a weight is expected if the system's partition function can be expressed in terms of the partition functions of the individual fragments. In that case, the factor  $n_k!$  arises from the indistinguishability of the fragments of the same size, and the  $x_k$  is the internal partition function for a cluster of size *k*.

The details of the internal partition function  $x_k = xy^{k-1}/\beta_k$  can be understood as follows. The factor  $x = V/\lambda_T^3$  arises from phase space and translational factors, i.e., *V* arises due to the volume available for each fragment's motion, and  $\lambda_T^3$  accounts for the temperature's effect on that motion. This term was developed in  $[28]$  from statistical considerations, and we will shortly show that it is consistent with the high-temperature behavior discussed in Sec. II B. The factor  $y^{k-1}$  is due to binding energy and internal excitations as discussed in  $[28,30]$ . For example, we assume that the binding energy of a cluster of size *k* is given by the simplified form  $E_B = a_V(k-1)$ , yielding  $y \propto \exp(a_V / k_B T)$  assuming a Boltzmann distribution. Similarly, excitation energy and entropy considerations for a cluster suggest *y*  $\propto \exp\{(k_BT/\epsilon_0)[T_0/(T+T_0)]\}$ , where  $T_0$  is a cutoff temperature for such excitations introduced in  $[37]$  and accounts for their finite lifetimes. Combining the binding and excitation effects yields a *y* which we will shortly show is consistent with the low temperature properties of nuclei already discussed in Sec. II A.

It is also interesting to note that a factor similar to  $\exp(-k_BT/\epsilon_0)$  also appears in Feynman's approach to the  $\lambda$ transition in liquid helium [38]. For a Fermi gas,  $\varepsilon_0 = (4/\pi^2)\varepsilon_F$ ,  $\varepsilon_F = p_F^2/2m$ ,  $4\pi p_F^3 V/3h^3 = A/4$ , and  $A/V$  $= d^{-3}$  which implies  $k_B T/\varepsilon_0 \approx m d^3 k_B T/2h^2$ . The factor  $\exp(-md^3k_BT/2h^2)$  is Feynman's cost function for moving a helium atom ( $m = m_{\text{He}}$ ) from one location to another.

The internal partition function also contains a factor  $\beta_k$ which gives a mass dependence  $k$  to it, which we will take as  $\beta_k = k^{\tau}$ . Its origin depends in part on an exact microscopic calculation of the internal partition function of a cluster of strongly interacting particles. This partition function has contributions due to vibrations, rotations, excitations, continuum, and bound states, resonances, etc.  $[28]$ , and is not completely understood. However, such a partition function can reasonably be expected to take the form  $Z_{int} \propto k^{-\tau} y^{k-1}$ . The prefactor  $k^{-\tau}$  in this case would determine  $\beta_k$ . While simple noninteracting models like a Fermi-gas model of a nucleus may give a reasonably good behavior for the exponential dependence of this partition function  $(i.e., y)$ , the prefactor might not be so accurately determined. For this reason, we rely on the experimentally determined power-law behavior of the cluster yields to determine  $\tau$ . Since the cluster yields in fragmentation should strongly depend on the internal partition functions of the clusters, this is not unreasonable, and in this model, if  $\langle n_k \rangle \propto k^{-\tau}$  then  $\beta_k = k^{\tau}$ . Similar problems arise in the theory of phase transitions in other fields. For example, in Fisher's droplet model  $[39]$  the distribution of cluster sizes is assumed to fall as  $k^{-\tau}$  and  $\tau$  is then related to another exponent  $\delta$  by  $\tau=2+1/\delta$ . The exponent  $\delta$  is related to the dependence of the pressure  $P$  on the density  $\rho$  around the critical point as  $P-P_C \sim |\rho-\rho_c|^{\delta}$ .

We therefore will assume a form  $\beta_k = k^{\tau}$  for  $\beta_k$  in a spirit similar to Fisher's droplet model. We note the following important features of this choice, which will be developed in subsequent sections. First, for  $\tau$   $>$  2, the model can describe the sudden condensation of nucleons into a large cluster, and in the infinite *A* limit, and infinite cluster exists below a certain value of *x*/*y*. These features of the model parallel the phenomena of Bose condensation and the sudden appearance of an infinite cluster above a critical probability in percolation theory. By taking  $\beta_k \sim k^{\tau}$ ,  $\tau > 2$ , we can therefore explore the thermodynamic properties of a model which has these features. Secondly, experimental data suggest that cluster yields have a power law dependence of the form  $k^{-\tau}$  and we would like to include this important feature into our model.

With this choice of weight the canonical partition function  $Z_A = \sum_{\mathbf{n}} W(\mathbf{n})$  is a polynomial in *x*,*y*, given by

$$
Z_A(x,y) = \sum_{m=1}^{A} Z_A^{(m)}(\vec{\beta}) x^m y^{A-m}, \qquad (16)
$$

with  $m = \sum_j n_j$  the multiplicity. Given the partition function, all thermodynamic properties of the model can be obtained, as well as ensemble averages. For example, the mean number of clusters of size *k* is

$$
\langle n_k \rangle = \frac{xy^{k-1}}{\beta_k} \frac{Z_{A-k}(x, y)}{Z_A(x, y)}.
$$
 (17)

The partition functions themselves can be obtained using a recursive procedure defined by the constraint  $\sum_k k(n_k) = A$ , which gives

$$
Z_A(x,y) = \frac{1}{A} \sum_{k=1}^{A} k \frac{xy^{k-1}}{\beta_k} Z_{A-k}(x,y),
$$
 (18)

where  $Z_0(x, y) = 1$ . The whole procedure is easily implemented by computer.

The parameters  $x, y$  determine the thermodynamic aspects of the models. The above discussion of the internal partition functions determined these parameters, but they are just as easily determined by considering the overall partition function at high and low multiplicity. When the multiplicity is large  $\langle m \rangle \approx A$ , and  $Z_A \approx Z_A^{(A)} x^A$ . Clearly this is the high temperature limit, and *x* is simply the ideal gas *x* introduced in Sec. II B, given by

$$
x = \frac{V}{\lambda_T^d} \tag{19}
$$

and involves the volume of the system *V*, the thermal wavelength  $\lambda_T$ , and the dimensionality of the system *d*. The term  $x^m$  in the weight arises from the thermal motion of each fragment. Since the overall motion is zero, this should be replaced by  $x^{m-1}$  to reflect the center of momentum constraint. When the multiplicity is small  $\langle m \rangle \approx 1$ , and  $Z_A \approx Z_A^{(1)} x y^{A-1}$ . Assuming that the *x* parameter is removed by the conservation of momentum constraint described above, this shows  $y$  to be given by Eq.  $(7)$ . A correction to the internal excitations however, needs to be made at high temperatures to reflect their finite lifetimes. Koonin and Randrup  $[40]$  argue that a simple cutoff is effective, which results in



FIG. 1. Pressure  $(a)$  and  $(in)$  compressibility  $(b)$  of the model at various temperatures.

$$
y = \exp\left\{\frac{a_V}{k_B T} + \frac{k_B T}{\varepsilon_0} \frac{T_0}{T + T_0}\right\}.
$$
 (20)

Here  $a_V$  is the binding energy per particle,  $\varepsilon_0$  is the level spacing parameter (related to the Fermi energy) and  $T_0$  is the cutoff temperature. So the term  $y^{A-m}$  in the weight arises from the binding and internal excitations of each fragment.

The parameter  $\beta_k$  determines the fragmentation nature and depends on the internal partition function of a cluster of size *k*. As already discussed, since the mass yield distributions are often well represented by a power law  $[41]$ , we choose  $\beta_k$  simply to reproduce this important experimental fact. If  $\beta_k = k^{\tau}$ , then in the grand canonical limit  $\langle n_k \rangle \sim k^{-\tau}$ . Typically  $\tau$  is found between two and three, and we have chosen  $\tau=2.5$  as representative for calculations made in this paper.

## **III. THERMODYNAMIC PROPERTIES OF HADRONIC MATTER**

In this section we consider the various fundamental thermodynamic functions of fragmenting nuclei, namely the pressure, compressibility and Gibbs free energy  $(Sec. III A)$ , the internal energy and specific heat (Sec. III B), and the entropy (Sec. III C). Interesting features of the functions are explored, although a detailed examination of their origin is postponed till the next section. A brief accounting of such properties has already been given [31].

Since the partition function can be expressed succinctly as a function of  $x$  and  $y$ , derivatives of  $\ln Z_A$  with respect to these variables will appear and reappear in computations of thermodynamic functions. Fortunately for this model, derivatives with respect to *x*,*y* yield expectation values of the multiplicity, i.e.,  $x(\partial/\partial x)\ln Z_A = \langle m \rangle$ ,  $y(\partial/\partial y)\ln Z_A = A - \langle m \rangle$ ,  $[x(\partial/\partial x)]^2 \ln Z_A = [y(\partial/\partial y)]^2 \ln Z_A = \text{Var}(m) = \langle m^2 \rangle - \langle m \rangle^2$ . A general derivation of these identities is included in Appendix A.

## **A. The hadronic equation of state and a van der Waals-like structure**

The hadronic equation of state is of much interest since the pressure and incompressibility reflect the behavior of matter at fixed temperatures and varying volumes. A simple expression can be obtained for this equation of state by using the  $P = k_B T(\partial/\partial V) \ln Z_A$  and the partition function of Eq. (16) as determined from the weight in Eq.  $(15)$ , giving

$$
P = \frac{\langle m \rangle}{A} P_{\text{HT}} + \left( 1 - \frac{\langle m \rangle}{A} \right) P'_{\text{LT}}, \tag{21}
$$

where  $\langle m \rangle = \sum_k \langle n_k \rangle$  is the mean multiplicity,  $P_{LT}$ ,  $P_{HT}$  are the low- and high-temperature limits defined in Secs. II A and II B and  $P'_{LT} = P_{LT} - \frac{2}{3}\rho \varepsilon_0[(k_B T/\varepsilon_0)]^2 [T/(T + T_0)]$  is simply the cutoff corrected low-temperature pressure. The above equation shows the importance of the mean multiplicity in the behavior of *P*. For low  $x/y$ ,  $\langle m \rangle \approx 1$ , and the pressure reduces to the Fermi gas and Skyrme pressure  $P_{LT}$ . At high  $x/y$ ,  $\langle m \rangle \approx A$  and the ideal Maxwell-Boltzmann gas pressure  $P_{\text{HT}}$  in *P* dominates. Thus the result of Eq. (21) connects the two extremes in a simple analytic way. Figure  $1(a)$  plots the pressure for several temperatures for  $\beta_k = k^{5/2}$ .

The low-temperature component of the pressure is quite interesting. It is responsible for the pressure rising as the volume increases over a range of volumes at low enough temperatures, a feature characteristic of a van der Waals gas or a liquid-gas phase instability  $[8]$ . It arises due to the nuclear interactions. To see this phase instability more



FIG. 2. Gibbs free energy per particle of the model as a function of pressure at various temperatures.

clearly, we can calculate the isothermal compressibility from the equation of state, since  $(1/\kappa_T) = [\rho(\partial P/\partial \rho)]_T$ , a little effort gives us

$$
\frac{1}{\kappa_T} = \frac{\langle m \rangle}{A} P_{\text{HT}} + \left( 1 - \frac{\langle m \rangle}{A} \right) \rho \frac{\partial P_{\text{LT}}'}{\partial \rho} - \frac{\text{Var}(m)}{A} \frac{(P_{\text{HT}} - P_{\text{LT}}')^2}{P_{\text{HT}}}.
$$
\n(22)

At large values of  $x/y$ ,  $\langle m \rangle \approx A$ ,  $Var(m) \approx 0$  and  $(1/\kappa_T) \rightarrow P_{\text{HT}}$ , the ideal gas limit. At low  $x/y$ ,  $\langle m \rangle \approx 1$ ,  $Var(m) \approx 0$  and  $(1/\kappa_T) \rightarrow P'_{LT}$  an ideal Fermi gas with Skyrme interaction limit. In between, there is an effect due to the fluctuation in the mean number of clusters. The behavior of the compressibility is shown in Fig.  $1(b)$ . From the figure,



Another method of seeing this instability is to consider the Gibbs free energy  $G = -k_B T \ln Z_A + PV$ . Figure 2 shows that *G* vs *P* is multivalued below a critical temperature, a clear indication of a phase instability.

In nuclear physics, one usually refers to the incompressibility  $\kappa = (9/\rho \kappa_T)$ , which if  $\varepsilon_F \approx 37$  MeV gives at  $T=0$ 

$$
\kappa = 96 + 144\sigma \text{ MeV.} \tag{23}
$$

For  $\sigma$ =1, this model  $\kappa$ =240 MeV, consistent with the usually quoted value for the incompressibility.

## **B. Internal energy, specific heat, and the ideal Bose gas**

The energy and specific heat are important quantities also, especially when the volume is fixed and the temperature is changing. The internal energy decomposes into low- and high-temperature components just as the pressure does, namely

$$
U = \frac{\langle m \rangle}{A} U_{\text{HT}} + \left( 1 - \frac{\langle m \rangle}{A} \right) U'_{\text{LT}}, \tag{24}
$$

where  $U'_{LT} = U_{LT} - A \varepsilon_0 [(k_B T/\varepsilon_0)]^2 [T(T+2T_0)]/[(T$  $(T_{0})^{2}$  is simply the cutoff corrected low-temperature energy. Figure  $3(a)$  plots this behavior for a number of densities, which reveals little except for the monotonic increasing nature of the energy.

The specific heat on the other hand is more revealing. Using  $C_V = (\partial U / \partial T)_V$ , one arrives at

FIG. 3. Internal energy  $(a)$  and specific heat  $(b)$  per particle of the model at various densities.

200

,

$$
\frac{C_V}{k_B} = \langle m \rangle \frac{d}{2} + (A - \langle m \rangle) \frac{2k_B T}{\varepsilon_0} \left( \frac{T_0}{T + T_0} \right)^3
$$

$$
+ \text{Var}(m) \left[ \frac{d}{2} + \frac{a_V}{k_B T} - \frac{k_B T}{\varepsilon_0} \left( \frac{T_0}{T + T_0} \right)^2 \right]^2
$$

and Fig. 3(b) illustrates the behavior of  $C_V$  for  $d=3$ ,  $\beta_k = k^{5/2}$ . The ideal gas limit is seen in the first term  $\langle m \rangle$ ( $d/2$ ). The low-temperature Fermi gas result is contained in the second term  $(A - \langle m \rangle)(2k_BT/\varepsilon_0)$ . The last term involving the multiplicity fluctuations gives rise to a peak in  $C_V/k_B$  when  $d>2$ .

The variance induced peak is quite interesting. As we shall see in Sec. IV A, it is analogous to condensation in an ideal Bose-Einstein gas, a critical transition which has been extensively studied.

### **C. The entropy of nuclei**

The entropy of fragmenting nuclei can be obtained from the relation  $S = (\partial/\partial T)k_B T \ln Z_A$  which gives

$$
\frac{S}{k_B} = \ln Z_A + \langle m \rangle \frac{d}{2} + (A - \langle m \rangle) \left[ \frac{-a_V}{k_B T} + \frac{k_B T}{\varepsilon_0} \left( \frac{T_0}{T + T_0} \right)^2 \right].
$$
\n(25)

When  $\langle m \rangle \approx 1$ , the entropy is that of a nearly degenerate Fermi gas of *A* nucleons confined to a cluster of size *A*, plus a contribution from the motion of this cluster which is coupled to a heat bath in the canonical ensemble. The nearly degenerate Fermi gas entropy is given by Eq.  $(5)$  where cutoff effects are excluded. Including it then gives

$$
\frac{S}{k_B} = A \frac{\pi^2}{2} \left( \frac{k_B T}{\varepsilon_0} \right) \left( 1 - \frac{T}{2(T + T_0)} \right). \tag{26}
$$

The cutoff correction is of the same order as the next higher order correction. When  $\langle m \rangle \approx A$ , *S* reduces to the Sackur-Tetrode law of Eq.  $(14)$ . In the intermediate regime, there is a critical point where a latent heat must be overcome, causing a change in volume.

Figure 4 is a plot of  $S/k<sub>B</sub>A$  vs  $k<sub>B</sub>T$ . In the plot, the contribution from the thermal motion of the largest cluster has been subtracted out so that  $S \rightarrow 0$  as  $\langle m \rangle \rightarrow 1$ . This is equivalent to requiring the total momentum of the system to be zero, a correction consistently made throughout these calculations.

## **IV. CRITICAL POINT BEHAVIOR AND PARALLELS WITH OTHER MODELS**

The thermodynamic functions strongly suggest a critical point, which we determine explicitly in this section. To start, let us work out some properties of the cluster distributions in the grand canonical ensemble. Here the partition function is given by  $Z = \sum_A z'^A Z_A(x, y) = \exp \sum_k (x/y) z^k / k^{\tau}$ , where  $\beta_k = k^{\tau}$  and  $z = z' y = e^{\mu/k_B T}$  is the chemical potential. In this limit  $\langle n_k \rangle$  is simply

$$
\langle n_k \rangle = \frac{x}{y} \frac{z^k}{k^{\tau}}.
$$
 (27)



FIG. 4. Entropy per particle of the model at various densities.

Since  $A = \sum_k k \langle n_k \rangle$ , we have

$$
\frac{A}{x'} = \sum_{k=1}^{A} z^k k^{1-\tau} = g_{\tau-1}(z),\tag{28}
$$

with  $x' = x/y$ ,  $x, y$  as defined earlier and  $g_n(z) = \sum_{k>0} k^{-n} z^k$ . Notice that  $g_{n-1}(z) = z \partial g_n / \partial z$  and that for  $z \approx 1$ .

$$
g_n(z) = \nu^{n-1} \Gamma(1-n) + \sum_{k \ge 0} \zeta(n-k)(-1)^k \frac{\nu^k}{k!}, \quad (29)
$$

where  $\nu = -\ln z$ , a result due to London [42].

The left-hand side of Eq.  $(28)$  is always finite in the thermodynamic limit. If  $z>1$ , the right-hand side always diverges in the infinite limit  $A \rightarrow \infty$ , and the equation cannot hold. At  $z=1$ ,  $\sum_k k^{1-\tau} = \zeta(\tau-1)$ , the Riemann zeta function, which is finite only if  $\tau > 2$ . If  $A/x' \le \zeta(\tau-1)$  then a  $z \le 1$ can be found that satisfies the last constraint equation including all terms in the sum. Otherwise, the grand canonical expression breaks down, which defines a critical point

$$
\frac{A}{x_c'} = \zeta(\tau - 1). \tag{30}
$$

The failure of the grand canonical ensemble is due to the appearance of an infinite cluster, i.e., of size  $\alpha A$  where  $\alpha$  is nonzero even as *A* tends to infinity. More specifically, when  $A/x'$  exceeds  $\zeta(\tau-1)$ , an infinite cluster exists, absorbing enough mass so that the remaining mass is distributed grand canonically with  $z=1$ . If  $A/x'$  is less that  $\zeta(\tau-1)$ , an infinite cluster does not exist.

As we have already seen, the multiplicity and its fluctuations play an important role in the thermodynamic functions. So how does the critical point affect the multiplicity and its fluctuations? The multiplicity  $\langle m \rangle = \sum_k \langle n_k \rangle$  is given simply by



FIG. 5. The variance in the nuclear multiplicity of the model as a function of  $x'/x'_c$  (a) and  $\langle m \rangle /A$  (b).

$$
\frac{\langle m \rangle}{A} = \begin{cases} \frac{x' g_{\tau}(1)}{A} & x' < x_c' \\ \frac{x' g_{\tau}(z)}{A} & x' > x_c' . \end{cases} \tag{31}
$$

The variance of the multiplicity  $Var(m) = x(\partial/\partial x)(m)$  is given by

$$
\frac{\text{Var}(m)}{A} = \begin{cases} \frac{x' g_{\tau}(1)}{A} & x' < x_c' \\ \frac{x' g_{\tau}(z)}{A} - \frac{g_{\tau-1}(z)}{g_{\tau-2}(z)} & x' > x_c' . \end{cases} \tag{32}
$$

Figures 5(a) and 5(b) plot  $Var(m) = \langle m^2 \rangle - \langle m \rangle^2$  vs *x*<sup>*'*</sup> and  $\langle m \rangle$  respectively for both the canonical and grand canonical solutions. The discontinuity in the slope of Var(*m*) at the critical point is apparent from the figure. The behavior of  $Var(m)$  vs *x* shows a cusplike behavior. For finite systems,  $Var(m)$  has a rounded peak. As we shall see in the next section, this behavior is related to the ideal Bose-Einstein gas condensation.

Is this critical behavior consistent with the experimental situation in nuclear collisions? At the critical point  $x=x_c$ , where  $\text{Var}(m)$  has a cusp,  $\langle m \rangle = \langle m \rangle_c$  with

$$
\frac{\langle m \rangle_c}{A} = \frac{\zeta(\tau)}{\zeta(\tau - 1)}.
$$
\n(33)

The EOS collaboration experiment determined that for gold multifragmentation, the charge multiplicity  $\langle m \rangle_c = 26 \pm 1$ . Using the above expression and  $Z=79$  for *A*, results in a critical exponent  $\tau=2.262\pm0.013$ . Using a percolation theoretic analysis, they arrived at a somewhat different  $\tau$ =2.14 $\pm$ 0.06. The two results are sufficiently close to suggest that the connection between the critical multiplicity and critical exponent  $\tau$  [43] may not differ greatly from this simple model.

#### **A. Ideal Bose-Einstein gas laws**

Let us now compare these results with the ideal Bose gas of *A* particles. The results can be found in Huang [32] for the case  $d=3$  dimensions, and generalizing to arbitrary dimensions is fairly straightforward.

In the grand canonical ensemble, the ideal Bose gas of *A* particles moving in a volume *V* at a temperature *T* has a fugacity  $z = \exp{\{\mu/k_B T\}}$  determined by

$$
\frac{A}{V} = \frac{1}{\lambda_T^d} g_{d/2}(z) + \frac{1}{V} \frac{z}{1 - z}.
$$
 (34)

The critical temperature for a particular density and temperature occurs when  $z \rightarrow 1$ ,  $V \rightarrow \infty$ , which implies

$$
\rho_c = \frac{A}{V} = \frac{1}{\lambda_c^d} g_{d/2}(1) \tag{35}
$$

since above the critical point, the second term can be neglected in the infinite volume limit. Recalling that  $x = V/\lambda_T^d$ , this condition is identical to the fragmentation case if we replace *x* by  $x'$  and  $\tau$  by  $1 + d/2$ .

The energy, specific heat, pressure, and incompressibility above and below the critical point are given by

$$
\frac{U}{k_B T} = \begin{cases}\n\frac{d}{2} \frac{V}{\lambda^d} g_{1+d/2}(z) & T > T_c \\
\frac{d}{2} \frac{V}{\lambda^d} g_{1+d/2}(1) & T < T_c\n\end{cases}\n\tag{36}
$$

$$
\frac{C_V}{k_B} = \begin{cases}\n\frac{d(d+2)}{4} \frac{V}{\lambda^d} g_{1+d/2}(z) - \frac{d^2}{4} \frac{g_{d/2}(z)}{g_{d/2-1}(z)} & T > T_c \\
\frac{d(d+2)}{4} \frac{V}{\lambda^d} g_{1+d/2}(1) & T < T_c\n\end{cases} \tag{37}
$$

$$
\frac{1}{\kappa_T} = \begin{cases} \frac{k_B T}{\lambda^d} \frac{g_{d/2}^2(z)}{g_{d/2-1}(z)} & T > T_c \\ 0 & T < T_c. \end{cases}
$$
(39)

Both above and below the critical point these results agree with the fragmentation case  $y=1$ ,  $\tau=1+d/2$  if we apply Eqs.  $(31)$  and  $(32)$ .

This agreement can be understood by noting that the weight given to the ideal Bose gas in *d* dimensions in a Mayer cluster expansion  $[32]$  is given by Eq. (15) with parameter vector

$$
x_k = \frac{x}{k^{1+d/2}} + \frac{1}{k} \tag{40}
$$

At large  $x$  (i.e., above the critical point) the second term is negligible and the model reverts to the one considered here. Below the critical point, the large cluster formed contributes little to the thermodynamic functions, allowing agreement to continue.

The nature of the transition is first order as expected for a Bose-Einstein like condensation. The particles which have accumulated into the zero momentum mode contribute no pressure and have no volume or entropy in the thermodynamic limit. The pressure due to the balance of particles is constant for a given temperature (i.e. independent of volume). A latent heat per particle of

$$
L = \left(\frac{g_{1+d/2}(1)}{g_{d/2}(1)}\right) \frac{d+2}{2} k_B T,\tag{41}
$$

accompanies the transition and of course is the heat  $T\Delta S$ which must be spent in moving a particle from the vapor phase which has entropy to the condensed phase which has no entropy. The latent heat of Eq.  $(41)$  is the result of the change in volume along the constant pressure Maxwell line at a fixed *T*. The volume change is

$$
V_c = \frac{A}{\lambda_T^d} \frac{1}{g_{d/2}(1)}.
$$
 (42)

The entropy change can be obtained from the Clausius-Clapeyron equation  $dP_c/dT = S_c/V_c$  to give

$$
\frac{S_c}{k_B} = \frac{d+2}{2} A \frac{g_{1+d/2}(1)}{g_{d/2}(1)},
$$
\n(43)

which is consistent with  $L = k_B T S_c$  as expected. The fraction of the number of particles in the Bose condensate (zero momentum mode) is

$$
\frac{N_c}{N} = 1 - \left(\frac{T}{T_C}\right)^{d/2},\tag{44}
$$

for  $T \leq T_c$ .

In nuclear fragmentation, this condensation is also present, but the clusterization occurs in real space instead of momentum space. Rather than the number of particles in the zero momentum mode signaling a phase transition, the number of particles in the largest cluster plays the same role.

### **B. Critical point behavior and percolation theory**

Percolation models  $[44]$  have been used to describe nuclear fragmentation with percolation clusters corresponding to nuclear clusters arising from the collision process. The percolation cluster distribution is given in terms of the percolation probability *p* and percolation threshold probability  $p_c$  above which an infinite cluster exists. Specifically,



FIG. 6. Size of the largest cluster (a) and its variance (b) of the model as a function of  $x'/x_c'$ .

$$
\langle n_k \rangle = \frac{1}{k^{\tau}} f[(p - p_c)/p_c], \tag{45}
$$

where  $f(x)$  is a scaling function. Percolation models have also suggested useful methods of analyzing nuclear fragmentation data such as Campi plots  $[45]$ .

The model in Sec. II has some features that are similar with the percolation model. First, only one parameter  $x' = x/y$  describes the distribution, the analog of *p* in percolation theory. Second, below a critical point  $x_c'$ , the fraction of particles found in the largest cluster is finite, even if the number of particles tends to infinity, a situation familiar from percolation theory where for  $p > p_c$  an infinite cluster exists, while for  $p \leq p_c$  it cannot exist. For the model of Sec. II this can be seen by analogy with the Bose condensate, where a finite fraction of particles end up in the zero momentum state. In this nuclear fragmentation model, a finite fraction of the mass ends up in the largest cluster. Specifically if  $k_{\text{max}}$  is the size of the largest cluster in each event, then in the infinite *A* limit

$$
\frac{\langle k_{\text{max}}\rangle}{A} = \begin{cases} 1 - \frac{x'}{x'_c} = 1 - \frac{\langle m \rangle}{\langle m \rangle_c} & x' < x'_c\\ 0 & x > x_c \end{cases} \tag{46}
$$

This threshold behavior of  $\langle k_{\text{max}}\rangle/A$  as expected exactly parallels Bose-Einstein condensation. Figure 6 shows the behavior of  $\langle k_{\text{max}} \rangle$  and its variance for a range of *x*<sup>8</sup> with  $\beta_k = k^{5/2}$ . Due to scaling behavior  $\langle k_{\text{max}}\rangle/A$  for various *A*'s approach the single curve shown above.

At the critical point,  $\langle n_k \rangle \sim k^{-\tau}$  where  $\tau > 2$  can be chosen when specifying the original weight. The critical exponent  $\tau$  for percolation is 2.21.

## **V. CONCLUSION AND SUMMARY**

In this paper, hadronic matter under some extreme conditions was investigated using an exactly solvable model which can describe both the fragmentation of nuclei and the thermal properties in a unified way. Three distinct types of behavior characterize hadronic matter. At low temperatures, the fermionic aspects of the system are apparent through such quantities as Fermi degeneracy pressure and Fermi energy. At high temperature and low density, ideal gas laws are shown to characterize the system. Between these two limits, a regime exists in which the behavior of the fragmenting matter resembles an ideal Bose gas with its associated BoseEinstein condensation. The consequences of Bose-Einstein condensation on the properties of hadronic matter were studied. Simple expressions were obtained for the nuclear equation of state, heat capacity, compressibility, entropy, energy, and fragmentation yields which encompass these three regimes. The importance of multiplicity fluctuations in statistical models of nuclear fragmentation and its effect on various thermodynamic properties is stressed. Some recent data from the EOS collaboration on critical point behavior of hadronic matter is analyzed and simple expressions from the exactly solvable model are obtained which can account for some features of the data.

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### **APPENDIX A: MULTIPLICITY EXPECTATION VALUES**

Given a function  $f(m)$  where  $m$  is the multiplicity, it is often useful to compute  $x(\partial/\partial x)\langle f[m(x,y)]\rangle$ . If  $f(m)$  is a polynomial, the following identities can be used to compute  $x$ ( $\partial f / \partial x$ )

$$
x \frac{\partial}{\partial x} \langle [m]_k \rangle = \langle m[m]_k \rangle - \langle m \rangle \langle [m]_k \rangle,
$$
  

$$
x \frac{\partial}{\partial x} \langle m^k \rangle = \langle m^{k+1} \rangle - \langle m \rangle \langle m^k \rangle,
$$
  

$$
x \frac{\partial}{\partial x} \langle m \rangle_k = \langle m \rangle_{k+1} - k \langle m \rangle_2 \langle m \rangle_{k-1}.
$$

where  $[x]_n = x(x-1)\cdots(x-n+1)$  and  $\langle m \rangle_n = n$ th cumulant moment of *m*. The first is easily derived from the fact that  $\langle [m]_k \rangle = x^k / [Z_A(x)] (\partial^k Z_A / \partial x^k)$ . The second identity can be derived from the first by expanding  $\langle m^k \rangle$  $= \sum_{j=0}^{k} \binom{k}{j} \langle [m]_{k} \rangle$ , where  $\{^{k}_{j}\}$  is the Stirling number of the second kind, combined with the additional identity  $\langle [m]_k \rangle = \sum_{j=0}^k (-1)^{k-j} {k \choose j} \langle m^k \rangle$ , where  $\binom{k}{j}$  is the unsigned Stirling number of the first kind, and the orthogonality relations between the two Stirling numbers. The third can be derived from the second using the binomial expansion of  $(m - \langle m \rangle)^k$ . These three identities are supplemented by three additional identities with  $x(\partial/\partial x) \rightarrow -y(\partial/\partial y)$ .

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