

Pion cloud contribution to K^+ -nucleus scattering

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A careful reanalysis is done of the contribution to K^+ -nucleus scattering from the interaction of the kaon with the virtual pion cloud. The usual approximations made in the evaluation of the related kaon self-energy are shown to fail. We also find new interaction mechanisms which provide appreciable corrections to the kaon self-energy. Some of these contribute to the imaginary part below the pion creation threshold. The inclusion of these new mechanisms in the inelastic part of the optical potential produces a significant improvement in the differential and total K^+ nuclear cross sections. Uncertainties remain in the dispersive part of the optical potential.

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I. INTRODUCTION

Systematic discrepancies between the microscopic optical potential calculations for K^+ -nucleus scattering [1–3] and the experimental data [4–8] have led to suggestions that it may be an indication of an increased size of the nucleons in the nucleus [2, 9, 10]. These discrepancies remain when a number of conventional nuclear corrections (Pauli blocking, nucleon-nucleon correlations, off-shell corrections, etc.) are taken into account [2, 3]. In parallel, work has been done concerning the contribution of the nuclear pion cloud to the K^+ optical potential [11, 12]. In [11] a qualitative estimate is done of the meson cloud effects by assuming that the K^+N cross section is increased by $\delta n_\pi \sigma(K^+\pi)$, where δn_π is the excess number of pions per nucleon in the nucleus. In Ref. [12], together with a good summary of the status of the problem, a thorough and instructive study of the meson cloud contribution to the scattering amplitude is done by evaluating explicitly the real and imaginary parts. A $K^+\pi$ amplitude with off-shell extrapolation and crossing symmetry, inspired in the work on the $\pi\pi$ interaction [13], is used. The work relies upon the pion excess distribution found in [14], which accounts for ph (particle-hole) and Δh components in a correlated ground state. The interference of ph and Δh components is essential to produce a positive pion excess number in the nucleus [14, 15].

In the present work we have made a more rigorous evaluation of the pion cloud contribution to the K^+ -nucleus optical potential, which requires only the knowledge of the pion propagator in the nuclear medium and a realistic model for the $K\pi$ amplitude. In nuclear medium, the pion propagator is renormalized by allowing the pion to excite ph and Δh components; such a model provides a realistic model for the π nucleus interaction and accounts for the basic components needed to produce realistic pion numbers in finite nuclei [15]. Our model for the pion propagator is briefly described in Appendix A. The pion distribution is not needed explicitly in our computation

of the K^+ -nucleus optical potential, although the formal connection of the pion propagator to the pion distribution, $n(\mathbf{q})$, will be made. Actually, one of our findings is that the pion cloud contribution to the imaginary part of the K^+ -nucleus optical potential cannot be cast as an integral of the form $\int d^3\mathbf{q} n(\mathbf{q}) f(\mathbf{q})$ as assumed in [12] and also implied in [11].

For the $K\pi$ amplitude we use the model of Ref. [12]. This model incorporates on-shell conditions and crossing symmetry. A detailed study is made in Ref. [12] about uncertainties from the off-shell extrapolation, form factors, etc., allowing us to simplify the discussions and concentrate on the novelties that the present work introduces. For the sake of completeness, in Appendix B this $K\pi$ model is summarized. On the other hand, we introduce new mechanisms also related to the scattering of positive kaons with the pion cloud, which have not been considered previously and are found to be very important.

The calculations are done in infinite nuclear matter and the contributions to the K^+ self-energy are obtained as a function of ρ , the nuclear matter density. By means of the local density approximation, carefully studied and justified in [16] in connection with π -nucleus scattering, we obtain the meson exchange currents (MEC) contribution to the K -nucleus optical potential as a function of $\rho(r)$. Our model for the K -nucleus potential is obtained by adding these new contributions, calculated in the present work, to the conventional ones from the impulse approximation (see Appendix C) and to the standard nuclear corrections (nuclear correlations, off-shell and binding effect, Pauli exclusion, etc., calculated in Refs. [2, 6]). This new optical potential is then used to obtain the differential and total K^+ -nucleus cross sections by solving numerically the Klein-Gordon equation. In the following, we will refer indistinctly to the kaon self-energy or to the optical potential, as they are related by $\Pi(k) = 2k^0 V_{\text{opt}}(k)$. Nevertheless it is the self-energy that appears in the Klein-Gordon equation.

The paper is organized as follows. In order to test the model used for pions in nuclei, the excess number of pions in the nucleus is calculated in Appendix A. In Sec. II we recalculate the contribution from the MEC mechanism considered in Refs. [11, 12] but relaxing the static approximation. The new MEC mechanisms contributing to the K^+ self-energy in nuclei are presented in Sec. III, where their contribution to the imaginary part of the K^+ -nucleus optical potential is also evaluated. Section IV is devoted to the study of the MEC contribution to the real part of the K^+ self-energy. In Sec. V, the K^+ -nucleus differential and total cross sections calculated with the impulse approximation (IA), with IA+MEC, and with the conventional optical potential plus MEC, are shown and compared with experimental data for ^{12}C and ^{40}Ca and also with the ratio of those cross sections over the K^+ deuterium cross section. Finally, in Sec. VI, we summarize and parametrize the results for the MEC contribution to the K^+ optical potential and present our conclusions.

II. FORMAL DERIVATION OF THE “STANDARD” PION CLOUD CONTRIBUTION

Here we call the “standard” mechanism the one depicted in Fig. 1. This is the only one considered in previous papers, and then it was calculated in the static approach, which we describe in Sec. II A. In Sec. II B we calculate it exactly.

The K^+ self-energy of the basic diagram shown in Fig. 1(a) in an infinite spin-isospin symmetric nuclear

medium is given by

$$-i\Pi(k) = \int \frac{d^4q}{(2\pi)^4} iD(q) (-i) \frac{1}{2} 3 t^0(k, q; k, q), \quad (1)$$

where t^0 is the isoscalar $K^+\pi$ amplitude (average of $t_{K^+\pi^i}$ for the three charged pions) and the factor $\frac{1}{2}$ is a symmetry factor. However, as depicted in Figs. 1(b), 1(c), and 1(d), the full propagator contains the free pion, one ph or Δh , and higher order corrections with 2ph, ph, Δh , $2\Delta h$, etc. The contribution from the free pion has to be subtracted because it corresponds to a piece in the free K^+ self-energy. Analogously, once this subtraction is made, we will have terms in the self-energy coming from 1ph or $1\Delta h$ excitations, which are proportional to ρ . These terms must also be subtracted because they are implicitly accounted for in the IA self-energy $\Pi^{\text{IA}} = t_{KN} \rho$, where t_{KN} is the empirical KN t matrix. Hence, the genuine pion cloud contribution to the K^+ self-energy is given by

$$\delta\Pi(k) = i \int \frac{d^4q}{(2\pi)^4} \delta D(q) \frac{3}{2} t^0(k, q; k, q), \quad (2)$$

$$\delta D(q) = D(q) - D_0(q) - \rho \left(\frac{\partial D(q)}{\partial \rho} \right)_{\rho=0}. \quad (3)$$

A. Static approximation

In the static approximation the q^0 dependence in the t matrix is neglected. For instance, in [12] q^0 is set to zero in $t^0(k, q; k, q)$. In this case one obtains

$$\begin{aligned} \delta\Pi_{\text{stat}}(k) &= - \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^\infty \frac{dq^0}{2\pi} \text{Im} \delta D(q) 3 [t^0(k, q; k, q)]_{q^0 \text{fix}} \\ &= \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{\delta N(\mathbf{q})}{2\omega(\mathbf{q})} t^0(k, q; k, q) \Big|_{q^0 \text{fix}}, \end{aligned} \quad (4)$$

where the first equality follows from $\int dq^0 \text{Re} D(q) = 0$, and $D(q^0, \mathbf{q}) = D(-q^0, \mathbf{q})$. The second equality arises from the definition of the distribution of the “excess number of pions,” $\delta N(\mathbf{q})$, defined in Appendix A by Eq. (A11). In this appendix, $\delta N(\mathbf{q})$ is also calculated using our model for pion nucleus interaction. The results agree in shape and amount with previous ones found in the literature [14, 15].

Hence, in the static approximation $\Pi(k)$ comes as a weighted integral of the $K^+\pi$ amplitude with the pion distribution in the nucleus. This result looks intuitive, but recall that $N(\mathbf{q})$ contains $n(\mathbf{q})$ and also the expectation values $\langle a_{\mathbf{q}\lambda} a_{-\mathbf{q}-\lambda} \rangle$ and $\langle a_{\mathbf{q}\lambda}^\dagger a_{-\mathbf{q}-\lambda}^\dagger \rangle$ (see Appendix A). These three factors correspond, in fact, to having the K^+ scattering with a pion, annihilating two pions from the ground state or creating two pions from the ground state, as symbolically depicted in Fig. 2. Note that with our field theoretical formalism, the three terms are automatically included.

The approach of [12] corresponds to the static approximation of Eq. (4) with $\delta N(\mathbf{q}) = 2\delta n(\mathbf{q})$ and $\delta n(\mathbf{q})$ taken from [14]. The factor 2 accounts for the two pion creation or annihilation mechanisms as found in [17]. As already noted in [12] these extra terms are ignored in the approach of [11].

Our claim here is that the static approximation, which justifies the approaches of [11, 12], is inaccurate, particularly

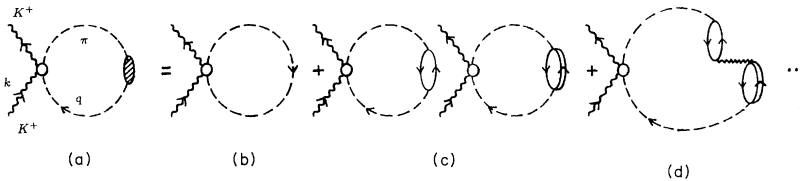


FIG. 1. Standard K^+ self-energy diagrams due to the pion cloud.

for the imaginary part of $\Pi(k)$.

Indeed, the imaginary part of $\delta\Pi_{\text{stat}}$ from Eq. (4) is given by

$$\text{Im}\delta\Pi_{\text{stat}}(k) = - \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^\infty \frac{dq^0}{2\pi} \text{Im}\delta D(q) 3 [\text{Im}t^0(k, q; k, q)]_{q^0 \text{ fix}}. \quad (5)$$

Note that the range of the q^0 integration goes from 0 to ∞ . This will be very different in the exact case, which we analyze below, implying, as we shall see, that the static approximation is not good.

B. Exact calculation of $\text{Im}\delta\Pi$ for the standard mechanism

Now let us find the exact expression for $\text{Im}\delta\Pi(k)$. This requires a knowledge of the analytical structure of $t^0(k, q; k, q)$. We assume that this amplitude can be written in the following way:

$$t^0(k, q; k, q) = \tilde{t}(s) + \tilde{t}(u), \quad (6)$$

where $s = (k + q)^2$, $u = (k - q)^2$ are the usual Mandelstam variables ($t = 0$ in our case), which automatically satisfies crossing symmetry. This is the case for the model that we will use. The function $\tilde{t}(x)$ has the right analytical properties and develops an imaginary part for $x > x_0 = (m_K + m_\pi)^2$. It also satisfies the subtracted dispersion relation [18, 19]

$$\tilde{t}(s) = P(s) + (s - x_0) \int_{x_0}^\infty \frac{dx}{\pi} \frac{\text{Im} \tilde{t}(x)}{(s - x + i\epsilon)(x_0 - x)}, \quad (7)$$

where $P(x)$ is a real polynomial.

Using this form of t^0 from Eq. (6) in $\delta\Pi$ of Eq. (2) and the symmetry of the integrand under $q \leftrightarrow -q$ we obtain

$$\delta\Pi(k) = i3 \int \frac{d^4q}{(2\pi)^4} \delta D(q) \tilde{t}(u). \quad (8)$$

The analytical structure of $\delta D(q)\tilde{t}(u)$ in the variable q^0 is shown in Fig. 3. It has cuts and poles in the second and fourth quadrants from $\delta D(q)$ and two simple poles in $q^0 = k^0 \pm E(x) \mp i\epsilon$, with $E(x) = [(k - q)^2 + x]^{1/2}$. This particular structure suggests a Wick rotation, as indicated in Fig. 3, in order to perform the q^0 integral. Since the integral vanishes at the circles of infinite radius, we have

$$i \int_{-\infty}^\infty dq^0 = i \int_{-i\infty}^{i\infty} dq^0 - 2\pi \text{Res}(q^0 = k^0 - E(x)) \theta(k^0 - E(x)). \quad (9)$$

The integral over the imaginary axis is real, and the only source of the imaginary part comes from the residue at the pole. Thus,

$$\begin{aligned} \text{Im} \delta\Pi(k) &= - \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_{x_0}^\infty \frac{dx}{\pi} \theta(k^0 - E(x)) \frac{\text{Im}\tilde{t}(x)}{2E(x)} 3\text{Im} \delta D(q)|_{q^0=k^0-E(x)} \\ &= - \int \frac{d^3\mathbf{q}}{(2\pi)^3} \theta(k^0 - E(x_0)) \int_0^{k^0-E(x_0)} \frac{dq^0}{2\pi} 6\text{Im}\tilde{t}(u)\text{Im}\delta D(q). \end{aligned} \quad (10)$$

Note that \tilde{t} appears at the end with argument u rather than s . By comparing Eq. (10) with the static expression of Eq. (5) we find a main substantial difference in the fact that the q^0 integral goes from 0 to ∞ in the static formula, while here it is restricted to the interval $[0, k^0 - E(x_0)]$. Hence, even if we make $\text{Im}\tilde{t}(u)$ static in Eq. (10) in order to take it out of the q^0 integral, the pion excess number $\delta N(\mathbf{q})$ will not be generated because the range $[0, \infty]$ in the q^0 integration is needed in Eq. (A11). Note that the range of \mathbf{q} is also restricted because $E(x_0) < k^0$. Then the whole phase space allowed is finite, as corresponds to the reaction channels accounted for by $\text{Im}\Pi(k)$. Under these circumstances one should not expect the static approximation to provide realistic results.

The pathologies generated by the intuitive use of the particle number are general in decay processes or in the

evaluation of imaginary parts of amplitudes, i.e., in cases where conservation of energy and momentum is at stake. This occurs because the relevant magnitude is $\text{Im}D(q)$, which provides the probability of finding a pion with momentum \mathbf{q} and energy q^0 . The probability of finding a pion of momentum \mathbf{q} is an integral property obtained

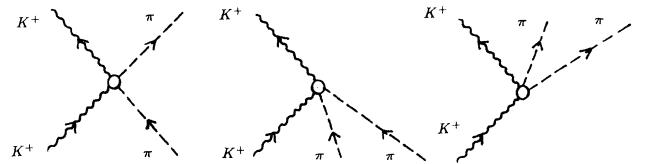


FIG. 2. K^+ scattering with a pion, annihilating two pions from the ground state or creating two pions from the ground state.

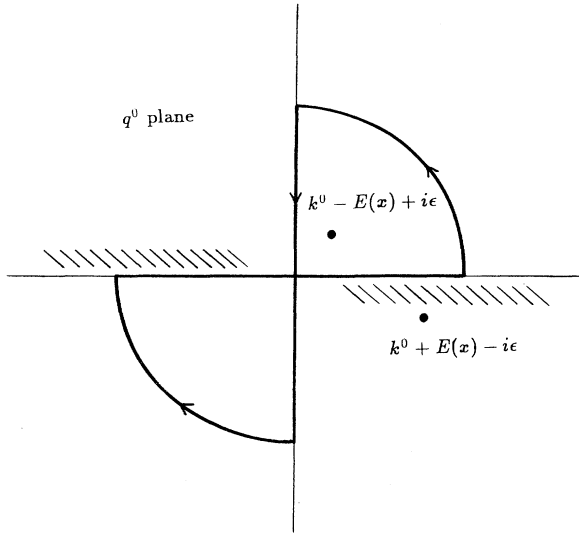


FIG. 3. The complex plane q^0 with the cuts and poles of $D(q)\tilde{t}(u)$. The adequate Wick rotation for performing its integration in q^0 is shown.

when one integrates over the energy of the pion from 0 to ∞ . However, in decay processes the range of energies allowed is limited because of energy and momentum conservation, and the particle number cannot be factored out. A spectacular example of the failure of the static approximation has been shown in [20] in connection with the mesonic Λ decay in nuclei. The argument goes as follows: The $\Lambda \rightarrow \pi N$ decay is forbidden in nuclei because the nucleon momentum, $k_N \simeq 100$ MeV/c is below the Fermi momentum $k_F \simeq 270$ MeV/c (because of surface effects the decay is still possible but appreciably reduced, by about four orders of magnitude in heavy nuclei). However, since the occupation number for states below the Fermi momentum is not 1 but about 0.85, it was implicitly argued in [21] that the Λ mesonic decay in nuclei should saturate at values about 15% of the free Λ width (up to a moderate effect of pion absorption in the nucleus). The argument, however intuitive, suffers from the same defects of the static approximation discussed here, and it was found in [20] that the actual results for the mesonic width are about three orders of magnitude smaller than the results of the intuitive argument based on the nucleon distribution in nuclei.

The interesting expression for $\text{Im}\delta\Pi(k)$ of Eq. (10) indicates that one needs only $\text{Im}D(q)$ and $\text{Im}\tilde{t}(u)$ to obtain $\text{Im}\delta\Pi(k)$ and only in a reduced range of q^0 and \mathbf{q} . Although one can, in principle, evaluate $\text{Im}\delta\Pi(k)$ from Eq. (2), it is a highly inefficient and dangerous method because of the strong cancelations and the large ranges of q^0 involved in the integrations. For the real part of $\delta\Pi$ we do not find finite ranges of integration.

C. Results of calculations for $\text{Im}\delta\Pi$

For explicit calculations we use the $K^+\pi$ amplitude from Ref. [12], which is summarized in Appendix B. Cal-

culations with different values of ρ have shown that $\text{Im}\delta\Pi$ behaves quadratically in density. In Fig. 4 we present the imaginary part of the K^+ self-energy calculated for nuclear matter at normal density ρ_0 . The dot-dashed line is the result of the static approximation using q^0 fixed to zero. The dashed line displays the exact result calculated as explained in Sec. II B. The leading part of the optical potential comes from the IA. For comparison, it is displayed in the figure with a crossed solid line. We observe that the static result is about twice the exact one, and also that in any case both of them are very small compared to the IA. Then this mechanism is not enough to account for the experimental results. For comparison we also show there the results of the total MEC contribution when considering the new mechanisms, which we discuss below.

III. NEW MECHANISMS FROM THE PION CLOUD

The imaginary part of $\delta\Pi$ of Fig. 1 is related to $\text{Im}\delta D$ and $\text{Im}t_{K\pi}$ by Eq. (10). This means that the reactive channels of the K^+ self-energy are due simultaneously to the reaction channels of the pion in nuclear matter and the reaction channels of $t_{K\pi}$. For the kaon kinetic energies, which will be considered in this work, the only open channels in $t_{K\pi}$ are the elastic one or the charge exchange, $K^+\pi_i \rightarrow K^0\pi_j$, and thus $\text{Im}t_{K\pi}$ is due only to the process $K\pi \rightarrow K\pi$. Hence, $\text{Im}t_{K\pi}$ is related through the optical theorem to $|t_{K\pi}|^2 \text{Im}D_0 \text{Im}D_K$ as it is given diagrammatically in Fig. 5. Then, using the optical theorem in Eq. (10), we obtain the identity shown diagrammatically in Fig. 6. This allows us to understand the processes to which $\text{Im}\delta\Pi$ is due; these are $KN \rightarrow K\pi N$ and $KN \rightarrow K\pi\Delta$, where the interaction KN is renormalized in the medium. The incoming K^+ has to produce a kaon, a free pion, and a nuclear excitation, so it is clear why the kinetic energy of the K^+ must be larger than the pion mass as shown in Fig. 4.

Looking again at Fig. 6, one realizes that not only one pion, but also the other pion, and also both pions si-

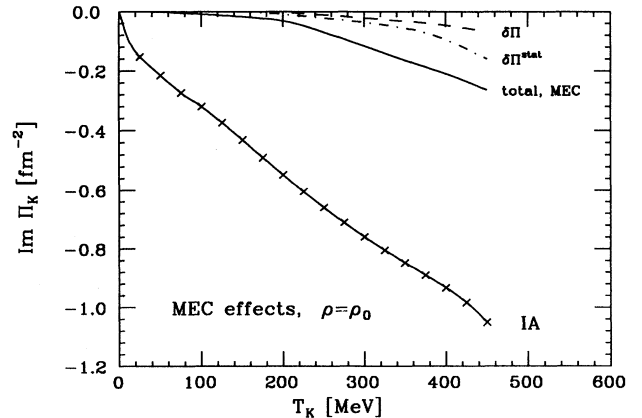


FIG. 4. Imaginary part of the K^+ self-energy for normal nuclear matter versus kinetic energy of the incoming kaon.

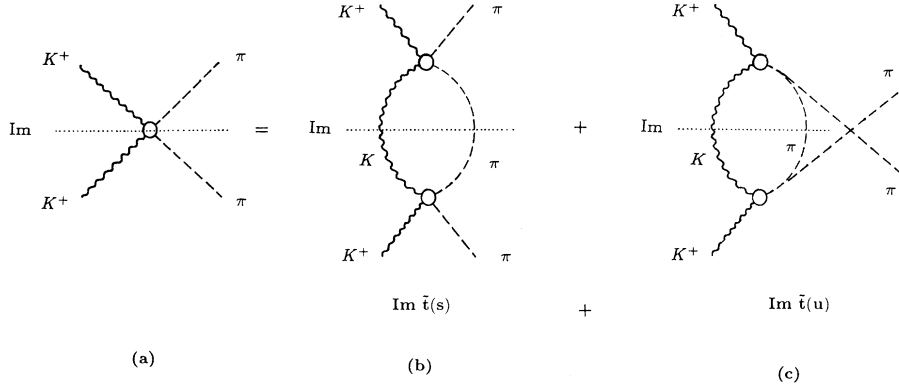


FIG. 5. The optical theorem for the $K\pi$ amplitude is diagrammatically shown.

multaneously must be modified by the nuclear medium. (The same applies to the intermediate kaon, but we will see that the kaon modification in the medium is negligible as compared to pion modification.)

The imaginary part of the kaon self-energy due to the pion cloud is given by the diagram of Fig. 7, except that its linear part in density is to be subtracted to eliminate self-energy parts, which are already included in the IA. Let $\tilde{\Pi}(k)$ be the K^+ self-energy of the diagram of Fig. 7. Then the pionic cloud or MEC contribution to the K^+ self-energy, Π^{MEC} , is given by

$$\text{Im}\Pi^{\text{MEC}}(k) = \text{Im}\tilde{\Pi}(k) - \rho \left(\frac{\partial \text{Im}\tilde{\Pi}(k)}{\partial \rho} \right)_{\rho=0} - [\text{Im}\tilde{\Pi}(k)]_{\rho=0}, \quad (11)$$

but $[\text{Im}\tilde{\Pi}(k)]_{\rho=0} = 0$ if the kaon is on-shell because a free kaon is stable under strong interactions.

The K^+ self-energy associated to the diagram of Fig. 7 is given by

$$\tilde{\Pi}(k) = -\frac{1}{2} \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} D(q')D(q)D_K(k') \sum_{ijl} t_{K^+\pi^i \rightarrow K^l\pi^j}(k', q'; k, -q) t_{K^l\pi^j \rightarrow K^+\pi^i}(k, -q; k', q') \Big|_{k'=k-q-q'}. \quad (12)$$

Its imaginary part is easily evaluated by means of Cutkosky rules [18]: In all intermediate states cut by the dotted line substitute,

$$\begin{aligned} \Pi(k) &\rightarrow 2i\theta(k^0)\text{Im}\Pi(k), \\ D(q) &\rightarrow 2i\theta(q^0)\text{Im}D(q), \\ D_K(q) &\rightarrow 2i\theta(q^0)\text{Im}D_K(q), \end{aligned} \quad (13)$$

and take complex conjugate of the amplitude above the dotted line. Thus, by taking the imaginary part corresponding to cutting the three meson propagators as shown by the horizontal line of Fig. 7, one obtains

$$\text{Im}\tilde{\Pi}(k) = 2 \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} \theta(q^0)\theta(q^0)\theta(k^0) \text{Im}D(q')\text{Im}D(q)\text{Im}D_K(k') \sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, -q)|^2 \Big|_{k'=k-q-q'}, \quad (14)$$

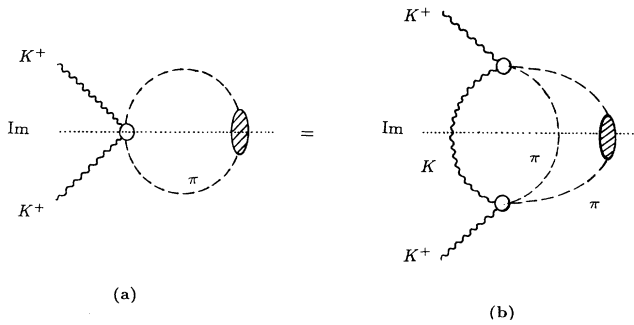


FIG. 6. Feynman diagram, of which the imaginary part is related, through the optical theorem, to the imaginary part of the standard diagram of Fig. 1.

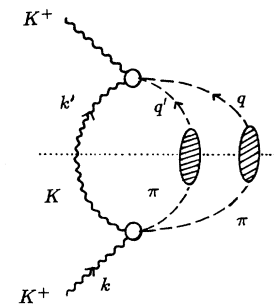


FIG. 7. Diagram containing all the contributions to the imaginary part of the K^+ self-energy up to second order in $t_{K\pi}$.

where α runs over the indices i, j, l in $K^+\pi^i \rightarrow K^l\pi^j$.

By expanding the pion propagators above in powers of the density, $D = D_0 + D_{(1)} + \delta D$ ($D_{(1)}$ being of order ρ , and δD the remaining terms of higher orders), and using the symmetry $q \leftrightarrow q'$ (which holds due to the crossing symmetry of the amplitudes), we obtain

$$\begin{aligned} \text{Im}\tilde{\Pi}(k) &= 2 \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} \theta(q^0)\theta(q'^0)\theta(k'^0) \text{Im}D_K(k') \\ &\quad \times \sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, -q)|^2 \Big|_{k'=k-q-q'} \{ \text{Im}D_0(q') \text{Im}[D_0(q) + 2D_{(1)}(q) + 2\delta D(q)] \\ &\quad + \text{Im}D_{(1)}(q') \text{Im}D_{(1)}(q) + \mathcal{O}(\rho^3) \}, \end{aligned} \quad (15)$$

where the terms in $\delta D D_{(1)}$ and $\delta D \delta D$, of at least order ρ^3 , have been neglected. The term with $\text{Im}D_0(q') \text{Im}D_0(q)$ and the term with $2\text{Im}D_0(q') \text{Im}D_{(1)}(q)$ have to be subtracted because they are of zeroth and first order in density, respectively, and the terms with $2\text{Im}D_0(q') \text{Im}\delta D(q)$ and $\text{Im}D_{(1)}(q') \text{Im}D_{(1)}(q)$ must be kept, since they are quadratic in density.

By subtracting the terms constant and linear in ρ we obtain the contributions to $\text{Im}\Pi^{\text{MEC}}$. Those contributions are diagrams $d1$, $d2$, $d3$, and $d4$ depicted in Fig. 8, and their explicit expressions are given by

$$\begin{aligned} \text{Im}\Pi^{\text{MEC}}(k) &= 2 \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} \theta(q'^0)\theta(q^0)\theta(k'^0) \text{Im}D_K(k') \\ &\quad \times \sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, -q)|^2 \Big|_{k'=k-q-q'} \{ 2\text{Im}D_0(q') \text{Im}\delta D(q) \quad (d1) \\ &\quad + \text{Im}D_{(1)}(q') \text{Im}D_{(1)}(q) \} \quad (d2 + d3 + d4) . \end{aligned} \quad (16)$$

Now we consider the first term, diagram $d1$. If we look at the diagram of Fig. 5(b) we can write the amplitude for this process following the same rules used so far, and by means of Cutkosky rules, we obtain

$$3\text{Im}\tilde{t}(s') = -2 \int \frac{d^4q'}{(2\pi)^4} \sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, p)|^2 \theta(q'^0)\theta(k'^0) \text{Im}D_0(q') \text{Im}D_K(k') \Big|_{k'=k+p-q'}, \quad (17)$$

with $s' = (k+p)^2$. If we substitute this into Eq. (16) we find

$$\text{Im}\Pi^{d1}(k) = -6 \int \frac{d^3q}{(2\pi)^3} \int_0^{\infty} \frac{dq^0}{2\pi} \text{Im}\tilde{t}(u) \text{Im}\delta D(q) = \text{Im}\delta\Pi(k) , \quad (18)$$

which is the same result as Eq. (10). At first sight, the upper limit in the q^0 integration in Eq. (17) is different than in Eq. (10), but the condition $\text{Im}\tilde{t}(u) \neq 0$ makes q^0 smaller than $k^0 - E(x_0)$, and we regain the same limit. It is interesting to note that in spite of renormalizing the only existing pion line in Eq. (10) one obtains the same result here as where we have renormalized either of the two pions in Fig. 6(b). The reason is that the use of a crossing symmetric amplitude and the equal contribution of the terms $\text{Im}\tilde{t}(s)$ and $\text{Im}\tilde{t}(u)$ of Eq. (6) in Eq. (10) accounts for that. This can be better visualized if we make use of a model $K^+\pi^-$ scattering consisting of a resonant K^* pole (as in the p -wave amplitude of the model we use). If in Figs. 5(b) and 5(c) we renormalize and fold the external pion, we obtain two diagrams, as in Fig. 6(b), where in one case one pion is renormalized and in the other case the other pion is renormalized. Hence, we conclude that $\text{Im}\Pi^{d1}(k)$ is exactly the same contribution obtained earlier in Eq. (10). However, we now get new contributions from the terms $d2$, $d3$, $d4$, which renormalize the two pions simultaneously.

The second term in $\text{Im}\Pi^{\text{MEC}}$ comes from $\text{Im}D_{(1)} \text{Im}D_{(1)}$. It contains new reaction channels, namely, the K^+ decaying into K p h h , K p Δ h , and K Δ h Δ h . These have not been considered before. To evaluate them we need $\sum_{\alpha} |t_{K\pi}^{\alpha}(k, -q; k', q')|^2$ with the t -matrix off shell for both q and q' . Given the small contribution from the p -wave part, the only one with an angular dependence in \mathbf{q}' , we substitute $|t_{K\pi}|^2$ by an angular average into Eq. (17), and hence we find for $u > (m_{\pi} + m_K)^2$,

$$\sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, -q)|_{\text{av}}^2 = \frac{-3\text{Im}\tilde{t}(u)}{2 \int \frac{d^4q'}{(2\pi)^4} \theta(k'^0) \text{Im}D_K(k') \theta(q'^0) \text{Im}D_0(q') \Big|_{k'=k-q-q'}} , \quad (19)$$

which after the evaluation of the denominator gives

$$\sum_{\alpha} |t_{K\pi}^{\alpha}(k', q'; k, -q)|_{\text{av}}^2 = -\frac{8\pi\sqrt{u}}{q_{\text{c.m.}}} 3\text{Im}\tilde{t}(u) \simeq f(u) , \quad (20)$$

with $u = (k-q)^2$ and $q_{\text{c.m.}}$ the $K^+\pi$ c.m. momentum for K^+ and π on shell. The function $f(u)$ for any value of

u , in the model that we use, is explicitly written in Appendix B, where some approximations are made, which are consistent with the model itself. Now we use $f(u)$ to calculate the new channels. By expanding the first medium correction to the pion propagator into ph and Δ h components as $D_{(1)} = D_{(1)}^{\text{ph}} + D_{(1)}^{\Delta\text{h}}$, one obtains

$$\text{Im}\Pi^{\text{MEC}}(k) = \text{Im}\delta\Pi(k) \quad (d1)$$

$$+ 2 \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} \theta(q^0)\theta(q'^0)\theta(k'^0) \text{Im}D_K(k') f((k-q)^2) \{ \text{Im}D_{(1)}^{\text{ph}}(q') \text{Im}D_{(1)}^{\text{ph}}(q) \quad (d2)$$

$$+ \text{Im}D_{(1)}^{\text{ph}}(q') \text{Im}D_{(1)}^{\Delta\text{h}}(q) + \text{Im}D_{(1)}^{\text{ph}}(q) \text{Im}D_{(1)}^{\Delta\text{h}}(q') \quad (d3)$$

$$+ \text{Im}D_{(1)}^{\Delta\text{h}}(q') \text{Im}D_{(1)}^{\Delta\text{h}}(q) \} \quad (d4) . \quad (21)$$

Diagrams $d2$, $d3$, and $d4$ are genuine alternative channels and correspond, respectively, to the processes $K \rightarrow K$ ph ph, $K \rightarrow K$ ph Δ h, and $K \rightarrow K$ Δ h Δ h. Those processes have the following thresholds: $T_K \geq 222$ MeV $> m_\pi$ for diagram $d1$, $T_K > 0$ for diagram $d2$, $T_K \geq 181$ MeV $> m_\pi$ for diagram $d3$, and $T_K \geq 392$ MeV $> 2m_\pi$ for diagram $d4$.

We do not evaluate the contribution of diagram $d4$ because its threshold is close to the highest energies we consider, and we expect it to be small. We have done the calculations up to second order in density for the processes $K \rightarrow K$ ph ph. Higher orders have been considered for $K \rightarrow K$ π ph, $K \rightarrow K$ π Δ h, and $K \rightarrow K$ ph Δ h. But despite that, we have found that their behavior is quadratic in density, so higher order corrections are negligible. Results for $\text{Im}\Pi^{d1}$ and $\text{Im}\Pi^{d3}$ compare well with exact quadratic functions.

On the other hand, in $\text{Im}\Pi^{d1}$ so far we have considered only the second order terms coming from the iterated ph or Δ h excitations as in Fig. 1(d). Now it is easy to include the diagrams of the same order in the density corresponding to a simultaneous excitation of two particles–two holes by the pion (related to the second order pion proper self-energy), this contribution is given by diagram $d5$, shown in Fig. 9. Its contribution to the imaginary part of the K^+ self-energy is given by the same expression as $\text{Im}\Pi^{d1}$ of Eq. (18), where instead of $\text{Im}\delta D$ we are considering $\text{Im}\delta D^{d5}$, which is the modification of the pion propagator due to the 2p2h channel of pion absorption:

$$\text{Im}\Pi^{d5}(k) = -6 \int \frac{d^3q}{(2\pi)^3} \int_0^\infty \frac{dq^0}{2\pi} \text{Im}\tilde{t}(u) \text{Im}\delta D^{d5}(q) ,$$

$$\text{Im}\delta D^{d5}(q) = D_0^2(q) \text{Im}\Pi_\pi^{2\text{p}2\text{h}}(q) , \quad (22)$$

where $\text{Im}\Pi_\pi^{2\text{p}2\text{h}}$ is the pion self-energy due to the 2p2h channel of pion absorption. For this we take the model of [16], which in lowest order in density contains the same input as here, but we have simplified it and rewritten the second order part of it as in Ref. [22], which gives rise to about the same results for pionic atoms. Since this self-energy is for pions on-shell, we modify it by multiplying by the ratio of phase space for 2p2h excitation for the off-shell and on-shell situations and by the pion-nucleon squared form factor $F^2(q)$ (given in Appendix A)

$$\text{Im}\Pi_\pi^{2\text{p}2\text{h}}(q) = -4\pi\mathbf{q}^2 \text{Im}C_0\rho^2 F^2(q) \frac{\text{phase}(q^0, \mathbf{q})}{\text{phase}(m_\pi, \mathbf{0})} ,$$

$$\frac{\text{phase}(q^0, \mathbf{q})}{\text{phase}(m_\pi, \mathbf{0})} = \theta(4Mq^0 - \mathbf{q}^2) \sqrt{\frac{4Mq^0 - \mathbf{q}^2}{4Mm_\pi}} + \mathcal{O}(k_F) ,$$

$$\text{Im}C_0 = 0.096m_\pi^{-6} , \quad (23)$$

where the phase-space ratio has been taken at $\rho = 0$. Only the p -wave part of the pionic optical potential has been written, since the s -wave part contribution is much smaller than this for the relevant values of \mathbf{q} involved.

One could also think about effects from the renormalization of the intermediate kaon propagator. The impulse approximation t_{KN} ρ from Appendix C provides the dominant part of the kaon self-energy. From Fig. 4 one can see that $-\text{Im}\Pi(k)/m_K^2 \sim 0.04$ is much smaller than $-\text{Im}\Pi_\pi(k)/m_\pi^2$, and thus the corrections from this source can be estimated reasonably smaller than those obtained from pion renormalization.

The contributions of all MEC diagrams to the imaginary part of the K^+ self-energy are approximately quadratic in density. In Fig. 10(a) the contribution of each of the diagrams $d1$, $d2$, $d3$, and $d5$ at density ρ_0 are shown for different kinetic energies of the kaon. Also

the total of the MEC contributions, $d1 + d2 + d3 + d5$, is shown. For comparison the exact result for the standard calculation, $\text{Im}\delta\Pi = \text{Im}\Pi^{d1}$, is depicted with dashed line. By itself it is much smaller than the total MEC contributions coming from diagrams $d1$, $d2$, $d3$, and $d5$. The diagram $d2$ is the most important MEC correction for low energies, but for higher energies the most relevant is $d3$, it being more than half the total MEC effect for $T_K = 450$ MeV. The contribution of diagram $d5$ is negligible as seen in the figure. If we had considered the $\text{Im}C_0$ parameter of the pionic atoms optical potential of Eq. (23) to be up to four times larger, such as it is in certain parametrizations found in the literature, its contribution would still be negligible as compared to the total MEC result. In Fig. 4 we display the total MEC value together with the value of the IA. We see that the contribution due to the pionic cloud is sizable

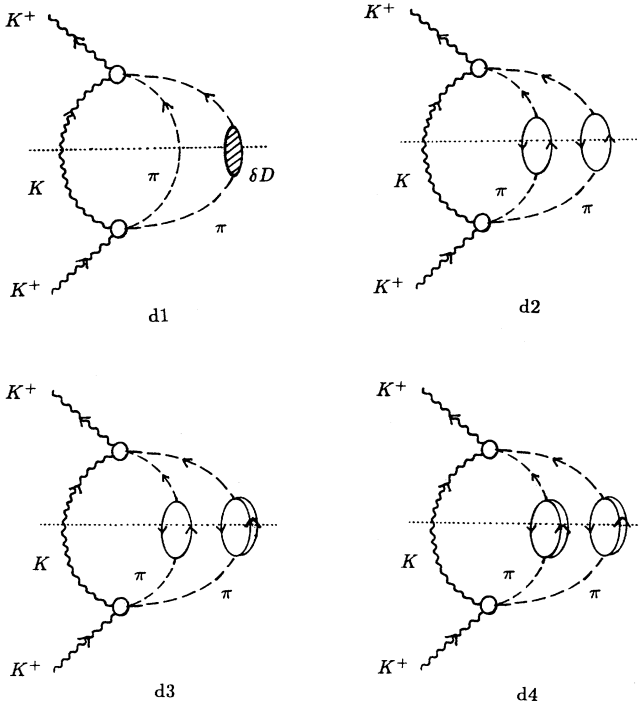


FIG. 8. Diagrams $d1$, $d2$, $d3$, and $d4$. They contribute to $\text{Im}\Pi^{\text{MEC}}$ up to second order in density.

in relation to the dominant term, which comes from the IA. We have checked that these curves are fairly stable under a reasonable modification of the LLEE (Lorentz-Lorenz-Ericson-Ericson) parameter g' .

The self-energy $\Pi^{\text{MEC}}(\mathbf{r})$ in finite nuclei is obtained by substituting ρ by $\rho(\mathbf{r})$ in the nuclear matter results. This is shown in Ref. [16] to be practical and accurate for the s -wave part, which gives practically the whole contribution here. The results shown in this section and in Sec. V complement and correct our preliminary results exposed in Ref. [23].

We have observed that the resonant part of the $t_{K\pi}$ matrix does not contribute significantly to the imaginary part of the kaon-nucleus optical potential. Its contribution is smaller than 1% for $T_K \leq 550$ MeV/ c . In other words, $\text{Im}\Pi^{\text{MEC}}$ is, in very good approximation, proportional to the parameter β'_0 . This parameter is given in

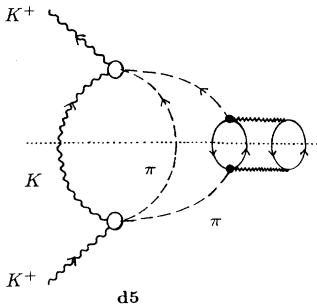


FIG. 9. Diagram $d5$. It contributes to $\text{Im}\Pi^{\text{MEC}}$ in the second order in density.

the work of [12] by

$$\beta'_0 = -\frac{8\pi}{3}(m_\pi + m_K)(a_1^2 + 2a_3^2),$$

where a_{2I} is the scattering length in the isospin I channel.

Then we can consider a simplified model, which consists of taking a purely constant value for $|t_{K\pi}|^2$, with β'_0 given by the scattering lengths. In particular, the quantity $f(u)$ [Eq. (B14)], relevant for $\text{Im}\Pi^{\text{MEC}}$, is now a constant. In the model of Ref. [12], β'_0 is constrained by on-shell data at threshold, which are taken from the analysis of Ref. [24]. This simplified model saves a lot of computing time because certain integrals in Eq. (21) can be done trivially due to the constancy of $f(u)$.

IV. REAL PART OF THE K^+ OPTICAL POTENTIAL

Now, let us pay attention to the calculation of the real part of the K^+ self-energy due to the pionic cloud in the nucleus. We go back to Eq. (2) for $\delta\Pi(k)$ and substitute the isoscalar averaged t matrix of Eq. (6) with its dispersion relation of Eq. (7). Then, we consider separately the contributions to $\delta\Pi$ coming from the analytical part of \tilde{t}

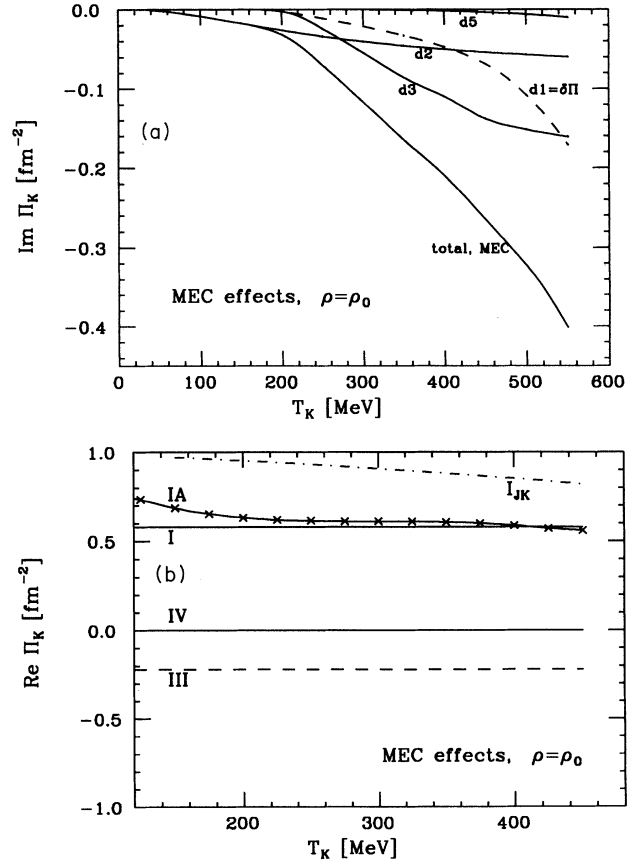


FIG. 10. (a) Imaginary part of the K^+ self-energy for normal nuclear matter versus kinetic energy of the incoming kaon from the different mechanisms considered. (b) Real part of the K^+ self-energy for normal nuclear matter versus kinetic energy of the incoming kaon.

(that is, P) and from its dispersive part (related to $\text{Im}\tilde{t}$):

$$\delta\Pi = 3i \int \frac{d^4q}{(2\pi)^4} \delta D(q) \left(P(s) + \int_{x_0}^{\infty} \frac{dx}{\pi} \frac{\text{Im}\tilde{t}(x)}{x_0 - x} \frac{s - x_0}{s - x + i\epsilon} \right), \quad (24)$$

where use has been made of crossing symmetry to cancel the factor $1/2$. $P(s)$ is a real polynomial in q^0 , and, by doing a Wick rotation for q^0 as depicted in Fig. 3, it can be proved that the first part [that going with $P(s)$] is real. By doing the same Wick rotation, one can see that the second part [going with $\text{Im}\tilde{t}(x)$] is complex, its imaginary part being given by Eq. (10). This second part is linear in $\text{Im}\tilde{t}(x)$ because of the optical theorem $\text{Im}\tilde{t}(x) \propto |\tilde{t}(x)|^2$. So, the first part is of order \tilde{t} , and the second one is of order \tilde{t}^2 . We are keeping the leading order contribution to both $\text{Re}\delta\Pi$ and $\text{Im}\delta\Pi$; this is order \tilde{t} for $\text{Re}\delta\Pi$ and order \tilde{t}^2 for $\text{Im}\delta\Pi$. Within the same approximation, one should neglect, for the real part, the contribution of the diagrams $d2$, $d3$, and $d5$. Note that to order $|\tilde{t}|^2$ there would be more diagrams besides these, which do not contribute, or contribute little, to the imaginary part, for instance, $d4$.

With this approach of keeping the dominant order in \tilde{t} and considering the incoming K^+ on shell:

$$\text{Re}\delta\Pi(k) = -3 \int \frac{d^4q}{(2\pi)^4} \text{Im}\delta D(q) P(s) \quad (25)$$

$$= -3 \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^{\infty} \frac{dq^0}{\pi} \text{Im}\delta D(q) \left[\frac{\alpha_0}{2} + \beta_0(m_K^2 + q^{0^2} - \mathbf{q}^2) \right], \quad (26)$$

where we have used

$$P(x) = \frac{\alpha_0}{2} + \beta_0 x, \quad (27)$$

which, in the model of [12], is the dominant contribution to $P(x)$ and comes from the s wave, the p -wave contribution being neglected. α_0, β_0 are given in Table I. In this approximation $\text{Re}\delta\Pi$ is independent of the K^+ kinetic energy. Equation (26) is the estimation we are going to use for the real part of the K^+ self-energy. Observe that for $\text{Re}\delta\Pi$ we have the pion four-momentum without any phase-space restriction. But also notice that the relevant results are coming from the q values such that $\text{Im}\delta D(q)$ is large, and this happens for small values of \mathbf{q} and q^0 because in the limit of q large ($\mathbf{q} \rightarrow \infty$ or $q^0 \rightarrow \infty$) the pion self-energy, which makes $D(q)$ different than $D_0(q)$, goes to zero. For the purpose of evaluating $\text{Re}\delta\Pi$, we split it in different parts as follows:

$$\begin{aligned} \text{Re}\delta\Pi &= \alpha_0 \gamma_1 + 2\beta_0 (\gamma_2 + \gamma_3), \\ \gamma_1 &= -\frac{3}{2} \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^{\infty} \frac{dq^0}{\pi} \text{Im}\delta D(q) \equiv \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{\delta N(\mathbf{q})}{2\omega(\mathbf{q})}, \\ \gamma_2 &= -\frac{3}{2} \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^{\infty} \frac{dq^0}{\pi} \text{Im}\delta D(q) (m_K^2 - \mathbf{q}^2) \equiv \int \frac{d^3\mathbf{q}}{(2\pi)^3} \frac{\delta N(\mathbf{q})}{2\omega(\mathbf{q})} (m_K^2 - \mathbf{q}^2), \\ \gamma_3 &= -\frac{3}{2} \int \frac{d^3\mathbf{q}}{(2\pi)^3} \int_0^{\infty} \frac{dq^0}{\pi} \text{Im}\delta D(q) q^{0^2}. \end{aligned} \quad (28)$$

By doing the numerical evaluation using the pion self-energy of Appendix A, the results are $\gamma_1 = 0.02 \text{ fm}^{-2}$, $\gamma_2 = -0.04 \text{ fm}^{-4}$, and $\gamma_3 = -0.006 \text{ fm}^{-4}$ evaluated at $\rho = \rho_0$. Notice that $\text{Re}\delta\Pi$ is independent of the energy of the K^+ ; then

$$\begin{aligned} \text{Re}\delta\Pi(k; \mathbf{r}) &= \text{Re}\delta\Pi(\mathbf{r}) = \text{Re}\delta\Pi(\rho = \rho_0) \left(\frac{\rho(\mathbf{r})}{\rho_0} \right)^2 \\ &\equiv \text{Re}B \left(\frac{\rho(\mathbf{r})}{\rho_0} \right)^2. \end{aligned} \quad (29)$$

TABLE I. Different parametrizations for the K - π t matrix, and the results of the real part of the K^+ optical potential due to the pionic cloud for normal nuclear matter for each parametrization.

	α_0	β_0	β'_0	$\text{Re}\delta\Pi_{\text{stat}}(\rho = \rho_0)$	$\text{Re}\delta\Pi(\rho = \rho_0)$ $\equiv \text{Re}B$
		(fm^2)	(fm)	(fm^{-2})	(fm^{-2})
I	18.7	-2.2	-8.1	0.54	0.58
II	11.4	-1.0	-8.1		
III	-11.0	0.0	-8.1	-0.22	-0.22
IV	-2.8	-0.6	-8.1	~ 0.00	0.00

For the parametrizations I and III of Ref. [12], we obtain the values of $\text{Re}\delta\Pi(\rho = \rho_0) \equiv \text{Re}B$ shown in Table I and Fig. 10(b). $\text{Re}\delta\Pi_{\text{stat}}$ has been obtained by taking the static approximation $q^0 = 0$ in Eq. (26), which amounts to taking $\gamma_3 = 0$ in Eq. (28). Noting that $\gamma_3/\gamma_2 \simeq 0.15$, we see that this static approximation is not bad in this case. We see that different off-shell extrapolations provide very different results for the real part of the MEC self-energy of kaons, making it possible to obtain different signs for it: $\text{Re}\delta\Pi > 0$ for parametrization I and $\text{Re}\delta\Pi < 0$ for parametrization III. Parametrization IV is obtained by imposing $\text{Re}\delta\Pi=0$, which gives $\alpha_0 = -2.8$ and $\beta_0 = -0.61 \text{ fm}^2$. Note that α_0, β_0 are related in order to reproduce the scattering lengths; see Appendix B.

In Fig. 10(b) the real parts calculated at order \tilde{t} are displayed with labels I, III, and IV, depending on the parametrization used. Result I is of the same order as the impulse approximation (IA), but III is smaller and has a different sign. We also present the result of doing the calculation in the spirit of Ref. [12], that is, in the static approximation and keeping the whole $\text{Re}\tilde{t}$ [including $P(x)$ and the part related to $\text{Im}\tilde{t}$]. In this case we use parametrization I; the result is labeled I_{JK} . We see that I_{JK} is quite different from I; this means that the term coming from $\text{Im}\tilde{t}$ is not small, but we should not calculate one of those contributions, but rather all of them that are of the same order in \tilde{t} . Given the large sensitivity of the real part to the uncertainties of the off-shell extrapolation for the \tilde{t} matrix, we think that the computation of the real part is presently beyond the scope of the microscopical approach.

V. RESULTS: K^+ -NUCLEUS CROSS SECTION

In Fig. 11(a) we show the results for $\frac{d\sigma}{d\Omega}$ for K^+ with energy $T_K = 450 \text{ MeV}$ scattered by ^{12}C . The result with the impulse approximation is compared to those including pion cloud effects, using parametrizations I and III; the result, using parametrization IV, which is not shown [$\text{Re}(\Pi^{\text{MEC}}) = 0$], is in between. We find that the inclusion of MEC effects provides some improvement in comparison with the experimental data. In Fig. 11(b) the same is compared for the ^{40}Ca nucleus. The effect of the pion cloud is very similar to the case of ^{12}C . In both cases the Coulomb interaction is neglected. Here we show the results in order to see the size and shape of the MEC effects in the differential cross section. Some other theoretical corrections, as discussed later, should also be included for a proper comparison with experiment.

The total cross section of K^+ scattered by ^{12}C vs kinetic energy of the K^+ is shown in Fig. 12(a). The experimental data shown by a cross are from Ref. [8]; the data shown by a diamond are from Ref. [4], with only the statistical errors included; the systematic errors, not shown, are larger. The dashed line labeled IA corresponds to the impulse approximation. The dotted and solid lines include MEC effects using parametrizations I, III, and IV. Note that $\text{Im}\Pi^{\text{MEC}}$, as computed in Sec. III, does not depend on the parametrization.

For low energies the resulting cross section including

MEC depends a lot on the real part of the optical potential and hence on the parametrization used, but it is less dependent for higher energies. Given that the real part is not at all under control from the model, we take hereafter as a reference the line labeled IV, which amounts to neglecting the MEC effects for the real part of the optical potential. Figure 12(a) shows that the inclusion of MEC effects in the imaginary part significantly improves on the impulse approximation, bringing the cross section closer to the experimental one, and showing that MEC effects are large enough to require consideration in this process.

We have used the phase shifts of Arndt and co-workers [25]. The calculated K^+ nucleus total cross section would have been larger if we had used Martin's [26] phase shifts rather than those of Arndt and co-workers [25] for the KN scattering amplitudes as shown in Ref. [2]. The analysis of [25] is more recent than the one in [26]. On

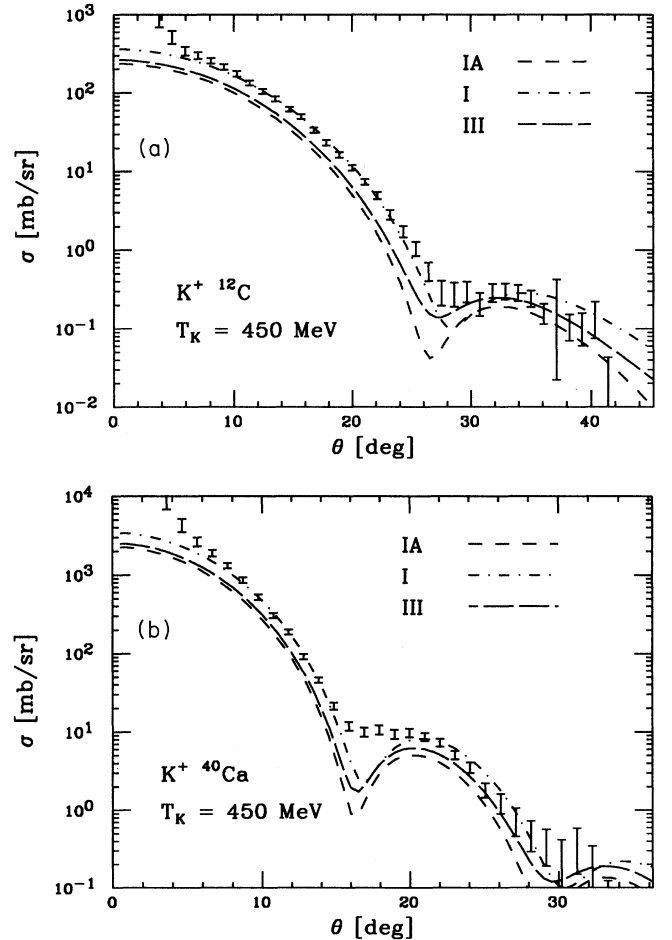


FIG. 11. (a) Differential cross section of a K^+ with kinetic energy of 450 MeV scattered by a ^{12}C nucleus. The dashed line depicts the results using IA. Long-dashed (dot-dashed) line includes IA plus the optical potential coming from the pionic cloud using parametrization I (III). The experimental data are from Ref. [5]. (b) Same as (a) for ^{40}Ca . The experimental data are from Ref. [6].

the other hand, a recent reanalysis [27] of the KN data seems to favor Martin's phase shifts.

These theoretical uncertainties in the K^+ -nucleus cross sections partially cancel if a quotient of cross sections is taken. The same is true for systematic errors in the experimental measurements of the total K^+ -nucleus cross sections. Then, the magnitude usually calculated and compared with experiment in most of the research work is the ratio over the K^+ deuterium total cross section: $R[A] = \frac{\sigma(K^+ A)}{(A/2)\sigma(K^+ 2H)}$ for a nucleus of mass number A . In particular for ^{12}C the following ratio R is defined:

$$R = \frac{\sigma(K^+ ^{12}\text{C})}{6\sigma(K^+ 2\text{H})}, \quad (30)$$

where the factor 6 in the denominator is included to emphasize the closeness of the ratio to unity.

One should notice that for magnitude R , it being a quotient of cross sections, the possible uncertainties be-

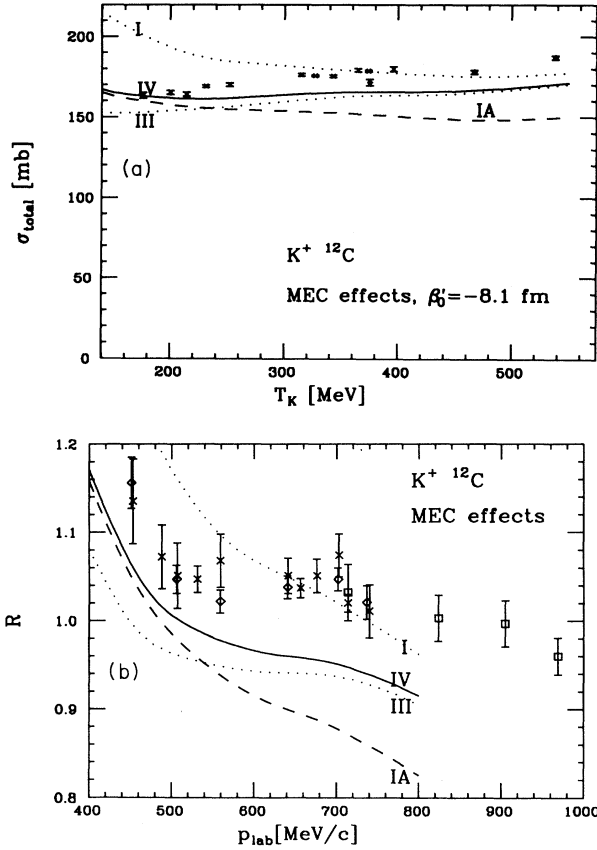


FIG. 12. (a) Total cross section for K^+ scattered by ^{12}C vs kinetic energy of the K^+ . (b) The ratio $R = \frac{\sigma(K^+ ^{12}\text{C})}{6\sigma(K^+ 2\text{H})}$ vs the laboratory momentum of the K^+ . The dashed line corresponds to IA. The solid line (IV) includes IA plus the imaginary part of the optical potential coming from the pionic cloud. Dotted lines includes IA plus the imaginary and real parts of the optical potential coming from the pionic cloud for two different parametrizations (I and III) of the $t_{K\pi}$ amplitude. The experimental data are crosses from Ref. [8], diamonds from Ref. [4], and squares from Ref. [6].

casue of the use of different phase shifts partially cancel, and they are not relevant. So we present in Fig. 12(b) the same results as in Fig. 12(a) but for the ratio R , which has less error than the total cross section. The dashed line corresponds to the IA calculation, and the other lines include IA+MEC, using for MEC effects the parametrizations I, III, and IV, as labeled.

So far we have calculated MEC effects on the total K^+ -nucleus cross section for ^{12}C . We have shown that these effects are large enough as compared to the IA approximation, and, then, they need to be considered.

However, for doing a meaningful comparison of theoretical calculations with experiments, a more realistic K^+ -nucleus standard optical potential (than the crude $t\rho$ impulse approximation used in Figs. 11 and 12) should be considered. There are corrections over this $t\rho$, which should be included such as the off-shell range, binding energy, etc. Also, there are nucleon-nucleon correlations, which contribute to the optical potential in second order. These correlation effects are approximately ρ^2 by nature, which is the same form as the MEC contributions to the optical potential.

Both kinds of corrections to the optical potential (and their effect on the K^+ -nucleus cross sections) have been calculated and/or estimated in the works of Siegel, Kaufmann, and Gibbs [1, 2]. As result of their studies, they provide a band of uncertainty for the conventional calculation; the boundaries of the band are determined by varying the parameters in the theoretical model.

We show in Fig. 13, with dashed lines, the band of theoretical calculations for R with the conventional microscopic optical potential of Ref. [2], for $p < 500$ MeV/c. The results quoted are from Ref. [6].

The solid-line band in Fig. 13 shows the effect of adding

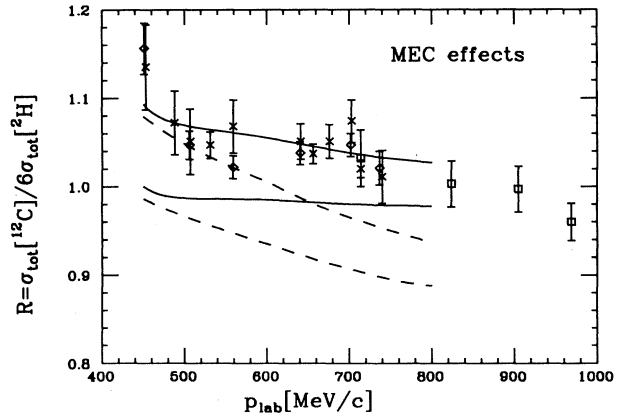


FIG. 13. The ratio of cross sections $R = \frac{\sigma(K^+ ^{12}\text{C})}{6\sigma(K^+ 2\text{H})}$ plotted against the laboratory momentum of the incoming K^+ . The experimental data are crosses from Ref. [8], diamonds from Ref. [4], and squares from Ref. [6]. The two dashed lines define the band of uncertainties of the theoretical results for R obtained with the conventional optical potential of Ref. [2]. The two solid lines define the band of uncertainties of the theoretical results for R when the corrections due to MEC calculated here are added to the results obtained with the conventional optical potential of Ref. [2].

our MEC correction to the multiple scattering calculation of Ref. [2]. This correction is obtained by taking

$$\Delta R^{\text{MEC}} = \frac{\Delta\sigma^{\text{MEC}}(^{12}\text{C})}{6\sigma(^2\text{H})}, \quad (31)$$

where $\Delta\sigma^{\text{MEC}}(^{12}\text{C}) = \sigma^{\text{IA+MEC}}(^{12}\text{C}) - \sigma^{\text{IA}}(^{12}\text{C})$, and $\sigma(^2\text{H})$ has been obtained directly from the Arndt phase shifts by taking $\sigma(^2\text{H}) = \sigma_n + \sigma_p$. In calculating the MEC correction, we have taken only its contribution to the imaginary part of the optical potential, while no correction has been done to the real part. We observe that the addition of the MEC correction to the conventional optical calculation of Siegel *et al.* [2] provides a significant agreement of the calculation with the experiment for a large range of energies.

VI. CONCLUSIONS

Let us summarize the results of our calculation. We have computed the pionic cloud contribution to the K^+ -nucleus self-energy at lowest order in $t_{K\pi}$ (see Secs. III and IV). Our result is well described for $T_K < 2.5 \text{ fm}^{-1}$ by

$$\Pi^{\text{MEC}}(T_K, \mathbf{r}) = B(T_K) \left(\frac{\rho(\mathbf{r})}{\rho_0} \right)^2, \quad (32)$$

where the coefficient $B(T_K)$ is complex and T_K is the kinetic energy of the incoming K^+ . We have found that, within our approximation, $\text{Re}B$ is energy independent. Its precise value is not known because of the uncertainties in the off-shell extrapolation of the $K\pi$ amplitudes (see Table I). On the other hand, with very good approximation, $\text{Im}B(T_K)$ can be cast in the following form:

$$\text{Im}B(T_K) = \beta'_0 \{k_1 T_K + k_2 (T_K - T_0) \theta(T_K - T_0)\}, \quad (33)$$

with $k_1 = 3.0 \times 10^{-3} \text{ fm}^{-2}$, $k_2 = 2.0 \times 10^{-2} \text{ fm}^{-2}$, and $T_0 = 1.0 \text{ fm}^{-1}$. The constant k_1 is related to the diagram d_2 , and k_2 to the rest of the calculated MEC diagrams d_1, d_3, d_5 , which contribute to $\text{Im}B$ above a threshold of around T_0 , as stated by the step function $\theta(T_K - T_0)$. β'_0 is related to the $K^+\pi$ scattering lengths. For the model of Refs. [12, 24], one obtains $\beta'_0 = -8.1 \text{ fm}$. The K^+ -nucleus data for ^{12}C are well reproduced for all energies by $\text{Re}B=0$, $\beta'_0 = -8.1 \text{ fm}$, as can be seen in Fig. 13, when conventional nuclear corrections, taken from Ref. [2], are included together with the MEC effects.

The main conclusion of this paper is that the effects of the mesonic cloud in K^+ -nucleus scattering are relevant and of the right order of magnitude to account for the discrepancies of the conventional optical potential [2] with the data. However, there are uncertainties, particularly in the real part of the MEC, which do not allow us to draw stronger conclusions about the actual size of the corrections. The main reason is the sensitivity of the results to the off-shell extrapolation of the KN scattering matrix for which there is not yet enough information. Furthermore, we also noted that there are other sources of the real part, not linear in the KN t matrix, which

should also be considered. Our evaluation of the imaginary part was, however, very precise within the model of Ref. [12] used here. However, there are also approximations in $\text{Im}t_{K\pi}$ of Ref. [12], since the parameter β'_0 is tied to the threshold $K\pi$ amplitudes only. Other models for the amplitude would also provide different results for $\text{Im} \Pi^{\text{MEC}}$, although the restricted phase space for $\text{Im} \Pi^{\text{MEC}}$ and the on-shell constraints in the amplitude make this magnitude more stable.

The comparison of our results using $\text{Re}\Pi^{\text{MEC}} = 0$ with the data is very good. On the other hand, we also have observed that the direct relation of Π^{MEC} to the distribution of excess pions in the nucleus, as has been formerly assumed, is a consequence of a dangerous static approximation, which should be avoided. In the present case it induced an error of a factor of 2 but in other cases it can induce errors of several orders of magnitude. We also found that in any case this contribution was only a small part of the total MEC corrections tied to the interaction of kaons with the nuclear pions. These findings should also serve as a warning for other calculations directly relating the pion excess number to the modification of nuclear magnitudes from the interaction of particles with the meson cloud.

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APPENDIX A: THE PION PROPAGATOR AND THE NUMBER OF PIONS

We have taken

$$D(q) = [q^{02} - \mathbf{q}^2 - m_\pi^2 - \Pi_\pi(q^0, \mathbf{q})]^{-1}, \quad (A1)$$

where

$$\begin{aligned} \Pi_\pi(q) &= \mathbf{q}^2 \frac{\alpha(q)}{1 - g'\alpha(q)}, \\ \alpha(q) &= \alpha_N(q) + \alpha_\Delta(q), \\ \alpha_N(q) &= \left(\frac{f(q)}{m_\pi} \right)^2 U_N(q), \\ \alpha_\Delta(q) &= \left(\frac{f(q)}{m_\pi} \right)^2 U_\Delta(q), \\ f(q) &= f_\pi F(q) = f_\pi \frac{\Lambda^2}{\Lambda^2 + \mathbf{q}^2}, \end{aligned} \quad (A2)$$

where $1 - g'\alpha(q)$ is the Lorentz-Lorenz factor, with g' the Landau-Migdal parameter, and U_N, U_Δ the Lindhard functions for ph and Δh excitation with the appropriate normalization, are given explicitly in the Appendix of [28]. $U_\Delta(q)$ has an imaginary part coming from Δ decay

into πN . We have taken the form factor static because the relevant momenta involved in the process are well below Λ , and the form factor does not play much of a role. This was already investigated in [12]. Not having q^0 dependence in $F(q)$ simplifies the analytical structure and allows us to make the formal developments of the former sections. We take $g' = 0.6$, $f_\pi^2/4\pi = 0.08$, and $\Lambda = 1250$ MeV.

The leading order in density of $\Pi_\pi^{(1)}$ is given by

$$\Pi_\pi^{(1)} = \Pi_N + \Pi_\Delta, \quad \Pi_N(q) = \mathbf{q}^2 \alpha_N^{(1)}, \quad \Pi_\Delta(q) = \mathbf{q}^2 \alpha_\Delta^{(1)},$$

where $\alpha_N^{(1)}$ and $\alpha_\Delta^{(1)}$ are the linear part in density of α_N and α_Δ of Eq. (A2). Hence, the leading orders in density expansion for the pion propagator $D(q)$ are

$$D_{(1)}(q) = D_0^2(q) \Pi_\pi^{(1)} = D_{(1)}^{\text{ph}} + D_{(1)}^{\Delta\text{h}},$$

$$D_{(1)}^{\text{ph}}(q) = D_0^2(q) \Pi_N(q), \quad D_{(1)}^{\Delta\text{h}}(q) = D_0^2(q) \Pi_\Delta(q),$$

$$D_{(2)}(q) = D_0^2(q) \mathbf{q}^2 \alpha^2(q) [g' + \mathbf{q}^2 D_0(q)].$$

The propagator of a pion with four-momentum q (and isospin λ) should satisfy the Lehmann representation [18]

$$D(q) = \int_0^\infty \frac{d\omega}{\pi} (-2\omega) \frac{\text{Im}D(\omega, \mathbf{q})}{q^{02} - \omega^2 + i\epsilon}. \quad (\text{A3})$$

Our calculation of the pion propagator, described above, preserves the appropriate analytical properties, and therefore Eq. (A3) holds.

Another equation that is relevant in connection with the present problem is the sum rule

$$- \int_0^\infty \frac{dq^0}{\pi} 2q^0 \text{Im}D(q^0, \mathbf{q}) = 1. \quad (\text{A4})$$

This equation expresses the equal time commutation relation of the pion fields. We check that Eq. (A4) is fulfilled in our model at the level of 1 per 10^3 , which is sufficient for our purposes.

A check of the model for pion propagation in the nucleus is to calculate the number of pions it produces. Although this quantity is not needed in our evaluation of the pion cloud contribution to the K^+ -nucleus scattering, we show our results for it in order to compare with earlier work. Let $n_\lambda(\mathbf{q})$ be the pion number distribution for a single class of pions and $n(\mathbf{q})$ the total number of pions, namely,

$$n(\mathbf{q}) \equiv \sum_\lambda n_\lambda(\mathbf{q}) = \sum_\lambda \langle a_{\mathbf{q}\lambda}^\dagger a_{\mathbf{q}\lambda} \rangle, \quad (\text{A5})$$

where the symbol $\langle \rangle$ indicates the expectation value in the nuclear ground state and $a_{\mathbf{q}\lambda}$ the annihilation operator of a pion with momentum \mathbf{q} and isospin λ . Thus,

$$\int \frac{d^3\mathbf{q}}{(2\pi)^3} n(\mathbf{q}) = \frac{n_\pi}{V} = \frac{n_\pi}{A} \rho, \quad (\text{A6})$$

with n_π/A the total number of pions per nucleon. More amenable to calculation are the quantities $N(\mathbf{q})$ and $\delta N(\mathbf{q})$,

$$N(\mathbf{q}) = n(\mathbf{q}) + \frac{1}{2} \sum_\lambda \langle a_{\mathbf{q},\lambda}^\dagger a_{-\mathbf{q},-\lambda}^\dagger \rangle + \frac{1}{2} \sum_\lambda \langle a_{\mathbf{q},\lambda} a_{-\mathbf{q},-\lambda} \rangle, \quad (\text{A7})$$

$$\delta N(\mathbf{q}) = N(\mathbf{q}) - \rho \left(\frac{\partial N(\mathbf{q})}{\partial \rho} \right)_{\rho=0}. \quad (\text{A8})$$

The linear term in ρ accounts for the number of pions per free nucleon; then it is subtracted in Eq. (A8) to obtain the ‘‘pion excess’’ $\delta N(\mathbf{q})$.

According to Eq. (A6) the integral

$$\frac{1}{\rho} \int \frac{d^3\mathbf{q}}{(2\pi)^3} \delta N(\mathbf{q}) \equiv \frac{\delta N_\pi}{A} \quad (\text{A9})$$

provides the excess number of pions per nucleon, counting the three isospin states and also $\langle a_{\mathbf{q},\lambda}^\dagger a_{-\mathbf{q},-\lambda}^\dagger \rangle$ and $\langle a_{\mathbf{q},\lambda} a_{-\mathbf{q},-\lambda} \rangle$ as it comes from Eq. (A7). According to the results of Ref. [17] on the contribution of the pion cloud to Compton scattering, $n_\lambda(\mathbf{q})$ is equal to $\frac{1}{2} \langle a_{\mathbf{q},\lambda}^\dagger a_{-\mathbf{q},-\lambda}^\dagger \rangle + \frac{1}{2} \langle a_{\mathbf{q},\lambda} a_{-\mathbf{q},-\lambda} \rangle$, then the results of previous papers on the pion number excess $\delta n(\mathbf{q})$ and δn_π are to be compared with $\delta N(\mathbf{q})/2$ and $\delta N_\pi/2$.

These quantities can be computed using the relationships

$$\frac{1}{3} N(\mathbf{q}) = -2\omega(\mathbf{q}) \int_0^\infty \frac{dq^0}{2\pi} \text{Im}[D(q) - D_0(q)], \quad (\text{A10})$$

$$\frac{1}{3} \delta N(\mathbf{q}) = -2\omega(\mathbf{q}) \int_0^\infty \frac{dq^0}{2\pi} \text{Im}[\delta D(q)], \quad (\text{A11})$$

where $\omega(\mathbf{q}) = \sqrt{m_\pi^2 + \mathbf{q}^2}$ and

$$\delta D(q) = D(q) - D_0(q) - \rho \left(\frac{\partial D(q)}{\partial \rho} \right)_{\rho=0}. \quad (\text{A12})$$

Equation (A10) can be derived by writing the pion propagator in terms of the creation and annihilation operators and making use of Eq. (A3).

In Fig. 14, we show $\delta N(\mathbf{q})$ (solid line) for normal ($\rho = \rho_0 = 0.17 \text{ fm}^{-3}$) nuclear matter. We have also calculated $\delta N(\mathbf{q})$ for different values of the density, observing that it behaves quadratically in density. If only ph excitations are considered, one obtains the dotted line in Fig. 14. By integrating it, a negative pion excess number is obtained, as was already pointed out in [12]. If only Δh excitations are considered, one obtains the result of the dashed line, which represents a very small pion excess number. When considering simultaneously both ph and Δh excitations, the solid line of Fig. 14 is obtained. $\delta N(\mathbf{q})$ is larger for large \mathbf{q} than before, and a positive excess number of pions is found when integrating over $d^3\mathbf{q}$, thus proving that the interference of ph and Δh is essential to produce a positive excess number.

The distribution $\delta N(\mathbf{q})$ has an identical shape to the one from [14]. For $\rho = \rho_0$ the integral of Eq. (A9) gives 0.67, half of it coming from the integral of the strict pion number, $\delta n(\mathbf{q})$, according to [17]. This gives us 0.33 pion per nucleon in nuclear matter at $\rho = \rho_0$. Since $\int d^3\mathbf{q} \delta N(\mathbf{q})$ is proportional to ρ^2 , and in a finite nucleus such as ^{12}C the magnitude $\frac{1}{A} \int d^3\mathbf{r} \rho^2(\mathbf{r})$ is around a fac-

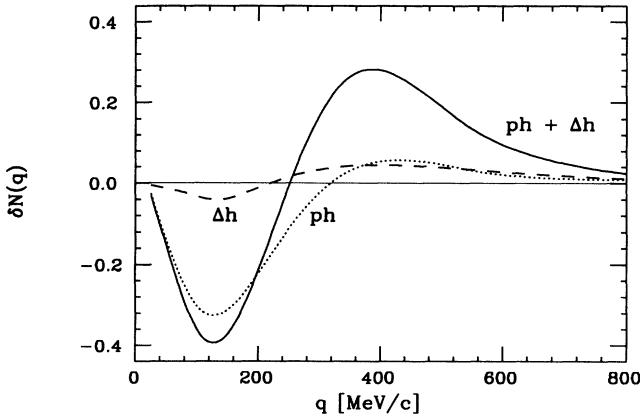


FIG. 14. $\delta N(q)$ vs q shown for normal nuclear matter.

tor of 2 smaller than in nuclear matter, then we obtain 0.17 pion per nucleon in the ^{12}C nucleus, on the upper edge of the band of values obtained by other authors [14, 15].

APPENDIX B: THE $K^+\pi$ AMPLITUDE

As discussed before we need only the isoscalar $K\pi$ amplitude, which we take from [12]. This amplitude incorporates analytical properties, unitarity, crossing symmetry $t^0(k', q'; k, q) = t^0(k', -q; k, -q')$, and on-shell constraints and keeps up to linear terms in s and u for forward scattering. Its justification and uncertainties are clearly discussed in [12], and we refer the reader to this paper. The model contains an s -wave part and a p -wave part. The p -wave part is accounted for by means of an $I = 1/2$ resonance, $K^*(892)$. After the implementation of the crossing symmetry, the two pieces give rise to the following isoscalar amplitude:

$$t^0(k, q; k, q) = t^{(0,s)}(k, q; k, q) + t^{(0,p)}(k, q; k, q), \quad (\text{B1})$$

$$t^{(0,s)}(k, q; k, q) = \alpha_0 + \beta_0(s + u) + i\beta'_0[k(s) + k(u)], \quad (\text{B2})$$

$$t^{(0,p)}(k, q; k, q) = T_{\text{res}}(s)\theta(s - x_0) + T_{\text{res}}(u)\theta(u - x_0), \quad (\text{B3})$$

with

$$\sum_l \sum_{i,j} |t_{K^+\pi^i \rightarrow K^i\pi^j}(k', q'; k, -q)|_{\text{av}}^2 = -\frac{8\pi\sqrt{u}}{K(u)} 3\text{Im} \tilde{t}(u) \quad (\text{B11})$$

$$= -24\pi \left\{ \sqrt{u} \frac{k(u)}{K(u)} \beta'_0 - \text{Im} \frac{8\pi u}{K^2(u)} \frac{M_r \Gamma(K(u)) \theta(u - x_0)}{M_r^2 - u - iM_r \Gamma(K(u))} \right\}, \quad (\text{B12})$$

with $u = (k - q)^2$. However, the threshold approximations involved in the s -wave part in [12] require that all factors in the s -wave part in Eq. (B12) be calculated at pion threshold. We have checked that this induces differences of about 5% in the evaluation of the diagram of Fig. 1(a) with respect to the results, keeping the u dependent factors. Observe that Eq. (B12) provides the analytical continuation of the left hand side of Eq. (B11) for $u < (m_\pi + m_K)^2$.

Note that, if the factor \sqrt{u} is kept, we run into problems if the line q' excites a ph , as in diagrams $d1, d2, d3$ of Fig. 8,

$$T_{\text{res}}(x) = -\frac{8\pi\sqrt{x}}{K(x)} \frac{M_r \Gamma(K(x))}{M_r^2 - x - iM_r \Gamma(K(x))}, \quad (\text{B4})$$

$$\Gamma(K) = \left(\frac{K}{K_r} \right)^3 \Gamma_r \left(\frac{1 + (K_r R)^2}{1 + (KR)^2} \right), \quad (\text{B5})$$

$x_0 = (m_K + m_\pi)^2$ and $k(x), K(x)$ the c.m. momentum given by

$$k(x) = \frac{\sqrt{m_\pi m_K}}{m_\pi + m_K} \sqrt{x - (m_\pi + m_K)^2}, \quad (\text{B6})$$

$$K(x) = \left[\frac{[x - (m_\pi + m_K)^2][x - (m_\pi - m_K)^2]}{4x} \right]^{1/2}, \quad (\text{B7})$$

and the parameters $\beta'_0 = -8.1$ fm, $M_r = 895.7$ MeV, $\Gamma_r = 52.9$ MeV, $R = 4.3$ (GeV/c) $^{-1}$, and K_r given by Eq. (B7) for $x = M_r$. Three different parametrizations I, II, and III, with values for α_0, β_0 , given in Table I are taken from [12]. These parameters are constrained by the relationship $\alpha_0 + 2(m_\pi^2 + m_K^2)\beta_0 = -11.0$, which follows from Eq. (B2) on shell and at threshold. Notice that parametrization II does not fulfill this requirement, so we will not use it.

Note that $K(x)$ is the relativistic c.m. momentum, while $k(x)$ involves a nonrelativistic approximation. The choice of $k(x)$ for the s -wave part is done in [12] to avoid extra singularities and is consistent with some threshold approximations involved in the derivation of Eq. (B2).

Since $T_{\text{res}}(x)$ is zero below the pion threshold, there are no problems in separating the real and imaginary parts. However, the imaginary part in the s -wave term gives rise to a real part below pion threshold when extrapolated analytically. Thus,

$$t^{(0,s)}(k, q; k, q) = \tilde{t}^{(0,s)}(s) + \tilde{t}^{(0,s)}(u) \quad (\text{B8})$$

with

$$\text{Re} \tilde{t}^{(0,s)}(x) = \begin{cases} \frac{\alpha_0}{2} + \beta_0 x, & x > x_0 \\ \frac{\alpha_0}{2} + \beta_0 x - \beta'_0 k_I(x), & x < x_0 \end{cases}, \quad (\text{B9})$$

$$\text{Im} \tilde{t}^{(0,s)}(x) = \begin{cases} \beta'_0 k(x), & x > x_0 \\ 0, & x < x_0 \end{cases}$$

with

$$k_I(x) = \frac{\sqrt{m_\pi m_K}}{m_\pi + m_K} \sqrt{x_0 - x}. \quad (\text{B10})$$

Coming back to Eqs. (19) and (20), we discussed that, in the general case for $u > (m_\pi + m_K)^2$,

since u can become negative, and this leads to the absurd conclusion that $|t^2|$ is purely imaginary. The threshold approximations done in [12] are aimed at avoiding such pathologies.

Thus, taking into account this average, we can rewrite Eq. (14) in the form

$$\text{Im}\bar{\Pi}(k) = 2 \int \frac{d^4q}{(2\pi)^4} \int \frac{d^4q'}{(2\pi)^4} \theta(q^0)\theta(q'^0)\theta(k^0 - q^0 - q'^0) f(u) \text{Im}D(q) \text{Im}D(q') \text{Im}D_K(k - q - q'), \quad (\text{B13})$$

$$f(u) = -24\pi \left\{ \beta'_0(m_\pi + m_K) - \frac{8\pi u}{K^2(u)} \text{Im} \frac{M_r \Gamma(K(u)) \theta(u - x_0)}{M_r^2 - u - iM_r \Gamma(K(u))} \right\}. \quad (\text{B14})$$

For the s wave this is equivalent to considering the on-shell values for the $t_{K\pi}$ matrix when calculating $|t|^2$ but evaluating at the threshold.

APPENDIX C: THE IMPULSE APPROXIMATION

Our K^+ self-energy in the impulse approximation is given by

$$\Pi^{(\text{IA})}(k) = -\frac{4\pi\sqrt{s}}{M} \left\{ \frac{1}{2}(f_0 + f_1)\rho_n(\mathbf{r}) + f_1\rho_p(\mathbf{r}) \right\}, \quad (\text{C1})$$

with M the nucleon mass, where f_I are the K^+N spin-nonflip-isospin amplitudes and $\rho_n(\mathbf{r}), \rho_p(\mathbf{r})$ the neutron and proton densities.

We consider s , p , and d waves. For the p wave we substitute

$$\mathbf{q} \cdot \mathbf{q}' \rho(\mathbf{r}) \rightarrow -\frac{M^2}{s} \nabla \rho(\mathbf{r}) \nabla, \quad (\text{C2})$$

as is customarily done in pionic atoms [29], and for the d wave, which is almost negligible in the range that we study, we take only the forward value in Eq. (C1).

The K^+N phase shifts are taken from [25]. The kaon self-energy in the impulse approximation is shown in Figs. 4 and 10(b) for nuclear matter density.

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