Density-dependent effective interactions

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(Received 4 August 1993)

An effective two nucleon interaction is defined by an optimal fit to select on- and half-off-of-theenergy shell t and g matrices determined by solutions of the Lippmann-Schwinger and Brueckner-Bethe-Goldstone equations with the Paris two nucleon interaction as input. As such, it reproduces the interaction on which it is based better than other commonly used, density-dependent effective interactions. This new (medium modified) effective interaction, when folded with appropriate density matrices, has been used to define $\operatorname{proton}^{-12}C$ and $\operatorname{proton}^{-16}O$ optical potentials. With them elasticscattering data are well fit and the medium effects identifiable.

PACS number(s): 24.10.Cn, 24.10.Ht, 21.30.+y, 25.40.Cm

I. INTRODUCTION

Over the years many groups have studied the elastic scattering of nucleons from nuclei using model two nucleon (NN) g matrices to describe the interactions between the projectile and constituent nucleons of the target [1-10]. Broadly, those studies may be classified into two groups, namely the set that used momentum space [2-4], and the set that used coordinate space [5-10] representations. These two approaches have their own differing advantages. By using momentum space, nonlocalities due to proper consideration of antisymmetrization within the NN q matrices can be considered as their inclusion is straightforward. But as yet, no calculation of that type has considered, properly, all of the known nuclear medium modifications that define the $NN \ g$ matrices to be distinctively different to the free particle NN t matrices. On the other hand, coordinate space studies have allowed for such medium modifications in NN q matrices, and we consider aspects of this second approach herein.

All coordinate representation studies of the nucleonnucleus (N-A) optical potentials begin by defining effective interactions to the actual $NN \ g$ matrices. Those effective interactions can have diverse operator character (central, tensor, two-body spin-orbit, etc.), but always have relatively simple local functional forms (i.e., sums of Yukawas or Gaussians). Furthermore, the exchange amplitudes arising from antisymmetrization in a coordinate space approach are approximated usually to give in finality, a local equivalent N-A optical model potential. Nevertheless, with such an approach, elastic-scattering data can be described quite well [7,11,12], and there are noticeable effects caused by the medium modifications set into the effective NN interactions.

In the last decade, three effective interactions have received some attention. They are those which are commonly known as the LF (Love-Franey) [6], the Hamburg [7], and the M3Y [13] with the last, when modified to add density effects, specified as the DDM3Y [14,15]. The LF effective interaction was based upon the on-shell free NN t matrices (the NN scattering amplitudes) as defined by the Arndt phase shifts. No constraints were applied to the off-energy-shell properties of the t matrices or to allow for medium modifications of those t matrices specifically. But that effective interaction was designed with impulse approximation conditions in mind. In contrast, the Hamburg interaction was based upon *q*-matrix elements associated with the Paris interaction and evaluated allowing for Pauli blocking and, very approximately, the average background mean field in which the nucleons move. Those q matrices were cast as functions of relative coordinates (for each NN channel) whose Fourier transformations were then mapped against those of an (coordinate space) effective interaction. This interaction was structured as central, tensor, and spin-orbit in character, each with (fixed range) Yukawa form factors. The ranges were chosen a priori, and the strengths optimized to minimize the integrated squared difference between q matrices and those effective interaction values in the range of momentum transfer to 5 fm^{-1} . The protonnucleus optical model potentials were then deduced by folding and reasonable results were obtained for scattering from ¹²C. The M3Y effective interaction [13] and its density-dependent upgrades [14,15] are of more recent use in analyses of heavy ion interactions. That interaction and its modifications also were taken as the linear combination of Yukawa factors with long and short ranges chosen to give the one pion exchange potential (OPEP) tail and to simulate heavy meson exchanges. The purely real strengths were selected to give g-matrix elements similar to those of the Reid soft core potential which are appropriate to describe the ¹⁶O ground state. The density dependence of the M3Y is then specified as a scale function, $f(\rho)$, upon the original effective interaction. Details of that function $f(\rho)$ were chosen to reproduce the density dependences of a previously calculated microscopic (N-A) optical model [16]. But this effective interaction is purely real, and optical potentials derived with it then must be supplemented by a phenomenological imaginary part.

Thus by their construction and/or by the choice of constraints to fit parameter values, all these effective interactions do not truly provide a detailed representation of

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the NN dynamics underlying a "parameter free" theoretical model of nucleon-nucleus scattering. Recently [17], a new scheme was proposed to define effective interactions of appropriate form. This scheme allows one to optimize fits to a range of on- and half-off-shell g matrices. We have used it to determine effective interactions to both tand g matrices obtained from the Paris interaction [18] for a number of two nucleon angular momentum channels and for a range of densities up to nuclear saturation $(k_f = 1.4 \text{ fm}^{-1})$. The results have been used to define via a local density approximation, the p^{-12} C and p^{-16} O optical potentials at 200 MeV. The program DWBA91 of Raynal [19] has been used with those optical potentials to evaluate the differential cross sections and analyzing powers.

Following a brief summary detailing the method by which the g matrices were evaluated, and how the effective interaction parameter values were obtained, the zero density (free particle) t matrices given by exact solution of the Lippmann-Schwinger equation starting with the Paris interaction are compared with those of our effective interaction and also with those of the Hamburg one, since that is the most appropriate of the three others discussed for 200 MeV protons. Finally, we compare the optical potentials, cross sections, and analyzing powers we obtain by folding of our effective interaction with data and those results found when all medium modifications are ignored.

II. FROM t AND g MATRICES TO EFFECTIVE INTERACTIONS

Nonrelativistic many-body theories of the nucleonnucleus optical potentials are framed around the NN~tmatrices, which in momentum space and for channels $\alpha \ \{= JST\}$, are solutions of the Lippmann-Schwinger equation

$$t_{LL'}^{(\alpha)}(p',p;k) = V_{LL'}^{(\alpha)}(p',p) + \frac{2}{\pi} \sum_{l} \int_{0}^{\infty} V_{Ll}^{(\alpha)}(p',q) \frac{q^2 dq}{q^2 - k^2 - i\epsilon} t_{lL'}^{(\alpha)}(q,p;k), \tag{1}$$

with k being the on-shell momentum.

As in the Hamburg [7] studies, we use the Paris interaction for the $V_{LL'}^{(\alpha)}(p',p)$ and solve Eq. (1) by matrix inversion [20]. Half-off of the energy shell for 200 MeV incident energy and a select set of NN channels, the t matrices so found are given in Figs. 1-4 by the solid curves.

If the struck nucleon is embedded in a nuclear medium, then it is more appropriate to use medium modified NN g matrices in optical model calculations and the g matrices used here are solutions of the Brueckner-Bethe-Goldstone (BBG) equation,

$$G_{LL'}^{(\alpha)}(p',p;k,k_F) = V_{LL'}^{(\alpha)}(p',p) + \frac{2}{\pi} \sum_{l} \int_0^\infty V_{Ll}^{(\alpha)}(p',q) \frac{\bar{Q}(q,K;k_F)q^2 dq}{\bar{E}(q,K;k_F) - \bar{E}(k,K;k_F) - i\epsilon} G_{lL'}^{(\alpha)}(q,p;k,k_F),$$
(2)

wherein $\bar{Q}(q, K; k_F)$ is the (angle averaged) Pauli operator and K is the average center of mass momentum as defined previously [20,21], with the latter specified at a laboratory incident momentum p_0 and for a Fermi momentum k_F , so that

$$K(k;k_F,p_0) = \begin{cases} (k^2 + p_0^2)^{\frac{1}{2}} & \text{if } 0 \le 2k \le k_F - p_0 \\ \{(k^2 + p_0^2) - \frac{1}{4}[(2k + p_0)^2 - k_F^2]\}^{\frac{1}{2}} & \text{if } k_F - p_0 \le 2k \le k_F + p_0 \end{cases}$$
(3)

The energies in the propagators of the BBG equations include auxiliary potentials, U, and are defined by

$$\bar{E}(q, K; k_F) = (\hbar^2/m)(q^2 + K^2)
+ U(|\mathbf{q} + \mathbf{K}|) + U(|\mathbf{q} - \mathbf{K}|).$$
(4)

Details of the calculations have been given previously [21], and the result is tables of complex numbers for each incident energy, Fermi momentum value, and set of relative momenta for each NN channel. In a free NN collision the struck nucleon initially has zero momentum. Now, as it is embedded in (local) nuclear matter, that struck nucleon can have a range of momentum values. Due to Pauli blocking and the angle averages used in defining g matrices [20,21], that range extends from $\frac{1}{\sqrt{2}}k_F$ to the (local) Fermi value (k_F) itself. That complicates calculations severely, and so a simplification has been used in generation of the required tables, namely that

the struck nucleon momentum has been fixed at an averaged value in that range, $0.8536k_F$, for each density.

Those tables are the input database for the effective interaction parametrization scheme [17] we use herein. Specifically we have selected the half-off-shell t and gmatrix elements in the procedure to find an optimum effective interaction, which, in coordinate space, has the form

$$g_{\text{eff}}^{(i)ST}(r, E; k_F) = \sum_{j=1}^{n_i} S_j^{(i)}(E) \frac{e^{-(r/\lambda_j^{(i)})}}{r}$$
$$= \sum_{j=1}^{n_i} S_j^{(i)}(E; k_F) \frac{e^{-\mu_j^{(i)}r}}{r}, \qquad (5)$$

for each operator of the set

 $(i) = \{$ central, tensor, and two-body spin-orbit $\}$



FIG. 1. The real (left column) and imaginary (right column) components of the free half-off-shell t matrices for the spin singlet ${}^{1}P_{1}$ (upper) and ${}^{1}S_{0}$ (lower) channels. The solid line represents the "exact" Paris result, the dashed line, the parametrization considered here, and the dotted line, the zero density Hamburg t-matrix results.

where $S_j^{(i)}(E)$ are the complex, energy dependent strengths, and $\lambda_j^{(i)} = 1/\mu_j^{(i)}$ are the ranges of the (n_i) Yukawa functions. This effective interaction then is expressed in the form of projection operators onto each NNchannel and, when double Bessel transformed, are defined as $g_{\text{eff}}^{(\alpha)}(p',p;E,k_F)$. The selected sets $(n_i \leq 4 \text{ suffice})$ of ranges and strengths are then defined by finding an optimal fit to the actual (half-off-shell) g matrices in the set of NN channels considered important. To facilitate this task we assume that the ranges of the Yukawa form factors are independent of both energy and density (Fermi momentum) and so the first step is to optimize those ranges prior to defining the best set of complex strengths for a given energy, density, and for each spinisospin channel. Across an energy spectrum of up to 450 MeV, the (inverse) ranges (μ_i) we have selected are 0.71, 1.758, 2.949, and 4.0 fm^{-1} for the central components and 1.25, 2.184, 3.141, and 4.0 fm^{-1} for both the tensor and two-body spin-orbit attributes. However, the system of equations in this mapping scheme is grossly overdetermined, and there are other "optimal" sets of parameters



FIG. 2. As for Fig. 1, but for the (S = 1, T = 1) ³ P_0 (upper) and ³ P_1 (lower) channels.



FIG. 3. As for Fig. 1, but for the (S = 1, T = 0) ³ S_1 (upper), ³ D_1 (middle), and ³ S_1 -³ D_1 (lower) channels.

that give equally good fits [22]. But the key factor which we stress herein is that the resultant effective interaction must remain a good representation of the NN t and gmatrices central in a microscopic theory of the optical potential. Neither the LF or M3Y (modified) are of that form. They are predicated, in the end, upon fitting many nucleon data. The original Hamburg interaction [7] did seek to remain "faithful" although in a somewhat limited fashion being determined with respect to on-shell properties only.

III. COMPARISON OF EFFECTIVE INTERACTIONS

Herein we compare the zero Fermi momentum (free) Paris NN effective interactions (Hamburg and ours) with



FIG. 4. As for Fig. 1, but for the real (upper) and imaginary (lower) components of the ${}^{3}D_{2}$ channel.

the solutions, half-off of the energy shell and at 200 MeV, of the Lippmann-Schwinger equations in select NN channels. We compare only zero Fermi momenta results as the two effective interactions should have that case most in common.

The half-off-of-the-energy-shell t matrices determined by solutions of the LS equations for diverse two nucleon channels (JST) and with the Paris interaction [18] are shown in Figs. 1–4, and in all cases, by a continuous line. The on-shell momenta of 1.55 fm^{-1} (200 MeV in the laboratory frame) is displayed therein by the large dot. The dashed and dotted curves in those figures display the half-off-shell representations of those t matrices given by our effective interactions and those of the Hamburg group [7], respectively. The real and imaginary components are displayed separately in each diagram.

The dominant spin singlet channels at 200 MeV are the ${}^{1}P_{1}$ (S=0,T=0) and ${}^{1}S_{0}$ (S=0,T=1) and the Paris t matrices and the effective interaction representations of them are displayed in Fig. 1. Clearly our effective interactions reproduce the actual ones very well. The Hamburg results are quite reasonable as well over the range of off-shell momenta shown.

The important S=1, T=1 channels are the ${}^{3}P_{0,1}$ ones and the t matrices for them are compared in Fig. 2. Our effective interaction reproduces the Paris t matrices very well while the Hamburg ones are rather different. That is also the case for the deuteron channels (the S=0, T=0 t matrices) as shown in Fig. 3. The Hamburg interaction does not give the appropriate half-off-shell ${}^{3}S_{1}$ t-matrix elements and neither effective interaction well reproduce those of the ${}^{3}D_{1}$ channel. Overall, however, we contend that our interaction is the better candidate in these coupled channels. But that is not necessarily so in all channels, and the ${}^{3}D_{2}$ is a case in question. The ${}^{3}D_{2}$ half-off-shell t matrices are compared in Fig. 4. Therein it is evident that while both effective interactions are poor descriptions of the actual Paris t matrix, ours is the worse.

IV. DENSITY EFFECTS ON ELASTIC SCATTERING

We have used our effective interaction to calculate the local, optical model potentials for the scattering of 200 MeV protons from 12 C and 16 O. The code DWBA91 [19] has been used for that purpose with a Fermi distribution

$$\rho_F(r) = \frac{\rho_0}{1 + e^{(r-c)/a}},$$
(6)

defining the local Fermi momenta that specifies the NNg matrices in a local density approximation. Parameter values of $k_F = 1.29 \text{ fm}^{-1}$ (to define ρ_0), $c = 1.025A^{\frac{1}{3}}$ fm and a = 0.55 fm as defined previously [23] were used and the 12 C ground state was set by a complete 0s-shell and 8 (4 proton, 4 neutron) 0p-shell nucleons each described by harmonic oscillator functions for an oscillator length of 1.64 fm. In the case of 16 O, the Fermi distribution was fit with $k_F = 1.31 \text{ fm}^{-1}$, $c = 1.030A^{\frac{1}{3}}$ fm, and a = 0.55 fm, while a harmonic oscillator length of 1.76 fm was used.

The DWBA91 code uses a local approximation for the exchange amplitudes and the resultant local potentials are displayed in Fig. 5 for ¹²C and in Fig. 6 for ¹⁶O. The central and spin-orbit potentials we obtained are shown in the top and bottom segments, respectively, with the real and imaginary parts indicated. The solid curves are the results found when our density-dependent effective interaction is used. The dashed curves are the results given when the effective interaction to the free NN(Paris) t matrices is used, i.e., all medium modification effects are turned off. The medium modifications to the central potentials show a significant reduction in the absorption while there is an increase in the real (refractive) part. The real and imaginary parts of the spin-orbit potentials also are affected quite markedly by medium effects. Notably, the more realistic interaction is less absorptive and located more within the nuclear matter distribution when compared with the potential deduced by using the effective interaction to the free NN t matrices.

The results of our calculations of the elastic scattering of 200 MeV protons from ¹²C and ¹⁶O are shown in Figs. 7 and 8, respectively. Therein, the differential cross section and analyzing power data for ¹²C [11] and ¹⁶O [12] are compared with the results found using the effective interaction to the NN g matrices and which are given by the continuous curve. The results found by using our effective interaction to the free (Paris) NN t matrices are displayed by the dashed curves. Use of the densitydependent effective interaction gives a demonstrably bet-



FIG. 5. Central (upper) and spin-orbit (lower) optical model potentials for $^{12}C(p,p)$ at 200 MeV. The solid (dashed) line represents the medium modified (free) result.



FIG. 6. As for Fig. 5, but for 16 O at 200 MeV.



FIG. 7. Differential cross section (upper) and analyzing power (lower) for ${}^{12}C(p,p)$ at 200 MeV. The solid (dashed) line represents the medium modified (free) result. The data are from Ref. [11].



FIG. 8. As for Fig. 5, but for 16 O at 200 MeV. The data are from Ref. [12].

ter fit to the data than does use of the free NN t-matrix model. In the cross section fit, the χ^2 per degree of freedom is a factor of 2 to 3 improved while that of the analyzing power is reduced almost by an order of magnitude.

V. CONCLUSION

Many studies have stressed the need to have effective NN interactions for use in microscopic model evaluations of (local) nuclear optical potentials that are based upon realistic free two-nucleon scattering interactions, that properly reflect the off-shell character of the t matrices given by those realistic NN interactions and that account for medium modifications to those t matrices. But few, however, have taken all of the defined constraints into account. Of those that do, most if not all have not considered both Pauli blocking and average field facets of the medium corrections, but, more problematically, have used effective interactions that do not sufficiently well reproduce the off-shell g matrices to which they were fit. The density-dependent Hamburg interaction, while being very useful in past analyses of N-A scattering data, is such an example. It does not give a satisfactory representation of the zero density (free NN), Paris t matrices upon which it was based. But an effective interaction scheme developed recently can be used to give an equally utilitarian form. In the zero density limit (free NN scattering), our effective interaction gives a good representation of the half-off-shell Paris t matrices (at 200 MeV) for most low J NN channels. The mapping is so overdetermined however that not all channel results are as good or even better representations—than the Hamburg ones.

Neglecting medium corrections gave optical model potentials that led to a reasonable description of the differential scattering cross sections in two test cases; 200 MeV protons from ¹²C and ¹⁶O. But the analyzing power prediction is quite poor. On the other hand, by using an effective interaction that gave comparable fits to the halfoff-shell g-matrix elements computed with both Pauli blocking and average field effects in the relevant BBG equations, the attendant optical potentials for 200 MeV protons on both nuclei led to better fits to the differential cross section data and very much better ones to the

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measured analyzing power.

Thus we reconfirm the conclusions of others that there is a marked effect of density dependence in effective interactions upon the specifications of nucleon optical potentials, but we establish that with a more realistic representation of the relevant NN g matrices.

ACKNOWLEDGMENTS

We are grateful to Dr. J. Raynal and Prof. H. V. von Geramb for allowing us the use of their codes, and to Drs. P. Schwandt and J. J. Kelly for supplying us with numerical tables of the data presented here.

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