## Variational calculations of few-body nuclei

R. B. Wiringa

Physics Division, Argonne National Laboratory, Argonne, Illinois 60439 (Received 12 December 1990)

Improved variational wave functions for use in microscopic studies of few-body nuclei are presented. The trial functions are constructed from pair-correlation operators, which include central, spin, isospin, tensor, and spin-orbit components, and triplet-correlation operators, which include components induced by three-nucleon potentials. Energy expectation values are calculated using Metropolis Monte Carlo integration. Variational parameter searches are made using energy differences to reduce the effect of statistical fluctuations on the choice of optimal trial functions. Results are reported for ground-state binding energies of <sup>3</sup>H and <sup>4</sup>He using the Reid  $v_8$  and Argonne  $v_{14}$  two-nucleon potentials. The variational binding energies are typically 3–4% above available Faddeev and Green's-function Monte Carlo results. Nucleon density distributions and elastic electromagnetic form factors are also presented. Extension of these wave functions to larger nuclei such as <sup>5</sup>He, <sup>6</sup>He, and <sup>6</sup>Li is discussed.

## I. INTRODUCTION

A major problem in nuclear physics is understanding how nuclear structure comes about from the underlying interactions between nucleons. This requires modeling nuclei as collections of strongly interacting nucleons. There are many fundamental issues that have not been addressed satisfactorily to date, including the stability of light nuclei against breakup and the origin of the spinorbit splitting. A starting point for resolving these issues is the solution of the many-body Schrödinger equation  $H\Psi = E\Psi$  for realistic nuclear Hamiltonians such as

$$H = \sum_{i} \frac{-\hbar^2}{2m} \nabla_i^2 + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk} , \qquad (1.1)$$

where  $v_{ij}$  is a nucleon-nucleon potential that fits scattering data and deuteron properties and  $V_{ijk}$  is a supplemental three-nucleon potential.

Many realistic nucleon-nucleon potentials can be written in an operator form:

$$v_{ij} = \sum_{p=1}^{n} v_p(r_{ij}) O_{ij}^p , \qquad (1.2)$$

where

$$O_{ij}^{p=1,14} = 1, \tau_i \cdot \tau_j, \sigma_i \cdot \sigma_j, (\sigma_i \cdot \sigma_j)(\tau_i \cdot \tau_j), S_{ij} ,$$

$$S_{ij}(\tau_i \cdot \tau_j), \mathbf{L} \cdot \mathbf{S}, \mathbf{L} \cdot \mathbf{S}(\tau_i \cdot \tau_j), L^2 ,$$

$$L^2(\tau_i \cdot \tau_j), L^2(\sigma_i \cdot \sigma_j), L^2(\sigma_i \cdot \sigma_j)(\tau_i \cdot \tau_j) ,$$

$$(\mathbf{L} \cdot \mathbf{S})^2, (\mathbf{L} \cdot \mathbf{S})^2(\tau_i \cdot \tau_i) . \qquad (1.3)$$

(For convenience, we sometimes refer to these operators by the abbreviations  $c, \tau, \sigma, \sigma\tau, t, t\tau, b, b\tau, q, q\tau, q\sigma, q\sigma\tau, bb$ , and  $bb\tau$ ). The first eight operators appear in the Reid  $v_8$  potential,<sup>1</sup> while all 14 terms appear in the Argonne  $v_{14}$  potential.<sup>2</sup> In several other potential models, such as Paris,<sup>3</sup> the four terms with  $L^2$  operators are replaced by similar terms with  $p^2$  operators. Models for the three-nucleon potential  $V_{ijk}$  commonly include a long-range two-pion-exchange part of the Fujita-Miyazawa form,<sup>4</sup> and may also include short-range parts as in the Tucson-Melbourne model<sup>5</sup> and Urbana models.<sup>6,7</sup>

The variational method can be used to obtain approximate solutions to the many-body Schrödinger equation for a wide range of nuclear systems, from few-body nuclei<sup>6-8</sup> such as <sup>3</sup>H and <sup>4</sup>He, to light nuclei<sup>9</sup> such as <sup>16</sup>O, to nuclear matter and neutron stars.<sup>10-12</sup> A suitably parametrized trial function  $\Psi_v$  is used to calculate an upper bound to the energy:

$$E_{v} = \frac{\langle \Psi_{v} | H | \Psi_{v} \rangle}{\langle \Psi_{v} | \Psi_{v} \rangle} \ge E_{0} .$$
(1.4)

The parameters in  $\Psi_v$  are varied to minimize  $E_v$ , and the lowest value is taken as the approximate ground-state energy. The corresponding  $\Psi_v$  can then be used to calculate other properties, such as the particle density, momentum distributions,<sup>7</sup> and electromagnetic form factors.<sup>13,14</sup> The quality of such calculations depends on the chosen form of the variational function  $\Psi_v$  and the accuracy with which the expectation value is evaluated.

A general form for the trial function that has been used in few-body nuclei and nucleon matter is a symmetrized product of two-body correlation operators  $(1 + U_{ij})$  acting on a Jastrow trial function:

$$|\Psi_{v}\rangle = \left[S\prod_{i < j} (1 + U_{ij})\right]|\Psi_{J}\rangle, \qquad (1.5)$$

where

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$$|\Psi_J\rangle = \prod_{i < j} f_c(r_{ij}) |\Phi\rangle .$$
(1.6)

Here  $f_c(r)$  is a central pair-correlation function and  $\Phi$  is

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$$U_{ij} = \sum_{p=2}^{m} u_p(r_{ij}) O_{ij}^p$$
(1.7)

where the number of operators m is as large a subset of the potential operators of Eq. (1.3) as can be conveniently used in a calculation. The symmetrization is required because the operators do not commute. In nuclear matter and <sup>16</sup>O, for example, the first eight operators have been used, although expectation values involving spin-orbit correlations are evaluated at a lower level than spin, isospin, and tensor correlations.

Most previous variational calculations of few-body nuclei using operator-product trial functions have used a simpler pair-correlation operator  $\overline{U}_{ij}$  containing only two noncentral terms:

$$\overline{U}_{ij} = u_{\sigma}(r_{ij})\sigma_i \cdot \sigma_j + u_{t\tau}(r_{ij})S_{ij}(\tau_i \cdot \tau_j) . \qquad (1.8)$$

This simpler form gives a reasonably good trial function for the s-shell nuclei because<sup>15</sup> up to terms linear in  $u_p$  it is equivalent to the  $U_{ij}$  of Eq. (1.7) with m = 8. The  $\overline{U}_{ij}$  is constructed from knowledge of the S-wave nucleonnucleon interactions, and thus is a sort of variational equivalent of the standard five-channel Faddeev wave function. In previous calculations and the current work, a central three-body correlation is generally folded into the  $u_p$  ( $r_{ij}$ ):

$$u_p(\mathbf{r}_{ij}) \rightarrow \left(\prod_{k \neq i,j} f_{ijk}\right) u_p(\mathbf{r}_{ij}) .$$
 (1.9)

In this article we construct variational trial functions for few-body nuclei of the form

$$|\Psi_{v}\rangle = \left[1 + \sum_{i < j} U_{ij}^{LS} + \sum_{i < j < k} U_{ijk}^{TNI}\right] \\ \times \left[S \prod_{i < j} (1 + U_{ij})\right] |\Psi_{J}\rangle , \qquad (1.10)$$

where  $U_{ij}$  contains the first five noncentral operators of Eq. (1.3) and  $U_{ij}^{LS}$  is the spin-orbit correlation operator,

$$U_{ij}^{LS} = \beta \sum_{p=7}^{8} u_p(r_{ij}) O_{ij}^p , \qquad (1.11)$$

with  $\beta$  a simple multiplicative constant. It would be preferable to include spin-orbit correlations in the product form, i.e., as part of Eq. (1.7), but then expectation values would be much more expensive to compute. The  $U_{ijk}^{\text{TNI}}$  is a three-body correlation induced by the threenucleon interaction  $V_{ijk}$  and has a correspondingly complex operator dependence.

The effect of these three improvements to the trial functions used in Refs. 6–8 for few-body nuclei, i.e., the increase to five noncentral operators in  $U_{ij}$ , and the addition of  $U_{ij}^{LS}$  and  $U_{ijk}^{TNI}$ , is systematically studied here by calculating the ground-state binding energies of <sup>3</sup>H and

<sup>4</sup>He with three Hamiltonians: the Reid  $v_8$  and Argonne  $v_{14}$  two-nucleon potentials, and Argonne  $v_{14}$  with the Urbana VII three-nucleon potential. Additional calculations for the Urbana VIII and Tucson-Melbourne three-nucleon potentials are also reported. Expectation values are obtained using the Metropolis Monte Carlo algorithm.<sup>16</sup> Essentially exact Faddeev calculations<sup>17</sup> have been made for <sup>3</sup>H with these Hamiltonians, and Green's-function Monte Carlo results<sup>18</sup> are available for <sup>4</sup>He with Reid  $v_8$  and for Argonne  $v_{14}$  + Urbana VIII. The best trial functions of the old form, using  $\overline{U}_{ij}$  without  $U_{ij}^{LS}$  or  $U_{ijk}^{TNI}$  terms, give upper-bound energies that are typically 7–8% above the exact results. The new trial functions reported here give upper bounds that are typically 3–4% above the exact results.

The method for generating the pair correlations  $f_c(r)$ and  $u_p(r)$  and their parametrization is presented in Sec. II along with the triplet correlations. The method of evaluating expectation values and searching parameter space for the best trial function is explained in Sec. III. Section IV contains numerical results for the various Hamiltonians. Section V discusses a reasonable extension of this wave function for five- and six-body nuclei. A discussion and conclusions are given in Sec. VI.

#### **II. PAIR AND TRIPLET CORRELATIONS**

The pair correlation should reflect the influence of the two-body potential at short distances, while satisfying asymptotic boundary conditions of single-particle separability. Reasonable correlations can be generated<sup>11</sup> by minimizing the two-body cluster energy of an interaction  $(\overline{v} - \lambda)$ . The quenched interaction  $\overline{v}$  is related to the bare interaction by

$$\overline{v}_{ij} = \sum_{p=1}^{n} \alpha_p v_p(r_{ij}) O_{ij}^p , \qquad (2.1)$$

where the variational parameters  $\alpha_p$  are meant to simulate the average quenching of spin-isospin interactions between particles *i* and *j* due to interactions of these particles with others in the system. The Lagrange multipliers  $\lambda_p(r)$  simulate screening effects at short distances, and are fixed at large distances by the asymptotic behavior of the correlation functions.

Eight coupled differential equations are needed to generate the eight pair-correlation functions  $f_c(r)$  and  $u_p(r)$ , where p=2-8. By projecting into channels of fixed spin, S, and isospin, T, the equations can be decoupled to two single-channel equations for T=0,1 and S=0 states, and two triple-channel equations for T=0,1 and S=1 states. These channel equations are written in terms of four central functions  $f_{S,T}(r)$ , two tensor functions  $f_{t,T}(r)$ , and two spin-orbit functions  $f_{b,T}(r)$ . The differential equations have been previously derived for  $v_8$  and  $v_{14}$  potentials in nuclear matter at fixed density.<sup>10,11</sup> In the present work, we use the same equations, but in the limit  $k_F \rightarrow 0$ . The single-channel equation for S=0 states (with L=0for T=1, the  ${}^{1}S_{0}$  state, and L=1 for T=0, the  ${}^{1}P_{1}$ state) is

$$-\frac{\hbar^{2}}{m} \left[ (f_{0,T}r^{L+1})'' - \frac{L(L+1)}{r^{2}} (f_{0,T}r^{L+1}) \right]$$
where the double prime denotes a second derivative. The three coupled channels for  $S = 1$  states (with  $L = 0$  for  $T = 0$ , the  ${}^{3}S_{1} - {}^{3}D_{1}$  states, and  $L = 1$  for  $T = 1$ , the  ${}^{3}P_{2} - {}^{3}F_{2}$  states) are
$$(2.2)$$

$$-\frac{\hbar^{2}}{m} \left[ (f_{1,T}r^{L+1})'' - \frac{L(L+1)}{r^{2}} (f_{1,T}r^{L+1}) \right] + [\overline{v}_{1,T} + \lambda_{1,T} + L(L+1)(\overline{v}_{q1,T} + \frac{2}{3}\overline{v}_{bb,T})](f_{1,T}r^{L+1})$$

$$+8[\overline{v}_{t,T}+\lambda_{t,T}-\frac{1}{12}L(L+1)\overline{v}_{bb,T}](f_{t,T}r^{L+1})+\frac{2}{3}L(L+1)(\overline{v}_{b,T}+\lambda_{b,T}-\frac{1}{2}\overline{v}_{bb,T})(f_{b,T}r^{L+1})=0,$$
(2.3)

$$-\frac{\hbar^{2}}{m}\left[(f_{t,T}r^{L+1})'' - \frac{6+L(L+1)}{r^{2}}(f_{t,T}r^{L+1})\right] + [\overline{v}_{t,T} + \lambda_{t,T} - \frac{1}{12}L(L+1)\overline{v}_{bb,T}](f_{1,T}r^{L+1}) \\ + [\overline{v}_{1,T} + \lambda_{1,T} - 2(\overline{v}_{t,T} + \lambda_{t,T}) - 3(\overline{v}_{b,T} + \lambda_{b,T}) + 6\overline{v}_{q1,T} + 9\overline{v}_{bb,T} + L(L+1)(\overline{v}_{q1,T} + \frac{5}{6}\overline{v}_{bb,T})] \\ \times (f_{t,T}r^{L+1}) - \frac{1}{12}L(L+1)(\overline{v}_{b,T} + \lambda_{b,T} - 2\overline{v}_{bb,T})(f_{b,T}r^{L+1}) = 0, \quad (2.4)$$

and

$$-\frac{\hbar^{2}}{m}\left[(f_{b,T}r^{3-L})'' - \frac{6-4L}{r^{2}}(f_{b,T}r^{3-L})\right] + (\overline{v}_{b,T} + \lambda_{b,T} - \frac{1}{2}\overline{v}_{bb,T})(f_{1,T}r^{3-L}) - (\overline{v}_{b,T} + \lambda_{b,T} - 2\overline{v}_{bb,T})(f_{t,T}r^{3-L}) + [\overline{v}_{1,T} + \lambda_{1,T} - (\overline{v}_{t,T} + \lambda_{t,T}) - \frac{1}{2}(\overline{v}_{b,T} + \lambda_{b,T}) + (6-4L)(\overline{v}_{q1,T} + \overline{v}_{bb,T})](f_{b,T}r^{3-L}) = 0.$$
(2.5)

For finite nuclei, the boundary conditions imposed on  $f_{S,T}$ ,  $f_{t,T}$ , and  $f_{b,T}$  are

$$f_{S,T}(r \to 0) = \text{constant} ,$$

$$f_{S,T}(r \to \infty) = h_{S,T} \left[ \frac{\exp(-k_{S,T}r)}{r} \right]^{1/(A-1)} ,$$

$$f_{t,T}(r \to 0) = 0 ,$$

$$f_{t,T}(r \to \infty) = \eta_T T(r) f_{S,T}(r) ,$$

$$f_{b,T}(r \to 0) = \text{constant} ,$$

$$f_{b,T}(r \to \infty) = \zeta_T B(r) f_{S,T}(r) , \qquad (2.6)$$

where

$$T(r) = \left[1 + \frac{3}{k_{S,T}r} + \frac{3}{(k_{S,T}r)^2}\right] \times \{1 - \exp[-(r/d_{t,T})^2\},$$

$$R(r) = \left[\frac{1}{t} + \frac{k_{S,T}}{t}\right] \{1 - \exp[-(r/d_{t,T})^2]\}$$
(2.7)

$$B(r) = \left[\frac{1}{r^{2}} + \frac{3}{r}\right] \left\{ 1 - \exp[-(r/d_{b,T})^{2}] \right\},$$
  
$$k_{S,T} = \left[\frac{A-1}{A} \frac{2m}{\hbar^{2}} E_{S,T}\right]^{1/2}.$$

The asymptotic boundary conditions imposed on the  $f_x$  are suggested by previous work<sup>6-8</sup> which examined the wave function when one nucleon is separated far from the other A-1 nucleons. The separation energies  $E_{S,T}$ , nor-

malizations  $h_{S,T}$ , tensor/central ratios  $\eta_T$ , and spinorbit/central ratios  $\zeta_T$  are variational parameters. The Lagrange multipliers  $\lambda_x$  in Eqs. (2.2)–(2.5) are radial functions consisting of two parts: The long-range part  $\Lambda_x(r)$  is fixed by the asymptotic behavior of  $f_x$  and is cut off at short distances by an exponential function, while the short-range part is a Woods–Saxon function multiplied by a constant  $\Gamma_x$ :



FIG. 1. The  $f_{S,T}(r)$ ,  $f_{t,T}(r)$ , and  $f_{b,1}(r)$  correlations for <sup>4</sup>He Argonne  $v_{14}$  + Urbana VIII Hamiltonian.

$$\lambda_{x} = \Gamma_{x} \left[ 1 + \exp\left[\frac{r - R_{x}}{a_{x}}\right]^{-1} + \Lambda_{x}(r) \{1 - \exp[-(r/c_{x})^{2}] \} \right]$$
(2.8)

The constants  $\Gamma_x$  are determined by solving the differential equations subject to the boundary conditions. The Woods-Saxon and exponential cutoff constants  $R_x$ ,  $a_x$ , and  $c_x$  in Eq. (2.8) and the exponential cutoff constants  $d_x$  in the tensor and spin-orbit functions T(r) and B(r) of Eq. (2.7) are additional variational parameters.

The functions  $f_c(r)$  and  $u_p(r)$  in the operators  $U_{ij}$  and  $U_{ij}^{LS}$  are related to the channel functions via

$$\sum_{S,T} f_{S,T}(r_{ij}) P_S P_T = \sum_{p=1}^{4} f_p(r_{ij}) O_{ij}^p$$

$$= f_c(r_{ij}) \left[ 1 + \sum_{p=2}^{4} u_p(r_{ij}) O_{ij}^p \right],$$

$$\sum_T f_{t,T}(r_{ij}) S_{ij} P_T = \sum_{p=5}^{6} f_p(r_{ij}) O_{ij}^p$$

$$= f_c(r_{ij}) \sum_{p=5}^{6} u_p(r_{ij}) O_{ij}^p,$$

$$\sum_T f_{b,T}(r_{ij}) (\mathbf{L} \cdot \mathbf{S}) P_T = \sum_{p=7}^{8} f_p(r_{ij}) O_{ij}^p$$

$$= f_c(r_{ij}) \sum_{p=7}^{8} u_p(r_{ij}) O_{ij}^p,$$
(2.9)

where  $P_s$  and  $P_T$  are the usual spin and isospin projection operators, i.e.,  $P_{S=1}=(3+\sigma_i\cdot\sigma_j)/4$ ,  $P_{S=0}=(1-\sigma_i\cdot\sigma_j)/4$ , etc. The optimized  $f_{S,T}(r)$ ,  $f_{t,T}(r_{ij})$ , and  $f_{b,T}(r_{ij})$  for <sup>4</sup>He with the Argonne  $v_{14}$  plus Urbana VIII Hamiltonian are shown in Fig. 1. The corresponding operator functions  $f_c(r)$  and  $u_p(r)$  are shown in Fig. 2. A comparison of the  $f_c(r)$  and  $u_{t\tau}(r)$  for <sup>2</sup>H, <sup>3</sup>H, and <sup>4</sup>H is given in Fig. 3.

The total number of possible parameters in these equa-



FIG. 2. The projected  $f_c(r)$  and  $u_p(r)$  correlations for <sup>4</sup>He with the Argonne  $v_{14}$  + Urbana VIII Hamiltonian.



FIG. 3. The  $f_c(r)$ ,  $u_{\sigma\tau}(r)$ , and  $u_{t\tau}(r)$  correlations for <sup>2</sup>H, <sup>3</sup>H, and <sup>4</sup>He with the Argonne  $v_{14}$  + Urbana VIII Hamiltonian.

tions is 54, which includes 14  $\alpha_p$  to define  $\overline{v}$ , 8 values each for the parameters  $R_x$ ,  $a_x$ , and  $c_x$  in  $\lambda$ , 4 values for the asymptotic cutoffs  $d_x$ , 8 values for the asymptotic strengths  $E_{S,T}$ ,  $\eta_T$ , and  $\zeta_T$ , and 4 values for the mixing parameters  $h_{S,T}$ . In practice far fewer are used. The  $\alpha_p$ are taken to be 1 for p = 1,9,13,14, and a single value  $\alpha$ for all other p. Four values of  $R_x$  are used:  $R_0 = R_{S=0,T}$ ,  $R_1 = R_{S=1,T}$ ,  $R_t = R_{t,T}$ , and  $R_b = R_{b,T}$ . Only two values of  $a_x$  are used:  $a = a_{S,T} = a_{t,T}$  and  $a_b = a_{b,T}$ ; two values of  $c_x$ :  $c_0 = c_{S=0,T}$  and  $c_1 = c_{S=1,T} = c_{t,T} = c_{b,T}$ ; and a single value of  $d_x$ ;  $d = d_{t,T} = d_{b,T}$ . In addition, there is the multiplicative constant  $\beta$  in Eq. (1.11).

In practice, the addition of a spin-orbit correlation in the S=1, T=0 ( ${}^{3}S_{1}-{}^{3}D_{1}$ ) channel was found not to improve the energy of the trial function, so  $f_{b,0}$  is taken to be zero and no  $\zeta_{0}$  parameter is required. This is not surprising, since there is no direct coupling of central and tensor channels to the spin-orbit correlation in Eqs. (2.3) and (2.4) for L=0. Further, the energies are not very sensitive to the cutoff parameters a,  $a_{b}$ ,  $c_{0}$ ,  $c_{1}$ , and d. One set of values was used for all the Hamiltonians studied in both  ${}^{3}$ H and  ${}^{4}$ He: a=0.4,  $a_{b}=0.3$ ,  $c_{0}=1.0$ ,  $c_{1}=3.0$ , and d=2.0 fm. The remaining 17 paircorrelation parameters for the best trial functions found are given in Table I for  ${}^{3}$ H, and in Table II for  ${}^{4}$ He.

The  $\overline{U}_{ij}$  of Eq. (1.8) used in the earlier variational calculations<sup>6-8</sup> can be constructed as a special case of the equations used here. If Eqs. (2.2)–(2.4) are solved only in L=0 channels, i.e., for (S,T)=(0,1) and (1,0), then operator components  $f_c$ ,  $u_{\sigma}$ , and  $u_{i\tau}$  can be projected by the expressions

$$f_{c} = \frac{1}{4} (3f_{1,0} + f_{0,1}) ,$$

$$u_{\sigma} = \frac{1}{4} (f_{1,0} - f_{0,1}) / f_{c} ,$$

$$u_{t\tau} = -\frac{1}{3} f_{t,0} / f_{c} .$$
(2.10)

In practice, this will give correlations only marginally different from those used in earlier work, and will serve

Hamiltonian	Reid $v_8$	Argonne $v_{14}$	Argonne $v_{14}$ +Tucson	Argonne v <sub>14</sub> +Urbana VII	Argonne $v_{14}$ +Urbana VIII
$E_{0.0}$	1.8	1.6	2.0	2.6	2.4
$E_{0,1}^{0,1}$	5.4	5.4	6.8	7.2	7.0
$E_{1,0}^{,,1}$	12.0	10.8	13.0	12.2	12.0
$E_{1,1}$	5.8	4.8	5.4	7.0	6.8
$h_{0,0}$	1.81	1.37	1.42	1.45	1.43
$h_{0,1}$	1.77	1.82	1.86	1.90	1.90
$h_{1,0}$	1.86	1.89	2.02	1.94	1.88
$h_{1,1}$	1.79	1.69	1.73	1.83	1.83
$\eta_0$	0.026	0.027	0.027	0.028	0.028
${oldsymbol \eta}_1$	-0.008	-0.006	-0.009	-0.009	-0.009
51	0.001	-0.020	-0.020	-0.020	-0.020
α	0.94	0.93	0.90	0.91	0.92
$R_0$	0.8	0.8	0.8	0.8	0.8
$R_1$	2.8	2.8	2.8	2.8	2.8
$R_t$	3.4	3.2	3.6	3.6	3.6
$R_b$	0.8	0.6	0.8	0.8	0.8
β	0.5	0.4	0.5	0.5	0.5
<i>t</i> <sub>1</sub>	10.0	10.0	9.0	8.0	8.0
e			-0.00015	-0.0004	-0.0004
<u>b</u>			0.70	0.70	0.70

TABLE I. Pair- and triplet-correlation parameters for <sup>3</sup>H. Energies  $E_{S,T}$  are in MeV and radii  $R_x$  are in fm. All other parameters are dimensionless.

as a reference point for the new correlations of the present work.

There are two kinds of triplet correlations in the trial function of Eq. (1.10). There is the central three-body correlation  $f_{ijk}$  in expression (1.9), which modifies the noncentral pair-correlation operators  $u_p(r_{ij})$ , and there is the three-body correlation operator  $U_{ijk}^{\text{TNI}}$  representing

the correlations induced by  $V_{ijk}$ . The  $f_{ijk}$  performs a quenching function analogous to the potential quencher  $\alpha_p$  of Eq. (2.1), but in a manner that is dependent on the relative position of a third particle with a correlated pair. It is used whether or not there is a  $V_{ijk}$  term in the Hamiltonian. The same form is chosen here that has appeared in previous work,<sup>7</sup>

TABLE II. Pair- and triplet-correlation parameters for <sup>4</sup>He. Energies  $E_{S,T}$  are in MeV and radii  $R_x$  are in fm. All other parameters are dimensionless.

Hamiltonian	Reid v <sub>8</sub>	Argonne $v_{14}$	Argonne $v_{14}$ +Tucson	Argonne v <sub>14</sub> +Urbana VII	Argonne v <sub>14</sub> +Urbana VIII
$E_{0,0}$	11.0	7.0	9.0	11.0	9.0
$E_{0,1}^{0,0}$	18.0	16.0	22.0	22.0	20.0
$E_{1,0}$	21.0	20.0	26.0	26.0	23.0
$E_{1,1}$	17.0	15.0	18.0	19.0	17.0
$h_{0,0}$	2.05	1.41	1.47	1.51	1.47
$h_{0,1}$	1.77	1.78	1.91	1.91	1.88
$h_{1,0}$	1.76	1.75	1.83	1.85	1.75
$h_{1,1}$	1.72	1.70	1.76	1.79	1.74
$\eta_0$	0.040	0.040	0.038	0.039	0.038
$\eta_1$	-0.014	-0.014	-0.014	-0.017	-0.014
51	0.001	-0.020	-0.020	-0.020	-0.020
α	0.84	0.78	0.82	0.82	0.82
$R_0$	0.8	0.8	0.8	0.8	0.8
$R_1$	2.8	2.8	2.8	2.8	2.8
$\boldsymbol{R}_{t}$	3.4	3.2	3.6	3.6	3.6
R <sub>b</sub>	0.8	0.6	0.8	0.8	0.8
β	0.4	0.5	0.5	0.5	0.5
<i>t</i> <sub>1</sub>	13.0	11.0	9.0	8.0	9.0
$\epsilon$			-0.00015	-0.0004	-0.0004
<u>b</u>			0.70	0.70	0.70

$$f_{ijk} = 1 - t_1 \left[ \frac{r_{ij}}{R_{ijk}} \right]^{t_2} \exp(-t_3 R_{ijk}) , \qquad (2.11)$$

where  $R_{ijk} = r_{ij} + r_{jk} + r_{ki}$ . The  $t_1$ ,  $t_2$  and  $t_3$  are variational parameters and could in principle be made different for each  $u_p(r)$  in expression (1.9), but a single set of three parameters is used here.

The  $U_{ijk}^{\text{TNI}}$  is used only when a  $V_{ijk}$  term is present in the Hamiltonian. Its form is suggested by simple perturbation theory:

$$U_{iik}^{\text{TNI}} = \epsilon V_{iik}(\bar{r}_{ii}, \bar{r}_{ik}, \bar{r}_{ki}) . \qquad (2.12)$$

Here  $\overline{r} = br$  is a scaled variable that serves to spread out the correlation relative to the interaction and  $\epsilon$  is a small negative multiplicative constant. This form builds into  $U_{ijk}^{\text{TNI}}$  all the operator dependence of the three-nucleon interaction. For example, the Urbana series of threenucleon potential models<sup>6,7</sup> is given by a sum of longrange two-pion-exchange and intermediate-range repulsive terms:

$$V_{ijk} = V_{ijk}^{2\pi} + V_{ijk}^{R} \quad . \tag{2.13}$$

Here the two-pion-exchange part is a cyclic sum over indices ij, jk, and ki of products of anticommutator and commutator terms:

$$V_{ijk}^{2\pi} = \sum_{\text{cyc}} A(\{X_{ij}, X_{jk}\}\{\tau_i \cdot \tau_j, \tau_j \cdot \tau_k\} + \frac{1}{4} [X_{ij}, X_{jk}] [\tau_i \cdot \tau_j, \tau_j \cdot \tau_k]), \qquad (2.14)$$

where  $X_{ij} = Y(r_{ij})\sigma_i \cdot \sigma_j + T(r_{ij})S_{ij}$  is the one-pionexchange operator. The intermediate-range repulsion is a cyclic sum of purely central character:

$$V_{ijk}^{R} = \sum_{\text{cyc}} UT^{2}(r_{ij})T^{2}(r_{jk}) . \qquad (2.15)$$

The potential constants A and U have the values -0.0333 and 0.0038 in Urbana model VII, and the values -0.028 and 0.005 in Urbana model VIII. The Tucson-Melbourne three-nucleon potential<sup>5</sup> has a more general two-pion-exchange part with additional operator terms, but no intermediate-range repulsive part. In either case,  $U_{ijk}^{\text{TNI}}$  has the full operator dependence of the interaction.

This kind of three-nucleon correlation was tried in earlier work<sup>6</sup> in the case of <sup>3</sup>H, but with the scale factor b = 1, so the correlation was not spread out. That correlation gave an improvement that was statistically insignificant. With b < 1 and the energy-difference techniques described below, the scaled correlation gives a clear improvement in <sup>3</sup>H and has a very significant effect in <sup>4</sup>He.

In practice, the parameters  $t_2$  and  $t_3$  in Eq. (2.11) are taken to be the same for all Hamiltonians in both <sup>3</sup>H and <sup>4</sup>He:  $t_2=4$  and  $t_3=0.1$  fm<sup>-1</sup>. The optimal values of the remaining three parameters,  $t_1$  from Eq. (2.11) and  $\epsilon$  and *b* from Eq. (2.12) are given along with the paircorrelation parameters in Tables I and II.

## **III. ENERGY EVALUATIONS AND PARAMETER SEARCHES**

Energy expectation values are calculated using Monte Carlo integration.<sup>8,19</sup> The expectation values are sampled both in configuration space and in the order of operators in the symmetrized product of Eq. (1.10) by following a Metropolis random walk.<sup>16</sup> Sampling in the order of operators saves significant computational effort, since the number of possible orders is *P*!, where  $P = \frac{1}{2}A(A-1)$  is the number of pairs. This introduces relatively little statistical variance, because the different orders contain the same linear terms and differ only at  $O(u_p^2)$  and above.

The Monte Carlo energy expectation value is given by

$$\langle H \rangle = \frac{\sum \langle \Psi_p^{\dagger}(\mathbf{R}) H \Psi_q(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})}{\sum \langle \Psi_p^{\dagger}(\mathbf{R}) \Psi_q(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})}$$
(3.1)

where the sums run over configurations denoted by the particle coordinate set  $\mathbf{R} = (\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_A)$ , and the specific order of operators, p and q, on the left and right sides. The brackets indicate a complete sum over all spin-isospin variables. The weight function is

$$W_{pq}(\mathbf{R}) = \operatorname{Re}(\langle \Psi_{p}^{\dagger}(\mathbf{R})\Psi_{q}(\mathbf{R})\rangle). \qquad (3.2)$$

The Metropolis algorithm produces a set of configurations  $\{\mathbf{R}, p, q\}$  whose density is proportional to this probability distribution. The energy evaluation has a statistical error, estimated by the standard deviation  $\sigma$ :

$$\sigma = \left[\frac{\langle H^2 \rangle - \langle H \rangle^2}{N-1}\right]^{1/2}, \qquad (3.3)$$

where N is the number of statistically independent samples.

The wave function  $\Psi$  can be represented by an array of  $2^A \times {\binom{A}{Z}}$  complex numbers, which are the coefficients of each state with specific third components of spin and isospin. The spin, isospin, and tensor operators  $O_{ij}^{p=2,6}$  contained in the two-body correlation operator  $U_{ij}$  and in the Hamiltonian are sparse matrices in this basis.<sup>19</sup>

The initial uncorrelated state,  $\Phi = \Phi(JMTT_3)$ , is taken to be an antisymmetrized product of single-particle spinisospin states with no coordinate dependence:

$$\Phi(^{3}\mathrm{H}) = \Phi_{t}(\frac{1}{2}\frac{1}{2}\frac{1}{2}-\frac{1}{2}) = A |p\uparrow n\uparrow n\downarrow\rangle ,$$
  
$$\Phi(^{4}\mathrm{He}) = \Phi_{\alpha}(0\,0\,0\,0) = A |p\uparrow p\downarrow n\uparrow n\downarrow\rangle .$$
(3.4)

The Jastrow wave function  $\Psi_J(\mathbf{R})$  is obtained by multiplying  $\Phi$  with the central pair correlations  $f_c(r_{ij})$  for the coordinate set  $\mathbf{R}$ . The trial function of Eq. (1.5) is then built up by successive matrix multiplication with the pair-correlation operators  $U_{ij}$  (in the selected order p or q) on  $\Psi_J$ . This matrix multiplication is the basic unit of computational work, and is proportional to the size of the array. The construction of  $\Psi_q(\mathbf{R})$  requires P of these matrix operations to build up the pair product, with another P operations to construct  $\Psi_p(\mathbf{R})$ .

The expectation value of the first six terms of the twonucleon potential,  $v_{p=1,6}$ , are evaluated in a similar manner, with P sparse matrix operations required to sum

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over the different pairs. The expectation values of the kinetic energy and spin-orbit potential terms require the computation of first derivatives and diagonal second derivatives of the wave function. These are obtained by moving each particle a small distance  $\epsilon$  in both positive and negative directions along each axis:

$$\frac{\partial \Psi_{q}(\mathbf{R})}{\partial r_{i}^{m}} = \frac{1}{2\epsilon} \left[ \Psi_{q}(\mathbf{R} + \epsilon r_{i}^{m}) - \Psi_{q}(\mathbf{R} - \epsilon r_{i}^{m}) \right],$$
  
$$\frac{\partial^{2} \Psi_{q}(\mathbf{R})}{\partial (r_{i}^{m})^{2}} = \frac{1}{\epsilon^{2}} \left[ \Psi_{q}(\mathbf{R} + \epsilon r_{i}^{m}) - 2\Psi_{q}(\mathbf{R}) + \Psi_{q}(\mathbf{R} - \epsilon r_{i}^{m}) \right],$$
  
(3.5)

where  $r_i^m$  is the *m*th spatial component of particle coordinate  $\mathbf{r}_i$ . This requires 6A constructions of  $\Psi_q$  and is correct to  $O(\epsilon^3)$ . Potential terms quadratic in L, such as those appearing in Argonne  $v_{14}$ , require mixed second derivatives:

$$\frac{\partial^{2}\Psi_{q}(\mathbf{R})}{\partial r_{i}^{m}\partial r_{i}^{n}} = \frac{1}{\epsilon^{2}} \left[ \Psi_{q}(\mathbf{R} + \epsilon r_{i}^{m} + \epsilon r_{i}^{n}) - \Psi_{q}(\mathbf{R} + \epsilon r_{i}^{m}) - \Psi_{q}(\mathbf{R$$

which are calculated to  $O(\epsilon^2)$  to save effort. Tests with derivatives calculated to  $O(\epsilon^3)$  show this approximation to be sufficiently accurate for Argonne  $v_{14}$ , which has little net contribution from  $v_{p=9,14}$  terms. These mixed second derivatives require an additional 3A + 9P constructions of  $\Psi_q$ .

To evaluate the energy in one configuration for  $\Psi_v$  given by Eq. (1.5) with the Reid  $v_8$  Hamiltonian requires the equivalent of 6A + 3 trial function constructions; for Argonne  $v_{14}$  the number is 9A + 9P + 3. In addition there is the effort involved in generating statistically independent configurations proportional to  $W_{pq}(\mathbf{R})$  through the Metropolis random walk. Typically 10 new configurations, with all the particles moved, are tested between each energy evaluation, requiring 20 more trial function constructions.

The spin-orbit correlation operators  $U_{ij}^{LS}$  require the same linear derivatives  $\partial/\partial r_i^m$  as the spin-orbit potential. However, they must be evaluated more frequently, since they contribute to the weight function  $W_{pq}(\mathbf{R})$  and well as to the energy expectation value. Because the  $u_{p=7,8}$ are small, the derivatives are evaluated only to  $O(\epsilon^2)$ , requiring 3A (rather than 6A) constructions of  $\Psi_p$  and 3Aconstructions of  $\Psi_q$  for each configuration  $\{\mathbf{R}, p, q\}$  tested in generating one sample  $W_{pq}(\mathbf{R})$ . Kinetic energy and potential terms quadratic in L involving the  $U_{ij}^{LS}$  are evaluated using integrations by parts, i.e.,  $\Psi_p(\mathbf{R})\overline{\nabla}_i \cdot \overline{\nabla}_i \Psi_q(\mathbf{R})$ ; this requires calculation of mixed second derivatives on both  $\Psi_p(\mathbf{R})$  and  $\Psi_q(\mathbf{R})$ . Thus the introduction of spinorbit correlations as in Eq. (1.10) adds significant computation to the generation of configurations  $\{\mathbf{R}, p, q\}$ , and the energy evaluation.

The three-nucleon potential  $V_{ijk}$ , with the commutator and anticommutator structure of its two-pion-exchange part, Eq. (2.14), is evaluated using the same basic spin, isospin, and tensor sparse matrix operations required in the construction of  $U_{ij}$ . However, these operations must be made twice in opposite orders, and their results summed or differenced accordingly. Further, because of the cyclic sum over pairs, there are three such terms to evaluate in any given triple. Some effort can be saved by storing the results of the first operation on all pairs in a given triple, and using each as the starting point for two different terms in the cycling sum. The energy evaluation then requires 9T operations, where  $T = \frac{1}{6}A(A - 1)(A - 2)$  is the number of triples.

The three-nucleon interaction correlations  $U_{ijk}^{\text{TNI}}$  require a similar effort to construct. However, they also contribute to the weight function  $W_{pq}(\mathbf{R})$  and must be evaluated at every attempted move in the random walk. Evaluation of their kinetic energy and L-dependent potential contributions also requires first derivatives and diagonal second derivatives and the corresponding wave function constructions at slightly shifted positions. Integrations by parts are used to avoid the necessity of evaluating mixed second derivatives for the  $U_{ijk}^{\text{TNI}}$ . This again requires that mixed second derivatives of both  $\Psi_p$  and  $\Psi_q$  be available, even if no  $U_{ij}^{LS}$  terms are used.

The computer time required to generate a statistically independent configuration  $\{\mathbf{R}, p, q\}$  and to evaluate its energy for the Argonne v<sub>14</sub> plus Urbana VIII Hamiltonian is shown in Table III for systems ranging in size from A = 2-6. These times have been measured on one processor of a Cray-YMP4; the approximate speed of the computer code and the memory requirements are also shown. The time required to sample the energy of the simple trial function of Eq. (1.5) is dominated by the kinetic-energy calculation. This is proportional to the number of wave-function constructions required for the kinetic energy, the number of pairs in the symmetrized product of correlations operators, and the size of the array for  $\Psi$ , or  $A \times P \times 2^A \times (\frac{A}{Z})$ . This factor grows roughly 1 order of magnitude for each particle added to the system. It is compensated for somewhat by the increasing efficiency of the computer code as the relevant vectors get longer. For a given nucleus, the spin-orbit and threenucleon interaction correlations add significantly to the computation effort, with the result that the full trial function of Eq. (1.10) requires almost 1 order of magnitude more time than the simple trial function of Eq. (1.5). This is partially compensated for by the fact that the full form is a fundamentally better trial function, with significantly less variance, and thus requires fewer samples to obtain an energy with the same Monte Carlo statistical error.

Two significant tests have been made to verify the computer code. The energy has been evaluated for <sup>2</sup>H using exact wave functions, and for <sup>3</sup>H using the 34-channel Faddeev wave functions of the Los Alamos–Iowa group.<sup>17</sup> For the deuteron, the exact wave function can be written in the correlation operator form of Eq. (1.5)

TABLE III. Computer time (in seconds) for generating one statistically independent configuration and evaluating its energy for different-size systems and different forms of the trial function. The Hamiltonian used here is Argonne  $v_{14}$  + Urbana VIII. The speed (in MFLOPS) and size (in Mwords) of the computer code is also shown.

	$\Psi_v$	Configuration	Energy	Total	Speed	Size
$^{2}$ H	$U_{ii}$	0.0018	0.0006	0.0024	23	0.43
${}^{3}H$	$U_{ij}$	0.0048	0.0032	0.0080	59	
	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$	0.026	0.012	0.038	75	0.53
<sup>4</sup> He	$U_{ij}$	0.013	0.024	0.037	120	
	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$	0.15	0.11	0.26	118	0.65
<sup>5</sup> He	$U_{ii}$	0.038	0.145	0.183	175	
	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$	0.76	0.81	1.57	156	1.35
<sup>6</sup> Li	$U_{ij}$	0.15	1.03	1.18	211	
	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$	4.2	6.4	10.7	187	5.7

using  $f_c(r)$  and  $u_{t\tau}(r)$  correlations with the definitions<sup>7</sup>

$$f_c(r) = \frac{u(r)}{r}, \quad u_{t\tau}(r) = \frac{-w(r)}{3\sqrt{8}u(r)},$$
 (3.7)

where u(r) and w(r) are the usual S- and D-wave deuteron wave functions. The deuteron energy and wave functions are found using an independent computer code, and the latter are used as input to the Monte Carlo energy expectation value code; the results agree within 1 keV. The configuration-space Faddeev wave functions for <sup>3</sup>H can also be used as input, and the energies reported below agree with those reported by the Los Alamos-Iowa group within 60 keV. Both these checks give some assurance that the energy expectation values are being accurately evaluated.

The search in parameter space for the best trial function of a given form is done by hand. The time required to evaluate an energy with a sufficiently small error estimate is too large to use an automated minimzation package that might make hundreds of evaluation requests. In earlier variational Monte Carlo calculations of few-body nuclei the standard procedure was to make many independent random walks with small changes in the wave function.<sup>6–8</sup> Unfortunately, the statistical errors of independent runs might be larger than the actual change in the energy, so promising routes to a lower energy might be missed. Also, there was a consequent tendency to pick a wave function whose energy had benefited from a low statistical fluctuation. With longer runs, the energy would almost inevitably go up.

In the present work the searching is done by evaluating energy differences between different trial functions using configurations generated by a single random walk. In general, the energy difference

$$\delta E = \widetilde{E} - E = \frac{\sum \langle \widetilde{\Psi}_{p}^{\dagger}(\mathbf{R}) H \widetilde{\Psi}_{q}(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})}{\sum \langle \widetilde{\Psi}_{p}^{\dagger}(\mathbf{R}) \widetilde{\Psi}_{q}(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})} - \frac{\sum \langle \Psi_{p}^{\dagger}(\mathbf{R}) H \Psi_{q}(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})}{\sum \langle \Psi_{p}^{\dagger}(\mathbf{R}) \Psi_{q}(\mathbf{R}) \rangle / W_{pq}(\mathbf{R})} \quad (3.8)$$

between trial functions  $\tilde{\Psi}$  and  $\Psi$  has a much smaller statistical error for a given number of samples than the absolute energy for either. In practice, an initial random walk is made with trial function  $\Psi$  to generate a set of configurations and weights  $W_{pq}(\mathbf{R})$ , which are stored. Then on the order of 10 different  $\tilde{\Psi}$  are tried, varying one or two parameters at a time, with the energy difference being calculated using the same  $W_{pq}(\mathbf{R})$ . The  $\tilde{\Psi}$  that gives the lowest energy is then used to generate a new  $\tilde{W}_{pq}(\mathbf{R})$ , and the search is continued. This search procedure is less likely to go astray due to statistical fluctuations in the energy evaluations. It has the added advantage of saving significant computational effort because a stored random walk is being used most of the time; the time required to generate a new configuration (first column of Table III) is effectively eliminated.

#### IV. RESULTS FOR THREE- AND FOUR-BODY NUCLEI

Calculations have been performed for <sup>3</sup>H and <sup>4</sup>He using five Hamiltonians: the Reid  $v_8$  and Argonne  $v_{14}$  two-nucleon potentials, and for Argonne  $v_{14}$  with the Tucson-Melbourne, Urbana VII, and Urbana VIII three-nucleon potentials. In the first two cases the calculations are done using three different trial functions:  $\overline{U}_{ij}$ ,  $U_{ij}$ , and  $U_{ij} + U_{ij}^{LS}$ . For Argonne  $v_{14}$  plus Urbana VII the two combinations  $U_{ij} + U_{ijk}^{TNI}$  and  $U_{ij} + U_{ik}^{LS} + U_{ijk}^{TNI}$  are added. For Urbana VIII and Tucson-Melbourne only the fully combination  $U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$  is used. Calculations for <sup>3</sup>H have also been made using the 34-channel Faddeev wave functions of the Los Alamos–Iowa group,<sup>17</sup> as input to the Monte Carlo code.

The search in parameter space is made using the energy-difference techniques discussed above. The optimal parameters are found first for the  $U_{ij}$  trial function in <sup>3</sup>H for a given Hamiltonian, typically using runs with 10 000 configurations. The parameters  $R_0$ ,  $R_1$ , and  $R_t$  are then fixed for the other trial functions with that Hamiltonian. All other parameters continue to be varied as the  $U_{ij}^{LS}$  correlations fixes the parameters  $\zeta_1$ ,  $R_b$ , and  $\beta$ ; they are such a small perturbation that the only other parameter that need be varied is  $t_1$ . The  $U_{ijk}^{TNI}$  correlations have a bigger effect, and small increases in the  $E_{S,T}$  and

TABLE IV. Absolute energies, energy differences, and best energies by least-square fits (in MeV) for <sup>3</sup>H with Argonne  $v_{14}$  interaction. Numbers in parentheses give 1-standard-deviation Monte Carlo error estimates in the last digits.

$\Psi_v$	$\overline{U}_{ij}$	$oldsymbol{U}_{ij}$	$U_{ij} + U_{ij}^{LS}$	Faddeev
Ε	-7.154(26)	-7.434(21)	-7.453(22)	-7.699(16)
$E(\overline{U}_{ii}) - E(\Psi_n)$	0.0	0.198(39)	0.254(36)	
$E(U_{ii}) - E(\Psi_v)$	-0.257(38)	0.0	0.042(7)	
$E(U_{ii} + U_{ii}^{LS}) - E(\Psi_v)$	-0.293(34)	-0.032(13)	0.0	0.212(56)
$E(\text{Faddeev}) - E(\Psi_v)$			-0.330(57)	0.0
E <sub>fit</sub>	-7.173(17)	-7.415(13)	-7.454(13)	-7.702(15)

 $\eta_T$  parameters are generally beneficial. Going from <sup>3</sup>H to <sup>4</sup>He the parameters  $R_0$ ,  $R_1$ ,  $R_t$ , and  $R_b$  are kept fixed, and searching is done with runs of 5000 configurations. The major changes are a significant increase in the separation-energy parameters  $E_{S,T}$  and  $\eta_T$  and a decrease in  $\alpha$ .

When the optimal trial function of any given type is obtained, a long energy evaluation with 50 000 (25 000) configurations is made in <sup>3</sup>H (<sup>4</sup>He). In addition, several energy-difference evaluations using 10000 (5000) independent configurations are made up to help pin down the effect of different terms in the trial function. An example is shown in Table IV for the case of <sup>3</sup>H with the Argonne  $v_{14}$  interaction. The results of the long runs are given in the first row of numbers; the numbers in parentheses are the 1-standard-deviation error estimates in the last digits quoted. The difference results are given in the following set of numbers, where the column heading indicates the type of trial function used to generate the random walk, and the row heading indicates the trial function whose energy difference with the original trial function was evaluated. Final energies are obtained by performing a least-squares fit to both the long independent runs and the difference runs. These numbers are given in the final row of the table.

In Table IV the energy with the  $U_{ij} + U_{ij}^{LS}$  trial function is lower than that with  $U_{ij}$  alone, but the difference between the two numbers is not statistically significant after independent walks with 50 000 samples. However, the two difference runs with only 10 000 samples both show that the  $U_{ij} + U_{ij}^{LS}$  trial function is better by a statistically significant amount. The difference runs with  $\overline{U}_{ij}$ also support this conclusion. The final numbers after least-squares fitting reflecting this fact, and the combination of long independent runs and shorter difference runs reduces the error estimate of the final numbers.

The results of all the calculations for <sup>3</sup>H are shown in Table V, and for <sup>4</sup>He in Table VI. The numbers quoted are the fitted values from independent energy and energy-difference runs, with the exception of the last row of Faddeev energies for <sup>3</sup>H from Ref. 17, and the Green's-function Monte Carlo (GFMC) energies for <sup>4</sup>He. From these tables one can see that  $\overline{U}_{ii}$  correlations give energies that are 6-8% above the available exact Faddeev<sup>17</sup> or GFMC (Ref. 18) calculations. (The  $\overline{U}_{ii}$  values reported here are consistent with the variational results reported in Refs. 7 and 8.) The  $U_{ij} + U_{ij}^{LS}$  correlations give a significant improvement for the Reid v<sub>8</sub> and Argonne  $v_{14}$  models, reducing the upper bound to 3-4%above the exact results. For the Urbana  $V_{ijk}$  models, the  $U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$  correlation also gives results that are within 3-4% of the exact results The <sup>3</sup>H result with the Tucson-Melbourne  $V_{ijk}$  is off by nearly 6%, but an older  $\overline{U}_{ij}$  result<sup>20</sup> is off by 13%. The Monte Carlo results for the Faddeev wave function are generally slightly lower than the values given in Ref. 17.

The change from  $\overline{U}_{ij}$  to  $U_{ij}$  correlations is the variational equivalent of going from a 5- to an 18-channel Faddeev calculation, in that the former is constructed from information about the  ${}^{1}S_{0}$  and  ${}^{3}S_{1}{}^{-3}D_{1}$  parts of the interaction, while the latter adds information about the *P*wave parts. Because the nucleons in *s*-shell nuclei interact mainly in *S* waves, the  $\overline{U}_{ij}$  correlations do provide a very good first trial function. However, the  $U_{ij}$  correlations are no more expensive to compute, and do give a

TABLE V. Binding-energy results for <sup>3</sup>H in MeV. Numbers in parentheses are 1-standard-deviation estimates of the Monte Carlo statistical error in the last place.

Hamiltonian	Reid $v_8$	Argonne $v_{14}$	Argonne $v_{14}$ +Tucson	Argonne $v_{14}$ +Urbana VII	Argonne $v_{14}$ + Urbana VIII
$\overline{U}_{ii}$	6.99(2)	7.17(2)		8.37(2)	
$U_{ii}^{\prime j}$	7.26(2)	7.41(1)		8.48(2)	
$U_{ii}^{J} + U_{ii}^{LS}$	7.31(2)	7.45(1)		8.54(2)	
$U_{ii} + U_{iik}^{\mathrm{TNI}}$				8.69(1)	
$U_{ii} + U_{ii}^{LS} + U_{iik}^{TNI}$			8.80(3)	8.79(1)	8.21(2)
Faddeev (MC)	7.59(2)	7.70(1)	9.33(2)	9.05(1)	8.49(1)
Faddeev (Ref. 17)	7.59	7.67	9.32	8.99	8.46

Hamiltonian	Reid $v_8$	Argonne $v_{14}$	Argonne $v_{14}$ + Tucson	Argonne $v_{14}$ +Urbana VII	Argonne v <sub>14</sub> +Urbana VIII
$\overline{U}_{ii}$	23.06(6)	22.98(5)		28.46(8)	
$U_{ii}$	23.43(6)	23.37(4)		28.73(6)	
$U_{ii} + U_{ii}^{LS}$	23.62(6)	23.54(4)		28.94(6)	
$U_{ii} + U_{iik}^{\text{TNI}}$				30.04(4)	
$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$			30.64(9)	30.51(4)	27.23(6)
GFMC	24.55(13)				28.3(2)

TABLE VI. Binding-energy results for <sup>4</sup>He in MeV. Numbers in parentheses are 1-standarddeviation estimates of the Monte Carlo statistical error in the last place.

significant improvement.

The  $U_{ij}^{LS}$  correlations give a small but statistically significant additional improvement. Interestingly, the  $U_{ij}^{LS}$  seems to be more effective when joined with the  $U_{ijk}^{TNI}$  in the presence of a three-nucleon potential. In earlier Faddeev Monte Carlo studies<sup>21</sup> of <sup>3</sup>H the small *P*state part of the wave function gave a rather large contribution to the expectation value of various  $V_{ijk}$  models. The main role of  $U_{ijk}^{LS}$  is probably to improve this part of the wave function. The  $U_{ijk}^{TNI}$  correlation gives a very significant contribution when a three-nucleon interaction is present. The  $U_{ij}^{LS}$  and  $U_{ijk}^{TNI}$  correlations together lower the energy by more than 0.4 MeV/nucleon in <sup>4</sup>He. Although the cost of computing these correlations is nearly eight times as great as for  $U_{ij}$  alone in <sup>4</sup>He, the trial function is sufficiently better that its Monte Carlo variance is only  $\frac{2}{3}$  as large, so that only  $\frac{1}{2}$  as many configurations need be sampled to get the same statistical error estimate.

A detailed breakdown of the energy expectation value for the Argonne  $v_{14}$  + Urbana VIII Hamiltonian is given in Table VII. The results for <sup>2</sup>H are obtained both by direct integration and by Monte Carlo evaluation with the exact wave function. For <sup>3</sup>H Monte Carlo evaluations have been made for both the best variational wave function and the 34-channel Faddeev wave function. For <sup>4</sup>He a Monte Carlo evaluation of the best variational wave function is shown, along with results from a Green's-function Monte Carlo calculation.<sup>18</sup> The GFMC calculation was actually made for a  $v_8$  version of the Argonne potential, and then corrected in perturbation theory for differences with the  $v_{14}$  model. *D*-state percentages and point rms radii are also given.

The proton density distributions of <sup>3</sup>H, <sup>3</sup>He, and <sup>4</sup>He are displayed in Fig. 4. The variational wave functions tend to give a higher density in the interior than the Faddeev or GFMC wave functions. The elastic electromagnetic form factors,  $F_c(q)$  and  $F_m(q)$ , are shown in Figs. 5-9. The form factors have been calculated in impulse approximation, and with the inclusion of the exchangecurrent contributions discussed in Refs. 13 and 14. The exchange currents include both a "model-independent" part fixed by the two-nucleon interaction that consequently has no free parameters, and a "model-dependent" part that includes the currents associated with the  $\rho \pi \gamma$ ,

TABLE VII. Energy breakdown for Argonne  $v_{14}$  + Urbana VIII: <sup>2</sup>H is evaluated in both direct and Monte Carlo (MC) integration with the exact wave functions, <sup>3</sup>H is evaluated by the Monte Carlo code for both variational (VMC) and Faddeev (FMC) wave functions, <sup>4</sup>He has been evaluated by the Monte Carlo code for the variational wave function (VMC), and Green's-function Monte Carlo (GFMC) results are also shown. Energies are in MeV and radii in fm.

System Calculation	<sup>2</sup> H Direct	<sup>2</sup> H MC	<sup>3</sup> H VMC	<sup>3</sup> H FMC	<sup>4</sup> He VMC	<sup>4</sup> He GFMC
No. of samples		150 000	50 000	50 000	25 000	
Ε	-2.225	-2.225(1)	- 8.20(2)	- 8.49(1)	-27.2(2)	-28.3(2)
$T_i$	19.19	19.05(11)	50.5(4)	49.4(3)	106.6(8)	113.3(20)
v <sub>ij</sub>	-21.42	-21.27(11)	- 57.7(4)	-56.9(3)	-129.7(7)	-136.5(20)
$v_{ij}(p=1,6)$	-20.80	-20.67(11)	- 56.9(4)	-56.1(3)	-129.3(8)	-136.2(20)
$v_{ij}^{\pi}$	-22.42	-22.45(10)	-47.8(2)	-47.4(2)	-105.8(4)	-111.8(10)
$v_{ij}(p=7,14)$	-0.61	-0.60(4)	-0.8(1)	-0.8(1)	-0.4(2)	-0.3(10)
$v_{ij}(e^2/r_{ij})$			[0.66(1)]	[0.65(1)]	0.74(1)	0.75(1)
$V_{ijk}$			-0.96(3)	-0.99(2)	-4.84(9)	-5.8(3)
$V_{ijk}^{2\pi}$			-1.87(3)	-1.84(3)	-9.48(11)	-10.8(3)
$V^R_{ijk}$			0.92(2)	0.86(2)	4.73(8)	5.0(2)
D state (%)	6.07		9.54(1)	9.68(1)	15.5(1)	16.6(2)
$\langle r_p^2 \rangle^{1/2}$	1.98	1.96(1)	1.59(1)	1.61(1)	1.47(1)	1.45(1)
$\langle r_n^2 \rangle^{1/2}$	1.98	1.96(1)	1.71(1)	1.75(1)	1.47(1)	1.45(1)



FIG. 4. Point proton density distributions for <sup>3</sup>H, <sup>3</sup>He, and <sup>4</sup>He with the Argonne  $v_{14}$  + Urbana VIII Hamiltonian in variational (V), Faddeev (F), and Green's-function Monte Carlo (G) calculations.

 $\omega \pi \gamma$ , and  $\Delta$ -excitation mechanisms. The Höhler parametrization of the electromagnetic form factors of the nucleon is used.<sup>22</sup> The variational form factors have a first minimum at slightly larger wave number than the exact calculations, and a second minimum at smaller wave number. The corresponding magnetic moments for <sup>3</sup>H and <sup>3</sup>He are given in Table VIII.

The variational and Faddeev magnetic form factors for  ${}^{3}\text{H}$  and  ${}^{3}\text{He}$  are in reasonable agreement with data, although the position of the minimum is shifted toward lower q values. The magnetic moments are in good agreement with the experimental values. Retaining only the model-independent exchange currents would improve the agreement with the magnetic moments and form factors. The charge form factors for  ${}^{3}\text{H}$ ,  ${}^{3}\text{He}$ , and  ${}^{4}\text{He}$  are all in good agreement with data up to 6 fm<sup>-1</sup>. Beyond about 7 fm<sup>-1</sup> there are large Monte Carlo statistical errors in the expectation values and the differences between



FIG. 5. Magnetic form factor  $|F_m(q)|$  for <sup>3</sup>H with the Argonne  $v_{14}$  + Urbana VIII Hamiltonian calculated in impulse approximation (IA) and with meson-exchange-current (IA + MEC) contributions for both variational (V) and Faddeev (F) wave functions.



FIG. 6. Magnetic form factor  $|F_m(q)|$  for <sup>3</sup>He with the Argonne  $v_{14}$  + Urbana VIII Hamiltonian calculated in impulse approximation (IA) and with meson-exchange-current (IA + MEC) contributions for both variational (V) and Faddeev (F) wave functions.

variational and exact calculations are not statistically significant. [It should be pointed out that the present Faddeev results for  $F_m(q)$  and  $\mu$  are somewhat different from those quoted in Ref. 14, where the wave function with the Urbana VII three-nucleon potential, which gives too much binding, was inadvertantly used instead of the Urbana VIII model.]

# V. EXTENSION TO FIVE- AND SIX-BODY NUCLEI

The trial function of Eq. (1.10) can be used for larger nuclei by simply generalizing the structure of the Jastrow wave function  $\Psi_J$ . A Jastrow function for A = 5 is constructed from an  $\alpha$ -particle core with a fifth particle in a *p*-wave orbital with respect to that core:



FIG. 7. Charge form factor  $|F_c(q)|$  for <sup>3</sup>H with the Argonne  $v_{14}$ +Urbana VIII Hamiltonian calculated in impulse approximation (IA) and with meson-exchange-current (IA+MEC) contributions for both variational (V) and Faddeev (F) wave functions.

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$$|\Psi_{J}\rangle = A \left\{ \prod_{1 \le i < j \le 4} f_{ss}(r_{ij}) \prod_{1 \le k \le 4} f_{sp}(r_{5k}) |\Phi_{\alpha}(0\,0\,0\,0) \times \Phi_{p}(JMTT_{3})\rangle \right\}.$$
(5.1)

Here  $f_{ss}$  and  $f_{sp}$  are central pair-correlation functions for pairs within the s-shell, and between the s- and p-shells, respectively. The single-particle wave function for the p-shell nucleon is

$$|\Phi_{p}(JMTT_{3})\rangle = \phi_{p}(R_{5\alpha})[Y_{1}^{m_{1}}(\Omega_{5\alpha}) \times \chi_{5}(\frac{1}{2}m_{s})]_{JM}\nu_{5}(\frac{1}{2}t_{3}), \qquad (5.2)$$

with  $\phi_p$  a function of the coordinate  $R_{i\alpha} = r_i - R_{\alpha}^{\text{cm}}$ . For <sup>5</sup>He, i.e., the *n*-<sup>4</sup>He scattering system, we wish to study the spin-orbit splitting, which requires calculating with both  $\Phi_p(\frac{3}{2}, \frac{3}{2}, \frac{1}{2}, -\frac{1}{2})$  and  $\Phi_p(\frac{1}{2}, \frac{1}{2}, -\frac{1}{2})$  states. The antisymmetrization operator in Eq. (5.1) means that a sum over five terms must be taken in which each particle takes its turn in the *p*-shell.

For A = 6 systems, a plausible Jastrow function puts two nucleons into *p*-shell orbitals with respect to an  $\alpha$ -particle core:

$$|\Psi_{J}\rangle = A \left[ \prod_{1 \le i < j \le 4} f_{ss}(r_{ij}) \prod_{1 \le k \le 4} f_{sp}(r_{5k}) f_{sp}(r_{6k}) f_{pp}(r_{56}) |\Phi_{\alpha}(0000) \times \Phi_{pp}(JMTT_{3})\rangle \right].$$
(5.3)

Here  $f_{pp}$  is an additional central correlation between the two nucleons in the *p*-shell, and

$$|\Phi_{pp}(JMTT_{3})\rangle = \phi_{p}(R_{5\alpha})\phi_{p}(R_{6\alpha}) \\ \times \{ [Y_{1}^{m_{1}}(\Omega_{5\alpha}) \times Y_{1}^{m_{1}}(\Omega_{6\alpha})]_{LM_{L}} \\ \times [\chi_{5}(\frac{1}{2}m_{s}) \times \chi_{6}(\frac{1}{2}m_{s})]_{SM_{S}} \}_{JM} \\ \times [\nu_{5}(\frac{1}{2}t_{3}) \times \nu_{6}(\frac{1}{2}t_{3})]_{TT_{2}}.$$
(5.4)

Now antisymmetrization means there are 15 terms to be summed over in Eq. (5.3), where each possible pair of nucleons is in the *p* shell. The specific  $\Phi_{pp}$  of interest are  $\Phi_{pp}(001-1)$  for <sup>6</sup>He, and  $\Phi_{pp}(1100)$  for <sup>6</sup>Li.

The role of  $f_c$  in the Jastrow wave function of Eq. (1.6) has been split into multiple parts  $f_{ss}$ ,  $f_{sp}$ , and  $f_{pp}$  in Eqs.



FIG. 8. Charge form factor  $|F_c(q)|$  for <sup>3</sup>He with the Argonne  $v_{14}$ +Urbana VIII Hamiltonian calculated in impulse approximation (IA) and with meson-exchange-current (IA + MEC) contributions for both variational (V) and Faddeev (F) wave functions.

(5.1) and (5.3). These pieces must have a similar shortrange behavior, as dictated by the core of  $v_{ij}$ , but may have different long-range behaviors. For example, the asymptotic behavior of the scattered neutron in <sup>5</sup>He can be built entirely into  $\phi_p$ , so that  $f_{sp}$  would simply go to a constant, instead of having the exponential tail of Eq. (2.6). In principle, one would also like to allow for the possible splitting of the noncentral  $u_p$  functions of Eq. (1.7) into different pieces. Unfortunately, such a split would be very expensive to compute, given the need to symmetrize the noncommuting  $U_{ij}$  operators and antisymmetrize  $\Psi_J$ .

Calculations of <sup>5</sup>He, <sup>6</sup>He, and <sup>6</sup>Li with the trial functions of Eqs. (5.1)-(5.4) are in progress; the computational effort required is reported in Table IV. The initial goal is to obtain reasonable descriptions of the spin-orbit split-



FIG. 9. Charge form factor  $|F_c(q)|$  for <sup>4</sup>He with the Argonne  $v_{14}$ +Urbana VIII Hamiltonian calculated in impulse approximation (IA) and with meson-exchange-current (IA+MEC) contributions for both variational (V) and Green's function Monte Carlo (G) wave functions.

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Wave function	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$ isoscalar	Faddeev	$U_{ij} + U_{ij}^{LS} + U_{ijk}^{TNI}$ isovector	Faddeev
IA	0.404	0.403	-2.182	-2.168
IA+MI	0.422	0.420	-2.561	-2.535
IA+MI+MD	0.428	0.427	-2.630	-2.602
Experiment	0.426		-2.553	
	<sup>3</sup> H		<sup>3</sup> He	
IA	2.586	2.571	-1.778	-1.765
IA+MI	2.983	2.955	-2.139	-2.115
IA+MI+MD	3.058	3.029	-2.202	-2.175
Experiment	2.979		-2.127	

TABLE VIII. Magnetic moments for three-body nuclei, calculated in impulse approximation (IA) and with model-independent (MI) and model-dependent (MD) exchange-current contributions.

ting in <sup>5</sup>He, and the binding energies of <sup>6</sup>He and <sup>6</sup>Li. Earlier variational Monte Carlo calculations of <sup>5</sup>He with a trial function of the  $U_{ij}$  form gave about 60% of the spin-orbit splitting.<sup>23</sup> Previous calculations of six-body nuclei as six-body problems have been limited to simple central force models.<sup>24</sup> (As a test of our six-body code we have reproduced the variational results of Ref. 24).

The six-body nuclei are weakly bound systems: <sup>6</sup>He at 29.3 MeV is bound by only 1 MeV relative to a separated  $\alpha$  and two neutrons, while <sup>6</sup>Li at 32.0 MeV is bound by only 1.5 MeV relative to a separated  $\alpha$  and a deuteron. Current results suggest that obtaining six-body nuclei stable against particle breakup with realistic interaction models is nontrivial. For example, with the Argonne  $v_{14}$  plus Urbana VII model, a variational wave function of the form described above that has the correct charge radius for <sup>6</sup>Li gives a binding energy of 30.8±0.3 MeV. While this is not so far from the experimental binding energy, it is well above the variational upper bound for a separated  $\alpha$  and deuteron of 32.7 MeV for this Hamiltonian.

Possible explanations for this difficulty are that the variational ansatz described above is not adequate, or that the variational parameter space has not yet been explored adequately. It is also possible the the Hamiltonian is at fault. Recent studies of <sup>16</sup>O with the same model using comparable variational wave functions in a cluster expansion Monte Carlo calculation<sup>9</sup> give only 0.3 MeV/nucleon more binding for <sup>16</sup>O than for <sup>4</sup>He, compared to an experimental difference of 0.9 MeV/nucleon. It may be that <sup>6</sup>He and <sup>6</sup>Li are simply not stable with this Hamiltonian. The very crude form for the short-range part of the three-nucleon interaction is the most likely culprit in this case. Calculations of *p*-shell nucleon could provide key information for making better models of the three-nucleon interaction.

### **VI. CONCLUSIONS**

In summary, we have reported a set of improvements for variational trial functions in few-body nuclei. We have shown that they give upper bounds to the binding energy that are typically 3-4% above available exact calculations in <sup>3</sup>H and <sup>4</sup>He. The extension to a sixoperator correlation,  $U_{ij}$ , is a straightforward and inexpensive step. The addition of a three-nucleon interaction correlation,  $U_{ijk}^{TNI}$ , makes a significant improvement when a three-body potential,  $V_{ijk}$ , is present in the Hamiltonian, which completely justifies the extra cost of its computation. The addition of a spin-orbit correlation  $U_{ij}^{LS}$  gives only a very marginal improvement by itself, which may not justify its expense. However, it seems to be more important in the presence of  $V_{ijk}$  and  $U_{ijk}^{TNI}$  and it may be expected to play a more significant role in *p*-shell nuclei. We have also discussed how these wave functions may be extended for the five- and six-body nuclei.

Obtaining a consistent description of nuclear systems, from few-body nuclei to nuclear matter, with realistic interactions using nonrelativistic quantum mechanics, is a challenging problem. The solution will require continuing advances in both the many-body theory and the Hamiltonian, particularly in the many-nucleon part of the interaction.

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