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## $\alpha$ -particle D-state effects in $(d, \alpha)$ reactions

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It is shown that the tensor analyzing powers for  $(d, \alpha)$  reactions are sensitive to the *D* state component in the  $\alpha$ -particle wave function. The *D* to *S* state asymptotic ratio extracted from  $T_{20}$  and  $T_{22}$  data in  $J = L \pm 1$  transitions is discussed using an  $\alpha$ -particle *D* state generated with the Jackson and Riska model.

NUCLEAR REACTIONS Polarization <sup>32</sup>S, <sup>36,38</sup>Ar(
$$\vec{d}, \alpha$$
),  $E = 16$  MeV;  
measured  $A_{xx}, A_{yy}$ ; deduced effect of  $\alpha$ -particle D state.

Recent measurements of the tensor analyzing powers  $T_{20}$  and  $T_{22}$  in  $(d, \alpha)$  reactions performed at the Triangle Universities Nuclear Laboratory (TUNL)<sup>1</sup> show systematic features in the angular distributions that distinguish between different values of the total angular momentum transfer J. These J-dependent effects could not be reproduced by calculations using the standard distorted wave Born approximation (DWBA). In particular, the effect of the deuteron spin dependent distortion does not explain<sup>1</sup> the observed J dependence. It is well known<sup>2</sup> that the deuteron D state is primarily responsible for the large tensor analyzing powers  $T_{2q}$ , q = 0, 1, 2, observed in (d,p) reactions. Also, the  $T_{2q}$  for (d,t)and  $(d, {}^{3}\text{He})$  reactions<sup>3,4</sup> are, to a large extent, determined by the D states of  ${}^{3}H$  and  ${}^{3}He$ . In fact, the DWBA without spin dependent distortion (SDD) and D-state effects (DSE) predicts  $T_{2q}$  that are identically zero in (d,p), (d,t), and  $(d, {}^{3}\text{He})$  reactions. The situation is different in  $(d, \alpha)$  reactions since, with no SDD and no DSE, the  $T_{2q}$  are nonvanishing<sup>5</sup> except in transitions where the orbital angular momentum of the transferred deuteron L is zero.

In this Communication we show that the Jdependent effects in the  $T_{2q}$  for  $(d, \alpha)$  reactions are a manifestation of the D state in the relative motion between the deuteron-deuteron clusters in the  $\alpha$  particle. The asymptotic D- to S-state ratio  $\rho$  is discussed using the model of Jackson and Riska.<sup>6</sup>

In the one-step DWBA the transition amplitude for a  $(d, \alpha)$  reaction depends on the internal structure of the  $\alpha$  particle through

$$R\left(\vec{\mathbf{r}}\right) = \langle \phi_1^{\sigma_1}(\vec{\mathbf{r}}_{13}) \phi_2^{\sigma_2}(\vec{\mathbf{r}}_{24}) | \phi_{\alpha} \rangle \quad . \tag{1}$$

Here  $\phi_i^{\sigma_i}$  (i = 1, 2) and  $\phi_{\alpha}$  are the normalized inter-

nal wave functions of the two deuterons and  $\alpha$  particle. The quantities  $\vec{r}_{13}$ ,  $\vec{r}_{24}$  ( $\vec{r}_{ij} = \vec{r}_i - \vec{r}_j$ ) are the internal coordinates of the deuterons, and  $\vec{r} = (\vec{r}_{21} - \vec{r}_{43})/2$  is the separation between the centers of mass of deuterons 1 and 2. Because of angular momentum and parity selection rules,  $R(\vec{r})$  contains only S- and D-state terms and can be written as

$$R(\vec{r}) = \frac{1}{2} \sum_{L'=0,2} (-1)^{\sigma_2} (L'M 1 \sigma_1 | 1 - \sigma_2) \times u_{L'}(r) Y_{L'}^M(\hat{r}) .$$
(2)

The quantities  $u_0$  and  $u_2$  are the radial wave functions that describe the relative motion between the deuteron clusters in the  $\alpha$  particle. In the asymptotic region of large r they behave as

$$u_{L'}(r) = N_{L'} u_{L'}^N(r) / (\alpha r)$$
  
$$\rightarrow -i^{L'} N_{L'} h_{L'}(i\alpha r), \quad r \to \infty \quad . \tag{3}$$

Here  $h_{L'}$  is a spherical Hankel function,  $N_{L'}$  are asymptotic normalization constants, and  $\alpha = [2M (B_{\alpha} - 2B_d)/\hbar^2]^{1/2}$  is the wave number of the relative motion of the deuteron clusters, where  $B_{\alpha}$ and  $B_d$  are the  $\alpha$ -particle and deuteron binding energies. The superscript N indicates that  $u_{L'}^N$  is independent of  $N_{L'}$  for large r. The asymptotic D- to S-state ratio in the  $\alpha$  particle is defined as  $\rho = N_2/N_0$ . The usual D-state parameter<sup>2-4</sup>  $D_2$  is given by  $D_2 = \rho \alpha^{-2}$ when the functions  $u_{L'}$  are represented by their asymptotic forms.

In the DWBA the angular momentum coupling in a  $A(d, \alpha)B$  transition amplitude is determined by the

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coefficient7

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$$A_{l1J}^{L'L} = [3(2l+1)]^{1/2} W(LL'J1;l1) \quad . \tag{4}$$

Here L and L' are the orbital angular momenta of the transferred deuteron in the target nucleus and in the  $\alpha$  particle. *l* is the total orbital angular momentum transfer in the reaction. For a zero spin target the total angular momentum transfer J is equal to the residual nucleus spin. Equation (4) shows that L' = 0implies l = L. For L' = 2, considering only the dominant normal parity transitions,<sup>8</sup> in which l + L= even, we obtain l = L,  $L \pm 2$ . Thus for L = J, there is only one allowed value of *l*, namely, l = L. However, for  $J = L \pm 1$ , there is a mixing of *l* values. In fact, l = L, L - 2 are allowed for J = L - 1, and l = L, L + 2 are allowed for J = L + 1. This selection rule on l and J is the origin of the J-dependent effects in the  $T_{2q}$ . In order to gauge the  $\alpha$  particle Dstate effects in  $(d, \alpha)$  reactions, we make a planewave peripheral model for the transfer process where the scattering wave functions are represented by plane waves and the bound state wave functions by the asymptotic Hankel functions.<sup>7</sup> Using the methods developed in Refs. 7 and 8 we obtain<sup>9</sup>

$$T_{2q} = -(2\pi/5)^{1/2}F(L,J)Y_2^q(\hat{Q}) \quad . \tag{5}$$

Here  $\vec{Q} = (m_A/m_B)\vec{k}_d - \vec{k}_{\alpha}$  ( $\vec{k}_d$ ,  $\vec{k}_{\alpha}$  are the asymptotic momenta) and

$$F(L,J=L) = -1 ,$$

$$F(L,J=L \pm 1) = \left[ L + (1 \mp 1)/2 + 9\sqrt{2} \frac{J(J+1)}{2J+1} \Delta_L^{\pm} \left[ 1 + \frac{[J+(1\pm 3)/2][2(L\pm 2)+1]^{1/2}}{2\sqrt{10}(2J+1)} \Delta_L^{\pm} \right] \right] \times \left[ 2J+1 + \frac{9J(J+1)}{2(2J+1)} (\Delta_L^{\pm})^2 \right]^{-1} .$$
(6a)
(6b)

The quantities  $\Delta_L^{\pm}$  are given by

$$\Delta_{L}^{\pm} = -\rho \frac{\int j_{L \pm 2}(Qr)h_{L \pm 2}(i\beta r)r^{2}dr}{\int j_{L}(Qr)h_{L}(i\beta r)r^{2}dr} \left[ \left( \frac{\alpha}{\beta} \right)^{2} - \rho \frac{L + (1 \mp 1)/2}{\sqrt{2}[2(L \pm 1) + 1]} \right]^{-1} , \qquad (7)$$

where  $\beta$  is the wave number of the transferred deuteron in the target.

In the absence of DSE,  $\rho = \Delta_L^{\pm} = 0$  and Eq. (6) give the well-known<sup>1,5</sup> L and J dependence of the  $T_{2q}$  as predicted by the DWBA with no SDD.

Instead of  $T_{20}$  and  $T_{22}$ , it is more convenient to consider the Cartesian polarization observables  $A_{xx}$ and  $A_{yy}$ , referred to the same Madison Convention coordinate system.<sup>1</sup> Equation (5) gives

$$A_{xx} = \frac{1}{4} (3\cos 2\gamma - 1) F(L,J) , \qquad (8a)$$

$$A_{yy} = \frac{1}{2}F(L,J)$$
 , (8b)

where  $\gamma$  is the angle that  $\vec{Q}$  makes with the z axis. Calculations usings Eqs. (6) -(8), and shown in Fig. 1, provide a qualitative description of the  $A_{xx}$ ,  $A_{yy}$  angular distributions in transitions with  $J = L \pm 1$ . In all transfers only the predominant L value<sup>1</sup> was taken into account and the weak dependence of  $\Delta_L^{\pm}$  on  $\theta$ was neglected. The oscillatory pattern in  $A_{yy}$ , particularly noticeable in the L = 0 transition, is a diffraction effect with a period of approximately  $\Delta Q = \pi/R$ , where R is the nuclear radius. Transitions with J = Lwere not considered since they are expected to have considerably smaller DSE. The best fit to the L = 0, J = 1, data of Fig. 1 was obtained with  $\rho = -0.19$ : the L = 2, J = 1 and L = 2, J = 3 transitions give  $\rho = -0.21$  and -0.22, respectively. The analysis of the present  $(d, \alpha)$  data obtained at TUNL<sup>1</sup> gives a value of  $\rho = -0.21 \pm 0.06$ , where the error includes an estimate of the effect of *L* mixing. This value of  $\rho$  should be considered as preliminary, since it results from a simplified reaction model. Full finite range DWBA calculations of  $(d, \alpha)$  reactions, including the *S* and *D* states of the  $\alpha$  particle, are clearly needed.

The experimentally estimated value of  $\rho$  is now compared with the result of a calculation using a particular  $\alpha$ -particle wave function. Gerjuoy and Schwinger<sup>10</sup> were the first authors to give a classification of states in the  $\alpha$ -particle ground state and to consider the effect of the tensor interaction in the 4 nucleon bound system. There are six independent  ${}^{5}D_{0}$  states in the  $\alpha$  particle.<sup>11</sup> To estimate  $\rho$ , we shall consider only the principal D state,  ${}^{12}$  namely,  ${}^{5}D_{0}^{(1)}$ , which is believed to have the largest probability because it has maximum symmetry in the tensor interaction operator. Further, the perturbative approach of Jackson and Riska,<sup>6</sup> used here, generates only a  ${}^{5}D_{0}^{(1)}$  state in the  $\alpha$  particle. We write

$$|\psi_D\rangle = G_0 \sum_{i < j} V_T^{ij} |\psi_s\rangle \quad , \tag{9}$$

where  $|\psi_s\rangle$  is the normalized <sup>1</sup>S<sub>0</sub> state, G<sub>0</sub> is the Green's operator of the free nucleon system, and

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ο° 30° 60° 90° θ<sub>c.m.</sub>

FIG. 1. Angular distributions of  $A_{xx}$  and  $A_{yy}$  for (a)  ${}^{32}S(d, \alpha){}^{30}P$ , ground-state transition, (b)  ${}^{38}Ar(d, \alpha){}^{36}Cl$ , 0.79 MeV transition; and (c)  ${}^{36}Ar(d, \alpha){}^{34}Cl$ , 2.18 MeV transition, all at  $E_d = 16$  MeV. The solid (open) data points are for  $A_{yy}(A_{xx})$  and the error bars are about the size of the circles. The solid curves are the result of calculations including the  $\alpha$ -particle D state and the broken curves were obtained with a pure S-state  $\alpha$  particle.

states give a contribution to the L' = 2 part of  $R(\vec{r})$ through their overlap with the *D* states of the deuteron clusters. This type of contribution is relatively small in (d,t) reactions.<sup>4</sup> The asymptotic ratio  $\rho$  is determined by the low

by the  $\alpha$ -particle D state given in Eq. (9). However,

it is noted that some components in the  $\alpha$ -particle S

momentum components of  $R(\vec{r})$ . For small k, the L'=2 radial wave function is

$$\bar{u}_{2}(k) = -\left(\frac{2}{\pi}\right)^{1/2} \frac{1}{15} \int_{0}^{\infty} u_{2}(r) r^{4} dr k^{2} \times [1 + 0(k^{2})] .$$
(10)

The calculation of the  $k^2$  coefficient in Eq. (10), using Eq. (9), gives the approximate formula<sup>9</sup>

$$\rho = \frac{\sqrt{8S}}{B} \int_0^\infty V_T(r) u_0^N(r) r^3 dr \Big/ \int_0^\infty u_2^N(r) r^3 dr \quad ,$$
(11)

which can be applied to the A = 2, 3, and 4 nucleon bound systems. In Eq. (11), B is the binding energy of the A system and S is a spin-isospin factor: S(d)= 1,  $S({}^{3}H) = S({}^{3}He) = -1$ , and  $S(\alpha) = -2$ . The sign of S results from the attractive or repulsive character of the tensor interaction between the transferred one or two nucleons and the remaining nucleons of the A system. It determines the relative sign of the  $T_{2q}$  for (d,p) and (d,t), and  $(d,\alpha)$  reactions, at low deuteron incident energies. As A goes from 2 to 4, the increase in binding energy pushes the wave function  $u_0^N(r)$  to smaller radii. Hence, the form of the numerator in Eq. (11) implies that  $\rho(\alpha)$ is very sensitive to the tensor interaction for  $r\mu < 1$ , where  $\mu^{-1} = 1.43$  fm is the range of the OPEP. The reliability of Eq. (11) can be established by using it to estimate  $\rho$  for A = 2 and 3, using realistic deuteron and triton wave functions. With the Reid soft core deuteron wave function,<sup>13</sup> Eq. (11) gives  $\rho(d)$ =0.032, which is in reasonable agreement with the exact Reid value  $\rho(d) = 0.026$ . For the triton using the wave function of Sasakawa et al., 14 which has  $\rho = -0.048$ , Eq. (11) predicts  $\rho = -0.060$ , which is about 30% smaller.

Turning now to the  $\alpha$  particle, we notice from Eq. (11) that the behavior of  $u_0$  for small momentum is important in determining  $\rho$ . In fact,  $\rho$  is particularly sensitive to the finite range parameter  $\beta$  [defined in Eq. (8) of Ref. 15] that determines the coefficient of the  $k^2$  term in the expansion of  $\overline{u}_0(k)$ . Furthermore,  $\beta^{-1}$  characterizes the range of the interaction that generates  $u_0$ . It is reasonable to assume that the effective interactions that generate  $u_0$  in the triton

and  $\alpha$  particle have appoximately the same range  $\beta^{-1}$ . The triton wave function of Ref. 14 has  $\beta = 1.5 \text{ fm}^{-1}$ . In the  $\alpha$  particle we choose for  $u_0^N$  a parametrization of the form  $u_0^N(r) = \exp(-\alpha r) - \exp[b(R-r)]$  $-\alpha R$ ], where R = 0.4 fm is a hard core radius. The parameter b is determined through the condition that  $u_0^N$  has  $\beta = 1.5$  fm<sup>-1</sup>. With this wave function, and representing  $u_2^N$  by its asymptotic form, Eq. (11) gives  $\rho(\alpha) = -0.31$ . The inclusion of a cutoff factor,  ${}^{16}1 - \exp(-Ar^2)$ , with A = 0.735 fm<sup>-2</sup>, in the OPEP tensor interaction, increases  $\rho$  to  $\rho(\alpha) = -0.23$ . Although rather crude, the model used to predict  $\rho(\alpha)$  gives values which are in qualitative agreement with those extracted from the  $(d, \alpha)$  reaction data. The marked increase of  $\rho(A)$  with A results from its approximate proportionality to  $S \alpha^4 B^{-1}$  in Eq. (11)

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and is a consequence of the increase in binding energy with A from A = 2 to 4.

The results reported in this Communication show that the tensor analyzing powers of  $(d, \alpha)$  reactions are sensitive to D states in the  $\alpha$  particle. Further experiments and refined theoretical calculations are required to improve the determination of  $\rho(\alpha)$ .

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