

**Vanishing closure correction in the second-order optical potential**

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The first-order correction to the closure approximation in the multiple-scattering calculation of the second-order optical potential is shown to vanish under rather general conditions.

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The closure approximation is commonly used to reduce the complication of higher-order terms in multiple-scattering theory. For example, a typical term in the second-order optical potential is

$$M_{12} = \langle \Phi_0 | t_2 G t_1 | \Phi_0 \rangle, \tag{1}$$

where  $\Phi_0$  is the ground state wave function of the target nucleus, and  $t_1, t_2$  are effective interactions between the projectile and the target nucleons labeled 1 and 2. The full Green's function for the system is

$$G = (E^+ - h - H_N)^{-1}, \tag{2}$$

where  $h$  is the projectile Hamiltonian and  $H_N$  is the Hamiltonian of the target nucleus, with  $H_N \Phi_0 = 0$ . Under the closure approximation,  $H_N$  in Eq. (2) is replaced by a constant,<sup>1,2</sup> interpreted as an average excitation energy. Usually this constant is chosen to equal the ground state energy, hence

$$H_N \rightarrow 0, \tag{3}$$

expressing the idea that the projectile scatters before the target nucleons have time to recoil. Under these approximations  $G$  reduces to

$$G \rightarrow g = (E^+ - h)^{-1}, \tag{4}$$

and Eq. (1) becomes

$$M_{12}^0 = \langle \Phi_0 | t_2 g t_1 | \Phi_0 \rangle. \tag{5}$$

One obvious correction to the closure approximation is derived by expanding Eq. (2) to first order in  $H_N$ , giving

$$\Delta M_{12} = \langle \Phi_0 | t_2 g H_N g t_1 | \Phi_0 \rangle. \tag{6}$$

Equation (6) seems nontrivial, because  $H_N$  does not commute with the  $t_j$ .

It is of interest to evaluate Eq. (6) under the familiar simplifying assumptions that the  $t_j$  are approximately local in the nucleon coordinates<sup>3</sup> [e.g.,  $t_j = e^{i(\vec{k}-\vec{k}')\cdot\vec{r}_j} t(\vec{k}, \vec{k}')$ , where  $k'$  and  $k$  are initial and final projectile momenta] and the interactions in  $H_N$  are local. In this case only the kinetic energy operator in  $H_N$ , a linear combination of single-particle operators, does not commute with  $t_j$ . Use of the property  $H_N \Phi_0 = 0$  allows a variety of reduced expressions for  $\Delta M_{12}$ ; a typical one is

$$\Delta M_{12} = (-\hbar^2/2M) \langle \Phi_0 | t_2 g^2 [\nabla_1^2, t_1] | \Phi_0 \rangle. \tag{7}$$

We now observe that  $\nabla_1^2$  in the second term of the commutator operates on  $\Phi_0$  at the right hand end of Eq. (7), while  $\nabla_1^2$  in the first term commutes to the left through the other operators and operates on  $\Phi_0$  at the left. Because  $\nabla_1^2$  is even under time reversal, the two operations on  $\Phi_0$  at the left and at the right are equal, therefore the commutator vanishes, and we have the result

$$\Delta M_{12} = 0. \tag{8}$$

The above analysis does not use any special aspects of the  $\nabla^2$  operator. It only uses the properties that  $\Delta M_{12}$  is a diagonal matrix element and the kinetic energy is a one-body operator that is even under time reversal.

The same result<sup>4</sup> may be obtained using the momentum space double-commutation relations of Noble.<sup>5</sup>

Although the result  $\Delta M_{12} = 0$  is implicit in earlier articles,<sup>4,6</sup> the simplicity and generality of the above analysis does not seem to be known. Naturally, there are conditions that violate this theorem. For example, the  $t_j$  or the interactions in  $H_N$  may be nonlocal. Or the target nucleus may be very

light, in which case recoil would effectively cause the wave functions at the two ends of the matrix element to be different. These wave functions also differ if inelastic processes should be of interest. Nevertheless, it is intriguing that an apparently straightforward correction to closure for elastic

scattering tends to vanish.

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