

**Wave function approach to reaction matrix theory. I\***

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A wave function method for computing off-shell  $K$  matrix elements is presented. Certain computational advantages are derived thereby. The formalism developed is used to relate the  $K$  matrix elements for the exponential potential to tabulated functions.

[NUCLEAR REACTIONS Scattering theory, a wave function approach to  $K$  matrix theory.]

I. INTRODUCTION

In recent years a number of investigators<sup>1</sup> have used the reaction matrix ( $K$  matrix) as the basis for nuclear reaction calculations. These studies conclude that the  $K$  matrix formalism represents an effective way to evaluate the collision matrices for nuclear reactions. In a typical cross section calculation one usually computes the  $K$  matrix elements by means of an iterated version of the Shakin-Hufner-Lemmer method.<sup>2</sup> Keeping in mind the usefulness of  $K$  matrix elements in the studies of scattering reactions, the integral equation for the  $K$  operator has been studied in some detail by Tobocman and Nagarajan,<sup>3</sup> by Ernst *et al*,<sup>4</sup> and by Kouri and Levin.<sup>5</sup>

The integral equation for the  $K$  operator is given by

$$K(E) = V + V G_0^S(E) K(E), \tag{1}$$

with

$$G_0^{(S)}(E) = \frac{P}{E - H_0} = \frac{1}{2} \left( \frac{1}{E - H_0 + i\epsilon} + \frac{1}{E - H_0 - i\epsilon} \right),$$

$$\epsilon \rightarrow 0^+. \tag{2}$$

In Eqs. (1) and (2),  $V$  is the two-particle potential,  $E$  the energy parameter, and  $H_0$  the kinetic energy

operator.

It has been observed that the formal solutions of Eq. (1) are not given in any simple form containing  $P/(E - H)$  since this operator does not have a Lippmann-Schwinger iteration.<sup>6</sup> Kouri and Levin have obtained the elements of the  $K$  operator in terms of an altered  $K$  matrix, which they denote by  $\tilde{K}$ . The Hermitian operator  $\tilde{K}$  is defined by

$$\tilde{K}(E) = V + V \frac{P}{E - H} V = \text{Re } T(E), \tag{3}$$

where

$$H = H_0 + V. \tag{4}$$

In Eq. (3)  $\text{Re } T(E)$  denotes the real part of the transition operator

$$T(E) = V + V(E - H_0 + i\epsilon)^{-1} T(E). \tag{5}$$

The on-shell, half-off-shell, and off-shell matrix elements of  $\tilde{K}$  are related<sup>5</sup> to those of  $K$  by

$$\begin{aligned} \text{Re} \langle k | T_i(k^2) | k \rangle &= \langle k | \tilde{K}_i(k^2) | k \rangle \\ &= \cos^2 \delta_l(k) \langle k | K_i(k^2) | k \rangle, \end{aligned} \tag{6}$$

$$\begin{aligned} \text{Re} \langle p | T_i(k^2) | k \rangle &= \langle p | \tilde{K}_i(k^2) | k \rangle \\ &= \cos^2 \delta_l(k) \langle p | K_i(k^2) | k \rangle \end{aligned} \tag{7}$$

and

$$\text{Re} \langle p | T_i(k^2) | q \rangle = \langle p | \tilde{K}_i(k^2) | q \rangle = \langle p | K_i(k^2) | q \rangle + \frac{\pi k}{2 \cos^2 \delta_l(k)} \tan \delta_l(k) \langle p | \tilde{K}_i(k^2) | k \rangle \langle k | \tilde{K}_i(k^2) | q \rangle, \tag{8}$$

where  $\delta_l(k)$  is the phase shift for the  $l$ th partial wave. We work with units in which  $\hbar^2/2m$  is unity. Relations (6), (7), and (8) show that a determination of the matrix elements of  $\tilde{K}$  together with the phase shift  $\delta_l(k)$  leads to an evaluation of those of  $K$  itself. Thus in this approach one is not required to solve Eq. (1).

The present paper is directed towards the implementation of a wave function method for computing the  $K$  matrix, which might serve as an alternative to the integral equation method described above. The wave function approach of van Leeuwen and Reiner<sup>7</sup> has been found very useful to calculate the  $T$  matrix in closed form.<sup>8</sup> In their approach the

$T$  matrix is obtained from the solution of an inhomogeneous Schrödinger-like equation which satisfies the outgoing wave boundary condition. It is, therefore, expected that the solution of such an inhomogeneous equation with a standing wave boundary condition could be employed to calculate the matrix element of the  $K$  operator.

In Sec. II we derive the formal method for computing the off-shell  $K$  matrix by the wave function approach. The results outlined in Sec. II are applied in Sec. III to obtain a closed form expression for the fully off-shell  $K$  matrix for the exponential potential. In Sec. IV we summarize our outlook on such a calculation.

## II. OFF-SHELL $K$ MATRIX

Following van Leeuwen and Reiner we define a wave operator

$$\Omega(E) = 1 + G_0^S(E)K(E). \quad (9)$$

Combining Eqs. (1) and (9) and neglecting squares and higher powers of  $\epsilon$  we obtain

$$K(E) = V\Omega(E) \quad (10)$$

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$$\langle \hat{\mathbf{r}} | \Omega(E) | qlm \rangle = \left(\frac{2}{\pi}\right)^{1/2} j_l(qr)y_{lm}(\hat{r}) + \int \langle \hat{\mathbf{r}} | G_0^S(E) | \hat{\mathbf{r}}' \rangle d\hat{\mathbf{r}}' \langle \hat{\mathbf{r}}' | K(E) | qlm \rangle. \quad (14)$$

In Eq. (14) we now insert the representation for the standing wave Green's function

$$\langle \hat{\mathbf{r}} | G_0^S | \hat{\mathbf{r}}' \rangle = -k \sum_{lm} j_l(kr) \eta_l(kr) y_{lm}(\hat{r}) y_{lm}^*(\hat{r}') \quad (15)$$

and let  $r$  become large. We thus find

$$\langle \hat{\mathbf{r}} | \Omega(E) | qlm \rangle \underset{r \rightarrow \infty}{\sim} \left(\frac{2}{\pi}\right)^{1/2} y_{lm}(\hat{r})(qr)^{-1} [\sin(qr - \frac{1}{2}l\pi) - \frac{1}{2}\pi q \langle klm | K(E) | qlm \rangle \cos(kr - \frac{1}{2}l\pi)]. \quad (16)$$

In Eq. (16)  $\langle klm | K(E) | qlm \rangle$  represents the half-off-shell  $K$  matrix elements. By comparing the on-shell version ( $q=k$ ) of Eq. (16) with the asymptotic solution<sup>10</sup>

$$\psi(r) \underset{r \rightarrow \infty}{\sim} \left(\frac{2}{\pi}\right)^{1/2} y_{lm}(\hat{r})(kr)^{-1} [\sin(kr - \frac{1}{2}l\pi) + \tan \delta_l \cos(kr - \frac{1}{2}l\pi)] \quad (17)$$

of the Schrödinger equation with standing wave boundary condition, we see that the on-shell  $K$  matrix elements have the normalization

$$\langle klm | K(E) | klm \rangle = -\frac{2}{\pi k} \tan \delta_l(k). \quad (18)$$

Since the potential in Eq. (12) is central we can write

$$\langle \hat{\mathbf{r}} | \Omega(E) | qlm \rangle = \left(\frac{2}{\pi}\right)^{1/2} (qr)^{-1} \phi_l(k, q, r) y_{lm}(\hat{r}). \quad (19)$$

and

$$(E - H_0 - V)\Omega(E) = E - H_0. \quad (11)$$

In a mixed representation Eq. (11) reads

$$[E - \nabla^2 - v(r)] \langle \hat{\mathbf{r}} | \Omega(E) | qlm \rangle = (E - q^2) \langle \hat{\mathbf{r}} | qlm \rangle, \quad (12)$$

where

$$\langle \hat{\mathbf{r}} | qlm \rangle = \left(\frac{2}{\pi}\right)^{1/2} j_l(qr) y_{lm}(\hat{r}). \quad (13)$$

The objects  $j_l(qr)$  and  $y_{lm}(\hat{r})$  represent the usual spherical Bessel function and spherical harmonic. It may be noted that Efimov and Schulz<sup>9</sup> have recently computed the off-shell  $K$  matrix for a Jastrow-type potential by imposing certain boundary conditions on the solutions of Eq. (12).

The boundary conditions for large  $r$  on the solution of Eq. (12) are obtained from Eq. (9). We have

Substitution of Eq. (19) into Eq. (12) yields

$$\left[ k^2 + \frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} - V(r) \right] \phi_l(k, q, r) = (k^2 - q^2) u_l(qr), \quad (20)$$

where  $u_l(qr)$  is the Riccati-Bessel function.<sup>11</sup> The solutions of Eq. (12) have been shown to be related<sup>12</sup> to the solutions of the equation

$$\left[ k^2 + \frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} - V(r) \right] f_l(k, q, r) = (k^2 - q^2) e^{i\pi/2} \omega_l^+(qr), \quad (21)$$

where  $\omega_l^*(qr)$  is the Ricatti-Hankel function of the first kind. The solution of Eq. (21) which is of interest has the asymptotic normalization

$$f_l(k, q, r) \underset{r \rightarrow \infty}{\sim} e^{iqr}. \tag{22}$$

From Eqs. (21) and (22) we see that when  $q = \pm k$

$$\phi_l(k, q, r) = -\frac{1}{4}\pi q \langle k | K_l(E) | q \rangle [e^{-i\pi/2} f_l(k, r) + e^{i\pi/2} f_l(-k, r)] + (1/2i) [e^{-i\pi/2} f_l(k, q, r) - e^{i\pi/2} f_l(k, -q, r)], \tag{24}$$

where

$$\langle k | K_l(E) | q \rangle = \langle klm | K(E) | qlm \rangle. \tag{25}$$

We note that  $\phi_l(k, q, r)$  represents the off-shell wave function<sup>14</sup> regular at the origin. The behavior of  $\phi_l(k, q, r)$  for small  $r$  will determine the half-off-shell  $K$  matrix elements. We have

$$\langle k | K_l(E) | q \rangle = \left(\frac{k}{q}\right)^l \frac{2 \operatorname{Im} f_l(k, q)}{\pi q |f_l(k)| \cos \delta_l(k)}. \tag{26}$$

In deducing Eq. (26) we have used the following definition for the off-shell Jost function<sup>12</sup>:

$$f_l(k, q) = \frac{q^l e^{-i\pi/2} (2l+1)}{(2l+1)!!} \lim_{r \rightarrow 0} r^l f_l(k, q, r). \tag{27}$$

$$\langle p | K_l(k^2) | q \rangle = \frac{2}{\pi p q} \left\{ \int_0^\infty dr u_l(pr) V(r) \left[ \beta_l(r) - \left(\frac{k}{q}\right)^l \frac{\operatorname{Im} f_l(k, q)}{|f_l(k)| \cos \delta_l} \alpha_l(r) \right] \right\}, \tag{30}$$

where

$$\alpha_l(r) = \cos \frac{1}{2} l \pi \operatorname{Re} f_l(k, r) + \sin \frac{1}{2} l \pi \operatorname{Im} f_l(k, r), \tag{31a}$$

$$\beta_l(r) = \cos \frac{1}{2} l \pi \operatorname{Im} f_l(k, q, r) - \sin \frac{1}{2} l \pi \operatorname{Re} f_l(k, q, r), \tag{31b}$$

and

$$\nu_l(r) = \cos \frac{1}{2} l \pi \operatorname{Im} f_l(k, r) - \sin \frac{1}{2} l \pi \operatorname{Re} f_l(k, r). \tag{31c}$$

Equation (30) represents the basic equation for computing the off-shell  $K$  matrix by the wave function method. A useful check on the validity of this equation is that one can relate Eq. (30) with the real part of the  $T$  matrix given by Fuda and Whiting [Eqs. (2.18) and (2.30) of Ref. 12] to obtain the relations (6), (7), and (8) between  $K$  and  $\bar{K}$ .

### III. EXPONENTIAL POTENTIAL-AN EXAMPLE

For the exponential potential

$$V(r) = -\frac{z_0^2}{4a^2} e^{-r/a}, \tag{32a}$$

this function goes over to the Jost solution<sup>13</sup>

$$f_l(\pm k, r) = f_l(k, \pm k, r). \tag{23}$$

Using Eqs. (19), (22), and (23) in (16), it is easy to see that for finite  $r$  the object  $\phi_l(k, q, r)$  is given by

We have also used

$$f_l(k, -q, r) = f_l^*(k, q, r) \tag{28a}$$

and

$$f_l(k, q) = f_l^*(k, -q). \tag{28b}$$

In Eq. (26)  $\delta_l(k)$  is the negative of the phase of the Jost function  $f_l(k)$  which by definition is the phase shift.<sup>6</sup>

The off-shell  $K$  matrix can be obtained by combining the relations (10), (13), and (19). We have

$$\langle p | K_l(k^2) | q \rangle = \frac{2}{\pi p q} \int_0^\infty u_l(pr) v(r) \phi_l(k, q, r) dr. \tag{29}$$

With the help of Eq. (24), Eq. (29) reduces to

the  $s$ -wave part of Eq. (23) is given by

$$\left[ k^2 + \frac{d^2}{dr^2} + \frac{z_0^2}{4a^2} e^{-r/a} \right] f(k, q, r) = (k^2 - q^2) e^{iqr}. \tag{32b}$$

In writing out Eq. (32b) we omitted the subscript  $l=0$ . We now change the variable by substituting  $z = z_0 e^{-r/2a}$  and arrive at the equation

$$\left[ \frac{d^2}{dz^2} + \frac{1}{z} \frac{d}{dz} + (1 - \nu^2/z^2) \right] f(k, q, z) = 4a^2 (k^2 - q^2) z_0^{2iaq} z^{-2-2iaq}. \tag{33}$$

The particular solution of Eq. (33) is given by<sup>15</sup>

$$f(k, q, z) = 4a^2 (k^2 - q^2) z_0^{2iaq} s_{\nu\nu}, \tag{34}$$

where  $s_{\mu\nu}$  is the Lommel function written as

$$s_{\mu\nu}(z) = \frac{z^{\mu+1}}{(\mu+\nu+1)(\mu-\nu+1)} \times {}_1F_2\left(\frac{1}{2}\mu - \frac{1}{2}\nu + \frac{3}{2}, \frac{1}{2}\mu + \frac{1}{2}\nu + \frac{3}{2} \mid -\frac{1}{4}Z^2\right), \quad (35)$$

$$f(k, q, z) = z_0^{2iqa} z^{-2iqa} {}_1F_2\left(\begin{matrix} 1 \\ 1 - ika - iqa, 1 + ika - iqa \end{matrix} \mid -\frac{1}{4}z^2\right). \quad (36)$$

It can be easily shown that in the asymptotic limit

$$f(k, q, z) \sim e^{iar} \quad (37)$$

Equation (37) represents the correct asymptotic limit prescribed for the off-shell Jost solution. The on-shell Jost solution  $f(k, z)$  is given by

$$f(k, z) = \lim_{q \rightarrow k} f(k, q, z) = \left(\frac{1}{2}z_0\right)^{2ika} \Gamma(1 - 2ika) J_{-2ika}(z). \quad (38)$$

In writing Eq. (38) we have used

$$\Gamma(\alpha+1) \left(\frac{z}{2}\right)^{-\alpha} J_{\alpha}(z) = {}_0F_1(\alpha+1; -\frac{1}{4}z^2). \quad (39)$$

From Eqs. (36) and (38) the off-shell and on-shell Jost functions are obtained in the forms

$$f(k, q) = {}_1F_2\left(\begin{matrix} 1 \\ 1 - ika - iqa, 1 + ika - iqa \end{matrix} \mid -\frac{1}{4}z_0^2\right) \quad (40)$$

with

$$\mu = -1 - 2iqa, \quad \nu = 2ika. \quad (35')$$

The function  ${}_1F_2(\cdot \cdot \cdot \mid x)$  is a special case of the generalized hypergeometric function defined by Luke.<sup>16</sup> Inserting Eq. (35) in Eq. (34) we have

and

$$f(k) = \left(\frac{1}{2}z_0\right)^{2ika} \Gamma(1 - 2ika) J_{-2ika}(z_0). \quad (41)$$

In terms of the Jost solutions and Jost function, the off-shell wave function  $\phi(k, q, r)$  regular at the origin can be written as

$$\begin{aligned} \phi(k, q, r) = & A(k, q) [c(k) J_{-2ika}(z) + c^*(k) J_{2ika}(z)] \\ & + B(k, q) [z_0^{2iqa} S_{-1-2iqa, 2ika}(z) \\ & - z_0^{-2iqa} S_{-1+2iqa, 2ika}(z)], \quad (42) \end{aligned}$$

where

$$A(k, q) = -\frac{1}{4}\pi q \langle k | K | q \rangle, \quad (43a)$$

$$c(k) = \left(\frac{1}{2}z_0\right)^{2ika} \Gamma(1 - 2ika), \quad (43b)$$

$$c^*(k) = \left(\frac{1}{2}z_0\right)^{-2ika} \Gamma(1 + 2ika),$$

and

$$B(k, q) = -2i\alpha^2(k^2 - q^2), \quad (43c)$$

with

$$\langle k | K | q \rangle = \frac{8\alpha^2(k^2 - q^2) [z_0^{2iqa} S_{-1-2iqa, 2ika}(z_0) - z_0^{-2iqa} S_{-1+2iqa, 2ika}(z_0)]}{i\pi q [c(k) J_{-2ika}(z_0) + c^*(k) J_{2ika}(z_0)]}. \quad (44)$$

With the help of Eqs. (29), (32a), and (42), the s-wave part of the off-shell  $K$  matrix is obtained in the form

$$\langle p | K | q \rangle = \frac{z_0}{2i\pi\alpha p q} [A(k, q) I_1 + B(k, q) I_2], \quad (45)$$

where

$$I_1 = \int_0^{z_0} [(z/z_0)^{1+2iap} - (z/z_0)^{1-2iap}] [c(k) J_{-2ika}(z) + c^*(k) J_{2ika}(z)] dz \quad (46)$$

and

$$I_2 = \int_0^{z_0} [(z/z_0)^{1+2iap} - (z/z_0)^{1-2iap}] [z_0^{2iqa} S_{-1-2iqa, 2ika}(z) - z_0^{-2iqa} S_{-1+2iqa, 2ika}(z)] dz. \quad (47)$$

Fortunately, the integrals in Eqs. (46) and (47) can be related to the tabulated integrals<sup>17</sup> given by

$$\begin{aligned} y(p, k) &= \int_0^{z_0} (z/z_0)^{1+\lambda} J_{-\nu}(z) \frac{dz}{z_0} \\ &= \frac{(2/z_0)^{\nu}}{(\lambda - \nu + 2)\Gamma(1 - \nu)} {}_1F_2\left(\begin{matrix} \frac{1}{2}(\lambda - \nu + 2) \\ 1 - \nu, \frac{1}{2}(\lambda - \nu + 4) \end{matrix} \mid \frac{1}{4}z_0^2\right) \quad (48) \end{aligned}$$

and

$$x(p, q, k) = \int_0^{z_0} z^{2\alpha-\mu} S_{\mu, \nu}(z) dz$$

$$= \frac{1}{2} \frac{z_0^{2(\alpha+1)} \Gamma(1+\alpha)}{(\mu-\nu+1)(\mu+\nu+1) \Gamma(\alpha+2)} {}_2F_3 \left( \begin{matrix} 1, & 1+\alpha \\ \frac{1}{2}(\mu-\nu+3), & \frac{1}{2}(\mu+\nu+3), & \alpha+2 \end{matrix} \middle| -\frac{1}{4} z_0^2 \right), \quad (49)$$

with  $\lambda = 2ip\alpha$ ,  $\alpha = ia(p-q)$ , and  $\mu$  and  $\nu$  given by Eq. (35').

Combining Eqs. (45), (46), (47), (48), and (49) we obtain the expression for the s-wave part of the exponential potential  $K$  matrix in the form

$$\langle p | K | q \rangle = \frac{z_0}{2i\pi a p q} \left( A(k, q) z_0 \{ c(k) [y(p, -k) - y(-p, -k)] \right.$$

$$+ c^*(k) [y(p, k) - y(-p, k)] \} + B(k, q) \{ x(p, q, k)$$

$$- x(p, -q, k) - x(-p, q, k) + x(-p, -q, k) \} \Big). \quad (50)$$

#### IV. CONCLUSION

Based on the van Leeuwen-Reiner approach to off-shell scattering we have presented a straightforward method to compute the matrix elements of the  $K$  operator. We have expressed the  $K$  matrix elements as a single quadrature over the potential sandwiched between a plane wave and an appropriate off-shell wave function. It is seen that the results for the off-shell  $K$  matrix element for the exponential potential can be expressed in closed form involving functions whose series representa-

tions have infinite radii of convergence. It should therefore be possible to sum the series on a computer and use it as a check on programs which evaluate  $K$  matrix elements by numerical methods.

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