

Thermodynamics of the parity-doublet model: Symmetric nuclear matter and the chiral transition

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We present a detailed discussion of the thermodynamics of the parity-doublet nucleon-meson model within a mean-field theory, at finite temperature and baryon-chemical potential, with special emphasis on the chiral transition at large baryon densities and vanishing temperature. We consider isospin-symmetric matter. We systematically compare the parity-doublet model to a related singlet model obtained by disregarding the chiral partner of the nucleon. After studying the ground-state properties of nuclear matter, the nuclear liquid-gas transition, and the density modifications of the nucleon sigma term which govern the low-density regime, we give new insight into the underlying mechanisms of the zero-temperature chiral transition occurring at several times the nuclear saturation density. We show that the chiral transition is driven by a kind of symmetry energy that tends to equilibrate the populations of opposite-parity baryons. This symmetry energy dictates the composition of matter at large baryon densities, once the phase space for the appearance of the negative-parity partner is opened. We furthermore highlight the characteristic role within the thermodynamics of the chiral-invariant mass of the parity-doublet model. We include the chiral limit in all of our discussions in order to provide a complete picture of the chiral transition.

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I. INTRODUCTION

Recent observations of gravitational waves from neutron stars and neutron-star mergers have triggered a renewal interest in the study of the equation of state of dense matter at finite density and moderate temperature (for a review see Ref. [1] and references therein). There is indeed hope that such observations can provide useful constraints on the equation of state of dense matter, complementing the empirical information that can be obtained from relativistic heavy-ion collisions at various facilities.

One important question is whether nuclear matter turns into quark matter under the conditions that prevail in the interior of neutron stars or in a neutron-star merger. To answer this question, one needs a good knowledge of the equation of state over a wide range of baryonic densities. From a theoretical point of view, a determination of the equation of state at finite baryon density is difficult. Standard lattice techniques cannot be applied and most theoretical studies therefore rely on models whose range of validity is difficult to control. Often, the equation of state is built via an interpolation procedure between the low-density region, where low-energy nuclear physics provides information, to the very-high-density region, where QCD perturbation theory becomes applicable. This paper will be concerned with the general question of up to how large a density one can reliably extrapolate models that reproduce well the properties of nuclear matter near its ground state.

Dense matter is expected to undergo a number of phase transitions (possibly reduced to smooth crossovers) as the temperature or the baryon density increases. In this context chiral symmetry plays a special role. Its explicit breaking is manifest in many low-energy nuclear physics phenomena, while lattice calculations indicate that it is restored at high temperature, and it is likely that the same feature shows up at high baryon density. Chiral symmetry restoration is accompanied by the vanishing of an order parameter, the quark condensate, or equivalently the expectation value of a scalar field. The chirally symmetric phase may be also characterized by the presence of degenerate parity doublets. It is the identification of such massive parity doublets in early lattice calculations that motivated the development of the so-called parity-doublet model [2]. Since then, the evidence for parity doubling in the baryon spectrum has received further support from lattice calculations [3,4]. It should be noted though that most of these evidences concern finite temperature and zero baryon density.

In its simplest version, the parity-doublet model is a generalization of the linear sigma model [2] (see also Refs. [5,6] for a detailed formulation). The chiral field, composed of a scalar field and a pion field, is coupled to a baryon parity doublet. A natural identification of the partner of the nucleon, the dominant degree of freedom in nuclear matter, is the $N^*(1535)$. This is what we use in this paper, being aware of the fact that this particular choice may not quite fit with the present understanding of the couplings of the N^* to π and η (see, e.g., Refs. [5,7]). A remarkable feature of the model is to accommodate a mass term for the baryon that is compatible with chiral symmetry. Thus, once chiral symmetry is restored, the members of the doublet become degenerate, but

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remain massive. This is a distinctive feature of the model, as compared, for instance, to extensions of the original Walecka model [8] where the baryon mass is entirely given by the scalar field, and therefore vanishes in the chirally symmetric phase. Thus the parity model offers us a novel perspective on how chiral symmetry is realized in various environments. In spite of shortcomings, it is indeed a nice model, offering a playground for many detailed calculations. We have used it recently in an analysis of $\pi - \pi$ scattering, where it was found to yield remarkably accurate results [9].

The parity-doublet model, in its original version or in various extensions, has been used in numerous dense-matter studies, including neutron-star matter, see, e.g., Refs. [7,10–19]. In this paper, we restrict ourselves to the simplest version of the model, keeping only the nucleon parity-doublet degree of freedom as described above, and ignoring other possible degrees of freedom such as hyperons [20–22] or Δ excitations of the nucleon [16]. We also leave aside interesting aspects of chiral symmetry, in particular those associated with the $U(1)_A$ anomaly [17]. Our main concern in this paper is to understand in detail the dynamics of the chiral transition in the model, in particular at finite baryon density, how this is related via the parameter determination to low-energy nuclear matter properties, and learning from such an analysis how reliable can be an extrapolation to the high-density regime where the chiral transition is predicted to occur in the model.

In our analysis, we find it instructive to compare the results obtained in the parity-doublet model with those of simpler models that can be viewed as extensions of the Walecka model that account for chiral symmetry. A generic example is the so-called chiral nucleon-meson model [23] (see also Refs. [24,25]). Many features of this model are indeed shared by the parity-doublet model. Our study will be limited to a mean-field approximation, i.e., a classical field approximation for the mesons and a one-loop calculation of the fermion determinant. The fermionic fluctuations included in the fermion determinant play an essential role in chiral symmetry restoration and cannot be ignored, while at high density the meson fluctuations are presumably corrections that can be accounted for by a modification of the effective potential for the mesonic fields, without introducing additional qualitative changes. Note that the effect of fluctuations in both the chiral nucleon-meson model and the parity-doublet model have been studied within functional renormalization group approaches [12,23,26]. One important conclusion of Ref. [23] is that chiral symmetry restoration appears to take place at very high density. The same prediction holds in the parity-doublet model [7], which exhibits in fact a stronger stability, with symmetry restoration taking place only for density at least ten times that of nuclear matter. Understanding the origin of this important feature is part of the motivation for the present study.

Although the present setup allows us to study the finite-temperature chiral transition, and we indeed present results for this, the approximations that we use prevent us to get a fully quantitative or even qualitative picture. This is because, at finite temperature and low baryon density, meson fluctuations, in particular those of the pions, are expected to play a major role. Treating correctly these fluctuations would be essential to make contact for instance with chiral perturbation theory

[27,28], as well as with the resonance gas model (for a recent review, see Ref. [29]).

Another important aspect of the present work is the systematic comparison with the chiral limit, where the explicit symmetry-breaking term is made to vanish and the pion becomes effectively massless. The chiral limit enters in particular the discussion of the nucleon sigma term, whose magnitude provides a hint about the magnitude of the chiral condensate in a baryon, and more generally in moderately dense matter. It also happens that the nature of the chiral transition differs in the chiral limit from what it is for the physical pion mass. In fact, much can be understood about the detailed dynamics of the chiral transition at finite baryon density and vanishing temperature by contrasting the results obtained for the physical pion mass with those of the chiral limit.

The outline of the paper is as follows: In the next section, we recall the basics of the parity-doublet model, its symmetry properties, and the chiral-invariant-mass term. We also discuss the phenomenological bosonic potential that complements the fermionic part. In the following section, we review the parameter determination, trying to clarify the correlations between the different parameters of the model, and the constraints coming from empirical data on nuclear-matter ground-state properties, as well as its liquid-gas transition. Section IV is devoted to a discussion of the nucleon sigma term, focusing in particular to uncertainties in the extrapolation between the chiral limit and the physical point. We also study corrections to the sigma term coming from the presence of baryonic matter and show that an expansion in powers of the density is not well converging. The Sec. V is devoted to a detailed study of the chiral transition. We provide a detailed analysis of the transition in the parity-doublet model (and the related singlet model), either at vanishing chemical potential and finite temperature, or at vanishing temperature and finite baryon-chemical potential. We emphasize the very different natures of the transition in the two cases. The present study is restricted to isospin-symmetric matter. A continuation of the present work to asymmetric, and in particular, neutron matter will be presented in a forthcoming publication [30].

II. PARITY-DOUBLET MODEL

In this section, we review the main features of the parity-doublet model, fixing the notation and providing a first qualitative discussion of its thermodynamics. We follow here the general presentation given in Ref. [9] (with slightly different notation).

The model that we consider consists of a baryon parity doublet that will be eventually identified with the nucleon $N(939)$ and the $N^*(1535)$ (with mass 1510 MeV [31]), which are coupled to a set of meson fields: an isoscalar meson field σ and its chiral partner, an isovector pion field π , and in addition an isoscalar vector field ω_μ . At moderate densities, the scalar field σ provides attraction between the baryons, while the vector field provides repulsion, the competition between both leading eventually to the ground state of nuclear matter as a self-bound system. Since we are concerned in this paper solely with isospin-symmetric matter, we ignore a potential coupling

of the baryons to an isovector vector field (which would play a role in isospin-asymmetric matter, see, e.g., Refs. [11,23]).

The overall Lagrangian takes the form of a generalized sigma model. A characteristic feature of the parity-doublet model is to allow the baryon to acquire a mass while respecting chiral symmetry. Such a mass survives chiral symmetry restoration at high temperature or high baryon density, both members of the doublet becoming then degenerate with the same mass m_0 . We also compare the results obtained with the parity-doublet model to those obtained with a similar model involving only the positive-parity nucleons. Such a model, which can be seen as a chirally symmetric extension of Walecka-type models [8], is sometimes referred to as a chiral nucleon-meson model [25,32]. Here we refer to it simply as to the “singlet” model as opposed to the “doublet” model from which it derives trivially by leaving out the negative-parity partner. As will be seen, we learn much about the dynamics of these models from the comparison between their singlet and doublet versions. Note that in the singlet model, the entire mass of the baryon is generated by the coupling of the nucleon to the scalar field, while in the doublet model only the deviation of the mass from m_0 is generated by such a coupling.

A. The model

To construct the parity-doublet model, we start with two massless Dirac spinors ψ_a and ψ_b of opposite parities, with ψ_a having positive parity. Each of these spinors can be decomposed into left and right components, e.g., ψ_a^L and ψ_a^R , such that $\gamma^5 \psi_a^R = \psi_a^R$ and $\gamma^5 \psi_a^L = -\psi_a^L$. Both ψ_a^L and ψ_a^R are isospin doublets which transform independently under the flavor transformations of $SU(2)_R \times SU(2)_L$, viz.

$$\psi_a^R \rightarrow e^{i\frac{\alpha_R \cdot \tau}{2}} \psi_a^R, \quad \psi_a^L \rightarrow e^{i\frac{\alpha_L \cdot \tau}{2}} \psi_a^L, \quad (1)$$

$$\mathcal{L}_F = (\bar{\psi}_a \bar{\psi}_b) \begin{pmatrix} \gamma^\mu (i\partial_\mu - g_v \omega_\mu) - y_a (\sigma + i\gamma^5 \vec{\pi} \cdot \boldsymbol{\tau}) \\ m_0 \gamma^5 \end{pmatrix} \begin{pmatrix} \psi_a \\ \psi_b \end{pmatrix}.$$

Aside from the kinetic term and the mass term just discussed, this Lagrangian exhibits the coupling of the fermions to the mesonic fields σ , $\vec{\pi}$, and ω_μ . In this paper, these mesonic fields will be treated in the classical approximation (i.e., as classical background fields for the fermions), and their Lagrangian will be specified below. Let us just note at this point that in the states to be considered, which are assumed to be both rotationally and parity invariant, only the sigma field σ and the zeroth component of the vector field, denoted ω , acquire a classical value. From now on we therefore set $\vec{\pi} = 0$. The choice of a unique coupling strength g_v of the vector meson to both ψ_a and ψ_b is convenient and in line with previous works on the subject (see, e.g., Ref. [33]). The Yukawa couplings y_a and y_b between the baryons and the chiral fields are distinct and their values will be fixed by physical constraints.

The physical fermion states are obtained as linear combinations of states with the same parity, e.g., ψ_a and $\gamma^5 \psi_b$. The coefficients of these linear superpositions are determined by diagonalizing the mass matrix with the help of the following

where $\boldsymbol{\tau} = (\tau_1, \tau_2, \tau_3)$ denotes the usual Pauli matrices, and $\boldsymbol{\alpha} = (\alpha_1, \alpha_2, \alpha_3)$ are the (real) parameters of the transformation. The isospin transformations correspond to transformations where $\boldsymbol{\alpha}_R = \boldsymbol{\alpha}_L$, while in a chiral transformation, the left and right components transform in opposite ways, that is,

$$\psi_a^R \rightarrow e^{i\frac{\alpha_R \cdot \tau}{2}} \psi_a^R, \quad \psi_a^L \rightarrow e^{-i\frac{\alpha_R \cdot \tau}{2}} \psi_a^L, \quad (2)$$

which we may write more compactly as

$$\psi_a \rightarrow e^{i\frac{\alpha_R \cdot \tau}{2} \gamma^5} \psi_a. \quad (3)$$

The same properties hold for ψ_b , with the essential new feature that the chiral transformations of ψ_a and ψ_b are correlated in a special fashion. In the so-called “mirror assignment,” the left and right components of ψ_b transform, respectively, in the same ways as the right and left components of ψ_a . That is, if in a chiral transformation ψ_a transforms as indicated in Eq. (3), then ψ_b transforms as

$$\psi_b \rightarrow e^{-i\frac{\alpha_R \cdot \tau}{2} \gamma^5} \psi_b. \quad (4)$$

This construct allows us to include in the Lagrangian a mass term of the following form [2]:

$$-m_0 (\bar{\psi}_a \gamma^5 \psi_b - \bar{\psi}_b \gamma^5 \psi_a), \quad (5)$$

while preserving its chiral symmetry. It is indeed easily verified, using the specific rules for the chiral transformation of the parity partners discussed above, that this expression (5) is invariant under a chiral transformation.

We write the Lagrangian of the model as the sum of two contributions, \mathcal{L}_F and \mathcal{L}_B which denote respectively the fermionic and bosonic parts of the total Lagrangian. The fermion Lagrangian is of the form

$$-m_0 \gamma^5 \begin{pmatrix} \psi_a \\ \psi_b \end{pmatrix} \begin{pmatrix} \bar{\psi}_a \\ \bar{\psi}_b \end{pmatrix} \begin{pmatrix} \gamma^\mu (i\partial_\mu - g_v \omega_\mu) - y_a (\sigma + i\gamma^5 \vec{\pi} \cdot \boldsymbol{\tau}) \\ m_0 \gamma^5 \end{pmatrix} \begin{pmatrix} \psi_a \\ \psi_b \end{pmatrix}. \quad (6)$$

orthogonal transformation:

$$\begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} = \begin{pmatrix} \cos \theta & \gamma^5 \sin \theta \\ -\gamma^5 \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \psi_a \\ \psi_b \end{pmatrix}. \quad (7)$$

A simple calculation yields

$$2\theta = \arctan \left[\frac{2m_0}{(y_a + y_b)\sigma} \right], \quad (8)$$

and the masses of ψ_+ and ψ_- , respectively M_+ and M_- , are given by

$$M_\pm = \frac{1}{2} \left[\pm \sigma (y_a - y_b) + \sqrt{\sigma^2 (y_a + y_b)^2 + 4m_0^2} \right]. \quad (9)$$

The fields ψ_+ and ψ_- are the physical states that we associate respectively to the nucleon $N(939)$ and its parity partner $N^*(1535)$, at this level of approximation. Note that $M_+ < M_-$ implies that $y_a < y_b$, irrespective of the value of m_0 , that is ψ_b is more strongly coupled to the chiral field than ψ_a .

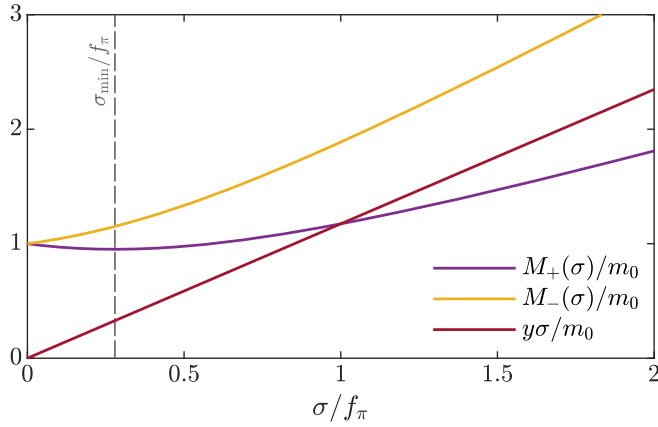


FIG. 1. Fermion mass M_{\pm} and singlet mass $y\sigma$ as a function of the σ field. The mass M_+ features a minimum at σ_{\min} (cf. also Refs. [34,35]).

In this physical basis the two fields ψ_+ and ψ_- decouple (formally, as both baryons remain coupled to the same mesonic fields). The fermionic Lagrangian can then be written as $\mathcal{L}_F = \mathcal{L}_F^+ + \mathcal{L}_F^-$, with

$$\mathcal{L}_F^{\pm} = \bar{\psi}_{\pm}(i\gamma^{\mu}\partial_{\mu} - g_v\gamma^0\omega - M_{\pm})\psi_{\pm}, \quad (10)$$

whose spectrum is given by

$$(E_p^{\pm} - g_v\omega)^2 = \mathbf{p}^2 + M_{\pm}^2. \quad (11)$$

The sigma field modifies the masses M_{\pm} while the vector field produces a constant (independent of the three-momentum \mathbf{p}) shift of the single-particle energies (opposite for particles and antiparticles). To make things clearer, we set $\varepsilon_p^{\pm} = (\mathbf{p}^2 + M_{\pm}^2)^{1/2}$. The energies E_p^{\pm} of the particles, and \bar{E}_p^{\pm} of the antiparticles, are then given respectively by

$$E_p^{\pm} = \varepsilon_p^{\pm} + g_v\omega, \quad \bar{E}_p^{\pm} = \varepsilon_p^{\pm} - g_v\omega. \quad (12)$$

We readily recover the singlet model, such as for instance the one used in Ref. [23], by dropping the parity-odd fermion ψ_b in Eq. (6) and setting $m_0 = 0$. The rotation in Eq. (7) becomes obsolete and we may identify $\psi_+ \equiv \psi_a$. The nucleon mass then reduces to $M_+ = y_a\sigma \equiv y\sigma = M$. Hence, in contrast with the parity-doublet model, the nucleon mass in the singlet model is entirely generated by the condensation of the σ field, and it vanishes when chiral symmetry is restored.

The dependence of the baryon masses in both the singlet and the doublet models is shown in Fig. 1. The parameters used for this plot are those determined in the next section (see Tables I and II). For a vanishing value of σ , the masses of the doublet members are degenerate at the value m_0 . The splitting observed between M_+ and M_- as σ increases is a robust feature of the parity-doublet model. It is a direct consequence of the diagonalization of the 2×2 mass matrix in Eq. (6), which is involved in the definition of the physical fields. Since, when $m_0 < M_N$, both M_+ and M_- eventually increase with σ at large values of σ (in order to reach their physical values at $\sigma = f_{\pi}$), this initial splitting implies that $M_+(\sigma)$ exhibits a

TABLE I. Set of chosen parameter values (if not stated otherwise) and approximate values of derived parameters for the parity-doublet model.

Parameter	Numerical value
Chiral-invariant mass m_0 [MeV]	800
Isoscalar mass m_{σ} [MeV]	340
Landau effective mass M_*	$0.93 \times M_N$
Yukawa coupling y_a	6.9
Yukawa coupling y_b	13.0
Yukawa coupling $y_+(f_{\pi})$	4.5
Yukawa coupling $y_-(f_{\pi})$	10.6
In-medium condensate σ_0 [MeV]	65.9
Taylor coefficient α_3 [MeV $^{-2}$]	4.4×10^{-1}
Taylor coefficient α_4 [MeV $^{-4}$]	-7.8×10^{-5}
Vector coupling G_v [fm 2]	1.58
Compression modulus K [MeV]	242.8
Surface tension Σ [MeV fm $^{-2}$]	1.28

shallow minimum at a value σ_{\min} given by

$$\sigma_{\min}^2 = \frac{m_0^2(y_a - y_b)^2}{y_a y_b (y_a + y_b)^2}. \quad (13)$$

With our choice of parameters, the value of σ_{\min} is $\sigma_{\min}/f_{\pi} \approx 0.28$. The role of this minimum of $M_+(\sigma)$ in the chiral transition will be discussed later. It is also worth noticing that, with the present choice of parameters, M_+ never deviates too much from m_0 , in contrast with M_- .

We now complete the discussion of the Lagrangian of the model, by specifying its mesonic part \mathcal{L}_B . Since we are treating the meson fields in the classical approximation, only the potential terms of \mathcal{L}_B are relevant. We set

$$\mathcal{L}_B = -V(\varphi^2) + h(\sigma - f_{\pi}) + \frac{1}{2}m_v^2\omega^2, \quad (14)$$

where $\varphi^2 \equiv \sigma^2 + \pi^2$ and f_{π} the pion-decay constant. Following previous works [23,25], we express the potential $V(\varphi^2)$ as a fourth-order polynomial in $\varphi^2 - f_{\pi}^2$,

$$V(\varphi^2) = \sum_{n=1}^4 \frac{\alpha_n}{2^n n!} (\varphi^2 - f_{\pi}^2)^n, \quad (15)$$

TABLE II. Set of chosen parameter values for the singlet model (if not stated otherwise) and approximate values of derived parameters.

Parameter	Numerical value
Isoscalar mass m_{σ} [MeV]	640
Landau effective mass M_*	$0.8 \times M_N$
Yukawa coupling $y_a \equiv y$	10.1
In-medium condensate σ_0 [MeV]	69.7
Taylor coefficient α_3 [MeV $^{-2}$]	2.2×10^{-1}
Taylor coefficient α_4 [MeV $^{-4}$]	-4.3×10^{-5}
Vector coupling G_v [fm 2]	5.44
Compression modulus K [MeV]	299.2
Surface tension Σ [MeV fm $^{-2}$]	1.43

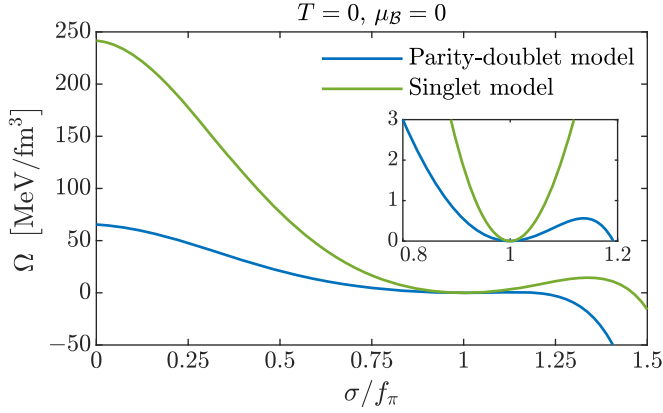


FIG. 2. Thermodynamic potential in vacuum ($T = 0$, $\mu_B = 0$), i.e., $\Omega \equiv U$.

where α_n will be referred to as a Taylor coefficient. As we see in the next section, the higher-order coefficients α_3 and α_4 are needed in order to be able to reproduce nuclear matter properties. The term $h\sigma$ accounts for the explicit symmetry breaking in the direction of the σ field. It confers the pion a finite mass. It also prevents chiral symmetry to be restored at high temperature and density. We often refer in this work to the so-called “chiral limit”: this is obtained by letting $h \rightarrow 0$, while keeping all other parameters fixed.

The mean-field approximation that is used in this paper consists in treating the mesonic fields as classical fields, while keeping the fermion fluctuations to order one-loop. These fermion fluctuations are functions of the σ field and contribute therefore to the mesonic effective potential. We call $U(\sigma, \omega) = U(\sigma) - \frac{1}{2}m_v^2\omega^2$ the full resulting effective potential in vacuum, noticing that ω is nonvanishing only in the presence of matter (see below). Note that in contrast with previous works (see, e.g., Ref. [7]) we do not include in the meson Lagrangian self-interactions of the vector field. The renormalization of the one-loop fermion contribution is detailed in Appendix A [see Eq. (A4)]. The renormalization conditions are chosen so that the first and second derivatives with respect to σ^2 of the fermionic one-loop contribution vanish at $\sigma = f_\pi$. It follows that the corresponding derivatives of $U(\sigma)$ coincide with those deduced from \mathcal{L}_B in Eq. (14), i.e., they are given by α_1 and α_2 . Note, however, that the renormalization conditions entail a modification of the potential in the vicinity of $\sigma = 0$ which receives in fact a large contribution from the fermion loop. There are indeed large cancellations between the fermion loop and the potential $V(\varphi)$, these cancellations being more important in the parity-doublet model than in the singlet model (see the discussion in Appendix A). Note finally that α_4 being negative, the potential is not bounded from below [17]. However, this occurs at values of σ that are larger than the values that are relevant to the physics that we want to study.¹

¹In Ref. [17], a fifth-order term is added to make the potential bounded from below, but we do not find it necessary to do so here.

The potentials used in our calculations are displayed in Fig. 2. One sees that the two potentials corresponding respectively to the singlet and doublet models overlap in the region $\sigma \simeq f_\pi$, as they should in order to fit the same nuclear matter properties. The large difference between the two potentials can be traced back in part to the large difference in the values of m_σ in the two models (640 MeV for the singlet model, and 340 MeV for the doublet model). This entails in particular large differences in the vicinity of $\sigma = 0$ with a strong impact on the chiral transition, as we see in Sec. V.

B. Thermodynamics

In studying the thermodynamics of the parity-doublet model, we limit ourselves in this paper to uniform systems that are isospin symmetric (all isospin members of the doublets are equally occupied). We want, however, to explore the properties of equilibrium states as a function of the baryon density. To do so, we introduce a chemical potential μ_B coupled to the baryon density n_B :

$$n_B = \langle \bar{\psi}_+ \gamma^0 \psi_+ + \bar{\psi}_- \gamma^0 \psi_- \rangle. \quad (16)$$

Note that the chemical potential enters the Lagrangian density in the same way as the component ω of the vector potential, whose role as we have seen is to shift the single-particle energies by a constant amount. It is then convenient at some places to absorb this shift into a modified chemical potential $\tilde{\mu}_B$,

$$\tilde{\mu}_B = \mu_B - g_v \omega. \quad (17)$$

With this convention, the combination $E_p^\pm - \mu_B$ that enters, for instance, the expression of the statistical factors can be written as $\varepsilon_p^\pm - \tilde{\mu}_B$. Similarly, for the antiparticles, whose chemical potential is opposite to that of the particles, $\bar{E}_p^\pm + \mu_B \mapsto \varepsilon_p^\pm + \tilde{\mu}_B$.

The grand canonical potential density Ω contains, in addition to the vacuum contribution U discussed in the previous section, a matter contribution. The latter is the contribution of independent fermion quasiparticles whose energies depend on the mesonic fields. We have

$$\Omega = U - 4T \sum_{\substack{i=\pm 1, \\ r=\pm 1}} \int \frac{d^3 p}{(2\pi)^3} \ln [1 + e^{-\beta(\varepsilon_p^i - r\tilde{\mu}_B)}], \quad (18)$$

where the index i runs over the two parity states and r refers to particles and antiparticles. The overall factor of four accounts for the sum over spin and isospin. In the above equation, the term proportional to T has a finite limit as $T \rightarrow 0$, equal to $\tilde{\mathcal{E}}_{\text{qp}} - \mu_B n_B$, where $\tilde{\mathcal{E}}_{\text{qp}}$ denotes the quasiparticle contribution to the energy density, the total energy density being $\mathcal{E} = U + \tilde{\mathcal{E}}_{\text{qp}}$.

The grand canonical potential density Ω is a function of the chemical potential μ_B and the temperature T . In addition, it depends on the values of the fields σ and ω . These constant fields σ and ω are to be considered as internal variables that need to be determined, for given T and μ_B by the requirement that Ω be stationary with respect to their variations. This leads

to the equations

$$\left. \frac{\partial \Omega}{\partial \omega} \right|_{\mu_B, T; \sigma} = 0, \quad \left. \frac{\partial \Omega}{\partial \sigma} \right|_{\mu_B, T; \omega} = 0. \quad (19)$$

The first Eq. (19) is essentially the equation of motion for the field ω in the classical approximation where all derivatives of the field vanish:

$$g_v \omega = G_v n_B, \quad G_v \equiv \frac{g_v^2}{m_v^2}. \quad (20)$$

It relates the ω field to its source, the baryon density n_B . The elimination of the ω field in favor of the baryon density allows us to express the shift in the single-particle energies in Eq. (12) as $\pm G_v n_B$. It also yields a repulsive interaction between the baryons, i.e., a contribution $(G_v/2)n_B^2$ to the energy density [see Eq. (39) below].

The baryon density, $n_B = -\partial \Omega / \partial \mu_B|_{\sigma, \omega}$ can be decomposed as a sum of densities $n_B = n_B^+ + n_B^-$ of positive-parity (n_B^+) and negative-parity (n_B^-) baryons:

$$n_B^\pm = 4 \sum_{r=\pm 1} r \int \frac{d^3 p}{(2\pi)^3} n_F(\varepsilon_p^\pm - r \tilde{\mu}_B), \quad (21)$$

where n_F is the Fermi-Dirac distribution

$$n_F(\varepsilon_p) = (e^{\beta \varepsilon_p} + 1)^{-1}. \quad (22)$$

Note that only the total baryon density n_B is controlled by the chemical potential μ_B . However, in the present approximation, the baryon density naturally splits into separate contributions coming from each member of the parity doublet, with the relative sizes of each contribution being determined by the different energies of the positive-parity (ε_p^+) versus negative-parity (ε_p^-) baryons.

The second Eq. (19) is akin to a gap equation. It can be written as

$$\left. \frac{\partial U}{\partial \sigma} \right|_{\omega} = -y_+ n_s^+ - y_- n_s^-, \quad (23)$$

where the scalar densities are given by

$$n_s^\pm = \left. \frac{\partial \Omega}{\partial M_\pm} \right|_{\mu_B, T; \omega} = 4 \sum_{r=\pm 1} \int \frac{d^3 p}{(2\pi)^3} \frac{M_\pm}{\varepsilon_p^\pm} n_F(\varepsilon_p^\pm - r \tilde{\mu}_B). \quad (24)$$

In writing Eq. (23) we have set

$$\frac{dM_\pm(\sigma)}{d\sigma} \equiv y_\pm(\sigma), \quad (25)$$

with

$$y_\pm = \frac{1}{2} \left[\pm(y_a - y_b) + \frac{\sigma(y_a + y_b)^2}{\sqrt{\sigma^2(y_a + y_b)^2 + 4m_0^2}} \right]. \quad (26)$$

Note the relation

$$y_+ M_+ + y_- M_- = (y_a^2 + y_b^2) \sigma, \quad (27)$$

which will be used later.

The scalar densities play an essential role in the restoration of chiral symmetry, in balancing, within the gap equation, the

TABLE III. Input parameters for the initialization of the model [23,31,36].

Parameter	Numerical value
Pion decay constant f_π [MeV]	93
Pion mass m_π [MeV]	138
Nucleon mass in vacuum M_N [MeV]	939
Mass of the chiral partner M_{N^*} [MeV]	1510
Nuclear saturation density n_0 [fm ⁻³]	0.16
Binding energy E_{bind} [MeV]	-16

source of spontaneous symmetry breaking that is included in the effective potential of the scalar field [$U''(\sigma=0) < 0$]. The effect of the presence of matter on the σ field can be understood qualitatively from the gap Eq. (23): the potential in vacuum has a minimum at $\sigma = f_\pi$. The right-hand side of Eq. (23) is generically negative, so that the solution of this equation is to be found in the region where $dU/d\sigma < 0$, that is for values of sigma smaller than f_π . In other words the presence of matter generically tends to decrease the σ field.

Finally, let us recall that the value of Ω calculated with the fields ω and σ that solve Eqs. (19) is equal to $-P(\mu_B, T)$, where P is the thermodynamic pressure.

III. DETERMINATION OF PARAMETERS

In this section we determine the parameters of the parity-doublet model, as well as those of the singlet model, by relating them to some well established properties of the vacuum and of symmetric nuclear matter in its ground state or in its liquid-gas phase transition. The values of the physical quantities that we aim to reproduce are indicated in Tables III and IV. The doublet model contains the following nine parameters: the four Taylor coefficients $\alpha_1, \alpha_2, \alpha_3, \alpha_4$ of the potential $V(\varphi)$, Eq. (15), the parameter h of the symmetry-breaking term, the parameter m_0 in Eq. (5), the vector coupling G_v in Eq. (20), and the Yukawa coupling constants y_a and y_b .

TABLE IV. Experimental values for the critical endpoint of the nuclear liquid-gas transition [53], and the values obtained within the doublet and singlet models (including also the respective critical baryon chemical potential).

Observable	Numerical value
Temperature T_c [MeV]	17.9 ± 0.4
Pressure P_c [MeV fm ⁻³]	0.31 ± 0.07
Baryon density n_c [fm ⁻³]	0.06 ± 0.01
Parity-doublet model:	
T_c [MeV]	18.0
P_c [MeV fm ⁻³]	0.32
n_c [fm ⁻³]	0.06
μ_c [MeV]	905
Singlet model:	
T_c [MeV]	17.9
P_c [MeV fm ⁻³]	0.34
n_c [fm ⁻³]	0.06
μ_c [MeV]	907

The singlet model contains only seven parameters (obtained by eliminating m_0 and y_b from the list of the doublet model parameters).

A. Vacuum state

Let us first consider the vacuum state. In this case, $\Omega = U(\varphi, \omega)$ where the internal variables φ and ω need to be adjusted so as to satisfy Eqs. (19). The vector field ω is related to the baryon density via Eq. (20) and vanishes in the vacuum. Regarding φ , we recall that f_π denotes the minimum of the potential in the presence of the explicit symmetry-breaking term $-h(\sigma - f_\pi)$. Since the fermion loop contribution is chosen so as to give vanishing contributions to the first and second derivatives of U with respect to σ^2 , one may study the vicinity of the physical vacuum by keeping only the first two terms in the potential $V(\varphi)$, namely,

$$V(\varphi) \simeq \frac{\alpha_1}{2}(\varphi^2 - f_\pi^2) + \frac{\alpha_2}{8}(\varphi^2 - f_\pi^2)^2. \quad (28)$$

The extrema of $U(\sigma)$ are then given by the solution of the following gap equation:

$$\frac{dU}{d\sigma} = \alpha_1\sigma + \frac{\alpha_2}{2}\sigma(\sigma^2 - f_\pi^2) - h = 0, \quad (29)$$

where h is to be chosen so that the solution is $\sigma = f_\pi$. Setting $\sigma = f_\pi$ in the equation above, one finds $\alpha_1 = h/f_\pi$. A further differentiation of $V(\varphi)$ yields the meson masses

$$m_\sigma^2 = \alpha_1 + \alpha_2 f_\pi^2, \quad m_\pi^2 = \alpha_1, \quad (30)$$

from which we get $\alpha_2 = (m_\sigma^2 - m_\pi^2)/f_\pi^2$. It follows in particular that $h = m_\pi^2 f_\pi$. For the physical pion mass $m_\pi = 138$ MeV, we thus have

$$h = m_\pi^2 f_\pi \simeq 1.77 \times 10^6 \text{ MeV}^3, \quad (31)$$

with the pion-decay constant $f_\pi = 93$ MeV. Note that here and throughout this paper m_π and f_π refer to the physical pion mass and decay constant in vacuum. Note also that while α_1 and h are directly fixed by these physical quantities, this is not the case of α_2 , which depends on m_σ^2 , for which exists a range of acceptable values. The Yukawa coupling constants y_a and y_b are functions of σ and m_0 . However, the dependence on σ can be eliminated by using the relations $M_+(f_\pi) = M_N$ and $M_-(f_\pi) = M_{N^*}$. We obtain then

$$y_a = \frac{1}{2f_\pi} \left[M_N - M_{N^*} + \sqrt{(M_N + M_{N^*})^2 - 4m_0^2} \right],$$

$$y_b = \frac{1}{2f_\pi} \left[M_{N^*} - M_N + \sqrt{(M_N + M_{N^*})^2 - 4m_0^2} \right], \quad (32)$$

so that y_a and y_b depend effectively only on m_0 . In the singlet model, the Yukawa coupling is simply given by $y = M_N/f_\pi$.

At this point, we have determined two parameters α_1 and h and traded α_2 in favor of m_σ^2 . We have also related y_a and y_b to m_0 . We are therefore left with six parameters to be determined (four for the singlet model), for which we now turn to symmetric nuclear matter in its ground state.

B. Symmetric nuclear matter

Nuclear matter in its ground state at $T = 0$ exists as a self-bound system, with vanishing pressure, at a given density n_0 , commonly referred to as the “saturation” density. At this density or below, only nucleons are present (the density of the negative-parity partners is completely negligible). So the singlet and doublet models differ solely by the dependence of the nucleon mass on σ . In this section we alleviate the notation and denote the baryon density simply as n instead of n_B , and similarly for the chemical potential $\mu_B \mapsto \mu$.

1. Digression on the gap equations

The grand potential at zero temperature is given by

$$\Omega(\mu; \sigma, \omega) = U(\sigma, \omega) + \tilde{\mathcal{E}}_{\text{qp}}(\mu; \sigma, \omega) - \mu n(\mu; \sigma, \omega), \quad (33)$$

where

$$U(\sigma, \omega) = U(\sigma) - \frac{1}{2}m_v^2\omega^2. \quad (34)$$

The baryon density n and the quasiparticle energy density $\tilde{\mathcal{E}}_{\text{qp}}$ are obtained by filling all the quasiparticle levels with energy smaller than the chemical potential, which leads to the expressions

$$n = 4 \int_p \theta(\tilde{\mu} - \varepsilon_p^+), \quad \tilde{\mathcal{E}}_{\text{qp}} = 4 \int_p \theta(\tilde{\mu} - \varepsilon_p^+) E_p^+, \quad (35)$$

with the shorthand notation

$$\int_p = \int \frac{d^3p}{(2\pi)^3}, \quad (36)$$

which we use occasionally throughout the rest of the paper. To verify that $n = -\partial\Omega/\partial\mu|_{\sigma, \omega}$, we note that the constraint $E_p \lesssim \mu$ translates into a constraint on the Fermi momentum p_F such that $E_{p_F} = (p_F^2 + M^2)^{1/2} + g_v\omega = \mu$. This relates the Fermi momentum, and hence the density $n = 2p_F^3/(3\pi^2)$, to the chemical potential.

In fact, at $T = 0$, the quasiparticle energy density $\tilde{\mathcal{E}}_{\text{qp}}$ is more naturally expressed in terms of the density than in terms of the chemical potential. We have

$$\tilde{\mathcal{E}}_{\text{qp}}(n; \sigma, \omega) = 4 \int_{|p| < p_F} \frac{d^3p}{(2\pi)^3} [\sqrt{p^2 + M(\sigma)^2} + g_v\omega]. \quad (37)$$

The last term in this equation gives a contribution equal to $g_v\omega n$. The chemical potential is now obtained as

$$\mu = \left. \frac{\partial \tilde{\mathcal{E}}_{\text{qp}}}{\partial n} \right|_{\sigma, \omega} = \sqrt{p_F^2 + M^2(\sigma)} + g_v\omega, \quad (38)$$

which coincides with the expression given just above.

In addition to this relation, we have the two Eq. (19) that express the stationarity of the grand potential, or equivalently the energy density $\mathcal{E} = \tilde{\mathcal{E}}_{\text{qp}} + U(\sigma, \omega)$, with respect to the fields ω and σ . The first equation relates ω to the baryon density, $\omega = (g_v/m_v^2)n$, and, when combined to the contribution $g_v\omega n$ contained in $\tilde{\mathcal{E}}_{\text{qp}}$, leads to the following contribution to the total energy density:

$$-\frac{1}{2}m_v^2\omega^2 + g_v\omega n = \frac{1}{2}G_v n^2. \quad (39)$$

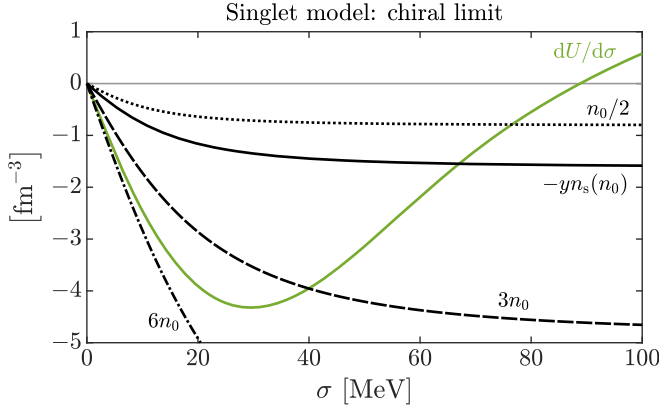


FIG. 3. Graphical solution of the gap equation. The green curve represents $dU/d\sigma$ as a function of σ . This curve is independent of n . The black curves give the contribution from the scalar density $-yn_s$ as a function of σ for given density n (solid for $n = n_0$, dotted for $n = n_0/2$, dashed for $n = 3n_0$, dash-dotted for $n = 6n_0$). Note that, in the range of densities and values of σ relevant to the present discussion, $n_s \simeq n$. The intersection point between the green curve and a black one gives the solution σ_n of the gap equation for the corresponding values of n .

In the following we denote by \mathcal{E}_{qp} the contribution of the quasiparticle energies without the repulsive vector contribution. That is, we set

$$\mathcal{E}(n; \sigma) = \mathcal{E}_{\text{qp}}(n; \sigma) + \frac{1}{2} G_v n^2 + U(\sigma), \quad (40)$$

where

$$\mathcal{E}_{\text{qp}}(n; \sigma) = 4 \int_{|p| < p_F} \frac{d^3 p}{(2\pi)^3} \sqrt{p^2 + M(\sigma)^2}. \quad (41)$$

The gap equation reads

$$\frac{dU(\sigma)}{d\sigma} + yn_s = 0, \quad (42)$$

where $n_s = \partial \mathcal{E}_{\text{qp}} / \partial M|_{n; \sigma}$ is a function of σ and p_F [an analytic expression is provided in Eq. (103) below]. In fact, for a density smaller than normal nuclear matter density, the dependence on σ is weak and, to a very good approximation, $n_s \simeq n$.

An illustration of the graphical solution of the gap equation for the singlet model (in the chiral limit) is provided in Fig. 3. In the region of interest, as we just said, $n_s \simeq n$ and the solution of the gap equation provides a smooth relation between n and σ . Calling σ_n the solution of the gap equation for a given density n , one can calculate the total energy density $\mathcal{E}(n; \sigma_n) = U(\sigma_n) + \mathcal{E}_{\text{qp}}(n; \sigma_n) + \frac{1}{2} G_v n^2$. This is the strategy that we use to calculate the nuclear matter energy per particle as a function of density.

2. The saturation mechanism

At this point it may be useful to recall the basic mechanism that leads to the so-called saturation of nuclear matter, that is how the equilibrium zero-pressure state is achieved in the present models. In nonrelativistic calculations saturation is understood as the equilibrium state obtained when attractive

forces balance the kinetic energy and the repulsive forces. In the present relativistic models, the repulsion is due to vector-meson exchange. The baryon density constitutes a source for the vector-meson field, according to Eq. (20) which may be used to eliminate the vector field in favor of the baryon density. This yields, as we have seen, a repulsive contribution to the energy density [see Eq. (39)], characteristic of a two-body short-range repulsive interaction.

The mechanism of attraction is somewhat different and it involves the variation of the nucleon mass with the strength of the sigma field. Let us consider a system with low baryon density. Then the sigma field is close to f_π , and the nucleon mass does not differ much from its value in the vacuum. The nonrelativistic approximation for the kinetic energy is valid, and yields the following expression for the energy density

$$\mathcal{E}(n; \sigma_n) = n \left[M(\sigma_n) + \frac{3}{5} \frac{p_F^2}{2M(\sigma_n)} \right] + \frac{G_v}{2} n^2 + U(\sigma_n). \quad (43)$$

To obtain this equation, we have used the equation of motion (20) relating the field ω to the baryon density. As for the field σ_n , this is the solution of the gap Eq. (23). In the vicinity of $\sigma \simeq f_\pi$, $U(\sigma) \simeq (m_\sigma^2/2) \tilde{\sigma}^2$, where $\tilde{\sigma} = \sigma - f_\pi$. The gap equation reads then

$$m_\sigma^2 \tilde{\sigma}_n \simeq -yn, \quad (44)$$

where we have used $n_s \simeq n$. At this point, we consider for simplicity the singlet model where the mass is given by $M = y\sigma$. Then

$$M(\sigma_n) = M_N - G_s n, \quad (45)$$

where we have set $G_s \equiv y^2/m_\sigma^2$ and we have identified $M(f_\pi)$ to the nucleon mass M_N . The energy per particle then becomes

$$\frac{\mathcal{E}(n; \sigma_n)}{n} \simeq M_N + \frac{3}{10} \frac{p_F^2}{M_N} + \frac{n}{2} (G_v - G_s). \quad (46)$$

This expression, valid only for small density, allows us to understand the mechanism of attraction. In fact, at this level of approximation, the attraction between nucleons can be seen as the result of a simple scalar exchange between the nucleons, leading to a contribution to the energy density analogous to that of the vector exchange, Eq. (39), but with an opposite sign. The behavior of the energy per particle is plotted in Fig. 4. There is a small increase (hardly visible on the figure) at very small density which comes from the kinetic-energy contribution $\approx n^{2/3}$. Then the linear behavior of Eq. (46) sets in. Since $G_v < G_s$ (for the singlet model, $G_s \simeq 9.7 \text{ fm}^2$, while $G_v \simeq 5.4 \text{ fm}^2$) the resulting slope is negative and eventually leads to a negative energy per particle.

The linear behavior of the scalar contribution is eventually suppressed by higher-order terms, and a minimum of the energy per particle is reached. In the original Walecka model [8], the saturation comes from the taming of the attraction due to a modification of the relation between n_s and n as n increases. However, in the present case, an additional factor, in fact the dominant one, comes from the nonlinear meson interactions coded in the potential $V(\sigma)$, via the Taylor coefficients α_3 and α_4 . These high-order terms are necessary in order to optimize nuclear matter properties. Their presence requires a

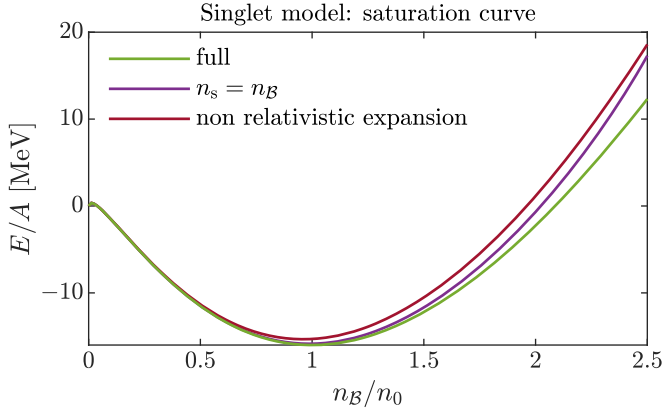


FIG. 4. Saturation curve, $E/A = \mathcal{E}(n)/n - M_N$. This is obtained by solving the gap equation with the full potential, with in the right-hand side either $-yn_s$ or $-yn = -yn_B$. The third curve shows the nonrelativistic approximation to the kinetic energy.

fine tuning, involving a cancellation of large contributions in the vicinity of $\sigma = f_\pi$. This impacts not only the potential in the vicinity of $\sigma = f_\pi$, but also in the vicinity of $\sigma = 0$. It affects therefore the chiral transition (see also Fig. 2 and the associated discussion). In this context it is important to keep in mind that some of the properties of the chiral transition that we discuss in Sec. V depend on an extrapolation on which we have little physical control.

To emphasize the role of α_3 and α_4 , we have plotted in Fig. 4 the saturation curve (i.e., the binding energy per nucleon E/A vs the density n) obtained in the singlet model. The full result is compared with those obtained using either the nonrelativistic approximation, as in Eq. (46), or the approximation $n_s \simeq n$ when solving the gap equation. We see that the deviations are nearly negligible for density $n \lesssim n_0$, indicating that both approximations are quite accurate in this density range. However, if we were to ignore the contribution of α_3 and α_4 , that is use for the potential the expansion $U(\sigma) \simeq (m_\sigma^2/2)\bar{\sigma}^2$, as we did above to get the small density behavior, we would not be able to reproduce the saturation curve (as already observed in previous studies, see, e.g., Ref. [24]).

The minimum of the energy per particle \mathcal{E}/n corresponds to a state of zero pressure. Recall indeed that the pressure is related to the energy density \mathcal{E} by

$$P = n \frac{d\mathcal{E}(n)}{dn} - \mathcal{E}(n) = n^2 \frac{d\mathcal{E}/n}{dn}. \quad (47)$$

At saturation, the chemical potential $\mu = d\mathcal{E}/dn$ is equal to the energy per particle, $\mu_0 = \mathcal{E}(n_0)/n_0$, so that $P(n_0) = 0$. A plot of the pressure as a function of the nucleon density can be seen in Fig. 8. The curve corresponding to zero temperature indeed reveals the existence of a point of vanishing pressure, where furthermore the compressibility,

$$\chi = \frac{1}{n} \left(\frac{dP}{dn} \right)^{-1}, \quad (48)$$

is positive. Note that $P(n) = P(n; \sigma_n)$, where σ_n is the solution of the gap equation corresponding to the density n . This solution can be followed by continuity in the regions

where the pressure is negative or even in regions where the compressibility is negative and the system is unstable. The knowledge of the energy density for all values of n between zero and n_0 is useful for estimating the surface energy, as we shall see shortly.

3. The ground state properties

We return now to the determination of the parameters. Since the pressure vanishes in the ground state of nuclear matter, the chemical potential $\mu_0 = d\mathcal{E}/dn|_{n_0}$ is equal to the energy per baryon $\mathcal{E}(n_0)/n_0$, which differs from M_N by the binding energy per nucleon, $E_{\text{bind}} = -16$ MeV. It follows that

$$\mu_0 = M_N + E_{\text{bind}} = 923 \text{ MeV}. \quad (49)$$

Inside nuclear matter, the nucleons behave as quasiparticles with energies given as a function of momentum by [see Eq. (12)]

$$E_p^+ = \sqrt{p^2 + M_+^2} + G_v n = \varepsilon_p^+ + G_v n, \quad (50)$$

where M_+ is the mass of the nucleon in matter, given by Eq. (9). It is convenient here to introduce the Landau effective mass M_* , defined as

$$\left. \frac{\partial E_p}{\partial p} \right|_{p_F} \equiv \frac{p_F}{M_*}, \quad (51)$$

where p_F/M_* is the Fermi velocity. A simple calculation yields

$$M_* = \mu_0 - G_v n_0 = \sqrt{p_F^2 + M_+^2(\sigma_0, m_0)}. \quad (52)$$

The first Eq. (52) provides a direct relation between the effective mass M_* and the vector interaction strength G_v .² This formula may be also interpreted in the context of Fermi liquid theory (see, e.g., Refs. [37,38]) where it can be written in the form

$$M_* = \mu_0 \left(1 + \frac{F_1}{3} \right), \quad (53)$$

where the Landau parameter F_1 is directly related to the vector coupling strength G_v : $F_1 = -3G_v n_0/\mu_0$.

The second Eq. (52) provides a constraint on the model parameters. It allows us in particular to determine σ_0 as a function of m_0 , given a value of M_* .

As shown in Eq. (30) the Taylor coefficients α_1 and α_2 are related to m_π^2 and m_σ^2 . The other coefficients, α_3 and α_4 , are determined from the two conditions

$$\mathcal{E}(n_0; \sigma_0) = \mu_0 n_0, \quad \left. \frac{\partial \mathcal{E}(n_0; \sigma)}{\partial \sigma} \right|_{\sigma_0} = 0, \quad (54)$$

where σ_0 is the value of the sigma field in nuclear matter, i.e., $\sigma_0 = \sigma_{n_0}$. Note that these conditions are analogous to those

²Note that the formula (52) implies that the effective mass is the same for the positive and negative baryons. However, for negative baryons, the effective mass exists only when there is a Fermi sea of negative baryons, which is not the case at the normal nuclear matter density that we consider in this section.

which were used to fix the values of α_1 and α_2 from the local properties of the potential in the vicinity of $\sigma = f_\pi$. The first condition is the condition of vanishing pressure. The second condition is the gap equation.

At this point, all parameters have been either fully determined, or related to m_0 , m_σ , and G_v (or m_σ and G_v in the singlet model). We then explore the range of acceptable values of these parameters by looking at other physical properties of nuclear matter. These concern additional ground-state properties beyond the ground-state density and binding energy, and the characteristics (pressure, density, and temperature) of the critical point of the liquid-gas transition.

Among the ground-state properties that are commonly referred to in this context are the surface tension Σ and the compression modulus K . A further quantity is the nucleon sigma term, which will be the object of the next section. We find it useful here to review briefly the derivations of Σ and K as this provides insight into their dependence on the parameters and the uncertainties in their determination.

The surface tension is typically computed by considering a semi-infinite slab of nuclear matter, whose density is uniform in the x and y direction, but varies from n_0 to zero in the z direction, i.e., the density is a function $n(z)$. Surface properties have been studied in relativistic models similar to the present ones for a long time, solving field equations, or using semiclassical approximations (see, e.g., Refs. [39–41] for some representative calculations). Here we follow a phenomenological approach, based on semiclassical and nonrelativistic approximations. We write the total energy per unit surface area as the following functional of the density $n(z)$ (see, e.g., Ref. [42]):

$$\Sigma[n] = \int_{-\infty}^{+\infty} dz \left[\mathcal{E}(n) + \frac{C}{2n} \left(\frac{dn}{dz} \right)^2 - \mu_0 n \right]. \quad (55)$$

In this expression, $\mathcal{E}(n) = \mathcal{E}(n; \sigma_n)$ is the energy density of uniform nuclear matter, calculated as indicated earlier in this section [see Eq. (40)], with σ_n the solution of the gap equation for the density n , and μ_0 is the saturation chemical potential, equal to $\mathcal{E}(n_0)/n_0$. The gradient term may be seen as a phenomenological contribution, which takes value only in the surface region. The parameter C controls the shape of the density profile and the particular functional form $(1/n)dn/dz$ finds its origin in the so-called extended Thomas Fermi approximation (see Ref. [42] and references therein). Finally, the term $\mu_0 n$, once integrated over z , is the energy the system would have if all the nucleons were carrying the same energy per particle as in the bulk.

The surface energy $\Sigma[n]$ in Eq. (55) is a functional of the density $n(z)$. By requiring this functional to be stationary with respect to variations of n , one gets

$$\mu_0 = \frac{d\mathcal{E}}{dn} + \frac{C}{2n^2} \left(\frac{dn}{dz} \right)^2 - \frac{C}{n} \frac{d^2 n}{dz^2}, \quad (56)$$

which is easily seen to be equivalent to the equation

$$\frac{C}{2n} \left(\frac{dn}{dz} \right)^2 + \mu_0 n - \mathcal{E}(n) = \text{const.} \quad (57)$$

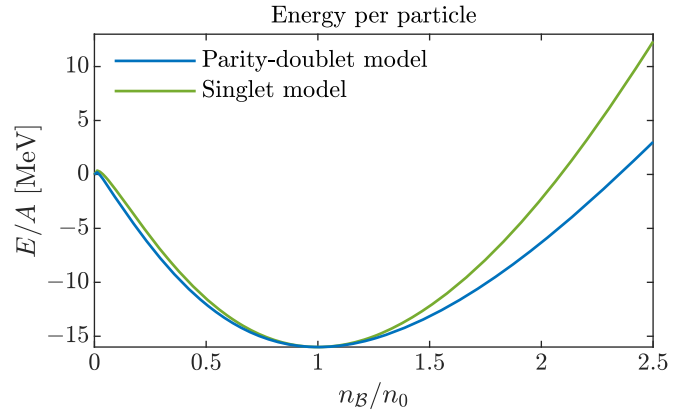


FIG. 5. Saturation curves for both the singlet and doublet models. Only the part between $n = 0$ and $n = n_0$ contributes to the surface energy. The small difference between the blue and green curves in the region $0 \leq n \leq n_0$ entails a significant difference in the estimate of the surface tension, that correlates to the different values of the compression modulus in the two models.

To determine the constant in the right-hand side of this equation, we note that, as $z \rightarrow -\infty$, the density goes to the normal nuclear matter density n_0 , which is constant. The derivative drops, and we are left with $\mu_0 - \mathcal{E}(n_0)/n_0$ which vanishes. Thus the constant is zero. It follows therefore that, when calculated with the solution of the above differential equation, the surface tension can be written in the form

$$\Sigma = \int_{-\infty}^{+\infty} dz \, 2[\mathcal{E}(n) - \mu_0 n]. \quad (58)$$

On the other hand, from Eq. (57), we get

$$\frac{dn}{dz} = -\sqrt{(2n/C)[\mathcal{E}(n) - \mu_0 n]}, \quad (59)$$

so that, finally,³

$$\Sigma = \int_0^{n_0} dn \sqrt{2C \left[\frac{\mathcal{E}(n)}{n} - \mu_0 \right]}. \quad (60)$$

With the particular choice that we have made for the gradient contribution in Eq. (55), the formula above shows that the surface energy is obtained by integrating the deviation of the energy per particle with respect to its saturation value. The final result is then sensitive to the details of the saturation curve in the region $0 \leq n \leq n_0$ (see Fig. 5). Note that this involves regions where the pressure is negative and also regions where the system is mechanically unstable with a negative compressibility. In the present case, the stabilizing agent is the gradient term in Eq. (55).

To estimate the surface tension, we need not only the saturation curve, but also the parameter C . This is estimated as follows: It turns out that the solution of the differential

³In the recent literature (see, e.g., Refs. [24,25,32]), the surface tension has been estimated as $\Sigma = \int_{\sigma_0}^{f_\pi} d\sigma \sqrt{2\Omega(\mu_0; \sigma)}$, where Ω is the grand potential evaluated for the saturation chemical potential. The connection to the calculation presented here is unclear to us.

Eq. (57) overlaps very precisely with the function $n(z) = n_0/(1 + e^{z/a})$. One may then chose C so that a , which measures the surface thickness, takes a given value. We have chosen $a = 0.5$ fm, which is within the range of values extracted from nuclear densities (see, e.g., Refs. [43,44]). With this choice, we get $\Sigma = 1.43$ MeV/fm² for the singlet model, and $\Sigma = 1.28$ MeV/fm² for the doublet model. These values are larger than the typical values extracted from the analysis of the masses of nuclei which would favor a value $\Sigma \lesssim 1.2$ MeV/fm² [43]. Note that the values of Σ are approximately proportional to the compression modulus (see Tables I and II), which appears to be a generic feature of this kind of models. For instance in Ref. [41], it is argued that both Σ and a are inversely proportional to m_σ , the ratio being roughly proportional to the compression modulus. Here, since we keep the surface thickness constant, Σ itself becomes proportional to K .

We turn now to the compression modulus K , whose calculation can be done in two complementary ways. Some elements of these calculations will be useful later when we discuss the chiral transition in Sec. V. Let us recall that K is defined as

$$K = 9n_0 \left. \frac{d\mu}{dn} \right|_{n_0}, \quad (61)$$

where the derivative is to be evaluated at the saturation density n_0 . The calculation of the derivative proceeds as follows:

$$\left. \frac{d\mu}{dn} \right| = \left. \frac{\partial\mu}{\partial n} \right|_\sigma + \left. \frac{\partial\mu}{\partial\sigma} \right|_n \frac{d\sigma}{dn}, \quad (62)$$

where μ is given by

$$\mu = \sqrt{p_F^2 + M_+^2} + G_v n. \quad (63)$$

We have then

$$\left. \frac{\partial\mu}{\partial\sigma} \right|_n = y_+ \frac{M_+}{M_*}, \quad \left. \frac{\partial\mu}{\partial n} \right|_\sigma = G_v + \frac{\pi^2}{2p_F M_*}. \quad (64)$$

To calculate $d\sigma/dn$ we differentiate the gap equation $dU/d\sigma + y_+ n_s^+ = 0$ and obtain

$$\frac{d\sigma}{dn} = - \frac{y_+}{m_{\sigma_0}^2} \left. \frac{\partial n_s^+}{\partial n} \right|_\sigma, \quad (65)$$

where

$$m_{\sigma_0}^2 = \frac{d^2 U}{d\sigma^2} + n_s^+ \frac{d^2 M_+}{d\sigma^2} + y_+ \left. \frac{\partial n_s^+}{\partial\sigma} \right|_n, \quad (66)$$

all derivatives being evaluated for $\sigma = \sigma_0$. Collecting all these results, we obtain

$$\left. \frac{d\mu}{dn} \right|_{n_0} = G_v + \frac{\pi^2}{2p_F M_*} - \frac{y_+^2}{m_{\sigma_0}^2} \frac{M_+}{M_*} \left. \frac{\partial n_s^+}{\partial n} \right|_{\sigma_0}. \quad (67)$$

The second way to proceed amounts to calculate $dn/d\mu$, with n given by

$$n = 4 \int_p \theta(\mu - E_p^+). \quad (68)$$

Taking the derivative with respect to the chemical potential, one gets

$$\begin{aligned} \frac{dn}{d\mu} &= 4 \int_p \delta(\mu - E_p^+) \left(1 - \frac{dE_p^+}{dn} \frac{dn}{d\mu} \right) \\ &= N_0 \left(1 - f_0^+ \frac{dn}{d\mu} \right), \end{aligned} \quad (69)$$

where

$$N_0 = \frac{2p_F M_*}{\pi^2} \quad (70)$$

is the density of state at the Fermi surface, and $F_0 = N_0 f_0^+$ is a Fermi-liquid parameter, defined as

$$f_0^+ \equiv \frac{dE_p^+}{dn} = G_v + y_+ \frac{M_+}{M_*} \frac{d\sigma}{dn}, \quad (71)$$

where the expression (50) of E_p^+ has been used. At this point we have obtained

$$\frac{dn}{d\mu} = \frac{N_0}{1 + F_0}. \quad (72)$$

By substituting the expression (65) of $d\sigma/dn$ in Eq. (71), one easily shows that this expression agrees with that given in Eq. (67) for $d\mu/dn$. The familiar form of the compression modulus follows [37]:

$$K = 3 \frac{p_F^2}{\mu_0} \frac{1 + F_0}{1 + F_1/3}. \quad (73)$$

The value of the compression modulus of the singlet model is larger than the value extracted from the analysis of giant monopoles excitations of large nuclei (see, e.g., Ref. [45]⁴), even when compared with the largest values suggested in Ref. [48]. For the parity-doublet model, the value obtained is compatible with the latter estimate. Note that neither the values of Σ nor that of K have been used in adjusting the parameters. These values result from fixing parameters such as α_3 and α_4 using constraints discussed earlier in this section.

4. Final choices of parameters

Figure 6 demonstrates the capability of the parity-doublet model to reproduce empirical data. Because of the sensitivity of the results to the value of M_* we display parameter bands for three different ratios M_*/M_N in the $m_\sigma - m_0$ -plane for which the respective values listed in Table IV are matched (within indicated error bars). There is a clear correlation between m_σ and m_0 , suggesting that an increase of m_0 can be compensated by a decrease in m_σ . Although this correlation is the result of a complicated balance between several effects, involving the interplay of many parameters, the following remark could make it more intuitive. This is based on the dependence of the equilibrium value σ_0 of the sigma field. We note that an increase of m_σ naturally leads to an increase

⁴The value adopted in the present paper, $K = 230 \pm 20$ MeV, is based on a rough update of the analysis of Ref. [45], taking into account the most recent data [46,47].

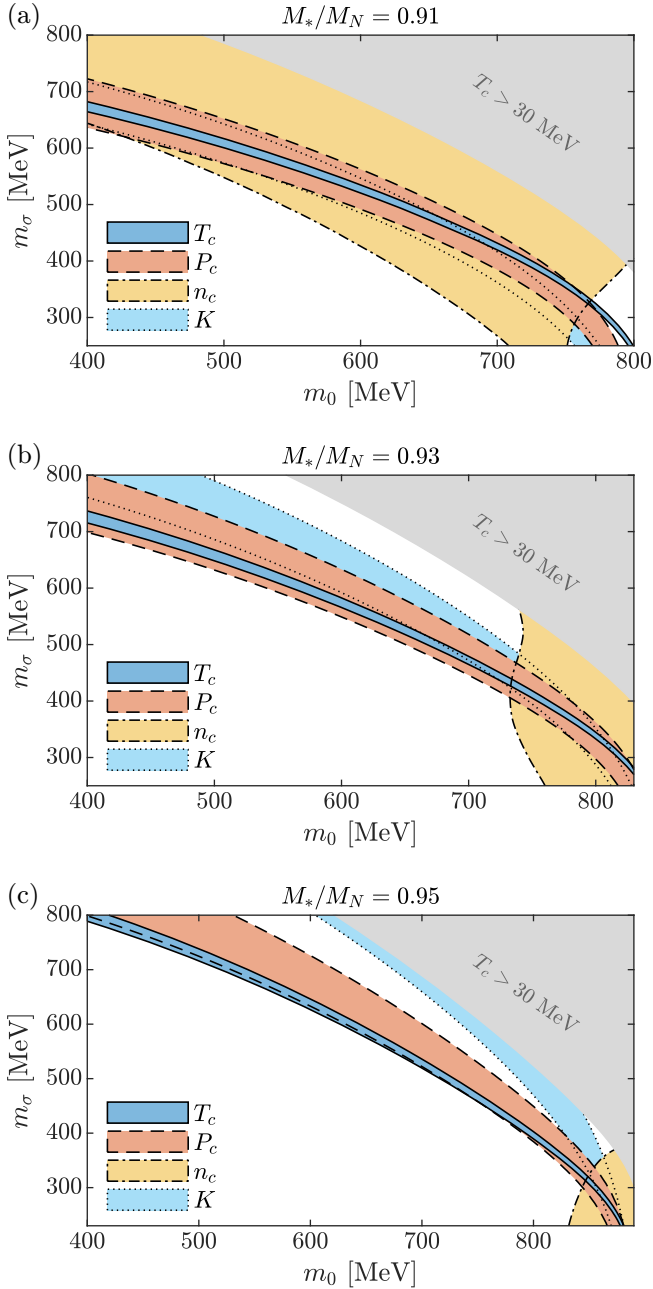


FIG. 6. Parameter bands for the parity-doublet model in the $m_\sigma - m_0$ plane matching experimental data (within error bars) of Table IV (and including the compression modulus drawn here as the band $K = 230 \pm 20$ MeV). Shown is the result for the effective mass (a) $M_*/M_N = 0.91$, (b) 0.93 , and (c) 0.95 . The gray-shaded area indicates parameter sets leading to $T_c > 30$ MeV for the liquid-gas transition.

of the value of σ_0 (i.e., it gives a value of σ_0 closer to f_π). Similarly, by noticing that $M_+(\sigma_0; m_0)$ is fixed by the value of M_* once the density is fixed, we see that by decreasing m_0 , one increases the difference $M_+(\sigma_0; m_0) - m_0$, which can be compensated again by increasing the value of σ_0 [given that the Yukawa couplings are fixed by Eq. (32)]. Note that the correlations discussed here are similar to those observed

in previous studies of the parity-doublet model (see, e.g., Refs. [7,17,33,49,50]). In particular, the large sensitivity as well as the irregular shape of the parameter band of the critical density n_c with respect to the Landau mass is remarkable and demonstrates that many effects play a role here.

Choices of parameters corresponding to maximally overlapping zones are of course preferred, since in these zones more properties of nuclear matter are reproduced. In fact, for $M_*/M_N = 0.93$, one finds a zone in the regime of $300 \text{ MeV} \lesssim m_\sigma \lesssim 450 \text{ MeV}$ and $730 \text{ MeV} \lesssim m_0 \lesssim 820 \text{ MeV}$ in which all data ranges of Table IV are matched (even for the compression modulus K). It is in this region that we have fixed our parameters. These are listed in Table I. This is of course not a unique choice, and there exist other acceptable regions of the parameter space, involving in particular smaller values of m_0 . We have however several reasons to prefer a choice of a relatively small σ mass in combination with a large value of m_0 . We have shown in a previous paper that such a combination successfully reproduces pion-pion scattering lengths [9]. Studies of nuclear properties within the parity-doublet model also favor a large m_0 (see, e.g., Ref. [50]). Furthermore, a look at Fig. 40 in Appendix A reveals that a small value of m_0 implies large cancellations of quantities of order 2 to 3 GeV for the range of values of σ relevant for nuclear matter, which looks unnatural. We note in addition that a large value of m_0 seems to be favored by recent studies of the parity-doublet model (see, e.g., Ref. [51]), and by lattice calculations about the composition of the proton mass assigning only a minor fraction to quark scalar condensates [52].

When further decreasing or increasing the Landau effective mass, we observe that these complete overlap zones either shrink and move to even larger m_0 and smaller m_σ [see Fig. 6(c)], or move away from the preferred region of large m_0 and small m_σ [see Fig. 6(a)], both of which we do not favor for the reasons mentioned above.⁵ The values that we eventually obtain for the critical endpoint of the liquid-gas transition (with the chosen parameter set) are also listed in Table IV.

A similar analysis can be made for the singlet model. The results are illustrated in Fig. 7, which reveals a clear correlation between the effective mass (or the vector coupling) and the sigma mass. This is to be expected. Indeed, an increase in the effective mass implies a decrease of the effective vector coupling G_v , hence a reduction of the repulsion between the nucleons. This can be compensated by a reduction of the attraction, controlled by $G_s = y^2/m_\sigma^2$, hence by an increase of m_σ^2 since the Yukawa coupling y is fixed to the value M_N/f_π . The overlap region would suggest a value for $M_* \gtrsim 0.85$ (respecting again K for the moment), but at the cost of $m_\sigma \gtrsim 700$ MeV. We therefore choose $M_*/M_N = 0.8$ corresponding to a not too large σ mass, similar to those values quoted in Ref. [23] and accepting that this yields a compression modulus which is too high (as reported earlier). Comparing the corresponding parameters given in Table II

⁵Nevertheless, we could equally have chosen e.g., $M_*/M_N = 0.94$ (not shown) with slightly different values for m_0 and m_σ , but we do not expect drastic changes in the results.

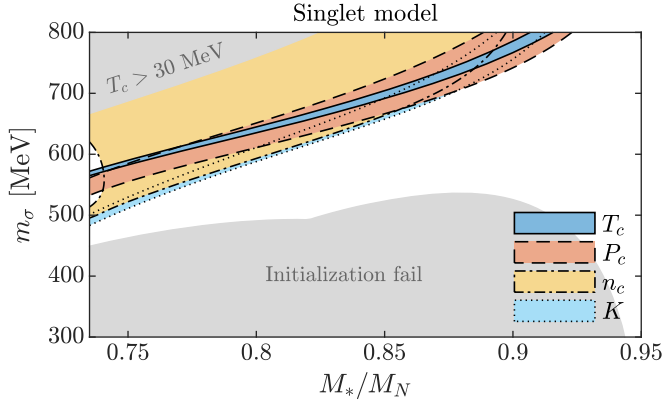


FIG. 7. Parameter bands for the singlet model in the $m_\sigma - M_*/M_N$ -plane matching experimental data (within error bars) of Table IV (and including the compression modulus $K = 230 \pm 20$ MeV). The region called “initialization fail” consists of parameters for which the initialization conditions were not met, e.g., the solution of the gap Eq. (23) corresponds to a local maximum, not a minimum.

with those of the doublet model in Table I, one observes that one gets a larger σ_0 , smaller α_3 and α_4 (in absolute values), and larger G_v . The larger value of G_v reflects the strongest attraction mechanism of the singlet model so that saturation requires more repulsion. The larger value of σ_0 is correlated to the larger value of the σ mass in the singlet model, as already mentioned.

5. The liquid-gas phase transition

The liquid-gas transition is an important property of nuclear matter. The transition occurs at densities lower than the saturation density and at a temperature of the order of the binding energy or lower. The transition occurs as the result of the competition between entropy effects and binding-energy effects, and the properties of this transition are directly related to the ground-state properties of nuclear matter (binding energy, compressibility, effective mass). The various isothermal curves in Fig. 8 indicate how this transition occurs. These

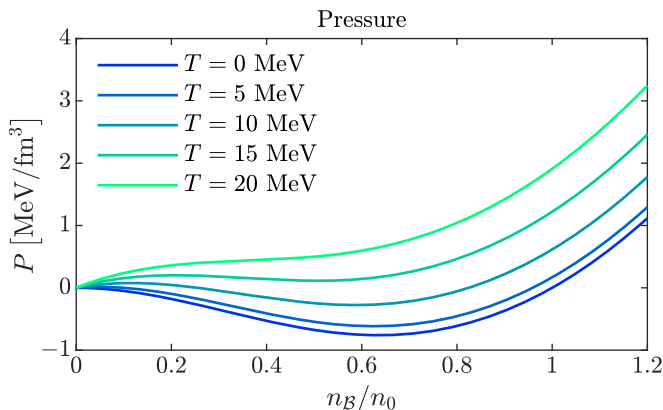


FIG. 8. Equation of state (pressure P as a function of baryon density n_B) for various temperatures.

curves are obtained by following continuously the solution of the gap equation as the density increases. As already mentioned, such solutions are found to exist even in regions of negative pressure, or regions between the spinodal points (where the derivative of the pressure vanishes) in which the compressibility is negative and the system is *a priori* unstable. When the temperature increases, nuclear matter continues to exist as a (metastable) self-bound system of zero pressure. For a slightly positive pressure, it can coexist with a low-density vapor. As the temperature continues to increase the self-bound system ceases to exist, the pressure becoming positive for all values of the density, while coexistence between two phases is still possible. This phase coexistence remains possible until the critical temperature is reached, above which the pressure becomes a monotonically increasing function of n .

The values of the thermodynamic variables at the critical point can be extracted from nucleus-nucleus collisions [53]. They are listed in the Table IV, together with the corresponding values obtained in the two models for the chosen values of the parameters. One sees that both models, with the present choices of parameters, account rather well for these characteristic properties of the liquid-gas transition.

IV. THE NUCLEON SIGMA TERM

A. Definitions

The pion-nucleon sigma term σ_N is defined as the matrix element⁶

$$\sigma_N = \bar{m}[\langle N | \bar{q}q | N \rangle - \langle \bar{q}q \rangle_0]. \quad (74)$$

In this equation, $\bar{q}q = \bar{u}u + \bar{d}d$, $\bar{m} = (m_u + m_d)/2$, and we ignore isospin symmetry breaking (i.e., $m_u = m_d$). Furthermore, $\bar{q}q$ stands for the spatial integral $\int_x \bar{q}(x)q(x)$. The sigma term provides a measure of the scalar density within the nucleon and of the direct contribution of light quarks to the nucleon mass (for reviews on the sigma term, see Refs. [54,55]).

The Feynman-Hellmann theorem allows us to relate the expectation value of $\bar{q}q$ to that of the symmetry-breaking part of the QCD Hamiltonian [56]

$$\bar{m} \frac{d}{d\bar{m}} \langle \mathcal{H} \rangle = \bar{m} \left\langle \frac{d}{d\bar{m}} \mathcal{H}_{\text{SB}} \right\rangle = \bar{m} \langle \bar{q}q \rangle, \quad (75)$$

where the expectation value of the Hamiltonian \mathcal{H} is taken in a ground state or with the statistical density operator at finite temperature and density (see later where the same strategy is formulated in terms of the gap equation). The important point is that only the explicit symmetry-breaking term in the Hamiltonian, denoted \mathcal{H}_{SB} , contributes to the derivative with respect to \bar{m} . By using Eq. (75) one can write the following expression for the sigma term:

$$\sigma_N = \bar{m} \frac{dM_N}{d\bar{m}}, \quad (76)$$

where $M_N = \langle N | \mathcal{H} | N \rangle - \langle 0 | \mathcal{H} | 0 \rangle$ is the nucleon mass.

⁶The nucleon states are normalized so that $\langle \mathbf{p}', s' | \mathbf{p}, s \rangle = (2\pi)^3 \delta^{(3)}(\mathbf{p}' - \mathbf{p}) \delta_{s's}$, with \mathbf{p} the three-momentum and s the spin projection.

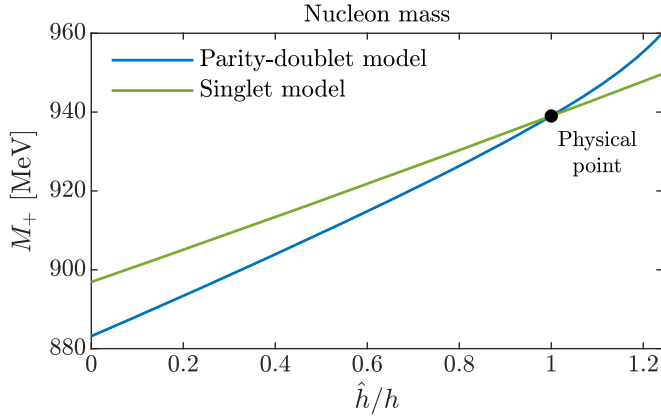


FIG. 9. The nucleon mass M_+ , as a function of \hat{h} , in both the singlet and doublet models.

Experimentally, the sigma term is deduced from the measurement of the πN scattering amplitudes, including constraints from pionic atoms. Most recent determinations yield a value $\sigma_N \simeq 60$ MeV [57,58], somewhat larger than the traditionally accepted value $\sigma \simeq 45$ MeV [59], and definitely larger than the value obtained in lattice calculations, $\sigma \simeq 40$ MeV (see, e.g., Ref. [60] and references therein). For a discussion of this discrepancy between experimental determinations and lattice calculations see Refs. [61,62].

In the context of dense-matter studies, the nucleon sigma term is interesting in that it provides information on the “resistance” to chiral symmetry restoration by measuring the change in hadronic properties induced by the small explicit symmetry-breaking term proportional to the quark mass. More broadly, the sigma term informs us on how the presence of (dilute) matter affects the average scalar field which the nucleon mass is sensitive to. We later consider larger densities leading eventually to chiral symmetry restoration. In this high-density regime, the sigma term loses its interest since, as we see, density-dependent corrections are large and may not lead to a convergent expansion.

In this section, we provide estimates of σ_N within the singlet and doublet models. It turns out that these estimates depend sensitively on precisely how one does the calculation. This leads to uncertainties, which are particularly important in the parity-doublet model, and which have to do with the extrapolation from the physical point to the chiral limit. Thus, by expanding the nucleon mass about its value in the chiral limit, we may define

$$\sigma_N = \bar{m} \left. \frac{dM_N}{d\bar{m}} \right|_{\bar{m} \rightarrow 0} \simeq M_N(\bar{m}) - M_N(\bar{m} = 0), \quad (77)$$

where the limit $\bar{m} \rightarrow 0$ does not concern the first factor \bar{m} (for which we should use the “physical” value of \bar{m}), but only the point where the derivative is evaluated. If the nucleon mass M_N depended linearly on \bar{m} , all the way from the chiral limit to the physical point, it would not matter whether the derivative is evaluated at the physical point or at the chiral limit. However, in the parity-doublet model, this linearity is only approximately verified (see Fig. 9). In the singlet model an almost perfect linearity is observed, and as a result the

TABLE V. Summary of estimates of the nucleon sigma term.

Estimate	Parity-doublet model	Singlet model
(0) [MeV]	55.8	42.1
(1) [MeV]	50.2	40.6
(2) [MeV]	43.1	38.8
(3) [MeV]	68.6	43.7

various estimates of σ_N end up being closer to each other (see Table V below).

B. Remarks on the chiral limit

At this point, it is useful to recall that chiral symmetry leads to a number of model-independent relations in the vicinity of the chiral limit. To see how these are implemented in the present model, we look at the variation of various parameters as a function of the strength \hat{h} of the explicit symmetry-breaking term. To avoid confusion, we denote by \hat{h} this parameter, reserving h for its physical value $h = m_\pi^2 f_\pi$ [see Eq. (31)]. We base our analysis on Eq. (29), which is strictly valid only in the vicinity of the physical point. It is of course trivial to proceed to a numerical evaluation involving the complete potential $V(\varphi)$, which we do later. The present analysis provides useful analytical understanding of the results of such numerical calculations.

We start by rewriting the vacuum gap Eq. (29) in the following way:

$$\frac{1}{\sigma} \frac{dV(\sigma)}{d\sigma} = \alpha_1 + \frac{\alpha_2}{2} (\sigma^2 - f_\pi^2) = \frac{\hat{h}}{\sigma}. \quad (78)$$

The solution for $\hat{h} = h$ is $\sigma = f_\pi$. In the chiral limit, $\hat{h} = 0$, the solution σ_χ is given by

$$\sigma_\chi^2 - f_\pi^2 = -2 \frac{\alpha_1}{\alpha_2} = -2 \frac{m_\pi^2 f_\pi^2}{m_\sigma^2 - m_\pi^2}, \quad (79)$$

where we have kept the parameters α_1 and α_2 at their “physical values” as $\hat{h} \rightarrow 0$. The last expression in Eq. (79) reflects the competition between explicit symmetry breaking (the numerator) and spontaneous symmetry breaking (the denominator). The decrease of the effective value of f_π as one moves to the chiral limit is generic (and in qualitative agreement with chiral perturbation theory): with our choice of sign, h is positive, and so is the value σ_χ at the minimum of the potential, with $\sigma_\chi < f_\pi$. Anticipating the next section, we note here that the results of the numerical evaluations indicate a larger shift in the doublet model than in the singlet model. Given that the value of m_σ^2 in the doublet model is smaller than in the singlet model (see Tables I and II), this result is in qualitative agreement with formula (79). The prediction of chiral perturbation theory is $\Delta f_\pi = \sigma_\chi - f_\pi \approx -6$ MeV, while one finds $\Delta f_\pi \approx -4$ MeV and $\Delta f_\pi \approx -13$ MeV in the singlet and doublet models, respectively.⁷

⁷The comparison with chiral perturbation theory is of course only indicative, since in the present approximation, the chiral logarithms

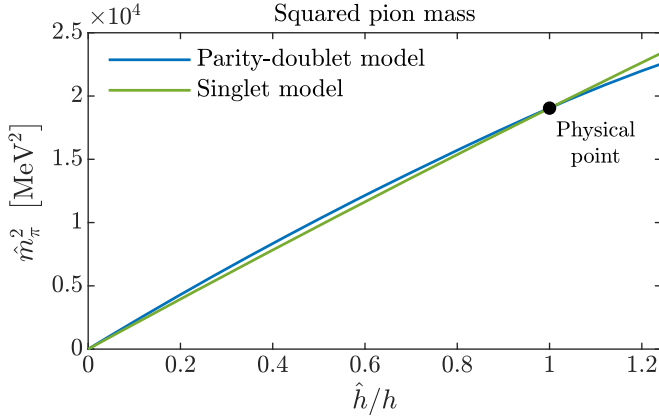


FIG. 10. The squared pion mass \hat{m}_π^2 as a function of \hat{h} , illustrating its nonlinear behavior in the doublet model.

Let us now consider an arbitrarily small value of \hat{h} and expand the solution $\sigma_{\hat{h}}$ around its chiral limit σ_χ . By combining Eq. (78) with the corresponding equation for $\hat{h} = 0$, one gets

$$\frac{\alpha_2}{2}(\sigma_{\hat{h}}^2 - \sigma_\chi^2) = \frac{\hat{h}}{\sigma_{\hat{h}}}. \quad (80)$$

Assuming the difference between $\sigma_{\hat{h}}$ and σ_χ to be small, we get

$$\sigma_{\hat{h}} - \sigma_\chi \simeq \frac{\hat{h}}{\alpha_2 \sigma_\chi^2}, \quad (81)$$

which is indeed linear in \hat{h} at small \hat{h} , with a quadratic correction. The value \hat{m}_π of the pion mass for an arbitrary value of \hat{h} is given by

$$\hat{m}_\pi^2 = \alpha_1 + \frac{\alpha_2}{2}(\sigma_{\hat{h}}^2 - f_\pi^2) = \frac{\hat{h}}{\sigma_{\hat{h}}}, \quad (82)$$

where the first equality follows from taking the second derivative of the potential $V(\varphi)$ in Eq. (28), and the second one from Eq. (78). In the chiral limit \hat{m}_π vanishes as it should. For the physical value $\hat{h} = h$ we get instead $\hat{m}_\pi^2 = \alpha_1 = m_\pi^2$. More generally $\hat{m}_\pi^2 = \hat{h}/\sigma_{\hat{h}}$, where $\sigma_{\hat{h}}$ is given by the solution of the Eq. (80) above. Thus the leading-order expression of \hat{m}_π^2 is linear in \hat{h} , with a correction quadratic in \hat{h} . A plot of \hat{m}_π^2 as a function of \hat{h} is given in Fig. 10. A small nonlinearity is visible for the parity-doublet model (the relation for the singlet model is nearly perfectly linear). As we see, this nonlinearity impacts the value of σ_N . Similarly, the sigma mass is given by

$$\begin{aligned} \hat{m}_\sigma^2 &= \alpha_1 + \alpha_2 \sigma^2 + \frac{\alpha_2}{2}(\sigma^2 - f_\pi^2) \\ &= m_\sigma^2 - 3m_\pi^2 + 3\hat{m}_\pi^2. \end{aligned} \quad (83)$$

Thus the sigma mass squared is reduced to the value $m_\sigma^2 - 3m_\pi^2$ in the chiral limit.

are not included in our model estimates. A thorough discussion of the relation between the nucleon and the pion masses can be found in Ref. [57].

C. Various estimates of σ_N

We now return to the numerical evaluation of the sigma term. All estimates are done with the parameters determined in the previous section. No attempt is made to use the (uncertain) experimental values of σ_N to improve the choice of parameters. We assume that the effect of the quark masses is captured by the symmetry-breaking term proportional to \hat{h} , i.e., that the small variations in \bar{m} are proportionally to small variations in \hat{h} . It is then straightforward to obtain an estimate of σ_N , since from the assumption just mentioned, we can write

$$\sigma_N^{(1)} = \bar{m} \left. \frac{dM_N}{d\bar{m}} \right|_{\bar{m} \rightarrow 0} = h \left. \frac{dM_N}{d\hat{h}} \right|_{\hat{h} \rightarrow 0}, \quad (84)$$

where the superscript (1) is meant to specify the particular estimate of σ_N considered at this point. It follows from Eq. (84) that

$$\frac{\sigma_N^{(1)}}{h} = y_+(\sigma_\chi) \left. \frac{d\sigma_{\hat{h}}}{d\hat{h}} \right|_{\hat{h} \rightarrow 0}, \quad (85)$$

where $\sigma_{\hat{h}}$ denotes the solution of Eq. (80), while σ_χ is the corresponding solution in the chiral limit. On the left-hand side of Eq. (84) is $h = m_\pi^2 f_\pi$. The derivative $d\sigma_{\hat{h}}/d\hat{h}|_{\hat{h} \rightarrow 0}$ is the chiral susceptibility in the chiral limit,

$$\left. \frac{d\sigma_{\hat{h}}}{d\hat{h}} \right|_{\hat{h} \rightarrow 0} = \frac{1}{\hat{m}_\sigma^2(\sigma_\chi)}. \quad (86)$$

Note that, in the parity-doublet model, it is much enhanced as compared with its value $1/m_\sigma^2$ in the physical point [the simple formula above, Eq. (83), yields $\hat{m}_\sigma^2(\sigma_\chi) = m_\sigma^2 - 3m_\pi^2$]. We then end up with the following formula for our first estimate of σ_N :

$$\sigma_N^{(1)} = m_\pi^2 f_\pi \frac{y_+(\sigma_\chi)}{\hat{m}_\sigma^2(\sigma_\chi)}, \quad (87)$$

which is valid in the linear order in the symmetry-breaking parameter $h \propto \bar{m}$. This formula is consistent with Refs. [63–66]. It has the expected qualitative structure. The first term $m_\pi^2 f_\pi$ measures the deviation of the physical point from the chiral limit, the quantity $y_+(\sigma_\chi)$ measures the response of the nucleon mass to a change in the sigma field near the chiral limit, and the factor $1/\hat{m}_\sigma^2(\sigma_\chi)$ is the chiral susceptibility. The numerical evaluation of Eq. (87) yields $\sigma_N^{(1)} = 50.2$ MeV.

Note that we could also estimate directly σ_N from Eq. (77), taking the finite difference rather than the derivative. Because $M(\hat{h})$ is not strictly linear in \hat{h} , one gets a slightly larger value, $\sigma_N^{(0)} = 55.8$ MeV.

An alternative to the calculation presented above consists, as often done, in replacing the derivative with respect to \hat{h} or \bar{m} by a derivative with respect to m_π^2 , exploiting the expectably proportional relation between the two quantities. In the present case, this brings a difference though, because the relation is not strictly linear all the way to the physical point, in particular in the doublet model. Indeed the pion mass satisfies the relation (82), $\hat{m}_\pi^2 = \hat{h}/\sigma_{\hat{h}}$, with $\sigma_{\hat{h}}$ a solution of Eq. (80). Thus the relation between \hat{m}_π^2 and \hat{h} may deviate from a linear behavior as one approaches the physical point (see Fig. 10). Ignoring this nonlinear correction one finds the

following estimate:

$$\sigma_N^{(2)} = m_\pi^2 \frac{dM_N}{d\hat{m}_\pi^2} \Big|_{\hat{m}_\pi^2 \rightarrow 0} = m_\pi^2 \sigma_\chi \frac{y_+(\sigma_\chi)}{\hat{m}_\sigma^2(\sigma_\chi)}, \quad (88)$$

which differs from Eq. (87) by the sole substitution of f_π by σ_χ . Because, as we have seen, σ_χ differs much from f_π in the parity-doublet model, the estimate of (88) is much lower than $\sigma_N^{(1)}$, $\sigma_N^{(2)} = 43.1$ MeV.

Finally, we consider a fourth estimate, which is relevant to the forthcoming discussion related to the effect of the baryon density. We note that we could read Eq. (77) in two ways. Either as the expansion of the nucleon mass around the chiral limit, which we have done in the estimates above. Or as an expansion around the physical point toward the chiral limit, in which case the derivative should be evaluated at the physical point rather than in the chiral limit. Because $M_N(\hat{h})$ is not a strictly linear function of \hat{h} (see Fig. 9) the derivative $dM_N/d\hat{m}$ takes different values depending on where it is evaluated. By evaluating the derivative at the physical point, one gets

$$\sigma_N^{(3)} = h \frac{dM_N}{d\hat{h}} \Big|_{\hat{h}=h} = m_\pi^2 f_\pi \frac{y_+}{m_\sigma^2} = 68.6 \text{ MeV}, \quad (89)$$

where y_+ is evaluated at $\sigma = f_\pi$. The various estimates that we have discussed in this section are summarized in Table V. The spread in the values obtained with the parity-doublet model is larger than with the singlet model. This, as we have argued at several places, is due to the enhanced nonlinearities of the relations connecting the chiral limit to the physical point within the parity-doublet model.

D. Density dependence of σ_N

The nucleon sigma term provides a measure of the scalar density (or quark condensate) inside a nucleon (as compared with the vacuum). It may also be used, more broadly, to estimate how the presence of baryonic matter modifies the quark condensate. This is most easily seen by using the Feynman-Hellmann theorem, which yields

$$\bar{m} \langle \bar{q}q \rangle_{n_B} = \bar{m} \langle \bar{q}q \rangle_0 + \bar{m} \frac{d}{d\bar{m}} [\mathcal{E}(n_B) - \mathcal{E}(0)], \quad (90)$$

where $\langle \bar{q}q \rangle_0$ denotes the condensate in vacuum. As a first orientation, and repeating a standard argument [56], we consider a low-density gas of independent nucleons, for which the energy density is given by

$$\mathcal{E}(n_B) - \mathcal{E}(0) = 4 \int_{|p| < p_F} \frac{d^3 p}{(2\pi)^3} \sqrt{p^2 + M_N^2} \quad (91)$$

and $p_F = (3\pi^2 n_B/2)^{1/3}$. By differentiating this expression with respect to \bar{m} at fixed baryon density, one gets

$$\bar{m} \langle \bar{q}q \rangle_{n_B} = \bar{m} \langle \bar{q}q \rangle_0 + n_s \sigma_N, \quad (92)$$

where n_s is the average scalar density and the factor σ_N originates from the derivative of the nucleon mass with respect to \bar{m} . On the other hand, by applying the Feynman-Hellmann theorem to the vacuum state, and using for the vacuum the present model, one gets

$$\bar{m} \langle \bar{q}q \rangle_0 = -h \langle \sigma \rangle_0, \quad (93)$$

where the right-hand side follows from $\mathcal{E}(0) = U = V(\sigma) - h\sigma$. This equation allows us to identify the quark condensate with the expectation value of the scalar field.

By using in Eq. (93) the physical value of h , $h = m_\pi^2 f_\pi$, and the vacuum expectation value of the σ field $\langle \sigma \rangle_0 = f_\pi$, one gets the Gell-Mann–Oakes–Renner (GOR) relation⁸

$$\bar{m} \langle \bar{q}q \rangle_0 = -m_\pi^2 f_\pi^2. \quad (94)$$

By combining these results, it follows that

$$\frac{\langle \sigma \rangle_{n_B}}{\langle \sigma \rangle_0} = \frac{\langle \bar{q}q \rangle_{n_B}}{\langle \bar{q}q \rangle_0} \simeq 1 - \frac{\sigma_N^{(3)} n_s}{f_\pi^2 m_\pi^2}, \quad (95)$$

where, for small enough baryon density, we can set $n_s \simeq n_B$. What the relation (95) then says is that, in the low-baryon-density regime, each additional nucleon occupies a region initially filled with vacuum. Since the quark condensate is lower in the nucleon than in the vacuum, the presence of the new nucleon decreases the average value of the quark condensate, or equivalently, of the average σ field. The formula (95) predicts a linear decrease of the quark condensate with increasing baryon density. It suggests a reduction of the condensate in normal nuclear matter by about 1/3 of its vacuum value, as well as a restoration of chiral symmetry at about three times nuclear matter density. However, this linear estimate neglects the effects of the interaction, which we now consider.

To make contact with the previous literature [63,67], we generalize the formula (95) as follows:

$$\frac{\langle \bar{q}q \rangle_{n_B}}{\langle \bar{q}q \rangle_0} \simeq 1 - \frac{\bar{\sigma}_N(n_B) n_B}{f_\pi^2 m_\pi^2}, \quad (96)$$

where we have set $n_s \approx n_B$, an approximation which remains valid for a baryon density up to normal nuclear matter density. The effect of interactions is thus considered as a (model-dependent) modification of the nucleon sigma term, $\sigma_N \mapsto \bar{\sigma}_N(n_B)$.

To take the interactions into account, we use the complete expression of the energy density [see Eq. (33)], and determine σ by solving the gap equation

$$\frac{dV(\sigma)}{d\sigma} = h - y_+ n_s^+ \simeq h - y_+ n_B. \quad (97)$$

The last term, in which we have approximated the scalar density by the baryon density, represents the matter contribution. We see that this contribution opposes that of the explicit symmetry-breaking term h . It tends to drive the system to a chirally symmetric state; that is, it leads to a reduction of the value of σ . To solve the gap equation for small baryon densities, we assume the following expansion for the solution:

$$\sigma(n_B) = \sigma^{(0)} + \sigma^{(1)} n_B + \frac{1}{2} \sigma^{(2)} n_B^2 + \dots, \quad (98)$$

with $\sigma^{(0)} = f_\pi$, and we expand y_+ and $dU/d\sigma$. The coefficients $\sigma^{(1)}, \sigma^{(2)}, \dots$ are determined by solving the gap

⁸Note that the Feynman-Hellmann theorem holds for any value of \bar{m} , so that this is, strictly speaking, a generalization of the GOR relation, whose original derivation from current algebra invokes the chiral limit.

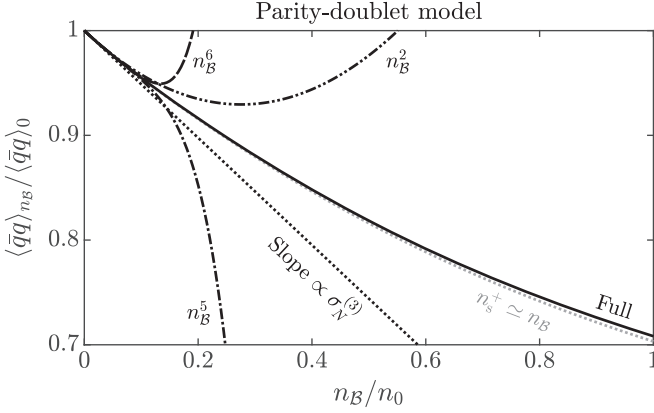


FIG. 11. Density dependence of the chiral condensate in the parity-doublet model. The full solution to the gap equation (solid line) is successively approximated for small baryon density n_B by a linear function proportional to $\sigma_N^{(3)}$ (black dotted line) and higher Taylor polynomials of $O(n_B^2)$ (dash-double-dotted line), $O(n_B^5)$ (dash-dotted line), and $O(n_B^6)$ (dashed line). The gray dotted line indicates the difference to the full solution when approximating n_s^+ by n_B , which can be seen to be an excellent approximation in this range of densities.

equation to the required order. One obtains then, e.g., to order n_B^2 ,

$$\sigma(n_B) = f_\pi - \frac{y_+(f_\pi)}{m_\sigma^2} n_B + y_+(f_\pi) \left\{ \frac{1}{m_\sigma^4} \frac{dy_+}{d\sigma} \bigg|_{f_\pi} - \frac{y_+(f_\pi)}{2m_\sigma^6} \frac{\partial^3 U}{\partial \sigma^3} \bigg|_{f_\pi} \right\} n_B^2. \quad (99)$$

The density-dependent nucleon sigma term, as defined in Eq. (96), is then given by

$$\bar{\sigma}_N(n_B) = \sigma_N^{(3)} - \frac{dy_+}{d\sigma} \bigg|_{f_\pi} \frac{(\sigma_N^{(3)})^2 n_B}{m_\pi^2 f_\pi y_+(f_\pi)} + \frac{(\sigma_N^{(3)})^3 n_B}{2m_\pi^4 f_\pi^2 y_+(f_\pi)} \frac{\partial^3 U}{\partial \sigma^3} \bigg|_{f_\pi}. \quad (100)$$

We have recognized in the first correction the expression (89) of the sigma term. The first term of $O(n_B)$ in Eq. (100) reduces the nucleon sigma term, as $y_+(f_\pi)$ as well as its first derivative are positive, while the second term enhances or reduces σ_N (depending on the sign of the derivative of U). The effect of these corrections, together with higher-order ones, is illustrated in Fig. 11. As suggested by the plots in this figure, the systematic expansion in powers of the density does not converge well. The full solution of the gap equation, obtained numerically, has a smooth behavior and yields a reduction of about 30% of the sigma field at nuclear matter density, in agreement with the value of σ_0 quoted in Table I.

It is straightforward to obtain the corresponding corrections for the singlet model, in which case the first contribution in Eq. (100) vanishes since the Yukawa coupling carries no σ dependence. As the potential U contains high powers of the σ field, the expression (100) may be seen as the generalization

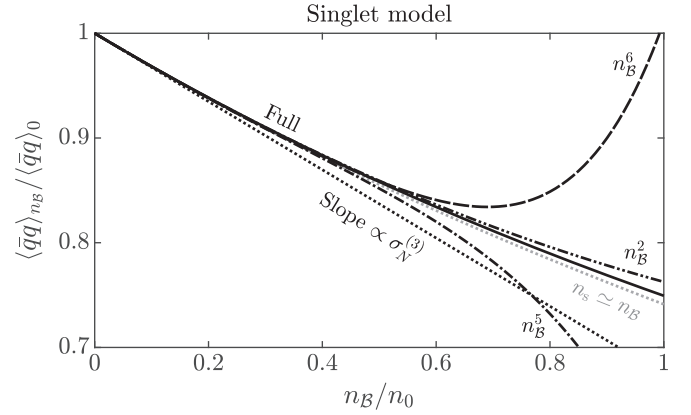


FIG. 12. Density dependence of the chiral condensate in the singlet model. Analogous plot to Fig. 11.

of the enhancement of σ_N discussed in Ref. [63] for a bosonic potential of quartic order in σ . The results of the corresponding analysis are plotted in Fig. 12.

V. CHIRAL-SYMMETRY RESTORATION

The analysis of the σ term in the previous section reveals that nonlinear effects play an increasingly important role as the density increases, and that an expansion of the value of the σ field in powers of the density has a limited range of validity. In this section, we turn to a more thorough study of the dependence of the sigma field on the baryon density, and we extend our analysis to finite temperature.

We start by considering the general behavior of the scalar field in the presence of matter at finite temperature and baryon density. Figures 13 and 14 display the contour plots of the magnitude of the sigma field in the $T - \mu_B$ plane, for the singlet and doublet models. There are similarities and differences between the two models that are clearly visible on these plots. The first similarity concerns the regime of low density-low temperature, where the two models exhibit remarkably similar behaviors: this is the regime of nuclear matter, with the well

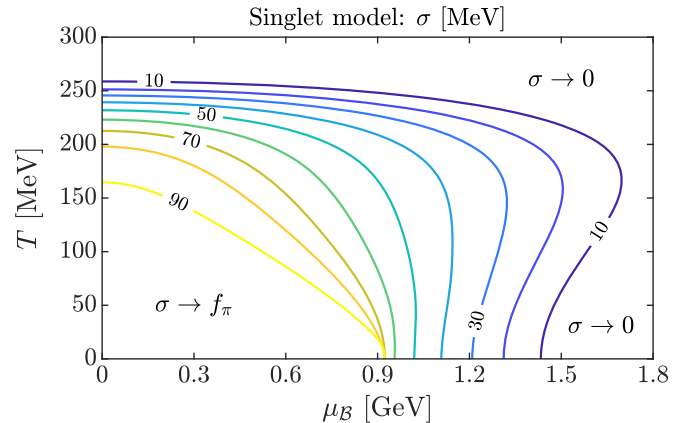


FIG. 13. Phase diagram of the singlet model: Isoscalar condensate σ as a function of temperature T and baryon chemical potential μ_B . Contours of constant σ are given every 10 MeV.

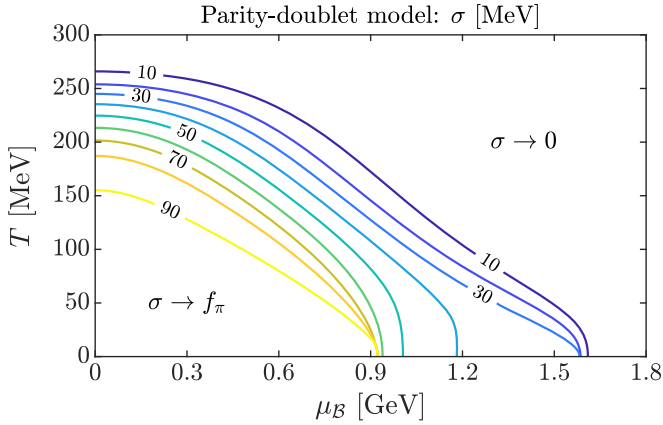


FIG. 14. Phase diagram of the doublet model: Isoscalar condensate σ as a function of temperature T and baryon chemical potential μ_B . Contours of constant σ are given every 10 MeV.

identified first-order liquid-gas transition at low temperature. That the two models behave in the same way in this regime should not come as a surprise since their respective parameters are precisely adjusted to reproduce nuclear matter properties, which they do, as we have seen in Sec. III.

A further similarity is visible as one moves up along the temperature axis at low baryon density. In this case, the dominant degrees of freedom are nucleons and antinucleons, the parity partners becoming to be significantly populated only at larger temperatures. As we see, at vanishing baryon density, the chiral transition is a second-order one in both models in the chiral limit, and as suggested by the contours in these figures, it occurs within the same temperature range (in fact, at nearly the same temperature). These second-order transitions are smeared out to smooth crossovers for physical pion mass. A further interesting observation is that the contour lines of constant σ in Fig. 13 start to “bend over” in the crossover region for large temperatures and chemical potentials, which is not the case in Fig. 14. The contours are in general shaped by the self-consistent solution of the gap equation for σ , where the right-hand side is essentially given by the scalar density, such that the scalar density behaves in the same way. As it will be seen shortly, this different behavior with respect to the contour lines is accompanied by a different cancellation mechanism regarding the logarithmic contributions of the bosonic potential upon chiral symmetry restoration (in the chiral limit).

Things are different at zero temperature. Indeed, Fig. 14 suggests a first-order chiral transition in the parity-doublet model, and this is indeed so. The contour lines of $\sigma = 20$ MeV and $\sigma = 30$ MeV tend to overlap for T close to zero. This indicates a rapid decrease or even a vertical drop of σ as a function of μ_B , which suggests the chiral transition to be of first order, and which will be verified shortly. In this case, the structure of the parity-doublet model plays an important role, as we discuss in detail in the later part of this section. In the singlet model the chiral transition at $T = 0$ is a second-order transition in the chiral limit and a mere crossover for finite pion mass. The latter can readily be inferred from the well separated contour lines in the regime of low temperature and

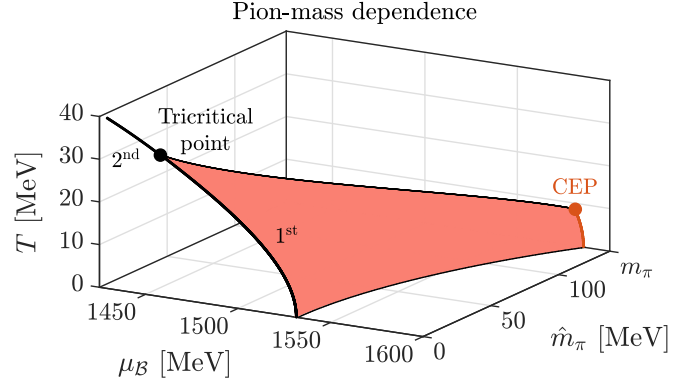


FIG. 15. First-order chiral transition in the parity-doublet model, as a function of μ_B and \hat{m}_π . The pion mass \hat{m}_π varies continuously between the chiral limit ($\hat{m}_\pi = 0$) and the physical value $m_\pi = 138$ MeV. In the chiral limit, the first-order transition line (black) ends in a tricritical point (black dot) at $T_{\text{tri}} \simeq 33$ MeV and $\mu_{\text{tri}} \simeq 1460$ MeV. Above T_{tri} , the transition line is of second order. Values of (T, μ_B) on the red surface correspond to a first-order transition. The first-order transition line (red) for physical pion mass terminates in the critical endpoint (CEP) at $(T_c, \mu_c) \simeq (8.5 \text{ MeV}, 1580 \text{ MeV})$.

large chemical potential in Fig. 13, beyond the liquid-gas transition occurring around 0.9 GeV.

A summary of the phase diagram of the parity-doublet model in the $T - \mu_B$ plane, featuring the chiral transition, is given in Fig. 15. There, we also indicate the dependence on the pion mass, which varies from $\hat{m}_\pi = 0$ (chiral limit) to its physical value $\hat{m}_\pi = m_\pi$. In the chiral limit, the chiral transition is first order at small temperature, and turns into a second-order transition at the critical point as the temperature increases. The critical point depends on the pion mass and decreases towards the physical point. Its location as a function of \hat{m}_π delineates the region of first-order transition (indicated by the red surface in Fig. 15), and terminates in the critical endpoint denoted “CEP” in Fig. 15 when $\hat{m}_\pi = m_\pi$.

Figures 16 and 17 display respectively the coexistence region of the chiral transition in the $T - n_B$ plane for physical pion mass as well as in the chiral limit. At a temperature below the temperature T_c of the critical endpoint, two different phases of matter with different densities coexist. These two phases, labeled A and B, have the same thermodynamic pressure and baryon-chemical potential. While the density of phase A increases with increasing T in the case of the physical pion mass, it decreases in the chiral limit, whereas the density of phase B decreases in both cases. The composition of the two phases A and B with respect to nucleons and their chiral partners will be further discussed at the end of this section, both for physical pion mass as well as in the chiral limit.

In the rest of this section, we analyze further the transition in the two cases of zero temperature or zero chemical potential.

A. The chiral transition in the singlet model

Since only the positive-parity baryons (the nucleons) are involved in the singlet model, we omit the subscript $+$ on all quantities ($M_+ \mapsto M$, $y_+ \mapsto y$, etc.).

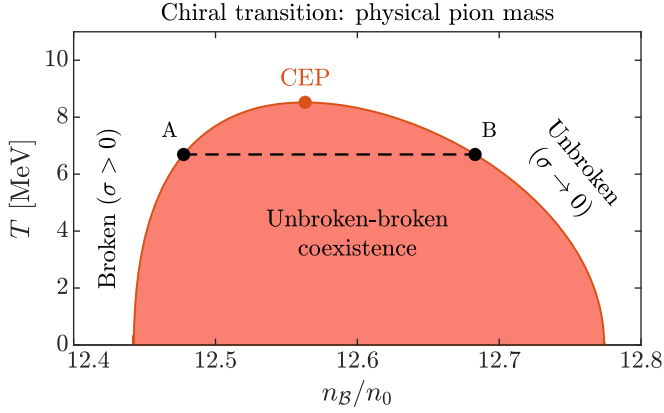


FIG. 16. Phase coexistence in the $T - n_B$ plane (for physical pion mass), where the density is measured in unit of the nuclear matter density n_0 . The points A and B represent the two coexisting phases with identical pressure and baryon chemical potential at a given temperature. In the low-density phase A, chiral symmetry is still broken ($\sigma > 0$), while in the high-density phase B chiral symmetry is restored (σ approaches zero). With increasing temperature, the critical chemical potential decreases (see Fig. 15) and the coexistence region terminates at a critical endpoint (CEP) characterized by $(T_c, n_c) \simeq (8.5 \text{ MeV}, 2.01 \text{ fm}^{-3})$.

1. The transition at $T = 0$

The variation of the σ field as a function of the baryon chemical potential is displayed in Fig. 18. The first-order liquid-gas transition is clearly visible for a value of the chemical potential of order 0.9 GeV. We are concerned here with the chiral transition that takes place for a larger chemical potential. As suggested by the plots, this transition is in fact a simple crossover for the physical pion mass. However, in the chiral limit, it becomes a continuous second-order transition, as we verify in this section.

The value of the sigma field is obtained as a function of the baryon density by solving the gap Eq. (23). For the singlet

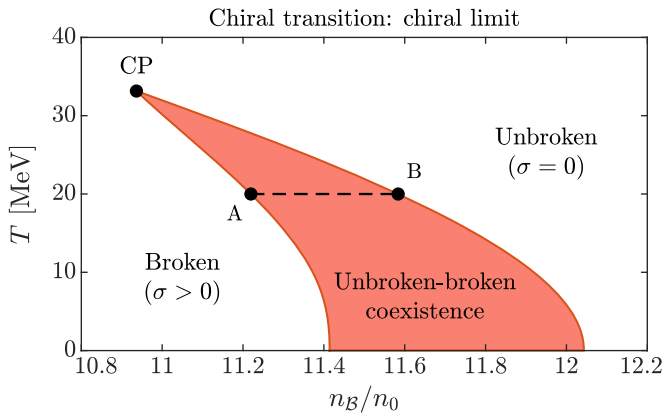


FIG. 17. Phase coexistence in the $T - n_B$ plane (in the chiral limit). Analogous plot to Fig. 16. The black dot denoted “CP” corresponds to the tricritical point in Fig. 15.

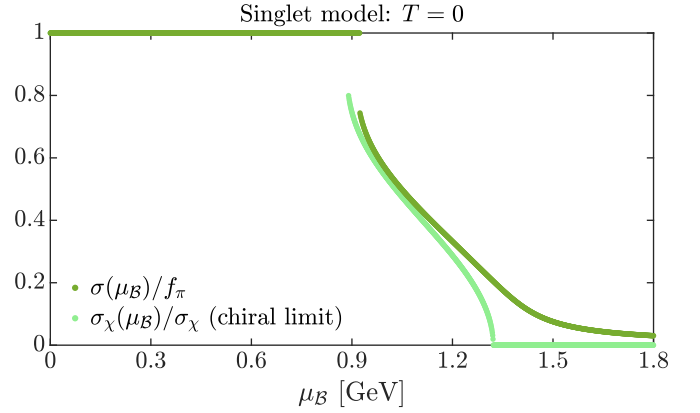


FIG. 18. The average σ field at zero temperature as a function of the baryon chemical potential μ_B in the singlet model (dark green: physical pion mass; light green: chiral limit).

model this equation reads simply

$$\frac{dU}{d\sigma} = -yn_s, \quad (101)$$

where the zero-temperature scalar density is given by (p_F is the Fermi momentum)

$$n_s = 4M \int_{|p| \leq p_F} \frac{1}{\sqrt{p^2 + M^2}}. \quad (102)$$

The integral can be calculated analytically as a function of $x \equiv M/p_F$, which yields

$$\frac{n_s}{n_B} = \frac{3x}{2} \left[\sqrt{1+x^2} - x^2 \sinh^{-1} \left(\frac{1}{x} \right) \right], \quad (103)$$

a monotonically increasing function of x , going from zero to one as x runs from zero to ∞ . This function has two simple limits. The first one is that of the low-density or large-mass limit, $M \gg p_F$ or $x \rightarrow \infty$. This limit is a nonrelativistic limit. It can be obtained simply by expanding the denominator of the integrand in Eq. (102) in powers of p^2/M^2 . The leading order gives $n_s \approx n_B$, independent of σ . By including the first correction, we get

$$\frac{n_s}{n_B} \simeq 1 - \frac{3}{10x^2} + O\left(\frac{1}{x^4}\right), \quad (x \rightarrow \infty). \quad (104)$$

The second limit corresponds to the small-mass or large-density limit, relevant for the chiral transition. We have, for $x \rightarrow 0$,

$$\frac{n_s}{n_B} \simeq \frac{3x}{2} + \frac{3}{4}x^3[2 \ln(x/2) + 1] + O(x^4). \quad (105)$$

Again the limiting behavior can be easily obtained by noticing that, when $p_F \gg M$, the mass in the denominator in Eq. (102) can be ignored. One then gets $n_s \approx M p_F^2/\pi^2$, in agreement with the formula (105) above. The logarithmic correction in Eq. (105) originates from a potential infrared logarithmic divergence, as $M \rightarrow 0$, of the integral involved in the derivative of n_s with respect to M . The same logarithmic contribution arises in the expansion of the kinetic contribution to the energy

density, which reads (with $z \equiv p_F/M$)

$$\begin{aligned} 4 \int_{|p| \leq p_F} \sqrt{p^2 + M^2} &= \frac{M^4}{4\pi^2} [z\sqrt{z^2 + 1}(2z^2 + 1) - \sinh^{-1} z] \\ &\simeq \frac{M^4}{2\pi^2} \left\{ z^4 + z^2 + \frac{1}{8}[1 - 4 \ln(2z)] \right\}, \end{aligned} \quad (106)$$

where the last line provides the large- z expansion up to the logarithmic correction. We shall verify shortly that the logarithmic contributions $\propto \sigma^4 \ln \sigma$ cancel out when solving the gap equation.

To study the vicinity of the chiral transition we assume that, in the chiral limit, the potential $U(\sigma)$ has the following form near $\sigma = 0$:

$$U(\sigma) \simeq U_0 - \frac{r}{2}\sigma^2 + \frac{u}{4}\sigma^4 - l\sigma^4 \ln \frac{\sigma}{f_\pi}, \quad (107)$$

where U_0 is a constant and l is obtained from Eq. (A4), $l = y^4/(4\pi^2)$. The value of r can also be obtained from Eq. (A4), $r = (1452 \text{ MeV})^2$. However, the presence of the logarithm makes the fourth derivative ill defined. The value of u is then obtained from a numerical fit. One gets $u \approx 140$.

It follows from Eq. (107) that

$$\frac{1}{\sigma} \frac{dU}{d\sigma} \simeq -r + (u-l)\sigma^2 - \frac{y^4\sigma^2}{\pi^2} \ln \frac{\sigma}{f_\pi}. \quad (108)$$

On the other hand, by using the expansion (105) above for n_s one gets

$$\frac{yn_s}{\sigma} \simeq \frac{y^2}{\pi^2} \left(p_F^2 + \frac{y^2\sigma^2}{2} - y^2\sigma^2 \ln \frac{2p_F}{y\sigma} \right). \quad (109)$$

It follows that, for small σ and leaving aside the trivial solution corresponding to the maximum of U , one can write the gap equation in the following form:

$$-r + \frac{y^2 p_F^2}{\pi^2} + \left(u + \frac{y^4}{4\pi^2} - \frac{y^4}{\pi^2} \ln \frac{2p_F}{y f_\pi} \right) \sigma^2 = 0. \quad (110)$$

Note that the logarithmic terms proportional to $\sigma^2 \ln \sigma$ have canceled, as anticipated, leaving their trace in a simple renormalization of the parameter u .

The critical density is obtained from the solution of the gap Eq. (110) corresponding to $\sigma = 0$. This yields the critical value of the Fermi momentum $p_c^2 = \pi^2 r / y^2$, corresponding to a critical density

$$n_c = \frac{2\pi}{3} \left(\frac{r}{y^2} \right)^{3/2} \approx 5.06 n_0. \quad (111)$$

A simple calculation shows that in the vicinity of n_c , σ behaves as a function of $n_c - n$ as

$$\sigma \simeq \left(\frac{y^6}{\pi^2 r \tilde{u}^2} \right)^{1/4} \sqrt{n_c - n}, \quad (112)$$

with

$$\tilde{u} = u + \frac{y^4}{4\pi^2} \left(1 - 2 \ln \frac{4\pi^2 r}{y^4 f_\pi^2} \right). \quad (113)$$

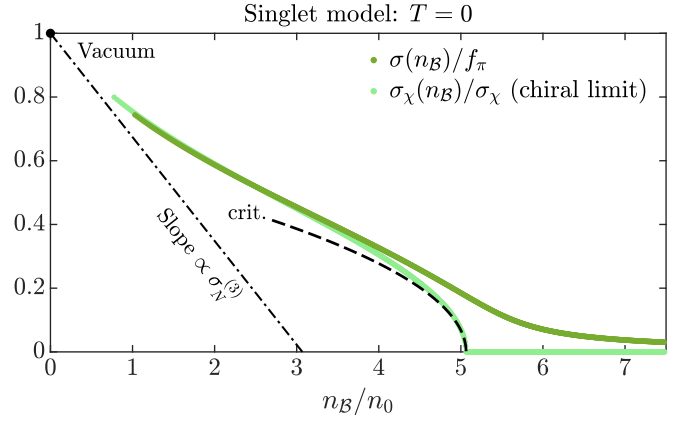


FIG. 19. Condensate σ at zero temperature as a function of the baryon density n_B in the singlet model. Results for physical pion mass are given in dark green, those of the chiral limit in light green. The black dashed line (“crit.”) represents the analytic solution (112) valid near n_c . The dash-dotted line shows the linear density approximation proportional to the sigma term $\sigma_N^{(3)}$. See also Fig. 28.

The square root behavior is characteristic of mean-field theory, and the formula above reproduces accurately the behavior of σ as can be seen in Fig. 19.

Note that the critical density n_c depends only on the value of r , which itself depends crucially on the renormalization of the coefficients of $(\sigma^2 - f_\pi^2)$ and $(\sigma^2 - f_\pi^2)^2$, as well as on the Taylor coefficients α_3 and α_4 . These quantities have been adjusted in order to fit nuclear matter properties. This is an illustration of the strong correlation that exists in this model between the nuclear matter properties near its ground state, and the chiral transition.

The graphical solution of the gap equation provides insight on how the phase transition proceeds (see Fig. 3). The graphical solution indicates that as n_B increases starting from values of the order of n_0 , the intersection point moves to smaller and smaller values of σ , while the corresponding scalar density increases. The scalar density reaches a maximum value when the intersection point coincides with the point where $d^2U/d\sigma^2 = 0$, as we verify shortly. This occurs for $n \approx 4n_0$. From that point on, the scalar density rapidly decreases with further increase of the baryon density and eventually vanishes at the transition. For small values of σ , $n_s(\sigma)$ is linear in σ [see Eq. (105)], and the transition takes place when the corresponding slope matches that of $dU/d\sigma$ at $\sigma = 0$, that is when $y \partial n_s / \partial \sigma \simeq y^2 p_F^2 / \pi^2 = r$, which is the critical value of the Fermi momentum determined above. Note that, at the transition, $m_\sigma^2 \equiv d^2U/d\sigma^2 + y \partial n_s / \partial \sigma|_{n_B} = 0$.⁹

⁹This definition of the sigma mass follows directly from the second derivative of the energy density with respect to σ , at fixed baryon density. In the present context it is consistent with the general considerations of Ref. [68] about the chiral critical mode in this class of sigma models.

To determine the maximum of n_s as a function of n_B , we note that

$$\frac{dn_s}{dn_B} = \left. \frac{\partial n_s}{\partial n_B} \right|_{\sigma} + \left. \frac{\partial n_s}{\partial \sigma} \right|_{n_B} \frac{d\sigma}{dn_B}, \quad (114)$$

so that the requirement that n_s be a maximum as a function of n_B yields the relation

$$\left. \frac{\partial n_s}{\partial n_B} \right|_{\sigma} = - \left. \frac{\partial n_s}{\partial \sigma} \right|_{n_B} \frac{d\sigma}{dn_B}. \quad (115)$$

By taking the derivative of the solution of the gap equation with respect to n_B [cf. again Eq. (65)], one obtains

$$\frac{d\sigma}{dn_B} = - \frac{y}{m_{\sigma}^2} \left. \frac{\partial n_s}{\partial n_B} \right|_{\sigma}, \quad (116)$$

which can be used to simplify the condition (115) above into

$$m_{\sigma}^2 = y \left. \frac{\partial n_s}{\partial \sigma} \right|_{n_B}. \quad (117)$$

On the other hand,

$$m_{\sigma}^2 = \frac{d^2 U}{d\sigma^2} + y \left. \frac{\partial n_s}{\partial \sigma} \right|_{n_B}. \quad (118)$$

By comparing the two expressions above for m_{σ}^2 , one concludes that n_s reaches its maximum value when the second derivative of U vanishes.

The square of the σ mass, Eq. (118), informs us on the stability of the solution. In fact it is easy to verify that the solution is always stable. For $n < n_c$, m_{σ}^2 is positive, and it vanishes at the transition, as we have seen. Above the transition, σ remains equal to zero, and the dependence of m_{σ}^2 on the density is dictated by the corresponding dependence of $\partial n_s / \partial \sigma = y p_F^2 / \pi^2$ on the density. It follows that, above the transition, we have

$$m_{\sigma}^2 = m_{\pi}^2 \simeq \frac{y^2}{\pi^2} (p_F^2 - p_c^2), \quad (119)$$

where the equality $m_{\sigma}^2 = m_{\pi}^2$ reflects the fact that chiral symmetry is restored ($\sigma = 0$). Above the transition, these degenerate masses increase with increasing density.

So far, we have examined the transition in the chiral limit. It is interesting to consider also what happens for the physical value of the pion mass, that is when the linear term $h\sigma$ is present in the potential. This term not only affects the behavior of the potential near $\sigma = f_{\pi}$ but also near $\sigma = 0$. With h non-vanishing, the potential (107) reads (ignoring the logarithmic contribution)

$$U(\sigma) \simeq U_0 - \frac{r}{2} \sigma^2 + \frac{u}{4} \sigma^4 - h\sigma. \quad (120)$$

The presence of the term $h\sigma$ entails a modification of the gap equation in the vicinity of $\sigma = 0$ which is easily understood with the graphical solution of Fig. 3. Since $dU/d\sigma|_{\sigma=0} = -h$, the corresponding green curve in Fig. 3 is shifted downwards by h . It follows that, when the density reaches the value corresponding to the critical density for $h = 0$, that is when $r = y^2 p_F^2 / \pi^2$, the intersection of the (shifted) green line with a black line occurs at finite σ , rather than at $\sigma = 0$. Then,

as the density increases beyond that point, σ continues to decrease with increasing density. This behavior can also be deduced from the explicit form of the gap equation, which for the potential (120), is given by

$$\left(-r + \frac{y^2 p_F^2}{\pi^2} \right) \sigma + u \sigma^3 = h. \quad (121)$$

This shows in particular that the value $\sigma = 0$ is reached only asymptotically, i.e., when $p_F \rightarrow \infty$. One can also use this equation to verify that m_{σ}^2 never vanishes.

As an alternative to solving the gap equation, one may obtain σ as a function of n_B by solving a simple differential equation. Consider indeed Eq. (116). After noticing that $\partial n_s / \partial n_B|_{\sigma} = M/M_*$, we may rewrite this equation as follows:

$$\frac{d\sigma}{dn_B} = - \frac{y}{m_{\sigma}^2} \frac{M}{M_*}. \quad (122)$$

The right-hand side of this equation is a known function of σ and n_B . It can then be integrated from some initial condition all the way to the chiral transition, and beyond. Since this is a first-order differential equation, the solution is determined by the initial condition, i.e., by $\sigma(n_B = 0)$. This depends on the value of \hat{h} : $\sigma(n_B = 0) = \sigma_{\chi}$ for $\hat{h} = 0$, and $\sigma(n_B = 0) = f_{\pi}$ for $\hat{h} = h$. Note that the integration does not depend on \hat{h} : the only place where \hat{h} enters is the potential U and only its second derivative enters the expression of m_{σ}^2 in Eq. (118). Thus \hat{h} enters only the initial condition. It implies that whether the transition is second order or a mere crossover is entirely dictated by the initial condition for the differential Eq. (122), a rather remarkable feature.

2. The transition at $\mu_B = 0$

As is the case at finite density, the increase of the fermion scalar density is the driving term for the decrease of σ . For vanishing baryon density and finite temperature, the scalar density is given by

$$n_s(T) = 8M \int_p \frac{n_F(\varepsilon_p)}{\varepsilon_p}, \quad (123)$$

where the factor eight accounts for the antiparticle contributions in addition to spin and isospin of the nucleons. It can be written as the following integral (with $z \equiv M/T$):

$$n_s(T) = \frac{4}{\pi^2} M T^2 I(z) = \frac{4T^3}{\pi^2} z I(z), \quad (124)$$

where

$$I(z) = \int_0^{\infty} dx \frac{x^2}{\sqrt{x^2 + z^2}} \frac{1}{e^{\sqrt{x^2 + z^2}} + 1}. \quad (125)$$

The integral can be calculated analytically when $z = 0$: $I(0) = \pi^2/12$. It is a rapidly decreasing function of z (see Fig. 20). It starts to be non-negligible when $z \lesssim 6$. This is in line with what we see in Fig. 21 where the scalar field starts to drop when T is of the order of 150 MeV ($\approx 940/6$ MeV). This is the temperature at which the scalar density starts to increase significantly. Until that temperature is reached, the sigma field remains at its vacuum value and so do the masses of the nucleons and antinucleons. As the temperature increases

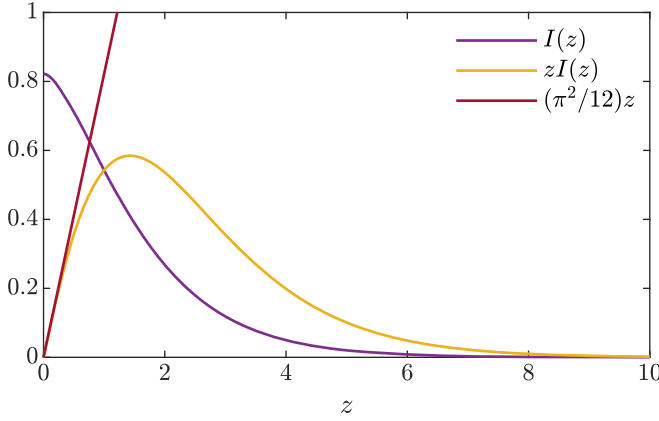


FIG. 20. The integral $I(z)$ defined in Eq. (125) and related functions.

above 150 MeV, the scalar density increases, which entails the decrease of σ and of the nucleon mass.

In the vicinity of the transition, and in the chiral limit, we may set $M = 0$ in the integral that multiplies M in the expression of n_s given above. We get

$$n_s(T) \simeq \frac{M}{3} T^2. \quad (126)$$

By using the same approximate expression for U as in Eq. (107), one easily solves the gap equation and gets

$$T_c = \sqrt{\frac{3r}{y^2}} \approx 249 \text{ MeV}. \quad (127)$$

To obtain the behavior of $\sigma(T)$ in the vicinity of T_c requires more work. There are logarithmic contributions both in the function $I(z)$ and in the potential that cancel each other, as is the case at finite density. The logarithmic contribution from the fermion loop is obtained from the well-known high-temperature expansion [69], which leads to

$$n_s \simeq M \frac{T^2}{3} + \frac{M^3}{\pi^2} \left(\gamma_E - \frac{1}{2} + \ln \frac{M}{\pi T} \right), \quad (128)$$

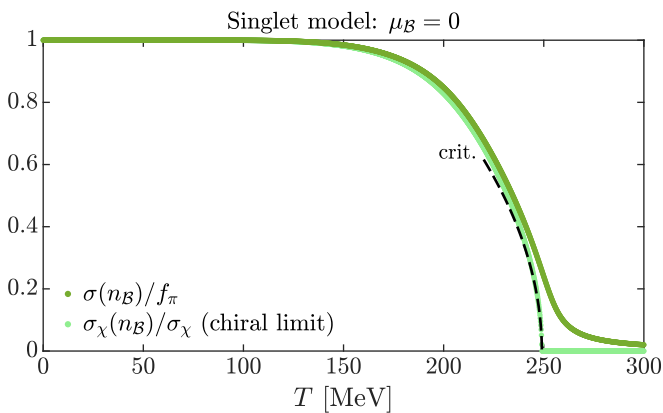


FIG. 21. Condensate σ at zero baryon chemical potential as a function of temperature T in the singlet model. The dashed line (“crit.”) shows the critical behavior (129).

with the Euler-Mascheroni constant γ_E . By examining Eq. (108) one verifies easily that the logarithmic contribution cancels out, as announced. By following the same steps as at finite density one then obtains the critical behavior

$$\sigma \simeq \left(\frac{4y^2 r}{3\tilde{u}^2} \right)^{1/4} \sqrt{T_c - T}, \quad (129)$$

where

$$\tilde{u} = u + \frac{y^4}{\pi^2} \left(\gamma_E - \frac{3}{4} - \frac{1}{2} \ln \frac{3\pi^2 r}{y^4 f_\pi^2} \right). \quad (130)$$

The effect of the physical pion mass is to smear out the second-order transition found within the chiral limit, turning it into a smooth crossover where σ only asymptotically approaches zero (cf. again the dark green line in Fig. 21).

B. The chiral transition in the doublet model

We start with the transition at $\mu_B = 0$. This is a second-order transition which bears a strong similarity with the corresponding one in the singlet model. Then we discuss the transition at $T = 0$, which is a first-order transition with very specific features.

1. The chiral transition at $\mu_B = 0$

In the parity-doublet model, the gap equation reads [see Eq. (23)]

$$\frac{dU}{d\sigma} = -y_+ n_s^+ - y_- n_s^-, \quad (131)$$

where the scalar densities n_s^\pm are given by expressions similar to that of the singlet model [Eq. (103)], in which one substitutes $M \mapsto M_\pm$, respectively. It follows that the solution of the gap equation for the sigma field exhibits similar behavior as that of the singlet model. In particular, σ starts to decrease only after a temperature of the order of 150 MeV. Then n_s^+ increases as T increases. When the temperature reaches a value ≈ 200 MeV, the density of the negative-parity baryons, n_s^- , starts to increase [this is lower than the anticipated value $\approx 1500/6$ MeV—see the discussion after Eq. (124)—because the mass of the negative-parity baryons has already decreased when they start to be populated]. Eventually, the masses of the two parity states become (approximately) equal, and the chiral symmetry is restored.

As is the case in the singlet model, shortly before the transition occurs, the density n_s^+ starts to decrease rapidly with increasing temperature. In the vicinity of the transition and in the chiral limit, we have

$$n_s^\pm(T) = \frac{4}{\pi^2} M_\pm T^2 I(z_\pm), \quad z_\pm \equiv \frac{M_\pm}{T}, \quad (132)$$

where $I(z)$ is the integral (125). To linear order the masses M_\pm are given by [see Eq. (9)]

$$M_\pm \simeq m_0 \pm \frac{\sigma}{2} (y_a - y_b). \quad (133)$$

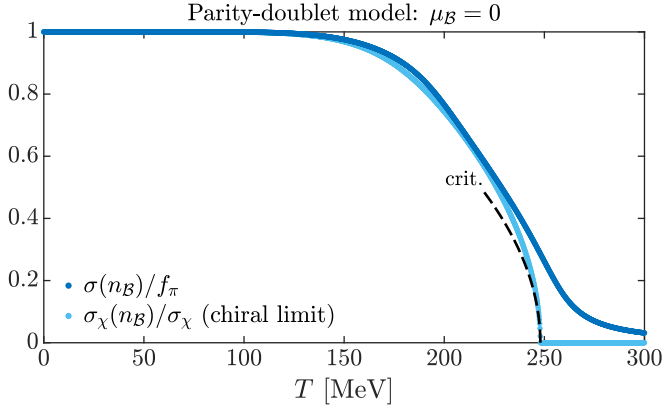


FIG. 22. Condensate σ at zero baryon chemical potential as a function of temperature T in the parity-doublet model (dark blue shows physical pion mass, light blue shows chiral limit). The dashed line (“crit.”) represents the approximate solution (139).

The expansion of $n_s^\pm(T)$ for small σ reads then

$$n_s^\pm(T) \simeq \frac{4T^2}{\pi^2} \left[m_0 \pm \frac{\sigma}{2}(y_a - y_b) \right] \left[I(z_0) \pm \frac{\sigma}{2T}(y_a - y_b)I'(z_0) \right]. \quad (134)$$

We have also, again to linear order in σ [see Eq. (26)],

$$y_\pm \simeq \frac{1}{2} \left[\pm(y_a - y_b) + (y_a + y_b)^2 \frac{\sigma}{2m_0} \right]. \quad (135)$$

It follows that the right-hand side of the gap equation can be written as

$$-y_+ n_s^+ - y_- n_s^- \simeq -\sigma \frac{4T^2}{\pi^2} \left[(y_a^2 + y_b^2)I(z_0) + \frac{z_0 I'(z_0)}{2}(y_a - y_b)^2 \right], \quad (136)$$

where we have used Eq. (27). The critical temperature is then determined by the equation

$$r = \frac{4T^2}{\pi^2} \left[(y_a^2 + y_b^2)I_0 + \frac{z_0 I'_0}{2}(y_a - y_b)^2 \right], \quad (137)$$

where $I_0 = I(z_0)$, $z_0 = m_0/T$, and $I'(z) = dI(z)/dz$. We eventually find

$$T_c \approx 248 \text{ MeV}, \quad (138)$$

with $r \approx (634 \text{ MeV})^2$, which we obtained in the same fashion as before.

The determination of the critical behavior with respect to temperature requires more work, but proceeds as in the case of the singlet model. We just quote the result (see Fig. 22)

$$\sigma \simeq \sqrt{\frac{\tilde{r}}{\tilde{u}}} \sqrt{T_c - T}, \quad (139)$$

where

$$\tilde{r} = \frac{4}{\pi^2} \left[2T_c(y_a^2 + y_b^2)I_c - \frac{m_0}{2}(y_a + y_b)^2 I'_c - \frac{m_0 z_c}{2}(y_a - y_b)^2 I''_c \right], \quad (140)$$

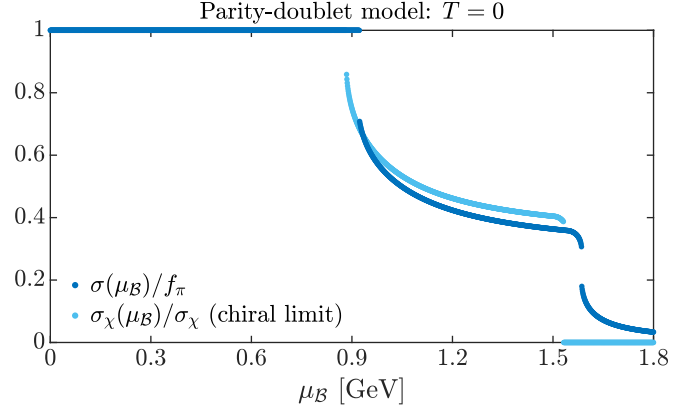


FIG. 23. Condensate σ at zero temperature as a function of baryon chemical potential μ_B in the parity-doublet model (dark blue shows physical pion mass, light blue shows chiral limit).

$$\tilde{u} = u + \frac{1}{4T_c \pi^2} \left\{ \frac{T_c}{z_c} (y_a + y_b)^2 [4(y_a - y_b)^2 + (y_a + y_b)^2] I'_c + T_c (y_a - y_b)^2 [2(y_a + y_b)^2 + (y_a - y_b)^2] I''_c + \frac{m_0}{3} (y_a - y_b)^4 I'''_c \right\}, \quad (141)$$

with $z_c = m_0/T_c$, $I_c = I(z_c)$. The coupling u is given by the fourth derivative of the bosonic potential at the origin,

$$u = \frac{1}{6} \frac{\partial^4 U}{\partial \sigma^4} \Big|_{\sigma=0} \approx 207, \quad (142)$$

which does not exhibit a divergence at $\sigma = 0$ (contrary to the singlet model).

2. Transition at $T = 0$

In contrast with what happens in the singlet model, in the doublet model, and for our choice of parameters, the zero-temperature chiral transition is discontinuous both in the chiral limit, and for the physical pion mass. This is illustrated in Fig. 23 which displays the value of the σ field as a function of the baryon-chemical potential. Both the liquid-gas transition and the chiral transition manifest themselves as jumps in the value of σ for $\mu_B \simeq 0.9 \text{ GeV}$ (liquid-gas transition) and $\mu_B \simeq 1.55 \text{ GeV}$ (chiral transition).

To start understanding the mechanisms at work in the chiral transition, it is instructive to look first at the variations of the populations of positive (B^+) and negative (B^-) parity baryons as the chemical potential increases. This is illustrated in Fig. 24. One sees that the B^- density remains negligible until the immediate vicinity of the transition, where it increases very rapidly (albeit by a small amount). At the same time the rate of increase of the B^+ density diminishes, the B^+ density eventually decreasing for the physical pion mass. The total baryon density continues to increase, roughly linearly with increasing μ_B , until the transition where it makes a small positive jump. Above the transition the B^+ and B^- populations are equal (in the chiral limit), as expected once chiral symmetry is restored ($\sigma = 0$) and $M_+ = M_-$. For the physical pion mass,

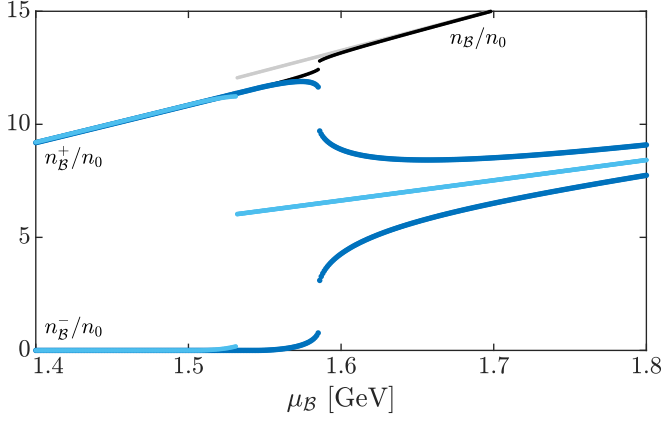


FIG. 24. The densities n_B^+ and n_B^- as functions of the baryon-chemical potential. The coloring (physical pion mass or chiral limit) coincides with the one of Fig. 23. The respective total baryon density $n_B = n_B^+ + n_B^-$ is given in black or gray.

the chiral symmetry is restored only asymptotically, and the transition, while remaining of first order, is smoother: the \mathcal{B}^+ density starts to drop at the transition, and continues to do so after, until it begins to grow and eventually merges with the growing \mathcal{B}^- density at asymptotically large chemical potential or density.

The solution of the gap equation provides the value of σ as a function of the baryon density. The graphical solution (in the chiral limit) is illustrated in Fig. 25 for two values of the density that are close to that corresponding to the chiral transition. The dashed vertical line indicates the value σ_{\min} of σ that corresponds to the minimum of M_+ . For $\sigma = \sigma_{\min}$, $y_+ = 0$ so that the line $-y_+ n_s^+$ crosses the dashed line on the horizontal axis. At small and intermediate density, there are no \mathcal{B}^- present in the system and the line corresponding to $-y_- n_s^-$ coincides with the horizontal axis while the black line coincides with the red one. The situation is then similar to that represented in Fig. 3 for the singlet model. The solution of the gap equation is given by the intersection of the red curve with the blue curve and for a large interval of densities, the corresponding value of σ decreases very slowly as the density increases. We explain shortly this specific behavior. As the density gets closer to the transition value, the \mathcal{B}^- start to appear, and their contribution is indicated by the orange curve (which crosses the vertical dashed line at the same place as the black line since, as we have seen, for $\sigma = \sigma_{\min}$, $y_+ n_s^+ = 0$). When the density of \mathcal{B}^- starts to increase, things develop rapidly, leading eventually to a jump of the black curve so that it intercepts the blue curve only at $\sigma = 0$. This jump in σ corresponds of course to the first-order transition.

The (absolute) maximum of the right-hand side of the gap equation can be determined as in the singlet model. We note that

$$\begin{aligned} \frac{d(y_{\pm} n_s^{\pm})}{dn_B} &= y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial n_B} \right|_{\sigma} + y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial \sigma} \right|_{n_B} \frac{d\sigma}{dn_B} \\ &+ n_s^{\pm} \frac{d^2 M_{\pm}}{d\sigma^2} \frac{d\sigma}{dn_B}, \end{aligned} \quad (143)$$

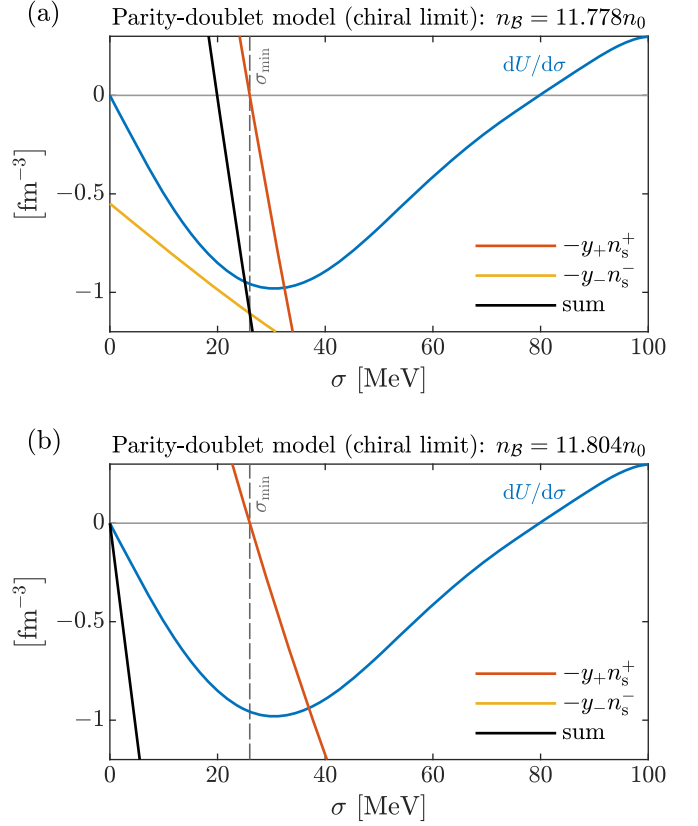


FIG. 25. Gap equation in the parity-doublet model. Note that given the large value of m_0 , the scalar densities coincide with the respective baryon density; that is, $n_s^{\pm} \simeq n_B^{\pm}$.

where we use the concise notation $a_{\pm} b_{\pm} = a_+ b_+ + a_- b_-$. Requiring that $y_{\pm} n_s^{\pm}$ be a maximum as a function of n_B , one gets

$$y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial n_B} \right|_{\sigma} = - \left(y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial \sigma} \right|_{n_B} + n_s^{\pm} \frac{d^2 M_{\pm}}{d\sigma^2} \right) \frac{d\sigma}{dn_B}. \quad (144)$$

On the other side, by taking the derivative of the gap equation with respect to n_B , one gets

$$\frac{d\sigma}{dn_B} = - \frac{y_{\pm}}{m_{\sigma}^2} \left. \frac{\partial n_s^{\pm}}{\partial n_B} \right|_{\sigma}, \quad (145)$$

with

$$m_{\sigma}^2 = \frac{d^2 U}{d\sigma^2} + n_s^{\pm} \frac{d^2 M_{\pm}}{d\sigma^2} + y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial \sigma} \right|_{n_B}. \quad (146)$$

The combination of Eqs. (144) and (145) yields the condition

$$m_{\sigma}^2 = y_{\pm} \left. \frac{\partial n_s^{\pm}}{\partial \sigma} \right|_{n_B} + n_s^{\pm} \frac{d^2 M_{\pm}}{d\sigma^2}. \quad (147)$$

By comparing this expression of m_{σ}^2 with the general formula (146) above, one concludes that the maximum of $y_{\pm} n_s^{\pm}$ occurs when

$$\frac{d^2 U}{d\sigma^2} = 0, \quad (148)$$

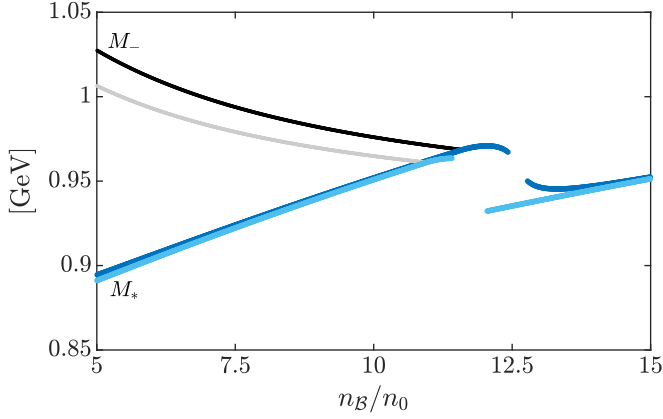


FIG. 26. Effective mass M_* as a function of density (dark blue shows physical pion mass, light blue shows chiral limit). The black and gray lines represent the mass M_- of the negative-parity baryons (up to threshold) for physical pion mass and in the chiral limit, respectively.

which matches the corresponding finding within the singlet model. Note, however, that the field dependence of the Yukawa couplings induces a slight displacement of the location of the maximum of the scalar density n_s^+ with respect to the inflection point of the potential, in contrast with the singlet model.

The analysis of the gap equation reveals interesting features of the transition: (i) The slow increase of σ over a wide range of densities. (ii) The role of the value σ_{\min} which controls the overall “topology” of the graphical solution of the gap equation, as we have just discussed. (iii) The rapid growth of the \mathcal{B}^- population above some threshold. Note also that from the point of view of the baryon density, the transition is weakly first order, meaning that the relative jump in the baryon density is small at the transition. We now analyze these various features.

We start with the threshold for the population of negative-parity baryons [point (iii)]. In Fig. 26 is plotted the effective mass M_* as a function of density. One sees that, between $n_B = 5n_0$ and $11n_0$, the increase of M_* with increasing density is nearly linear. This can be understood in the following way: We have $M_* = (p_+^2 + M_+^2)^{1/2}$, where p_+ is the Fermi momentum of the \mathcal{B}^+ baryons. In this range of densities, M_+ depends weakly on σ , which furthermore does not vary much with density, as we shall see. It is then easily verified that in the range $2n_0$ to $11n_0$, the function $M_* = (p_+^2 + M_+^2)^{1/2}$ for constant M_+ is nearly linear as a function of $n_B \sim p_+^3$. The black line in Fig. 26 represents the variation of M_- with density. In contrast with M_+ , the dependence of M_- on σ is much stronger: it drops by nearly a factor of two as σ drops from f_π to zero. The intersection of the black line with the blue line (gray and light blue in the chiral limit, respectively) defines the \mathcal{B}^- threshold (cf. also Ref. [70]):

$$M_- = \sqrt{p_F^2 + M_+^2}, \quad (149)$$

where we have substituted p_+ by the baryon Fermi momentum p_F since the \mathcal{B}^- density vanishes at threshold. Since both

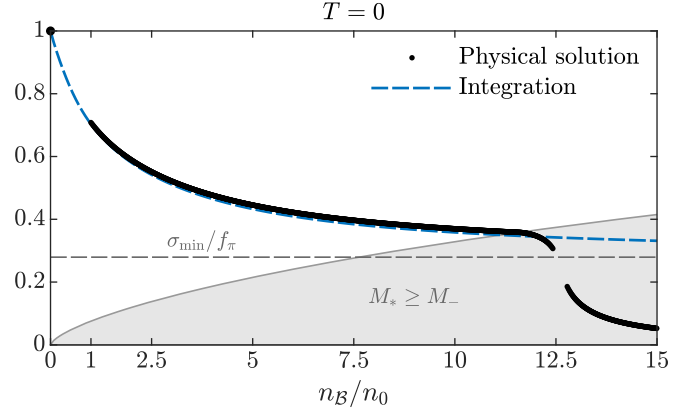


FIG. 27. Condensate σ at zero temperature as a function of baryon density n_B in the parity-doublet model (for physical pion mass). The blue dashed line represents the result obtained by continuously integrating the differential Eq. (151).

M_- and M_+ are functions of σ , this equation defines a relation between the baryon density n_B and the value of the sigma field σ at threshold. By using the explicit expressions of the mass M_- and M_+ , Eq. (9), we can rewrite this relation as follows:

$$p_F^2 = \sigma(y_b - y_a) \sqrt{\sigma^2(y_a + y_b)^2 + 4m_0^2}. \quad (150)$$

The relation (150) between σ and the Fermi momentum p_F (or equivalently the baryon density) marks the boundary of the gray zone in Fig. 27.

To complete the determination of the \mathcal{B}^- threshold, we need to know the value of σ at the threshold, that is the function $\sigma(n_B)$ that is obtained by solving the gap equation. This is what we turn to now, which will give us the opportunity to explain the origin of the very slow increase of σ over a wide range of baryon densities [point (i) above]. Rather than solving directly the gap equation, we may rely on Eqs. (145) and (146): Before the \mathcal{B}^- threshold, one can ignore n_s^- . Furthermore, because of the mass m_0 , n_s^+ starts to differ from n_B only when $p_+ \gtrsim m_0$. For $m_0 = 800$ MeV, this inequality is not satisfied until $n_B \gtrsim 28n_0$. So in the relevant range of densities, $n_s^+ \simeq n_B$ is an excellent approximation. Thus, in Eqs. (145) and (146) above, we can substitute n_s by n_B , set $M_+/M_* = 1$, and also ignore the two derivatives $\partial n_s^+/\partial \sigma|_{n_B}$. We obtain then

$$\frac{d\sigma}{dn_B} \simeq -y_+ \left(\frac{d^2U}{d\sigma^2} + n_B \frac{d^2M_+}{d\sigma^2} \right)^{-1}, \quad (151)$$

where $d^2M_+/d\sigma^2$ is given explicitly in Eq. (A5). The equation above can be considered as a differential equation for $\sigma(n_B)$. Integrating this equation from the initial condition $\sigma(n_B = 0) = f_\pi$ yields the dashed curve in Fig. 27 which almost perfectly overlaps with the exact solution all the way to the \mathcal{B}^- threshold. Since the sigma field varies little over a large part of the integration range, we may get a qualitative understanding of the long plateau seen in Fig. 27. Indeed, in the region where the sigma field is nearly constant, the integrand is of the form $(a + bn_B)^{-1}$, with a and b two constants,

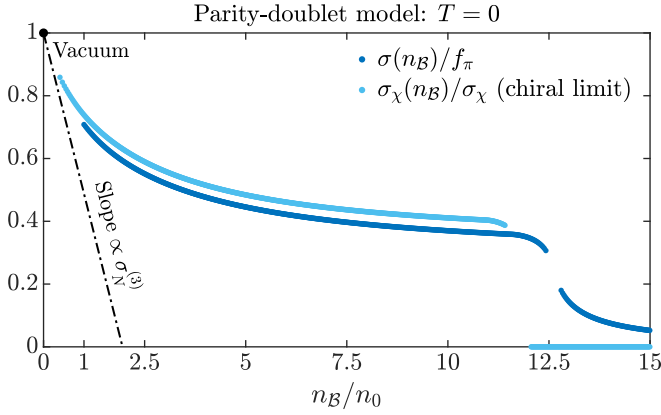


FIG. 28. Condensate σ at zero temperature as a function of baryon density n_B in the parity-doublet model. The solutions to the gap equation were computed in discrete steps of the baryon chemical potential (between $\mu_B = 0$ and $\mu_B = 1.8$ GeV; cf. Fig. 23). Results for physical pion mass are given in dark blue, those of the chiral limit in light blue. The dash-dotted line shows the linear density approximation proportional to the sigma term $\sigma_N^{(3)}$.

and the integral exhibits only a smooth, logarithmic variation with respect to n_B .

In fact, it is interesting to continue the integration by ignoring the negative-parity baryons. As indicated by the blue dashed line in Fig. 27, the obtained function continues its smooth decrease. Because the coefficient y_+ in Eq. (151) vanishes at $\sigma = \sigma_{\min}$ this behavior is expected to continue asymptotically until σ reaches this value σ_{\min} where $d\sigma/dn_B \rightarrow 0$. In the graphical solution of the gap equation (in the chiral limit), this corresponds to the red line becoming vertical, i.e., coinciding with the gray dashed line. One may combine this argument with Eq. (150) for the \mathcal{B}^- onset, by plugging into this formula the value $\sigma = \sigma_{\min}$. Since the decrease of σ with increasing n_B given by Eq. (151) is only logarithmic, this is not a very stringent lower bound. This can be seen from Fig. 27. The relation (150) delineates the gray zone, and its intersection with the dashed line corresponding to σ_{\min} provides the estimate of the lower bound, about $7.5n_0$.

Once the threshold is passed, the σ field rapidly decreases.¹⁰ This phenomenon amplifies itself. As the

¹⁰As we point out in this work, essential features of the solution of the gap equation in the parity-doublet model do not depend significantly on the specific choice of parameters that we made in the allowed parameter band of Fig. 6, $(m_0, m_\sigma) = (800, 340)$ MeV and $M_*/M_N = 0.93$, leading to the chiral transition around $12.5n_0$. For example, if we would have chosen the pair $(m_0, m_\sigma) = (760, 400)$ MeV for $M_*/M_N = 0.93$, we get $\sigma_{\min} \approx 23$ MeV and the chiral transition takes place at about $13n_0$. Another example with larger m_0 would be the pair $(m_0, m_\sigma) = (840, 300)$ MeV for $M_*/M_N = 0.94$, for which we have $\sigma_{\min} \approx 30$ MeV and the chiral transition to occur around $14n_0$. So the delay of the chiral transition to densities typically beyond $10n_0$ is robust, for “large” values of m_0 , although the critical density may vary to some extent and the transition order may change to a mere crossover depending on the specific choice of parameters, cf. Ref. [7] and Appendix B.

population of \mathcal{B}^- increases, so does the quantity $y_- n_s^-$ in the right-hand side of the gap equation. This leads to a decrease of the magnitude of σ which entails a decrease of the mass M_- . In turn, this opens further the phase space allowed to the \mathcal{B}^- , allowing the Fermi momentum p_- to increase, which in turn contributes to the increase of $y_- n_s^-$. Figure 28 summarizes the physical solutions of the gap equations for both the physical pion mass and the chiral limit, together with the initial linear decrease controlled by the nucleon sigma term.

To understand better the underlying mechanism, and in particular what drives the increase of the \mathcal{B}^- population, let us consider the total energy density. This is a function of n_B^+ , n_B^- , and σ :

$$\mathcal{E}(n_B^+, n_B^-; \sigma) = \mathcal{E}_{\text{qp}}^+(n_B^+; \sigma) + \mathcal{E}_{\text{qp}}^-(n_B^-; \sigma) + \frac{1}{2} G_v n_B^2 + U(\sigma). \quad (152)$$

The equilibrium state is determined by the minimum of $\mathcal{E}(n_B^+, n_B^-; \sigma)$ with respect to all three variables, subjected to the constraint that $n_B = n_B^+ + n_B^-$, that is the unconstrained minimum of $\mathcal{E}(n_B^+, n_B^-; \sigma) - \mu_B(n_B^+ + n_B^-)$, with μ_B the baryon-chemical potential. The minimization with respect to σ consistently yields again the gap equation,

$$\left. \frac{\partial \mathcal{E}}{\partial \sigma} \right|_{n_B^+, n_B^-} = 0 = \frac{dU}{d\sigma} + y_+ n_s^+ + y_- n_s^-. \quad (153)$$

The minimization with respect to n_B^+ and n_B^- yields

$$\left. \frac{\partial \mathcal{E}}{\partial n_B^\pm} \right|_\sigma \equiv \mu^\pm = \mu_B. \quad (154)$$

This equation translates into the equality of the chemical potentials $\mu^+ = \mu^- = \mu_B$, with

$$\mu^\pm = \sqrt{p_\pm^2 + M_\pm^2} + G_v n_B, \quad (155)$$

or equivalently

$$p_+^2 - p_-^2 = M_-^2 - M_+^2. \quad (156)$$

At threshold, $p_- = 0$. The condition above says that by turning a \mathcal{B}^+ into a \mathcal{B}^- , one gains the energy associated with the drop of the Fermi momentum from p_+ to $p_- = 0$, but at threshold this is just compensated by the mass difference. However, as the density increases, M_- decreases, more than M_+ does, so that there is a net energy gain resulting when increasing the number of \mathcal{B}^- rather than the number of \mathcal{B}^+ as one increases n_B . In other words, what drives the transition is a form of “symmetry energy.”

To quantify the effect, we note that the right-hand side of Eq. (156) is a known function of σ . To solve for p_\pm at constant n_B , we introduce the Fermi momentum $p_F = (3\pi^2 n_B/2)^{1/3}$ and set $p_-/p_F = \alpha^{1/3}$ and $p_+/p_F = (1 - \alpha)^{1/3}$. We obtain then

$$f(\alpha) \equiv (1 - \alpha)^{2/3} - \alpha^{2/3} = \frac{M_-^2 - M_+^2}{p_F^2}. \quad (157)$$

The function $f(\alpha)$ is a decreasing function of α , going from 1 to -1 as α runs from zero to one. In fact, since M_- is always bigger than M_+ , only $f(\alpha) \geq 0$ is relevant, which limits α to values between 0 and $1/2$. At threshold, $\alpha = 0$,

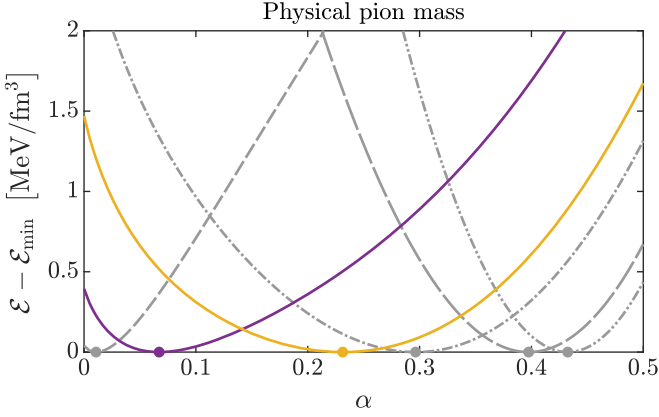


FIG. 29. Energy density as a function of α for different n_B (for physical pion mass). Dots indicate the respective minima. Gray lines from left to right indicate $n_B = 12n_0, 13n_0, 14n_0$, and $15n_0$; magenta line indicates the last value before the transition ($n_B \approx 12.44n_0$); orange line indicates the first value after the transition ($n_B \approx 12.77n_0$).

and the right-hand side is unity. As one increases the baryon density, one increases p_F in the denominator, while the numerator is an increasing function of σ , and σ decreases as the density increases. It follows that the right-hand side becomes smaller than unity as n_B increases beyond threshold, and the equation has a solution $\alpha > 0$, with α growing with increasing density. This confirms that as n_B increases, the population of B^- grows.

To see that this growth corresponds to a gain in energy, we consider the variation of $\mathcal{E}(n_B^+, n_B^-; \sigma)$ first by restricting the variations to be such that $n_B = n_B^- + n_B^+$ is constant, as well as σ . Setting as above

$$\alpha = \frac{n_B^-}{n_B}, \quad \frac{n_B^+}{n_B} = 1 - \alpha, \quad (158)$$

we note that since p_F and σ are kept constant, only $\mathcal{E}_{\text{qp}}^\pm$ depends on α . In other words, the α dependence of the symmetry energy is entirely contained in the sum of the quasiparticle energies. We have [see Eq. (106)]

$$\mathcal{E}_{\text{qp}}^\pm = \frac{M_\pm^4}{4\pi^2} [z_\pm \sqrt{z_\pm^2 + 1(2z_\pm^2 + 1)} - \sinh^{-1} z_\pm], \quad (159)$$

where $z_\pm \equiv p_\pm/M_\pm$. Substituting in the expressions

$$z_- = \alpha^{1/3} \frac{p_F}{M_-}, \quad z_+ = (1 - \alpha)^{1/3} \frac{p_F}{M_+}, \quad (160)$$

one finds that $\mathcal{E}_{\text{qp}}^+ + \mathcal{E}_{\text{qp}}^-$ exhibits a minimum as a function of α . At threshold, the minimum is at $\alpha = 0$, but as one moves closer to the transition, the minimum occurs for positive α , in agreement with the argument above.

It is straightforward to calculate numerically the full energy density for a given value of the baryon density, taking into account the effect of the scalar interactions. This amounts to take into account the variation of σ as a function of α as given by the gap Eq. (153). The results of such a calculation are displayed in Figs. 29 and 30, respectively, for the physical pion mass and the chiral limit. The minimum at finite value of α and its evolution with increasing density is clearly visible,

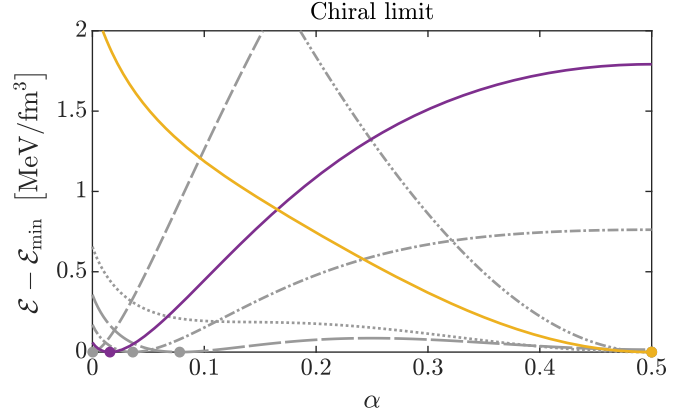


FIG. 30. Energy density as a function of α for different n_B (in the chiral limit). Color code equivalent to Fig. 29. Gray lines from left to right indicate $n_B = 11n_0$ (dashed), $11.6n_0$ (dash-dotted), $11.75n_0$ (long-dashed), $11.8n_0$ (dotted), and $12.5n_0$ (dash-double-dotted); magenta line indicates $n_B \approx 11.41n_0$; orange line indicates $n_B \approx 12.04n_0$. Note that the last two gray dots (for $n_B = 11.8n_0$ and $12.5n_0$) coincide with the orange dot. The lines demonstrate in particular how the original minimum at small α becomes a saddle point for increasing density (around $11.8n_0$).

in particular in the case of the finite pion mass. In the chiral limit, the evolution before the transition is more restricted.

It is instructive to calculate the expansion of the energy density around its minimum, as this will provide insight into the effect of the interactions. To do so, let us denote respectively by $\bar{\sigma}$ and \bar{n}_B^\pm the values of σ and the densities n_B^\pm at the minimum. These are obtained by solving Eqs. (153) and (154). Keeping n_B fixed, we then expand the energy density in quadratic order in the fluctuation $\delta n_B^- (= -\delta n_B^+)$. We then obtain the symmetry energy density in the form

$$\delta^2 \mathcal{E} \simeq \frac{1}{2} \left[\frac{1}{N_0^+} + \frac{1}{N_0^-} - \frac{(y_+ M_+ - y_- M_-)^2}{m_\sigma^2 M_*^2} \right] (\delta n_B^-)^2, \quad (161)$$

with $\delta^2 \mathcal{E}$ is shorthand notation for $\mathcal{E}(n_B^-, n_B^+, \sigma) - \mathcal{E}(\bar{n}_B^-, \bar{n}_B^+, \bar{\sigma})$ expanded to second order in δn_B^- . In deriving Eq. (161) we made use of the relation

$$\frac{\partial \sigma}{\partial n_B^\pm} = -\frac{y_\pm M_\pm}{m_\sigma^2 M_*}, \quad (162)$$

together with $\mu^+ = \mu^-$ at the minimum. Note that the coefficient of $(\delta n_B^-)^2$ in the expression (161) can vanish. This indeed occurs, as one can see on the plot related to the chiral limit. As the density increases, a minimum develops at $\alpha = 1/2$ corresponding to the symmetric system with $n_B^- = n_B^+$. At the same time the minimum at small α gets shifted to higher energy and disappears as a minimum (when the coefficient vanishes) leaving eventually the symmetric minimum as the only stable one. We shall see that the coefficient of δn_B^- in the expression (161) enters the expression of the derivatives dn_B^\pm/dn_B as given below, and its vanishing in the chiral limit is related to a softening of the symmetry energy near the transition.

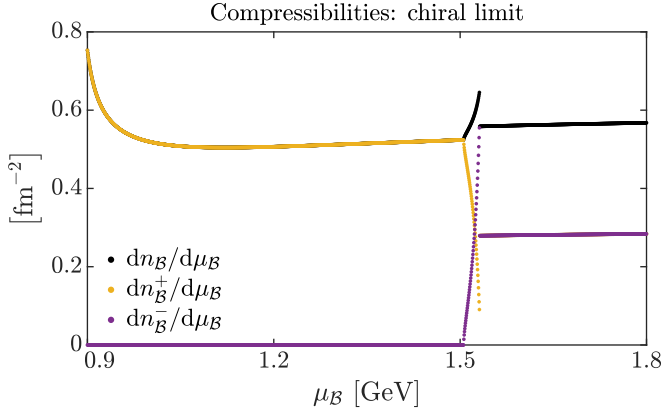


FIG. 31. Compressibilities in the chiral limit of the parity-doublet model.

To see that, we need to digress on the compressibility of the system and how it evolves as one approaches the transition. The formulas for the compressibility obtained above for a single species of baryon can be generalized to include the contribution of the negative-parity partners. We have, with $n_B = n_B^+ + n_B^-$,

$$\frac{dn_B^\pm}{d\mu_B} = 4 \int_p \delta(\mu_B - E_p^\pm) \left(1 - \frac{dE_p^\pm}{dn_B} \frac{dn_B}{d\mu_B} \right). \quad (163)$$

In analogy with the calculation of the compression modulus performed in Sec. III [see Eq. (71)], we set

$$f_0^\pm = \frac{dE_p^\pm}{dn_B} = G_v + y_\pm \frac{M_\pm}{M_*} \frac{d\sigma}{dn_B}, \quad (164)$$

so that¹¹

$$\frac{dn_B^\pm}{d\mu_B} = N_0^\pm \left(1 - f_0^\pm \frac{dn_B}{d\mu_B} \right), \quad N_0^\pm = \frac{2p_\pm M_*}{\pi^2}. \quad (165)$$

By using $n_B = n_B^+ + n_B^-$, we obtain from the formulas above

$$\frac{dn_B}{d\mu_B} (1 + F_0) = N_0, \quad (166)$$

with $N_0 = N_0^+ + N_0^-$ and $F_0 = N_0^+ f_0^+ + N_0^- f_0^-$, thereby recovering the expression for the full compression modulus [see Eq. (72)].

As can be seen in Fig. 31, the total compressibility (in the chiral limit), proportional to $dn_B/d\mu_B$, increases rapidly before the transition, as the growing population of the negative-parity baryons starts to contribute significantly to the total density. At the transition, the compressibility exhibits a negative jump and returns to a value slightly larger than before the transition region. We may separate the contributions of B^-

¹¹Note that these derivatives $dn_B^\pm/d\mu_B$ are closely related to the susceptibilities studied in Refs. [51,71]. Since the densities n_B^+ and n_B^- are internal variables of a coupled system, we refrain here to attribute these derivatives a physical meaning beyond that of indicating the rates of change of the B^+ and B^- populations as the system approaches the chiral transition.

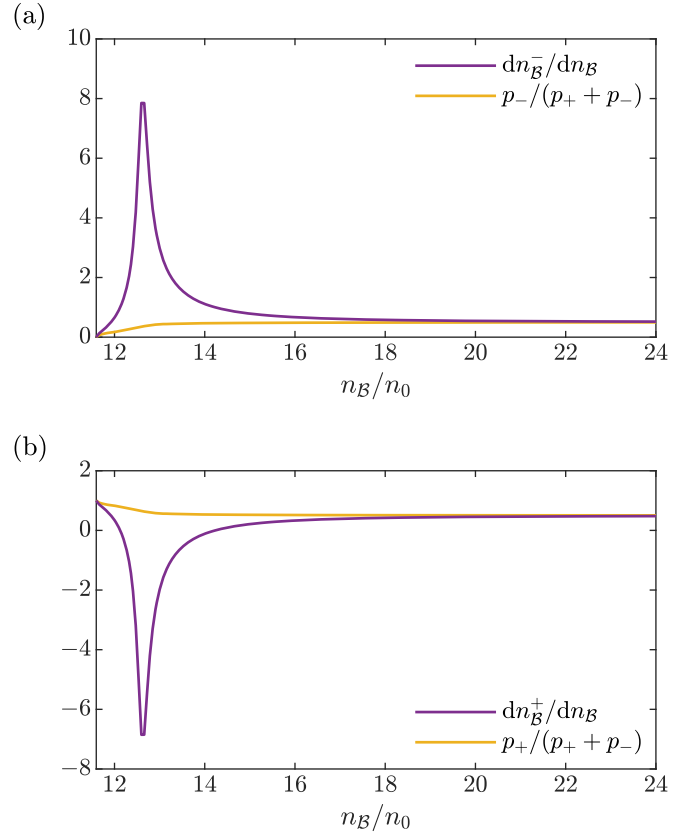


FIG. 32. The derivatives dn_B^\pm/dn_B as functions of n_B for physical pion mass, together with the bounds (169). The derivatives are plotted with n_B starting at the B^- threshold.

and B^+ to the compressibility. These contributions, $dn_B^\pm/d\mu_B$, are also plotted in Fig. 31. They exhibit opposite behaviors close to the transition, the B^- contribution increasing with increasing μ_B while the B^+ contribution decreases at nearly an identical rate. At the transition, $dn_B^\pm/d\mu_B$ almost vanishes.

From the formulas above, we can obtain the variation of the B^+ population. We get

$$\frac{dn_B^+}{dn_B} = \frac{\frac{1}{N_0^-} + f_0^- - f_0^+}{\frac{1}{N_0^+} + \frac{1}{N_0^-}}, \quad (167)$$

and a similar formula for dn_B^-/dn_B obtained by exchanging plus and minus. The difference $f_0^- - f_0^+$ is given by

$$f_0^- - f_0^+ = \frac{y_- M_- - y_+ M_+}{M_*} \frac{d\sigma}{dn_B}. \quad (168)$$

Since $y_- M_- > y_+ M_+$ and $d\sigma/dn_B < 0$, $f_0^- - f_0^+ < 0$. It follows that

$$\frac{dn_B^+}{dn_B} < \frac{p_+}{p_+ + p_-}, \quad \frac{dn_B^-}{dn_B} > \frac{p_-}{p_+ + p_-}. \quad (169)$$

Note that these bounds correspond to the values of the derivatives obtained by neglecting the interactions; that is, by ignoring the contribution of $f_0^- - f_0^+$ in Eq. (167). A plot of dn_B^\pm/dn_B as a function of n_B is given in Fig. 32 for the case of the physical pion mass, together with the bounds given in

the formula above. To understand the origin of the large peaks in these derivatives, we recall that

$$\frac{d\sigma}{dn_B} = -\frac{1}{m_\sigma^2} \left(y_+ \frac{\partial n_s^+}{\partial n_B} \Big|_\sigma + y_- \frac{\partial n_s^-}{\partial n_B} \Big|_\sigma \right). \quad (170)$$

We have

$$\frac{\partial n_s^\pm}{\partial n_B} \Big|_\sigma = \frac{M_\pm}{M_*}. \quad (171)$$

By using this relation together with $n_B = n_B^+ + n_B^-$, we obtain

$$\begin{aligned} \frac{d\sigma}{dn_B} &= -\frac{1}{m_\sigma^2 M_*} \left(y_+ M_+ \frac{dn_B^+}{dn_B} + y_- M_- \frac{dn_B^-}{dn_B} \right) \\ &= -\frac{y_+ M_+ - y_- M_-}{m_\sigma^2 M_*} \frac{dn_B^+}{dn_B} - \frac{y_- M_-}{m_\sigma^2 M_*}. \end{aligned} \quad (172)$$

We may then combine Eq. (172) with Eq. (167) to get an equation which controls the variation of n_B^\pm as a function of n_B . We get

$$\frac{dn_B^+}{dn_B} = \frac{\frac{1}{N_0} + \frac{y_- M_-}{m_\sigma^2 M_*} (y_+ M_+ - y_- M_-)}{\frac{1}{N_0} + \frac{1}{N_0} - \frac{1}{m_\sigma^2 M_*} (y_+ M_+ - y_- M_-)^2}, \quad (173)$$

and similarly for n_B^- . Note that $dn_B^+/dn_B = 1 - dn_B^-/dn_B$. Consider first the derivative dn_B^-/dn_B . At the B^- threshold, $N_0^- \propto p_-$ vanishes, so that the derivative goes to zero. Since $y_- M_- - y_+ M_+$ remains positive, the derivative is positive. However, the denominator is the difference of two positive numbers and it can potentially vanish. In fact, we recognize in this denominator the curvature of the symmetry energy obtained in Eq. (161). There is thus a direct correlation between the softening of the symmetry energy and the peak in the derivative dn_B^-/dn_B as a function of n_B .

The corresponding derivative dn_B^+/dn_B can be analyzed similarly. Its initial value is one, for $p_- \rightarrow 0$. The main difference with the B^- case is that the numerator in Eq. (173) may change sign. It indeed does so for the physical pion mass, as shown in Fig. 32: thus, as the B^- population starts to grow, the B^+ population first continues to grow and then starts to decrease with increasing n_B . In the chiral limit, the (negative) peak is replaced by an infinite slope approaching the corresponding density from below. This is connected with the fact that the B^+ contribution to the compressibility never vanishes in the chiral limit (the chiral transition occurs before).

The previous discussion relies on the solution of the gap equation. We have already mentioned that an alternative to solving the gap equation is to solve a differential equation for the function $\sigma(n_B)$. This is easily done for densities below the B^- threshold, where Eq. (172) reduces to [see also Eq. (151)]

$$\frac{d\sigma}{dn_B} = -\frac{y_+}{m_\sigma^2} \frac{M_+}{M_*}. \quad (174)$$

However, as soon as the B^- appear, we need an additional equation that controls the composition of matter as a function of n_B . This additional equation is provided by the Eq. (173) for dn_B^+/dn_B . The right-hand side of these equations, where m_σ^2 is given by Eq. (146), are known functions of σ and n_B . They can be integrated together in order to obtain σ and n_B^+

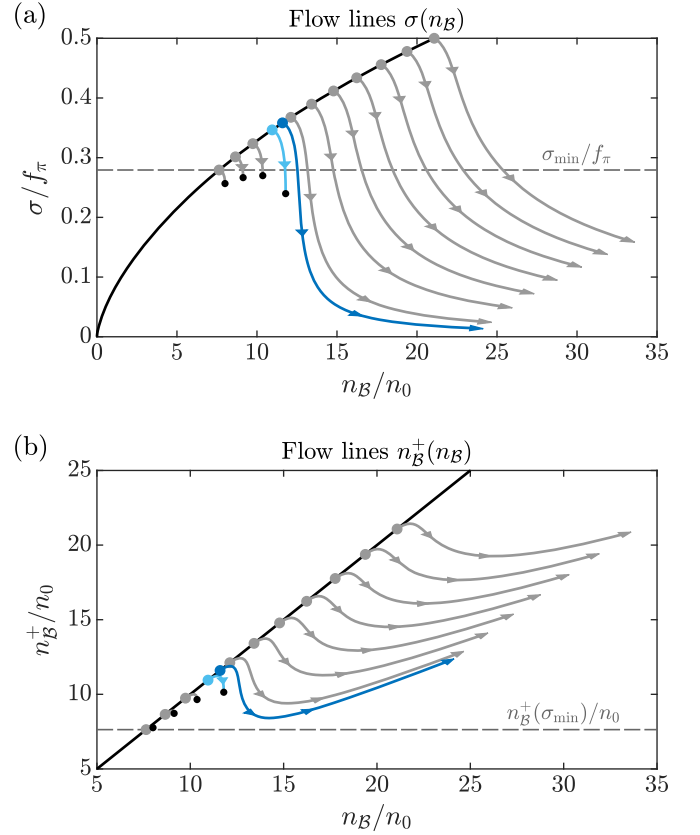


FIG. 33. Flow lines (a) $\sigma(n_B)$ and (b) $n_B^+(n_B)$ obtained by solving the coupled Eqs. (172) and (173). The horizontal dashed line in panel (a) indicates the value σ_{\min} . The black line corresponds to the B^- threshold, $M_* = M_-$. Note that, for the bottom panel, this is just a straight line since at the B^- threshold, $dn_B^+/dn_B = 1$.

as functions of n_B . In Fig. 33 we plot resulting flow lines obtained by fixing the initial condition on the line that corresponds to the B^- threshold (and initialize Eq. (173) with a tiny density for the negative-parity baryons, $n_B^- > 0$, in the sense of a perturbation). The integration of Eq. (174) from some initial condition at $n_B = 0$ will bring the system on a point of the black line. Typical initial conditions depend on the value of the pion mass, with the dark blue dot corresponding to the physical pion mass, and the light blue dot to the chiral limit. Note that the solution emanating from the light blue dot terminates at some finite value of σ (indicated by a small black dot). This is where σ drops to zero, and the system of differential equations runs into a divergence, corresponding to the vanishing symmetry energy (161). In contrast, the solution emanating from the dark blue dot reaches $\sigma = 0$ only asymptotically. Interestingly, these flow lines indicate the expected behavior as one increases the pion mass beyond its physical value. This we cannot do in the standard approach, since this would amount to exploring regions where the potential $U(\sigma)$ is not bounded from below. As the plots suggest, the variations of σ with n_B becomes smoother as the pion mass increases. For sufficiently large values one may expect the transition to turn into a mere crossover.

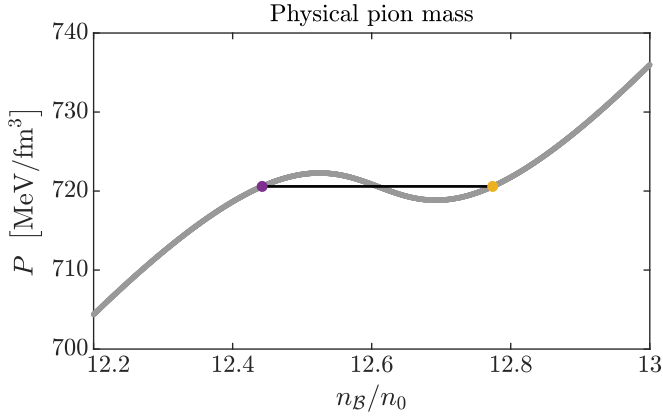


FIG. 34. Zero-temperature pressure in the parity-doublet model as a function of n_B (for physical pion mass). The black horizontal line represents the Maxwell construction corresponding to the chiral transition (the colored dots correspond to the densities of the colored lines in Fig. 29).

Figure 33 furthermore demonstrates how the population of the \mathcal{B}^+ changes once the system passed the \mathcal{B}^- threshold, where the horizontal dashed line shows the density n_B^+ that pairs the value σ_{\min} by virtue of Eq. (149), and σ_{\min} marks the lowest value on the threshold line that can be reached by integration of Eq. (174). Finally, the flow lines $n_B^+(n_B)$ indicate where to expect a reduction of the \mathcal{B}^+ in favor of the \mathcal{B}^- population.

The advantage of the approach based on the differential equations is that it allows us to follow continuously the evolution of the system even in regions where the corresponding phases are not stable. In the chiral limit, it also leads us to expect a discontinuous behavior characteristic of a first-order transition. However, the approach does not allow us to determine precisely the location and nature of the transition. To do so, we need to calculate the pressure as a function of the chemical potential, or the pressure as a function of density and rely on the Maxwell construction. The latter is presented in Figs. 34 and 35, for both the physical pion mass and the chiral limit. For the case of the physical pion mass, one can follow the pressure continuously as the baryon density increases, even in the unphysical region. In the chiral limit, one is prevented to do so because σ exhibits a jump from a finite value to zero.

Finally, let us comment on the structure of the phases at the chiral transition. The transition being first order, at the critical chemical potential, there is phase coexistence. This was already shown in Figs. 16 (for physical pion mass) and 17 (in the chiral limit) as a function of temperature and baryon density. Let us focus on the zero-temperature case. At the phase transition, a chirally symmetric phase develops, whose density is slightly above that of the broken phase (this corresponds to the small jumps in density observed in Fig. 28 for both datasets). When analyzed in terms of the populations n_B^+ and n_B^- , the coexistence regions for physical pion mass split as indicated in Fig. 36. It is clearly visible that the \mathcal{B}^- become populated already before the transition, $n_B^- > 0$. The two coexistence regions are roughly mirror-imaged to each

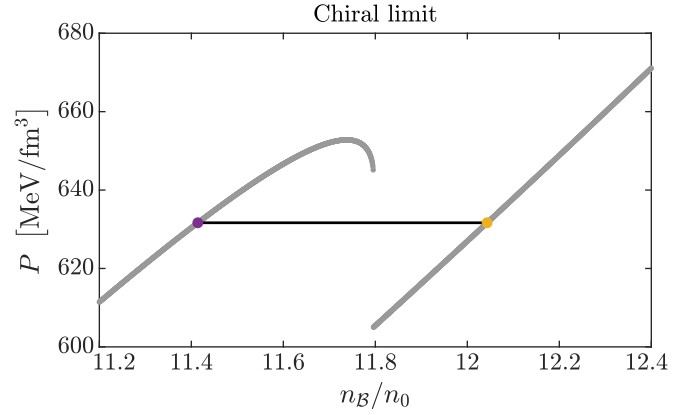


FIG. 35. Zero-temperature pressure in the parity-doublet model as a function of n_B (in the chiral limit). The black horizontal line represents the Maxwell construction corresponding to the chiral transition (the colored dots correspond to the densities of the colored lines in Fig. 30). Approaching the transition from below, one can follow continuously the pressure as a function of increasing density up to the point where the sigma fields jumps to zero.

other, with the fraction n_B^-/n_B^+ being closer to one in the large-density phase (where chiral symmetry is approximately restored). Regarding the same illustration in the chiral limit, see Fig. 37, one finds that the two corresponding coexistence regions merge in the sense that they coincide in the black middle line. This line contains the phase B with now identical populations of the \mathcal{B}^+ and \mathcal{B}^- , i.e., $n_B^+ = n_B^-$, whereas in phase A we still have $n_B^-/n_B^+ < 1$. The two densities being equal in phase B is of course induced by the restoration of chiral symmetry ($\sigma = 0$), hence the masses of the \mathcal{B}^+ and \mathcal{B}^- being degenerate. We finally note that the middle line between the two coexistence regions is not vertical but slightly distorted to the left with increasing T (towards smaller densities), which finds its explanation in the fact that the total baryon density of phase B is larger than the one of phase A (recall the positive jumps in $n_B = n_B^+ + n_B^-$ occurring at the first-order transitions, as shown in Fig. 28).

VI. CONCLUSIONS

We present a detailed discussion of the thermodynamics of the parity-doublet model for isospin-symmetric matter in a mean-field approach, basically covering the entire phase diagram in the $T - \mu_B$ plane. This model features the parity doublet of the positive-parity nucleon and its negative-parity chiral partner with the chiral-invariant mass m_0 that confers the doublet a nonzero bulk mass in the high-energy regime, where chiral symmetry is restored. We give special emphasis to the zero-temperature phase structure and the chiral transition, thereby extrapolating from low-density nuclear matter to the large-density regime of several times the nuclear saturation density n_0 . The model parameters are adjusted so that empirical data of nuclear matter in its ground state and the critical endpoint of the nuclear liquid-gas transition are reproduced.

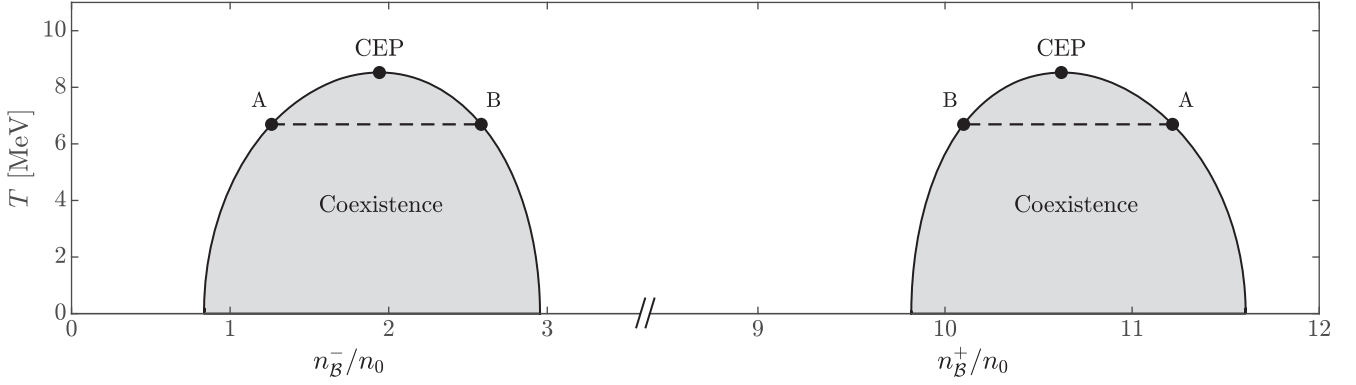


FIG. 36. Illustration of the composition of coexisting phases. The points A and B correspond to the points A and B of Fig. 16. The concentration of negative-parity baryons is greater in phase B (chirally symmetric) than in phase A. The x -axis labels correspond respectively to the two gray “blobs,” i.e., n_B^-/n_0 to the left one and n_B^+/n_0 to the right one, both data drawn on a single axis. This means that, e.g., the densities n_B^+ and n_B^- of phase A add up to the total baryon density $n_B = n_B^+ + n_B^-$ of point A given in Fig. 16.

To provide a rather complete picture of the chiral transition occurring at large baryon densities and vanishing temperature and to understand and identify the underlying mechanisms at work, we systematically contrast the findings within the doublet model to the corresponding results within the singlet model. The singlet model is obtained by ignoring the influence of the chiral partner as a whole, such that the bulk mass m_0 is lost and the nucleon mass is exclusively generated by chiral symmetry breaking at low energies (i.e., the nucleon becomes massless in the chiral-restored phase).

Regarding the zero-temperature chiral transition, the effect of the mass m_0 is that it locks the scalar density to the baryon density throughout a large range of densities relevant for the discussion of the phase structure. This is a direct consequence of the fact that the fraction of the nucleon mass resulting from chiral symmetry breaking is substantially reduced as compared with the singlet model, where the mass is proportional to the σ condensate. The increase of the scalar density with increasing μ_B is necessary to drive the “melting” of the σ condensate in order to restore chiral symmetry at large μ_B .

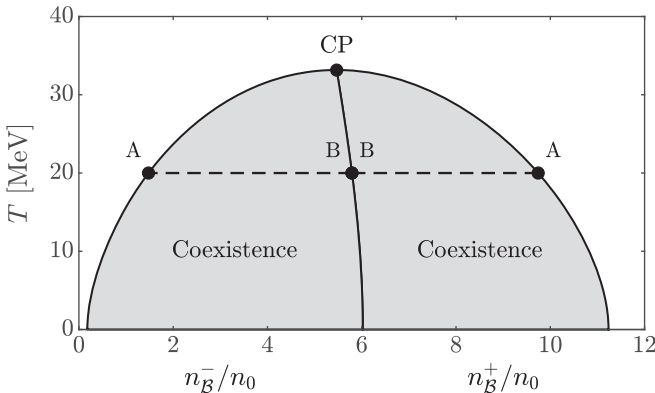


FIG. 37. Same as Fig. 36 in the chiral limit and corresponding to Fig. 17. The two formerly separate gray blobs merge and coincide in the black middle line, as the populations of B^+ and B^- are identical in phase B.

Another important effect is the splitting of the mass spectrum of the opposite parity baryons, which creates a nontrivial minimum of the nucleon mass at σ_{\min} , with $0 < \sigma_{\min} < f_\pi$. It turned out that these two effects decelerate the melting of the σ condensate when approaching σ_{\min} from above, starting from its initial value of $\sigma = f_\pi$ in vacuum. In consequence, the chiral transition within the doublet model, where $\sigma \rightarrow 0$, gets significantly delayed. The opening of the phase space for populating the negative-parity baryons, which is suppressed for small baryon densities, is then necessary to cross the value of σ_{\min} and eventually bring down the condensate to (approximately) zero. For the chosen set of parameters, the chiral transition in the singlet model occurs at $n_B \approx 5n_0$, whereas in the doublet model it only occurs at about $12n_0$, thus at densities more than twice as high as in the singlet model.

Concerning the order of the chiral phase transition at zero temperature, we found a first-order transition within the doublet model for physical pion mass as well as in the chiral limit (where the pion mass vanishes). These first-order transitions are accompanied by a jump in density, and by the coexistence of two phases with the same pressure and baryon-chemical potential. In the large-density phase of these two coexisting phases, the chiral symmetry is (approximately) restored, and in the low-density phase, it is still broken. In contrast, in the singlet model, the transition is of second order in the chiral limit, which is smeared out to a crossover for physical pion mass. Its continuous character in the singlet model even allowed us to determine the critical behavior in the vicinity of the transition. For zero chemical potential and finite temperature, the chiral transition is of second order in the chiral limit in both the doublet and singlet models, and a smooth crossover in the case of physical pion mass.

The comparison of results obtained in the chiral limit with those obtained for a finite pion mass is very instructive. Results are “sharper” in the chiral limit. For example, in the singlet model, the chiral transition is of second order in the chiral limit, while it becomes a continuous crossover for the finite pion mass, as mentioned above. Moreover, we combine the study of the chiral transition at large densities with a detailed discussion of the nucleon sigma term σ_N at

low baryon densities, which describes the initial decrease of the average σ field as soon as the vacuum gets populated with dilute matter. This discussion is then completed by the computation of respective density modifications of σ_N . Also, by calculating various estimates for the sigma term, we find that its value can be much affected by nonlinear effects in the relation between the chiral limit and the physical point. As a result, the value obtained in the doublet model is somewhat larger than in the singlet model.

We have seen that the presence of the massive baryon doublet affects the nature of the chiral phase transition, and its dynamics, in a significant way. In particular, the dynamics of the phase transition at zero temperature and finite baryon density turned out to be very interesting. We have identified the role of a kind of symmetry energy as the driving force for the chiral transition in order to equilibrate the respective baryon densities of the two opposite parity baryons, once the phase space for populating the chiral partner is opened. This symmetry energy dictates the composition of matter at large baryon densities around the chiral transition, i.e., the fraction of positive to negative-parity baryons. We furthermore investigate in great detail the overall “topology” of the corresponding gap equations that provide the physical solutions of the system and exploit the character of their density derivatives as first-order differential equations to get an alternative view on the important ingredients for the chiral transition.

In summary, the doublet model features a rich phase structure that offers an interesting playground for many detailed calculations. It provides an interesting perspective on how chiral symmetry may be restored, allowing for the presence of massive parity doublets in the symmetric phase, for which there is some evidence from lattice calculations at finite temperature [3,4].

We also identify some limitations of the model, and there are also limitations of the calculations presented in this paper: There are uncertainties in the phenomenological parametrization of the effective potential for the mesonic degrees of freedom. We use an expansion in powers of the chiral field, as commonly done, and we use the simplest form, ignoring for instance self-interactions of the vector field. The parameters of the potential are adjusted so as to reproduce nuclear matter properties, but the same potential is used all the way to the region of vanishing σ field when discussing the chiral transition. This is clearly an uncertain extrapolation, for which we have very little control. One particular consequence of this extrapolation is the strong correlation that it induces between the chiral transition and the liquid-gas transition, which one could consider *a priori* as two distinct physical phenomena.

We take into account the (one-loop) fermionic fluctuations, but we ignore the mesonic ones. Fermionic fluctuations contribute significantly to the scalar densities, which play an essential role in the chiral transition. Mesonic fluctuations presumably do not play much of a role at vanishing temperature, and some of them have been taken into account in functional renormalization group calculations [12,26]. However, mesonic fluctuations are certainly important at vanishing baryon density and finite temperature. Since they are ignored in the present paper, one should keep in mind that

the results that we have obtained at finite temperature and small baryon density may change quantitatively (and perhaps even qualitatively) once these fluctuations are taken into account.

In spite of these shortcomings, there is interest to further analyze the physical content of the model, exploring for instance its predictions for neutron matter (and isospin-asymmetric matter in general [30]). Finally, more input from QCD computations would be helpful to further constrain the phase structure, especially at large densities and low temperatures, although this currently remains an intricate problem.

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APPENDIX A: RENORMALIZED VACUUM FLUCTUATIONS

The renormalized fermionic vacuum contribution to the thermodynamic potential can be written as a function of the baryon masses as follows (see, e.g., Ref. [72]):

$$\Omega_{\text{ln}}(\sigma) = - \sum_{i=\pm} \frac{M_i(\sigma)^4}{4\pi^2} \ln \frac{M_i(\sigma)}{M_+(f_\pi)}. \quad (\text{A1})$$

The subtraction point has been chosen to be the nucleon mass in vacuum, $M_+(f_\pi) \equiv M_N$. The other renormalization condition (related to mass and coupling constant divergences) are conveniently implemented by subtracting the second-order polynomial $\delta\Omega_{\text{ln}}$,

$$\delta\Omega_{\text{ln}} = \sum_{n=0}^2 \frac{1}{n!} \left. \frac{\partial^n \Omega_{\text{ln}}}{(\partial \sigma^2)^n} \right|_{f_\pi^2} (\sigma^2 - f_\pi^2)^n, \quad (\text{A2})$$

such that the total renormalized vacuum contribution then reads

$$\Omega_{\text{vacuum}} = \Omega_{\text{ln}} - \delta\Omega_{\text{ln}}. \quad (\text{A3})$$

The above subtraction guarantees that the vacuum contribution from the baryon loop vanishes for $\sigma = f_\pi$ as well as its first and second-order derivatives with respect to σ^2 . This entails a modification of the initial values $\alpha_1 = m_\pi^2$ and $\alpha_2 = (m_\sigma^2 - m_\pi^2)/f_\pi^2$ of the first two Taylor coefficients of the classical potential $V(\varphi)$. The full bosonic potential U then

reads:

$$\begin{aligned}
 U = & \left\{ \frac{m_\pi^2}{2} + \frac{1}{8\pi^2 f_\pi} \left[M_+^3 y_+ + \left(1 + 4 \ln \frac{M_-}{M_+} \right) M_-^3 y_- \right] \right\} (\sigma^2 - f_\pi^2) \\
 & + \left\{ \frac{m_\sigma^2 - m_\pi^2}{8f_\pi^2} + \frac{1}{32\pi^2 f_\pi^3} \left[7f_\pi M_+^2 y_+^2 + f_\pi \left(7 + 12 \ln \frac{M_-}{M_+} \right) M_-^2 y_-^2 + M_+^3 \left(\frac{2f_\pi y_{+-}^2}{M_+ + M_-} - y_+ \right) \right. \right. \\
 & \left. \left. + \left(1 + 4 \ln \frac{M_-}{M_+} \right) M_-^3 \left(\frac{2f_\pi y_{+-}^2}{M_+ + M_-} - y_- \right) \right] \right\} (\sigma^2 - f_\pi^2)^2 \\
 & + \sum_{n=3}^4 \frac{\alpha_n}{2^n n!} (\sigma^2 - f_\pi^2)^n - h(\sigma - f_\pi) - \frac{1}{2} m_v^2 \omega^2 - \sum_{i=\pm} \frac{M_i(\sigma)^4}{4\pi^2} \ln \frac{M_i(\sigma)}{M_+} + \frac{M^4}{4\pi^2} \ln \frac{M_-}{M_+}, \quad (\text{A4})
 \end{aligned}$$

where the quantities M_+ , M_- , y_+ , y_- , and y_{+-} are evaluated at $\sigma = f_\pi$ (e.g., $M_+ = M_+(f_\pi) = M_N$). We have used the relation

$$\frac{d^2 M_\pm(\sigma)}{d\sigma^2} = \frac{2y_{\pm-}^2}{M_+ + M_-}, \quad (\text{A5})$$

with

$$y_{+-} = \frac{m_0(y_a + y_b)}{\sqrt{\sigma^2(y_a + y_b)^2 + 4m_0^2}}. \quad (\text{A6})$$

It is interesting to note that, in the parity-doublet model, the potential logarithmic contributions in $\ln \sigma$ cancel out near $\sigma = 0$. In the vicinity of $\sigma = 0$, the next-to-last term in Eq. (A4) can be expanded as a polynomial in σ^2 with coefficients that depend on y_a , y_b , m_0 , and, up to order σ^4 , on $\ln(m_0/M_N)$. Such a cancellation is specific to the parity-doublet model and it does not take place in the singlet model. In both models though, the contribution of Ω_{vacuum} to the second and fourth derivatives of $U(\sigma = 0)$ are large and negative and nearly cancel those of $V(\varphi)$. To appreciate the magnitude of these

cancellations, we have plotted various contributions to $U(\sigma)$ in Figs. 38 and 39, for the singlet and doublet models, respectively. It can be seen that the subtractions, which are mostly constrained by the physics near $\sigma = f_\pi$, affect also significantly the region near $\sigma = 0$, hence impacting the properties of the chiral transition.

At large values of σ , the logarithmic contribution makes U unbounded from below, a well-known feature of the one-loop contribution [72]. This phenomenon is delayed to larger values of sigma by the contributions of order $(\sigma^2 - f_\pi^2)^n$ in $V(\varphi)$, with $n = 3, 4$. With the present parameters, the second derivative of $U(\sigma)$ with respect to σ remains positive until $\sigma \gtrsim 110$ MeV. We could extend somewhat this region by including higher-order terms in $V(\varphi)$ [17]. However, since most of the physics discussed in this paper concerns the range of sigma values between zero and f_π , we do not find it necessary. The vacuum contribution Ω_{vacuum} is depicted in Fig. 40 as a function of σ and m_0 . This plot shows a large and positive contribution at small values of σ , the larger the smaller the mass m_0 . This explains why small values of m_0 are not favored for the description of nuclear matter properties, since in the relevant range of σ values ($\sigma \approx 60$ MeV), this would entail cancellations of contributions of order 1 GeV. In contrast, for $m_0 \simeq 800$ MeV, Ω_{vacuum} is of the order of a few hundreds of MeV for the relevant values of σ .

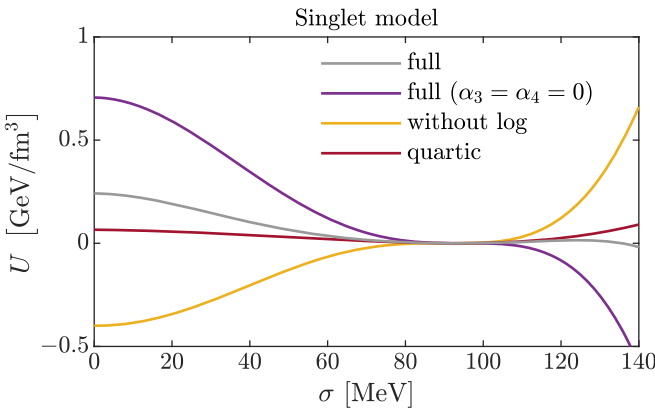


FIG. 38. Bosonic potential in vacuum $\Omega = U$ showing the magnitude of its various contributions. The orange curve shows the potential $V(\sigma) - h(\sigma - f_\pi)$, without the fermion loop contribution. The magenta curve shows the potential including the renormalized fermion loop, but with $\alpha_3 = \alpha_4 = 0$. The gray curve shows the full potential $U(\sigma)$. The dark red curve shows the quartic approximation to $V(\sigma) - h(\sigma - f_\pi)$.

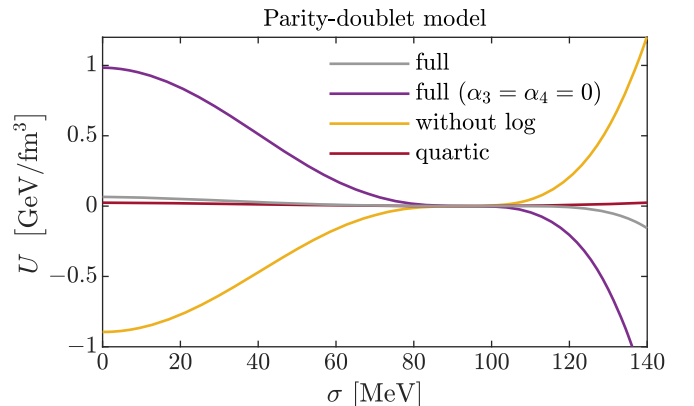


FIG. 39. Same as Fig. 38 but for the parity-doublet model.

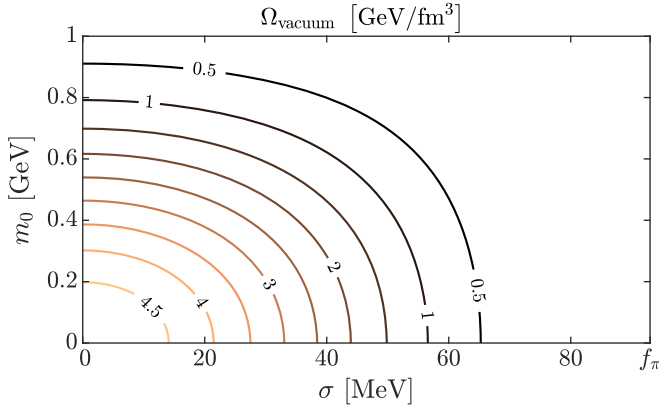


FIG. 40. Contour plot of the vacuum contribution Ω_{vacuum} to the thermodynamic potential of the parity-doublet model.

APPENDIX B: CHANGING PARTNER

There are arguments suggesting that the identification of the N^* as the chiral partner of the nucleon may not be the optimum choice. Hence the authors of Ref. [7] considered the possibility of a partner with mass 1200 MeV. They obtained a much lower value for the chiral transition density. We can explain simply this result. To do so consider the expression (150) which relates the density to the sigma field at the \mathcal{B}^- onset. Recalling that the Yukawa couplings are fixed by the masses of the parity partners, we can express this relation as a function of $\Delta M = (M_{N^*} - M_N)/2$ and $\bar{M} = (M_{N^*} + M_N)/2$. One gets, with $\bar{\sigma} = \sigma/f_\pi$,

$$p_+^2 = 4\bar{\sigma}\Delta M m_0 \sqrt{1 + \bar{\sigma}^2(\bar{M}^2/m_0^2 - 1)}. \quad (\text{B1})$$

As we see from this expression, p_+^2 is proportional to ΔM . Changing the mass of the partner from 1510 to 1200 MeV means ΔM going from 571 to 261 MeV. This gives almost a factor of two, which translates by nearly a factor of three in the density. At the same time, it can be verified that σ_{min} does not change much. We have

$$\frac{\sigma_{\text{min}}^2}{f_\pi^2} = \frac{m_0^2(m_1 - m_2)^2}{(m_1 m_2 - m_0^2)[(m_1 + m_2)^2 - 4m_0^2]}, \quad (\text{B2})$$

where $m_1 = M_N$ and m_2 is the mass of the parity partner. It can be verified indeed that σ_{min} varies very little in the range

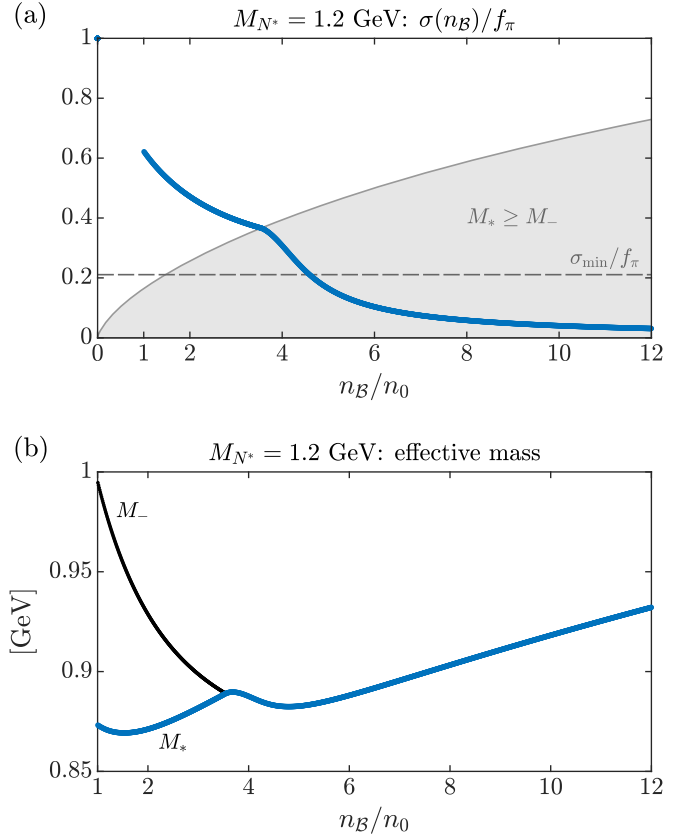


FIG. 41. Showing the effect of a reduced mass for the parity partner.

of variation of m_2 (and beyond), going from $0.28f_\pi$ to $0.21f_\pi$ as m_2 goes from 1510 to 1200 MeV (keeping $m_0 = 800$ MeV). It follows from our estimate of the lower bound given earlier that the value of the sigma field at the \mathcal{B}^- threshold does not change much and that we may expect a factor of three reduction in the value of the critical density. This is confirmed by Fig. 41.

Changing the mass of the partner has therefore an important impact on the value of the chiral transition density. Another parameter that can potentially impact this density is the chiral-invariant mass m_0 . However, the effect is much more modest. Choosing $m_0 = 500$ MeV for instance leaves the chiral transition density in the vicinity of $10n_0$.

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