Short-range correlations and momentum distributions in mirror nuclei ^3H and ^3He

Qi Men[g](https://orcid.org/0000-0001-8046-6510) \odot , Ziyang L[u](https://orcid.org/0009-0002-8966-3726) \odot , and Chang Xu \odot^* \odot^* *School of Physics, Nanjing University, Nanjing 210093, China*

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Motivated by a recent high-energy electron and ${}^{3}H$ and ${}^{3}He$ nuclei scattering experiment at Jefferson Lab [S. Li *et al.*, [Nature \(London\)](https://doi.org/10.1038/s41586-022-05007-2) **609**, 41 (2022)], the short-range correlations (SRCs) between nucleon pairs for three-nucleon systems are microscopically studied using a realistic *NN* two-body interaction and a two-Gaussian type *NNN* three-body interaction. The wave functions of both ³H and ³He are obtained by solving three-body Schrödinger equations using the Gaussian expansion method (GEM). The differences of one-nucleon and nucleon-nucleon momentum distributions between ${}^{3}H$ and ${}^{3}He$ are analyzed in detail. The results show that the percentages of *pn*-SRC pairs are significantly enhanced compared with those of *nn*(*pp*)-SRC ones in ³ H and ³He nuclei, which is consistent with the experimental findings.

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I. INTRODUCTION

Short-range correlations (SRCs) between pairs of nucleons are important aspects in nuclear physics, and are considered to be generated from the strong, short-distance part of nucleon-nucleon (*NN*) interactions. SRCs are important for comprehensive understanding of not only the essential features of nuclear dynamics but also the nuclear forces at short distance and how they are generated from the strong interaction between quarks in nucleons [\[1\]](#page-4-0). The nucleon-nucleon SRC pair is considered to have large relative momentum and small total momentum, leading to a high-momentum tail in one-nucleon and nucleon-nucleon momentum distributions. The study of SRCs and their high-momentum feature will deepen our understanding of the properties of finite nuclei at normal density and nuclear matter at suprasaturation density, which probably has important implications in determining the internal structure and evolution of stellar objects such as neutron stars.

Sophisticated theoretical approaches, using modern realistic interactions $[2-6]$, can be applied to study the correlated many-body wave functions and SRCs; these approaches include correlated basis function theory $[7-10]$, the selfconsistent Green's function method [\[11,12\]](#page-4-0), approximate schemes like cluster expansions [\[13–16\]](#page-4-0), tensor-optimized high-momentum antisymmetrized molecular dynamics [\[17\]](#page-4-0), the generalized nuclear contact formalism [\[18\]](#page-4-0), and variational Monte Carlo calculations [\[19–23\]](#page-4-0). In general, the high-momentum tails of *pn*-SRCs in light nuclei have been demonstrated to be a universal feature with these state-of-art approaches. Various experimental efforts have also been devoted to the investigation of SRCs with the aim of probing the short-range properties of the nuclear force $[24-34]$ $[24-34]$. Thanks to high-energy and large momentum transfer electron and proton scattering experiments, it has become possible to resolve the structure and dynamics of individual nucleons and nucleon pairs with precise measurements of small cross sections. Experimental data have showed that about 20% of the nucleons in nuclei have momentum larger than the Fermi momentum k_F in saturated nuclear matter $[1,25,35-38]$ $[1,25,35-38]$. Followup experiments probing the isospin composition of nucleon-nucleon SRCs were successfully conducted in both balanced and imbalanced nuclei, indicating that the *pn*-SRCs are much more dominating than the *pp* and *nn* ones.

Recently, an experiment conducted at Jefferson Lab accurately measured the *pn*-SRC pairs and *pp*-SRC ones in three-nucleon systems, using a high-energy electron and ${}^{3}H$ and 3 He nuclei scattering experiment [\[39\]](#page-5-0). This experiment took advantage of the mirror properties of ${}^{3}H$ and ${}^{3}He$ and avoided the direct measurement of high-momentum nucleons in the final state [\[39\]](#page-5-0), which improved the experimental accuracy and greatly reduced the uncertainties. Very interestingly, the experimental data show that the ratio of *pn*-SRCs to *pp*-SRCs over the pair-counting prediction $P_{np/pp} = NZ/[Z(Z -$ 1)/2] for $A = 3$ nuclei is 2.17^{+0.25}, which is much smaller than that in heavy nuclei.

Motivated by this unexpected experimental result, we investigate the *pn*-SRCs and *pp*(*nn*)-SRCs in mirror nuclei ³ H and 3He. We obtain both one-nucleon and nucleon-nucleon momentum distributions from an *ab initio* calculation solving the three-body Schrödinger equation with a realistic *NN* two-body interaction, i.e., the Argonne v'_8 (AV8') interaction, and a two-Gaussian type *NNN* three-body interaction. The numerical method we applied to obtain accurate correlated wave functions is the Gaussian expansion method (GEM) [\[40\]](#page-5-0), which has been successfully used in both nuclear physics and hadron physics $[41-43]$. For instance, we have applied the GEM to both bound and resonant states problems of tetraquarks and pentaquarks, and satisfactory results have been obtained [\[44–46\]](#page-5-0). Realistic momentum distributions are obtained from the Fourier transform of correlated wave

^{*}cxu@nju.edu.cn

functions, and the differences between SRCs in 3 H and 3 He are analyzed in detail in the present work. The comparison of SRCs in such imbalanced mirror nuclei with fully microscopic calculations may shed light on the equation of state (EoS) of asymmetric nuclear matter and the density dependence of the nuclear symmetry energy [\[47–53\]](#page-5-0).

II. METHODOLOGY

The Hamiltonian for a three-nucleon system is given by

$$
H = \sum_{i=1}^{3} \left(m_i + \frac{p_i^2}{2m_i} \right) - T_G + \sum_{i < j = 1}^{3} V_{ij} + V^{NNN}, \qquad (1)
$$

where m_i and p_i are the mass and momentum of the *i*th nucleon, respectively. T_G is the kinetic energy of the centerof-mass (c.o.m.) motion of the three-nucleon system. The complete two-body interaction for a given *NN* pair ij , V_{ij} , is composed of the strong interaction V_{ij}^{NN} and electromagnetic interaction V_{ij}^{EM} ,

$$
V_{ij} = V_{ij}^{NN} + V_{ij}^{EM}.
$$

For the *NN* strong interaction, we employ the Argonne v'_8 (AV8') interaction [\[4\]](#page-4-0). For the electromagnetic interaction, we consider the Coulomb force between two protons. The there-body interaction V^{NNN} we applied is a two-Gaussian type *NNN* three-body interaction taken from Ref. [\[54\]](#page-5-0), which is optimized by fitting the bound states of ${}^{3}H$, ${}^{3}He$, and ${}^{4}He$. Its function form is given by

$$
V^{NNN} = \sum_{n=1}^{2} V_n^{(3)} e^{-\mu_n (r_{12}^2 + r_{23}^2 + r_{31}^2)}.
$$
 (3)

In the Gaussian expansion method, the variational wave function of a three-nucleon system in coordinate space, $\Psi_{TM_T, JM}$ with isospin *T*, its *z* component M_T , total angular momentum J , and its z component M , is given by

$$
\Psi_{TM_T,JM} = \sum_{C=1}^{3} \sum_{\alpha} A_{\alpha} \big[\big[\eta_{\frac{1}{2}} \eta_{\frac{1}{2}} \big]_{I} \eta_{\frac{1}{2}} \big]_{TM_T}
$$

$$
\times \big[\big[\big[\chi_{\frac{1}{2}} \chi_{\frac{1}{2}} \big]_{S} \chi_{\frac{1}{2}} \big]_{S} [\phi_{nl}(\mathbf{r}^{(C)}) \psi_{NL}(\mathbf{R}^{(C)})]_{I} \big]_{JM}, \quad (4)
$$

where $\eta_{\frac{1}{2}}$, $\chi_{\frac{1}{2}}$ are the isospin and spin wave functions, respectively, of a single nucleon. ϕ and ψ denote spatial wave functions with principal quantum numbers *n*, *N* and orbital angular momenta *l*, *L*, respectively.

The label (*C*) specifies a set of Jacobi coordinates shown in Fig. 1. A_{α} specifies the expansion coefficients which are determined by matrix diagonalization, where the label α includes all quantum numbers for the expansion, $\alpha \equiv \{t, T, s, S, n, l, N, L, I\}.$ (*J*, *T*, *M_T*) are $(\frac{1}{2}, \frac{1}{2}, -\frac{1}{2})$ and $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ for ³H and ³He, respectively.

FIG. 1. Three-body Jacobi coordinates of a three-nucleon system in coordinate space.

The one-body and two-body density distributions are defined as

$$
\rho_N(r) = \frac{1}{M_N} \langle \Psi | \sum_i^{M_N} P_N^{(i)} \delta(r - |r_i - R_{\text{c.o.m.}}|) | \Psi \rangle, \quad (5)
$$

$$
\rho_{NN}(r) = \frac{1}{M_{NN}} \langle \Psi | \sum_{i < j}^{M_{NN}} P_{NN}^{(ij)} \delta(r - |r_i - r_j|) | \Psi \rangle, \tag{6}
$$

respectively. $P_N^{(i)} = \frac{1}{2} (1 \pm \tau_{z,i})$ and $P_{NN}^{(i j)} = \frac{1}{4} (1 \pm \tau_{z,i}) (1 \pm \tau_{z,i})$ τ*^z*,*^j*) are one-body and two-body isospin projection operators, respectively and the subscript *N* labels proton *p* and neutron *n*. M_N and M_{NN} stand for the number of corresponding nucleons and nucleon-nucleon pairs. The normalizations are $4\pi \int \rho_N(r) r^2 dr = 1$ and $4\pi \int \rho_{NN}(r) r^2 dr = 1$.

The basis wave functions of a three-nucleon system in momentum space are obtained by the Fourier transform of the Gaussian basis functions in coordinate space, $\varphi(k) =$ $\left(\frac{1}{2\pi}\right)^{3/2} \int \phi(\mathbf{r}) e^{-i\mathbf{k} \cdot \mathbf{r}} d\mathbf{r}$ and $\phi'(K) = \left(\frac{1}{2\pi}\right)^{3/2} \int \psi(\mathbf{R}) e^{-i\mathbf{K} \cdot \mathbf{R}} d\mathbf{R}$. Then using the three-body Jacobi coordinates in momentum space, $k_1 = (p_3 - p_2)/2$, $k_2 = (p_1 - p_3)/2$, $k_3 = (p_2 - p_1)/2$, $K_1 = 2(p_1 - \frac{1}{2}p_2 - \frac{1}{2}p_3)/3$, $K_2 =$ $2(p_2 - \frac{1}{2}p_3 - \frac{1}{2}p_1)/3$, and $K_3 = 2(p_3 - \frac{1}{2}p_1 - \frac{1}{2}p_2)/3$, we obtain the total wave function Φ of the three-nucleon system in momentum space,

$$
\Phi_{TM_T,JM} = \sum_{C=1}^{3} \sum_{\alpha} A_{\alpha} \big[[\eta_{\frac{1}{2}} \eta_{\frac{1}{2}}]_{t} \eta_{\frac{1}{2}} \big]_{TM_T}
$$

$$
\times \big[[[\chi_{\frac{1}{2}} \chi_{\frac{1}{2}}]_{s} \chi_{\frac{1}{2}}]_{s} [\varphi_{nl}(\boldsymbol{k}^{(C)}) \varphi'_{NL}(\boldsymbol{K}^{(C)})]_{I} \big]_{JM},
$$
(7)

where \boldsymbol{k} and \boldsymbol{K} stand for the relative momentum between two nucleons and relative momentum between *NN* pair and the third nucleon, respectively. The c.o.m. momentum of *NN* pair $Q = -K$ when we omit the c.o.m. motion of the three-nucleon system.

III. RESULTS

We first calculate the binding energies (B.E.) and the rootmean-square (rms) radii for 3 H and 3 He, respectively. The rms radius *R* is defined as $R = (\int r^2 \rho(r) r^2 dr / \int \rho(r) r^2 dr$ ^{1/2}. In the diagonalization of the three-body Hamiltonian, we use basis functions with $l \leq 2$ and $L \leq 2$, which are enough to make the eigenvalues converge quickly. The comparison between the calculated results and the experimental data is given in

TABLE I. The calculated ${}^{3}H$ and ${}^{3}He$ binding energies (B.E.) and root-mean-square (R.M.S.) radii using the AV8' interaction [Cal.(AV8')] and AV8' and *NNN* three-body interaction [Cal.(AV8'+3NI)], compared with the experimental values (Expt.).

		Cal.(AV8')	$Cal.(AV8'+3NI)$	Expt.
$\rm{^3H}$	B.E. (MeV)	-7.77	-8.44	-8.48
	R_p (fm)	1.637	1.597	1.59
	R_n (fm)	1.790	1.740	
	R_{pn} (fm)	2.922	2.846	
	R_{nn} (fm)	3.189	3.094	
3 He	B.E. (MeV)	-7.11	-7.76	-7.72
	R_p (fm)	1.824	1.770	1.76
	R_n (fm)	1.660	1.617	
	R_{pn} (fm)	2.967	2.886	
	R_{pp} (fm)	3.256	3.152	

Table I. It is clearly shown that the binding energies and the proton rms radii for the bound states of ${}^{3}H$ and ${}^{3}He$ nuclei are both well reproduced. We also calculate the expectation values of kinetic energy, each part of potential energies, and potential energies in different isospin *t* and spin *s* channels. The results, which are listed in Table II, show that the central potential exists in all (*t*,*s*) channels but mainly contributes as attraction in the (0,1) and (1,0) channels. Spin-orbit potential and tensor potential only exist in $s = 1$ channels. It should be emphasized that the tensor potential in the $(t, s) = (0, 1)$ channel is important and contributes \approx 55% of total attraction. The repulsive Coulomb potential is considered only between two protons in the $t = 1$ channels for ³He. The three-body interaction serves as an attractive potential for both ${}^{3}H$ and ³He and its contribution is relatively small.

The one-body momentum distribution is defined as

$$
\rho_N(k) = \frac{1}{M_N} \langle \Phi | \sum_i^{M_N} P_N^{(i)} \delta(k - |\mathbf{k}_i|) | \Phi \rangle, \tag{8}
$$

with the normalization condition $4\pi \int \rho_N(k)k^2 dk = 1$ and the c.o.m. motion of the three-nucleon system is omitted. In

TABLE II. The expectation values of kinetic energy *K* and potential energies of central $\langle V^{\text{Cen}} \rangle$, spin-orbit $\langle V^{LS} \rangle$, tensor $\langle V^{\text{Ten}} \rangle$, Coulomb $\langle V^{\text{Coul}} \rangle$, and *NNN* three-body interaction $\langle V^{NNN} \rangle$ in different isospin *t* and spin *s* channels for 3 H and 3 He (units are MeV).

				AV8'				
	t	\overline{S}	K	$\langle V^{\rm Cen} \rangle$		$\langle V^{LS} \rangle$ $\langle V^{Ten} \rangle$	$\langle V^{\rm Coul} \rangle$	$\langle V^{NNN} \rangle$
$\rm{^3H}$	0	Ω		0.02	Ω	0	0	
	0	-1		-8.72		$-1.97 -31.50$	Ω	
	1	0		-14.74	Ω	0	θ	
	1	1		0.19	-0.10	-0.24	θ	
	sum		49.54	-23.25		-2.07 -31.74	Ω	-0.92
3 He	0	Ω		0.02	Ω	Ω	Ω	
	0	-1		-8.63		-1.95 -31.16	Ω	
	1	0		-14.36	Ω	0	0.61	
	1	1		0.19	-0.10	-0.23	0.06	
		sum	48.69	-22.78		-2.05 -31.39	0.67	-0.91

FIG. 2. One-body proton (ρ_p) and neutron (ρ_n) momentum distributions for ${}^{3}H$ and ${}^{3}He$ as functions of the momentum *k*.

Figs. $2(a)$ and $2(b)$, we display the calculated one-body proton and neutron momentum distributions for 3 H and 3 He, respectively. One can see that the proton and neutron momentum distributions both have maximum values at $k = 0$ fm⁻¹, and decrease rapidly in the range $0 < k < 2.0$ fm⁻¹. As expected, the high-momentum tail appears with $k > 2$ fm⁻¹, which is attributed to the effect of SRCs between pairs of nucleons. But differences are seen between the proton and neutron for 3 H and 3 He, namely, the minority nucleon (proton for 3 H and neutron for 3 He) has a larger high-momentum tail. This is considered to be a natural consequence of the short-range tensor interaction. Taking 3He as an example, the *pn*-SRC generated from the tensor interaction populates one proton and one neutron in a high-momentum state while the remaining proton (majority nucleon) is in a relatively low momentum state. Thus the neutron (minority nucleon) has a larger highmomentum tail and larger kinetic energy compared with the proton. This feature also manifests itself in heavy nuclei such as 27Al, 56Fe, and 208Pb. The average proton kinetic energy in these nuclei is found to be larger than that of the neutron one in a *pn*-dominance toy model [\[31\]](#page-5-0).

Figure 3 shows the ratios of the proton momentum distribution to the neutron one (ρ_p/ρ_n) for ³H (red curve) and ³He

FIG. 3. Ratios of proton to neutron (ρ_p/ρ_n) for ³H and ³He as functions of the momentum *k*.

FIG. 4. Two-body proton-neutron (ρ_{pn}) and neutron-neutron (ρ_{nn}) momentum distributions for ³H and ³He as functions of the relative momentum *k*.

(blue curve). The two curves are roughly symmetric about the horizontal line $\rho_p(k)/\rho_n(k) = 1$. The behavior of the ratio is determined mainly by the competition between the tensor interaction and the repulsive hard core. Taking the red curve for ${}^{3}H$ as an example, the ratio of minority nucleon to majority nucleon keeps increasing in the range $0 < k < 2.0$ fm⁻¹. This is expected because the tensor interaction plays a more and more important role with increasing *k*. The decrease of the ratio beyond $k = 2.0$ fm⁻¹ is because the short-range repulsive hard core starts to contribute significantly and reduces the dominance of the tensor interaction. Note that the short-range repulsive hard core exists in all *NN* channels including *nn* and *pp* channels. For very large *k*, the ratio of ρ_p/ρ_n is expected to become smaller. At $k = 5.0$ fm⁻¹, this ratio reduces to approximately $\rho_p/\rho_n = 1.25$, indicating that the tensor interaction still contributes but with less dominance. The blue line for 3He has similar behavior except that it is shown in the ratio of majority nucleon to minority one. We do not repeat the discussion here.

The two-body momentum distribution ρ_{NN} is a function of *NN* relative momentum *k* after integrating over all values of of c.o.m. momentum of *NN* pairs *Q*,

$$
\rho_{NN}(k) = \frac{1}{M_{NN}} \langle \Phi | \sum_{i < j}^{M_{NN}} P_{NN}^{(ij)} \delta(k - |\mathbf{k}_i - \mathbf{k}_j|) | \Phi \rangle, \qquad (9)
$$

with the normalization $4\pi \int \rho_{NN}(k)k^2 dk = 1$. We display the calculated two-body momentum distributions of different *NN* pairs for 3 H and 3 He in Figs. 4(a) and 4(b), respectively, with *k* ranging from 0 to 5.0 fm⁻¹. In general, the behavior of two-body momentum distributions is similar to that of onebody ones. When $k > 2$ fm⁻¹, the *pn* pair in ³H shows a large high-momentum tail while that of the *nn* pair is much smaller. Similarly to the case of ${}^{3}H$, the high-momentum tail appears in the *pn* pairs rather than in the *pp* pair for 3 He.

The ratios of *pn* to *pp*(*nn*) pairs as a function of *k* are shown in Fig. 5 (red curve for ${}^{3}H$ and blue curve for ${}^{3}He$), which can be approximately divided into three regions. The first region ($0 < k < 1.5$ fm⁻¹) is considered to be dominated by the long-range one-pion-exchange potential, and the variation of *pn*/*pp*(*nn*) ratio is rather smooth. The rapid change of slope in the second region ($1.5 < k < 3.0$ fm⁻¹) is because the *pn* pair correlation has a strong dominance compared with

FIG. 5. Ratios of *pn* to *nn* pairs (ρ_{pn}/ρ_{nn}) for ³H and *pn* to *pp* pairs (ρ_{pn}/ρ_{pp}) for ³He as function of the relative momentum *k*.

the *pp* and *nn* correlations, due to the strong tensor interaction of the *pn* pair. This is consistent with the latest results of *ab initio* variational Monte Carlo (VMC) calculations [\[22\]](#page-4-0). As discussed above, the decrease of the ratios in the third region $(3.0 < k < 5.0$ fm⁻¹) is very likely because the repulsive hard core from the *NN* scalar interaction becomes dominant. Since the strong repulsive core exists in both the *pn* pair and *pp*(*nn*) pair, the *pn* pair correlation becomes less dominant and the ratio of *pn* to *pp*(*nn*) pairs becomes smaller. Note that the red and blue curves almost coincide with each other, but a small difference is found between the two curves for $k > 2.5$ fm⁻¹, i.e., the ratio of *pn*/*pp* is larger than that of *pn*/*nn*. This can be explained by the Coulomb interaction between two protons, which is repulsive and makes the *pp* correlation weaker than the *nn* one, leading to the small difference between ³H and 3 He.

Finally we discuss the enhancement of *pn*-SRC pairs to *nn*(*pp*)-SRC ones in three-nucleon systems and make comparison between theory and experimental data. Here the SRC pair is defined as a *NN* pair with relative momentum *k* larger than the Fermi momentum in saturated nuclear matter, $k_F = 1.33$ fm⁻¹. The percentages of non-SRC pairs with relative momentum below the Fermi momentum, $0 < k < k_F$, and SRC pairs with relative momentum $k_F < k < 5.0$ fm⁻¹ are calculated for ${}^{3}H$ and ${}^{3}He$, respectively. The results are listed in Table [III.](#page-4-0) It is clearly seen that a small percentage of *pn*and *nn*(*pp*)-SRC pairs is found in both nuclei (3.89%–6.43%). For these SRC pairs, the ratios of $P_{pn}(\%)$ to $P_{nn(pp)}(\%)$ are 1.62 and 1.63 for ³ H and 3He, respectively. This means that the percentages of *pn*-SRC pairs are significantly enhanced compared with those of *nn*(*pp*)-SRC ones in both nuclei. The enhancement of *pn*-SRC pairs is consistent with the experi-mental data [\[39\]](#page-5-0). Note that an enhancement factor of $2.17^{+0.25}_{-0.20}$ is extracted from the experiment data with c.o.m. momentum of *NN* pair $Q \simeq 0$. For $Q > 0$, higher partial wave components are expected to be involved, leading to a higher average

TABLE III. The calculated non-SRC and SRC *pn* and *nn*(*pp*) pairs percentage and ratios of *pn* SRC pairs to *nn*(*pp*) SRC ones. For non-SRC pairs and SRC pairs, we define that P_{NN} = $\int_0^{k_F} \rho_{NN}(k)k^2 dk / \int_0^{\infty} \rho_{NN}(k)k^2 dk$ and $P_{NN} = \int_{k_F}^{k_{\text{max}}} \rho_{NN}(k)k^2 dk$ / $\int_0^\infty \rho_{NN}(k)k^2 dk$, respectively, where $k_{\text{max}} = 5.0 \text{ fm}^{-1}$.

		Non-SRC pairs	SRC pairs	SRC	
	$P_{nn}(\%)$	$P_{nn(pp)}(\%)$		$P_{pn}(\%)$ $P_{nn(pp)}(\%)$	$P_{pn}/P_{nn(pp)}$
$\rm{^{3}H}$	93.57	96.02	6.43	3.98	1.62
3 He	93.67	96.11	6.33	3.89	1.63

relative momentum [20]. Thus, a relatively smaller enhancement factor can be obtained by summing over all values of *Q*. It should be interesting to compare the theoretical results with the experimental data for $Q > 0$. One would expect the ratio of *pn*-SRC pairs to *nn*(*pp*) ones to be smaller and very likely to be more consistent with our theoretical prediction.

IV. SUMMARY

We have performed microscopic calculations of the oneand two-nucleon momentum distributions and the *pn*/*nn*(*pp*)

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SRC ratios for mirror nuclei ³ H and 3He. We show that the *pn*-SRCs are enhanced compared with the *nn*(*pp*)-SRCs, which is consistent with the recent experimental data. We also show that the tensor-force-induced SRC competes strongly with the hard-core-induced SRC beyond the Fermi momentum. The tensor SRC pairs dominate in the inter-medium region of $1.5 < k < 3.0$ fm⁻¹ while the hard-core SRC ones dominate in the higher momentum region $k > 3.0$ fm⁻¹. The present microscopic GEM calculations can possibly be extended to heavier systems in which the percentage of *pn*-SRCs is expected to be further enhanced. A comparison of three-nucleon systems and heavier ones should be helpful to better understand the short-distance part of nuclear force and its isospin dependence.

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