

Effects of nonvanishing net charge on balance functions and their integralsClaude Pruneau^{1,*}, Victor Gonzalez^{1,†}, Brian Hanley^{1,‡}, Ana Marin^{2,§} and Sumit Basu^{3,||}¹*Department of Physics and Astronomy, Wayne State University, Detroit 48201, USA*²*GSI Helmholtzzentrum für Schwerionenforschung GmbH, Research Division and ExtreMe Matter Institute EMMI, 64291 Darmstadt, Germany*³*Lund University, Department of Physics, Division of Particle Physics, Box 118, SE-221 00 Lund, Sweden*

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We investigate the impact of nonvanishing net charge in collision systems on measurements of balance functions and their integrals. We show that the nominal balance function definition yields integrals that deviate from unity because of the nonvanishing net charge. However, the integral of unified balance functions is shown to appropriately converge to unity when measured in a sufficiently wide experimental acceptance. We furthermore explore the rate of convergence of unified balance functions integrals and study distortions imparted on the shape of balance functions when measurements are carried out in limited transverse momentum (p_T) and rapidity (y) acceptances, such as those featured by experiments at the Large Hadron Collider or the Relativistic Heavy Ion Collider. We show that the shape and integral of unified balance functions may be strongly biased by reductions in the rapidity and transverse momentum acceptances of existing experiments.

DOI: [10.1103/PhysRevC.107.054915](https://doi.org/10.1103/PhysRevC.107.054915)**I. INTRODUCTION**

Balance functions (BFs) were initially proposed as a tool to investigate the evolution of particle production in heavy-ion collisions [1–3] and were later found to have sensitivity to various properties of the quark gluon plasma (QGP) matter produced in these collisions such as the diffusivity of light quarks and QGP susceptibilities [3–5]. The former brings about broadening of azimuthal balance functions, particularly those of heavy particle pairs, and the latter determines the relative yields of charge (strangeness or baryon number) balancing partners [5–8], while the longitudinal dependence of balance functions is sensitive to the system's evolution [2,9,10]. Measurements of balance functions and their integrals are thus of great interest. The shape and integral of BFs are, however, sensitive to a number of physical and instrumental effects [11–14]. It is thus important to quantitatively understand the impact of such effects on the shape and integral of BFs.

The main goals of this work are (i) to show that the nonvanishing net charge of collision systems strongly bias the shape

and integral of (split) general balance functions, (ii) introduce unified balance functions (UBFs) that properly account for the nonvanishing net charge of collision systems and yield correct integrals in full measurement acceptance, (iii) show that unified balance functions, which split the study of charge (strangeness of baryon number) into two correlation functions providing new and discriminating tools to approach studies of short and long-range correlations, particularly baryon stopping and transport, and (iv) show that while the information provided by unified balance functions is sensitive to the rapidity and p_T acceptance, it remains useful in the study of charge (baryon) balancing and can serve as a powerful discriminant of collision models.

We first show that the original GBF definition [2,3] yields integrals that are determined by the nonvanishing net charge of colliding systems, whereas the integral of unified balance functions (UBFs), recently introduced in Ref. [15], appropriately converge to unity when measured in a sufficiently wide experimental acceptance. The presence of nonvanishing net charge in collision systems can thus be compensated for, in principle, with the use of UBFs when measurements are carried out in full or nearly full kinematic acceptances. We explore the rate of convergence of UBF integrals and distortions imparted on the shape of BFs when measurements are carried out in limited transverse momentum (p_T) and rapidity (y) acceptances, such as those featured by experiments at the Large Hadron Collider (LHC) or the Relativistic Heavy Ion Collider (RHIC). We also show that the shape and integral of balance functions are affected by reductions in the rapidity and transverse momentum acceptances matching current experimental capabilities but nonetheless retain enough information about particle production processes to be experimentally useful and provide significant constraints to theoretical models.

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To accomplish the above goals, we use simulations of pp collisions performed with the PYTHIA8 model [16,17] based on the knowledge that it provides a reasonable quantitative account of particle production at ultrarelativistic energy. PYTHIA is here chosen because it explicitly conserves energy momentum and quantum numbers, both globally and locally on an event-by-event basis. We restrict our use of particle production simulation to PYTHIA exclusively given our intent is to illustrate the impact of nonvanishing net charge on split general balance functions and how this effect is eliminated with unified balance functions. In so doing, we will also show that (split) unified balance functions provide explicit sensitivity to long-range correlations, and can enable more detail studies of collision systems at low beam energy where antiparticle-to-particle yield ratios considerably deviate from unity and where stopping mixes rapidity shifted incoming nucleons with produced particles at central rapidities. Clearly, it is also of interest to fully characterize the role of hadronic resonances strong decays (feed downs) relative to jet fragmentation, string fragmentation, as well as charge and baryon currents. Additionally of interest are detailed studies of the impact of longitudinal and radial flow as well as light quark diffusivity on the width and shape of balance functions, but such investigations are left for future works.

Integral of BFs are closely related to the magnitude of second-order net-charge cumulants, κ_2 , nominally of interest in the determination of QGP susceptibilities at LHC and RHIC [18], as well as searches for the critical point of nuclear matter based on the beam energy scan (BES) at RHIC [19,20]. The results reported in this work thus also have bearings on the limitations of such measurements carried out at those facilities. In particular, it should be clear that modification of the shape of BFs and their integrals caused by reduced kinematic acceptances will then also have a somewhat arbitrary impact, virtually impossible to correct for, on the magnitude of measured values of κ_2 at RHIC and LHC by ongoing experiments. Split unified balance functions will additionally enable more comprehensive studies of the fluctuations of baryons and antibaryons based on explicit determination of their respective balance functions. As such unified balance functions studied within the context of the RHIC Beam Energy Scan (BES), in particular, should provide enhanced understanding of net proton fluctuations by discerning explicitly differences in the magnitude and rapidity dependence of proton and antiproton fluctuations. This is particularly important in the search for a critical point given stopped nucleons and pair produced baryons, antibaryons may feature different degree of thermalization, rescattering, and annihilation effects at low RHIC beam energy.

This paper is organized as follows. Section II presents a short discussion of the model and techniques used to carry out the simulations and analyses presented in this work. Section III presents simulations of GBFs obtained with the nominal definition of Pratt *et al.* [1–3] and articulates that integrals of these GBFs do not converge to unity for nonvanishing net charge systems. The following section reports results obtained with the UBFs, introduced in Ref. [15], whose integrals converge to unity in a sufficiently wide acceptance, whereas Sec. V discusses the impact of restricted measurement accep-

tances on the shape and integral of UBFs. A summary of this work is presented in Sec. VI.

II. SIMULATION MODEL: PYTHIA8

The charge BFs of particles produced in high-energy proton-proton (pp) collisions are investigated theoretically based on simulations carried out with the PYTHIA8 Monte Carlo event generator operated with the MONASH 2013 tune [21] with color reconnection. PYTHIA8 is based on a QCD description of quark and gluon interactions at leading order (LO) and uses the Lund string fragmentation model for high- p_T parton hadronization while the production of soft particles (i.e., the underlying event) is handled through fragmentation of minijets from initial and final state radiation, as well as multiple parton interactions [22]. Studies reported in this work are carried out with PYTHIA running in minimum-bias mode, with soft QCD processes and color reconnection turned ON. Events are generated and analyzed on the fly, within a simple analysis framework [23], to limit the use of large storage space. Analyses were run on the Wayne State computing grid in groups of ten jobs, each with ten subjobs, and 300000 events per subjob. This enabled efficient and rapid use of the grid: each run (e.g., pp collisions at a specific beam energy) were completed in less than two hours. The output of subjobs were summed to yield high-statistic single and pair densities, which were subsequently used to compute correlation functions and balance functions. The ten jobs were then combined and used to compute statistical uncertainties on the amplitude of these functions using the subsample method. PYTHIA8 has been highly successful in reproducing a wide variety of measurements and observables in pp collisions ranging from the few GeV scale to the TeV scale [22]. As a first step in the use of PYTHIA8 towards studies of charge BFs, we explicitly verified that the MC event generator conserves charge on an event-by-event basis. Indeed, integrating all particles in the range $-10 < y < 10$ for pp collisions ranging from $\sqrt{s} = 1$ TeV to 13 TeV, we find that the net charge $Q \equiv N_1^+ - N_1^-$, computed event by event, is exactly equal to 2, i.e., the sum of the (net) charge of the incoming beams. We also verified, based on Fig. 1, which displays the densities $\rho_1^+(y)$, $\rho_1^-(y)$, and their difference, that the integral of these densities in the $-10 < y < 10$ range differs by exactly two units of charge. Note that the densities $\rho_1^+(y)$, $\rho_1^-(y)$ feature narrow spikes at ± 9.4 corresponding to protons at beam rapidities from single diffractive interactions [24], protons forming broad peaks at ± 8.2 from elastic collisions, and extensive charged particle production over a broad range of rapidities, $|y| < 7$, in which only a small rapidity-dependent excess of positively charged particles is produced.

III. BASIC GENERAL BALANCE FUNCTIONS

We first examine BFs obtained with a basic definition similar to that first proposed by Bass *et al.* [1]. Herewith, the identity of particle species is denoted with Greek letters α , β , etc., and their respective antiparticles with barred letters $\bar{\alpha}$, $\bar{\beta}$, etc. Single and pair densities of species α and β are denoted $\rho_1^\alpha(\vec{p}_\alpha)$ and $\rho_2^{\alpha\beta}(\vec{p}_\alpha, \vec{p}_\beta)$, respectively, where \vec{p}_α and

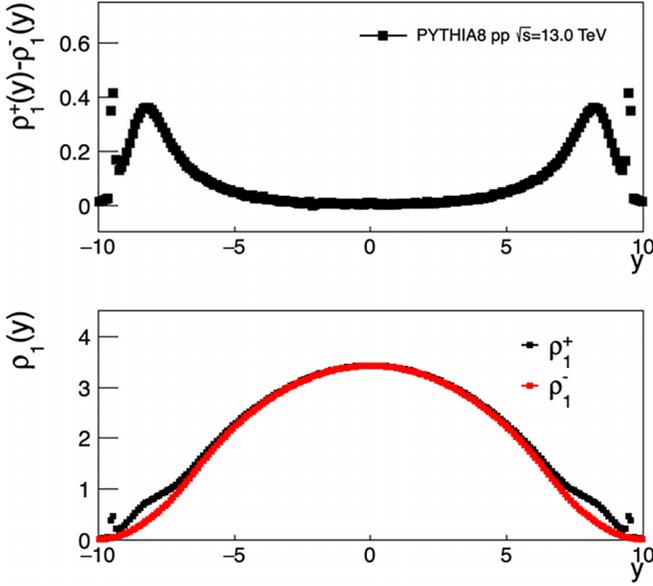


FIG. 1. Bottom: Densities of positive particles, $\rho_1^+(y)$, and negative particles, $\rho_1^-(y)$, produced in $\sqrt{s} = 13.0$ TeV pp collisions according to PYTHIA8 (MONASH) plotted as a function of the particles rapidity; Top: Density difference $\rho_1^+(y) - \rho_1^-(y)$ vs. particle rapidity.

\vec{p}_β represent the three-momenta of the particles. Correlation functions can be computed/measured for particles produced within specific, possibly distinct, acceptances denoted Ω_α . In practice, throughout this work, we simplify the calculations and assume all particles are measured over the full azimuth, $0 \leq \varphi < 2\pi$, within a specific transverse momentum range $p_{T,\min} \leq p_T < p_{T,\max}$, and a symmetric rapidity range $-y_0 < y < y_0$. Additionally, for brevity of notation, only the rapidity dependence, y_0 , is explicitly shown in equations.

Differential GBFs were introduced by Bass *et al.* based on averages of conditional (differential) densities $\rho_2^{\alpha|\beta}(y_1|y_2)$, i.e., corresponding to density of a species α at y_1 given a particle of species β is detected at y_2 [1]. Following Ref. [15], however, we opt for a formulation involving a split general balance function consisting of two distinct functions, hereafter labeled $B^{\alpha|\bar{\beta}}(y_1|y_2)$ and $B^{\bar{\alpha}|\beta}(y_1|y_2)$ and defined according to

$$B^{\alpha|\bar{\beta}}(y_1|y_2) = \frac{1}{\langle \rho_1^{\bar{\beta}} \rangle(y_2)} [\rho_2^{\alpha|\bar{\beta}}(y_1|y_2) - \rho_2^{\bar{\alpha}|\bar{\beta}}(y_1|y_2)], \quad (1)$$

$$B^{\bar{\alpha}|\beta}(y_1|y_2) = \frac{1}{\langle \rho_1^{\beta} \rangle(y_2)} [\rho_2^{\bar{\alpha}|\beta}(y_1|y_2) - \rho_2^{\alpha|\beta}(y_1|y_2)], \quad (2)$$

where y_2 is the rapidity of the reference particle of species β ($\bar{\beta}$) and y_1 is the rapidity of the particle of species α ($\bar{\alpha}$) balancing the charge. Experimentally, as discussed in detail in Ref. [15], one must consider averages of $B^{\alpha|\bar{\beta}}(y_1|y_2)$ and of $B^{\bar{\alpha}|\beta}(y_1|y_2)$ over the rapidity y_2 of the reference particle. This leads to bounded balance functions computed according to

$$B_{Q=0}^{\alpha|\bar{\beta}}(y_1, y_2|y_0) = \frac{1}{\langle N_1^{\bar{\beta}} \rangle(y_0)} [\rho_2^{\alpha|\bar{\beta}}(y_1, y_2) - \rho_2^{\bar{\alpha}|\bar{\beta}}(y_1, y_2)], \quad (3)$$

$$B_{Q=0}^{\bar{\alpha}|\beta}(y_1, y_2|y_0) = \frac{1}{\langle N_1^{\beta} \rangle(y_0)} [\rho_2^{\bar{\alpha}|\beta}(y_1, y_2) - \rho_2^{\alpha|\beta}(y_1, y_2)], \quad (4)$$

where $\langle N_1^{\bar{\beta}} \rangle(y_0)$ and $\langle N_1^{\beta} \rangle(y_0)$ are, respectively, the event-ensemble averages of the yield of particles of species $\bar{\beta}$ and β in the acceptance $|y| < y_0$, and the label $Q = 0$ indicates these expressions are computed assuming the net charge of the system is vanishing. We will evidently also consider the arithmetic average

$$B^s \equiv (B^{\alpha|\bar{\beta}} + B^{\bar{\alpha}|\beta})/2, \quad (5)$$

which essentially corresponds to the original definition of Bass *et al.* [1]. However, it should be noted that splitting the original BF definition into two independent functions $B^{\alpha|\bar{\beta}}$ and $B^{\bar{\alpha}|\beta}$ provides the additional advantage, nominally, of enabling independent investigations of the yield of a negative particles of type α at rapidity y_α when a positive particle of type β is observed at rapidity y_β , and conversely, the yield of a positive particles of type α at rapidity y_α when a negative particle of type β is observed at rapidity y_β . Original BFs were not designed to be split into two pieces. The split functions considered in this work thus provide new and distinct information that is potentially useful towards the understanding of charged (as well as strange or charmed) particle production. It should be additionally noted that while the functions $B^{\alpha|\bar{\beta}}$ and $B^{\bar{\alpha}|\beta}$ may prove to be rather similar at ultrahigh beam energy, they are likely to differ significantly in both magnitude and shape at low beam energy. Indeed, at high beam energy, particle production is dominated by pair creation processes that yield ratios of antiparticles and particles converging towards unity, but at low energy, ratios of antiparticle-to-particle yields significantly deviate from unity: the functions $B^{\alpha|\bar{\beta}}$ and $B^{\bar{\alpha}|\beta}$ will then accordingly be also different and independently provide valuable information about particle production. As a specific example consider that at low \sqrt{s} , the yield ratio of antiproton and proton is much smaller than unity in the central rapidity region. That stems from the fact that, in addition to baryon-antibaryon production, many nucleons (both neutrons and protons) are nearly stopped and rescattered at central rapidity, i.e., near $y \sim 0$ in a symmetric collider rest frame. The deflected nucleons can thus dominate those produced in baryon-antibaryon pairs. Additionally, the overabundance of protons/neutrons implies that antinucleons are likely to interact and possibly annihilate in the central rapidity region, thereby enhancing further the nucleon antinucleon asymmetry. One thus expects that unified balance functions involving a proton and antiproton will look very different given their different mixes of production and scattering processes. Clearly, averaging $B^{\bar{p}p}$ and $B^{p\bar{p}}$ would dismiss that information. As another example, consider that at low beam energy, an asymmetry exists between the production of K^+ and K^- . Indeed the former can be produced along with a Λ baryon at collision energy below the K^+K^- production threshold (associated production). Charged balance functions with K^+ and K^- references can thus be expected to be rather different because the former can be produced by two distinct mechanisms whereas the latter required is suppressed at low beam energy. Similar differences may also arise with heavier strange hadrons. There is thus added value in explicit measurements of $B^{\alpha|\bar{\beta}}$ and $B^{\bar{\alpha}|\beta}$ balance functions. However, we will show in the following paragraphs, that general balance functions must be modified

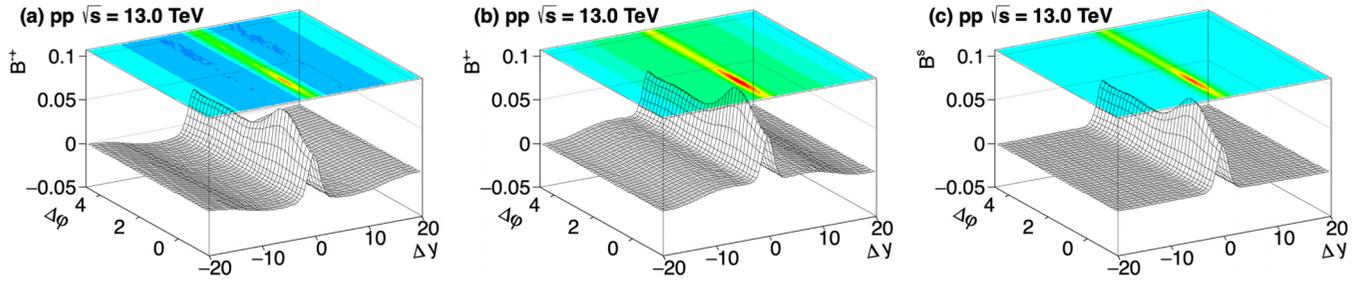


FIG. 2. Balance functions (a) B^{+-} , (b) B^{+-} , and (c) B^s for charged particles with $p_T > 0$ calculated using Eqs. (3), (4), (5) for pp collisions at $\sqrt{s} = 13$ TeV simulated with PYTHIA8.

into unified balance function to take proper account of non-vanishing net charge, i.e., and yield integrals that converge to unity in full acceptance.

The merits of split balance functions must also be recognized from a purely experimental and technical standpoint. Indeed note that the detection of positive and negative particles are often challenged by different experimental features. It is well known, for instance, that protons can be produced by knockout collisions in the beam or inner layers of detectors. One must then exercise great care with measuring spectra and balance functions of protons relative to those of antiprotons. It is also the case that particles of same species but different charge may be subjected to different absorption and in-detector interactions. Consequently, having the ability to study $+-$ and $-+$ (or cross species $\alpha\beta$ and $\bar{\alpha}\beta$) may enable precious debugging and validation of measurements. This is not possible if $+-$ and $-+$ are readily summed into their averaged B^s . Indeed, distinct analyses of B^{+-} and B^{-+} enable more comprehensive and detailed studies of instrumental effects and corrections. And as shown in the following, using unified rather than general balance functions guarantees their proper behavior when integrated.

Figure 2 presents balance functions $B^{+-}(\Delta y, \Delta\varphi)$, $B^{+-}(\Delta y, \Delta\varphi)$, and their average $B^s(\Delta y, \Delta\varphi)$ computed with Eqs. (3), (4), (5) for pp collisions at $\sqrt{s} = 13.0$ TeV simulated with PYTHIA8. Calculations were carried out using full azimuth, $p_T > 0$, and $-10 < y < 10$ to examine the full range of particle correlations. First observe that $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+-}(\Delta y, \Delta\varphi)$ both feature a short-range component extending roughly in the range $-5 < \Delta y < 5$ and long-range components extending all the way to $\Delta y \sim 2y_b = \pm 19$. Also

note that the short-range component of the BFs features an extended distribution in azimuth with a slight excess (or peak) on the near side of the correlation function, i.e., centered at $\Delta y = 0, \Delta\varphi = 0$.

The Δy dependencies of the short- and long-range components are easier to visualize in Fig. 3 that displays projections of the BFs onto the rapidity Δy axis. For illustrative purposes, this figure includes projections of BFs of pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02,$ and 13 TeV as well as $p\bar{p}$ collisions at $\sqrt{s} = 13$ TeV. The short-range components $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+-}(\Delta y, \Delta\varphi)$ are of similar strength and shape for all energies considered as well as for $p\bar{p}$ collisions. However, projections of BFs onto Δy also exhibit strong long-range components extending to twice the beam rapidity. The presence of these long-range components is easy to understand: creating particle pairs at central rapidity ($y < 5$) requires beam protons lose kinetic energy thereby yielding particles spread across a large range of rapidities. These rapidity-shifted particles are correlated to created pairs and thus appear prominently in $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+-}(\Delta y, \Delta\varphi)$ as long-range components. We find, additionally, that these long-range components have similar shape but different signs in $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+-}(\Delta y, \Delta\varphi)$: they identically vanish in the average B^s shown in Fig. 3(c).

While long-range correlations may be of interest from the perspective of beam stopping studies, e.g., what fraction of the incoming proton momentum is lost and converted into produced particles, their observation in experiments poses a considerable challenge given the very small angles (relative to the beam direction) required for their detection. They are also of limited interest to understand particle production at

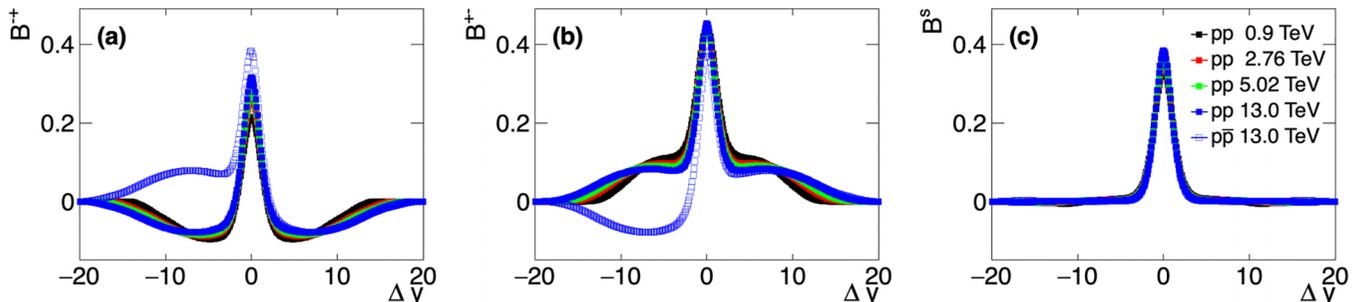


FIG. 3. Projections onto the Δy axis of balance functions (a) B^{+-} , (b) B^{+-} , and (c) B^s calculated using Eqs. (3), (4), (5) for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02, 13$ TeV and $p\bar{p}$ collisions at $\sqrt{s} = 13$ TeV simulated with PYTHIA8.

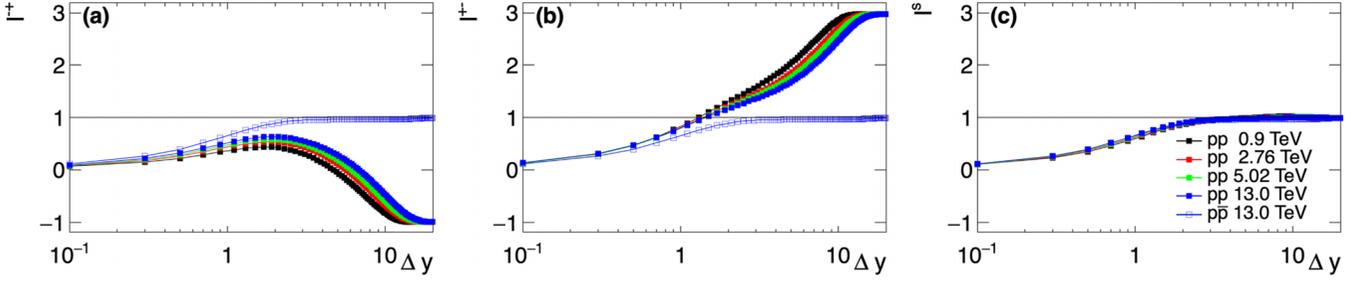


FIG. 4. Cumulative integrals of general balance functions (a) B^{+-} , (b) B^{+} , and (c) B^s , shown in Fig. 3.

central rapidity. More importantly, these BF's do not integrate to unity across the full rapidity acceptance considered. One finds indeed, as shown in Fig. 4, that cumulative integrals of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ computed for pp collisions converge towards -1 and 3 , respectively, across the full range of the simulations, whereas, by contrast, cumulative integrals of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ converge to unity for p \bar{p} collisions. Clearly, the presence of long-range correlations shifts to $1 - 2 = -1$ and $1 + 2 = 3$, respectively, the integral of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$. One also finds that the cumulative integrals of these functions, for pp collisions, converge towards 3 and -1 very slowly, whereas cumulative integrals computed for p \bar{p} converge rather rapidly to unity, i.e., within $\Delta y < 3$.

It is informative to examine the $\Delta\varphi$ projections of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ shown in Fig. 5. One finds these have magnitudes very much influenced by the long-range correlations seen in Fig. 3. Additionally note that the $\Delta\varphi$ projections also evolve significantly with \sqrt{s} . At $\sqrt{s} = 1$ TeV, $B^{+-}(\Delta y, \Delta\varphi)$, and $B^{+}(\Delta y, \Delta\varphi)$ feature a maximum value on the away side, $\Delta\varphi = \pi$, presumably associated with back-to-back momentum conservation in the transverse plane. The amplitude of the away side, however, is seen to progressively decrease with increasing \sqrt{s} and eventually leading to a near-side maximum at $\sqrt{s} = 13$ TeV in both pp and p \bar{p} collisions. Except for p \bar{p} collisions, where total net charge of the system vanishes, huge differences are seen between the amplitude of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$, as a result of the long-range correlations due to nonvanishing total net charge. Clearly, these shifts in amplitude are of limited interest and can only obscure the interpretation of measured balance functions. It is thus highly desirable to eliminate contributions

from the total net charge on long-range correlations in the calculation of BF's.

A seemingly natural method to suppress the long-range correlation contribution to BF's is found by considering the arithmetic average $B^s(\Delta y, \Delta\varphi)$, as seen in the rightmost panel of Fig. 2, which displays $B^s(\Delta y, \Delta\varphi)$ for pp collisions at $\sqrt{s} = 13$ TeV, as well as in Figs. 3 and 5, which show projections onto Δy and $\Delta\varphi$ of B^s computed from $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ displayed in the left and central panels of these figures. One finds that the overshoot and undershoot of the long-range components of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ essentially cancel and yield functions B^s that nearly vanish outside of the central rapidity region. The Δy projections of B^s are nearly (although not completely) vanishing outside of the range $-5 < y < 5$ and the $\Delta\varphi$ projections of B^s for pp and p \bar{p} collisions, both at $\sqrt{s} = 13$ TeV, are essentially identical. Parenthetically, also note that the \sqrt{s} evolution of these projections clearly show that charge balancing evolves considerably, according to PYTHIA8, from $\sqrt{s} = 0.9$ – 13 TeV, with a maximum (peak) shifting from $\Delta\varphi = \pi$ to $\Delta\varphi = 0$. Finally, integrals of B^s computed for pp collisions for all considered beam energies, as shown in Fig. 4, rapidly converge to unity, i.e., within a range less than $\Delta y \sim 3$ as for p \bar{p} collisions. Using the average B^s thus seems a straightforward method to carry out measurements of balance functions, and their integrals, that yield the expected behavior and convergence to unity.

Individual measurements of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+}(\Delta y, \Delta\varphi)$ nonetheless remain desirable. Experimentally, either of these may not be accessible for practical or technical reasons and a calculation of their average will then be impossible. It may also be desirable, particularly for species that do not feature $N_{\bar{\alpha}}/N_{\alpha} = 1$, to explicitly compare the two

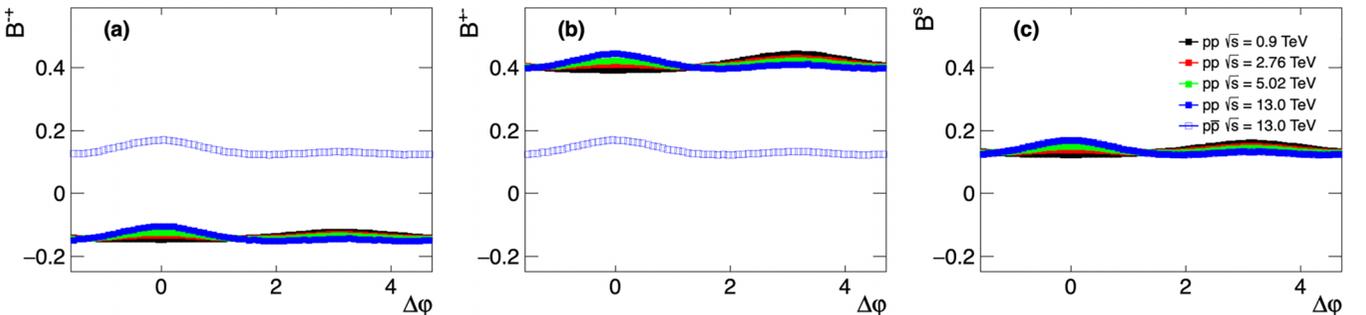


FIG. 5. Projections onto the $\Delta\varphi$ axis of balance functions (a) B^{+-} , (b) B^{+} , and (c) B^s calculated using Eqs. (3), (4), (5) for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02, 13$ TeV and p \bar{p} collisions at $\sqrt{s} = 13$ TeV simulated with PYTHIA8.

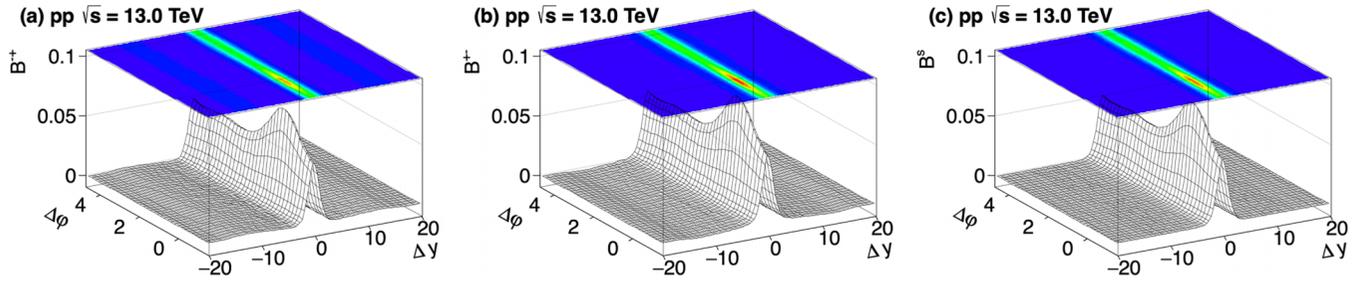


FIG. 6. Unified balance functions (a) B^{+-} , (b) B^{+0} , and (c) B^s calculated using Eqs. (9), (10), (5) for pp collisions at $\sqrt{s} = 13$ TeV simulated with PYTHIA8.

functions, as already argued above. Additionally, one can also verify that species specific (e.g., kaons vs. pions) BFs based on Eqs. (3), (4) do not, in general, integrate to unity, for systems with nonvanishing net charge. Addressing the nonvanishing net charge of a system in measurements of $B^{+-}(\Delta y, \Delta\varphi)$ and $B^{+0}(\Delta y, \Delta\varphi)$ is thus required.

IV. UNIFIED BALANCE FUNCTIONS

Accounting for the nonvanishing net charge of a colliding system, in computations of balance functions, is readily accomplished by adding single-particle densities to the conditional densities considered. Indeed, as shown in Ref. [15], it suffices to include the difference $\rho_1^\alpha(y) - \rho_1^\beta(y)$ in the definition of $B^{\alpha|\beta}(y_1|y_2)$ and $B^{\bar{\alpha}|\beta}(y_1|y_2)$. This is best accomplished with the introduction of associated particle densities, denoted $A_2^{\alpha|\beta}(y_1|y_2)$, and defined according to

$$A_2^{\alpha|\beta}(y_1|y_2) \equiv \frac{C_2^{\alpha\beta}(y_1|y_2)}{\rho_1^\beta(y_2)} = \frac{\rho_2^{\alpha\beta}(y_1, y_2)}{\rho_1^\beta(y_2)} - \rho_1^\alpha(y_1), \quad (6)$$

where $C_2^{\alpha\beta}(y_1, y_2) = \rho_2^{\alpha\beta}(y_1, y_2) - \rho_1^\alpha(y_1)\rho_1^\beta(y_2)$ is a differential two-particle cumulant (correlation function) between particles of species α and β emitted at rapidities y_1 and y_2 . This function identically vanishes in the absence of particle correlations. Balance functions that automatically account for a system's nonvanishing net charge are then written

$$B^{\alpha|\beta}(y_1|y_2) = A_2^{\alpha|\beta}(y_1|y_2) - A_2^{\bar{\alpha}|\beta}(y_1|y_2), \quad (7)$$

$$B^{\bar{\alpha}|\beta}(y_1|y_2) = A_2^{\bar{\alpha}|\beta}(y_1|y_2) - A_2^{\alpha|\beta}(y_1|y_2), \quad (8)$$

and one easily verifies that Eqs. (7), (8) integrate to unity in the full acceptance limit, even in the presence of nonvanishing net charge. Note that the balance functions $B^{\alpha|\beta}(y_1|y_2)$ and $B^{\bar{\alpha}|\beta}(y_1|y_2)$ are not positive definite. Indeed, they may be negative or null across some portions of the acceptance. As such, neither $A_2^{\alpha|\beta}(y_1|y_2)$ nor $B^{\alpha|\beta}(y_1|y_2)$ can be considered true single-particle densities. As already pointed out in Ref. [15], it should be additionally noted that the shape and strength of $A_2^{\alpha|\beta}(y_1|y_2)$ and thus $B^{\alpha|\beta}(y_1|y_2)$ may depend strongly on y_2 . For instance, at rapidity y_2 near the beam rapidity y_b , one expects the particle production to be largely dominated by the fragmentation of the beam components whereas at central rapidity ($y \approx 0$ in collider mode), particle production is determined by large \sqrt{s} $q\bar{q}$ or gg processes. The widths and shapes of BFs are thus indeed expected to vary appreciably with the selected rapidity y_2 . Averaging over y_2 in a finite measurement acceptance, one gets bounded balance functions valid for nonvanishing net charge:

$$B^{\alpha\bar{\beta}}(y_1, y_2|y_0) = \frac{1}{\langle N_1^\beta \rangle} [C_2^{\alpha\bar{\beta}}(y_1, y_2) - C_2^{\bar{\alpha}\bar{\beta}}(y_1, y_2)] \quad (9)$$

$$B^{\bar{\alpha}\beta}(y_1, y_2|y_0) = \frac{1}{\langle N_1^\beta \rangle} [C_2^{\bar{\alpha}\beta}(y_1, y_2) - C_2^{\alpha\beta}(y_1, y_2)]. \quad (10)$$

These two functions, known as UBF, are applicable to same, $\alpha = \beta$, or mixed, $\alpha \neq \beta$, particle species, each carrying a single unit of charge.¹

¹See Ref. [15] for a discussion BFs involving multi-unit charge carriers.

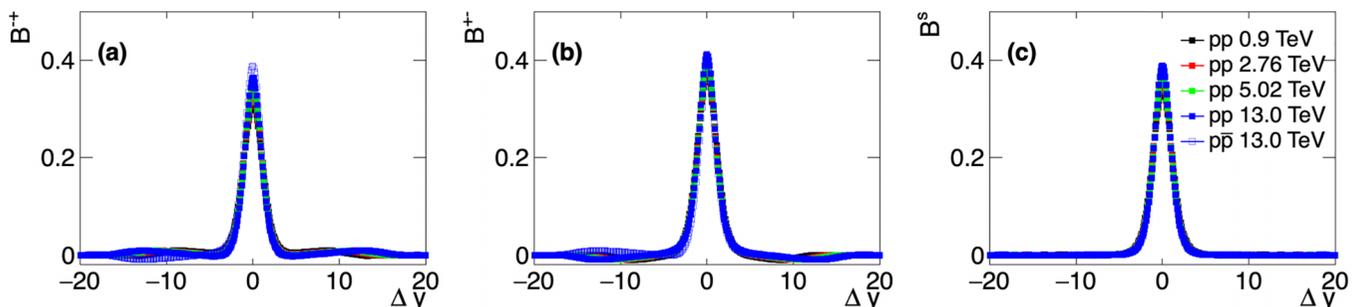


FIG. 7. Projections onto the Δy axis of UBFs (a) B^{+-} , (b) B^{+0} and (c) B^s calculated using Eqs. (9), (10), (5) for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02$ and 13 TeV, as well as $p\bar{p}$ collisions at $\sqrt{s} = 13.0$ TeV simulated with PYTHIA8.

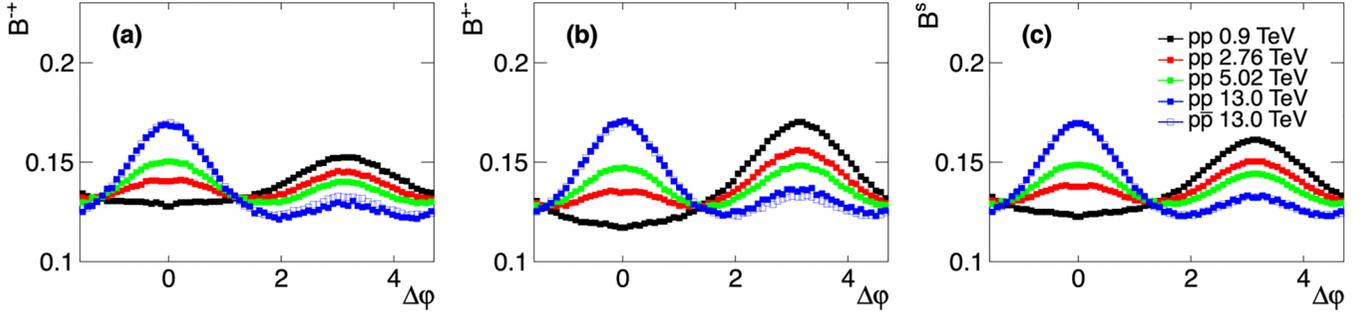


FIG. 8. Projections onto the $\Delta\varphi$ axis of UBFs (a) B^{-+} , (b) B^{+-} and (c) B^s calculated using Eqs. (9), (10), (5) for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02$ and 13 TeV, as well as $p\bar{p}$ collisions at $\sqrt{s} = 13.0$ TeV simulated with PYTHIA8.

Figure 6 displays $B^{+-}(y_1, y_2|y_0)$ and $B^{-+}(y_1, y_2|y_0)$, computed with Eqs. (9), (10) for $\alpha = \beta = +$ and $\bar{\alpha} = \bar{\beta} = -$, and their average, B^s , obtained for pp collisions at $\sqrt{s} = 13$ TeV simulated with PYTHIA8. Projections of these UBFs and those obtained for pp collisions at $\sqrt{s} = 0.90, 2.76, 5.02$ TeV and $p\bar{p}$ collisions at 13 TeV are shown in Figs. 7 and 8. One readily verifies, based on Fig. 6, and the longitudinal projections shown in Fig. 7, that UBFs feature nearly vanishing long-range components, in stark contrast to GBFs computed with Eqs. (3), (4). One finds indeed that the UBFs are dominated by their central rapidity peak and feature very small long-range components beyond $|y| > 4$ or so. Note, in particular, that $B^{-+}(y_1, y_2|y_0)$, $B^{+-}(y_1, y_2|y_0)$ have only slight overshoots and undershoots, respectively, for pp collisions and a small undershoot and overshoot, respectively, for $p\bar{p}$ collisions. These small positive and negative long-range excesses vanish identically in the average correlator B^s . The effect of the nonvanishing remaining long-range components is best seen in cumulative integrals of the BFs shown in Fig. 9. Indeed note that for pp collisions, the cumulative integral of $B^{\alpha\bar{\beta}}(y_1, y_2|y_0)$ quickly rises to near unity at $y = 3$, but subsequently converge rather slowly towards unity near twice the beam rapidity. In the case of $B^{\bar{\alpha}\beta}(y_1, y_2|y_0)$, one finds that the rise first exceeds unity near $y = 3$ to eventually converge back, very slowly, to unity at twice the beam rapidity. Such effects cancel in the average B^s . We thus conclude that UBFs $B^{\alpha\bar{\beta}}(y_1, y_2|y_0)$ and $B^{\bar{\alpha}\beta}(y_1, y_2|y_0)$, defined by Eqs. (9), (10), carry much smaller effects from long-range correlations due to nonvanishing net charge than the basic GBFs computed according to Eqs. (3), (4), and, as such, may be considered much

more acceptable measures of balance functions if perfect precision is not required. However, the sum B^s does not involve these effects and its use should thus be preferred whenever possible, particularly, if the magnitude of the integral of these function is of prime interest. On the flip side of the argument, note that in light of their formulation in terms of correlation functions $C_2^{\alpha\bar{\beta}}(y_1, y_2)$, which measure true correlations between particle species α and $\bar{\beta}$, UBFs amount to differences of such correlations. It is thus natural to expect that charge (baryon) balancing of a species β or its antiparticle $\bar{\beta}$ may be rather different at both small and large rapidity differences, there is thus indeed significant merits in one's ability to define and measure unified balance functions.

Shifting the focus onto Fig. 8, we once again note a sizable change in the $\Delta\varphi$ dependence of B^s with collision energy. At the lowest energy considered, $\sqrt{s} = 0.9$ TeV, PYTHIA yields a BF with a slight excess on the away side ($\Delta\varphi = \pi$). The excess is found to progressively decrease with rising \sqrt{s} , yielding near- and away-side peaks of approximately equal height at $\sqrt{s} = 5.02$ TeV, and a significant near-side excess at $\sqrt{s} = 13.0$ TeV in both pp and $p\bar{p}$ collisions. This evolution with \sqrt{s} is likely due to the fast rise of the jet cross section from $\sqrt{s} = 1$ to 13 TeV. Jets are by definition nearly charge neutral and charge correlations from one jet to another are expected to be weak given particle production within a jet is essentially limited to the cone of the jet. As the jet cross section rises, near-side charged correlations, corresponding to intrajet correlations, progressively dominate and the maximum of BFs then shifts from the away side to the near side.

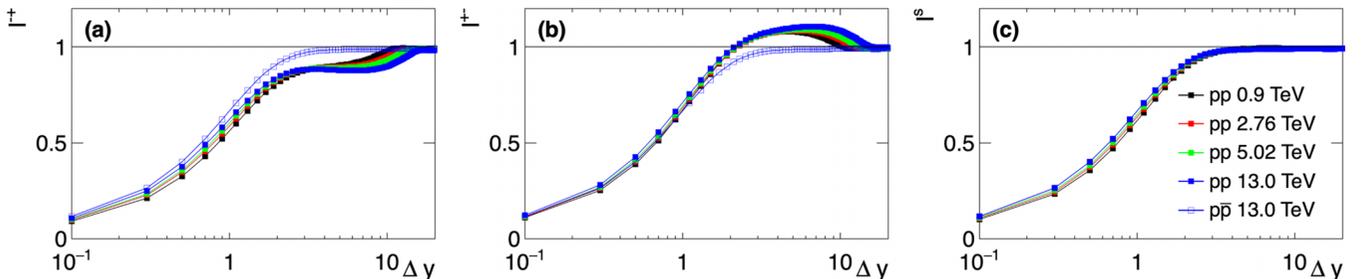


FIG. 9. Cumulative integrals, $I(\Delta y)$, of UBF calculated with Eqs. (9), (10), (5), plotted as a function of the acceptance $|\Delta y|$ for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02,$ and 13 TeV as well as $p\bar{p}$ collisions $\sqrt{s} = 13.0$ TeV simulated with PYTHIA8.

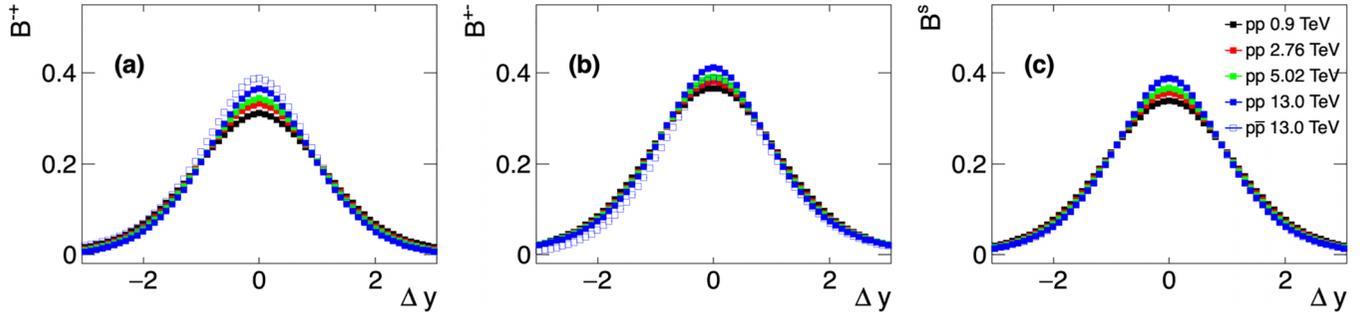


FIG. 10. Projections onto the Δy axis of UBFs (a) B^{-+} , (b) B^{+-} , and (c) B^s calculated using Eqs. (9), (10), (5) for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02,$ and 13 TeV, as well as $p\bar{p}$ collisions at $\sqrt{s} = 13.0$ TeV simulated with PYTHIA8.

V. IMPACT OF LIMITED ACCEPTANCE ON UBF MEASUREMENTS

Experimentally, achieving efficient detector coverage and good charged particle momentum resolution at rapidities in excess of $y = 4$ is rather challenging. Indeed, detectors in operation, e.g., at RHIC and the LHC, have rapidity coverage typically limited to central rapidities. Currently, ALICE has transverse momentum measurement capabilities for $|y| < 1$ with a low p_T threshold of about 0.15 GeV/ c , while CMS and ATLAS have acceptances up to 3 or 4 units of pseudorapidity but feature poor charged particle track purity at $p_T < 0.5$ GeV/ c . However, technologies envisioned for ALICE 3 [25] might extend the experimental acceptance to both lower momenta and larger rapidities but are unlikely to go much beyond $y = 4$. We thus explore in somewhat more detail the quality of measurements that can be carried with UBFs using practical values for p_T and y acceptances.

Let us first examine in further detail UBFs obtained with a very wide (perfect) acceptance. Figure 10 displays UBFs, computed within the full acceptance $y_0 = 10$, in the range of interest, $-3 < \Delta y < 3$, for several beam energies. One finds that PYTHIA8 produces UBFs with magnitudes and widths that feature a modest dependence on \sqrt{s} . Note, in particular, that $B^{-+}(y_1, y_2|y_0)$ and $B^{+-}(y_1, y_2|y_0)$ exhibit hierarchically ordered amplitudes, i.e., amplitudes that progressively grow with increasing \sqrt{s} for rapidity separations $|\Delta y| < 1$ and with decreasing \sqrt{s} for rapidity separation $2 < |\Delta y| < 3$. Amplitudes of UBFs B^{+-} for pp are larger than their counterparts B^{-+} for all collision energies while keeping their hierarchical order. Amplitude of the pp at 13 TeV UBF moves from lower values than the $p\bar{p}$ B^{-+} to higher values than the $p\bar{p}$ B^{+-} while both UBFs for the $p\bar{p}$ system are basically identical. The hierarchical order according to the system energy is exhibited in the average B^s where the BF's corresponding to the pp and $p\bar{p}$ at 13 TeV systems overlap as expected from such ordering.

The UBFs shown in Fig. 10 are found to be well described by Gaussian probability density functions (PDF). One finds, in particular, that the behavior of the B^s amplitude with \sqrt{s} is accompanied by a monotonic decrease of their rms width, as illustrated in Fig. 11, which shows the rms widths of UBFs B^s for pp collisions at $\sqrt{s} = 0.9, 2.76, 5.02,$ and 13.0 TeV and for $p\bar{p}$ collisions at $\sqrt{s} = 13.0$ TeV. One notes, additionally, that the rms widths for pp and $p\bar{p}$ collisions at $\sqrt{s} = 13.0$ TeV are identical within

statistical uncertainty. This suggests that charged particle balancing, at least in the context of the PYTHIA8 model, is independent of the incoming beam particle species in this high-energy collision regime. Additionally note that the slight narrowing of the UBFs with increasing \sqrt{s} is expected on general grounds. The average transverse momentum $\langle p_T \rangle$ increases monotonically with \sqrt{s} . Correlated particles resulting from decays, jet fragmentation, etc., thus have a tendency to be kinematically focused, i.e., emitted at smaller rapidity and azimuthal angle differences with increasing \sqrt{s} . The rate of this rms narrowing with increasing \sqrt{s} and the rise of the UBF amplitude, along with changes of the detailed shape of the UBFs, are evidently candidates for observables capable of discriminating the performance of particle production models. However, it should be clear that the results shown in Fig. 10 were obtained for a perfect acceptance in rapidity, azimuth, and transverse momentum. It is legitimate to expect that measurements biases may occur with reduced acceptance in (pseudo)rapidity and transverse momentum. We thus explore, in the following, what may be the impact of such reduced acceptances on measurements of UBFs, particularly, their shape (amplitude vs. width) as well as their integrals.

We next examine the impact of the limited acceptance in rapidity on measurements of UBFs. Our study is based on the assumption that particle species can be identified and that

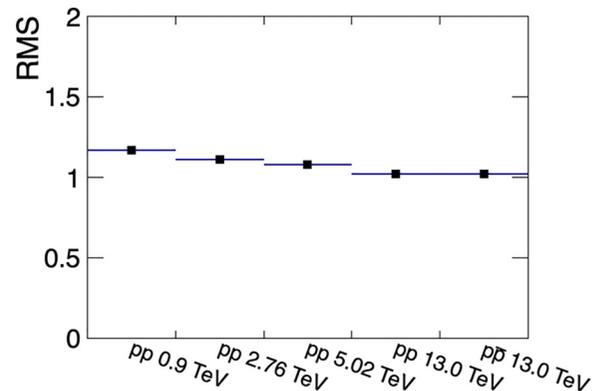


FIG. 11. RMS widths of UBFs B^s computed at selected values of \sqrt{s} for pp collisions and at $\sqrt{s} = 13.0$ TeV for $p\bar{p}$ collisions simulated with PYTHIA8.

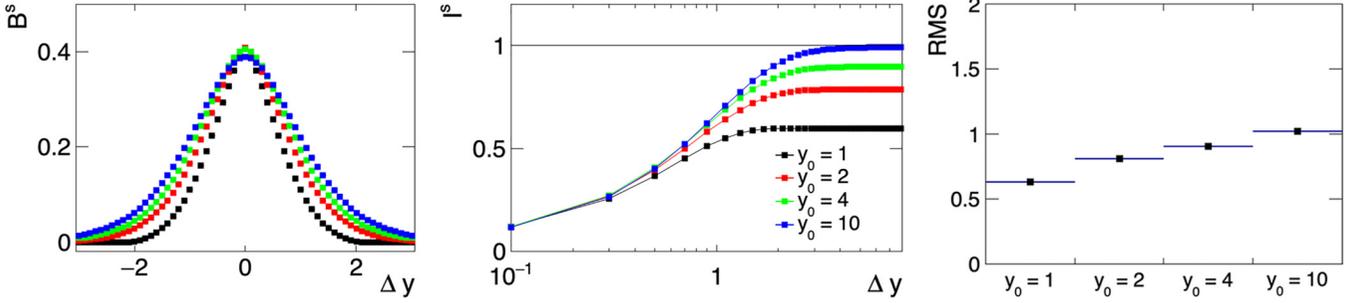


FIG. 12. (left) UBFs $B^s(\Delta y)$ computed for pp collisions at $\sqrt{s} = 13$ TeV, for selected longitudinal acceptances; (center) cumulative integrals; and (right) RMS widths of UBFs shown in the left panel.

their rapidity computed from their momentum. It is clear that further limitations would arise if particles cannot be identified and measurements are thus limited to the pseudorapidity of particles, but such studies are left for further works and detailed studies.

The left panel of Fig. 12 compares projections of UBFs B^s computed in longitudinal acceptances $y_0 = 1, 2, 4,$ and 10 . The integrals of these UBFs are shown in the central panel and their rms widths in the right panel of Fig. 12. One observes that the UBF obtained with $y_0 = 4$ is partially clipped, relative to that computed with the full acceptance $y_0 = 10$, and its rms width is thus slightly reduced. One additionally finds that roughly 10% of the charge balance is lost. The impact of the reduction in acceptance obtained with values $y_0 = 2$ and $y_0 = 1$ is significantly more dramatic: larger reductions of the rms width of these UBF are found relative to the UBF obtained $y_0 = 4$. One also notes that the reduction of the integrals of these UBFs is severe, featuring a 45% loss of balancing charges for $y_0 = 1$. Clearly, measurements of UBFs should be carried out in as wide a rapidity acceptance to avoid dramatic modification of their shape (width) and loss of charge balance.

With the hope that an acceptance $y_0 = 4$ may be achievable within future experiments, we next consider the impact of a reduction in transverse momentum within this longitudinal acceptance. Figure 13 displays projections, integrals, and rms widths of UBFs obtained with three selected p_T ranges compared to that achieved with an ideal range, $p_T > 0$. Based on these simulations with PYTHIA8, we find that reducing the p_T acceptance has a relatively small impact on the shape and rms width of the distributions but may impact their integral

significantly. The charge balance integral is most affected by particle losses at low momentum while losses at high p_T have only a minor impact on the UBF integrals. Indeed, while reducing the acceptance to $0 < p_T < 10$, only a negligible loss of charge balance of $< 1\%$ relative to the $p_T > 0$ reference is observed. However, reducing the maximum of the range to $p_T = 2.0$ GeV/c reduces the charge balance by $\sim 7\%$. By contrast, cutting the acceptance by rising the minimum p_T to 0.1 and 0.2 GeV/c has a more significant effect. With a detection threshold of 0.1 GeV/c a charge balance loss of 10% is incurred, relative to the reference $p_T > 0$. Cutting the range both from above and below produces the strongest losses, with an integral of 0.83 with $0.1 < p_T < 2$ GeV/c and 0.71 for $0.2 < p_T < 2$ GeV/c. It is thus clear that designs of future experiments should prioritize a reduction of the detection p threshold in order to optimize the quality of measurements of BFs. This should also suggest that UBFs studies should also be conducted as function of the particle's transverse momentum. Studies with low- p_T ranges might enable a better understanding of pair production and transport mechanisms, whereas the structure of jets could be probed based on high- p_T ranges.

In closing this section, it is important to remark that this work being specifically based on PYTHIA8, it may not entirely capture the physics at play in large nucleus-nucleus collisions. In PYTHIA, two mechanisms nominally contribute to the longitudinal width of the balance function: string breaking and hadron decays (feed downs). The rapidity scale of the former is set by the string tension while that of the latter determined by the difference of the mass of the parent and the sum of the masses of daughter particles. While PYTHIA6 and PYTHIA8

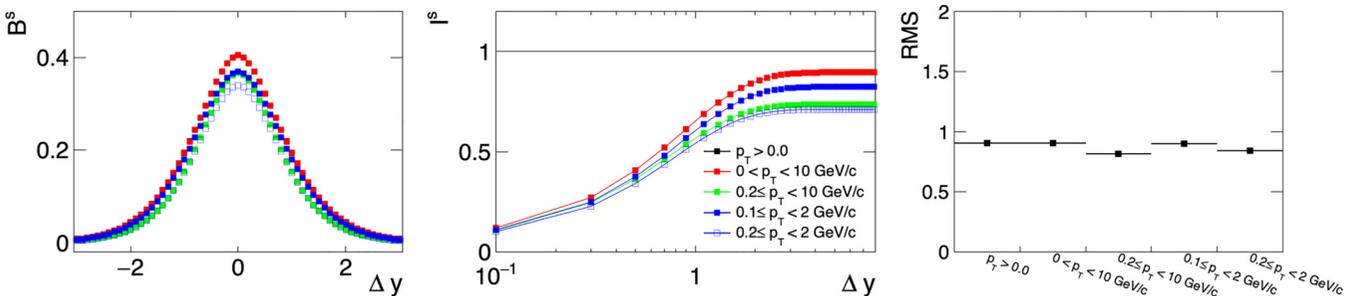


FIG. 13. (left) UBFs $B^s(\Delta y)$ computed for pp collisions at $\sqrt{s} = 13$ TeV within an experimental acceptance of $y_0 = 4$ and selected p_T ranges; (center) cumulative integrals; and (right) RMS widths of UBFs shown in the left panel.

have proven quite adept at reproducing observables measured in pp collisions, they do not, as of yet, provide a good description of the anisotropic flow and nuclear modification factors observed in A-A collisions. On the other hand, hydrodynamic and thermal models tend to very well capture many of the features observed in collisions of large nuclei. It will thus be of interest to extend the current study to explore in detail the many facets of particle production in small and large systems that contribute to features of unified balance functions. It is important to note, however, that in hydrodynamics-based models implementing Cooper-Frye particlization, as well as in thermal models, correlations arise from longitudinal and radial flow (former) and resonance decays (former and latter). Indeed, most hydro and thermal models do not presently implement charge and baryon currents in the expansion of collision systems. Additionally, particlization with Cooper-Frye does not properly account for local (or quasilocal) quantum number conservation and as such these models feature intrinsic limits in the description of the shape and strength of correlation functions, and by extension those of balance functions of cross species [10]. There are nonetheless merits in examining in details the role and contributions of radial flow, viscous forces, light quark diffusivity, and hadron resonance (strong) decays by turning these effects on and off or tuning them in slowly. Such considerations, however, require much additional work and are thus left for future projects.

VI. SUMMARY

We presented a study of general (GBF) and unified (UBF) balance functions based on simulations of pp and p \bar{p} collisions with PYTHIA8. We first showed that for collision systems with nonvanishing net charges, $Q = 2$ in the present case, bound general balance functions B^{+-} and B^{-+} , defined by Eqs. (1), (2), respectively, contain large long-range components that reflect correlations between stopped beam particles and particle pairs created out the vacuum. We showed the integral of B^{+-} and B^{-+} converge to $1 + Q = 3$ or $1 - Q = -1$, respectively, instead of unity as expected for balanced charge production. We found that GBFs obtained for p \bar{p} also feature long-range components but their contributions change sign below and above $\Delta y = 0$ and their integral thus properly converge to unity. We next showed that UBFs, defined by Eqs. (9), (10), largely suppress but do not eliminate the long-range correlations seen in GBFs. However, integrals of these UBFs do converge to unity in the full acceptance limit. We additionally showed that averages of GBFs and UBFs, B^s , completely suppress long-range components and feature integrals that properly converge to unity in the large acceptance limit. Given experiments typically feature narrow acceptances in rapidity (or pseudorapidity) and nonvanishing transverse momentum detection threshold, we next examined the impact of restricting the experimental acceptance both in rapidity and in transverse momentum. We found that narrow longitudinal

acceptance significantly impact the shape and width of longitudinal projections of UBFs and their integrals. However, we found that losses of particles at high- p_T have limited impact on the shape and integrals of UBFs and produce small changes of the width and no dramatic loss of charge balance while a larger impact is produced when the low- p_T detection threshold is raised. Clearly, with judicious choices of rapidity and transverse momentum acceptance, sufficient information remains about the shape and integral of balance functions, and these are thus useful in the study of charge (baryon) balancing given they will provide added discriminating power in the tuning of collision models, and potentially better understanding of particle production and transport mechanisms. Additionally, as we pointed out in the introduction, integrals of BFs are closely connected to the magnitude of net-charge multiplicity cumulants κ_2 . Conclusions applying to measurements of the integral of BFs thus also apply to measurements of κ_2 and caution should consequently be exercised in the interpretation of integral measurements of net charge in A-A collisions.

Although desirable, correction for pair losses incurred with narrow longitudinal acceptance and finite p_T detection threshold were not attempted in the context of this work. Unified charge balance functions obtained for pp collisions at several distinct values of \sqrt{s} are found to evolve in shape with \sqrt{s} . Modifications of the shapes of these balance functions are thus also functions of \sqrt{s} , as are the charge balance losses observed with reduced longitudinal and transverse momentum acceptance. It is thus rather unlikely that reliable and model independent corrections for losses associated with reduced acceptance can be devised and implemented. Although not discussed in this work, it can be shown that particle losses associated with instrumental effects (detection efficiency) are correctable using common techniques and thus have minimal impact on measurements of balance functions. Information modified by the response of a detector can be properly corrected for, but the information falling outside the bounds of measurements is usually lost. In some cases, such as single-particle p_T spectra, extrapolation for expected behavior at $p_T \rightarrow 0$ and $p_T \rightarrow \infty$ enable estimate of the integral of these spectra. Sadly, in the case of balance of functions, information lost is irremediably lost: our studies show that it is critical to design detection devices with as large a rapidity acceptance and as low a p_T threshold as possible to optimize measurements of balance functions and the physics they probe.

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