Formation and dynamics of exotic hypernuclei in heavy-ion collisions

Zhao-Qing Feng[®]*

School of Physics and Optoelectronics, South China University of Technology, Guangzhou 510640, China

(Received 3 November 2019; accepted 22 September 2020; published 7 October 2020)

The dynamics of exotic hypernuclei in heavy-ion collisions has been investigated thoroughly with the Lanzhou quantum molecular dynamics model. All possible channels on hyperon (Λ , Σ , and Ξ) production near threshold energies are implemented in the transport model. The light complex fragments ($Z \leq 2$) are constructed with the Wigner-function method. The classical phase-space coalescence is used for recognizing heavy nuclear and hyperfragments and the statistical model is taken for describing the decay process. The nuclear fragmentation reactions of the available experimental data from the ALADIN Collaboration are well reproduced by the combined approach. It is found that the in-medium potentials of strange particles influence the strangeness production and fragment formation. The hyperfragments are mainly created in the projectile or target-like rapidity region and the yields are reduced about three orders of magnitude in comparison to the nuclear fragments. The hypernuclear dynamics of HypHI data is well described with the model. The possible experiments for producing the neutron-rich hyperfragments at the high-intensity heavy-ion accelerator facility (HIAF) are discussed.

DOI: 10.1103/PhysRevC.102.044604

I. INTRODUCTION

Heavy-ion collisions in terrestrial laboratories provide the possibility of creating strange particles in dense matter, which are available for investigating the in-medium properties of hadrons and nuclear equation of state (EOS) [1-5]. Inclusion of the strangeness degree of freedom in nuclear medium extends research activities in nuclear physics, in particular on the issues of the nuclear structure of hypernucleus and kaonic nucleus, hyperon-nucleon and hyperon-hyperon interactions, and in-medium properties of hadrons [6-8]. Moreover, hadrons with strangeness as essential ingredients that influence the high-density nuclear EOS. The strangeness ingredient in dense matter softens the EoS at high-baryon densities, and consequently decreases the mass of neutron stars [9,10]. Since the first observation of Λ hypernuclide in nuclear multifragmentation reactions induced by cosmic rays in 1950s [11], remarkable progress has been obtained in producing hypernuclides via different reaction mechanisms, such as hadron (pion, K^{\pm} , proton, antiproton) induced reactions, bombarding the atomic nucleus with high-energy photons or electrons, and fragmentation reactions with high-energy heavy-ion collisions. Study of the proton- and neutron-rich hypernuclei in heavy-ion collisions is planned by the HypHI Collaboration at the future facility for antiproton and ion research (FAIR) [12]. The strangeness physics is also attracted attention in the future experiments at the high-intensity heavy-ion accelerator facility (HIAF) [13,14].

The formation of hypernuclei in heavy-ion collisions is associated the creation of hyperons in hadron-hadron collisions,

2469-9985/2020/102(4)/044604(7)

transportation in nuclear medium, fragment recognition, and statistical decay. Up to now, several models have been established for describing the hypernucleus production, i.e., the statistical multifragmentation model (SMM) [15,16], statistical approach with a thermal source [17], and microscopic transport models with different recognitions of hypernuclei [18,19]. Some interesting results are obtained for describing the hypernucleus formation, i.e., the hyperfragment yields, excitation function of hyperfragment production, multiple strangeness hypernucleus, etc. More sophisticated theoretical investigation of hypernuclear dynamics is needed.

In this work, the Lanzhou quantum molecular dynamics (LQMD) transport model is extended for investigating the strangeness production and hypernuclear dynamics in heavyion collisions near threshold energies. The article is organized as follows. In Sec. II, I give a brief description of the model for the strangeness production and fragment recognition. The calculated results and discussion are presented in Sec. III. Summary and perspective are outlined in Sec. IV.

II. THE TRANSPORT MODEL AND FRAGMENT RECOGNITION

In the LQMD transport model, the production of resonances with the mass below 2 GeV, hyperons (Λ , Σ , Ξ), and mesons (π , η , K, \overline{K} , ρ , ω) is coupled in the reaction channels via meson-baryon and baryon-baryon collisions [20,21]. The temporal evolutions of nucleons are described by Hamilton's equations of motion under the self-consistently generated two-body and three-body interaction potential with the Skyrme force. The temporal evolutions of nucleons and nucleonic resonances are described by Hamilton's equations of motion under the self-consistently generated two-body and three-body interaction potential with the Skyrme force. The temporal evolutions of nucleons and nucleonic resonances are described by Hamilton's equations of motion under the self-consistently generated two-body and

^{*}fengzhq@scut.edu.cn



FIG. 1. Rapidity distribution of K^+ (a) and hyperons (b) in collisions of 124 Sn + 124 Sn at the incident energy of 2 GeV/nucleon.

three-body interaction potential with the Skyrme-like force. The Hamiltonian of baryons consists of the relativistic energy, Coulomb interaction, momentum-dependent potential and local interaction as follows:

$$H_B = \sum_{i} \sqrt{\mathbf{p}_i^2 + m_i^2} + U_{Coul} + U_{mom} + U_{loc}.$$
 (1)

Here the \mathbf{p}_i and m_i represent the momentum and the mass of the baryons. The local interaction potential is evaluated from the energy-density functional of

$$U_{loc} = \int V_{loc}(\rho(\mathbf{r}))d\mathbf{r}$$
 (2)

with

$$V_{loc}(\rho) = \frac{\alpha}{2} \frac{\rho^2}{\rho_0} + \frac{\beta}{1+\gamma} \frac{\rho^{1+\gamma}}{\rho_0^{\gamma}} + E_{sym}^{loc}(\rho)\rho\delta^2 + \frac{g_{sur}}{2\rho_0} (\nabla\rho)^2 + \frac{g_{sur}^{iso}}{2\rho_0} [\nabla(\rho_n - \rho_p)]^2, \quad (3)$$

where ρ_n , ρ_p , and $\rho = \rho_n + \rho_p$ are the neutron, proton, and total densities, respectively, and $\delta = (\rho_n - \rho_p)/(\rho_n + \rho_p)$ is the isospin asymmetry of baryonic matter. The parameters α , β , γ , g_{sur} , g_{sur}^{iso} , and ρ_0 are set to the values of -215.7 MeV, 142.4 MeV, 1.322, 23 MeV fm², -2.7 MeV fm², and 0.16 fm⁻³, respectively. The set of the parameters gives the compression modulus of K = 230 MeV for isospin symmetric nuclear matter at the saturation density ($\rho_0 = 0.16$ fm⁻³). The surface coefficients g_{sur} and g_{sur}^{iso} are taken to be 23 and -2.7 MeV fm², respectively. The third term contributes



FIG. 2. Influence of the in-medium potentials on the production of kaons and hyperons in the reaction of 124 Sn + 124 Sn.

the symmetry energy of the form $E_{sym}^{loc} = \frac{1}{2}C_{sym}(\rho/\rho_0)^{\gamma_s}$. The parameter C_{sym} is taken as the value of 38 MeV. γ_s could be adjusted to get the suitable case from constraining the isospin observables; e.g., the values of 0.5, 1, and 2 could be the soft, linear, and hard symmetry energy, respectively. Here, the linear symmetry energy is taken into account in the calculation. Combined with the kinetic energy from the isospin difference of nucleonic Fermi motion, the three kinds cross at the saturation density with the value of 31.5 MeV. The Skyrme-type momentum-dependent interaction is used in the hypernuclear description [20].

The chiral effective Lagrangian and relativistic mean-field theories are applied for evaluating the one-body potentials of mesons and hyperons in nuclear medium. The hyperon meanfield potential is constructed on the basis of the light-quark counting rule. The self-energies of hyperons are assumed to be two thirds of that experienced by nucleons. Thus, the inmedium dispersion relation reads

$$\omega(\mathbf{p}_i, \rho_i) = \sqrt{(m_H + \Sigma_S^H)^2 + \mathbf{p}_i^2} + \Sigma_V^H \tag{4}$$

with $\Sigma_S^H = 2\Sigma_S^N/3$ and $\Sigma_V^H = 2\Sigma_V^N/3$, which leads to the attractive interaction being the values of -32 and -16 MeV for Λ and Ξ at the normal nuclear density, respectively. The nuclear scalar Σ_S^N and vector Σ_V^N self-energies are computed from the well-known relativistic mean-field model with the NL3 parameter [22].

Production and decay of the resonances below the mass of 2 GeV have been included in the model [21]. All possible channels of Ξ production in hadron-hadron collisions are implemented in this work. The strange particles are created in the direct process by the channels as follows:

$$BB \to BYK, BB \to BBKK, B\pi(\eta) \to YK, YK \to B\pi, B\pi \to NK\overline{K}, Y\pi \to B\overline{K}, \quad B\overline{K} \to Y\pi, \quad YN \to \overline{K}NN, BB \to B\Xi KK, \overline{K}B \leftrightarrow K\Xi, YY \leftrightarrow N\Xi, \overline{K}Y \leftrightarrow \pi\Xi.$$
(5)

Here the symbols corresponding to $B(N, \Delta, N^*)$, $Y(\Lambda, \Sigma)$, $\Xi(\Xi^0, \Xi^-)$, $\pi(\pi^-, \pi^0, \pi^+)$, $K(K^0, K^+)$, $\overline{K}(\overline{K}^0, K^-)$. The elementary cross sections are parameterized by fitting the available experimental data and the Clebsch-Gordan coefficients for the isospin channels. Furthermore, the elastic scattering and strangeness-exchange reaction between strangeness and baryons have been considered through the channels of $KB \to KB$, $YB \to YB$ and $\overline{KB} \to \overline{KB}$ and I use the parametrizations in Ref. [23]. The charge-exchange reactions between the $KN \to KN$ and $YN \to YN$ channels are included by using the same cross sections with the elastic scattering, such as $K^0p \to K^+n$, $K^+n \to K^0p$, etc. [24].

The primary fragments with $Z \ge 3$ are recognized in phase space with a coalescence model, in which the nucleons at freeze-out stage are considered to belong to one cluster with the relative momentum smaller than P_0 and with the relative distance smaller than R_0 (here $P_0 = 200 \text{ MeV/c}$ and $R_0 =$ 3 fm). A larger relative distance ($R_0 = 5$ fm) is taken into account for constructing the hyperfragments, which is caused from the fact that the weakly bound of hypernucleus with a bigger rms (root-mean-square) radius, e.g., 5 fm rms for ${}_{\Lambda}^{3}$ H and 1.74 fm for ³He [25]. Actually, the influence of the



FIG. 3. Mean multiplicity of intermediate mass fragments $< M_{IMF} >$ as functions of the Z_{bound} and impact parameter *b* in the reaction ¹⁹⁷Au +¹⁹⁷Au at the incident energy of 1 GeV/nucleon and compared with the data from the ALADIN spectrometer [40].

coalescence parameters on the final fragments is small because the lager coalescence distance increases the excitation energy of primary fragment and enable more probability in the de-excitation process. The excitation energy is evaluated as the difference of binding energies between the excited fragment and the Bethe-Weizsäcker mass formula for nuclear fragments. The generalized mass formula with SU(6) symmetry breaking is used for calculating the hypernuclear binding energy [26]. The de-excitation process of the nuclear fragment and hyperfragments is described by the GEMINI code [27], in which the channels of γ , light complex clusters (*n*, p, α , etc.) and binary fragments are selected by the Monte Carlo procedure via the decay width. The decay widths of light particles with $Z \leq 2$ and the binary decay are calculated by the Hauser-Feshbach formalism [28] and transition state formalism [29], respectively. The hyperon decay width is also evaluated by the Hauser-Feshbach approach the phenomenological hyperon binding energy [30]. In the LQMD model, the binding energy of the primary fragment is calculated by the internal motion energy and interaction potential as

$$E_B(Z_i, N_i) = \sum_j \sqrt{p_j^2 + m_j^2} - m_j$$
$$+ \frac{1}{2} \sum_{j,k,k \neq j} \int f_j(\mathbf{r}, \mathbf{p}, t) f_k(\mathbf{r}', \mathbf{p}', t)$$

$$\langle v(\mathbf{r}, \mathbf{r}', \mathbf{p}, \mathbf{p}') d\mathbf{r} d\mathbf{r}' d\mathbf{p} d\mathbf{p}'$$



FIG. 4. Influence of the statistical decay and the Λ -nucleon potential on the hyperfragment production in collisions of 124 Sn + 124 Sn at 2 GeV/nucleon.

$$+\frac{1}{6}\sum_{j,k,l}\sum_{k\neq j,k\neq l,j\neq l}\int f_{j}(\mathbf{r},\mathbf{p},t)f_{k}(\mathbf{r}',\mathbf{p}',t)$$
$$\times f_{l}(\mathbf{r}'',\mathbf{p}'',t)v(\mathbf{r},\mathbf{r}',\mathbf{r}'',\mathbf{p},\mathbf{p}',\mathbf{p}'')$$
$$\times d\mathbf{r}d\mathbf{r}'d\mathbf{r}''d\mathbf{p}d\mathbf{p}'d\mathbf{p}'', \qquad (6)$$

where the **r**, **p** are the nucleon positions in the center of mass of the *i*th fragment (Z_i, N_i) . I count the binding energy of hyperfragment with $E_B(Z_i, N_i, N_Y) = E_B(Z_i, N_i) + \sum_{j=1}^{N_Y} \omega(\mathbf{p}_j, \rho_j) - m_H$ with the Z_i, N_i , and N_Y being the proton, neutron, and hyperon numbers, respectively.

For the fragment with $Z \leq 2$, the Wigner phase-space density at freeze-out is used to evaluate the probability of fragment formation. It is assumed that the cold clusters are formed at freeze-out. The momentum distribution of a cluster with *M* nucleons and *Z* protons for a system with *A* nucleons is given by

$$\frac{dN_M}{d^3P} = G_M \begin{pmatrix} A \\ M \end{pmatrix} \begin{pmatrix} M \\ Z \end{pmatrix} \frac{1}{A^M} \int \prod_{i=1}^Z f_p(\mathbf{r}_i, \mathbf{p}_i) \prod_{i=Z+1}^M f_n(\mathbf{r}_i, \mathbf{p}_i) \\ \times \rho^W(\mathbf{r}_{k_1}, \mathbf{p}_{k_1}, ..., \mathbf{r}_{k_{M-1}}, \mathbf{p}_{k_{M-1}}) \\ \times \delta(\mathbf{P} - (\mathbf{p}_1 + \dots + \mathbf{p}_M)) d\mathbf{r}_1 d\mathbf{p}_1 ... d\mathbf{r}_M d\mathbf{p}_M.$$
(7)

Here the f_n and f_p are the neutron and proton phase-space densities, which are obtained by performing the Wigner transformation based on Gaussian wave packets. The relative coordinate $\mathbf{r}_{k_1}, ..., \mathbf{r}_{k_{M-1}}$ and momentum $\mathbf{p}_{k_1}, ..., \mathbf{p}_{k_{M-1}}$ in the *M*-nucleon rest frame are used for calculating the Wigner density ρ^W [31,32]. The spin-isospin statistical factors G_M are 3/8, 1/12, and 1/96 corresponding to M = 2, 3, and 4, respectively. The root-mean-square radii of intending a cluster is needed for the Wigner density, i.e., 1.61 and 1.74 fm for triton and ³He, loosely bound for hypernuclide.

III. RESULTS AND DISCUSSION

Particle production in heavy-ion collisions provides the possibility for extracting the high-density nuclear matter properties and investigating the behavior of in-medium hadrons. Strangeness physics with heavy-ion collisions manifests some interesting phenomena, such as the bound state of multiple baryons, multistrangeness hypernucleus, interaction between strange particles, etc. To explore the emission mechanism of strange particles, I calculated the rapidity and transverse momentum spectra in nuclear collisions. Shown in Fig. 1 is the strangeness production in the reaction of 124 Sn + 124 Sn at the incident energy of 2 GeV/nucleon. The interaction potential of strange particle and nucleon influences the strangeness production and dynamics, i.e., the 30% reduction for K⁺ yields with the potential. In the midrapidity region, the strangeness production is reduced by the optical potential and escaped from the nuclear medium at the early stage in heavy-ion collisions, in which the high-density properties of hadronic matter can be extracted from the particle spectra. The transverse momentum spectra of strange particles are calculated as shown in Fig. 2. It is obvious that the inclusion of the optical potential leads to the reduction of low-momentum particles. The low-momentum hyperons can be easily captured by



FIG. 5. Rapidity and transverse momentum spectra of ${}^{3}_{\Lambda}$ H and ${}^{4}_{\Lambda}$ H produced in the reaction 6 Li + 12 C at an incident energy of 2 GeV/nucleon and compared with the HypHI data [44].

surrounding nucleons to form hyperfragments. On the other hand, the high-momentum strange particles are nice probes for extracting the high-density matter properties, i.e., symmetry energy, effective mass, short-range range interaction, etc.

The nuclear fragmentation reactions have been extensively investigated both in experiments and in theories, in particular on the issues of spinodal multifragmentation, liquid-gas phase transition, properties of highly excited nuclei, symmetry energy at subsaturation densities, etc. [33–37]. The intermediate mass fragments (IMFs) with $3 \le Z \le 30$ produced in Fermi-energy heavy-ion collisions has been investigated for extracting the nuclear equation of state, liquid-gas phase tran-



FIG. 6. Comparison of the nuclear fragments and Λ hyperfragments as functions of mass and charged numbers in collisions of 112 Sn + 112 Sn, 124 Sn + 124 Sn, and 132 Sn + 124 Sn at 2*A* GeV, respectively.

sition, and density dependence of symmetry energy [38,39], where the composite system is formed at excitation energies of 10–20 MeV per nucleon. The fluctuation and explosive decay of the excited system dominate the IMF production. The quantity Z_{bound} is defined as the sum of fragments with $Z_i \ge 2$. As a test of the combined approach, shown in Fig. 3 is the averaged IMFs correlated with the Z_{bound} and impact parameter *b* in the reaction ¹⁹⁷Au +¹⁹⁷Au at the incident energy of 1 GeV/nucleon and compared with the data from the ALADIN spectrometer [40]. The reaction system is evolved until to 300 fm/c with the momentum-dependent interaction in the LQMD model, in which the nucleon-nucleon scattering is finished and the chemical equilibrium is reached (freezeout). The dominant decay channels are α , proton, and neutron.

The hyperfragments constructed in phase space are excited, in which the hyperon-nucleon interaction potential influences the dynamics of hyperon transportation in nuclear medium and consequently the bound fragments by capturing a hyperon. The GEMINI code is modified for modeling the decay of excited hypernucleus. Shown in Fig. 4 is a comparison of the primary and secondary fragments produced in the reaction of ${}^{124}Sn + {}^{124}Sn$ at the incident energy of 2 GeV/nucleon. It is obvious that the peripheral collisions mainly contribute the large-mass hyperfragments. The yields of hyperfragments are reduced by implementing the statistical decay. The attractive Λ -nucleon potential is available for enhancing the hyperfragment production. It should be noticed that the classical method is used for constructing the primary hyperfragments in phase space in this work. A quantal approach for recognizing the fragments is still expected, in which the root-



FIG. 7. Rapidity and kinetic energy spectra of nucleonic and hypernuclear fragments for beryllium and carbon isotope production in the 124 Sn + 124 Sn reaction at 2*A* GeV.

mean-square radii and binding energy should be taken into account by relying on the nuclear structure calculations. The minimum spanning tree (MST) and the simulated annealing cluster algorithm (SACA) algorithms were proposed for constructing nuclear clusters and hypernuclei within the transport model parton hadron quantum molecular dynamics (PHQMD) [19,41]. The nuclear dynamics of cluster and hypernucleus production in fragmentation reactions were investigated thoroughly.

The nuclear chart is extended to the three-dimensional structure by implementing strangeness degree of freedom, which exhibits some interesting phenomena, i.e., the neutral bound state $(nn\Lambda, n\Lambda\Lambda)$ [42,43], new spectroscopy, etc. The heavy-ion collisions provide a unique way to produce the neutron-rich or proton-rich hypernuclides in the terrestrial laboratories. Shown in Fig. 5 are the light hypernuclides ${}^{3}_{\Lambda}H$ and ${}^{4}_{\Lambda}H$ produced in the reaction ${}^{6}\text{Li} + {}^{12}\text{C}$ at an incident energy of 2 GeV/nucleon and compared with the experimental data by the HypHI Collaboration in the projectile spectator region [44]. The Wigner density approach is used for recognizing the light hypernuclei at freeze-out. It is noticed that the hyperfragments are likely to be formed in the peripheral collisions within the low-momentum region. Very narrow rapidity spectra are found, which are created when the hyperon is captured by spectator nucleons. To create the neutron-rich hypernucleus, the neutron diffusion to the spectator region is expected. The HypHI data were also analyzed by two approaches of the QMD model with fragment recognition in general application (FRIGA) [19] and the Dubna cascade model followed by a statistical code [45]. In this work, the pre-equilibrium emissions of light clusters and hypernuclei from three- or four-body collisions are not included. Further modifications on light hypernuclear production in transport model are in progress.

The formation mechanism of fragments with strangeness in high-energy heavy-ion collisions has been investigated within the LQMD transport approach combined with the statistical model (GEMINI). The production and dynamics of hyperons is described within the LQMD model. The classical coalescence model is used for constructing the primary fragments

and the hyperon capture by residual nucleons. The combined approach is used to describe the formation of hyperfragments with $Z \ge 3$. The production of hypernuclei is associated to the hyperon production, hyperon-nucleon and hyperon-hyperon interactions, capture of hyperons by nucleonic fragments, and decay of excited hyperfragments. The investigation of hypernucleus properties is also an essential way for extracting the in-medium information of hyperons. To form the hyperfragments in heavy-ion collisions, the incident energy is chosen to be enough for creating hyperons, but not too high, so that the hyperon evolution is enough for capturing by surrounding nucleons. At the near-threshold energies, the reaction channels of $BB \rightarrow BYK$ and $\pi(\eta)B \rightarrow KY$ dominate the hyperon production. Usually, the hyperons are created in the domain of the dense nuclear medium. The hyperon-nucleon potential impacts the hyperon dynamics and hyperfragment formation. Calculations from a statistical model showed that the beam energy regime of 3-5 GeV/nucleon is available for producing hypernuclei [17]. The production and structure studies of neutron-rich and even double-strangeness hypernuclides have been planned at the HIAF in China. Shown in Fig. 6 are the nuclear fragments and Λ hyperfragments produced in collisions of 112 Sn + 124 Sn, 124 Sn + 124 Sn, and 132 Sn + 124 Sn at the incident energy of 2 GeV/nucleon and within the collision centrality of 0-8 fm. The mass and charge distribution trends of nuclear fragments and hyperfragments are very similar. The yields almost sustain constant in the mass region A = 20-70(or Z = 10-30) because of the contribution of peripheral collisions. The production of hyperfragments is reduced by two to three orders of magnitude to the nuclear fragments in the large mass domain. The light hyperfragments are feasible via heavy-ion collisions. The dynamics of fragments are calculated as shown in Fig. 7. A broad distribution is obvious for the nuclear fragments. Symmetric structure appears for both the nuclear fragments and hyperfragments. The fragments tend to be formed in the projectile or target-like region with increasing the charge number.

The hypernuclear physics is one of the topical issues in the future HIAF facility, in particular, the exotic hypernuclei in the extremely neutron-rich or proton-rich regions, multiple



FIG. 8. Comparison of rapidity and transverse momentum distributions for ${}^{3}H$, ${}^{3}_{\Lambda}H$, ${}^{4}_{\Lambda}H$, ${}^{4}_{\Lambda}H$, ${}^{4}_{\Lambda}H$, and free Λ produced in collisions of ${}^{20}Ne + {}^{12}C$ at the incident energy of 4.25 GeV/nucleon.

strangeness nuclei, high-density symmetry energy from the isospin ratios of strange particles, etc. The first experiment is planned to investigate the light hypernuclei in collisions of 20 Ne + 12 C at the beam energy of 4.25 GeV/nucleon. I analyzed the dynamics of light hypernuclei. Shown in Fig. 8 is a comparison of light nuclei, hypernuclei, and free Λ produced in collisions of 20 Ne + 12 C. The clusters are formed in the projectile- and target-like rapidity region. The free Λ escape the "fire ball" formed in nuclear collisions and the dominant emission in the midrapidity region. The hypernuclei are produced within the narrow rapidity and low kinetic energies. The kinematics of hyperfragments is helpful in managing the detector system in the future experiments.

IV. CONCLUSIONS

In summary, the formation mechanism of hypernuclei in heavy-ion collisions is investigated within the LQMD transport model combined with the coalescence approach and statistical model. The dynamics of strange particles and hypernucleus formation are influenced by the optical potentials in nuclear medium. The combined approach can nicely describe the fragmentation reactions of the available experimental data from the ALADIN Collaboration and the hypernucleus data from the HypHI Collaboration. The strange particles are mainly emitted in the midrapidity region and with the broad momentum range. However, the hyperfragments are created in the projectile- or target-like rapidity region by capturing of the spectator nucleons and the yields are reduced about the three orders of magnitude in comparison to the nuclear fragments. The possible experiments for producing the neutron-rich hyperfragments at HIAF are discussed.

ACKNOWLEDGMENTS

This work was supported by the National Natural Science Foundation of China (Projects No. 11722546 and No. 11675226) and the Talent Program of South China University of Technology.

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